

# City of Chicago



O2016-8638

# Office of the City Clerk Document Tracking Sheet

**Meeting Date:** 

12/14/2016

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 9-G at 3911-3925 N Sheridan Rd and 943-957 W Dakin St - App No. 19060

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

# 19060 INTRO DITE DEC.14,2016

#### **ORDINANCE**

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1**. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the B1-2 Neighborhood Shopping District and C1-2 Commercial Shopping District symbols and indications as shown on Map No. 9-G in the area bounded by

West Dakin Street; the alley next east of North Sheridan Road; a line 155.42 feet south of the south line of West Dakin Street; and North Sheridan Road

to those of a B3-5 Community Shopping District.

**SECTION 2.** That the Chicago Zoning Ordinance be amended by changing all of the B3-5 Community Shopping District symbols and indications as shown on Map No. 9-G in the area bounded by:

West Dakin Street; the alley next east of North Sheridan Road; a line 155.42 feet south of the south line of West Dakin Street; and North Sheridan Road

to those of a Residential-Business Planned Development.

**SECTION 3.** This ordinance shall be in force and effect from and after its passage and due publication.

Addresses: 3911 to 3925 North Sheridan Road; 943 to 957 West Dakin Street

# RESIDENTIAL-BUSINESS PLANNED DEVELOPMENT NO.

#### PLANNED DEVELOPMENT STATEMENTS

| 1. | The area delineated herein as Residential-Business Planned Development No (the         |
|----|--|
|    | "Planned Development") consists of a net site area of approximately 23,315 square feet |
|    | of property which is depicted on the attached Planned Development Boundary and         |
|    | Property Line Map (the "Property"). The Property is owned by Lomanto Land Trust u/t/a  |
|    | 1107581 (the "Applicant").   |

- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant, its successors and assigns. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, at the time applications for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, the Property shall be under single ownership or under single designated control. Single designated control for purposes of this Statement shall mean that any application to the City for any amendment to this Planned Development or any other modification or change thereto (administrative, legislative or otherwise) shall be made or authorized by all the owners of the Property and any ground lessees of the Property; provided, however, that where portions of the improvements located on the Property have been submitted to the Illinois Condominium Property Act, the term "owner" shall be deemed to refer solely to the condominium association of the owners of such portions of the improvements and not to the individual unit owners therein. Nothing herein shall prohibit or in any way restrict the alienation, sale or any other transfer of all or any portion of the Property or any rights, interests or obligations therein. Upon any alienation, sale or any other transfer of all or any portion of the Property or the rights therein (other than an assignment or transfer of rights pursuant to a mortgage or otherwise as collateral for any indebtedness) and solely with respect to the portion of the Property so transferred, the term "Applicant", "ground lessee" or "titleholder" shall be deemed amended to apply to the transferee thereof (and its beneficiaries if such transferee is a land trust) and the seller or transferor thereof (and its beneficiaries if such seller or transferor is a land trust) shall thereafter be released from any and all obligations or liability hereunder with respect to the portion of the Property so transferred.
- 3. All applicable official reviews, approvals or permits are required to be obtained by any owner of the Property, as applicable, or its successors, assigns or grantees, which require such reviews, approvals or permits. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the applicable Property owner, its successors, assigns or grantees, seeking such action.

1

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans, as defined below.

Ingress or egress shall be pursuant to the Plans (as defined below) and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.

- 4. This Plan of Development consists of 16 Statements; a Bulk Regulations and Data Table; an Existing Zoning Map; an Existing Land Use Map; a Planned Development Boundary and Property Line Map; a Site Plan; a Landscape and Green Roof Plan; and Elevations (North, South, East and West), all prepared by Sullivan Goulette & Wilson and dated \_\_\_\_, 2017 (collectively, the "Plans") [confirm scope of plans]. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.
- The following uses are permitted within the area delineated as Residential-Business 5. Planned Development: Dwelling units above the ground floor; animal services – sales and grooming and veterinary; eating and drinking establishments; amusement arcades (accessory only to eating and drinking establishments); day care; business support services; building material sales; above grade outdoor patios; auto supply sales; indoor participant sports and recreation; banks, currency exchange, credit union and ATM facilities; food and beverage retail sales uses; vacation rental; medical service; office; personal service uses; consumer repair or laundry services; general retail sales; wireless communications facilities; accessory and non-accessory parking (limited to no more than two spaces for car sharing services); and incidental and related uses.
- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance 8. with the attached Bulk Regulations Table. For the purposes of FAR calculations and

Applicant: Address Introduced: Plan Commission. Lomanto Land Trust u/t/a 1107581 3921 North Sheridan Road, Chicago, Illinois

December 16, 2016

measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations Table has been determined using a Net Site Area of 23,315 square feet.

- 9. The Applicant acknowledges and agrees that the rezoning of the Property from B1-2 Neighborhood Shopping District and C1-2 Neighborhood Shopping District to B3-5 Community Shopping District, and then to this Planned Development, triggers the requirements of Section 2-45-115 of the Municipal Code of Chicago (the "ARO"). Any developer of a residential housing project within the meaning of the ARO must: (i) set aside 10% of the housing units in the residential housing project (the "Required Units") as affordable units, or with the Department's Commissioner's approval, provide the Required Units in an approved off-site location; (ii) pay a fee in lieu of the development of the Required Units; or, (iii) any combination of (i) and (ii); provided, however, that residential housing projects with 20 or more units must provide at least 25% of the Required Units on-site or off-site. If the developer elects to provide affordable units offsite, the off-site affordable units must be located within a two-mile radius from the residential housing project and in the same or a different higher income area or downtown district. The Property is located in a higher income area, within the meaning of the ARO, and the project has a total of 120 units. As a result, the Applicant's affordable housing obligation is 12 affordable units, three of which are Required Units. Applicant has agreed to satisfy its affordable housing obligation by making a cash payment to the Affordable Housing Opportunity Fund in the amount of \$125,000 per unit (at four units for a total of \$500,000) (a "Cash Payment") and providing eight affordable units in the rental building to be constructed in the Planned Development. The Applicant agrees that the affordable rental/for sale units must be affordable to households earning no more than 80% of the Chicago Primary Metropolitan Statistical Area Median Income ("AMI"), as updated annually by the City of Chicago. If the Applicant subsequently reduces (or increases) the number of housing units in the Planned Development, the Applicant shall update and resubmit the Affordable Housing Profile Form to the Department for review and approval; the Department may adjust the number of required Affordable Units without amending the Planned Development. Prior to the issuance of any building permits for any residential building in the Planned Development, including, without limitation, excavation or foundation permits, the Applicant must make the required Cash Payment and/or execute and record an affordable housing agreement in accordance with Section 2-45-115(L) of the ARO. The terms of the affordable housing agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the affordable housing agreement will be recorded against the Planned Development, or the applicable portion thereof, and will constitute a lien against such property. The Commissioner of the Department may enforce remedies for any breach of this Statement No. 9, including any breach of any affordable housing agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the Planned Development.
- 10. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning

and Development. The fee, as determined by staff at the time, is final and binding and must be paid to the Department of Revenue prior to the issuance of any Part II approval.

- 11. The Site Plans and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 12. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 13. Subject to the provisions of Statement No. 2 of this Planned Development, the terms and conditions of development under this Planned Development ordinance may be modified administratively pursuant to Section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal titleholders and any ground lessors.
- 14. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property as required by law. Plans for all new buildings and improvements on the Property constructed after the date hereof shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities.
- 15. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. In furtherance of this policy, improvements within the Planned Development shall include at least the following sustainable features: (A) 50% Green Roof and (B) Building Certification in accordance with City of Chicago's Sustainable Development Policy in effect as of the date of introduction of this planned development.
- 16. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse due to the passage of time, the Commissioner of the Department shall initiate a Zoning Map Amendment to rezone this Residential-Business Planned Development to B1-2 Neighborhood District.

# RESIDENTIAL - BUSINESS PLANNED DEVELOPMENT NO. \_\_\_

## **BULK REGULATIONS AND DATA TABLE**

GROSS SITE AREA: 36,716.36 sf

AREA IN THE PUBLIC RIGHT OF WAY: 13,401.36 sf

NET SITE AREA: 23,315 sf

MAXIMUM PERMITTED FAR: 5.0

MAXIMUM NUMBER OF DWELLING 120

<u>UNITS</u>:

MINIMUM NUMBER OF OFF STREET 14

**PARKING SPACES**:

MINIMUM NUMBER OF BIKE 100

**PARKING SPACES**:

MINIMUM NUMBER OF OFF STREET LOADING BERTHS: 1

SETBACKS FROM THE PROPERTY

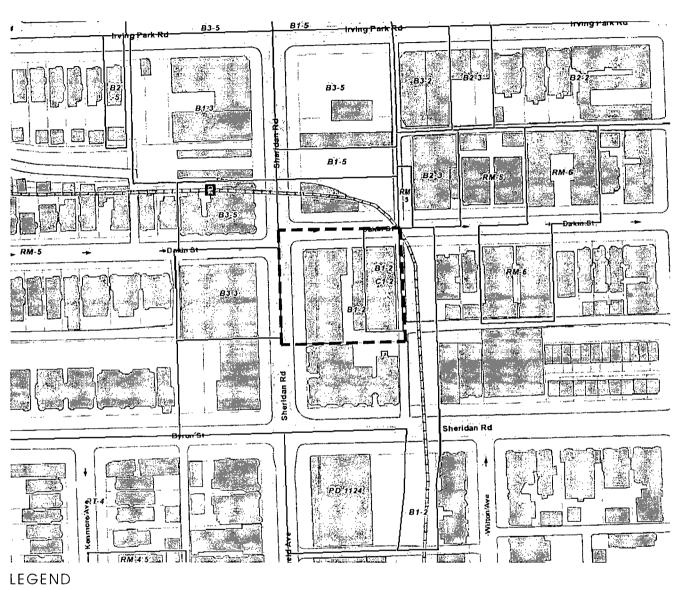
LINE: In accordance with the site plan.

MAXIMUM BUILDING HEIGHT: 79'6"

Applicant Lomanto Land Trust u/Va 1107581

Address 3921 North Sheridan Avenue, Chicago, Illinois Introduced. December \_\_\_\_\_, 2016

Plan Commission: \_\_\_\_\_\_, 2016



---- PLANNED DEVELOPMENT BOUNDARY



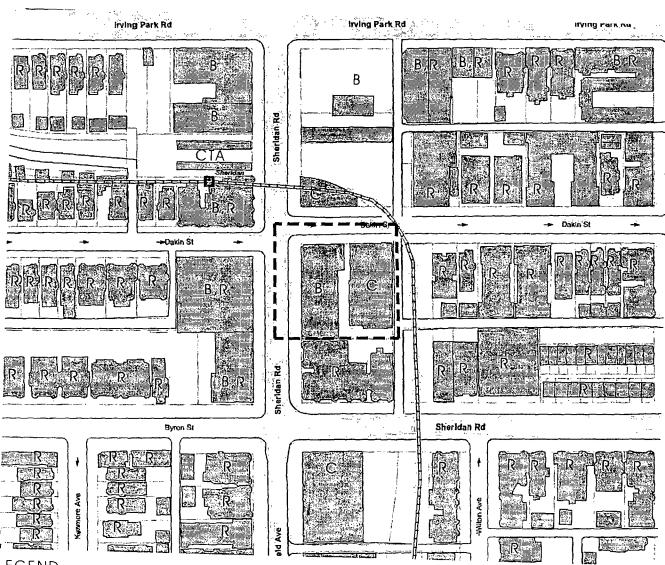
EXISTING ZONING MAP

APPLICANT: LOMANTO LAND TRUST u/t/a 1107581

ADDRESS. 3921 N SHERIDAN RD

INTRODUCTION DATE: DECEMBER 14, 2016
PLAN COMMISSION DATE: \_\_\_\_\_, 2017





LEGEND

PLANNED DEVELOPMENT BOUNDARY

R RESIDENTIAL
B BUSINESS
C COMMERCIAL

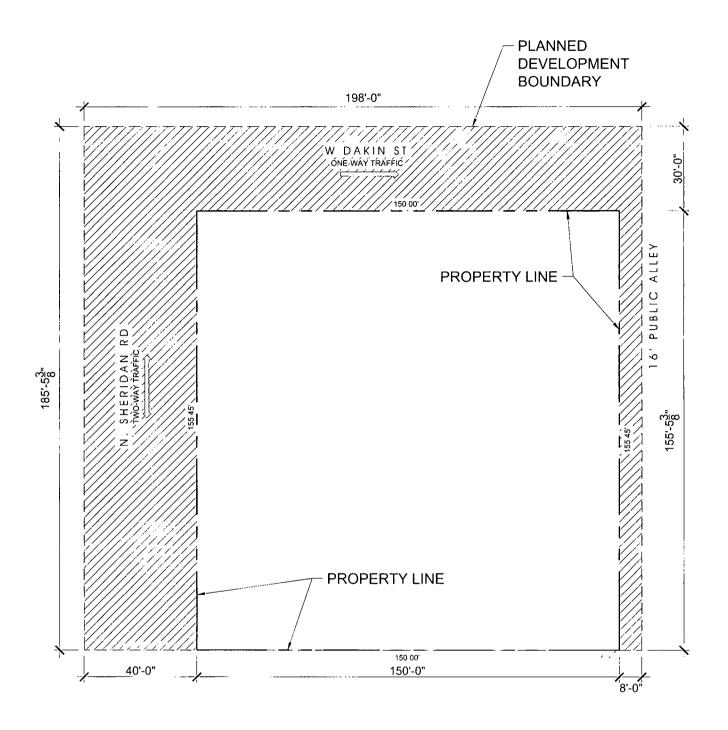
CTA CHICAGO TRANSIT AUTHORITY



EXISTING LAND USE MAP

APPLICANT: LOMANTO LAND TRUST u/t/a 1107581 ADDRESS: 3921 N SHERIDAN RD INTRODUCTION DATE: DECEMBER 14, 2016 PLAN COMMISSION DATE: \_\_\_\_\_\_, 2017





# PLANNED DEVELOPMENT BOUNDARY/ PROPERTY LINE MAP

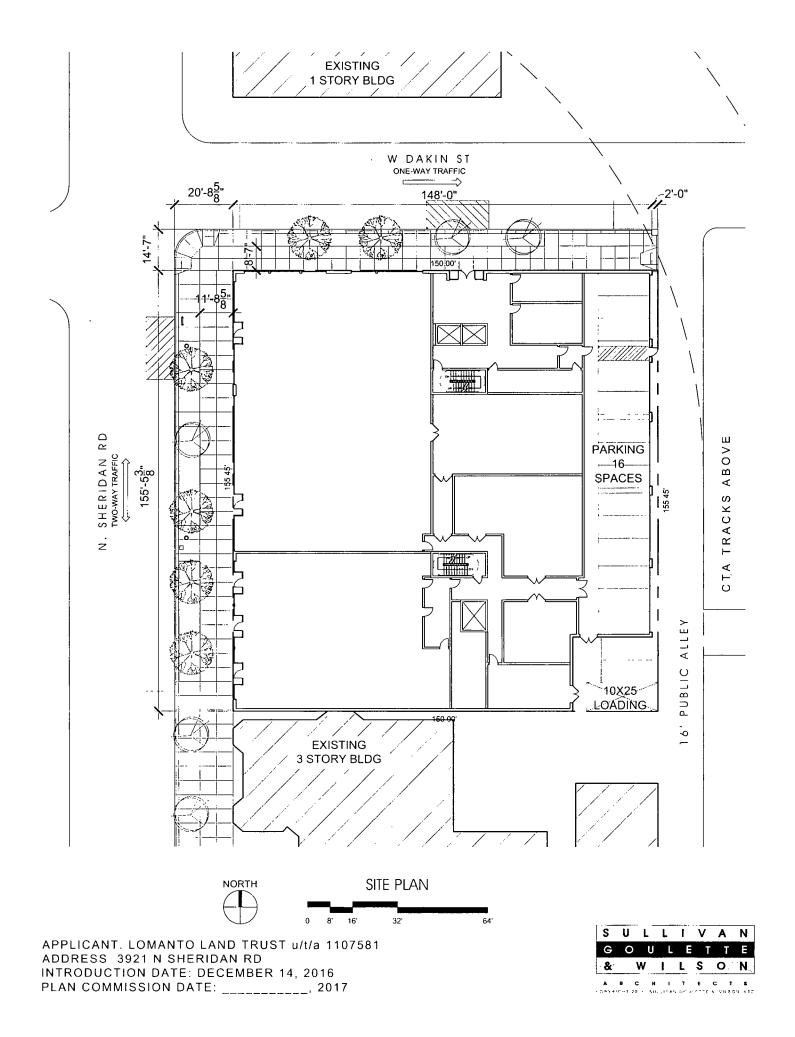
APPLICANT: LOMANTO LAND TRUST u/t/a 1107581

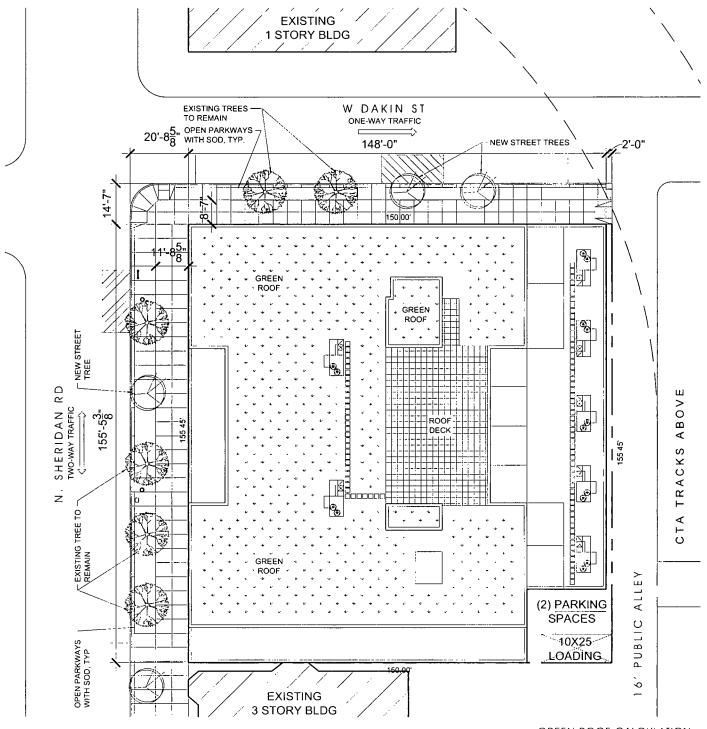
NORTH

ADDRESS: 3921 N SHERIDAN RD

INTRODUCTION DATE: DECEMBER 14, 2016
PLAN COMMISSION DATE: , 2017

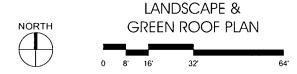






### GREEN ROOF CALCULATION

TOTAL ROOF AREA: 22,275 SF MECHANICAL AREA: 2,500 SF NET ROOF AREA: 19,775 SF 50% GREEN ROOF: 9,888 SF

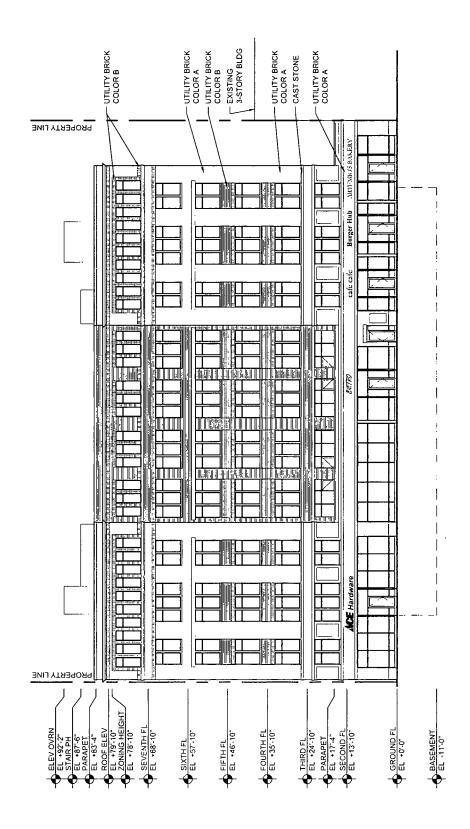


APPLICANT: LOMANTO LAND TRUST u/t/a 1107581

ADDRESS: 3921 N SHERIDAN RD

INTRODUCTION DATE: DECEMBER 14, 2016 PLAN COMMISSION DATE: \_\_\_\_\_, 2017





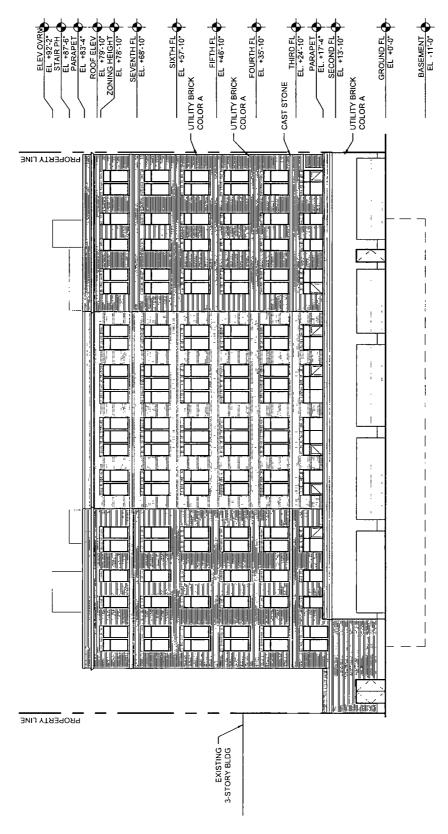
WEST ELEVATION

APPLICANT: LOMANTO LAND TRUST u/t/a 1107581

ADDRESS: 3921 N SHERIDAN RD

INTRODUCTION DATE: DECEMBER 14, 2016
PLAN COMMISSION DATE: \_\_\_\_\_, 2017





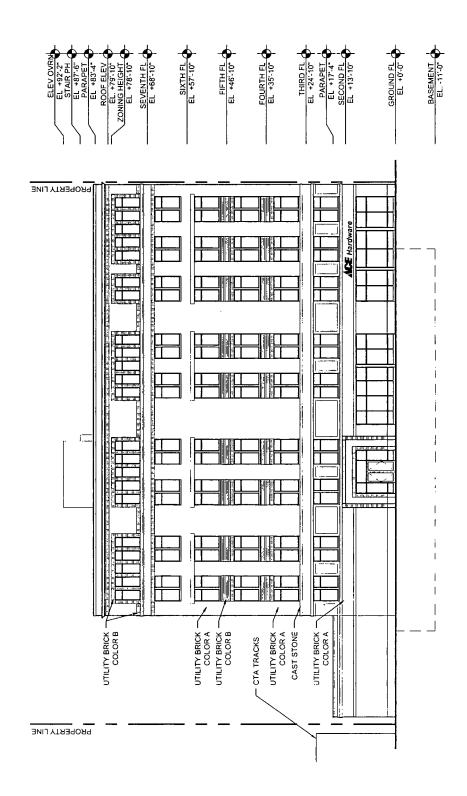
EAST ELEVATION

APPLICANT: LOMANTO LAND TRUST u/t/a 1107581

ADDRESS: 3921 N SHERIDAN RD

INTRODUCTION DATE: DECEMBER 14, 2016 PLAN COMMISSION DATE: \_\_\_\_\_\_, 2017





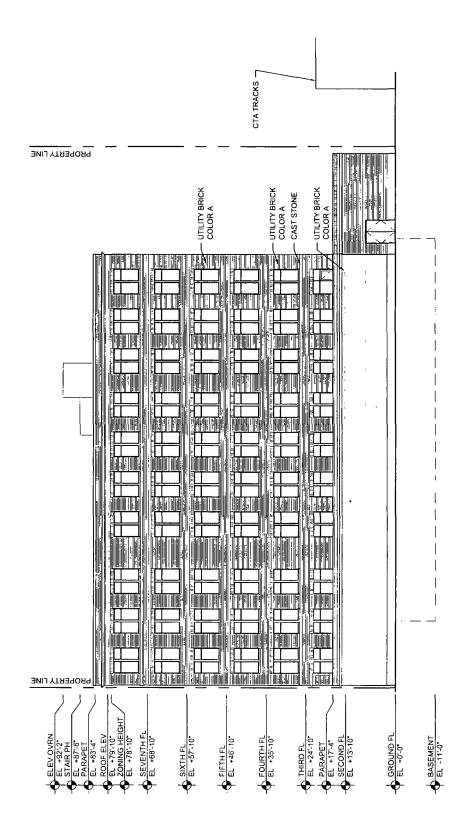
NORTH ELEVATION

APPLICANT: LOMANTO LAND TRUST u/t/a 1107581

ADDRESS. 3921 N SHERIDAN RD

INTRODUCTION DATE: DECEMBER 14, 2016 PLAN COMMISSION DATE: \_\_\_\_\_, 2017





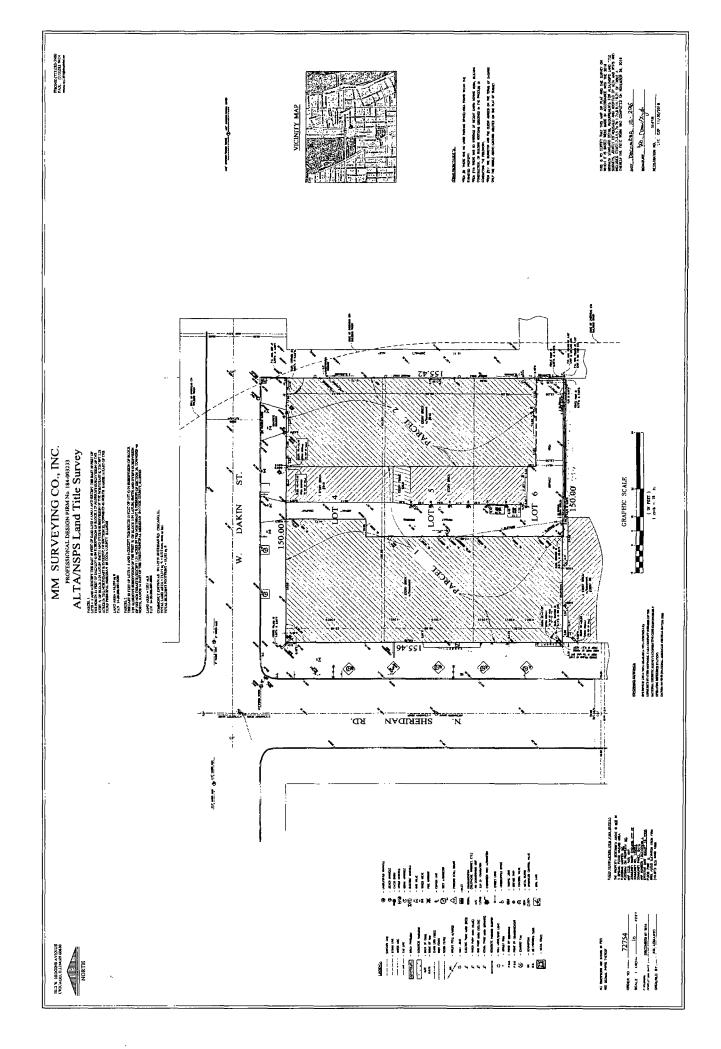
## NORTH ELEVATION

APPLICANT: LOMANTO LAND TRUST u/t/a 1107581

ADDRESS: 3921 N SHERIDAN RD

INTRODUCTION DATE: DECEMBER 14, 2016
PLAN COMMISSION DATE: \_\_\_\_\_, 2017







Dykema Gossett PLLC 10 S. Wacker Drive Suite 2300 Chicago, IL 60606

Tel: (312) 876-1700 Fax: (312) 876-1155

WWW.DYKEMA.COM

Andrew P. Scott

Direct Dial: 312-627-8325 Direct Fax: 866-950-3678 Email: APScott@dykema.com

December 7, 2016

Honorable Daniel S. Solis Chairman, Committee on Zoning Landmarks and Building Standards 121 North LaSalle Street, Room 200 Chicago, Illinois 60602

The undersigned, Andrew Scott, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notices to such property owners who appear to be the owners of the property within the subject area not solely owned by the owner of the subject property, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or at total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately December 7, 2016.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Andrew P. Scott

Dykema Gossett PLLC

Subscribed and Sworn to before me this

The day of <u>December</u>, 2016.

LILLIAN A BALL Official Seal Notary Public - State of Illinois My Commission Expires Oct 24, 2020

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Dykema Gossett PLLC 10 S. Wacker Drive Suite 2300 Chicago, IL 60606 WWW.DYKEMA.COM

Tel: (312) 876-1700 Fax: (312) 876-1155

**Andrew P. Scott** 

Direct Dial: 312-627-8325 Direct Fax: 866-950-3678 Email: <u>APScott@dykema.com</u>

December 7, 2016

## Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about December 7, 2016, the undersigned intends to file an application for a change in zoning for the property with an address range of 3911 to 3925 North Sheridan Road and 943 to 957 West Dakin Street (the "Property") from B1-2 Neighborhood Shopping District and C1-2 Neighborhood Commercial District first to B3-5 Community Shopping District and then to Residential-Business Planned Development.

The applicant proposes to develop an approximately 80-foot building with 120 dwelling units, approximately 11,000 square feet of ground floor retail space and at least 14 off-street parking spaces.

The owner of the Property and applicant is Lomanto Land Trust u/t/a 1107581, and it's address is 10 South LaSalle Street, Suite 2750, Chicago, Illinois 60603. The contact person for the application is the undersigned, Andrew Scott. My address is 10 South Wacker Drive, Suite 2300, Chicago, Illinois 60606. I can be reached at (312) 627-8325.

Please note that the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Very truly wurs,

Andrew P. Scott Attorney

#19060 INTRO. DATE: DEC.14, 2016

# CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| 1. ADDRESS of the property Applicant is seeking to rezone: |  |   | rezone:  |  |  |
|--|--|---|--|--|--|
|  | 3911 to 3925 North Sheridan  | n Road; 943 to 957 We                       | est Dakin Street   |  |  |
| 2.   | Ward Number that property is located in: _46   |   |  |  |  |
| 3.   | APPLICANT Lomanto La   | APPLICANT Lomanto Land Trust u/t/a 1107581  |  |  |  |
|  | ADDRESS 10 South LaSa  | ADDRESS 10 South LaSalle Street; Suite 2750 |  |  |  |
|  | CITY Chicago   | STATE <u>IL</u>                             | ZIP CODE <u>60603</u>  |  |  |
|  | PHONE (312) 627-8325   | _ CONTACT PERSO                             | N Andrew Scott   |  |  |
| 4.   | If the applicant is not the ow   | ner of the property, pl                     | ease provide the following information on from the owner allowing the application to |  |  |
|  | OWNER Same   |   | ·  |  |  |
|  | ADDRESS  |   |  |  |  |
|  | CITY   | STATE                                       | ZIP CODE   |  |  |
|  | PHONE  | _ CONTACT PERSO                             | N  |  |  |
| 5.   | If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information: |   |  |  |  |
|  | ATTORNEY Andrew Scot   | tt  |  |  |  |
|  | ADDRESS 10 South Wack  | er Drive (                                  | CITY Chicago, IL   |  |  |
|  | PHONE (312) 627-8325   | F   | FAX  |  |  |

|  | licant has no shareholders since it is a limited liability company.  |
|--|--|
|  | •  |
|  |  |
|  |  |
|  |  |
|  |  |
| On what  | date did the owner acquire legal title to the subject property? 10/5/99  |
| Has the  | present owner previously rezoned this property? If yes, when?  |
| No   |  |
|  |  |
| Present 2  | Zoning District B1-2 / C1-2  |
| -  | d Zoning District <u>B3-5 Community Shopping District</u> , then to Residential Business <u>Development</u>  |
| Lot size   | in square feet (or dimensions) 23,315 square feet  |
|  | in square reet (or dimensions) 23,313 square reet  |
|  |  |
| Current  | Use of the propertyThe property is improved with a single-story retail building.  for rezoning the propertyTo allow for the development of a mixed use development.  |
| Current Reason to Describe units; nu                     | Use of the property The property is improved with a single-story retail building.  |
| Current Reason to Describe units; nu height of The appli | Use of the property <u>The property is improved with a single-story retail building.</u> for rezoning the property <u>To allow for the development of a mixed use development.</u> e the proposed use of the property after the rezoning. Indicate the number of dwelling umber of parking spaces; approximate square footage of any commercial space; and |

# COUNTY OF COOK STATE OF ILLINOIS JOSEPH F. SOCHACKI

, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct. CHICAGO TITLE LAND TRUST COMPANY as Trussea unda insit No. 110 and not personic! Subscribed and Sworn to before me this 5 day of December, 2016. "OFFICIAL SEAL" cplus 7 JOSEPHINE F. HOSP Notary Public, State of Illinois My Commission Expires 07/01/2017 For Office Use Only Date of Introduction: File Number:\_\_\_\_\_ Ward:\_\_\_\_\_

This instrument is executed by the undersigned Land Trustee, not personally but solely as Trustee in the exercise of the power and authority conferred upon and vested in it as such Trustee. It is expressly understood and agreed that all the warranties, indemnities, representations, covenants, undertakings and agreements herein made on the part of the Trustee are undertaken by it solely in its capacity as Trustee and not personally. No personal liability or personal responsibility is assumed by or shall at any time be asserted or enforceable against the Trustee on account of any warranty, indemnity, representation, covenant, undertaking or agreement of the Trustee in this instrument.

: ;

The foregoing statements are made on the information and belief and not made as statements of fact.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| omanto Land Trust u/t/a 1107581   |
|---|
| Contained Carlot Trust data Trust 301   |
| Check ONE of the following three boxes:   |
| ndicate whether the Disclosing Party submitting this EDS is:  1. It the Applicant OR  2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR  3. a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 10 South LaSalle Street, Suite 2750  Chicago, IL 60603   |
| Chicago, il 60003   |
| C. Telephone: 312-223-4110 Fax: Email: N/A  |
| D. Name of contact person: N/A  |
| E. Federal Employer Identification No. (if you have one): N/A   |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which his EDS pertains. (Include project number and location of property, if applicable):   |
| Rezoning to a Planned Development for 3911 to 3925 North Sheridan Road and 943 to 957 West Dakin Street   |
|   |
| G. Which City agency or department is requesting this EDS? DPD  |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:  |
| Specification # and Contract #  |

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: ☐ Person Limited liability company ☐ Publicly registered business corporation ☐ Limited liability partnership Privately held business corporation ☐ Joint venture ☐ Sole proprietorship ☐ Not-for-profit corporation ☐ General partnership (Is the not-for-profit corporation also a 501(c)(3))? ☐ Limited partnership ☐ Yes □ No Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? ☐ Yes N/A □ No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Chicago Title Land Trust Company Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                                       | Business Address  | Percentage Interest in the                                      |
|--|---|---|
|  |   | Disclosing Party  |
| Joe Lomanto                                | 3921 North Sheridan Road  | 100% Beneficiary  |
|  | Chicago, IL 60613   |   |
|  |   |   |
|  |   |   |
| SECTION III BUS                            | SINESS RELATIONSHIPS WITH O   | CITY ELECTED OFFICIALS  |
| •  | Party had a "business relationship," as def<br>fficial in the 12 months before the date thi | fined in Chapter 2-156 of the Municipal Code, is EDS is signed? |
| Yes  | ⊠ No  |   |
| If yes, please identify b relationship(s): | elow the name(s) of such City elected off   | icial(s) and describe such                                      |
|  |   |   |
|  |   |   |

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether                                    | Business                          | Relati            | ionship to Disclosing Part  | (indicate whether  |
|---|-----------------------------------|-------------------|---|--|
| retained or anticipated to be retained)                   | Address                           | •                 | subcontractor, attorney, ist, etc.)   | paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response |
| Dykema Gossett 1  | 0 South Wacker [                  | Orive             | Attorney  | \$20,000 (est.)  |
| •                   | Chicago, IL 60606                 |                   |   |  |
| Sullivan Goulette & Wilson 4                              | 44 North Michiga                  | n Ave.            | Architect   | \$35,500 (est.)  |
| C   | Chicago, IL 60611                 |                   |   |  |
| (Add sheets if necessary)                                 |                                   |                   |   |  |
|   | osing Party has n                 | ot retai          | ned, nor expects to retain, an  | y such persons or entities.  |
| SECTION V CERTIFIC  | CATIONS                           |                   |   |  |
| A.COURT-ORDERED (   | CHILD SUPPO                       | RT CO             | OMPLIANCE   |  |
| <del>-</del>  |                                   |                   | rantial owners of business en<br>pport obligations throughout                               |  |
|   | •                                 |                   | % or more of the Disclosing llinois court of competent jun                                  | •  |
| ☐ Yes   |                                   | perso<br>losing   | on directly or indirectly owr<br>Party.   | ns 10% or more of the  |
| If "Yes," has the person ent<br>person in compliance with |                                   | -appro            | ved agreement for payment o   | of all support owed and is the   |
| □ Yes □ N   | 10                                |                   |   |  |
| B. FURTHER CERTIFIC                                       | CATIONS                           |                   |   |  |
| consult for defined terms submitting this EDS is the      | (e.g., "doing bu<br>Applicant and | siness<br>is doi: | , Article I ("Article I")(wh<br>") and legal requirements),<br>ng business with the City, t | if the Disclosing Party<br>hen the Disclosing Party                                      |

certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Burcau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7.     | If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further |  |  |  |
|--------|---|--|--|--|
| Certif | Certifications), the Disclosing Party must explain below:   |  |  |  |
| N/A    |   |  |  |  |
|        |   |  |  |  |
|        |   |  |  |  |
|        |   |  |  |  |
|        |   |  |  |  |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12- month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
|  |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
|  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  |
| ☐ is ⊠ is not  |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):   |
|  |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |  |  |  |  |
|---|--|--|--|--|
| D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS  |  |  |  |  |
| Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.   |  |  |  |  |
| 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  Yes  No  |  |  |  |  |
| NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.  |  |  |  |  |
| 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. |  |  |  |  |
| Does the Matter involve a City Property Sale?   |  |  |  |  |
| ☐ Yes ☐ No  |  |  |  |  |
| 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:  |  |  |  |  |
| Name Business Address Nature of Interest  |  |  |  |  |
|   |  |  |  |  |
| 4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.  |  |  |  |  |

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee   |

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant?           |   |  |  |
|--|---|--|--|
| ☐ Yes  | □ No  |  |  |
| If "Yes," answer the three                       | questions below:  |  |  |
| 1. Have you developed regulations? (See 41 CFR P | and do you have on file affirmative action programs pursuant to applicable federal art 60-2.)  No   |  |  |
| •  | the Joint Reporting Committee, the Director of the Office of Federal Contract e Equal Employment Opportunity Commission all reports due under the ts? |  |  |
| 3. Have you participate opportunity clause?  Yes | d in any previous contracts or subcontracts subject to the equal  No  |  |  |
| If you checked "No" to qu                        | estion 1. or 2. above, please provide an explanation:   |  |  |

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Lomanto Land Trust u/t/a 117581   |  | <u> </u>  |
|---|--|---|
| (Print or type name of Disclosing Party)  |  |   |
| By: Sign here)  (Sign here)  (Sign here)  | This instrument is executed by the undersigned Land Trustee nest personally but solely as Trustee in the exercise of the power and authority conferred upon and vested in it as such Trustee it is expressly understood and agreed that all the warranties indemnities, representations, covenants, undertakings and |   |
| (Print or type name of person signing)  |  | agreements nerein made on the part of the Trustee are                                 |
| Trust Officer   |  | personally. No personal liability or personal responsibility is                       |
| (Print or type title of person signing)   | <del></del>  | representation, covenant, undertaking or agreement of the Trustee in this instrument. |
| Signed and sworn to before me on (date) Dec   | c 5, 2016  | _,  |
| Signed and sworn to before me on (date) <u>Dec</u><br>at <u>Cook</u> County, <u>I//insi</u> | <u>د</u> (state).  |   |
| Josephene FHrsp   | Notary Public.   | The foregoing statements are made on the information                                  |
| Commission expires:   |  | and belief and not made as statements of fact.  |
| *****   | Page 12 of 13  |   |

"OFFICIAL SEAL"
JOSEPHINE F. HOSP
Notary Public, State of Illinois
My Commission Expires 07/01/2017

Page 12 of 13

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

|                        | • | arty" or any Spouse or Domestic Partner thereof ted city official or department head?   |
|------------------------|---|---|
| ☐ Yes                  | ⊠ No                                    |   |
| which such person is o | connected; (3) the name and ti          | ele of such person, (2) the name of the legal entity to<br>the of the elected city official or department head to whom<br>precise nature of such familial relationship. |
|                        |   |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1. | Pursuant to Municipal Code Section building code scofflaw or problem Code?   |      | · · · · · · · · · · · · · · · · · · · |  |
|----|--|------|---------------------------------------|--|
|    | Yes  | × No |                                       |  |
| 2. | 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or direct the Applicant identified as a building code scofflaw or problem landlord pursuant to Sc 2-92-416 of the Municipal Code?                      |      |                                       |  |
|    | Yes  | □ No | Not Applicable                        |  |
| 3. | 3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the buildi buildings to which the pertinent code violations apply. |      |                                       |  |
|    |  |      |                                       |  |

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.