

# City of Chicago



O2017-6202

# Office of the City Clerk

## **Document Tracking Sheet**

**Meeting Date:** 

9/6/2017

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 3-H at 1327 N Wicker Park

Ave - App No. 19371T1

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

# 19371+1 WTO. DATE: SEPT. 6, 2017

### **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RS-3 Residential Single-Unit (Detached House) District symbols and indications as shown on Map No. 3-H in the area bounded by:

A line 80.0 feet northeast of and parallel to North Wicker Park Avenue, a line 145.60 feet southeast of and parallel to North Wolcott Avenue; North Wicker Park Avenue; and a line 120.60 feet southeast of and parallel to North Wolcott Avenue

To those of a RM-5 Residential Multi-Unit District is hereby established in the area described above.

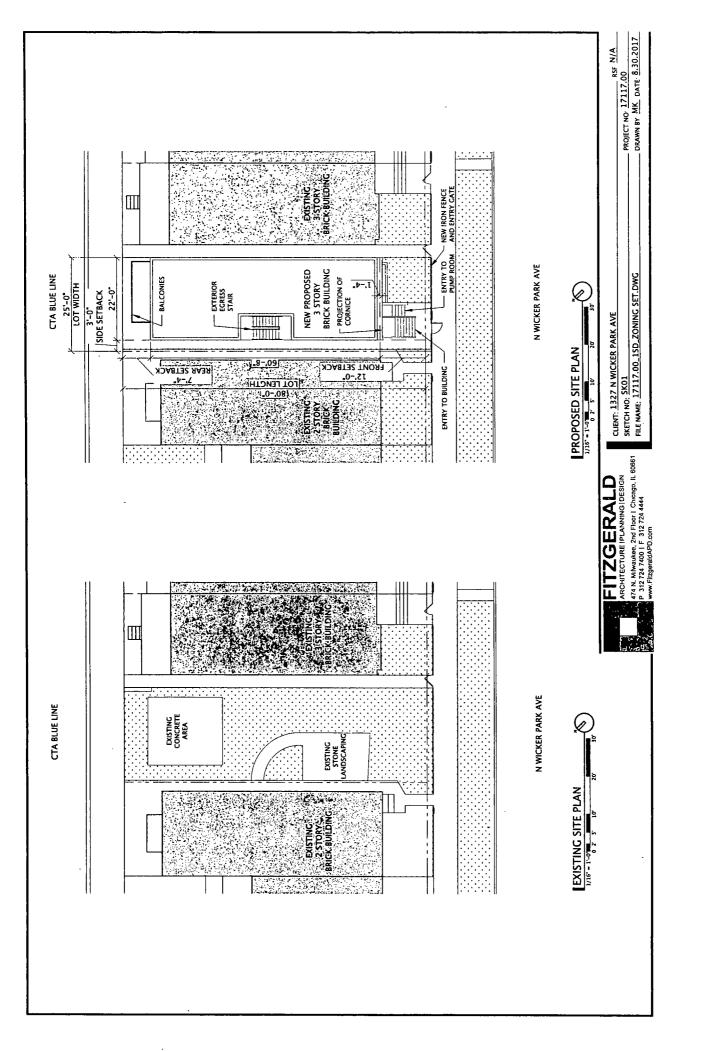
SECTION 2. This ordinance takes effect after its passage and due publication.

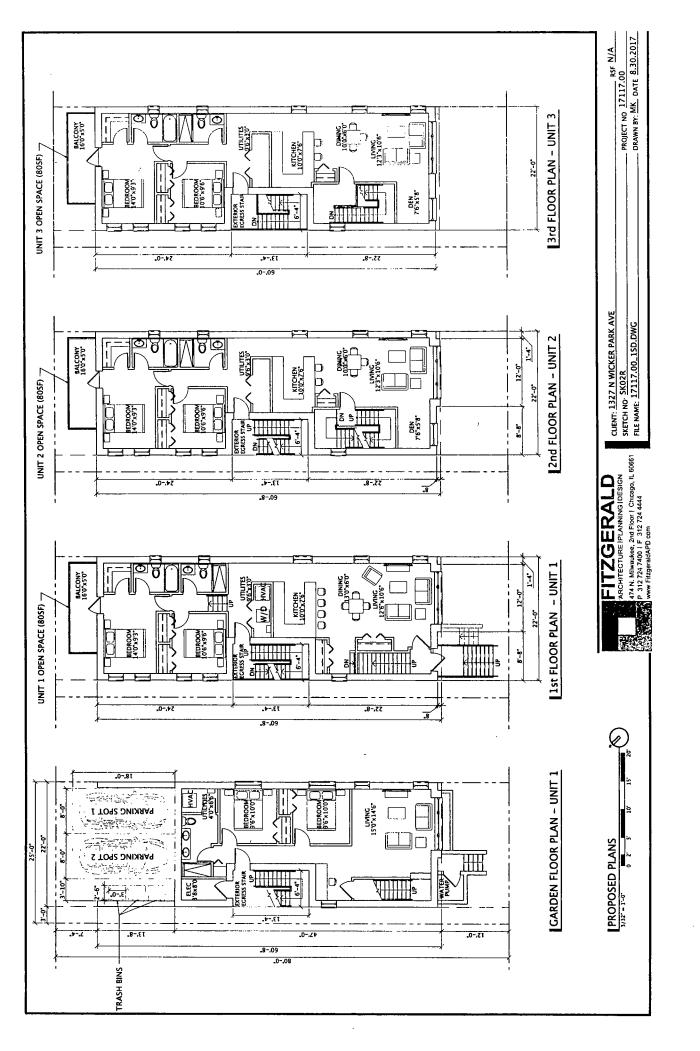
Common Address of the Property: 1327 North Wicker Park Avenue

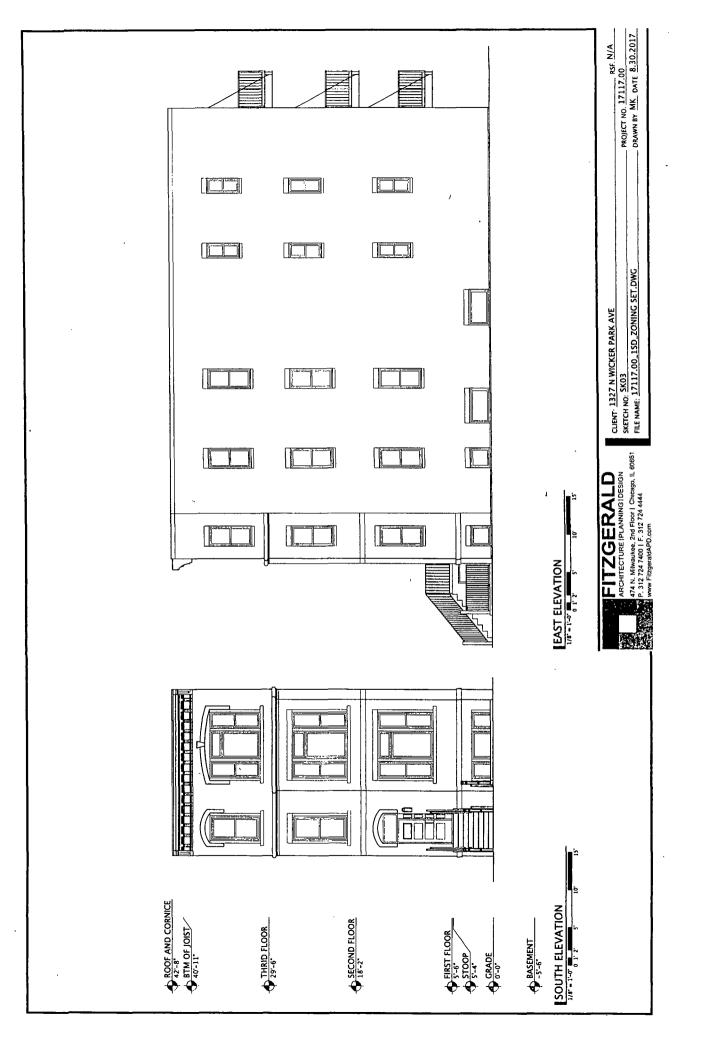
### PROJECT NARRATIVE AND PLANS 1327 N. Wicker Park

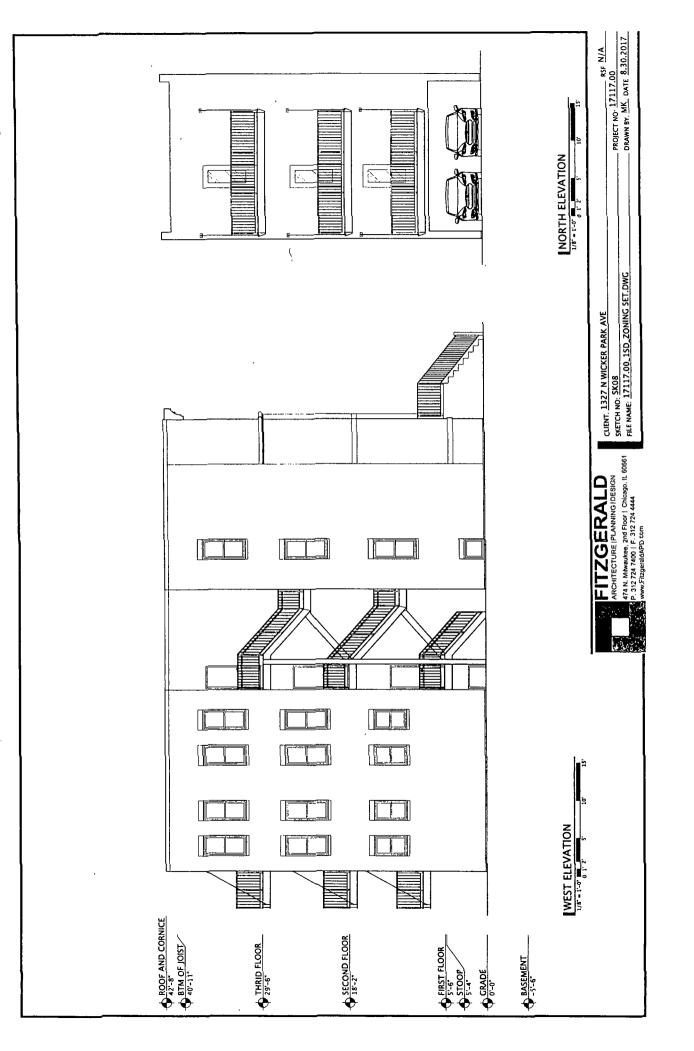
The Applicant requests a zoning change for the property located at 1327 North Wicker Park Avenue from the RS-3 Residential Single-Unit (Detached House) District to the RM-5 Residential Multi-Unit District in order to construct a 40.92 foot 3-story, residential building with a basement. The proposed development will have 3 dwelling units and 2 parking spaces on site.

Floor Area Ratio	2.0
Building Square Footage	3,750 square feet
Density (Lot Area per Dwelling	666.67 square feet per unit
Unit)	
Number of Dwelling Units	3
Off-Street Parking	2 Parking spaces (will seek a variation)
Bicycle Parking Spaces	3
Setbacks:	
Front:	12 feet
Side (east)	0.0 feet (will seek a variation)
Side (west)	3.0 feet
Side (cumulative)	3.0 feet
Rear	7.4 feet (will seek a variation)
Building Height	40.92 feet to underside of 4 <sup>th</sup> (top) floor ceiling; 42.75
	feet to top of cornice
Lot Size	2,000 square feet









### PROFESSIONALS ASSOCIATED SURVEY, INC.

PROFESSIONAL DESIGN FIRM NO. 184-003023

7100 N. TRIPP AVENUE LINCOLNWOOD, ILLINOIS 60712 www.professionalsassociated.com

# PLAT OF SURVEY

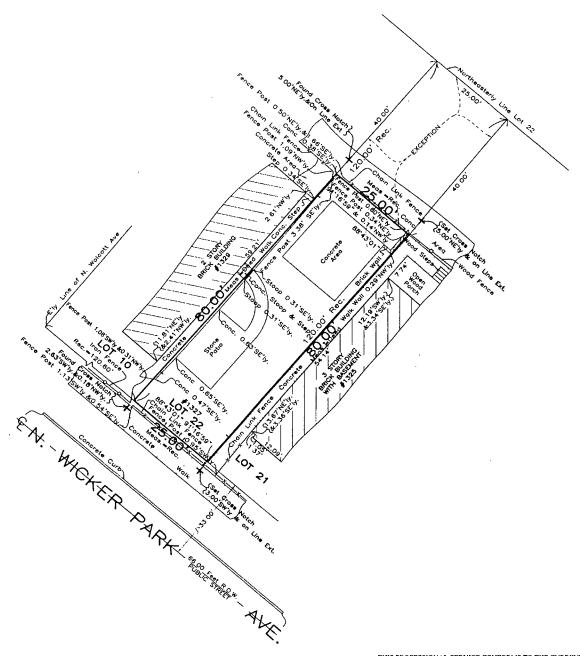
TEL (847) 675-3000 FAX (847) 675-2167 e-mail pa@professionalsassociated com



LOT 22 (EXCEPT THE NORTHEASTERLY 40 FEET OF SAID LOT) IN BLOCK 1 IN PICKET'S ADDITION TO CHICAGO IN SECTION 6, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

LAND TOTAL AREA 1,999 5 SQ FI.=0.046 ACRES

COMMONLY KNOWN AS: 1327 NORTH WICKER PARK AVENUE, CHICAGO, ILLINOIS



THE LEGAL DESCRIPTION SHOWN ON THE PLAT HEREON DRAWN IS A COPY OF THE ORDER, AND FOR ACCURACY SHOULD BE COMPARED WITH THE TITLE OR DEED DIMENSIONS ARE NOT TO BE ASSUMED FROM SCALING BUILDING LINES AND EASEMENTS ARE SHOWN ONLY WHERE-THEY ARE SO RECORDED IN THE MAPS, OTHERWISE REFER TO YOUR DEED OR ABSTRACT

17-92570 16 Date of Field Work August 23, 2017. Ordered by 1325 WICKER PARK LLC



THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY. ILLINOIS MINIMOM STANDARDS FOR A BUUNDART SORY THIS SURVEY HAS BEEN ONDERED FOR SURFACE DIMENSIONS ONLY, NOT FOR ELEVATIONS THIS IS NOT AN ALTA SURVEY. COMPARE ALL POINTS BEFORE BUILDING BY SAME AND AT ONCE REPORT ANY DIFFERENCE

State of Illinois County of Cook s.s.

We, PROFIESSIONALS ASSOCIATED SURVEY INC., do hereby certify that we have surveyed the above described property and that, to the best of our knowledge, the plat hereon drawn is an accurate representation of said survey.

Date. August 28. 2017

II PROF LAND SURVLYOR - LICENSE EXF DATE NOV 30 2018 Drawn By NM, SM

### "WRITTEN NOTICE" AFFIDAVIT (Section 17-13-0107)

August 30, 2017

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Katriina S. McGuire, being first duly sworn on oath deposes and states the following:

The undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately August 30, 2017.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Signature

Subscribed and Sworn to before me this

30 day of Au

, 2017.

Notary Public

OFFICIAL SEAL LEIGH BAENA ARY PUBLIC - STATE OF ILLINOIS COMMISSION EXPIRES 05/13/19



August 30, 2017

### Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about August 30, 2017 the undersigned will file an application for a change in zoning from RS-3 Residential Single-Unit District to a RM-5 Residential Multi-Unit District for the property located at 1327 N. Wicker Park Avenue, Chicago, Illinois.

The applicant seeks an amendment to the zoning ordinance to permit the proposed construction of a three-story, 40.92 foot tall residential dwelling building, with basement. The building will consist of three residential dwelling units and two on-site parking spaces.

The applicant and owner for this amendment is 1325 Wicker Park LLC, located at 1029 N. Marshfield, Unit 1, Chicago, Illinois 60622. I am the attorney for the applicant and can be reached at Thompson Coburn, LLP, 55 East Monroe Street, 37<sup>th</sup> Floor, Chicago, Illinois 60603, (312) 580-2326.

PLEASE NOTE THAT THE APPLICANT IS NOT SEEKING TO REZONE OR PURCHASE YOUR PROPERTY. THE APPLICANT IS REQUIRED BY LAW TO SEND THIS NOTICE BECAUSE YOU OWN PROPERTY WITHIN 250 FEET OF THE PROPERTY TO BE REZONED.

Very truly yours,

Katriina S. McGuire Attorney for Applicant

Katringflu

# 1937171 IN+RO. DATES SEPt. 6,2017

### CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

Word Number the	t managery is located in	•
ward Number tha	n property is located in:	
APPLICANT	1325 Wicker Park LLC	
ADDRESS 1029	N. Marshfield, Unit 1	CITY Chicago
STATE Illinois	ZIP CODE 60622	PHONE_773-818-7486
EMAIL raykshum@	gmail.com CONTACT PE	ERSON Ray Shum
Is the applicant th	e owner of the property? YES	X NO
managedina tha arre	an and attack written authorization	from the overnor allowing the annie
regarding the own proceed.  OWNER Same		from the owner allowing the applic
proceed.  OWNER Same	as Above	from the owner allowing the application of the appl
proceed.  OWNER Same  ADDRESS	as Above . ZIP CODE	
proceed.  OWNER Same  ADDRESS STATE	as Above ZIP CODE	CITY
proceed.  OWNER Same  ADDRESS STATE EMAIL If the Applicant/O	as Above  ZIP CODECONTACT PE	
proceed.  OWNER Same  ADDRESS  STATE  EMAIL  If the Applicant/O rezoning, please p	ZIP CODE  CONTACT PE  Owner of the property has obtained provide the following information:	
proceed.  OWNER Same  ADDRESS  STATE  EMAIL  If the Applicant/Orezoning, please p  ATTORNEY Kat	as Above ZIP CODECONTACT PE  Owner of the property has obtained provide the following information:  riina S. McGuire	CITYPHONE ERSON a lawyer as their representative for t

Chia Shum Properti	ies LLC						
Shari Albert							<del></del>
							<del>_</del>
							_
On what date did	the owner acquire	legal titl	le to the	subject p	roperty?_		
Has the present ov	vner previously rez	oned th	is prope	rty? If ye	es, when?		
No							
Present Zoning Di	strict_RS-3		_ Propo	sed Zoni	ng Distric	t_RM-5	
Lot size in square	feet (or dimension	s) <u>2,00</u>	0 square f	eet			
Current Use of the	e property Vacant						
Reason for rezonii	ng the property <u>To</u> with	permit the	e construc	tion of a th hree dwell	ree-story, 4 ing units an	0.92 foot d two parl	residential bui
units; number of p	osed use of the pro parking spaces; app osed building. (BE	roximat	te square				
	developed with a three		40.92 foot	residential	building w	ith a baser	ment with three
The Affordable Re	qurements Ordina	nce (AR	(O) requ	ires on-si	te afforda	ble hous	ing units an
change which, amo	ution for residentia ong other triggers,	increase	es the all	owable f	loor area,	or, for e	
	reases the number	of units	usee atta	iched fac	t sheet or	VISIT	

COUNTY OF COOK STATE OF ILLINOIS	
SHARI ALBERT, being first duly statements and the statements contained in the documents statements.	v sworn on oath, states that all of the above submitted herewith are true and correct.
Signat	Maw Albert, manager ture of Applicant
Subscribed and Sworn to before me this	DEBRA J CROSSE
Dano Crosse Notary Public	Official Seal Notary Public - State of Illinois My Commission Expires Oct 20, 2020
For Office Use O	Only
Date of Introduction:	<del></del>
File Number:	
Ward:	

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

Ver.2017-1

A. Legal name of the Disclosing Party submitting this EDS.	Include d/b/a/ if applicable:
Chia Shum Properties LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS is:  1. [ ] the Applicant OR	
2. [X] a legal entity currently holding, or anticipated to ho the contract, transaction or other undertaking to which this EL "Matter"), a direct or indirect interest in excess of 7.5% in the name: 1325 Wicker Park LLC OR	OS pertains (referred to below as the
3. [ ] a legal entity with a direct or indirect right of contr State the legal name of the entity in which the Disclosing Part	
B. Business address of the Disclosing Party: 1525 W. Geo	rge, Unit 2, Chicago, Illinois 60657
C. Telephone: 773-818-7486 Fax:	Email: raykshum@gmail.com
D. Name of contact person: Ray Shum	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of the Matter to which this EDS pertains property, if applicable):	(Include project number and location of
Rezoning Application at 1325 N. Wicker Park Avenue	
G. Which City agency or department is requesting this EDS?	Department of Planning and Development, Law Department, Zoning Board of Appeals
If the Matter is a contract being handled by the City's Departn complete the following:	nent of Procurement Services, please
Specification # and Contract	#

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [X] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ | Limited partnership [ ] No [ ] Yes Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [ ] No **1** Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Raymond Shum Member/Manager Kevin Chiappetta Member/Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applican	ıt
Ray Shum	1525 W. George St., Unit 2, Chicago, Illinois 60657	50%	
Kevin Chiappetta	1525 W. George St., Chicago, Unit 2, Illinois 60657	50%	
SECTION III OFFICIALS	INCOME OR COMPENSATION TO, OF	R OWNERSHIP BY, CITY ELEC	CTED
	g Party provided any income or compensation preceding the date of this EDS?	on to any City elected official during [ ] Yes [x] I	
	ng Party reasonably expect to provide any in ring the 12-month period following the date		۷o
•	of the above, please identify below the name(ome or compensation:	(s) of such City elected official(s) ar	nd
inquiry, any City of Chapter 2-156 of	cted official or, to the best of the Disclosing elected official's spouse or domestic partner, the Municipal Code of Chicago ("MCC")) in [x] No	have a financial interest (as defined the Disclosing Party?	l in
•	entify below the name(s) of such City elected cribe the financial interest(s).	d official(s) and/or spouse(s)/domes	tic
			<u>-</u>

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	<u> </u>		
[X] Check here if the Dis	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTIF	FICATION	$\mathbf{S}$	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
	•	antial owners of business entities the support obligations throughout the	•
* *	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	• •
[] Yes [x] No []	No person d	lirectly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFI	CATIONS		
		the Matter is a contract being handl period preceding the date of this E	

- Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the
- activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
None
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
None
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Section 2-32		necause it or any of its affiliates (as defined in the meaning of MCC Chapter 2-32, explain
	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be ited to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or natity in the Matter?
[ ] Yes	[X] No	
	ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employed other person or ent taxes or assessment "City Property Sal	the shall have a financial interest in latity in the purchase of any property ats, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[ ] Yes	[ <sub>X</sub> ] No	
•	\$ 77 <b>X</b>	mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
-	g Party further certifies that no prohity official or employee.	ibited financial interest in the Matter will be

Ver.2017-1

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Applicant?	
[ ] Yes	[ ] No	
If "Yes," answer the th	ree questions be	elow:
Have you develope federal regulations? (     Yes	See 41 CFR Part	ave on file affirmative action programs pursuant to applicable (60-2.)
_	, or the Equal Er	orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[] Reports not required
3. Have you participa equal opportunity clau	~ <u>-</u>	ous contracts or subcontracts subject to the
[ ] Yes	[ ] No	
If you checked "No" to	question (1) or	(2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

Chia Shum Properties LLC	
(Print or type exact legal name of Disclosing Party)	
By:	
Kevin Chiappetta	
(Print or type name of person signing)	
Manager(Print or type title of person signing)	
Signed and sworn to before me on (date) Aug 2, 2017	
at <u>COOK</u> County, <u>/C</u> (state).	
Dalua Crosse Notary Rublic	DEBRA J CROSSE Official Seal Notary Public - State of Illinois My Commission Expires Oct 20, 2020
Commission expires: 10/20/20	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	🔀 No	
which such persor	is connected; (3) the nar	ne and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to tip, and (4) the precise nature of such familial relationship.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

			is the Applicant or any Owner identified as a building code MCC Section 2-92-416?
	[ ] Yes	[★] No	
the		• •	icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section
	[ ] Yes	[ ] No	The Applicant is not publicly traded on any exchange.
as		or problem l	tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing	ng Party submitting th	is EDS. Include d/b/a/ if applicable:
1325 Wicker Park LLC		
Check ONE of the following	three boxes:	
the contract, transaction or othe "Matter"), a direct or indirect in name:	itly holding, or anticipa er undertaking to whic	ated to hold within six months after City action on the this EDS pertains (referred to below as the 5% in the Applicant. State the Applicant's legal
	_	t of control of the Applicant (see Section II(B)(1)) sing Party holds a right of control:
B. Business address of the Dis	sclosing Party: 10	029 N. Marshfield, Unit 1, Chicago IL 60622
C. Telephone: 773-818-7486	Fax:	Email: raykshum@gmail.com
D. Name of contact person:	Ray Shum	
E. Federal Employer Identific	ation No. (if you have	one)
F. Brief description of the Ma property, if applicable):	tter to which this EDS	pertains. (Include project number and location of
Rezoning Application at 1325 N. Wi	cker Park Avenue	
G. Which City agency or depa	rtment is requesting th	Department of Planning and Development,  Law Department, Zoning Board of Appeals
If the Matter is a contract being complete the following:	g handled by the City's	s Department of Procurement Services, please
Specification #	and	Contract #
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [X] Limited liability company [ ] Limited liability partnership Publicly registered business corporation [ ] Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes []No [ ] Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes [X] Organized in Illinois []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Manager Raymond Shum Kevin Chiapetta Manager Shari Albert Manager Chia Shum Properties LLC Member 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	•	Percentage	interest in the .	Applicant
Chia Shum Properties	1525 W. George, Unit 2, C	hicago, Illinois	60657	50%	· · ·
Shari Albert	1029 N. Marshfield, Unit #	1, Chicago, Illin	iois 60622	50%	
SECTION III INC OFFICIALS	OME OR COMPENSAT	ION TO, O	R OWNERS	SHIP BY, CIT	Y ELECTED
_	rty provided any income or eding the date of this EDS?	-	on to any Cit	y elected offici [ ] Yes	al during the [x] No
_	arty reasonably expect to path the 12-month period follow	•		_	ny City [ <sub>x</sub> ] No
If "yes" to either of the describe such income	e above, please identify below or compensation:	ow the name	(s) of such C	City elected offi	cial(s) and
inquiry, any City elect	official or, to the best of the ded official's spouse or dom Municipal Code of Chicago [x] No	estic partner	, have a fina	ncial interest (a	
- · ·	below the name(s) of suce the financial interest(s).	h City electe	ed official(s)	and/or spouse(	s)/domestic

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)  Thompson Coburn LLP (Retain	Business Address acd); 55 E. Mon	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  roe, 37th Floor, Chicago 60603; Attorney;	paid o "hourl not an	ndicate whether r estimated.) NOTE: y rate" or "t.b.d." is acceptable response. nated \$12,000
	<u> </u>	ined); 474 N. Milwaukee, 2nd Floor, Chicago	···	Estimated \$7,200
(Add sheets if necessary)				
[ ] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any	such persons or entities.
SECTION V CERTII	FICATION	$\mathbf{S}$		
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE		
	•	antial owners of business entities th I support obligations throughout the		_
- *	•	ectly owns 10% or more of the Disc ations by any Illinois court of compe	_	•
[]Yes [x]No []	No person d	lirectly or indirectly owns 10% or m	ore of th	ne Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of	all support owed and

### **B. FURTHER CERTIFICATIONS**

 $[_{\mathbf{x}}]$  No

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

[ ] Yes

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furt Certifications), the Disclosing Party must explain below:  None	ner 
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclupresumed that the Disclosing Party certified to the above statements.	 sively
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 1 month period preceding the date of this EDS, an employee, or elected or appointed official, of the of Chicago (if none, indicate with "N/A" or "none").  None	
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time dur the 12-month period preceding the execution date of this EDS, to an employee, or elected or appendicial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anythere are an employee and provide the course of official City business and having a retail value of less than \$25 per recipient, or (iii) political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  None	ointed ning l in
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION	
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is  [x] is not</li> </ol>	
a "financial institution" as defined in MCC Section 2-32-455(b).	
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:	
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We furthe pledge that none of our affiliates is, and none of them will become, a predatory lender as defined MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of predatory lender may result in the loss of the privilege of doing business with the City."	in

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):				
	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.		
D. CERTIFICATI	ON REGARDING FINANCIAL IN	TEREST IN CITY BUSINESS		
Any words or term	s defined in MCC Chapter 2-156 ha	eve the same meanings if used in this Part D.		
after reasonable in		the best of the Disclosing Party's knowledge to of the City have a financial interest in his or natity in the Matter?		
[ ] Yes	[x] No			
	ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	o Items D(2) and D(3). If you checked "No" Part E.		
official or employed other person or ent taxes or assessmen "City Property Sale	te shall have a financial interest in hit ity in the purchase of any property ts, or (iii) is sold by virtue of legal	dding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D.		
Does the Matter in	volve a City Property Sale?			
[ ] Yes	[x] No	·		
		mes and business addresses of the City officials fy the nature of the financial interest:		
Name	Business Address	Nature of Financial Interest		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party
must disclose below or in an attachment to this EDS all information required by (2). Failure to
comply with these disclosure requirements may make any contract entered into with the City in
connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of
the Disclosing Party and any and all predecessor entities regarding records of investments or profits
from slavery or slaveholder insurance policies during the slavery era (including insurance policies
issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and
the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the
Disclosing Party has found records of investments or profits from slavery or slaveholder insurance
policies. The Disclosing Party verifies that the following constitutes full disclosure of all such
records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):			
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None' appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities			

registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1 Page 9 of 14

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party th	e Applicant?	
[]Yes	[ ] No	Ų.
If "Yes," answer the thre	e questions be	elow:
Have you developed federal regulations? (See [ ] Yes		ave on file affirmative action programs pursuant to applicable t 60-2.)
Compliance Programs, o applicable filing requirer	r the Equal Ennents?	orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[] Reports not required
3. Have you participated equal opportunity clause	• •	ous contracts or subcontracts subject to the
[] Yes	[ ] No	
If you checked "No" to q	uestion (1) or	(2) above, please provide an explanation:

### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

1325 Wicker Park LLC
(Print or type exact legal name of Disclosing Party)
By: (8ight here)
Kevin Chiappetta
(Print or type name of person signing)
Manager (Print or type title of person signing)  Signed and sworn to before me on (date) Aug 2, 2017,
signed and sworn to before me on (date) 144 to 2, 2017,
at <u>COOK</u> County, <u>/ L</u> (state).
De Juct Crosse Notary Rublic  DEBRA J CROSSE Official Seal Notary Public - State of Illinois My Commission Expires Oct 20, 2020
Commission expires: $10/20/20$

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ <b>%</b> ] No	
which such persor	n is connected; (3) the nar	me and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to nip, and (4) the precise nature of such familial relationship.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	t to MCC Section 2-154-010 problem landlord pursuant t	, is the Applicant or any Owner identified as a building code o MCC Section 2-92-416?
[] Yes	[ <b>x</b> ] No	
	• • •	icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section
[] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
as a buildin	. , . , . ,	ntify below the name of each person or legal entity identified landlord and the address of each building or buildings to which