

### City of Chicago



O2017-3795

### Office of the City Clerk **Document Tracking Sheet**

**Meeting Date:** 

5/24/2017

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 7-K at 4000-4180 W Diversey Ave and 4029-4153 W George St - App No. 19210

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

# 19210 INTRO DATE: MAY 24,2017,

### ORDINANCE

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** That the Chicago Zoning Ordinance be amended by changing all of the Planned Development Number 1261 symbols and indications as shown on Map 7-K in the area bounded by:

West George Street; a line 286.97 feet west of and parallel to North Pulaski Road; a line 314.64 feet south of and parallel to West George Street; a line 100 feet west of and parallel to North Pulaski Road; a line 323.14 feet south of and parallel to West George Street; North Pulaski Road; West Diversey Avenue; and the Easterly Right of Way Line of the Chicago, Milwaukee, St. Paul & Pacific Railroad Company; a line 673.08 feet northwest of and parallel to the north line of West Diversey Avenue (as measured along the Easterly Right of Way line of the Chicago, Milwaukee, St. Paul & Pacific Railroad Company); the west boundary line of North Kearsarge Avenue,

to those of Planned Development Number 1261, as amended, which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in the Plan of Development herewith attached and made a part thereof and to no others.

**SECTION 2.** This Ordinance shall be in force and effect from and after its passage and due publication.

Address: 4000-4180 West Diversey Avenue / 4029-4153 West George

### PLANNED DEVELOPMENT NO. 1261 PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Planned Development Number \_\_\_\_\_, ("Planned Development") consists of approximately 691,996 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is owned or controlled by 4K Diversey Partners, LLC (the "Applicant").
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Chicago Department of Transportation ("CDOT") on behalf of the Applicant or its successors, assigns or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans (as defined in Statement 4 below).

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Department of Planning and Development ("DPD") and CDOT. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of CDOT.

All work proposed in the public way must be designed and constructed in accordance with CDOT Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by CDOT.

4. This Plan of Development consists of sixteen (17) Statements: a Bulk Regulations Table; an Existing Land Use Map; an Existing Zoning Map; a Planned Development Property Line and Boundary Map; Site Plan; Sub-Area Plan; Landscape Plan; Overall Landscape Plan; Green Roof Plan and Building Elevations (North, South, East and West) prepared by Hirsch Associates LLC and dated \_\_\_\_\_\_\_\_\_, 2017 submitted herein. Full-sized copies of the Site Plan, Landscape Plan and Building Elevations are on file with DPD. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

Applicant: 4K Diversey Partners, LLC

Address: 4000-4180 West Diversey Avenue; 4029-4153 West George

Introduced: May 24, 2017

Plan Commission: TBD

5. Subarea A and B: Residential Dwelling Units above the Ground Floor; Artist Work or Sales Space; Office; High Technology Office; Business Support Services; Outdoor Patio (at grade and rooftop); Communication Service Establishments; Restaurant, General; Food and Beverage Retail Sales; Retail Sales, General; Indoor Special Event including incidental liquor sales; Small and Medium Venues; Banquet or Meeting Halls; and accessory parking.

Subarea C: Business/Trade School; Day Care; Postal Service; Artist Work or Sales Space; Building Maintenance Services; Business Equipment and Sales Service; Business Support Services; Urban Farm - Indoor and/or Rooftop Operation; Communication Service Establishments; Building Material Sales; Office; High Technology Office; Residential Storage Warehouse; Retail Sales, General; Restaurant, General; Food and Beverage Retail Sales; Indoor Vehicle Storage; Artisan and Limited Manufacturing limited to Indoor Storage; Warehousing, Wholesaling and Freight Movement; Wireless Communication Facilities & Co-Located and accessory parking.

Subarea D: Business/Trade School; Building Maintenance Services; Business Equipment and Sales Service; Business Support Services; Urban Farm – Indoor and/or Rooftop Operation; Communication Service Establishments; Building Material Sales; Residential Storage Warehouse; Artisan and Limited Manufacturing limited to Indoor Storage; Restaurant, General; Food and Beverage Retail Sales; Office; High Technology Office; Electronic Data Storage Center; Indoor Vehicle Storage; Warehousing, Wholesaling and Freight Movement; Wireless Communication Facilities & Co-Located and accessory parking.

Subarea E and F: Building Maintenance Services; Business Equipment and Sales Service; Business Support Services; Communication Service Establishments; Building Material Sales; Residential Storage Warehouse; Indoor Vehicle Storage; Artisan and Limited Manufacturing limited to Indoor Storage; Warehousing, Wholesaling and Freight Movement; Wireless Communication Facilities & Co-Located; Office; High Technology Office and accessory parking.

The following uses are prohibited in this Planned Development:

Colleges and Universities; Cultural Exhibits and Libraries, Hospitals; Parks and Recreational Uses; Body Art Services; Tavern; Lodging, Bed and Breakfast, Vacation Rental; Freestanding (Towers) Wireless Communication Facilities; Contractor/Construction Storage Yard; Outdoor Vehicle Storage and Towing; Motor Vehicle Repair Shop; Vehicle Sales and Service; Amusement Arcades and Children's Play Center; Non-accessory parking; Class I - II Recycling Facilities; Repair or Laundry Service (Consumer); Automated Teller Machine Facility; Personal Service; Undertaking; and Medical Service.

- On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of DPD. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the

Applicant:

4K Diversey Partners, LLC

Address:

4000-4180 West Diversey Avenue; 4029-4153 West George

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- definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations Table has been determined using a Net Site Area of 691,996 square feet.
- 9. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by DPD. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by DPD. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to Section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. With respect to Subareas A-D, the Applicant shall exceed ASHRAE 90.1 2004 by at least 14% and provide a green roof of not less than 50% of the net roof area, which is equivalent to an actual total of 74,068 square feet. Some or all of the foregoing green roof requirement may be satisfied by providing one or a combination of the following options:

  (a) vegetative green roof, (b) provide solar panels with an array size equivalent to 25% of the area required for the green roof (i.e., in lieu of 50,000 square feet of green roof, providing 12,500 sf of solar panels) or (c) providing Best Management Practice (BMP) by offsetting the equivalent stormwater value of a green roof either in a cistern, a rain garden, pervious hardscape or other method which will divert the equivalent value achieved by a green roof from the stormwater system. At the time of each Part II review for all or portions of Subareas A-D involving over 50,000 square feet of floor area, the Applicant shall submit a report demonstrating its progress towards the sustainability requirements set forth herein, which may be updated with subsequent phases of development. Subareas E and F shall be exempt from the requirements set forth in this Statement 14.
- 15. The Applicant acknowledges that the Planned Development includes a building commonly known as the Marshall Field & Co. Warehouse Building and identified as potentially significant in the Chicago

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Historic Resources Survey. Pursuant to the Zoning Ordinance, Section 17-8-0911 and Section 13-32-230 of the Municipal Code, the Applicant acknowledges that planned developments should give priority to the adaptive reuse of historic buildings which have been designated as an orange building in the Chicago Historic Resources Survey. Therefore, the applicant agrees, where possible, to retain and preserve the existing character-defining features of the building. The character-defining features are identified as all exterior elevations, including rooflines, that are visible from the public rights-of-way; however, the Applicant may add or modify openings, entries or windows to accommodate tenant uses. In general, existing original features and materials of the character-defining features should be retained and preserved as much as reasonably possible, while any changes should be compatible with the building's current design and, where possible, historic character. Such work to the character-defining features shall be subject to the review and approval of the Department of Planning and Development as a part of the Part II Review.

16. The Applicant acknowledges and agrees that the previous rezoning of the Property from the M1-1 Limited Manufacturing/Business Park District to the C2-2 Motor Vehicle-Related Commercial District then to Residential-Business Planned Development for construction of this Planned Development triggered the requirements of Section 2-45-110 of the Municipal Code (the "2007 Affordable Housing Ordinance"). Any developer of a "residential housing project" within the meaning of the 2007 Affordable Housing Ordinance ("Residential Project") must: (i) develop affordable housing units as part of the Residential Project; (ii) pay a fee in lieu of the development of affordable housing units; or (iii) any combination of (i) and (ii). In accordance with these requirements and the Affordable Housing Profile Form attached hereto as Exhibit [\_\_\_\_], the Applicant has agreed to provide 9 affordable housing units in the Residential Project for households earning up to 60% of the Chicago Primary Metropolitan Statistical Area median income (the "Affordable Units"), or make a cash payment to the Affordable Housing Opportunity Fund in the amount of \$100,000 per unit ("2007 Cash Payment").

The Applicant acknowledges and agrees that the rezoning of the Property from Planned Development No. 1261 to Planned Development No. 1261, as amended, triggers the requirements of Section 2-45-115 of the Municipal Code (the "2015 Affordable Requirements Ordinance" or "ARO"). Any developer of a residential housing project within the meaning of the ARO must: (i) set aside 10% of the housing units in the residential housing project (the Required Units) as affordable units, or with the Commissioner of the Department of Planning and Development's (DPD) approval, provide the Required Units in an approved off-site location; (ii) pay a fee in lieu of the development of the Required Units; or, (iii) any combination of (i) and (ii); provided, however, that residential housing projects with 20 or more units must provide at least 25% of the Required Units on-site or off-site. If the developer elects to provide affordable units off-site, the off-site affordable units must be located within a two-mile radius from the residential housing project and in the same or a different higher income area or downtown district. The Property is located in a higher income area, within the meaning of the ARO, and the proposed amendment would add 41 permitted units. As a result, the Applicant's affordable housing obligation under the 2015 Affordable Requirements Ordinance is 4 affordable units (10% of 41 rounded down), 1 of which are Required Units (25% of 4). Applicant has agreed to satisfy its affordable housing obligation by making a cash payment to the Affordable Housing Opportunity Fund in the amount of \$125,000 per unit (2015 Cash Payment) and providing 1 affordable unit in the rental building, as set forth in the Affordable Housing Profile Form attached hereto as Exhibit . The Applicant agrees that the affordable rental units must be affordable to households earning no more than 60% of the Chicago Primary Metropolitan Statistical Area Median Income (AMI), as updated annually by the City of Chicago.

Applicant: 4K Diversey Partners, LLC

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If the Applicant subsequently reduces (or increases) the number of housing units in the PD, the Applicant shall update and resubmit the Affordable Housing Profile Form to DPD for review and approval; DPD may adjust the number of required Affordable Units without amending the PD. Prior to the issuance of any building permits for any residential building in the PD, including, without limitation, excavation or foundation permits, the Applicant must make the required Cash Payments and execute and record an affordable housing agreement in accordance with Section 2-45-115(L). The terms of the affordable housing agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the affordable housing agreement will be recorded against the PD, or the applicable portion thereof, and will constitute a lien against such property. The Commissioner of DPD may enforce remedies for any breach of this Statement 16, including any breach of any affordable housing agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the PD.

17. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of DPD shall initiate a Zoning Map Amendment to rezone the property to Planned Development No. 1261, as it existed prior to this amendment.

Applicant: 4K Diversey Partners, LLC

Address: 4000-4180 West Diversey Avenue; 4029-4153 West George

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### PLANNED DEVELOPMENT NO. 1261 **BULK REGULATIONS AND DATA TABLE**

Gross Site Area: 751,345 square feet

Public R-O-W: 59,349 square feet

691,996 sq. ft. Net Site Area - Total:

Maximum Floor Area Ratio 2.2

Maximum Floor Area (sf) Total 1,522,391 sq. ft.

Maximum No. Dwelling Units: 125

Maximum Site Coverage: Per Site Plan

Minimum No. of Bike Parking: 50

Minimum Number of Off-Street Parking 623 spaces (See Note 1)

**Spaces** 

Minimum Number of Off-Street As required by Zoning Ordinance

Loading Berths

Maximum Building Height (feet) Existing Height (96'-7")

Note 1: The parking facilities may be used on a shared basis to satisfy the accessory parking requirements for multiple uses within this planned development.

The following uses shall be subject to the following requirements:

Residential Dwelling Units 0.70 spaces per unit

Office; High Technology Office: 1.5 spaces per 1,000 square feet

If a Food & Beverage Retail Sales use is established in Subarea A or B, then the east surface parking lot along North Pulaski Road shall be installed.

Applicant: Address:

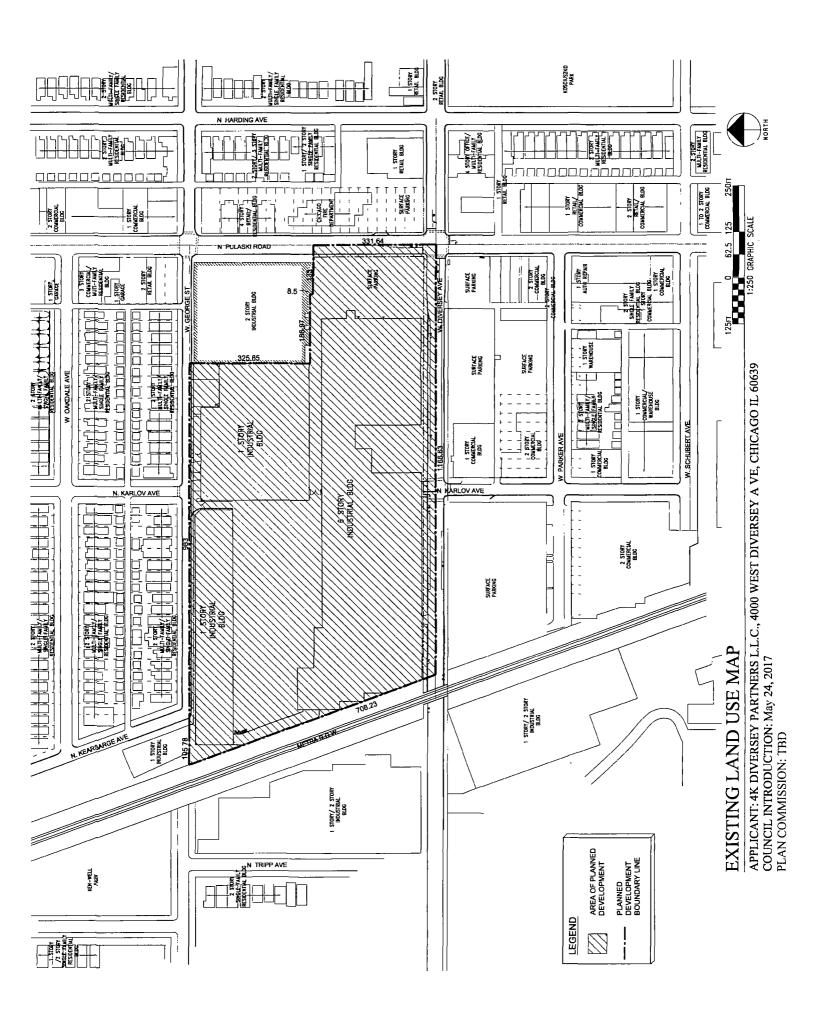
4K Diversey Partners, LLC

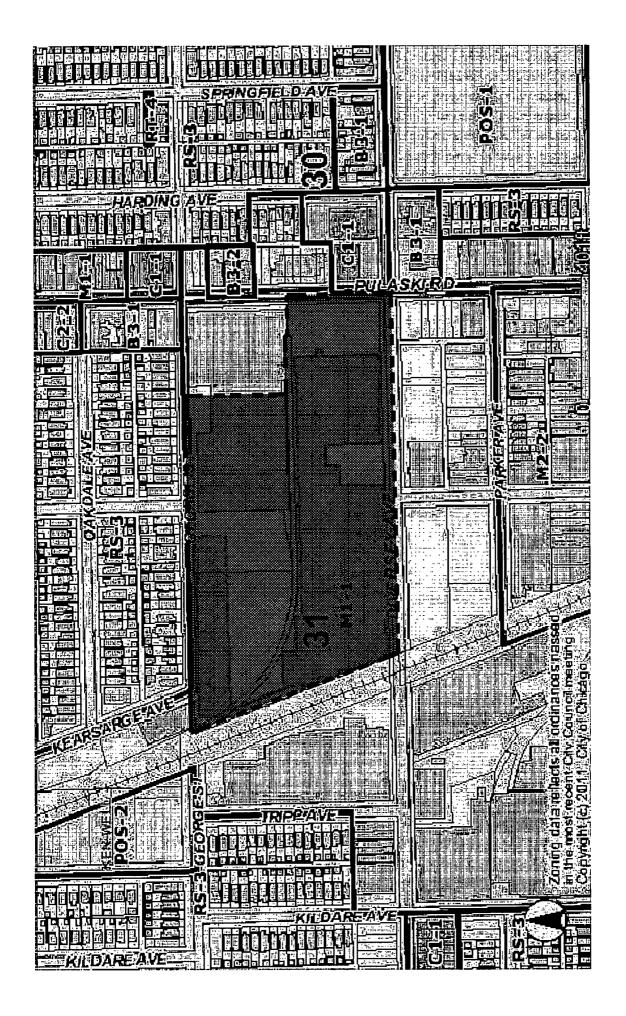
4000-4180 West Diversey Avenue, 4029-4153 West George

Introduced:

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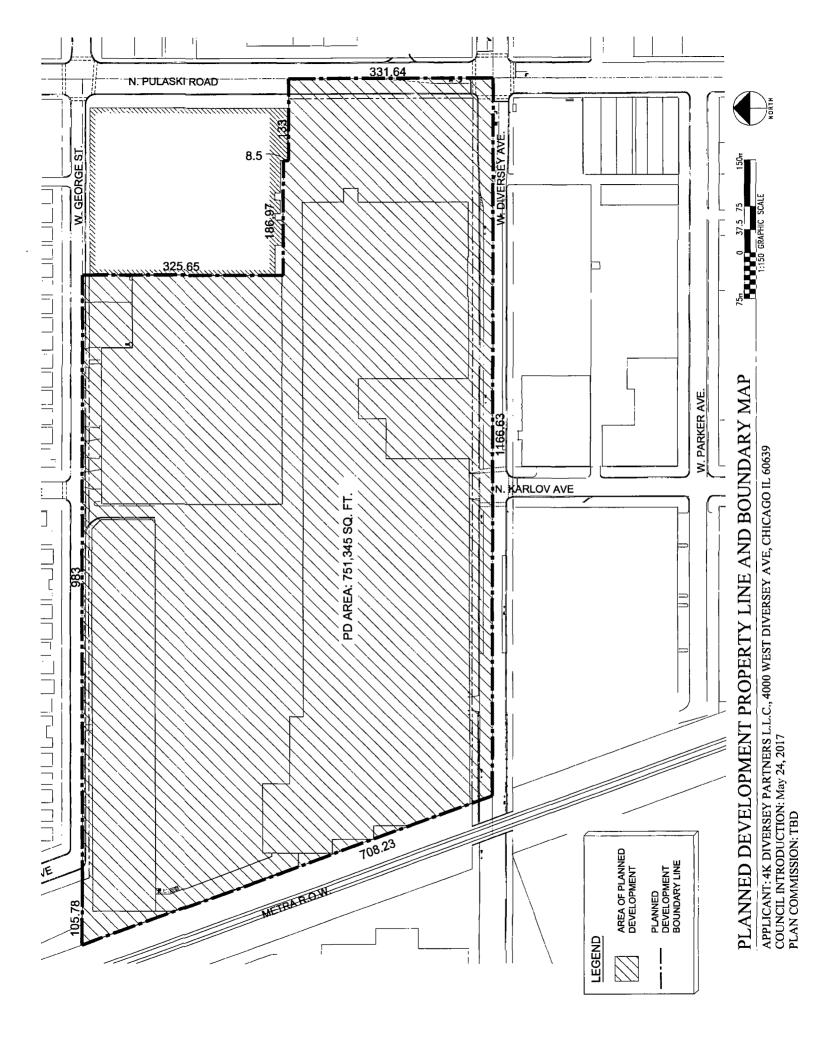
Plan Commission

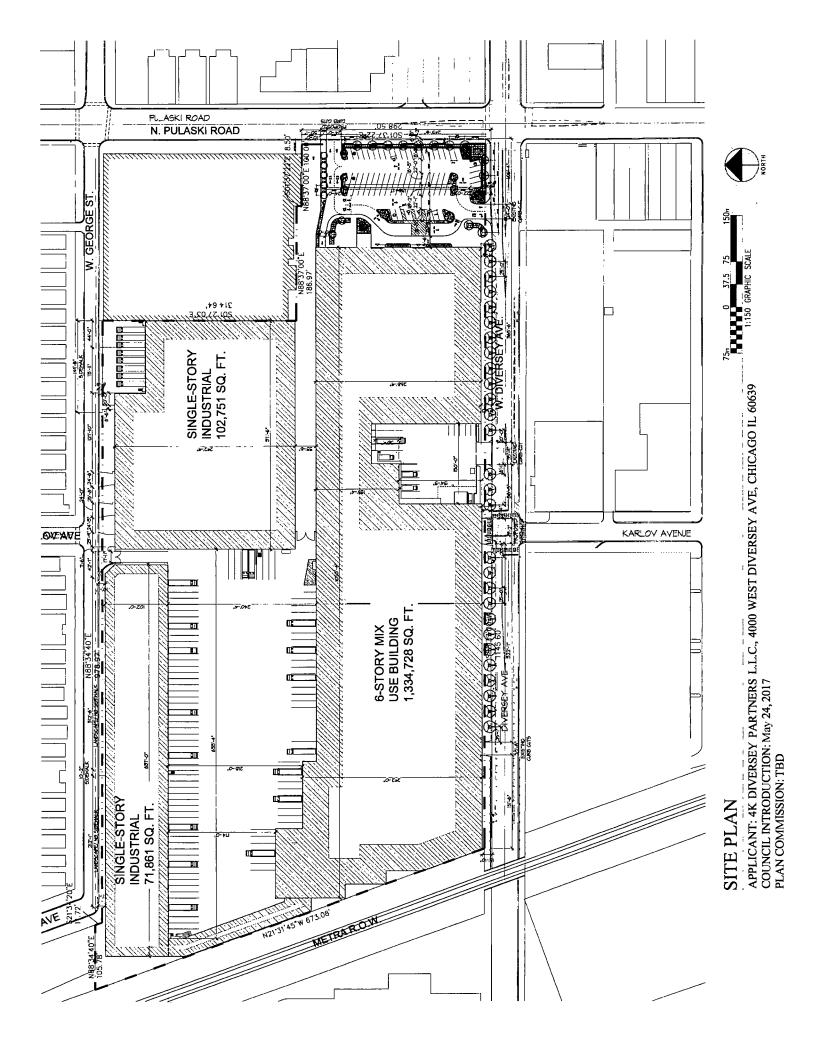


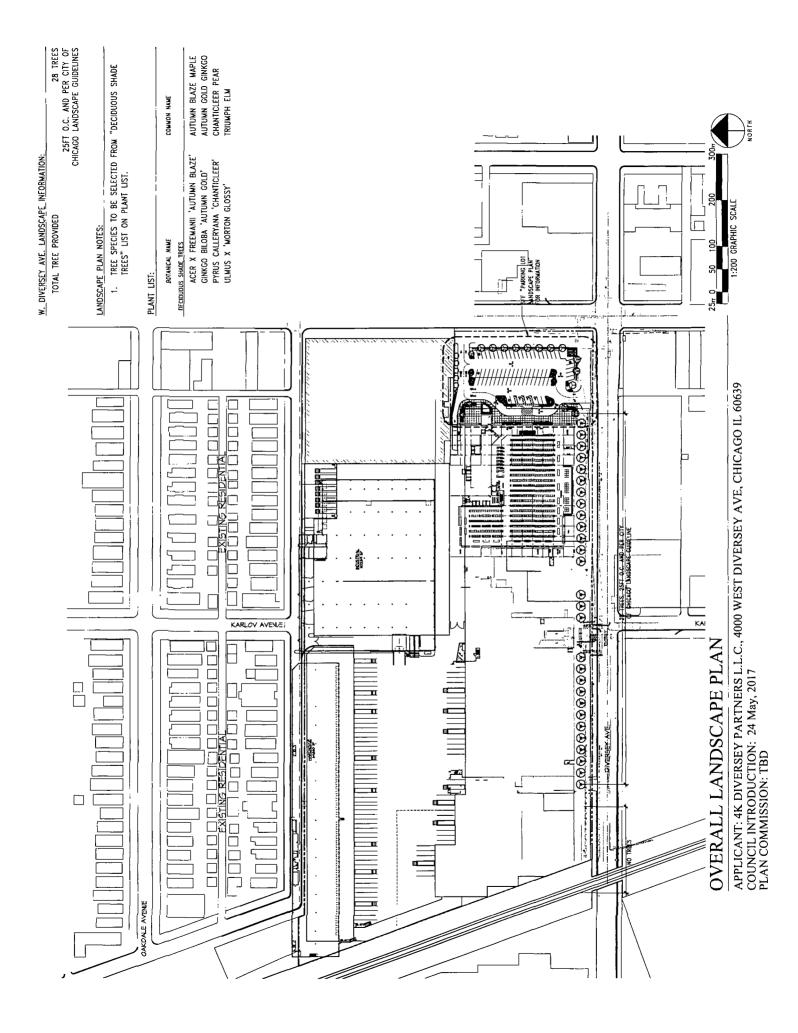


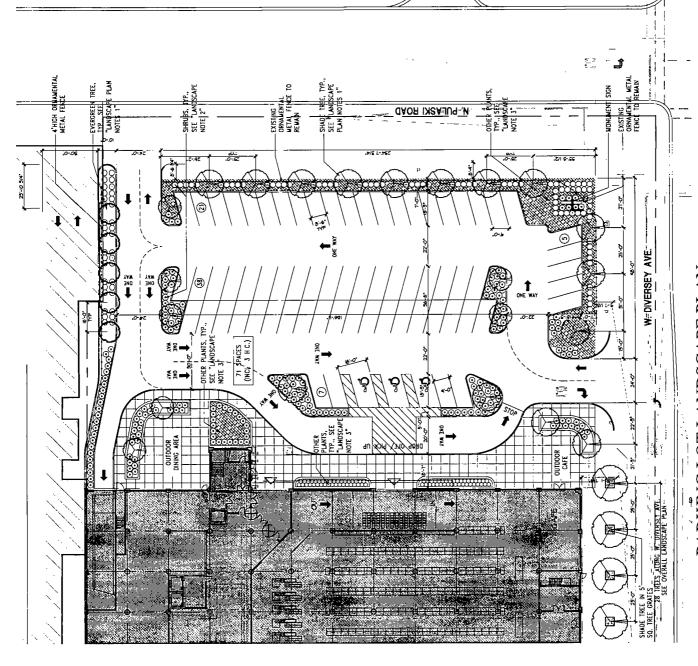
EXISTING ZONING MAP (400' in each direction)

APPLICANT: 4K DIVERSEY PARTNERS L.L.C., 4000 WEST DIVERSEY AVE, CHICAGO IL 60639 COUNCIL INTRODUCTION: May 24, 2017
PLAN COMMISSION: TBD









PARKING LOT LANDSCAPE PLAN AREA CALCULATION:

34,129 SQ.FT. 3,413 SQ.FT. 3,429 SQ.FT. 28 TREES 28 TREES INTERIOR LANDSCAPE AREA REG'D (10% OF V.U.A.): TOTAL INTERIOR LANDSCAPE AREA PROVIDED: TOTAL TREE REQUIRED (1 PER 125 SQ.FT.) TOTAL TREE PROVIDED VEHICULAR USE AREA:

## LANDSCAPE PLAN NOTES:

TREE SPECIES TO BE SELECTED FROM "DECIDUOUS SHADE TREES" LIST ON PLANT LIST.
SHRUB SPECIES TO BE SELECTED FROM "DECIDUOUS AND EVERGERE SHRUBS" LIST ON PLANT LIST.
OTHER PLANTS TO BE SELECTED FROM "PERENNIALS AND GROUNDCOVERS" LIST ON PLANT LIST. <u>.</u>:

### PLANT LIST:

COMNON NAME	AUTUMN BLAZE MAPLE AUTUMN GOLD GINKGO CHANTICLEER PEAR TRIUMPH ELM
BOTANICAL NAME SCIDITUIS SHADE TREES	ACER X FREEMANII 'AUTUMN BLAZE' GINKGO BILOBA 'AUTUMN GOLD' PYRUS CALLERYANA 'CHANTICLEER' ULMUS X 'MORTON GLOSSY'

### EVERGREEN TREES

	EMERALD GREEN ARBORVITAE	
INCLES	HUJA EMERALD GREEN	
ONLLIN AND	=	

## DECIDIOUS AND EVERGREEN SHRUBS

SEA GREEN JUNIPER	GRO-LOW SUMAC	KNOCKOUT ROSE	DWARF KOREAN LILAC
JUNIPERUS CHINENSIS 'SEA GREEN'	RHUS AROMATICA 'GRO-LOW'	ROSA 'RADRAZZ'	SYRINGA MEYER! 'PALIBIN'

I.Ac

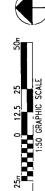
# PERENNIALS AND GROUNDCOVERS

			H
	RNDALE'		BLUE HI
ECHINACEA PADILLA	HEDERA HELIX 'THORNDALE'	NEPETA X FAASSENI	SALVIA NEMEROSA 'BLUE HILL'
INACEA	ERA HE	ETA X	VIA NEV
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FAASSEN'S CATMINT BLUE HILL SALVIA

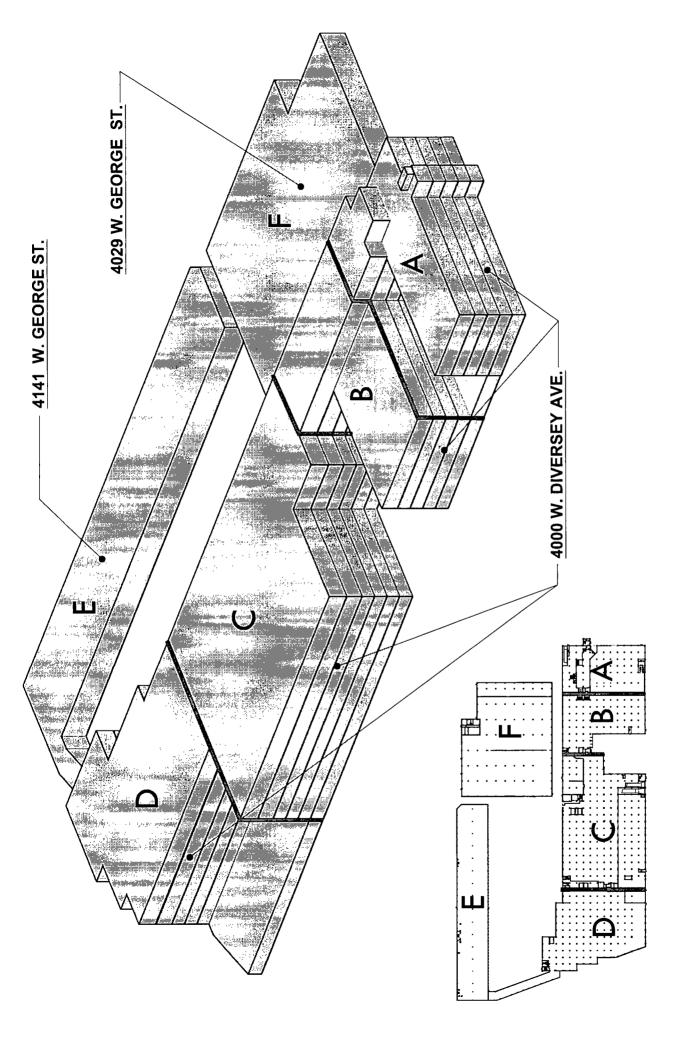
THORNDALE ENGLISH IVY

PINK CONEFLOWER



PARKING LOT LANDSCAPE PLAN

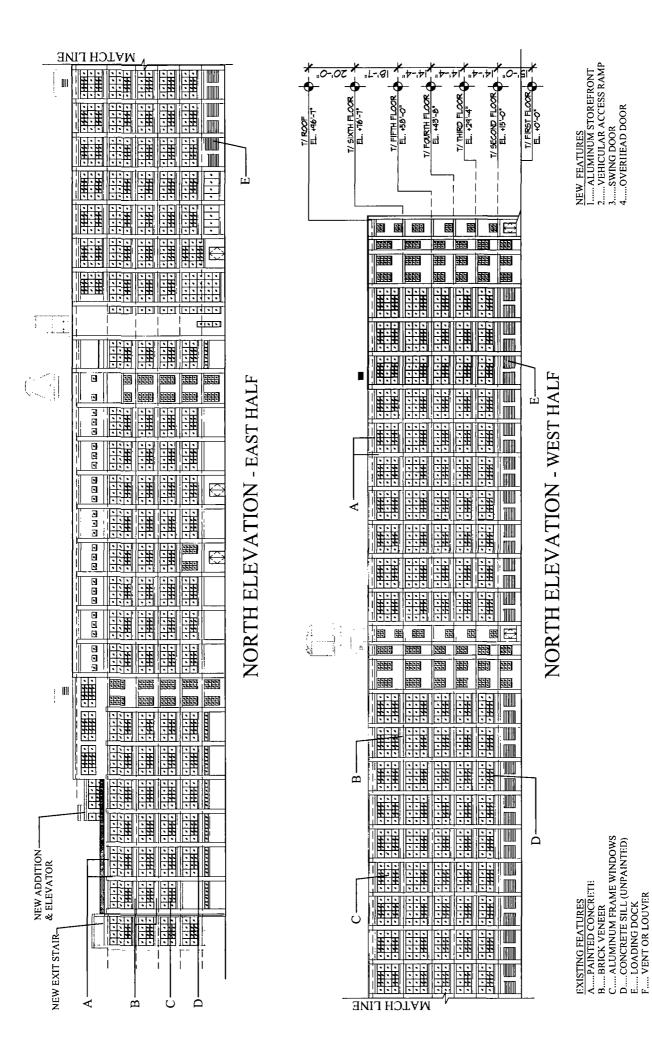
APPLICANT: 4K DIVERSEY PARTNERS L.L.C., 4000 WEST DIVERSEY AVE, CHICAGO IL 60639 COUNCIL INTRODUCTION: 24 May, 2017
PLAN COMMISSION: TBD





APPLICANT: 4K DIVERSEY PARTNERS L.L.C., 4000 WEST DIVERSEY AVE, CHICAGO IL 60639 COUNCIL INTRODUCTION: 24 May, 2017
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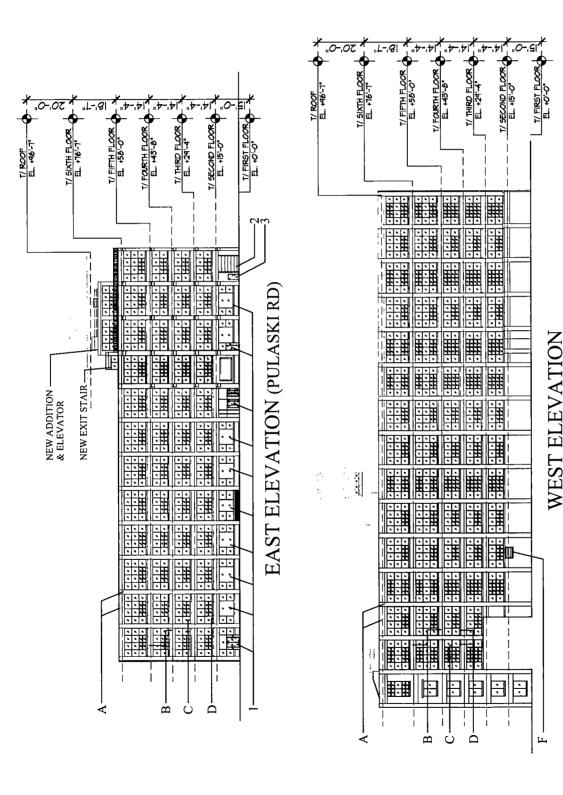




# **BUILDING ELEVATIONS**

APPLICANT: 4K DIVERSEY PARTNERS L.L.C., 4000 WEST DIVERSEY AVE, CHICAGO IL 60639 COUNCIL INTRODUCTION: 24 May, 2017 PLAN COMMISSION: TBD





NEW FEATURES

|-----ALUMINUM STOREFRONT
2.....VEHICULAR ACCESS RAMP
3.....SWING DOOR
4.....OVFRHEAD DOOR

# **BUILDING ELEVATIONS**

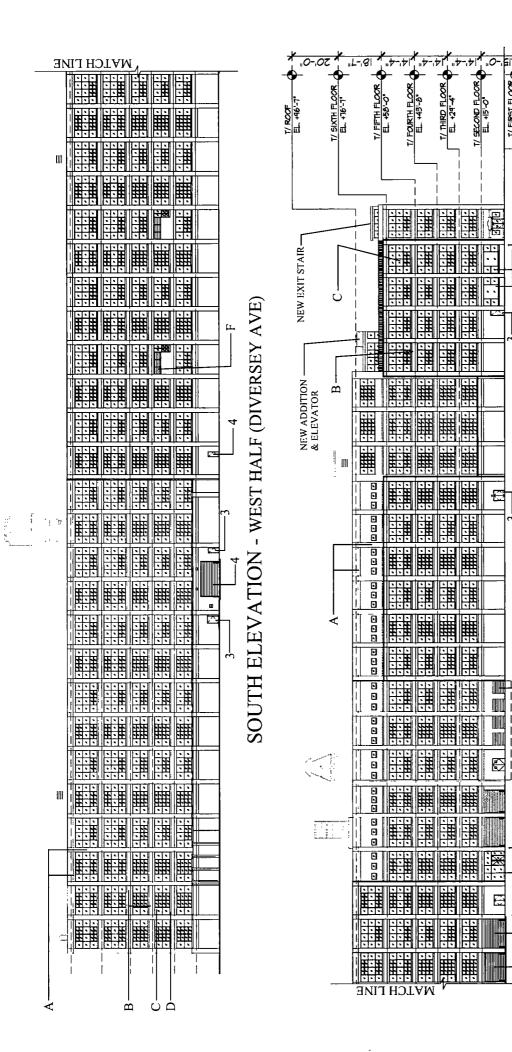
A....PAINTED CONCRETE
B....BRICK VENEER
C.... ALUMINUM FRAME WINDOWS
D....CONCRETI! SILL (UNPAINTED)
E.... LOADING DOCK
F.... VENT OR LOUVER

**EXISTING FEATURES** 

APPLICANT: 4K DIVERSEY PARTNERS L.L.C., 4000 WEST DIVERSEY AVE, CHICAGO IL 60639 COUNCIL INTRODUCTION: 24 May, 2017

PLAN COMMISSION: TBD





# SOUTH ELEVATION - EAST HALF (DIVERSEY AVE)

– E

NEW FEATURES

|.....ALUMINUM STOREFRONT
2.....VEHICULAR ACCESS RAMP
3.....SWING DOOR
4....OVERHEAD DOOR

1/ FIRST FLOOR

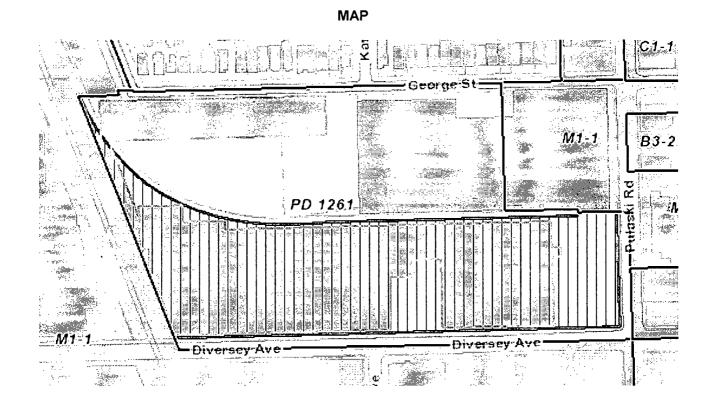
EXISTING FEATURES
A.....PAINTED CONCRETE
B.....BRICK VENEER

C.....ALUMINUM FRAME WINDOWS D....CONCRETE SILL (UNPAINTED) E.... LOADING DOCK F.... VENT OR LOUVER

**BUILDING ELEVATIONS** 

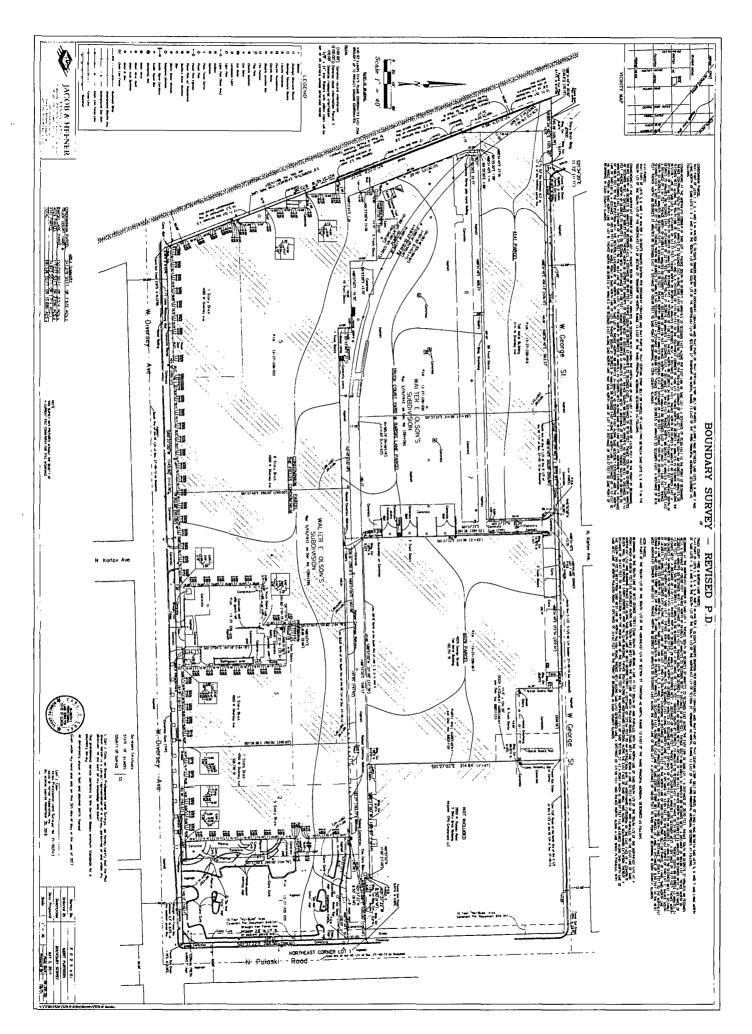






13-27-228-017-0000 thru 13-27-228-021-0000 and 13-27-228-022-1001 thru 13-27-228-022-1005

**PINS** 



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DLA Piper LLP (US) 444 West Lake Street, Suite 900 Chicago, Illinois 60606 www.dlapiper.com

Richard F. Klawiter richard.klawiter@dlapiper.com
T 312 368.7243
F 312 251.2856

May 18, 2017

The Honorable Daniel Solis, Chairman City of Chicago Committee on Zoning Room 304, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Martin Cabrera, Jr., Chairman City of Chicago Plan Commission Room 1000, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Re: Application for Business Planned Development

4000-4180 West Diversey Avenue / 4029-4153 West George, Chicago, Illinois

### Dear Chairman Solis:

The undersigned, Richard F. Klawiter, an attorney with the law firm of DLA Piper LLP (US), which firm represents 4K Diversey Partners, LLC, the applicant for an amendment to the Chicago Zoning Ordinance and proposed planned development amendment, certifies that he has complied with the requirements for Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet of each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filling the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; a statement that the applicant intends to file the application for change in zoning on approximately May 18, 2017, and a source for additional information on the application.

The undersigned certifies that he has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Very truly yours,

Richard F. Klawiter

DLA Piper LLP (US

Subscribed and sworn to before me This 18th day of 14 au \_\_\_\_, 2017.

Notary Public

EMILY LIBS Official Seal

Notary Public - State of Illinois My Commission Expires Dec 21, 2019



DLA Piper LLP (US) 444 West Lake Street, Suite 900 Chicago, Illinois 60606 www.dlapiper.com

Richard F Klawiter richard.klawiter@dlapiper.com T 312 368 7243 F 312.630.7337

May 18, 2017

### FIRST CLASS MAIL

Dear Sir or Madam:

As required by Section 17-13-0107 of the Municipal Code of the City of Chicago, please be informed that on or about May 18, 2017, the undersigned, on behalf of 4K Diversey Partners, LLC (the "Applicant"), intends to file an application to rezone the property located at 4000-4180 West Diversey Avenue / 4029-4153 West George, Chicago, IL, from Planned Development No. 1261 to Planned Development No. 1261, as amended. A map of the development site is printed on the reverse side of this letter.

The development site is currently utilized for commercial purposes. The Applicant seeks approval of an amendment to Planned Development No. 1261 to modify the number and type of residential uses permitted in the planned development from 84 business live/work units to 125 residential units.

The proposed change of zoning does *not* apply to your property. You are receiving this notice as required by the Chicago Municipal Code because the assessor's tax records indicate that you own property within 250 feet of the proposed Planned Development.

I am an authorized representative of the Applicant and my address is 444 West Lake Street, Suite 900, Chicago, IL 60606. The Applicant owns a portion of the building located at 4000-4180 West Diversey Avenue. The remainder of the building located at 4000-4180 West Diversey Avenue is controlled by The Fields Condominium Association. The property located at 4029-4153 West George is owned by 4K Industrial Partners, LLC. The Applicant's, The Fields Condominium Association's and 4K Industrial Partners, LLC's address is 4000 West Diversey Avenue, Chicago, IL, 60639.

Please contact me at 312-368-7243 with questions or to obtain additional information.

Very truly yours,

DLA Piper LLP (US)

Richard Klawiter

### **AUTHORIZATION**

The undersigned, **4K INDUSTRIAL PARTNERS, LLC**, an Illinois limited liability company, being the owner of the property commonly known as 4029-4153 West George in Chicago, Illinois, which is located in Residential-Business Planned Development No. 1261 ("PD 1261"), hereby authorizes **4K DIVERSEY PARTNERS, LLC**, an Illinois limited liability company, and any affiliate or designee thereof and its attorneys, DLA Piper LLP (US), to file one or more applications for zoning approvals and related permits and approvals with the City of Chicago relating to an amendment to PD 1261.

IN WITNESS WHEREOF, the undersigned has executed this Authorization as of this 16th day of May, 2017

Name: Aaron Paris

Its: Manager

### **AUTHORIZATION**

The undersigned, THE FIELDS CONDOMINIUM ASSOCIATION, an Illinois not-for-profit corporation, being the condominium association for a portion of the property commonly known as 4000-4180 West Diversey Avenue in Chicago, Illinois, which is located in Residential-Business Planned Development No. 1261 ("PD 1261"), hereby authorizes 4K DIVERSEY PARTNERS, LLC, an Illinois limited liability company, and any affiliate or designee thereof and its attorneys, DLA Piper LLP (US), to file one or more applications for zoning approvals and related permits and approvals with the City of Chicago relating to an amendment to PD 1261.

IN WITNESS WHEREOF, the undersigned has executed this Authorization as of this 16th day of May, 2017.

Name Aaron Paris

Its: President

# 19210 INTRO. DATE: MAY 24, 2017

### APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

CITY OF CHICAGO

1.	ADDRESS of the property Applicant is seeking to rezone:
	4000-4180 West Diversey Avenue / 4029-4153 West George, Chicago, Illinois
2.	Ward Number that property is located in: 31st Ward
3.	APPLICANT 4K Diversey Partners, LLC
	ADDRESS 4000 West Diversey Avenue
	CITY Chicago STATE IL ZIP CODE 60639
	PHONE
4.	Is the applicant the owner of the property? YES X NO X  If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the applicant to proceed.
	OWNER The Applicant owns a portion of the building located at 4000-4180 West Diversey
	Avenue. The remainder of the building located at 4000-4180 West Diversey Avenue is controlled by
	The Fields Condominium Association. The property located at 4029-4153 West George is owned by
Ç	4K Industrial Partners, LLC. See enclosed Owner's Authorizations.
	ADDRESS Same as above.
	CITYSTATEZIP CODE
	PHONEEMAIL
	CONTACT PERSON
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:
	ATTORNEY Rich Klawiter & Katie Jahnke Dale - DLA Piper LLP (US)
	ADDRESS 444 West Lake Street, Suite 900
	CITY Chicago STATE IL ZIP CODE 60606
	PHONE (312) 368-7243/ -2153 FAX (312) 251-2856
	EMAIL richard klawiter@dlaniner.com / katie dale@dlaniner.com

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements:
	See attached Economic Disclosure Statements
7.	On what date did the owner acquire legal title to the subject property? <u>January 2014</u>
8.	Has the present owner previously rezoned this property? If yes, when? Yes, 2014
9.	Present Zoning District Planned Development No. 1261
	Proposed Zoning District Planned Development No. 1261, as amended
10.	Lot size in square feet (or dimensions)691,996 square feet
11.	Current Use of the Property Commercial
12.	Reason for rezoning the property Amendment to an existing Planned Development
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)
	The Applicant seeks approval of an amendment to Planned Development No. 1261 to modify the number and type of residential uses permitted in the planned development from 84 business live/work units to 125 residential units.
14.	The Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?
	YESX NO

### COUNTY OF COOK STATE OF ILLINOIS

Aaron Paris, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

Signature of Applicant

Subscribed and Sworn to before me this

16th day of May, 2017.

ILLIANA SILVA
Official Seal
Notary Public - State of Illinois
My Commission Expires Aug 12, 2019

For Office Use Only

Date of Introduction:

File Number:

Ward:

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitti	ng this EDS. Include d/b/a/ if applicable:
4K Diversey Partners, LLC	
Check ONE of the following three boxes:	
Applicant in which the Disclosing Party h OR	g this EDS is:  ect interest in the Applicant. State the legal name of the olds an interest:  ee Section II.B.1.) State the legal name of the entity in
which the Disclosing Party holds a right of o	
B. Business address of the Disclosing Party:	4000 West Diversey Avenue
	Chicago, Illinois 60639
C. Telephone: 773-202-6300 Fax:	Email: pfishbein@meritre.com
D. Name of contact person: Paul Fishbein	· · · · · · · · · · · · · · · · · · ·
	nave one
F. Brief description of contract, transaction or owhich this EDS pertains. (Include project numbers)	other undertaking (referred to below as the "Matter") to per and location of property, if applicable):
Planned Development amendment for property located at 40	00-4180 West Diversey Avenue; 4029-4153 West George
G. Which City agency or department is requesti	ing this EDS? Department of Planning and Development
If the Matter is a contract being handled by the complete the following:	he City's Department of Procurement Services, please
Specification #	and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [X] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes []No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [ ] No [x] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Title

Member

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Aaron Paris interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the	
Paul Fishbein	4000 West Diversey Avenue, Chicago, Illinois	Disclosing Party 33.33%	
Aaron Paris	4000 West Diversey Avenue, Chicago, Illinois	33.33%	
Lou Silver	4000 West Diversey Avenue, Chicago, Illinois	33.33%	

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	[ <sup>k</sup> ] No			
If yes, please iden relationship(s):	tify below the name(s)	of such City elected	official(s) and describe	such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate where the contract of the contr		isiness Idress	Relationship to Disc (subcontractor, attor, lobbyist, etc.)	•	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is
DLA Piper LLP (US)	203 North La	aSalle, Suite	e 1900 Chicago, IL 60601	Attorney	not an acceptable response. \$10,000 (est)
Hirsch Associates LLC	225 W Hubb	ard St, Chic	eago, IL 60654	Architect	\$10,000 (est)
(Add sheets if nece	ssary)				
[] Check here if the	e Disclosin	g Party h	as not retained, nor exp	ects to retain	n, any such persons or entities.
SECTION V CI	ERTIFICA	TIONS			
A. COURT-ORDE	RED CHII	LD SUPP	ORT COMPLIANCE		
<del>-</del>					s entities that contract with oughout the contract's term.
	_		cly owns 10% or more cons by any Illinois cour		sing Party been declared in nt jurisdiction?
[] Yes	[X] No		o person directly or inc sclosing Party.	lirectly owns	s 10% or more of the
If "Yes," has the pe is the person in con				ent for paym	ent of all support owed and
[] Yes	[ ] No				
D FUDTUED CE					

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furth				
Certifications), the Disclosing Party must explain below:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

·	" the word "None," or no response a nmed that the Disclosing Party certif	ppears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	ION REGARDING INTEREST IN	CITY BUSINESS
Any words or term meanings when us		of the Municipal Code have the same
	financial interest in his or her own i	Iunicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you ch Item D.1., proceed		o Items D.2. and D.3. If you checked "No" to
elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial inter- or entity in the purchase of any propo- ments, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	avolve a City Property Sale?	
[]Yes	[ ] No	
•	ked "Yes" to Item D.1., provide the yees having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.		
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.		
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:		
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS		
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.		
A. CERTIFICATION REGARDING LOBBYING		
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):		
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)		
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any		

comply with these disclosure requirements may make any contract entered into with the City in

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

	ubmit the following information with their bids or in writing at the outset of
Is the Disclosing P	arty the Applicant?
[] Yes	[ ] No
If "Yes," answer th	ne three questions below:
	eveloped and do you have on file affirmative action programs pursuant to applicabl? (See 41 CFR Part 60-2.)
2. Have you fi Contract Complian	led with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements?  [] No
3. Have you pa	articipated in any previous contracts or subcontracts subject to the clause?
[]Yes	[ ] No
If you checked "No	o" to question 1. or 2. above, please provide an explanation:

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

4K Diversey Partners, LLC		
(Print or type name of Disclosing Party)		
By: Nat Fait		
(Sign here)		
Paul Fist, beni		
(Print or type name of person signing)		
history		
(Print or type title of person signing)		
Signed and sworn to before me on (date) at Cook County, Illinois  Muana Silva		د.
Muana Dewa	Notary Public.	ILLIANA SILVA
Commission expires: 8-12-19	·	Official Seal Notary Public - State of Illinois
	Page 12 of 13	My Commission Expires Aug 12, 2019

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is connec	cted; (3) the name and title of th	e of such person, (2) the name of the legal entity to which the elected city official or department head to whom such a nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	building code scofflaw or problem Code?	, 11	
	[ ] Yes	[X] No	
2.	If the Applicant is a legal entity pub the Applicant identified as a buildin 2-92-416 of the Municipal Code?	•	<del>-</del>
	[ ] Yes	[ ] No	[x] Not Applicable
3.	If yes to (1) or (2) above, please ide identified as a building code scoffla buildings to which the pertinent code	w or problem landlor	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitt	ing this EDS. Include d/b/a/ if applicable:	
4K Industrial Partners, LLC		
Check ONE of the following three boxes:		
Indicate whether the Disclosing Party submittin  1. [] the Applicant [X] Property Owner  OR	ng this EDS is:	
	ect interest in the Applicant. State the legal name of the holds an interest:	
	eee Section II.B.1.) State the legal name of the entity in control:	
B. Business address of the Disclosing Party:	4000 West Diversey Avenue	
	Chicago, Illinois 60639	
•		
C. Telephone: 773-202-6300 Fax:	Email: pfishbein@meritre.com	
D. Name of contact person: Paul Fishbein		
E. Federal Employer Identification No. (if you	have one):	
F. Brief description of contract, transaction or owhich this EDS pertains. (Include project num	other undertaking (referred to below as the "Matter") to ber and location of property, if applicable):	
Planned Development amendment for property located at 40	000-4180 West Diversey Avenue; 4029-4153 West George	
G. Which City agency or department is request	ing this EDS? Department of Planning and Development	
If the Matter is a contract being handled by t complete the following:	he City's Department of Procurement Services, please	
Specification #	and Contract #	

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

#### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	rty:
[] Person	[*] Limited liability company
[ ] Publicly registered business corporation	[] Limited liability partnership
[ ] Privately held business corporation	[] Joint venture
[ ] Sole proprietorship	[ ] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a $501(c)(3)$ )?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign co	ountry) of incorporation or organization, if applicable:
	, <del></del>
Illinois	
_	tate of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign ent	.ity?
[] Yes [] No	[X] N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
1 Ligt holow the full names and titles of al	Il avecuative officers and all dimesters of the antity
	Il executive officers and all directors of the entity. st below all members, if any, which are legal entities. If
	s." For trusts, estates or other similar entities, list below
the legal titleholder(s).	i. Tor trusts, estates of other similar entities, fist below
	partnership, limited liability company, limited liability
	the and title of each general partner, managing member,
- · · · · · · · · · · · · · · · · · · ·	crols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must sub	
·	
Name	Title
Aaron Paris	Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the	
Paul Fishbein	4000 West Diversey Avenue, Chicago, Illinois	Disclosing Party 33.33%	
Aaron Paris	4000 West Diversey Avenue, Chicago, Illinois	33.33%	
Lou Silver	4000 West Diversey Avenue, Chicago, Illinois	33.33%	

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	[X] No		
If yes, please iden relationship(s):	tify below the name(s) of s	such City elected official(s) and describe such	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	er Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	-y)		
[X] Check here if the D	isclosing Party ha	as not retained, nor expects to retain	, any such persons or entities.
SECTION V CERT	TIFICATIONS		
A. COURT-ORDERE	D CHILD SUPP	ORT COMPLIANCE	
<del>-</del>		415, substantial owners of business three third support obligations three	
· · · · · · · · · · · · · · · · · · ·	-	ly owns 10% or more of the Disclos ns by any Illinois court of competer	•
[] Yes [X]	= =	o person directly or indirectly owns closing Party.	10% or more of the
If "Yes," has the perso is the person in compli		ourt-approved agreement for paymereement?	ent of all support owed and
[] Yes []	No		
B. FURTHER CERTI	FICATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further				
Certifications), the Disclosing Party must explain below:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

		<del></del>
	e word "None," or no response a d that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATION	N REGARDING INTEREST IN	CITY BUSINESS
Any words or terms to meanings when used	<del>-</del>	of the Municipal Code have the same
		Municipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you check Item D.1., proceed to	<del>-</del>	to Items D.2. and D.3. If you checked "No" to
elected official or em any other person or en for taxes or assessme "City Property Sale")	ployee shall have a financial into ntity in the purchase of any prop nts, or (iii) is sold by virtue of le	ve bidding, or otherwise permitted, no City erest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain powering of this Part D.
Does the Matter invo	lve a City Property Sale?	
[] Yes	[ ] No	
	"Yes" to Item D.1., provide the having such interest and identi	e names and business addresses of the City ify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

subcontractors to s negotiations.	ubmit the following information with their bids or in writing at the outset of
Is the Disclosing P	arty the Applicant?
[] Yes	[ ] No
If "Yes," answer th	te three questions below:
	eveloped and do you have on file affirmative action programs pursuant to applicabl? (See 41 CFR Part 60-2.)
[] Yes	[ ] No
Contract Complian	led with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due e filing requirements?  [] No
3. Have you pa	articipated in any previous contracts or subcontracts subject to the clause?
[] Yes	[] No
If you checked "No	o" to question 1. or 2. above, please provide an explanation:

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, not permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

41/ Industrial Designate III C

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

4K Industrial Partners, LLC		
Print or type name of Disclosing Party)		
By: Jad Fall-		
(Sign here)		
Paul Fishbern		
Print or type name of person signing)	•	
Ajra, ber		
Print or type title of person signing)		
Signed and sworn to before me on (date)	(state).	
Dirana Silva	Notary Public.	
Commission expires: 8-12-19	·	ILLIANA SILVA Official Seal
	Page 12 of 13	Notary Public - State of Illinois My Commission Expires Aug 12, 2019
	•	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[v] No

[ ] Yes

[ ] 100	[X] 110	
such person is connect	ted; (3) the name and title of t	le of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	<ol> <li>Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Munic Code?</li> </ol>			
	[ ] Yes	[x] No		
2.	2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or direct the Applicant identified as a building code scofflaw or problem landlord pursuant to S 2-92-416 of the Municipal Code?			
	[ ] Yes	[ ] No	[x ] Not Applicable	
3.	If yes to (1) or (2) above, please ic identified as a building code scoff buildings to which the pertinent co	law or problem landlore	of the person or legal entity d and the address of the building or	
			· .	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.