

City of Chicago



O2017-6184

Office of the City Clerk Document Tracking Sheet

Meeting Date: 9/6/2017

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 3-I at 1357 N Rockwell Ave

- App No. 19353

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

19353 INTRO DATE, SEPT. 6, 2017

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RS-3 Residential Single Unit (Detached House) District symbols and indications as shown on Map No. 3-I in the area bounded by:

A line 25 feet south of and parallel with West Hirsch Street; the public alley next east of and parallel with North Rockwell Avenue; a line 50 feet south of and parallel with West Hirsch Street; and North Rockwell Avenue;

to those of a RM4.5 Residential Multi-Unit District.

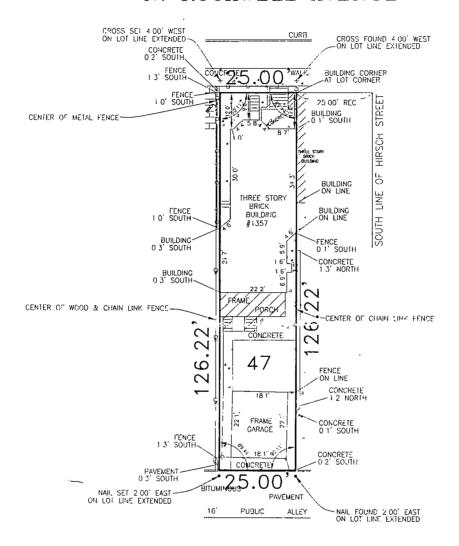
SECTION 2. This ordinance takes effect after its passage and due publication.

Common Address of the Property: 1357 North Rockwell Street

PLAT OF SURVEY

LOTING IN BLOCK IN MINSLOW & JACOBSON'S SUBDIVISION OF THE SOUTHEAST QUARTER OF THE NORTHEAST DIVINING OF SECTION 1, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK LOUNTY, ILLINOIS

N. ROCKWELL AVENUE





* AREA 3,155 SO FT MORE OR LESS PREPARED FOR CLEARY & ASSOCIATES, LTD.

Par Associated, Elist



Land Surveying Services

PHONE 708 925 7155
PAX 773 298 8500
WEBSITE www urchellandassociates com-

Urchell and Associates, Inc.

DESIGN FIRM REGISTRATION #184-004894

NO IMPROVEMENTS SHOULD BE CONSTRUCTED ON THE BASIS OF THIS PLAT ALONE. FIELD MONUMENTATION OF CRITICAL POINTS SHOULD BE ESTABLISHED PRIOR TO COMMENCEMENT OF CONSTRUCTION.

FOR BUILDING LINE AND OTHER RESTRICTIONS NOT SHOWN HEREON REFER TO YOUR DEED, ABSTRACT, TITLE POLICY, CONTRACTS AND LOCAL BUILDING AND ZONING ORDINANCES





FIELD WORK COMPLETED: 06/20/17

THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS STANDARDS FOR A BOUNDARY SURVEY.

DATED: 06/21/17

ROBERT J. URCHELL I.P.L.S. No. 3438 LICENSE RENEWAL DATE: NOVEMBER 30, 2018 SURVEY No. 17-06-019

WRITTEN NOTICE **FORM OF AFFIDAVIT** (Section 17-13-0107)

Date: August 30, 2017

Honorable Daniel S. Solis Chairman Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Stephen L. Cleary, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately August 30, 2017.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Stephen L Æleary

Ze CC

Signature

Subscribed and Sworn to before me this 301/2 day of August, 2017.

Notary Public OFFICIAL SEAL EILEEN C. CLEARY Notary Public - State of Illinois My Commission Expires 6/04/2020

CLEARY & ASSOCIATES, LTD. ATTORNEYS AND COUNSELORS AT LAW

Stephen L. Cleary

Michigan & Wisconsin

Licensed in Illinois

6832 WEST NORTH AVENUE, SUITE 3C CHICAGO, ILLINOIS 60707-4430

Phone: 773-637-7857 Facsimile: 773-637-7827

Email Address: lawyer@clearygroup.net

August 30, 2017

RE: 1357 North Rockwell Street

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about August 30, 2017 the undersigned will file an application for a change in zoning from RS-3 to RM-4.5 on behalf of the owner and applicant Robert C. Lucenti for the property located at 1357 North Rockwell Avenue, Chicago, Illinois 60622.

The applicant intends to use the subject property as a residential property with the existing 3 unit building becoming a 4 unit building by adding a unit in the existing below ground area of the building.

The Applicant and Owner is Robert C. Lucenti located at 200 North End Avenue, Apt 10F, New York, New York 10282-7010. The contact person for this application is Stephen L. Cleary, Cleary & Associates, Ltd., 6832 West North Avenue, Suite 3C, Chicago, Illinois 60707, Phone 773-637-7857.

Please note the Applicant is not seeking to rezone or purchase your property. The Applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

2 Z Elleg

Sincerely;

CLEARY & ASSOCIATES, LTD.

Stephen L. Cleary

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

#19353 INTRO, DATE: SEPT, 6, 2017

ADDRESS of the property Applicant is seeking to rezone:				
	1357 North Rockwell Street			
2.	Ward Number that property is located in: 26 th Ward			
3.	APPLICANT: ROBERT C. LUCENTI			
	ADDRESS: 200 North End Avenue, #10F CITY: New York			
	STATE: New York ZIP CODE: 10282-7010 PHONE: 646-279-0090			
	EMAIL: robertfin2002@yahoo.com			
Is the applicant the owner of the property? YES XXXX NO				
	OWNERCITY			
	STATEZIP CODEPHONE			
	EMAILCONTACT PERSON			
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:			
	ATTORNEY: STEPHEN L. CLEARY, CLEARY & ASSOCIATES, LTD.			
	ADDRESS: 6832 WEST NORTH AVENUE, #3C			
	CITY: Chicago STATE: Illinois ZIP CODE: 60707			

PHONE: 773-637-7857 FAX: 773-637-7827 EMAIL: Lawyer@clearygroup.net

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements. Individual – Robert C. Lucenti
7.	On what date did the owner acquire legal title to the subject property? September 26, 2014
8.	Has the present owner previously rezoned this property? If yes, when? No
9.	Present Zoning District: RS-3 Proposed Zoning District: RM-4.5
10.	Lot size in square feet (or dimensions) 25.00 ft x 126.22 ft.
11.	Current Use of the property: 3 unit residential building
12.	Reason for rezoning the property: convert space in lower part of building to a dwelling unit
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC): building will have 4 dwelling units, there are 2 existing parking spaces and there will be no change in the number of parking spaces, there is and will be no commercial space, and the height of the building is 37 feet and will remain at that height.
14.	The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?
	YES NO: XXX

COUNTY OF COOK STATE OF ILLINOIS

ROBERT C. LUCENTI, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

<u> </u>	Signature of	Applicant
Subscribed and Sworn to before me this 30%		
	OFF Notary Public STEPH Notary Pub My Commissi	EN L. CLEARY blic - State of Illinois ion Expires 7/07/2020
For Office U	se Unly	
Date of Introduction:		
File Number:		

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclos	sing Party submitting this EDS. Include d/b/a/ if applicable:
ROBER	r C. LUCENTI
Check ONE of the following	g three boxes:
1. the Applicant OR	ng Party submitting this EDS is:
the contract, transaction or oth "Matter"), a direct or indirect name:	ntly holding, or anticipated to hold within six months after City action on her undertaking to which this EDS pertains (referred to below as the interest in excess of 7.5% in the Applicant. State the Applicant's legal
	a direct or indirect right of control of the Applicant (see Section II(B)(1)) tity in which the Disclosing Party holds a right of control:
B. Business address of the D	isclosing Party: 200 NORTH END AUENUE #10F New YORK, New YORK 10284-70/0
C. Telephone	ax: None Email:
D. Name of contact person:	ROBERT C. LUCENTI
E. Federal Employer Identifi	cation No. (if you have one): NA
F. Brief description of the M	atter to which this EDS pertains. (Include project number and location of
Application for	TONING CHANGE AT 1351 NEATHRELKWELL ST. Partment is requesting this EDS? Dest OF Planning & Development
G. Which City agency or dep	artment is requesting this EDS? Dest bf howing a Development
	ng handled by the City's Department of Procurement Services, please
Specification # N/A	and Contract #
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SECTION II – DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Limited liability partnership Publicly registered business corporation Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? General partnership Limited partnership ☐ Yes ☐ No Other (please specify) Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois ☐ Yes No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name None

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None."				•
NOTE: Each legal	entity listed below may be require	ed to submit an EDS o	on its own beha	olf.
Name V/A	Business Address	Percentage	Interest in the	Applicant
SECTION III IN	COME OR COMPENSATION	N TO, OR OWNERS	SHIP BY, CIT	Y ELECTEI
•	Party provided any income or con eceding the date of this EDS?	npensation to any City	y elected offici	al during the
	Party reasonably expect to proving the 12-month period following		•	ny City X No
If "yes" to either of describe such incon	the above, please identify below to the or compensation:	he name(s) of such C	ity elected offic	cial(s) and
inquiry, any City ele	ed official or, to the best of the Diected official's spouse or domestice Municipal Code of Chicago ("M	c partner, have a finan	icial interest (as	
	tify below the name(s) of such Ci ibe the financial interest(s).	ty elected official(s) a	and/or spouse(s	s)/domestic

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether
retained or anticipated
to be retained)

Business Address

Relationship to Disclosing Party (subcontractor, attorney,

Fees (indicate whether paid or estimated) NOTE:

to be retained)	lobbyist, e	tc.)	"hourly rate" or "t.b.d."	" is
STEPNEN L. CL	EARY RETAIN	ED ATTORNE TO 6832 WA	not an acceptable response	nse 10 5.20
<u> </u>		CHICAGI	7, 12.60707	
(Add sheets if necessary)				
Check here if the Disc	closing Party has not reta	ained, nor expects to	retain, any such persons or	entities.
SECTION V CERTII	FICATIONS			
A. COURT-ORDERED	CHILD SUPPORT COM	MPLIANCE		
Under MCC Section 2-92 remain in compliance wit			that contract with the City in the contract's term.	must
Has any person who direcarrearage on any child su	-		sclosing Party been declare petent jurisdiction?	d in
☐ Yes X No ☐ 1	No person directly or inc	lirectly owns 10% or	more of the Disclosing Par	rty.
If "Yes," has the person e is the person in complian		oved agreement for pa	ayment of all support owed	and
Yes No				
B. FURTHER CERTIFIC	CATIONS			

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:			
N/A			
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.			
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").			
the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.			
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION			
 The Disclosing Party certifies that the Disclosing Party (check one) is			
a "financial institution" as defined in MCC Section 2-32-455(b).			
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:			
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a			

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

		ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
here (attach additiona		. , ,
N/H		
	ne word "None," or no response a ed that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATION	N REGARDING FINANCIAL II	NTEREST IN CITY BUSINESS
Any words or terms of	lefined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inqui		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or natity in the Matter?
Yes	No	
	ted "Yes" to Item D(1), proceed toms D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" Part E.
official or employees other person or entity taxes or assessments, "City Property Sale").	shall have a financial interest in h in the purchase of any property or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain to meaning of this Part D.
Does the Matter invol	ve a City Property Sale?	
Yes	No	
•		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
4. The Disclosing Pa acquired by any City	-	ibited financial interest in the Matter will be

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
NONE

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the App	plicant?
☐ Yes] No
If "Yes," answer the three ques	stions below:
federal regulations? (See 41 C	o you have on file affirmative action programs pursuant to applicable CFR Part 60-2.) No
•	int Reporting Committee, the Director of the Office of Federal Contract Equal Employment Opportunity Commission all reports due under the?
Yes	No [] Reports not required
3. Have you participated in an equal opportunity clause?	ny previous contracts or subcontracts subject to the
[]Yes []] No
If you checked "No" to questio	on (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

ROBERT C. LUCENTI
(Print or type exact legal name of Disclosing Party)
By: (Sign here)
(Print or type name of person signing)
(Finit of type flattie of person signing)
(Print or type title of person signing)
Signed and sworn to before me on (date) Algust 30,0017, at County, III / 140/J (state). OFFICIAL SEAL STEPHEN I CLEARY
Notary Public Notary Public - State of Illinois My Commission Expires 7/07/2020
Commission expires: $0//01/3000$

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
☐ Yes	No No	
~ -	~	blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	No	The Applicant is not publicly traded on any exchange.
- , ,	scofflaw or problem	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which