

# City of Chicago



O2017-3803

# Office of the City Clerk Document Tracking Sheet

Meeting Date:

5/24/2017

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 4-G at 1026 W Cullerton

Ave - App No. 19217T1

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

# 19217 TI INTRO. DATE: MAY 24, 2017

### **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the RT-4 Residential Two-Flat, Townhouse and Multi-Unit District symbols and indications as shown on Map No. 4-G in the area bounded by:

the public alley next north of West Cullerton Street; a line 125.00 feet east of South Carpenter Street; West Cullerton Street; and a line 100.00 feet east of South Carpenter Street

to those of a B2-3 Neighborhood Mixed-Use District.

SECTION 2. This Ordinance shall be in force and effect from and after its passage and due publication.

Common Address: 1026 West Cullerton Street

### **NARRATIVE**

### 1026 West Cullerton Street

The subject property contains 2,500 square feet and is vacant. The Applicant proposes to rezoned the property to a B2-3 district and construct a three-story (38.75 feet in height) building containing three residential dwelling units, three parking spaces garage with roof deck and no loading berth.

FAR:

1.58

FLOOR AREA:

3,950 square feet

Residential Dwelling Units:

MLA:

833.34 square feet

Height:

38.75 feet

Automobile Parking:

Three

Loading:

None

Setbacks:

Front (Cullerton Street):

4.0 feet

West Side:

3.0 feet

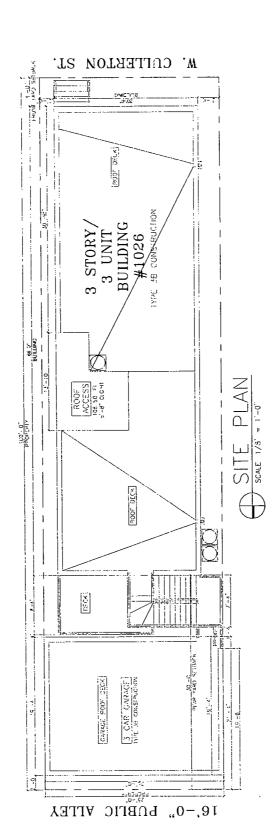
East Side:

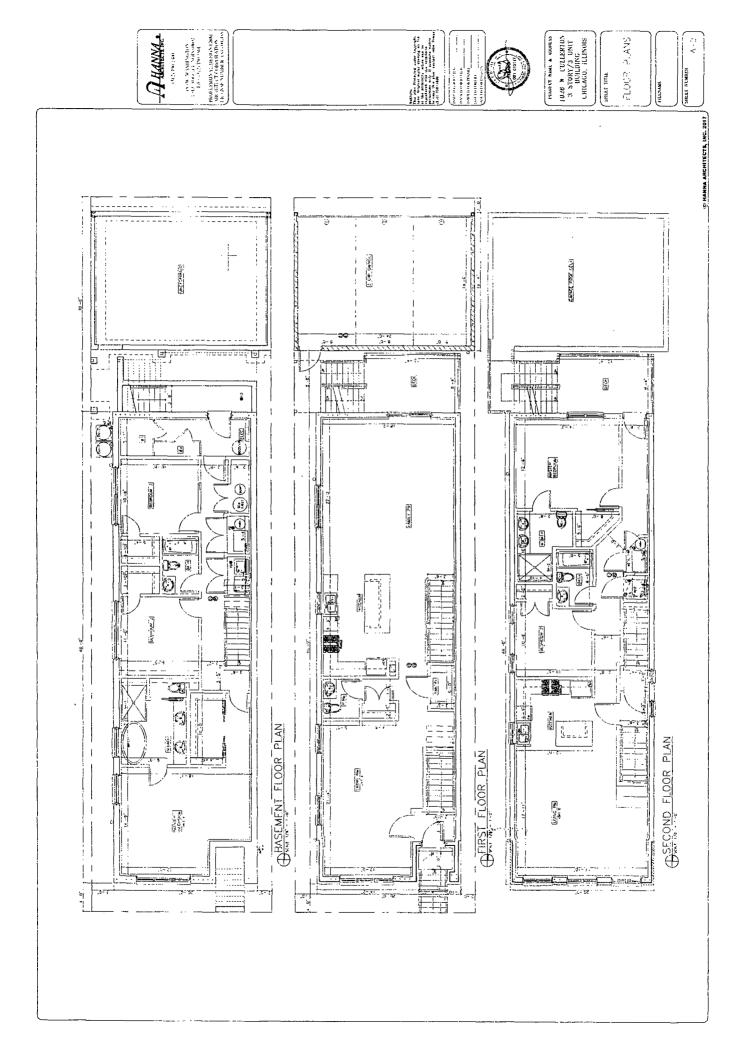
2.0 feet

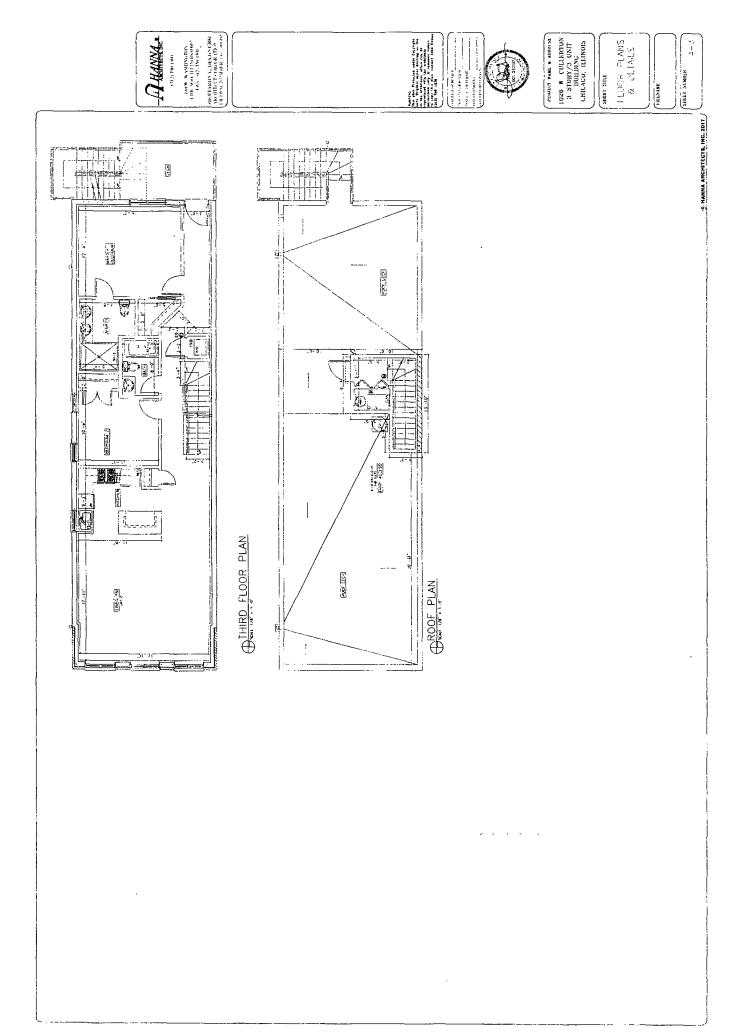
Rear (Alley):

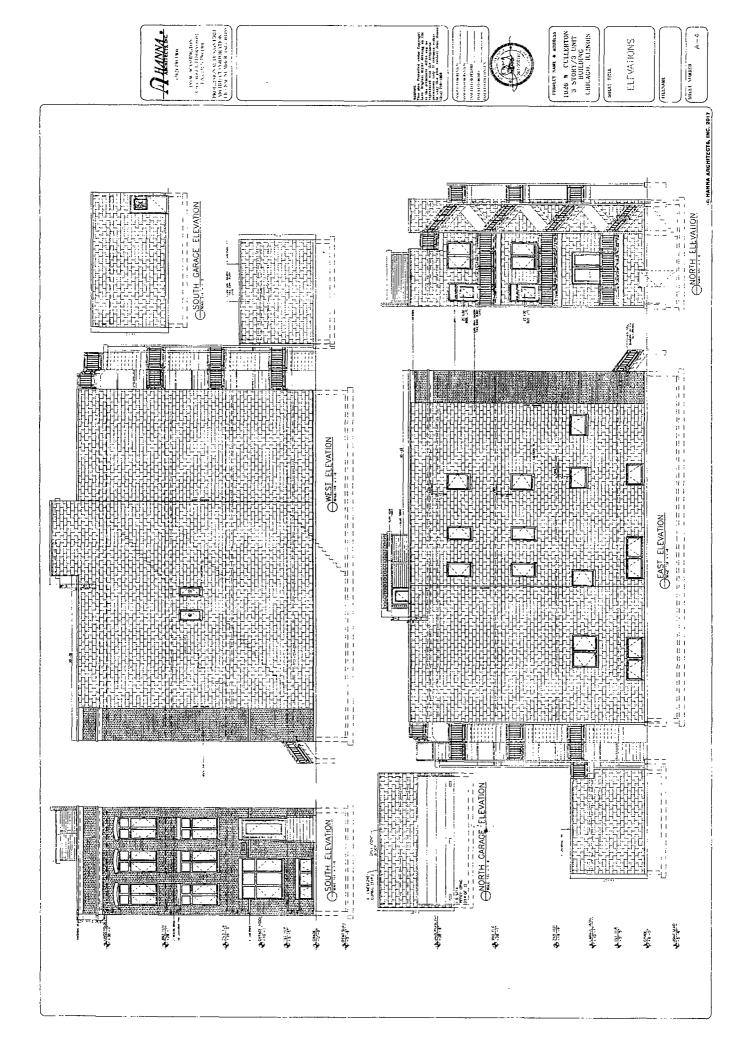
19 feet 8 inches











3

# Plat of Survey

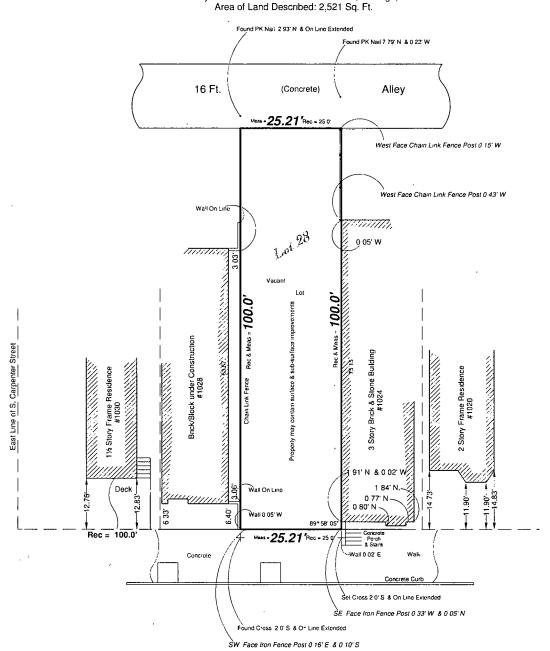
Central Survey, LLC 6415 N. Caldwell Ave , Chicago, Illinois 60646-2713 Phone (773) 631-5285 www Centralsurvey com Fax (773) 775-2071

Legal Description

Lot 28 in Walker's Subdivision of Block 12 of Walsh & McMullen's Subdivision of the South 3/4 of the Southeast

1/4 of Section 20, Township 39 North, Range 14 East of the Third Principal Meridian, in Cook County, Illinois

Commonly Known as: 1026 W. Cullerton Ave , Chicago, Illinois



# W. Cullerton St.

| Lege   | <u>nd</u> |           |              |                          |                          |
|--------|-----------|-----------|--------------|--------------------------|--------------------------|
| N      | =         | North     |              |                          |                          |
| s ·    | =         | South     |              |                          |                          |
| E      | =         | East      |              |                          |                          |
| Ιw     | =         | West      | 0 01' - 1/8" | Decimal/Inc              | h Conversions            |
| (TYP)  | =         | Typical   | 0 02' = 1/4" | 0 08' = 1"               | 0 58' = 7"               |
| Rec    | =         | Record    | 0 03' = 3/8" | 0 17' = 2"<br>0 25' = 3" | 0 67' = 8"<br>0 75' = 9" |
| Meas   | =         | Measure   | 0 05' = 5/8" | 0 33' = 4"               | 0 83' = 10"              |
| St     | =         | Street    | 0 06' = 3/4" | 0 42" = 5"               | 0 92' = 11"              |
| Ave    | =         | Avenue    | 0 07' - 7/8" | 0 50' = 6"               | 1 00" = 12"              |
| Scale: | 1 Ir      | ch equals |              | 15                       | Fee                      |
| Ordere | d B       | v         | Gaylor De    | velopment.               | LLC                      |

Order Number 1028BB
Assume no dimension from scaling upon this plat Compare all points before building and report any difference at once For building restrictions refer to your abstract, deed, contract and local ordinances

State of Illinois ) )S S County of Cook ) This professional service conforms to current Illinois minimum standards for a boundary survey

Central Survey LLC does hereby certify that an on the ground survey per record description of the land shown hereon was performed on May 16, 2017 and that the map or plat hereon drawn is a correct representation of said survey. When bearings are shown the bearing base is assumed Dimensions are shown in feet and hundredths and are correct at a temperature of 68° Fahrenheit.

| Dated this     | 16th    | day of       | May      | 2017       | Willman it. Wibb                                |
|----------------|---------|--------------|----------|------------|---|
| William R Webl | PLS. #2 | 190 (exp 11/ | 30/2016) | Profession | al Design Firm Land Surveying LLC (#184-004113) |

May 17, 2017

Honorable Daniel S. Solis Chairman, Committee on Zoning Room 304, City Hall Chicago, Illinois 60602

The undersigned, Rolando R. Acosta, being first duly sworn on oath, deposes and say the following:

That the undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners, pursuant to the tax records of the Cook County Assessor, of the property within the subject property not solely owned by the applicant, and those of all property within 250 feet in each direction of the lot lines of the subject property, exclusive of public roads, alleys and other public ways, for a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The subject property is bounded by:

the public alley next north of West Cullerton Street; a line 125.00 feet east of South Carpenter Street; West Cullerton Street; and a line 100.00 feet east of South Carpenter Street

and has the common address of 1026 West Cullerton Street, Chicago, IL.

The undersigned certifies that the notice contained the address and description of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately May 17, 2017.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject property is a complete list containing the names and addresses of the people required to be served.

Rolando R. Acosta

Subscribed and sworn to before me this May 17, 2017.

Notary Public

OFFICIAL SEAL
MELINDA LAWRENCE
Notary Public - State of Illinois
My Commission Expires Sep 5, 2017

May 17, 2017

Dear Sir or Madam:

Please take notice that under the Chicago Zoning Ordinance an application (the "Application") to change the RT-4 Residential Two-Flat, Townhouse and Multi-Unit District classification to those of an B2-3 Neighborhood Mixed-Use District for the area which is bounded by:

the public alley next north of West Cullerton Street; a line 125.00 feet east of South Carpenter Street; West Cullerton Street; and a line 100.00 feet east of South Carpenter Street

(hereafter the "Property") will be filed on or about May 17, 2017 with the Department of Planning and Development, City of Chicago by Zocalo Development, LLC, 1849 Balmoral, Glenview, Illinois 60025 (hereinafter the "Applicant"). The address of the Property is 1026 West Cullerton Street, Chicago, IL. The owner of the Property is Patrick Garvey, 5705 N. Kenneth, Chicago, IL 60646.

The Property currently is vacant. The Application, if approved, will allow the Applicant to develop the Property with a three-story residential building (38.75 feet in height) containing three residential dwelling units and with three parking spaces.

This notice is required by the Chicago Zoning Ordinance, Title 17, Section 17-13-0107-A of the Municipal Code of Chicago. It is being sent to you because you appear as a taxpayer of record within 250 feet of the Property. The Application will not rezone your property. Any questions regarding this notice may be direct to the undersigned at 312-636-6937.

Sincerely,

Rolando R. Acosta

Rolando R. Acosta, Attorney for the Applicant

May 17, 2017

Patricia Scudiero Zoning Administrator City of Chicago City Hall – Room 905 121 N. LaSalle Street Chicago, IL 60602

Re: 1026 West Cullerton Street

Dear Zoning Administrator Scudiero:

I, Patrick Garvey am the sole owner of the above referenced property (the "Property"). I have authorized Zocalo Development, LLC ("Applicant") to file an application to rezone the Property and to take any necessary actions in connections with said application.

Sincerely

Patrick Garvey

# 19217 TI LNTRO. DATE: MAY 24, 2017

## CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CIJICAGO ZONING ORDINANCE

| <del></del>  |   |  |                              |                        |                                    |         |
|--|---|--|------------------------------|------------------------|------------------------------------|---------|
| Ward Number th   | nat property is locat   | ted in:  | 25th \                       | Ward<br>               |                                    |         |
| APPLICANT  | Zocalo I  | Development, LL                                    | С                            |                        | <u>.</u>                           |         |
|  | 1849 Balmora  |  |                              | ITY                    | Glenview                           |         |
| STATEIL  | ZIP CODE_   | 60025  | P                            | HONE_                  | 312-636-69                         | 37      |
| EMAIL <u>rolando@</u>  | acostaezgur.com   | _CONTACT   | PERSON <u>r</u>              | olando R.              | . Acosta                           |         |
| Is the applicant t   | he owner of the pro   | operty? YES  | X                            | 1                      | NO                                 | X       |
| regarding the ow   | ner and attach writ   | tten authorizat                                    | ion from the o               | owner a                | llowing the                        | applic  |
| regarding the ow proceed.  | Patrick Garvey  |  |                              |                        |                                    |         |
| regarding the ow proceed.  OWNERADDRESS  | Patrick Garvey<br>5705 N. Kenne   | eth  |                              | EITY                   | Chicago                            |         |
| regarding the ow proceed.  OWNERADDRESS  | Patrick Garvey  | eth  |                              |                        |                                    |         |
| regarding the ow proceed.  OWNER  ADDRESSIL  | Patrick Garvey<br>5705 N. Kenne   | eth<br>60646                                       |                              | EITY                   | Chicago<br>312-63                  | 66-6937 |
| regarding the own proceed.  OWNER  ADDRESS  STATEIL  EMAILroland                                     | Patrick Garvey 5705 N. Kenne ZIP CODE_  | eth 60646CONTACT erty has obtain                   | P<br>PERSON<br>ed a lawyer a | EITY<br>HONE_<br>Rolan | Chicago<br>312-63<br>ndo R. Acosta | 66-6937 |
| regarding the own proceed.  OWNER  ADDRESS  STATEIL  EMAILroland  If the Applicant/ rezoning, please | Patrick Garvey  5705 N. Kenne  ZIP CODE  do@acostaezgur.com  Owner of the proper provide the follow | eth 60646 CONTACT erty has obtain ving information | P<br>PERSON<br>ed a lawyer a | EITY<br>HONE_<br>Rolan | Chicago<br>312-63<br>ndo R. Acosta | 66-6937 |
| proceed.  OWNER  ADDRESS  STATEIL  EMAILroland  If the Applicant/ rezoning, please  ATTORNEY         | Patrick Garvey  5705 N. Kenne  ZIP CODE  do@acostaezgur.com  Owner of the proper provide the follow | eth 60646 CONTACT erty has obtain ving information | P<br>PERSON<br>ed a lawyer a | EITY<br>HONE_<br>Rolan | Chicago<br>312-63<br>ndo R. Acosta | 6-6937  |

| On what date did the owner acquire legal t   | itle to the subject property?   |
|--|---|
| Has the present owner previously rezoned NO  | this property? If yes, when?  |
| Present Zoning District RT-4   | Proposed Zoning District B2-3   |
| Lot size in square feet (or dimensions)  | 2,500 square feet   |
| Current Use of the property  | Vacant  |
| Reason for rezoning the property Cor   | nstruction of a residential building  |
| 5 1 1 7 <u></u>  |   |
| Describe the proposed use of the property units; number of parking spaces; approxim  | ate square footage of any commercial space; and CIFIC)  |
| Describe the proposed use of the property units; number of parking spaces; approximately height of the proposed building. (BE SPECT Three-story building (38.75 feet in height) contains   | ning three residential dwelling   |
| Describe the proposed use of the property units; number of parking spaces; approximately the proposed building. (BE SPECT Three-story building (38.75 feet in height) contain units, three parking spaces and no loading berth.  The Affordable Requirements Ordinance (And financial contribution for residential house change which, among other triggers, incread Developments, increases the number of uniterior to the property of the pr | ARO) requires on-site affordable housing units a ing projects with ten or more units that receive a ses the allowable floor area, or, for existing Plan |

| COUNTY OF COOK  |  |
|---|--|
| STATE OF ILLINOIS   |  |
| Rudy R. Mendez , being first duly swe                         | orn on oath, states that all of the above  |
| statements and the statements contained in the documents subm | mitted herewith are true and correct.  |
| C   | OFFICIAL SEAL MELINDA LAWRENCE Notary Public - State of Illinois My Commission Expires Sep 5, 2017 |
| For Office Use Only   | ,  |
| Date of Introduction:  File Number:  Ward:                    |  |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

| Patrick Garvey   |   |
|--|---|
| Check ONE of the following three boxes:  |   |
| Indicate whether the Disclosing Party submitting  1. \( \overline{\text{X}} \) the Applicant Owner  OR | this EDS is:  |
|  | interest in the Applicant. State the legal name of the ds an interest:                            |
| 3. a legal entity with a right of control (see which the Disclosing Party holds a right of co          | Section II.B.1.) State the legal name of the entity in ntrol:                                     |
| B. Business address of the Disclosing Party:   | 5705 N. Kenneth   |
| -  | Chicago, IL 60646   |
| C. Telephone: 312-636-6937 Fax:  | Email:  |
| D. Name of contact person: Rolando R. Acosta   |   |
| E. Federal Employer Identification No. (if you have  | ve one):  |
| F. Brief description of contract, transaction or oth which this EDS pertains. (Include project number  | er undertaking (referred to below as the "Matter") to r and location of property, if applicable): |
| Rezoning of 1026 West Cullerton St.  |   |
| G. Which City agency or department is requesting   | g this EDS?   |
| If the Matter is a contract being handled by the complete the following:                               | City's Department of Procurement Services, please   |
| G 'C' ' '  | and Contract #  |

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

# 1. Indicate the nature of the Disclosing Party: Limited liability company ✓ Person Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? General partnership Limited partnership ☐ Yes □No Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes [ ] No X N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Name N/A

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                                      | Business Address  | Percentage Interest in the  |
|---|---|---|
|   |   | Disclosing Party  |
| N/A                                       |   |   |
|   |   |   |
| SECTION III B                             | BUSINESS RELATIONSHIPS W  | ITH CITY ELECTED OFFICIALS  |
|   | ing Party had a "business relationsh<br>y elected official in the 12 months b | ip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed? |
| ☐ Yes                                     | [⊠ No   |   |
| If yes, please identi<br>relationship(s): | ify below the name(s) of such City  | elected official(s) and describe such   |
|   |   |   |

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|--|
|  |                     |  |  |
| (Add sheets if necessary                                       | ·)                  |  | ·  |
| Check here if the Dis  | closing Party h     | as not retained, nor expects to retain                                       | a, any such persons or entities  |
| SECTION V CERTI  | IFICATIONS          |  |  |
| A. COURT-ORDERED   | CHILD SUPP          | PORT COMPLIANCE  |  |
|  |                     | -415, substantial owners of business the their child support obligations thr |  |
|  | •                   | ely owns 10% or more of the Disclosons by any Illinois court of competer     |  |
| ☐ Yes  |                     | o person directly or indirectly owns sclosing Party.                         | 10% or more of the   |
| If "Yes," has the person is the person in complian             |                     | court-approved agreement for paym greement?                                  | ent of all support owed and  |
| Yes  | No                  |  |  |

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to    | certify to any of t | the above statemen | ts in this Part B (Further |   |
|--|---------------------|--------------------|----------------------------|---|
| Certifications), the Disclosing Party must | explain below:      |                    |                            |   |
| ,  |                     |                    |                            |   |
| ·  |                     | ,                  | •                          |   |
|  |                     |                    |                            | _ |
|  |                     |                    |                            | - |
|  | <u> </u>            |                    |                            |   |

| presumed that the Disclosing Party certified to the above statements.  |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  |
| None   |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| None   |
|  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  |
| is X is not  |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):   |
|  |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

|   | _ = =  | appears on the lines above, it will interest it will interest to the above statements.  | ill be   |
|---|--|---|--|
| D. CERTIFICATION REG  | GARDING INTEREST II  | N CITY BUSINESS   |  |
| Any words or terms that ar meanings when used in thi  |  | 6 of the Municipal Code have th   | e same   |
|   |  | Municipal Code: Does any offici<br>n name or in the name of any othe  |  |
| NOTE: If you checked "Y Item D.1., proceed to Part  | · •  | to Items D.2. and D.3. If you cl  | hecked "No" to   |
| elected official or employe<br>any other person or entity if<br>for taxes or assessments, o | e shall have a financial in<br>n the purchase of any pro<br>r (iii) is sold by virtue of l<br>npensation for property ta | ive bidding, or otherwise permitterest in his or her own name or perty that (i) belongs to the City, legal process at the suit of the Citken pursuant to the City's emine aning of this Part D. | in the name of<br>or (ii) is sold<br>ty (collectively, |
| Does the Matter involve a   | City Property Sale?  |   |  |
| T Yes   | No   |   |  |
| -   | _  | te names and business addresses tify the nature of such interest:   | of the City  |
| Name  | Business Address   | Nature of Interest  |  |
|   |  |   |  |
|   | · · · · · · · · · · · · · · · · · · ·  | <u> </u>  | :  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a  |

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| ls the Disclos | sing Party the Applicant?  |  |
|----------------|--|--|
| Yes            | □No  |  |
| If "Yes," ans  | swer the three questions below:                                  |  |
|                | you developed and do you have on ations? (See 41 CFR Part 60-2.) | file affirmative action programs pursuant to applicable  |
| Contract Con   |  | Committee, the Director of the Office of Federal apployment Opportunity Commission all reports due |
|                | you participated in any previous con<br>unity clause?            | ntracts or subcontracts subject to the   |
| If you checke  | ed "No" to question 1. or 2. above, p                            | please provide an explanation:   |
|                |  |  |

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Patrick Garvey

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| (Print or type flame of Disclosing Party)                         |                          |
|---|--------------------------|
| By: (Sign here)   |                          |
| Patrick Garvey  |                          |
| (Print or type name of person signing)                            | _                        |
| Individual  |                          |
| (Print or type title of person signing)                           |                          |
| Signed and sworn to before me on (date) _at Cook County, Illinois | My 16, 2017,<br>(state). |
| me  | Notary Public.           |
| Commission expires:   | ·                        |
|   | Page 12 of 13            |

OFFICIAL SEAL MELINDA LAWRENCE Notary Public - State of Illinois My Commission Expires Sep 5, 2017

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently

| •                      | onship" with an elected city o | of the special of Bolhestie Further thereof currently official or department head?  |
|------------------------|--------------------------------|---|
| Yes                    | X No                           |   |
| such person is connect | ed; (3) the name and title of  | the of such person, (2) the name of the legal entity to which the elected city official or department head to whom such senature of such familial relationship. |
|                        |                                |   |
|                        |                                |   |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1. | Pursuant to Municipal Code Sectio building code scofflaw or problem Code?                                      | -                     | plicant or any Owner identified as a<br>Section 2-92-416 of the Municipal |
|----|--|-----------------------|---|
|    | Yes  | X No                  | ·   |
| 2. | 11 2 11  | -                     | change, is any officer or director of oblem landlord pursuant to Section  |
|    | Yes  | No                    | X Not Applicable  |
| 3. | If yes to (1) or (2) above, please id identified as a building code scoffl buildings to which the pertinent co | aw or problem landlor | of the person or legal entity<br>d and the address of the building or     |
|    |  |                       |   |
|    |  |                       |   |

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submittin  | g this EDS. Include d/b/a/ if applicable:   |
|--|---|
| Zocalo Development, LLC  |   |
| Check ONE of the following three boxes:  |   |
|  | this EDS is:  It interest in the Applicant. State the legal name of the lds an interest:            |
| OR  3.  a legal entity with a right of control (see which the Disclosing Party holds a right of control (see which the Disclosing Party holds a right of control (see which the Disclosing Party holds a right of control (see which the Disclosing Party holds a right of control (see which the Disclosing Party holds a right). | e Section II.B.1.) State the legal name of the entity in ontrol:                                    |
| B. Business address of the Disclosing Party:   | 1849 Balmoral Ave.  |
|  | Glenview, JL 60025  |
| C. Telephone: 312-636-6937 Fax:  | Email:rolando@acostaezgur.com   |
| D. Name of contact person:Rolando R. Acosta  |   |
| E. Federal Employer Identification No. (if you ha  | ave one):   |
| F. Brief description of contract, transaction or ot which this EDS pertains. (Include project number   | her undertaking (referred to below as the "Matter") to er and location of property, if applicable): |
| Rezoning of 1026 West Cullerton St.  | ,   |
| G. Which City agency or department is requestir  | ng this EDS? DPD  |
| If the Matter is a contract being handled by the complete the following:   | e City's Department of Procurement Services, please   |
| Specification #  | and Contract #  |
| •  |   |

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

## 1. Indicate the nature of the Disclosing Party: Person $\mathbf{\hat{X}}$ Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership ☐ Yes $\square$ No Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes No [ $\mathbf{X}$ N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Rudy R. Mendez Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                                   | Business Address                         | Disclosing Party                            |
|--|--|---|
| Rudy R. Mendez                         | 1849 Balmoral Avenue, Glenview, IL 60025 | 100%  |
|  |  | · ·   |
| SECTION III B                          | USINESS RELATIONSHIPS WITH (             | CITY ELECTED OFFICIALS                      |
| Has the Disclosi                       |  | s defined in Chapter 2-156 of the Municipal |
| ☐ Yes                                  | [⅓ No                                    |   |
| If yes, please identi relationship(s): | fy below the name(s) of such City electe | d official(s) and describe such             |
|  |  |   |

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|--|
| Rolando R. Acosta 1030 W.                                      | Chicago Ave., 3r    | rd Fl., Chicago, IL 60642 Atty. \$3  | ,500 (est)   |
|  |                     |  |  |
| (Add sheets if necessary)                                      |                     |  |  |
| Check here if the Discl  | osing Party h       | as not retained, nor expects to retain                                     | , any such persons or entitics   |
| SECTION V CERTIF   | ICATIONS            |  |  |
| A. COURT-ORDERED   | CHILD SUPP          | ORT COMPLIANCE   |  |
|  |                     | -415, substantial owners of business h their child support obligations thr |  |
|  | -                   | ly owns 10% or more of the Disclosons by any Illinois court of competer    | 9 ,  |
| ☐ Yes       [▼ N   |                     | o person directly or indirectly owns sclosing Party.                       | 10% or more of the   |
| If "Yes," has the person e is the person in compliance         |                     | court-approved agreement for paymereement?                                 | ent of all support owed and  |
| ∐ Yes □ N  | 0                   |  |  |

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| g Party is unable to certify to any of the above statements is sclosing Party must explain below: | n this Part B (Further |
|---|------------------------|
|   |                        |
| <br>  |                        |
| <br>  |                        |
|   | ·                      |

| presumed that the Disclosing Party certified to the above statements.  |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  |
| None   |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| None   |
|  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  |
| is X is not  |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):   |
|  |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

| If the letters "NA," the wo  | rd "None," or no response   | e appears on the lines above, it will be   |
|--|---|--|
| conclusively presumed tha  | t the Disclosing Party cer  | rtified to the above statements.   |
| D. CERTIFICATION RE  | GARDING INTEREST I  | N CITY BUSINESS  |
| Any words or terms that as meanings when used in thi                                       |   | 56 of the Municipal Code have the same   |
|  |   | Municipal Code: Does any official or employee n name or in the name of any other person or   |
| NOTE: If you checked "Notem D.1., proceed to Part  | · · · · · · · · · · · · · · · · · · ·   | d to Items D.2. and D.3. If you checked "No" to  |
| elected official or employed<br>any other person or entity<br>for taxes or assessments, or | ee shall have a financial in<br>in the purchase of any pro<br>or (iii) is sold by virtue of t<br>mpensation for property to | tive bidding, or otherwise permitted, no City nterest in his or her own name or in the name of operty that (i) belongs to the City, or (ii) is sold legal process at the suit of the City (collectively, aken pursuant to the City's eminent domain power eaning of this Part D. |
| Does the Matter involve a  | City Property Sale?   |  |
| <u> </u>   | ∏ No  |  |
| -  |   | he names and business addresses of the City the nature of such interest:   |
| Name ,   | Business Address  | Nature of Interest   |
|  |   |  |
|  |   |  |
| 4. The Disclosing Parbe acquired by any City of  | -   | o prohibited financial interest in the Matter will   |
| E. CERTIFICATION REC   |   | RA BUSINESS  |

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|--|
| TX 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:  |
|  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS   |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.   |
| A. CERTIFICATION REGARDING LOBBYING  |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
| ,  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a   |

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the   | e Applicant?  |
|---|---|
| Yes   | □No   |
| If "Yes," answer the three  | e questions below:  |
| <ol> <li>Have you developed federal regulations? (See</li> <li>Yes</li> </ol> | ed and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)  |
| •   | th the Joint Reporting Committee, the Director of the Office of Federal grams, or the Equal Employment Opportunity Commission all reports due g requirements? |
| 3. Have you participa equal opportunity clause?                               | ted in any previous contracts or subcontracts subject to the  |
| If you checked "No" to qu   | uestion 1. or 2. above, please provide an explanation:  |
|   |   |

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Zocalo Development, LLC   |  |   |   |
|---|--|---|---|
| (Print or type name of Disclosing Party)  |  |   |   |
| By: Mudy N- Mendy (Sign hore)   |  |   |   |
| Rudy R. Mendcz  |  |   |   |
| (Print or type name of person signing)  |  |   |   |
| Manager   |  |   |   |
| (Print or type title of person signing)   | _  |   |   |
| Signed and sworn to before me on (date) at Cook County, Illinois  Commission expires: | My 16, 20(),(State)Notary Public Page 12 of 13 | MELIND,<br>Notary Public<br>My Commission E | CIAL SEAL<br>A LAWRENCE<br>- State of Illinois<br>Expires Sep 5, 2017 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently

| have a "familial relati | onship" with an elected city  | official or departmen    | t head?                 |   |
|-------------------------|---|--------------------------|-------------------------|---|
| Yes                     | X No  |                          |                         |   |
| such person is connec   | ify below (1) the name and toted; (3) the name and title of relationship, and (4) the precent | f the elected city offic | cial or department head | • |
|                         |   |                          |                         |   |
|                         |   |                          |                         |   |
|                         |   |                          |                         |   |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1. | Pursuant to Municipal Code Section building code scofflaw or problem Code?  |                      |                  |
|----|---|----------------------|------------------|
|    | Yes   | X No                 |                  |
| 2. | If the Applicant is a legal entity pub<br>the Applicant identified as a buildir<br>2-92-416 of the Municipal Code?        |                      |                  |
|    | Yes   | No                   | X Not Applicable |
| 3. | If yes to (1) or (2) above, please ide<br>identified as a building code scofflat<br>buildings to which the pertinent code | w or problem landlor |                  |
|    |   |                      |                  |
|    |   |                      |                  |

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.