

## City of Chicago



O2017-7018

# Office of the City Clerk Document Tracking Sheet

Meeting Date:

10/11/2017

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 1-F at 723-741 W Randolph St, 121-133 N Halsted St and 724-726 W

Washington Blvd - App No. 19377

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

#19377 IN+RO DATE: OC+. 11, 2017

### ORDINANCE

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** That the Chicago Zoning Ordinance be amended by changing all of DX-5 Downtown Mixed-Use District symbols and indications as shown on Map 1-F in the area bounded by:

North Halsted Street; West Randolph Street; a line 61.3 feet east of and parallel to North Halsted Street; and the south line of vacated West Court Place;

to those of a DX-7 Downtown Mixed-Use District.

**SECTION 2.** That the Chicago Zoning Ordinance be amended by changing all of the DX-7 Downtown Mixed-Use District and Residential-Business Planned Development Number 1230 symbols and indications as shown on Map 1-F in the area bounded by:

North Halsted Street; West Randolph Street; a line 217.82 feet east of and parallel to North Halsted Street; a line 192.26 feet south of and parallel to West Randolph Street; a line 218.79 feet east of and parallel to North Halsted Street; a line 78 feet north of and parallel to West Washington Boulevard; a line 212.3 feet east of and parallel to North Halsted Street; West Washington Boulevard; a line 151.34 feet east of and parallel to North Halsted Street; and the south line of vacated West Court Place;

to those of Residential-Business Planned Development Number 1230, as amended, which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in the Plan of Development herewith attached and made a part thereof and to no others.

**SECTION 3.** This Ordinance shall be in force and effect from and after its passage and due publication.

Address:

723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington, Chicago,

Illinois, Chicago, Illinois

# RESIDENTIAL-BUSINESS PLANNED DEVELOPMENT NO. 1230, AS AMENDED PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Planned Development Number 1230 (Planned Development) consists of approximately 51,680 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map (Property). Randolph Halsted LLC owns a portion of the Property and is the "Applicant" for this amendment with the authorization from the remaining property owners.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assigns or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Planned Development.

Ingress or egress shall be pursuant to the Planned Development and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.

| 4. | This Plan of Development consists of 17 Statements; a Bulk Regulations Table; an Existing Zoning       |
|----|--|
|    | Boundary Map; an Existing Land-Use Map; a Planned Development Boundary and Property Line               |
|    | Map; a Sub-area Map; a Site Plan and Landscape Plan; a Landscape Plant List and Details; and           |
|    | Building Elevations (North, South, East and West) prepared by Perkins Eastman Architects and dated     |
|    | , submitted herein. In any instance where a provision of this Planned                                  |
|    | Development conflicts with the Chicago Building Code, the Building Code shall control. This            |
|    | Planned Development conforms to the intent and purpose of the Chicago Zoning Ordinance, and all        |
|    | requirements thereto, and satisfies the established criteria for approval as a Planned Development. In |
|    | case of a conflict between the terms of this Planned Development Ordinance and the Chicago Zoning      |
|    | Ordinance, this Planned Development shall control.   |

Applicant.

Randolph Halsted LLC

Address:

723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington

Introduced:

October 11, 2017

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- 5. The following uses are permitted in the area delineated herein as a Planned Development: all uses permitted in the DX-7 Downtown Mixed-Use District including, without limitation, Dwelling Units Above the Ground Floor, Lodging, Participant Sports and Recreation, General and Limited Restaurant, Tavern, Outdoor Patio (rooftop and at grade), Small and Medium Venues, Banquet or Meeting Halls, General Retail, Food and Beverage Retail Sales, Liquor Sales, Medical Service, Office, High Technology Office, Personal Service, incidental and accessory uses and accessory parking.
- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Chicago Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted floor area ratio (FAR) for the Property shall be in accordance with the attached Bulk Regulations and Data Table. For the purpose of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using a net site area of 51,680 square feet and a base FAR of 7.0.

The Applicant acknowledges that the project has received a bonus FAR of 4.5, pursuant to Sec. 17-4-1000 of the Zoning Ordinance. With this bonus FAR, the total FAR for the Planned Development is 11.5. In exchange for the bonus FAR, the Applicant is required to make a corresponding payment, pursuant to Sections 17-4-1003-B & C, prior to the issuance of the first building permit for any building in Sub-Area A; provided, however, if the Planned Development is constructed in phases, the bonus payment may be paid on a pro rata basis as the first building permit for each subsequent new building or phase of construction is issued. The bonus payment will be recalculated at the time of payment (including partial payments for phased developments) and may be adjusted based on changes in median land values in accordance with Section 17-4-1003-C.3

The bonus payment will be split between three separate funds, as follows: 80% to the Neighborhoods Opportunity Fund, 10% to the Citywide Adopt-a-Landmark Fund and 10% to the Local Impact Fund. In lieu of paying the City directly, the Department may: (a) direct developers to deposit a portion of the funds with a sister agency to finance specific local improvement projects; (b) direct developers to deposit a portion of the funds with a landmark property owner to finance specific landmark restoration projects; or, (c) approve proposals for in-kind improvements to satisfy the Local Impact portion of the payment.

- 9. Upon review and determination, Part II Review, pursuant to Section 17-13-0610, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines, including Section 17-13-0800. Final landscape

Applicant:

Randolph Halsted LLC

Address:

723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington

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- plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-085, or any other provision of the Municipal Code of Chicago.
- 12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to Section 17-13-0611-A, by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The Applicant shall obtain the number of points necessary to meet the requirements of the Chicago Sustainable Development Policy, in effect at the time the Part II review process is initiated for each improvement that is subject to the aforementioned Policy and must provide documentation verifying compliance.
- 15. The Applicant acknowledges that it is the policy of the City to maximize opportunities for Minority and Women-owned Business Enterprises ("M/WBEs") and city residents to compete for contracts and jobs on construction projects approved through the planned development process. To assist the city in promoting and tracking such M/WBE and city resident participation, an applicant for planned development approval shall provide information at three points in the city approval process. First, the applicant must submit to DPD, as part of its application for planned development approval, an M/WBE Participation Proposal. The M/WBE Participation Proposal must identify the applicant's goals for participation of certified M/WBE firms in the design, engineering and construction of the project, and of city residents in the construction work. The city encourages goals of 26% MBE and 6% WBE participation (measured against the total construction budget for the project or any phase thereof), and (ii) 50% city resident hiring (measured against the total construction work hours for the project or any phase thereof). The M/WBE Participation Proposal must include a description of the Applicant's proposed outreach plan designed to inform M/WBEs and city residents of job and contracting opportunities. Second, at the time of the Applicant's submission for Part II permit review for the project or any phase thereof, the Applicant must submit to DPD (a) updates (if any) to the Applicant's preliminary outreach plan, (b) a description of the Applicant's outreach efforts and evidence of such outreach, including, without limitation, copies of certified letters to M/WBE contractor associations and the ward office of the alderman in which the project is located and receipts thereof; (c) responses to the Applicant's outreach efforts, and (d) updates (if any) to the applicant's M/WBE and city resident participation goals. Third, prior to issuance of a Certificate of Occupancy for the project or any phase thereof, the Applicant must provide DPD with the actual level

Applicant Randolph Halsted LLC

Address 723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington

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of M/WBE and city resident participation in the project or any phase thereof, and evidence of such participation. In addition to the forgoing, DPD may request such additional information as the department determines may be necessary or useful in evaluating the extent to which M/WBEs and city residents are informed of and utilized in planned development projects. All such information will be provided in a form acceptable to the Zoning Administrator. DPD will report the data it collects regarding projected and actual employment of M/WBEs and city residents in planned development projects twice yearly to the Chicago Plan Commission and annually to the Chicago City Council and the Mayor.

- 16. The Applicant acknowledges and agrees that the rezoning of the Property from DX-5 Downtown Mixed-Use District, DX-7 Downtown Mixed-Use District and Residential-Business Planned Development No. 1230 to this amended Planned Development (PD), triggers the requirements of Section 2-45-115 of the Municipal Code of Chicago (Affordable Requirements Ordinance or ARO). Any developer of a residential housing project within the meaning of the ARO must: (i) set aside 10% of the housing units in the residential housing project (Required Units) as affordable units, or with the Commissioner of the Department of Planning and Development's (DPD) approval, provide the Required Units in an approved off-site location; (ii) pay a fee in lieu of the development of the Required Units; or, (iii) any combination of (i) and (ii); provided, however, that residential housing projects with 20 or more units must provide at least 25% of the Required Units on-site or off-site. If the developer elects to provide affordable units off-site, the off-site affordable units must be located within a two-mile radius from the residential housing project and in the same or a different higher income area or downtown district. The Property is located in a downtown district, within the meaning of the ARO, and the project has a total of 370 units. As a result, the Applicant's affordable housing obligation is 37 affordable units (10% of 370), 9 of which are Required Units (25% of 37, rounded down). Applicant has agreed to satisfy its affordable housing obligation by providing 37 affordable units in an off-site building located at TBD, as set forth in the Affordable Housing Profile Form attached hereto as Exhibit \_\_\_\_. The Applicant agrees that the affordable units must be affordable to households earning no more than 60% of the Chicago Primary Metropolitan Statistical Area Median Income (AMI), as updated annually by the City of Chicago. If the Applicant subsequently reduces (or increases) the number of housing units in the PD, or elects to build a for-sale project instead, the Applicant shall update and resubmit the Affordable Housing Profile Form to DPD for review and approval; DPD may adjust the requirements and number of required Affordable Units without amending the PD. Prior to the issuance of any building permits for any residential building in the PD, including, without limitation, excavation or foundation permits, the Applicant must make the required Cash Payment and/or execute and record an affordable housing agreement in accordance with Section 2-45-115(L). The terms of the affordable housing agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the affordable housing agreement will be recorded against the PD, or the applicable portion thereof, and will constitute a lien against such property. The Commissioner of DPD may enforce remedies for any breach of this Statement 16, including any breach of any affordable housing agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the PD.
- 17. This Planned Development shall be governed by Section 17-13-0612. Should this Planned Development ordinance lapse, the Commissioner of the Department of Planning and Development shall initiate a Zoning Map Amendment to rezone the property to the DX-7 Downtown Mixed-Use District.

Applicant:

Randolph Halsted LLC

Address:

723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington

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### RESIDENTIAL-BUSINESS PLANNED DEVELOPMENT NO. **BULK REGULATIONS AND DATA TABLE**

Gross Site Area (sf): 106,082

Area of Public Rights-of-Way (sf): 54,402

Net Site Area (sf): 51,680

Maximum Floor Area Ratio: 11.5 '

Maximum Permitted Dwelling Units: 370

150 Minimum Off-Street Parking Spaces:

Minimum Off-Street Loading Spaces: 2 (10'x25')

Maximum Building Height: In accordance with plans

Minimum Setbacks: In accordance with plans

Applicant. Address:

Randolph Halsted LLC

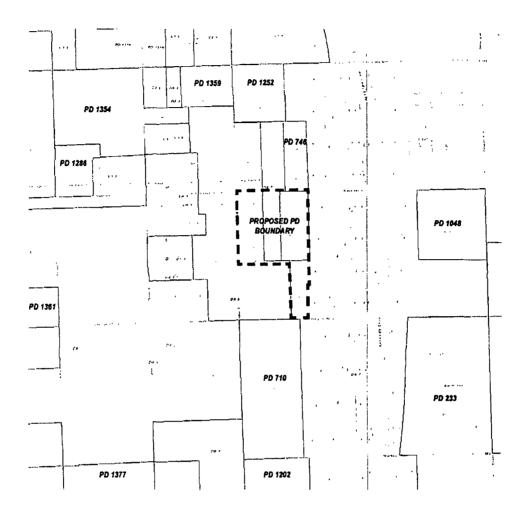
723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington

Introduced:

October 11, 2017

Plan Commission: TBD

### MAP OF DEVELOPMENT SITE



PINs: 17-09-327-001 through -010, and 17-09-328-015

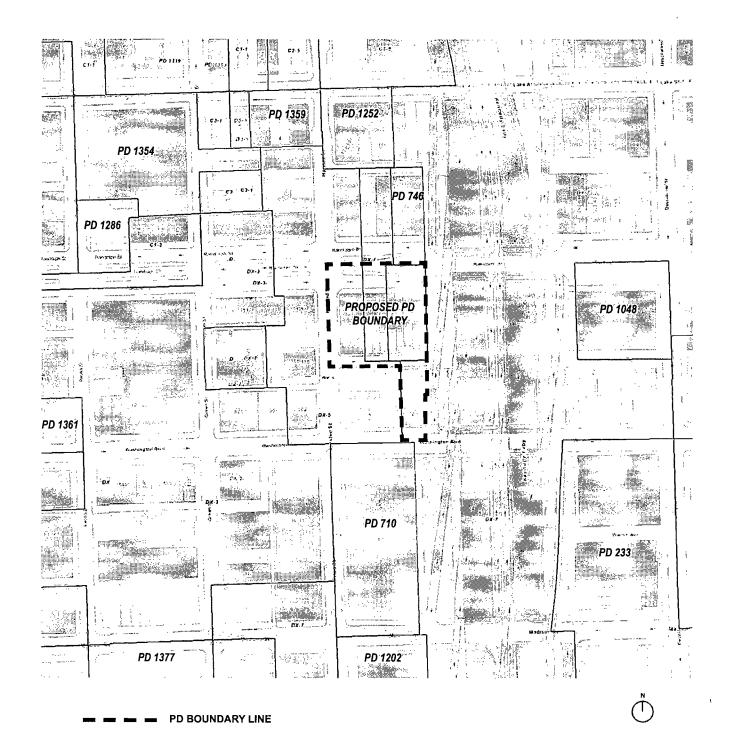
### **Property Ownership:**

The Applicant owns the property located at 723-729 West Randolph and its address is 350 West Hubbard Street, Suite 300, Chicago, IL 60654.

The owner of the property located at 731-735 West Randolph is Madmorg RH LLC and its address is 350 W Hubbard, Suite 300.

The owner of the property located at 737-741 West Randolph / 121-133 North Halsted is Chicago Title Land Trust Company, as successor to LaSalle Bank National Association, as Trustee under Trust Agreement dated April 7, 2004 and known as Trust Number 132632 and its address is c/o Gregory Berkowitz, 125 N. Halsted Street, Suite 203.

The owner of the property located at 724-726 West Washington is Chicago Title Land Trust Company, a Corporation of Illinois, as Trustee under Trust Agreement dated November 1, 2000 and known as Trust Number 1109135 and its address is c/o Gregory Berkowitz, 125 N. Halsted Street, Suite 203.



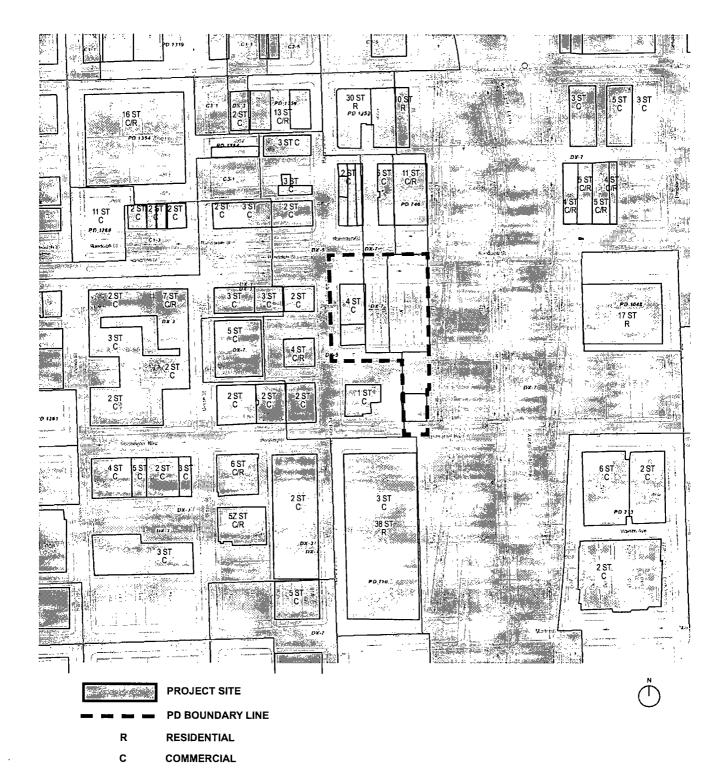
### **EXHIBIT 1: EXISTING ZONING BOUNDARY MAP**

**APPLICANT**: Randolph Halsted LLC **ADDRESS**: 723-741 West Randolph

121-133 North Halsted 724-726 West Washington

Chicago IL 60661

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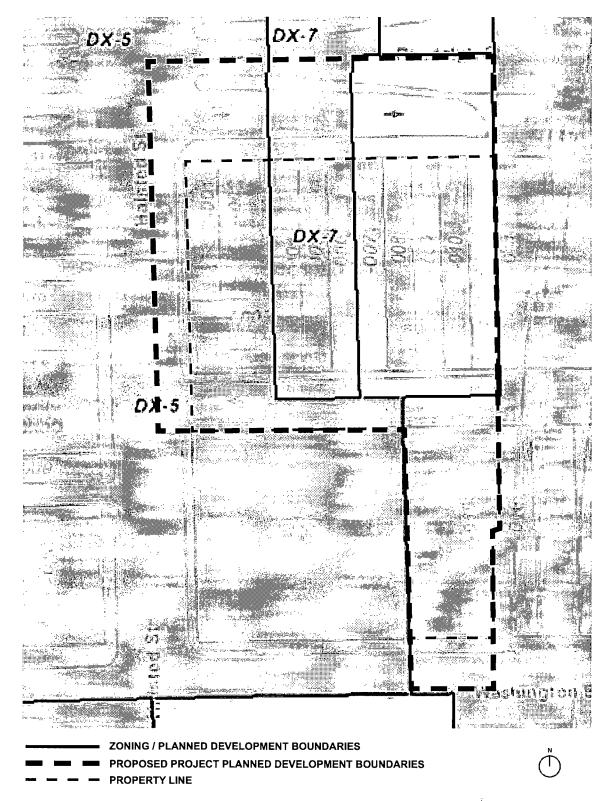
### **EXHIBIT 2: EXISTING LAND USE MAP**

**APPLICANT**: Randolph Halsted LLC **ADDRESS**: 723-741 West Randolph

121-133 North Halsted 724-726 West Washington

Chicago IL 60661

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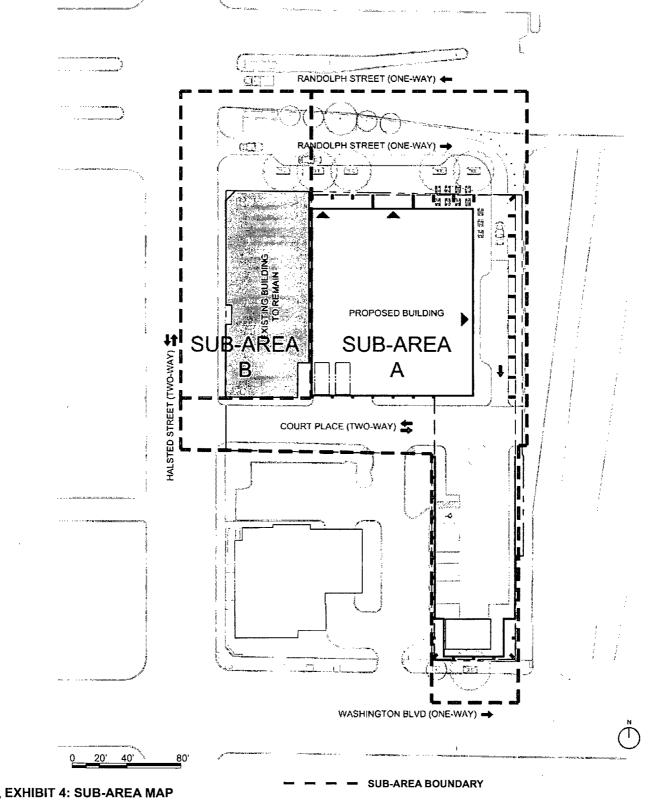
### **EXHIBIT 3: PLANNED DEVELOPMENT BOUNDARY AND PROPERTY LINE MAP**

**APPLICANT**: Randolph Halsted LLC **ADDRESS**: 723-741 West Randolph

121-133 North Halsted 724-726 West Washington

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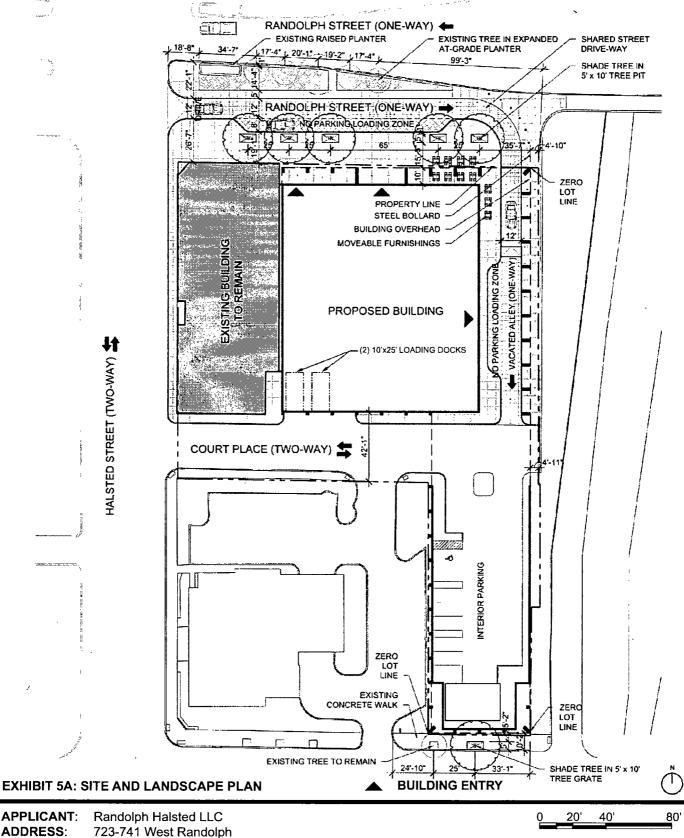


APPLICANT: Randolph Halsted LLC ADDRESS: 723-741 West Randolph

121-133 North Halsted 724-726 West Washington

Chicago IL 60661

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APPLICANT:

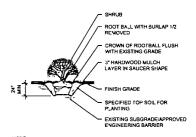
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| PRE  | LIMINARY PLANT PALETTE   | <del></del> |      |                |  |  |
|--|--|-------------|------|----------------|--|--|
| ROW TREES  |  |             |      |                |  |  |
| BOTANICAL NAME COMMON NAME SIZE ROOT REMARKS                     |  |             |      |                |  |  |
| Celtis occidentalis  | Common Hackberry   | 3" cal      | B&B  | matching heads |  |  |
| Gymnocladus dioicus  | Kentucky Coffee Tree   | 3" cal      | B&B  | matching heads |  |  |
| Gelditsia triacanthos 'Shademaster'                              | Shademaster Thornless Honeylocust  | 3" cal      | B&B  | matching heads |  |  |
| Quercus bicolor  | Swamp White Oak  | 3" cal      | B&B  | matching heads |  |  |
| Robinia pseudoacacia 'Chicago Blues'                             | Chicago Blues Black Locust   | 3" cal      | B&B  | matching heads |  |  |
| Tilia americana 'American Sentry'                                | American Sentry Linden   | 3* cal      | B&B  | matching heads |  |  |
| Ulmus minor 'Patriot'  | Patriot Elm  | 3" cal      | B&B  | matching heads |  |  |
| INTERNAL TREES   | •  |             |      |                |  |  |
| BOTANICAL NAME   | COMMON NAME  | SIZE        | ROOT | REMARKS        |  |  |
| Amelanchier grandiflora 'Autumn Brilliance'                      | Autumn Brilliance Serviceberry   | 6'H         | B&B  | multi-stem     |  |  |
| Acer miyabei 'Morton'  | State Street Miyabei Maple   | 3" cal.     | B&B  | matching heads |  |  |
| Cercis canadensis  | Eastern Redbud   | 6'H         | B&B  | per plan       |  |  |
| Celtis occidentalis  | Common Hackberry   | 3" cal      | B&B  | matching heads |  |  |
| Gymnocladus dioicus  | Kentucky Coffee Tree   | 3" cal.     | B&B  | matching heads |  |  |
| Malus 'Prainfire'  | Prainfire Crabapple  | 6'H         | B&B  | per plan       |  |  |
| Robinia pseudoacacia 'Chicago Blues'                             | Chicago Blues Black Locust   | 3" cal      | B&B  | matching heads |  |  |
| SHRUBS   |  |             |      |                |  |  |
| BOTANICAL NAME COMMON NAME SIZE ROOT REMARKS                     |  |             |      | REMARKS        |  |  |
| Cornus sericea 'Flaviramea'                                      | Yellowtwig Redosier Dogwood  | 3'H         | 8&B  | per plan       |  |  |
| Cornus sanguinea 'Midwinter Fire'                                | Midwinter Fire Bloodtwig Dogwood   | #5          | pot  | per plan       |  |  |
| Hydrangea quercifolia  | Oakleaf Hydrangea  | #5          | pot  | per plan       |  |  |
| Rhus aromatica 'Gro-Low'   | Gro-Low Fragrant Sumac   | #2          | pot  | per plan       |  |  |
| PERENNIALS / GRASSES / GROUNDCOVE                                | RS   |             | · .  |                |  |  |
| BOTANICAL NAME   | COMMON NAME  | SIZE        | ROOT | REMARKS        |  |  |
| Achillea millefolium 'Paprika'                                   | Paprika Yarrow   | #1          | pot  | 36" o c.       |  |  |
| Amsonia hubrichtii   | Narrow Leaf Blue Star Amsonia  | #1          | pot  | 24" o.c.       |  |  |
| Carex pensylvanica   | Pennsylvania Sedge   | #1          | pot  | 12" o.c.       |  |  |
| Dicentra spectabilis   | Bleeding Heart   | #1          | pot  | 12" o c.       |  |  |
| Miscanthus sinensis 'Gracillimus'                                | Eulalia Grass  | #1          | pot  | 36" o.c        |  |  |
| Nepeta faassenii 'Walker's Low'                                  | Walker's Low Faassen's Catmint   | #1          | pot  | 24" o c        |  |  |
| Pennisetum alopecuroides 'Hameln' Hameln Dwarf Fountain Grass #1 |  |             |      | 24" o c        |  |  |
|  | Rudbeckia fulgida 'Goldsturm' Goldsturm Black-Eyed Susan #1 pot 12" o c. |             |      |                |  |  |

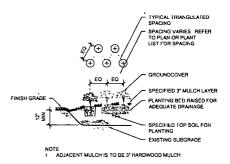
THE PRELIMINARY PLANT LIST MAY BE REVISED AS THE PROJECT DEVELOPS NOT ALL PLANTS MAY BE USED AND ADDITIONAL PLANTS MAY BE ADDED AS THE DESIGN DEVELOPS.



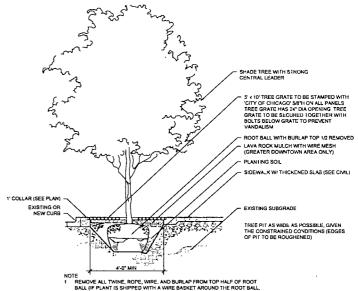
NOTE

1 ADJACENT MULCH IS TO BE 3" HARDWOOD MULCH





PERENNIAL PLANTING SECTION NOT TO SCALE



SHADE TREE IN GRATE SECTION
NOT TO SCALE

### **EXHIBIT 5B: LANDSCAPE PLANT LIST AND DETAILS**

APPLICANT: Randolph Halsted LLC ADDRESS: 723-741 West Randolph

121-133 North Halsted 724-726 West Washington

Chicago IL 60661

INTRODUCED: October 11, 2017

⊕ EL +680′ - 0\* SIGNAGE LOCATION-VISION GLASS ..... WITH ALUMINUM WINDOW SYSTEM METAL PANEL ------VERTICAL PIERS AND HORIZONTAL SILLS SIGNAGE LOCATION ⊕ EL +80' - 0\* VISION GLASS WITH ALUMINUM UKUMUUU W'NDOW SYSTEM

N HALSTED

⊕ EL +0' - 0"

### **EXHIBIT 6A: NORTH TOWER ELEVATION**

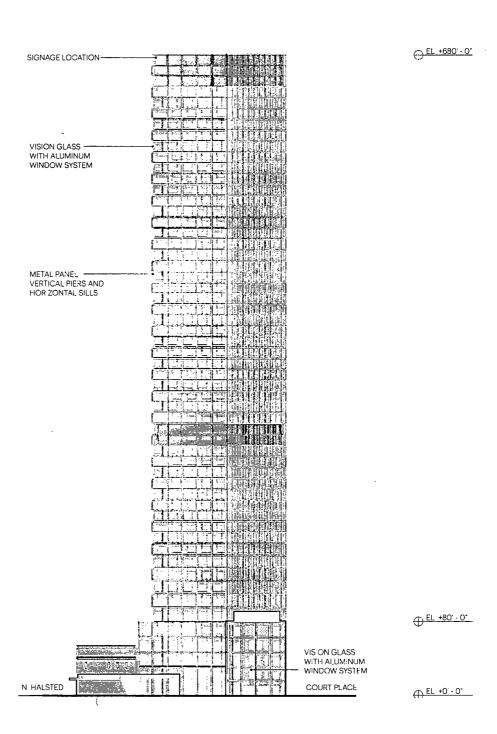
APPLICANT: Randolph Halsted LLC ADDRESS: 723-741 West Randolph

> 121-133 North Halsted 724-726 West Washington

COURT PLACE

Chicago IL 60661

INTRODUCED: October 11, 2017



### **EXHIBIT 6B: SOUTH TOWER ELEVATION**

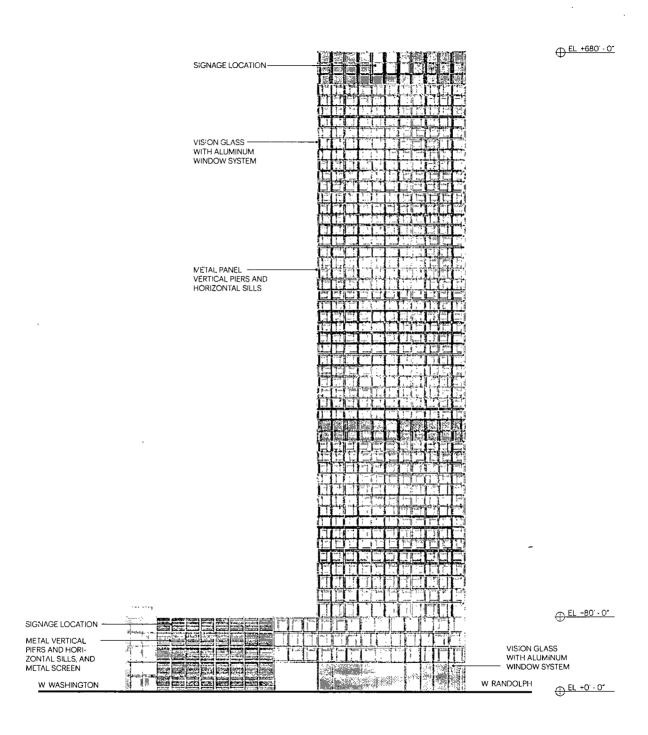
**APPLICANT**: Randolph Halsted LLC **ADDRESS**: 723-741 West Randolp

723-741 West Randolph 121-133 North Halsted

724-726 West Washington

Chicago IL 60661

INTRODUCED: October 11, 2017



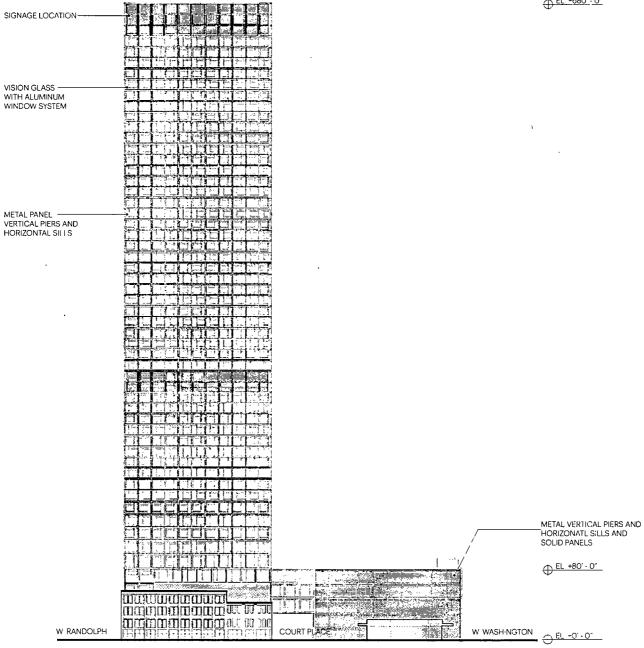
### **EXHIBIT 6C: EAST TOWER ELEVATION**

**APPLICANT**: Randolph Halsted LLC **ADDRESS**: 723-741 West Randolph

121-133 North Halsted 724-726 West Washington

Chicago IL 60661

INTRODUCED: October 11, 2017



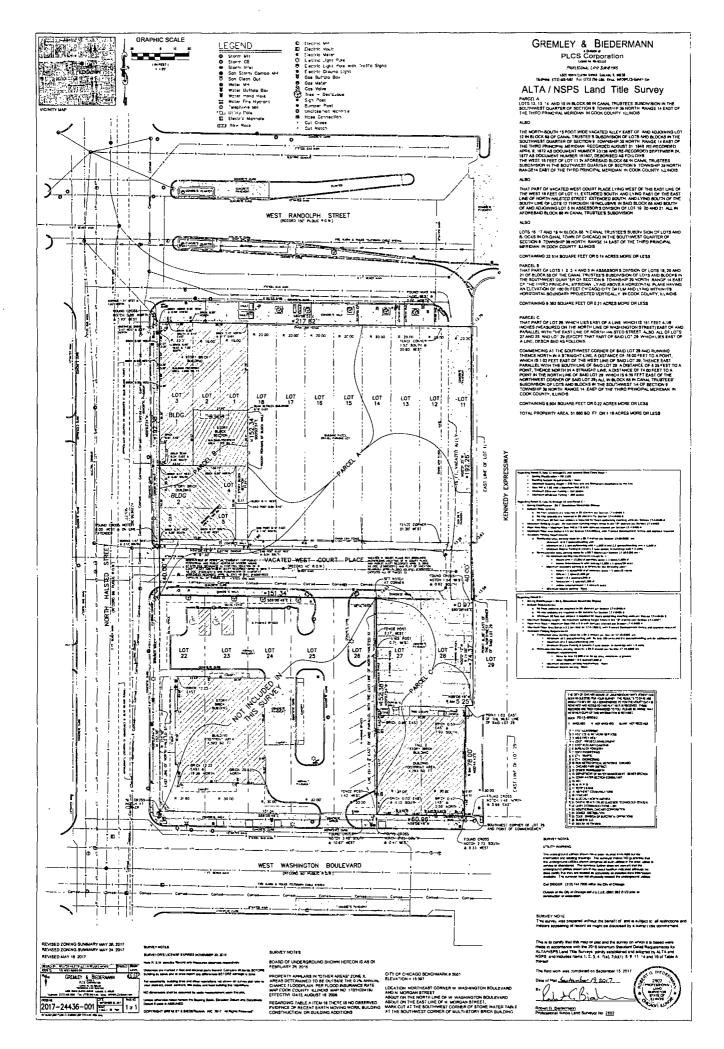
### **EXHIBIT 6D: NORTH HALSTED STREET ELEVATION**

APPLICANT: Randolph Halsted LLC ADDRESS: 723-741 West Randolph

121-133 North Halsted 724-726 West Washington

Chicago IL 60661

INTRODUCED: October 11, 2017



### **AUTHORIZATION**

The undersigned, Madmorg RH LLC ("Owner"), being the owner of the property commonly known as 731-735 West Randolph, Chicago, Illinois (the "Subject Property"), hereby authorizes Randolph Halsted LLC, a Delaware limited liability company, and any affiliate or designee thereof and its attorneys, DLA Piper LLP (US), to file one or more applications for zoning approvals and related permits and approvals with the City of Chicago relating to the Subject Property.

IN WITNESS\_WHEREOF, the undersigned has executed this Authorization as of this 2017 day of September, 2017

> By: Name:



DLA Piper LLP (US) 444 West Lake Street, Suite 900 Chicago, Illinois 60606 www.dlapiper.com

Richard F. Klawiter richard.klawiter@dlapiper.com T 312.368.7243
F 312.251.2856

October 5, 2017

The Honorable Daniel Solis, Chairman City of Chicago Committee on Zoning Room 304, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Martin Cabrera, Jr., Chairman City of Chicago Plan Commission Room 1000, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Re: Application for Business Planned Development 723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington

Dear Chairman Solis:

The undersigned, Richard F. Klawiter, an attorney with the law firm of DLA Piper LLP (US), which firm represents Randolph Halsted LLC, the applicant for an amendment to the Chicago Zoning Ordinance and proposed planned development amendment, certifies that he has complied with the requirements for Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet of each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; a statement that the applicant intends to file the application for change in zoning on approximately October 5, 2017, and a source for additional information on the application.

The undersigned certifies that he has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Very truly yours,

DLA Piper LLP (U

Ribhard F. Klawiter

Subscribed and sworn to before me This 5th day of (0.10) 2017.

Notary Public

ILLIANA SILVA
Official Seal
Notary Public - State of Illinois
My Commission Expires Aug 12, 2019



DLA Piper LLP (US) 444 W. Lake, Suite 900 Chicago, Illinois 60606 www.dlapiper.com

Richard F. Klawiter richard.klawiter@dlapiper.com T 312.368.7243 F 312.630.7337

October 5, 2017

### FIRST CLASS MAIL

Dear Sir or Madam:

As required by Section 17-13-0107 of the Municipal Code of the City of Chicago, please be informed that on or about October 5, 2017, the undersigned, on behalf of Randolph Halsted LLC (the "Applicant"), intends to file an application to rezone the property located at 723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington from the DX-5 Downtown Mixed-Use District, DX-7 Downtown Mixed-Use District and Residential-Business Planned Development No. 1230 to a unified DX-7 Downtown Mixed-Use District then to Residential-Business Planned Development No. 1230, as amended. A map of the development site is printed on the reverse side of this letter.

The development site is currently vacant or utilized for commercial uses. The Applicant seeks a rezoning of the subject property from the DX-5 Downtown Mixed-Use District, DX-7 Downtown Mixed-Use District and Residential-Business Planned Development No. 1230 to a unified DX-7 Downtown Mixed-Use District then to Residential-Business Planned Development No. 1230, as amended, to permit the construction of a 58-story building with 370 residential units, 165 hotel rooms and 150 parking spaces, together with accessory and incidental uses.

The proposed change of zoning does not apply to your property. You are receiving this notice as required by the Chicago Municipal Code because the Cook County Assessor's records indicate that you own property within 250 feet of the development site.

I am an authorized representative of the Applicant and my address is 444 W. Lake Street, Suite 900, Chicago, IL 60606. The ownership of the subject property is set forth on the reverse side of this letter.

Please contact me at 312-368-7243 with questions or to obtain additional information.

Very truly yours,

DLA Piper LLP (US)

Richard F. Klawiter

### **AUTHORIZATION**

The undersigned, Chicago Title Land Trust Company, as successor to LaSalle Bank National Association, as Trustee under Trust Agreement dated April 7, 2004 and known as Trust Number 132632 ("Owner"), being the owner of the property commonly known as 737-741 West Randolph / 121-133 North Halsted, Chicago, Illinois (the "Subject Property"), hereby authorizes Randolph Halsted LLC, a Delaware limited liability company, and any affiliate or designee thereof and its attorneys, DLA Piper LLP (US), to file one or more applications for zoning approvals and related permits and approvals with the City of Chicago relating to the Subject Property.

IN WITNESS WHEREOF, the undersigned has executed this Authorization as of this day of 80+, 2017

Name:

EAST\146818164.1

### **AUTHORIZATION**

The undersigned, Chicago Title Land Trust Company, a Corporation of Illinois, as Trustee under Trust Agreement dated November 1, 2000 and known as Trust Number 1109135 ("Owner"), being the owner of the property commonly known as 724-726 West Washington, Chicago, Illinois (the "Subject Property"), hereby authorizes Randolph Halsted LLC, a Delaware limited liability company, and any affiliate or designee thereof and its attorneys, DLA Piper LLP (US), to file one or more applications for zoning approvals and related permits and approvals with the City of Chicago relating to the Subject Property.

IN WITNESS WHEREOF, the undersigned has executed this Authorization as of this day of \_\_\_\_\_\_\_\_, 2017

### **EXHIBIT A**

### PROPERTY OWNER INFORMATION

731-735 West Randolph

Owner: Madmorg RH LLC

Address: 350 W Hubbard, Suite 300

Phone: 312-595-7400

Contact Person: William Tippens

737-741 West Randolph / 121-133 North Halsted

Owner: Chicago Title Land Trust Company, as successor to LaSalle Bank National Association, as Trustee

under Trust Agreement dated April 7, 2004 and known as Trust Number 132632

Address: c/o Gregory Berkowitz, 125 N. Halsted Street, Suite 203

Phone: 312-876-9300

Contact Person: Gregory Berkowitz

724-726 West Washington

Owner: Chicago Title Land Trust Company, a Corporation of Illinois, as Trustee under Trust Agreement

dated November 1, 2000 and known as Trust Number 1109135 Address: c/o Gregory Berkowitz, 125 N. Halsted Street, Suite 203

Phone: 312-876-9300

Contact Person: Gregory Berkowitz

#19377 INTRO. DATE, Oct. 11, 2017

# APPLICATION FOR AN AMENDMENT TO

CITY OF CHICAGO

THE CHICAGO ZONING ORDINANCE

| 1. | ADDRESS of the property Applicant is seeking to rezone:  |
|----|--|
|    | 723-741 West Randolph / 121-133 North Halsted / 724-726 West Washington, Chicago, Illino   |
| 2. | Ward Number that property is located in: 27th Ward   |
| 3. | APPLICANT Randolph Halsted LLC   |
|    | ADDRESS 350 W Hubbard, Suite 300   |
|    | CITY Chicago STATE IL ZIP CODE 60654   |
|    | PHONE 312-595-7400 EMAIL wtippens@relatedmidwest.com   |
|    | CONTACT PERSON William Tippens   |
| 4. | Is the applicant the owner of the property? YES X NO X  If the applicant is not the owner of the property, please provide the following information rega |

| OWNER The Applicant owns          | the property locate | ed at 723-729 West Randolp | oh. Please see attached |  |  |  |
|-----------------------------------|---------------------|----------------------------|-------------------------|--|--|--|
| Exhibit A for ownership of the re | emainder of the su  | bject property.            |                         |  |  |  |
| ADDRESS                           | •                   |                            |                         |  |  |  |
| CITY                              | STATE               | ZIP CODE                   |                         |  |  |  |
| PHONEEMAIL                        |                     |                            |                         |  |  |  |
| CONTACT PERSON                    |                     |                            |                         |  |  |  |

the owner and attach written authorization from the owner allowing the applicant to proceed.

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

| ATTORNEY Rich Klawiter & Katie Jahnke Dale - DLA Piper LLP (US) |   |       |    |            |       |             |
|---|---|-------|----|------------|-------|-------------|
| ADDRESS 444 West Lake Street, Suite 900                         |   |       |    |            |       |             |
| CITY _  | Chicago   | STATE | IL | _ ZIP CODE | 60606 | <del></del> |
| PHONE (312) 368-2153 / -7243 FAX (312) 251-2856                 |   |       |    |            |       |             |
| EMAIL I   | EMAIL katie.dale@dlapiper.com / richard.klawiter@dlapiper.com |       |    |            |       |             |

| See attached Economic Disclosure Statements  |
|--|
|  |
| On what date did the owner acquire legal title to the subject property? <u>Various dates between 1997</u>  |
| and 2016   |
| Has the present owner previously rezoned this property? If yes, when? No   |
| Present Zoning District <u>DX-5 Downtown Mixed-Use District</u> , <u>DX-7 Downtown Mixed-Use District</u>  |
| and Residential-Business Planned Development No. 1230  |
| Proposed Zoning District <u>DX-7 Downtown Mixed-Use District then Residential-Business Planned</u>   |
| Development No. 1230, as amended   |
| Lot size in square feet (or dimensions)51,680 SF   |
| Current Use of the Property Commercial / Vacant  |
| Reason for rezoning the property Mandatory Planned Development Pursuant to Section 17-8-0512 (Tall Buildings), 17-8-0513 (Large Residential Developments) and 17-8-0514 (Bonus Floor Area)   |
| Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)  |
| The Applicant requests a rezoning of the subject property from the DX-5 Downtown Mixed-Use District, DX-7 Downtown Mixed-Use District and Residential-Business Planned Development No. 1230 to a unified DX-7 Downtown Mixed-Use District then to Residential-Business Planned Development No. 1230, as amended, to permit the construction of a 58-story building with 370 residential units, 165 hotel rooms and 150 parking spaces, together with accessory and incidental uses.  |
|  |
| The Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit <a href="https://www.cityofchicago.org/ARO">www.cityofchicago.org/ARO</a> for more information). Is this project subject to the ARO? |

# COUNTY OF COOK STATE OF ILLINOIS Death Brown Act, authorized signatory of RANDOLPH HALSTED LLC, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct. Subscribed and Sworn to before me this action of 2016 and 2017. OFFICIAL SEAL JACALTY M FINKEL GUON NOTARY PUBLIC. STATE OF ILLINOIS MY COMMISSION EXPIRES 05/12/18 For Office Use Only

Date of Introduction:

File Number:

Ward:

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

Ver.2017-1

| A. Legal name of the Disclosing Party submitted Randolph Halsted LLC  | ng this EDS. Include d/b/a/ if a                                   | pplicable:             |  |
|---|--|------------------------|--|
| Check ONE of the following three boxes:   | T F S S S S S S S S S S S S S S S S S S                            |                        |  |
| Indicate whether the Disclosing Party submittin  1. [X] the Applicant  OR   | g this EDS is:   |                        |  |
| 2. [ ] a legal entity currently holding, or an the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name:   | which this EDS pertains (referred for 7.5% in the Applicant. State | red to below as the    |  |
| OR  3. [] a legal entity with a direct or indirect or | right of control of the Applicar                                   |                        |  |
| B. Business address of the Disclosing Party:  | 350 W. Hubbard St., Suite 300<br>Chicago, IL 60654                 |                        |  |
| b. Dusiness address of the Disclosing Party.  |  |                        |  |
| C. Telephone: 312-595-7400 Fax: 312-  | 95-1898 Email: wt/pp/  | ens@relatedmidwest.com |  |
| D. Name of contact person: William Tippens  |  |                        |  |
| E. Federal Employer Identification No. (if you  | •  |                        |  |
| F. Brief description of the Matter to which this property, if applicable):  An application for a Zoning Zoning map amendment for property Washington  |  |                        |  |
| G. Which City agency or department is request   | ng this EDS?   | ning and Development   |  |
| If the Matter is a contract being handled by the complete the following:  | City's Department of Procureme                                     | ent Services, please   |  |
| Specification # N/A   | and Contract # N/A   |                        |  |

Page 1 of 14

### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

### A. NATURE OF THE DISCLOSING PARTY

| <ol> <li>Indicate the nature of the Disclosing Pa</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol> | Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No  [ ] Other (please specify)   |
|---|--|
| 2. For legal entities, the state (or foreign coun   | ntry) of incorporation or organization, if applicable:   |
| Illinois  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign entitle   | e of Illinois: Has the organization registered to do tity?   |
| [ ] Yes [ ] No  | Organized in Illinois  |
| B. IF THE DISCLOSING PARTY IS A LEG   | AL ENTITY:   |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, adminis limited partnerships, limited liability compa  | oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant. |
| NOTE: Each legal entity listed below must su  | bmit an EDS on its own behalf.   |
| Name LR Development Company LLC   | Title<br>Sole Member   |
|   |  |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name  | Business Address  | Percentage Ir            | terest in the    | Annlicant         |
|---|---|--------------------------|------------------|-------------------|
|   | any LLC 350 W. Hubbard St.  | , Ste 300 Chicago, IL    | 60654            | 100%              |
|   |   |                          |                  |                   |
| SECTION III INCO<br>OFFICIALS                       | ME OR COMPENSATION  | TO, OR OWNERSH           | IIP BY, CIT      | Y ELECTED         |
|   | y provided any income or coming the date of this EDS?   | pensation to any City    | elected officia  | al during the     |
|   | ty reasonably expect to provid<br>ne 12-month period following                                  |                          |                  | ny City<br>[汉] No |
| If "yes" to either of the a describe such income or | bove, please identify below the compensation:   | e name(s) of such City   | elected office   | cial(s) and       |
| inquiry, any City elected                           | fficial or, to the best of the Dist official's spouse or domestic inicipal Code of Chicago ("Mo | partner, have a financ   | ial interest (as |                   |
| If "yes," please identify partner(s) and describe t | below the name(s) of such Cit he financial interest(s).   | y elected official(s) an | d/or spouse(s    | s)/domestic       |
| SECTION IV DISCI                                    | LOSURE OF SUBCONTRA   | CTORS AND OTHE           | R RETAINI        | ED PARTIES        |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf.

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address |              | nip to Disclosing<br>actor, attorney,<br>etc.) | Party       | <u>paid o</u><br>"hourl | ndicate whether or estimated.) NOTE: ly rate" or "t.b.d." is acceptable response. |
|--|---------------------|--------------|--|-------------|-------------------------|---|
| DLA Piper 444 West Lak   | e Street, Sui       | ite 900 Ch   | icago, IL 60606                                | Attorne     |                         | \$10,000 estimated  |
| Roger Ferris + Partners  | 11 Wilton Rd        | . Westport   | , CT 06  | Archite     | ct \$10,0               | 000 estimated   |
| Perkins Eastman 351 W.   | . Hubbard St        | . Chicago,   | IL 606054                                      | Archite     | ct \$10,0               | 000 estimated   |
| (Add sheets if necessary)                                      |                     |              |  |             |                         |   |
| [ ] Check here if the Disc                                     | losing Party        | has not ret  | ained, nor expec                               | ets to reta | ain, any                | such persons or entitie   |
| SECTION V CERTIF   | ICATIONS            | }            |  |             |                         |   |
| A. COURT-ORDERED   | CHILD SUP           | PORT CO      | MPLIANCE                                       |             |                         |   |
| Under MCC Section 2-92 remain in compliance wit                |                     |              |  |             |                         | _   |
| Has any person who direct arrearage on any child sup           | •                   | •            |  |             | _                       | •   |
| [] Yes [] No [X]]  | No person di        | rectly or in | directly owns 10                               | )% or mo    | ore of th               | ne Disclosing Party.  |
| If "Yes," has the person entire is the person in compliant     |                     |              | •  | for payn    | nent of                 | all support owed and  |
| [ ] Yes  |                     |              |  |             |                         |   |
| B. FURTHER CERTIFIC  | CATIONS             |              |  |             |                         |   |
| 1. [This paragraph 1 app<br>Procurement Services.] I           | n the 5-year j      | period pred  | eding the date o                               | f this EI   | )S, neitl               | her the Disclosing  |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2017-1

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B Certifications), the Disclosing Party must explain below:  N/A   | (Further                                       |
|---|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be copresumed that the Disclosing Party certified to the above statements.   | nclusively                                     |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is complete list of all current employees of the Disclosing Party who were, at any time during month period preceding the date of this EDS, an employee, or elected or appointed official, of Chicago (if none, indicate with "N/A" or "none").  N/A  | the 12-  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is complete list of all gifts that the Disclosing Party has given or caused to be given, at any time the 12-month period preceding the execution date of this EDS, to an employee, or elected or official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) made generally available to City employees or to the general public, or (ii) food or drink protection of the course of official City business and having a retail value of less than \$25 per recipient, or political contribution otherwise duly reported as required by law (if none, indicate with "N/A" "none"). As to any gift listed below, please also list the name of the City recipient.  N/A | e during appointed anything vided in r (iii) a |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>   |  |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We find pledge that none of our affiliates is, and none of them will become, a predatory lender as defined MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliation predatory lender may result in the loss of the privilege of doing business with the City."  | ined in  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

| MCC Section 2-32   |   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain  |
|--|---|---|
| N/A  |   |   |
|  | " the word "None," or no response a med that the Disclosing Party certi   | appears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICAT  | ION REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS  |
| Any words or tern  | ns defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.   |
| after reasonable in  |   | he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?  |
| [ ] Yes  | ⊠ No  |   |
|  | ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" o Part E.   |
| official or employ<br>other person or en<br>taxes or assessmer<br>"City Property Sal | ee shall have a financial interest in latify in the purchase of any property ats, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?   |   |
| [ ] Yes  | [X] No  |   |
|  |   | mes and business addresses of the City officials fy the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest  |
|  |   |   |
| 4. The Disclosing  | g Party further certifies that no prob  | ibited financial interest in the Matter will be   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of      |
|---|
| the Disclosing Party and any and all predecessor entities regarding records of investments or profits |
| from slavery or slaveholder insurance policies during the slavery era (including insurance policies   |
| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and  |
| the Disclosing Party has found no such records.   |
|   |

| 2. Th                                   | The Disclosing Party verifies that, as a resu  | alt of conducting the search in step (1) above | , the |
|---|--|--|-------|
| Disclosing                              | ng Party has found records of investments of   | or profits from slavery or slaveholder insurar | nce   |
| policies.                               | The Disclosing Party verifies that the follow  | owing constitutes full disclosure of all such  |       |
| records, in                             | including the names of any and all slaves o  | or slaveholders described in those records:    |       |
|   |  |  |       |
|   |  |  |       |
|   | A A A MINISTRA REGIONAL PROPERTY OF THE PROPER |  |       |
| *************************************** |  |  |       |

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |  |  |  |
|---|--|--|--|
| Turty with respect to the Matter. (Mad Sheets II necessary).  |  |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entitie registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts o behalf of the Disclosing Party with respect to the Matter.) |  |  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Part                     | v the Applicant?   | •  |
|--|--------------------|--|
| [ ] Yes                                    |                    |  |
| If "Yes," answer the t                     | hree questions be  | elow:  |
| 1. Have you develop federal regulations?   |                    | ave on file affirmative action programs pursuant to applicable t 60-2.)  |
| [ ] Yes                                    | [ ] No             |  |
|  | s, or the Equal E  | orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the |
| [ ] Yes                                    | [ ] No             | [] Reports not required  |
| 3. Have you particip equal opportunity cla |                    | ous contracts or subcontracts subject to the   |
| [ ] Yes                                    | [ ] No             |  |
| If you checked "No"                        | to question (1) or | (2) above, please provide an explanation:  |
|  |                    |  |
|  |                    |  |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| Randolph Halsted LLC  |
|---|
| (Print or type exact legal name of Disclosing Party)  |
| By: (Sign here)   |
| Curt Bailey   |
| (Print or type name of person signing)  |
| President of Sole Member of Disclosing Party  |
| (Print or type title of person signing)   |
| Signed and sworn to before me on (date) 91817,  |
| at COOK County, 1L (state).   |
| Notary Public  OFFICIAL SEAL  JACALYN M FINKEL GUON   |
| Commission expires: 5/13/18  NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:05/12/18 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] Yes            | [X] No                     |  |
|-------------------|----------------------------|--|
| which such person | is connected; (3) the name | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to and (4) the precise nature of such familial relationship. |
|                   |                            |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# **BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|             |  | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?   |
|-------------|--|--|
| [ ] Yes     | [⋈ No  |  |
| <b></b>     |  | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section  |
| [ ] Yes     | [ ] No   |  |
| • • • • • • | scofflaw or probler  | dentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which  |
|             | WENT AND THE STREET A |  |
|             |  | A STATE OF THE STA |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing I The Related Companies, L.P.   | Party submitt                                      | ing this EDS                              | . Include d/b/a/ if applicable:  |
|---|--|---|--|
| Check ONE of the following thr  | ee boxes:  |   |  |
| the contract, transaction or other u "Matter"), a direct or indirect intername:  Randolph Halsted LLC  OR | holding, or an<br>andertaking to<br>rest in excess | nticipated to which this I of 7.5% in the | hold within six months after City action on EDS pertains (referred to below as the ne Applicant. State the Applicant's legal |
| State the legal name of the entity  |  | _   |  |
| B. Business address of the Disclo   | sing Party:  | 60 Columb                                 | us Circle  |
| b. Dusiness address of the Discio   | sing I arry.                                       | New York,                                 | NY 10023   |
|   | Fax:   |   | Email: mbrenner@related.com  |
| D. Name of contact person: Mich   | ael J. Brenne                                      | er<br>                                    |  |
| E. Federal Employer Identification  | n No. (if you                                      | have one):                                |  |
| property, if applicable):   |  | •   | ns. (Include project number and location of  |
| G. Which City agency or departm   | ent is request                                     | ting this EDS                             | Department of Planning and Development   |
| If the Matter is a contract being hat complete the following:   | ındled by the                                      | City's Depar                              | tment of Procurement Services, please  |
| Specification # N/A   |  | _ and Contra                              | ct #   |
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Pa  | arty:   |  |  |
|--|---|--|--|
| [ ] Person   | [ ] Limited liability company   |  |  |
| Publicly registered business corporation   | Limited liability partnership   |  |  |
| [ ] Privately held business corporation  | [ ] Joint venture   |  |  |
| Sole proprietorship  | Not-for-profit corporation  |  |  |
| [ ] General partnership  | (Is the not-for-profit corporation also a 501(c)(3))?                                 |  |  |
|  | [ ] Yes [ ] No  |  |  |
| [ ] Trust  | [ ] Other (please specify)  |  |  |
| New York   | ntry) of incorporation or organization, if applicable:                                |  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign en | e of Illinois: Has the organization registered to do tity?  [ ] Organized in Illinois |  |  |
|  |   |  |  |

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name                                   | Title                                    |  |  |
|--|--|--|--|
| The Related Realty Group, Inc. ("RRG") | General Partner                          |  |  |
| Stephen M. Ross                        | Chaiman of RRG                           |  |  |
| Jeff T. Blau                           | Chief Executive Officer of RRG           |  |  |
| Bruce A. Root Jr. Broadont of BBC      | Michael I Propper CEO EVD 9Tressurer DDC |  |  |

Michael J. Brenner, CFO EVP & Treasurer - RRG

Bruce A. Beal, Jr., President of RRG Michael J. Brenner, CFO EVP &Treasurer -RR 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name<br>Stephen M. Ross   | Business Address<br>60 Columbus Cir New   |  | Percentage Interest<br>45.32% in                      |                         |
|---|---|--|---|-------------------------|
| Jeff T. Blau  | 60 Columbus Cir New   |  |   | direct                  |
| SECTION III II<br>OFFICIALS   | NCOME OR COMPEN   | ISATION TO, (  | OR OWNERSHIP BY                                       | , CITY ELECTEI          |
| _   | Party provided any incoreceding the date of this I  | -  | tion to any City elected                              |                         |
|   | g Party reasonably expecing the 12-month period   | -  | <u>-</u>  | • •                     |
| <del>-</del>  | the above, please identifne or compensation:  | y below the nam  | ne(s) of such City elect                              | ed official(s) and      |
| inquiry, any City el<br>Chapter 2-156 of th<br>[ ] Yes<br>If "yes," please iden | ted official or, to the best<br>ected official's spouse or<br>the Municipal Code of Ch<br>\times No | r domestic partne<br>icago ("MCC"))<br>of such City elec | er, have a financial interior in the Disclosing Party | erest (as defined in y? |
| partner(s) and desc   | ribe the financial interest   | (s).   |   |                         |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|---|
|  |                     |   |   |
| (Add sheets if necessary)                                      |                     |   |   |
| [⋈] Check here if the Disc                                     | closing Part        | y has not retained, nor expects to re                                       | tain, any such persons or entities.   |
| SECTION V CERTII   | FICATION            | <b>IS</b>   |   |
| A. COURT-ORDERED   | CHILD SU            | PPORT COMPLIANCE  |   |
|  | -                   | antial owners of business entities the support obligations throughout the   | <del></del>   |
|  | -                   | ectly owns 10% or more of the Disc<br>ations by any Illinois court of compe | -   |
| [ ] Yes [X] No [ ]   | No person o         | directly or indirectly owns 10% or n  | nore of the Disclosing Party.   |
| If "Yes," has the person e is the person in complian           |                     | a court-approved agreement for pay agreement?                               | ment of all support owed and  |
| [ ] Yes  |                     |   |   |
| D FIIDTUED CEDTIEN   | CATIONS             |   |   |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

| MCC Section 2-32 here (attach addition  |  | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain  |
|---|--|---|
| N/A   |  |   |
|   | the word "None," or no response a med that the Disclosing Party certi  | appears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICATI  | ON REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS  |
| Any words or term   | s defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.   |
| after reasonable in   |  | he best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?  |
| [ ] Yes   | ⋈ No   |   |
|   | ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" o Part E.   |
| official or employed<br>other person or ent<br>taxes or assessment<br>"City Property Sale | ee shall have a financial interest in later in the purchase of any property its, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in  | volve a City Property Sale?  |   |
| [ ] Yes   | ⊠ No   |   |
|   |  | mes and business addresses of the City officials fy the nature of the financial interest:   |
| Name  | Business Address   | Nature of Financial Interest  |
|   |  |   |
|   | g Party further certifies that no prohity official or employee.  | nibited financial interest in the Matter will be  |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party   |
|--|
| must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS   |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.   |
| A. CERTIFICATION REGARDING LOBBYING  |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  |
|  |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| is the Disclosing Party the .   | Applicant?      |  |
|---|-----------------|--|
| [ ] Yes   | [ ] No          |  |
| If "Yes," answer the three q  | luestions belo  | w:   |
| <ol> <li>Have you developed and federal regulations? (See 4</li> <li>Yes</li> </ol> |                 | e on file affirmative action programs pursuant to applicable 0-2.)   |
|   | he Equal Emp    | ing Committee, the Director of the Office of Federal Contract<br>ployment Opportunity Commission all reports due under the |
| [ ] Yes   | [ ] No          | [ ] Reports not required   |
| 3. Have you participated in equal opportunity clause?                               | n any previou   | s contracts or subcontracts subject to the   |
| [ ] Yes   | [ ] No          |  |
| If you checked "No" to que  | stion (1) or (2 | 2) above, please provide an explanation:   |
|   |                 |  |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| The Related Companies, L.P.  |
|--|
| (Print or type exact legal name of Disclosing Party)   |
| By: Malel & Bru  |
| (Sign here)  |
| Michael J. Brenner   |
| (Print or type name of person signing)   |
| Executive Vice President of Disclosing Party   |
| (Print or type title of person signing)  |
| Signed and sworn to before me on (date) $\frac{9/28/2017}{}$ at $\frac{\text{New York}}{}$ County, $\frac{\text{New York}}{}$ (state). |
| Coreni M. Collegan<br>Notary Public  |
| CORINE M COLLIGAN Notary Public. State of New York Registration #01CO6014998   |
| Qualified In New York County  Qommission Expires October 19, 20 17   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [⋈ No   |                       |
|-------------------|---|-----------------------|
| which such person | ntify below (1) the name and title of such person, (2) the name s connected; (3) the name and title of the elected city official cas a familial relationship, and (4) the precise nature of such familial relationship. | or department head to |
|                   |   |                       |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                     | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|---------------------|---|
| [ ] Yes | No                  |   |
|         |                     | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section           |
| [ ] Yes | [ ] <b>N</b> o      | [X] The Applicant is not publicly traded on any exchange.   |
|         | scofflaw or problem | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
|         |                     |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

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| A. Legal name of the Disclosing Party submitting Related LR Development LLC   | this EDS. Include d/b/a/ if applicable:   |  |
|---|---|--|
| Check ONE of the following three boxes:   |   |  |
| Indicate whether the Disclosing Party submitting 1. [ ] the Applicant OR  | this EDS is:  |  |
| the contract, transaction or other undertaking to w "Matter"), a direct or indirect interest in excess of                             | cipated to hold within six months after City action on thich this EDS pertains (referred to below as the 7.5% in the Applicant. State the Applicant's legal |  |
|   | ght of control of the Applicant (see Section II(B)(1)) closing Party holds a right of control:  |  |
| B. Business address of the Disclosing Party:  60 Columbus Circle  |   |  |
| <u> </u>  | New York, NY 10023  |  |
| C. Telephone: 212-421-5333 Fax: 212-80  | 1-3781 mbrenner@related.com   |  |
| D. Name of contact person: Michael J. Brenner   |   |  |
| E. Federal Employer Identification No. (if you ha   | ave one):   |  |
| F. Brief description of the Matter to which this E property, if applicable): Zoning map amendment for property located at 723-741 Wes | DS pertains. (Include project number and location of  |  |
| G. Which City agency or department is requesting  | g this EDS?Department of Planning and Development   |  |
| If the Matter is a contract being handled by the Ci complete the following:   | ty's Department of Procurement Services, please   |  |
| Specification # N/A a   | nd Contract # N/A   |  |

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Pa  | arty:  |  |  |
|--|--|--|--|
| [ ] Person   |  |  |  |
| Publicly registered business corporation   | [ ] Limited liability partnership                          |  |  |
| Privately held business corporation  | [ ] Joint venture  |  |  |
| Sole proprietorship  | [ ] Not-for-profit corporation                             |  |  |
| [ ] General partnership  | (Is the not-for-profit corporation also a 501(c)(3))       |  |  |
| [ ] Limited partnership  | []Yes []No   |  |  |
| [ ] Trust  | [ ] Other (please specify)                                 |  |  |
| Delaware   |  |  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign en | e of Illinois: Has the organization registered to do tity? |  |  |
| [ ] No   | [ ] Organized in Illinois                                  |  |  |
| B. IF THE DISCLOSING PARTY IS A LEG  | AL ENTITY:   |  |  |

List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name                           | Title                                      |
|--------------------------------|--|
| The Related Companies, L.P.    | Member                                     |
| Stephen M. Ross                | President                                  |
| Jeff T. Blau                   | Vice President                             |
| Bruco A Real Ir Vice President | Michael I Bronner Executive Vice President |

Bruce A. Beal, Jr., Vice President

Michael J. Brenner, Executive Vice President

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Business Address  panies, L.P. 60 Columbus Circle, NY, NY  | Percentage Interest in the Applicant 7 10023 71.94% indirect  |
|--|---|
| 60 Columbus Cir New York, NY 10023   |   |
| 60 Columbus Cir New York, NY 10023   | 21.22% indirect   |
| NCOME OR COMPENSATION TO, (  | OR OWNERSHIP BY, CITY ELECTED   |
| Party provided any income or compensate receding the date of this EDS?   | tion to any City elected official during the [ ] Yes [X] No   |
| g Party reasonably expect to provide any ing the 12-month period following the date  |   |
| the above, please identify below the name or compensation:   | ne(s) of such City elected official(s) and  |
| ted official or, to the best of the Disclosin lected official's spouse or domestic partner me Municipal Code of Chicago ("MCC")) | er, have a financial interest (as defined in  |
|  | Party provided any income or compensate receding the date of this EDS?  g Party reasonably expect to provide any ing the 12-month period following the date or compensation:  ted official or, to the best of the Disclosing the Municipal Code of Chicago ("MCC")) |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|---|
| (Add sheets if necessary)                                      |                     |   |   |
| Check here if the Disc   | closing Part        | y has not retained, nor expects to re                                       | tain, any such persons or entities.   |
| SECTION V CERTII   | FICATION            | s   |   |
| A. COURT-ORDERED   | CHILD SU            | PPORT COMPLIANCE  |   |
|  |                     | antial owners of business entities the support obligations throughout the   |   |
|  | •                   | ectly owns 10% or more of the Disc<br>ations by any Illinois court of compo | •   |
| []Yes [X]No []   | No person o         | directly or indirectly owns 10% or n  | nore of the Disclosing Party.   |
| If "Yes," has the person e is the person in complian           |                     | a court-approved agreement for pay agreement?                               | ment of all support owed and  |
| [ ] Yes [ ] No   |                     |   |   |
| B. FURTHER CERTIFIC  | CATIONS             |   |   |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A  |  |  |
|---|--|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |  |  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A   |  |  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |  |  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |  |  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>   |  |  |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |  |  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |  |  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a  |  |  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32   |   | n the meaning of MCC Chapter 2-32, explain  |
|--|---|---|
|  | " the word "None," or no response a med that the Disclosing Party certif  | • •   |
| D. CERTIFICATI   | ION REGARDING FINANCIAL I   | TEREST IN CITY BUSINESS   |
| Any words or term  | ns defined in MCC Chapter 2-156 ha  | eve the same meanings if used in this Part D.   |
| after reasonable in  |   | the best of the Disclosing Party's knowledge to of the City have a financial interest in his or natity in the Matter?   |
| [ ] Yes  | ⋈ No  |   |
|  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to   | o Items D(2) and D(3). If you checked "No" Part E.  |
| official or employed<br>other person or ent<br>taxes or assessment<br>"City Property Sal | ee shall have a financial interest in hatity in the purchase of any property ats, or (iii) is sold by virtue of legal | dding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?   |   |
| [ ] Yes  | [X] No  |   |
|  |   | nes and business addresses of the City officials fy the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest  |
|  | g Party further certifies that no prohity official or employee.   | ibited financial interest in the Matter will be   |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies

| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  |
|---|
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
|   |

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |  |  |
|--|--|--|
| Party with respect to the Matter: (Add sheets if necessary):   |  |  |
|  |  |  |
| are 1  |  |  |
| appear, it will be conclusively  | begins on the lines above, or if the letters "NA" or if the word "None" y presumed that the Disclosing Party means that NO persons or entities |  |
| registered under the Lobbyin<br>behalf of the Disclosing Part  | g Disclosure Act of 1995, as amended, have made lobbying contacts on with respect to the Matter.)  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the                             | Applicant?      |   |
|---|-----------------|---|
| [ ] Yes   | [ ] No          |   |
| If "Yes," answer the three                              | questions bel   | ow:   |
| Have you developed ar federal regulations? (See [ ] Yes | <del>-</del>    | ve on file affirmative action programs pursuant to applicable 60-2.)  |
| · ·   | the Equal Em    | ting Committee, the Director of the Office of Federal Contract aployment Opportunity Commission all reports due under the |
| [ ] Yes   | [ ] No          | [] Reports not required   |
| 3. Have you participated equal opportunity clause?      | in any previo   | us contracts or subcontracts subject to the   |
| [ ] Yes   | [ ] No          |   |
| If you checked "No" to que                              | estion (1) or ( | (2) above, please provide an explanation:   |
|   |                 |   |
|   |                 |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| Related LR Development LLC   |
|--|
| (Print or type exact legal name of Disclosing Party)   |
| By: Miles & Mr.  |
| (Sign here)  |
| Michael J. Brenner   |
| (Print or type name of person signing)   |
| Executive Vice President of Disclosing Party   |
| (Print or type title of person signing)  |
| Signed and sworn to before me on (date) 9/28/2017  at New York County, New York (state).  Core M. Coelega Notary Public                            |
| CORINE M. COLLIGAN  Notary Public, State of New York  Registration #01CO6014998  Qualified In New York County  Commission Expires October 19, 2015 |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes  If yes, please id | $[\times]$ No entify below (1) the name a | and title of such person, (2) the name of the legal entity to  |
|----------------------------|---|--|
| which such person          | is connected; (3) the name                | and title of the elected city official or department head to and (4) the precise nature of such familial relationship. |
|                            |   |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|-------------------|---|
| [ ] Yes | [⋈] No            |   |
|         | • • •             | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section           |
| [ ] Yes | [ ] No            | [X] The Applicant is not publicly traded on any exchange.   |
|         | offlaw or problen | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
|         |                   |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

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| A. Legal name of the Disclosing Party submitted LRD Group LLC            | ing this EDS. Include d/b/a/ if applicable:   |
|--|---|
| Check ONE of the following three boxes:                                  |   |
| "Matter"), a direct or indirect interest in excess                       | nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
|  | t right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control:   |
| B. Business address of the Disclosing Party:                             | 350 W. Hubbard St., Suite 300   |
| 2. Business address of the Biselesing Party.                             | Chicago, IL 60654   |
| C. Telephone: 312-595-7400 Fax: 312-                                     | -595-1898 wtippens@relatedmidwest.com Email:  |
| D. Name of contact person: William Tippens                               |   |
| E. Federal Employer Identification No. (if you                           | have one):  |
| property, if applicable):  | S EDS pertains. (Include project number and location of located at 723-741 West Randolph / 121-133 North Halsted / 724 West                                       |
| G. Which City agency or department is request                            | ting this EDS? Department of Planning and Development   |
| If the Matter is a contract being handled by the complete the following: | City's Department of Procurement Services, please   |
| Specification # N/A  | and Contract #  |

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#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

#### A. NATURE OF THE DISCLOSING PARTY

| <ol> <li>Indicate the nature of the Disclosing Pare</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol> | rty:  [X] Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No  [ ] Other (please specify)   |
|---|--|
| 2. For legal entities, the state (or foreign count Delaware   | try) of incorporation or organization, if applicable:  |
|   | of Illinois: Has the organization registered to do   |
| business in the State of Illinois as a foreign enti   |  |
| [ ] No  | [ ] Organized in Illinois  |
| B. IF THE DISCLOSING PARTY IS A LEGA  | AL ENTITY:   |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members whic similar entities, the trustee, executor, administ limited partnerships, limited liability compa  | plicable, of: (i) all executive officers and all directors of , all members, if any, which are legal entities (if there h are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant. |
| NOTE: Each legal entity listed below must sub   | omit an EDS on its own behalf.   |
| Name<br>Donald Biernacki  | Title<br>Member  |
| Related LR Development LLC  | Managing Member  |
| 2. Please provide the following information of  | oncerning each person or legal entity having a direct or   |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name                                     | None.                         | Business Address  | Percentage In               | terest in the   | Applicant  |
|--|-------------------------------|---|-----------------------------|-----------------|--|
| - A. | -                             |   |                             |                 | ATTACHER AND A STATE OF THE STA |
| SECTION<br>OFFICIA                       |                               | OME OR COMPENSATION   | I TO, OR OWNERSH            | IP BY, CIT      | Y ELECTED  |
|  |                               | ty provided any income or conding the date of this EDS?   | npensation to any City e    | elected officia | al during the<br>[⋈] No  |
| •  | _                             | rty reasonably expect to provi<br>the 12-month period following                                   | -                           |                 | ny City<br>[⋈] No  |
| •  |                               | above, please identify below to compensation:   | he name(s) of such City     | elected offic   | cial(s) and  |
| inquiry, an                              | y City electe<br>156 of the M | official or, to the best of the Died official's spouse or domestic funicipal Code of Chicago ("Mo | c partner, have a financi   | ial interest (a |  |
| If "ves." nl                             | ease identify                 | below the name(s) of such Cithe financial interest(s).  | ity elected official(s) and | d/or spouse(s   | s)/domestic  |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)                     | Business<br>Address   | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---|--|---|
|  |   |  |   |
| (Add sheets if necessary)  | )   | Tex voter ( passed voter to the passed voter t |   |
| [X] Check here if the Disc   | closing Party   | y has not retained, nor expects to ret   | tain, any such persons or entities.   |
| SECTION V CERTIF   | FICATION  | s  |   |
| A. COURT-ORDERED   | CHILD SUI   | PPORT COMPLIANCE   |   |
|  | •   | antial owners of business entities the support obligations throughout the  | ——————————————————————————————————————  |
|  | _   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe   | <b>—</b>  |
| [] Yes [] No [X]   | No person d   | irectly or indirectly owns 10% or m  | ore of the Disclosing Party.  |
| If "Yes," has the person e is the person in complian                               |   | a court-approved agreement for payagreement?   | ment of all support owed and  |
| [ ] Yes [ ] No   |   |  |   |
| B. FURTHER CERTIFIC  | CATIONS   |  |   |
| Procurement Services.] I<br>Party nor any Affiliated I<br>performance of any publi | In the 5-year<br>Entity [ <u>see</u> de<br>ic contract, the | the Matter is a contract being handle<br>period preceding the date of this Elefinition in (5) below] has engaged,<br>the services of an integrity monitor,   | DS, neither the Disclosing in connection with the independent private sector                              |

- inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A  |
|---|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  N/A  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  [ ] is [X] is not  |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."   |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

| MCC Section 2-3  | • •   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|--|---|--|
|  |   |  |
|  | ," the word "None," or no response a umed that the Disclosing Party certi-  | • •  |
| D. CERTIFICAT  | TION REGARDING FINANCIAL II   | NTEREST IN CITY BUSINESS   |
| Any words or ter   | ms defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |
| after reasonable i   |   | ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?   |
| [ ] Yes  | [⋈ No   |  |
|  | hecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employ<br>other person or en<br>taxes or assessment<br>"City Property Sa | vee shall have a financial interest in latity in the purchase of any property ents, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter i  | nvolve a City Property Sale?  |  |
| [ ] Yes  | [⋈] No  |  |
|  |   | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name   | Business Address  | Nature of Financial Interest   |
|  |   |  |
|  | ng Party further certifies that no proh   | ibited financial interest in the Matter will be  |

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### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of      |
|---|
| the Disclosing Party and any and all predecessor entities regarding records of investments or profits |
| from slavery or slaveholder insurance policies during the slavery era (including insurance policies   |
| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and  |
| the Disclosing Party has found no such records.   |
|   |

| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) abo       | ve, the                    |
|---|----------------------------|
| Disclosing Party has found records of investments or profits from slavery or slaveholder insu     | rance                      |
| policies. The Disclosing Party verifies that the following constitutes full disclosure of all suc | h                          |
| records, including the names of any and all slaves or slaveholders described in those records:    |                            |
|   | <del></del>                |
|   |                            |
|   | N.A., Mar Whendard Weddown |
|   |                            |

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosin Party with respect to the Matter: (Add sheets if necessary):  |  |  |
|---|--|--|
|   |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on |  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party t [ ] Yes  | he Applicant?<br>[ ] No                 |   |
|--|---|---|
| If "Yes," answer the thre  | ee questions be                         | elow:   |
| <ol> <li>Have you developed federal regulations? (See [ ] Yes</li> </ol> | •                                       | ave on file affirmative action programs pursuant to applicable t 60-2.)   |
| _  | or the Equal Erments?                   | orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the  [] Reports not required |
| 3. Have you participate equal opportunity clause [ ] Yes                 | · -                                     | ous contracts or subcontracts subject to the  |
| If you checked "No" to   | question (1) or                         | (2) above, please provide an explanation:   |
|  | W V V V V V V V V V V V V V V V V V V V |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| LRD Group LLC                                   |  |
|---|--|
| (Print or type exact legal name of Disclosing P | arty)  |
| By:   |  |
| (Sign here)                                     | · Commerce   |
| Donald Biernacki                                |  |
| (Print or type name of person signing)          | <del></del>  |
| Member of Disclosing Party                      |  |
| (Print or type title of person signing)         | Name of the second seco |
| Signed and sworn to before me on (date)         | 198/17   |
| at Cook County, \L (                            | state).  |
| and Deling Johns                                | OFFICIAL SEAL  |
| Notary Public                                   | JACALYN M FINKEL GUON NOTARY PUBLIC - STATE OF ILLINOIS  |
| Commission expires: 5/13/18                     | MY COMMISSION EXPIRES:05/12/18   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [⋈ No                      |   |
|-------------------|----------------------------|---|
| which such person | is connected; (3) the name | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to, and (4) the precise nature of such familial relationship. |
|                   |                            |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# **BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                     | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|---------------------|---|
| [ ] Yes | [⋈] No              |   |
|         |                     | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section             |
| [ ] Yes | [ ] No              | [X] The Applicant is not publicly traded on any exchange.   |
| •       | scofflaw or problem | dentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
|         |                     |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

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| A. Legal name of the Disclosing Party submitt   | ing this EDS.                                   | Include d/b/a/ if applicable:  |
|---|---|--|
| LR Development Company LLC (d/b/a Related   | d Midwest LL                                    | C)   |
| Check ONE of the following three boxes:   | ,   |  |
| Indicate whether the Disclosing Party submitting 1. [ ] the Applicant OR 2. [X] a legal entity currently holding, or any the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: Randolph Halsted LLC OR | nticipated to he which this E<br>of 7.5% in the | old within six months after City action on DS pertains (referred to below as the |
| 3. [] a legal entity with a direct or indirect State the legal name of the entity in which the I  |   |  |
| B. Business address of the Disclosing Party:  | 350 W. Hub                                      | bard St., Suite 300  |
|   | Chicago, IL                                     | 60654  |
| C. Telephone: 312-595-7400 Fax: 312-  | 595-1898  | wt/ppens@relatedmidwest.com Email:   |
| D. Name of contact person: William Tippens  | - n - no monomida                               |  |
| E. Federal Employer Identification No. (if you  | have one):                                      |  |
| F. Brief description of the Matter to which this property, if applicable):  An application for a Zoning Zoning map amendment for property Washington  |   |  |
| G. Which City agency or department is request   | ing this EDS?                                   | Department of Planning and Development   |
| If the Matter is a contract being handled by the complete the following:  | City's Depart                                   | ment of Procurement Services, please   |
| Specification # N/A   | _ and Contrac                                   | t#   |

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### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY

| <ol> <li>Indicate the nature of the Disclosing Partial</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> </ol> | <ul> <li>X Limited liability company</li> <li>[ ] Limited liability partnership</li> <li>[ ] Joint venture</li> <li>[ ] Not-for-profit corporation</li> <li>(Is the not-for-profit corporation also a 501(c)(3))?</li> <li>[ ] Yes</li> <li>[ ] No</li> </ul>   |
|---|---|
| [ ] Trust   | [ ] Other (please specify)  |
| 2. For legal entities, the state (or foreign cour   | ntry) of incorporation or organization, if applicable:  |
| Delaware  |   |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign en  | e of Illinois: Has the organization registered to do atity?   |
| [ ] No  | [ ] Organized in Illinois   |
| B. IF THE DISCLOSING PARTY IS A LEG   | GAL ENTITY:   |
| the entity; (ii) for not-for-profit corporation are no such members, write "no members whi similar entities, the trustee, executor, administimited partnerships, limited liability comp   | pplicable, of: (i) all executive officers and all directors of is, all members, if any, which are legal entities (if there ich are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or it of the Applicant. |
| NOTE: Each legal entity listed below must su  | ubmit an EDS on its own behalf.   |
| Name<br>Related LR Development LLC  | Title<br>Managing Member  |
| Curt Bailey   | Member, President   |
| LRD Group LLC   | Member  |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name<br>Related LR Deve | Business Address lopment LLC 60 Columbus C  |                               | nterest in the Applicant<br>79.93% |  |  |
|-------------------------|---|-------------------------------|------------------------------------|--|--|
| Curt Bailey             | 350 W. Hubbard St., Ste 300   | Chicago, IL 60654             | 16%                                |  |  |
| LRD Group LLC           | 350 W. Hubbard St., Ste 300   | Chicago, IL 60654             | 10.6%                              |  |  |
| SECTION III I           | INCOME OR COMPENSAT   | TON TO, OR OWNERSI            | HIP BY, CITY ELECTE                |  |  |
| _                       | g Party provided any income or preceding the date of this EDS?  |                               | elected official during the        |  |  |
|                         | Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [X] No |                               |                                    |  |  |
| •                       | of the above, please identify belome or compensation:   | ow the name(s) of such Cit    | y elected official(s) and          |  |  |
| inquiry, any City e     | cted official or, to the best of the<br>elected official's spouse or dom<br>the Municipal Code of Chicago   | estic partner, have a financ  | cial interest (as defined in       |  |  |
| If "yes," please ide    | entify below the name(s) of suc   | h City elected official(s) as | nd/or spouse(s)/domestic           |  |  |

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

partner(s) and describe the financial interest(s).

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address                    | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--|---|--|
| (Add sheets if necessary)                                      | 10000000000000000000000000000000000000 |   |  |
| •  |  | y has not retained, nor expects to re   | tain, any such persons or entities.  |
| SECTION V CERTI  |  | <u>-</u>  | , р от опили   |
| A. COURT-ORDERED   |  |   |  |
| Under MCC Section 2-92   | 2-415, subst                           | antial owners of business entities th   |  |
|  |  | ectly owns 10% or more of the Disc<br>ations by any Illinois court of compe   |  |
| []Yes [X]No []   | No person d                            | lirectly or indirectly owns 10% or m  | nore of the Disclosing Party.  |
| If "Yes," has the person e<br>is the person in complian        |  | a court-approved agreement for pay agreement?   | ment of all support owed and   |
| [ ] Yes [ ] No   |  |   |  |
| B. FURTHER CERTIFI   | CATIONS                                |   |  |
| Procurement Services.] I<br>Party nor any Affiliated I         | in the 5-year<br>Entity [ <u>see</u> d | the Matter is a contract being handle<br>r period preceding the date of this E<br>lefinition in (5) below] has engaged<br>the services of an integrity monitor, | DS, neither the Disclosing, in connection with the   |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A  |
|---|
|   |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusive presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  N/A  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [⋈] is not</li> </ol>   |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

|  | onal pages if necessary):   | in the meaning of MCC Chapter 2-32, explain   |
|--|---|---|
| •  | the word "None," or no response a timed that the Disclosing Party certi   | appears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICAT  | ION REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS  |
| Any words or term  | ns defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.   |
| after reasonable in  |   | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?  |
| [ ] Yes  | [X] No  |   |
| <del>-</del>   | ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" o Part E.   |
| official or employ<br>other person or en<br>taxes or assessmen<br>"City Property Sal | ee shall have a financial interest in latity in the purchase of any property its, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected<br>his or her own name or in the name of any<br>that (i) belongs to the City, or (ii) is sold for<br>process at the suit of the City (collectively,<br>en pursuant to the City's eminent domain<br>he meaning of this Part D. |
| Does the Matter in   | wolve a City Property Sale?   |   |
| [ ] Yes  | ⊠ No  | ·   |
|  |   | mes and business addresses of the City officials fy the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest  |
|  | g Party further certifies that no prohity official or employee.   | ibited financial interest in the Matter will be   |

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### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party  |
|---|
| must disclose below or in an attachment to this EDS all information required by (2). Failure to |
| comply with these disclosure requirements may make any contract entered into with the City in   |
| connection with the Matter voidable by the City.  |
|   |

| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of      |
|---|
| the Disclosing Party and any and all predecessor entities regarding records of investments or profits |
| from slavery or slaveholder insurance policies during the slavery era (including insurance policies   |
| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and  |
| the Disclosing Party has found no such records.   |
|   |

| 2. The         | Disclosing Party verifies that, as a result of conducting the search in step (1) above, the |
|----------------|---|
| Disclosing P   | arty has found records of investments or profits from slavery or slaveholder insurance      |
| policies. The  | e Disclosing Party verifies that the following constitutes full disclosure of all such      |
| records, inclu | uding the names of any and all slaves or slaveholders described in those records:           |
| 1000100, 11101 | and the names of any and an staves of stavenorders described in those records.              |
|                | ading the names of any and an slaves of slavenoiders described in those records.            |
|                | adding the names of any time an slaves of slavenoiders described in those records.          |
|                | adding the names of any time an slaves of slavenoiders described in those records.          |

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |  |  |
|---|--|--|
|   |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Part   |  |   |
|--|--|---|
| If "Yes," answer the the   | hree questions be                      | elow:   |
| <ol> <li>Have you develop federal regulations? (</li> <li>Yes</li> </ol> | See 41 CFR Part                        | ave on file affirmative action programs pursuant to applicable t 60-2.)   |
|  | s, or the Equal Entrements?            | orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the  [] Reports not required |
| 3. Have you participal equal opportunity class [ ] Yes                   | ise?                                   | ous contracts or subcontracts subject to the  |
| If you checked "No" t  | o question (1) or                      | (2) above, please provide an explanation:   |
|  | :::::::::::::::::::::::::::::::::::::: |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| LR Development Company LLC (d/b/a Related Midwest LLC)   |
|--|
| (Print or type exact legal name of Disclosing Party)   |
| By: (Sign here)  |
| Curt Bailey  |
| (Print or type name of person signing)   |
| President of Disclosing Party  |
| (Print or type title of person signing)  |
| Signed and sworn to before me on (date) 918/17,  |
| at COOK County, [ (state).   |
| Notary Public  OFFICIAL SEAL  JACALYN M FINKEL GUON  NOTARY PUBLIC - STATE OF ILLINOIS  MY COMMISSION EXPIRES:05/12/18 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [⋈ No                      |   |
|-------------------|----------------------------|---|
| which such person | is connected; (3) the name | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to, and (4) the precise nature of such familial relationship. |
|                   |                            |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# **BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|---|---|
| [ ] Yes | [X] No                                  |   |
|         |   | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section             |
| [ ] Yes | [ ] No                                  | [X] The Applicant is not publicly traded on any exchange.   |
|         | offlaw or problen                       | lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
|         | ~ · · · · · · · · · · · · · · · · · · · |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing Party submitting The Related Realty Group, Inc.  | ng this EDS. Include d/b/a/ if applicable:   |
|--|--|
| Check ONE of the following three boxes:  |  |
| the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name:                       | ticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
| OR 3. [X] a legal entity with a direct or indirect State the legal name of the entity in which the D The Related Companies, L.P. | right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control:  |
| B. Business address of the Disclosing Party:   | 60 Columbus Circle   |
| b. Business address of the Discrosing Party.   | New York, NY 10023   |
| C. Telephone: 212-421-5333 Fax: 212-6  | 801-3781 mbrenner@related.com  |
| D. Name of contact person: Michael J. Brenner  | r  |
| E. Federal Employer Identification No. (if you   |  |
| property, if applicable):  | EDS pertains. (Include project number and location of West Randolph / 121-133 North Halsted / 724 West Washington  |
| G. Which City agency or department is request  | ing this EDS? Department of Planning and Development   |
| If the Matter is a contract being handled by the complete the following:   | City's Department of Procurement Services, please  |
| Specification # N/A  | and Contract # N/A   |
|  | ge 1 of 14   |

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

|   | rty:   |
|---|--|
| Person  | [ ] Limited liability company                          |
| Publicly registered business corporation          | [ ] Limited liability partnership                      |
| Privately held business corporation               | [ ] Joint venture                                      |
| Sole proprietorship                               | Not-for-profit corporation                             |
| [ ] General partnership                           | (Is the not-for-profit corporation also a 501(c)(3))   |
| [ ] Limited partnership                           | [ ] Yes [ ] No   |
| [ ] Trust   | [ ] Other (please specify)                             |
| 2. For legal entities, the state (or foreign cour | ntry) of incorporation or organization, if applicable: |
| 2. For legal entities, the state (or foreign cour | ntry) of incorporation or organization, if applicable: |
| Delaware  | of Illinois: Has the organization registered to do     |

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name               | Title                                |
|--------------------|--------------------------------------|
| Stephen M. Ross    | Sole Stockholder, Director, Chairman |
| Jeff T. Blau       | Director Chief Executive Officer     |
| Bruce A. Beal, Jr. | President                            |
| Michael J. Brenner | CFO, EVP, Treasuer, Director         |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

Percentage Interest in the Applicant

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

**Business Address** 

| Stephen M. Ross   | 60 Columbus Cir New York, NY 10023  | 45.32%  |
|---|---|---|
| Jeff T. Blau  | 60 Columbus Cir New York, NY 10023  | 21.22%  |
| SECTION III II<br>OFFICIALS   | NCOME OR COMPENSATION TO, O   | OR OWNERSHIP BY, CITY ELECTE  |
|   | Party provided any income or compensativeceding the date of this EDS?   | ion to any City elected official during the [ ] Yes [X] No          |
| -   | g Party reasonably expect to provide any i<br>ing the 12-month period following the date  |   |
|   | the above, please identify below the name ne or compensation:   | e(s) of such City elected official(s) and                           |
| inquiry, any City el<br>Chapter 2-156 of th<br>[ ] Yes<br>If "yes," please ider | ted official or, to the best of the Disclosing lected official's spouse or domestic partner ne Municipal Code of Chicago ("MCC")) i  [X] No  ntify below the name(s) of such City electeribe the financial interest(s). | r, have a financial interest (as defined in n the Disclosing Party? |
|   |   |   |

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name

| Name (indicate whether retained or anticipated to be retained)   | Business<br>Address   | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response.                             |
|--|---|---|--|
| (Add sheets if necessary)  |   |   |  |
| [⋈ Check here if the Disc  | closing Part  | y has not retained, nor expects to ret  | tain, any such persons or entities.  |
| SECTION V CERTII   | FICATION  | S   |  |
| A. COURT-ORDERED   | CHILD SU  | PPORT COMPLIANCE  |  |
|  |   | antial owners of business entities the support obligations throughout the   | <del>-</del>   |
|  |   | ectly owns 10% or more of the Disc<br>ations by any Illinois court of compe   |  |
| [] Yes [X] No []   | No person o   | lirectly or indirectly owns 10% or m  | nore of the Disclosing Party.  |
| If "Yes," has the person e is the person in complian   |   | a court-approved agreement for pay agreement?   | ment of all support owed and   |
| [ ] Yes [ ] No   |   |   |  |
| B. FURTHER CERTIFIC  | CATIONS   |   |  |
| Procurement Services.] I<br>Party nor any Affiliated I<br>performance of any publi<br>inspector general, or integ<br>investigative, or other sin | in the 5-year<br>Entity <u>[see</u> d<br>c contract, t<br>grity compli<br>nilar skills, o | the Matter is a contract being handled reperiod preceding the date of this Elefinition in (5) below] has engaged, the services of an integrity monitor, tance consultant (i.e., an individual of designated by a public agency to help as well as help the vendors reform the | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the |

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2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A  |  |  |
|---|--|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusive presumed that the Disclosing Party certified to the above statements.  |  |  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cirof Chicago (if none, indicate with "N/A" or "none").  N/A   |  |  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appoint official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anythin made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |  |  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |  |  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>   |  |  |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |  |  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |  |  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further   |  |  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32  |   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|---|---|--|
|   | " the word "None," or no response a med that the Disclosing Party certif  | ppears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICAT   | ION REGARDING FINANCIAL II  | NTEREST IN CITY BUSINESS   |
| Any words or term   | ns defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |
| after reasonable in   |   | ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?   |
| [ ] Yes   | [⋈] No  |  |
| -   | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employ<br>other person or en<br>taxes or assessment<br>"City Property Sai | ee shall have a financial interest in l<br>tity in the purchase of any property<br>nts, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in  | nvolve a City Property Sale?  |  |
| [ ] Yes   | [⋈] No  |  |
| •   | * * * •   | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name  | Business Address  | Nature of Financial Interest   |
|   |   |  |
|   | g Party further certifies that no proh  | ibited financial interest in the Matter will be  |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in  |
|---|
| connection with the Matter voidable by the City.  |
| X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the  |

| •                                     | f investments or profits from slavery or slaveholder insurance |
|---------------------------------------|--|
|                                       | es that the following constitutes full disclosure of all such  |
| records, including the names of any a | nd all slaves or slaveholders described in those records:      |
|                                       |  |
|                                       |  |
|                                       |  |
|                                       |  |

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing                   |  |  |
|--|--|--|
| Party with respect to the Matter: (Add sheets if necessary):   |  |  |
|  |  |  |
|  |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities |  |  |
| registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts of   |  |  |
| behalf of the Disclosing Party with respect to the Matter.)  |  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party th   | e Applicant?   |   |
|--|----------------|---|
| [ ] Yes  | [ ] No         |   |
| If "Yes," answer the three   | e questions be | elow:   |
| <ol> <li>Have you developed a federal regulations? (See [ ] Yes</li> </ol> |                | ave on file affirmative action programs pursuant to applicable t 60-2.)   |
| Compliance Programs, or applicable filing requirem                         | r the Equal Er | orting Committee, the Director of the Office of Federal Contract<br>imployment Opportunity Commission all reports due under the |
| [ ] Yes  | [ ] No         | [] Reports not required   |
| 3. Have you participated equal opportunity clause?                         |                | ous contracts or subcontracts subject to the  |
| []Yes  | [ ] No         |   |
| If you checked "No" to qu  | uestion (1) or | (2) above, please provide an explanation:   |
|  |                |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| The Related Realty Group, Inc.  |          |
|---|----------|
| (Print or type exact legal name of Disclosing Party)  | 1        |
| By: Milil & Prem  |          |
| (Sign here)   |          |
| Michael J. Brenner  |          |
| (Print or type name of person signing)  |          |
| Executive Vice President of Disclosing Party  |          |
| (Print or type title of person signing)   |          |
| Signed and sworn to before me on (date) 9/28/2017  at New York County, New York (state).  County M Callign  Notary Public | <u> </u> |
| CORINE M. COLLIGAN  Notary Public, State of New York  Registration #01CO6014998   |          |

Commission Expires October 19, 20 12

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] Yes            | [X] No                     |   |
|-------------------|----------------------------|---|
| which such person | is connected; (3) the name | e and title of such person, (2) the name of the legal entity to<br>e and title of the elected city official or department head to<br>o, and (4) the precise nature of such familial relationship. |
|                   |                            |   |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?   |
|---------|-------------------|--|
| [ ] Yes | [X] No            |  |
|         |                   | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| [ ] Yes | [ ] No            | [X] The Applicant is not publicly traded on any exchange.  |
|         | offlaw or problen | entify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing Party submit Madmorg RH LLC  | ting this EDS                                 | Include d/b/a/ if applicable:  |
|--|---|--|
| Check ONE of the following three boxes:  |   |  |
| Indicate whether the Disclosing Party submitti  1. [X] the Applicant [X] Propert  OR  2. [] a legal entity currently holding, or a the contract, transaction or other undertaking t "Matter"), a direct or indirect interest in excess name:  OR | anticipated to lowhich this Es of 7.5% in the | nold within six months after City action on EDS pertains (referred to below as the ae Applicant. State the Applicant's legal |
| 3. [] a legal entity with a direct or indirect State the legal name of the entity in which the   | _   | •  |
| B. Business address of the Disclosing Party:   | 350 W. Hul                                    | obard St., Suite 300   |
| 2. Business underess of the Bisonosing Party.  | Chicago, IL                                   | . 60654  |
| C. Telephone: 312-595-7400 Fax: 312  | -595-1898                                     | wtippens@relatedmidwest.com Email:   |
| D. Name of contact person: William Tippens   |   |  |
| E. Federal Employer Identification No. (if you   | u have one): _                                |  |
| F. Brief description of the Matter to which this property, if applicable):  An application for a Zoning Zoning map amendment for property Washington   | -   |  |
| G. Which City agency or department is reques   | sting this EDS                                | Pepartment of Planning and Development   |
| If the Matter is a contract being handled by the complete the following:   |   |  |
| Specification # N/A  | and Contract                                  | ot #   |
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes []No [ ] Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes []No ○ Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Sole Member LR Development Company LLC

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name  | Business Address   | Percentage In            | iterest in the   | Annlicant                                     |
|---|--|--------------------------|------------------|---|
|   | Company LLC 350 W. Hubbard St.   |                          |                  | 100%  |
| •   |  |                          |                  |   |
| SECTION III II<br>OFFICIALS                   | NCOME OR COMPENSATION  | TO, OR OWNERSH           | IIP BY, CIT      | Y ELECTE                                      |
|   | Party provided any income or compreceding the date of this EDS?  | pensation to any City of | elected officia  | al during the                                 |
|   | g Party reasonably expect to providing the 12-month period following t   |                          |                  | ny City<br>[⋈] No                             |
|   | the above, please identify below the or compensation:  | e name(s) of such City   | y elected offic  | cial(s) and                                   |
| inquiry, any City el                          | ted official or, to the best of the Dis<br>lected official's spouse or domestic<br>ne Municipal Code of Chicago ("MO | partner, have a financi  | ial interest (as |   |
|   | ntify below the name(s) of such Cityribe the financial interest(s).  | y elected official(s) an | id/or spouse(s   | )/domestic                                    |
| If "yes," please ider<br>partner(s) and descr | ntify below the name(s) of such City   |                          |                  | <u>, , , , , , , , , , , , , , , , , , , </u> |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf.

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

|  |                     |   | •          |   |
|--|---------------------|---|------------|---|
| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosin<br>(subcontractor, attorney,<br>lobbyist, etc.) | g Party    | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
| DLA Piper 444 West Lak   | e Street, Su        | ite 900 Chicago, IL 6060  | 6 Attorn   |   |
| Roger Ferris + Partners  | 11 Wilton Ro        | d. Westport, CT 06880   | Arc        | chitect \$10,000 estimated  |
| Perkins Eastman 351 W.   | Hubbard S           | t. Chicago, IL 606054   | Archit     | ect \$10,000 estimated  |
| (Add sheets if necessary)                                      |                     |   |            |   |
| [ ] Check here if the Disc                                     | losing Party        | has not retained, nor expe  | ects to re | tain, any such persons or entities  |
| SECTION V CERTIF   | ICATIONS            | 8   |            |   |
| A. COURT-ORDERED   | CHILD SUF           | PPORT COMPLIANCE  |            |   |
|  |                     | ntial owners of business e<br>support obligations throug                  |            | at contract with the City must contract's term.   |
| · -  | -                   | ectly owns 10% or more of<br>tions by any Illinois court                  |            | losing Party been declared in etent jurisdiction?   |
| []Yes []No [X]]  | No person di        | irectly or indirectly owns  | 10% or m   | nore of the Disclosing Party.   |
| If "Yes," has the person entire is the person in compliant     |                     |   | it for pay | ment of all support owed and  |
| [ ] Yes  |                     |   |            |   |
| B. FURTHER CERTIFIC  | CATIONS             |   |            |   |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
|  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is   is not</li> </ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

|  | 55(b)) is a predatory lender with   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|--|---|--|
|  | e word "None," or no response of that the Disclosing Party certi  | appears on the lines above, it will be fied to the above statements.   |
| D. CERTIFICATION   | NREGARDING FINANCIAL I  | NTEREST IN CITY BUSINESS   |
| Any words or terms d   | lefined in MCC Chapter 2-156 h  | have the same meanings if used in this Part D.   |
| after reasonable inqui   |   | he best of the Disclosing Party's knowledge<br>se of the City have a financial interest in his or<br>entity in the Matter?   |
| [ ] Yes  | [⋈ No   |  |
|  | ed "Yes" to Item D(1), proceed ms D(2) and D(3) and proceed t   | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employee so<br>other person or entity<br>taxes or assessments,<br>"City Property Sale"). | hall have a financial interest in<br>in the purchase of any property<br>or (iii) is sold by virtue of legal | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, seen pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter invol  | ve a City Property Sale?  |  |
| [ ] Yes  | [⋉] No  |  |
|  |   | mes and business addresses of the City officials ify the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest   |
| 4. The Disclosing Pa   |   | nibited financial interest in the Matter will be   |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

| connection with the Matter voidable by the City.  |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant?  |
|---|
| []Yes []No  |
| If "Yes," answer the three questions below:   |
| <ol> <li>Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)</li> <li>Yes</li> <li>No</li> </ol>   |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contraction Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? |
| [] Yes [] No [] Reports not required  |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?   |
| []Yes []No  |
| If you checked "No" to question (1) or (2) above, please provide an explanation:  |
|   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| Madmorg RH LLC   |  |
|--|--|
| (Print or type exact legal name of Disclosing Party)         | )  |
| By:  |  |
| (Sign here)  |  |
| Curt Bailey  |  |
| (Print or type name of person signing)                       |  |
| President of Sole Member of Disclosing Party                 |  |
| (Print or type title of person signing)                      |  |
| Signed and sworn to before me on (date) $\frac{Q \log q}{Q}$ | 8117   |
| Signed and swom to before the on (date)                      | <u></u> ,  |
| at COOK County, (state                                       | e).  |
| Coralia W Kink alara   |  |
| Notary Public  | OFFICIAL SEAL  |
| Commission expires: 5/13/18                                  | JACALYN M FINKEL GUON NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:05/12/18 |
| Commission expires: 5/14/18                                  | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~   |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [⋈ No                         |  |
|-------------------|-------------------------------|--|
| which such person | is connected; (3) the name an | d title of such person, (2) the name of the legal entity to<br>nd title of the elected city official or department head to<br>nd (4) the precise nature of such familial relationship. |
|                   |                               |  |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### **BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                     | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|---------------------|---|
| [ ] Yes | [⋈] No              |   |
|         | <b>.</b>            | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| [ ] Yes | [ ] No              | The Applicant is not publicly traded on any exchange.   |
| • , ,   | scofflaw or problen | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
|         |                     |   |
|         |                     |   |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

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| A. Legal name of the Disclosing Party submitting  | ng this EDS. Include d/b/a/ if applicable:  |
|---|---|
| LRD Group LLC   |   |
| Check ONE of the following three boxes:   |   |
| the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess on name:  OR | nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
| 3. [ ] a legal entity with a direct or indirect State the legal name of the entity in which the D                 | right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control:   |
| B. Business address of the Disclosing Party:  | 350 W. Hubbard St., Suite 300   |
|   | Chicago, IL 60654   |
| C. Telephone: 312-595-7400 Fax: 312-5   | 595-1898 wtippens@relatedmidwest.com  |
| D. Name of contact person: William Tippens  | <u> </u>  |
| E. Federal Employer Identification No. (if you l  | have one):  |
| property, if applicable):   | EDS pertains. (Include project number and location of ocated at 723-741 West Randolph / 121-133 North Halsted / 724 West  |
| G. Which City agency or department is requesti  | ing this EDS? Department of Planning and Development  |
| If the Matter is a contract being handled by the Complete the following:  | City's Department of Procurement Services, please   |
| Specification # N/A   | and Contract # N/A  |

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#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

#### A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Parl [ ] Person [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust | rty:  [X] Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No  [ ] Other (please specify)  |
|---|---|
| 2. For legal entities, the state (or foreign coun   | try) of incorporation or organization, if applicable:   |
| Delaware  |   |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign ent   | of Illinois: Has the organization registered to do ity?   |
| Yes [] No   | [ ] Organized in Illinois   |
| B. IF THE DISCLOSING PARTY IS A LEGA  | AL ENTITY:  |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compa                                   | plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or inies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or to of the Applicant. |
| NOTE: Each legal entity listed below must sul   | bmit an EDS on its own behalf.  |
| Name<br>Donald Biernacki  | Title<br>Member   |
| Related LR Development LLC  | Managing Member   |
|   | oncerning each person or legal entity having a direct or  |

indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name   | Business Address  | Percentage Interest in the Applicant  |
|--|---|---|
| SECTION III OFFICIALS  | INCOME OR COMPENSATION  | N TO, OR OWNERSHIP BY, CITY ELEC  |
|  | ing Party provided any income or cord preceding the date of this EDS?         | npensation to any City elected official during  |
|  | sing Party reasonably expect to providuring the 12-month period following     | ide any income or compensation to any City g the date of this EDS? [] Yes [X] N   |
| •  | r of the above, please identify below t<br>acome or compensation:             | the name(s) of such City elected official(s) and  |
| inquiry, any Cit<br>Chapter 2-156 c<br>[ ] Yes<br>If "yes," please | y elected official's spouse or domestion of the Municipal Code of Chicago ("M | isclosing Party's knowledge after reasonable c partner, have a financial interest (as defined ACC")) in the Disclosing Party?  ity elected official(s) and/or spouse(s)/domesti |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### S

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated to be retained)                     | Business<br>Address   | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---|--|--|
|  |   |  |  |
| (Add sheets if necessary)  |   |  |  |
| [⋈] Check here if the Disc   | closing Party   | has not retained, nor expects to ret   | tain, any such persons or entities.  |
| SECTION V CERTII   | FICATION  | S  |  |
| A. COURT-ORDERED   | CHILD SUI   | PPORT COMPLIANCE   |  |
|  |   | antial owners of business entities the support obligations throughout the  | <del>-</del>   |
| <b>, -</b>   | •   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe   | •  |
| [] Yes [] No [X]   | No person d   | irectly or indirectly owns 10% or m  | ore of the Disclosing Party.   |
| If "Yes," has the person e is the person in complian                               |   | a court-approved agreement for pay agreement?  | ment of all support owed and   |
| [] Yes [] No   |   |  |  |
| B. FURTHER CERTIFIC  | CATIONS   |  |  |
| Procurement Services.] I<br>Party nor any Affiliated I<br>performance of any publi | In the 5-year<br>Entity [see december of the contract, the contract contract, the contract | he Matter is a contract being handle<br>period preceding the date of this E<br>efinition in (5) below] has engaged,<br>he services of an integrity monitor,<br>ance consultant (i.e., an individual of | DS, neither the Disclosing in connection with the independent private sector                               |

- investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A  |
|---|
|   |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  N/A  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>   |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."   |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

|   | (b)) is a predatory lender with  | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|---|--|--|
| •   | word "None," or no response that the Disclosing Party certi  | appears on the lines above, it will be fied to the above statements.   |
| D. CERTIFICATION I  | REGARDING FINANCIAL I  | NTEREST IN CITY BUSINESS   |
| Any words or terms def  | ined in MCC Chapter 2-156 h  | nave the same meanings if used in this Part D.   |
| after reasonable inquiry  |  | the best of the Disclosing Party's knowledge the City have a financial interest in his or entity in the Matter?  |
| [ ] Yes   | [⋈] No   |  |
| •   | I "Yes" to Item D(1), proceed to D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employee sha<br>other person or entity in<br>taxes or assessments, or<br>"City Property Sale"). | all have a financial interest in<br>the purchase of any property<br>(iii) is sold by virtue of legal | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, seen pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter involve   | e a City Property Sale?  |  |
| [ ] Yes   | [⋉] No   |  |
|   |  | imes and business addresses of the City officials ify the nature of the financial interest:  |
| Name  | Business Address   | Nature of Financial Interest   |
| 4. The Disclosing Part acquired by any City of  | - <del>-</del>   | nibited financial interest in the Matter will be   |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

| connection with the Matter voidable by the City.  |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| CECTION VI. CERTIFICATIONS FOR PEREDALLY FUNDER MATTERS   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |

Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant?  [] Yes [] No  If "Yes," answer the three questions below:  1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) |
|--|
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  |
| federal regulations? (See 41 CFR Part 60-2.)   |
| []Yes []No   |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?               |
| [] Yes [] No [] Reports not required   |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  |
| []Yes []No   |
| If you checked "No" to question (1) or (2) above, please provide an explanation:   |
|  |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| LRD Group LLC  |  |
|--|--|
| (Print or type exact legal name of Disclosing Party)                                     |  |
| By:  |  |
| (Sign here)  |  |
| Donald Biernacki   |  |
| (Print or type name of person signing)   |  |
| Member of Disclosing Party   |  |
| (Print or type title of person signing)  |  |
| Signed and sworn to before me on (date) 9/38/ at Cook County, 1L (state).  Notary Public | OFFICIAL SEAL  JACALYN M FINKEL GUON                               |
| Commission expires: 5/12/18  | NOTARY PUBLIC - STATE OF ILLTRO'S LOY COAD POSION EXPIRES 05/12/19 |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [X] No   |  |
|-------------------|--|--|
| which such person | is connected; (3) the name   | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to and (4) the precise nature of such familial relationship. |
|                   | THE STATE OF THE S |  |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|                                       |                   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------------------------------------|-------------------|---|
| []Yes                                 | [X] No            |   |
|                                       |                   | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section           |
| [ ] Yes                               | [ ] No            |   |
| · · · · · · · · · · · · · · · · · · · | offlaw or problem | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

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| A. Legal name of the Disclosing Party submitting LR Development Company LLC (d/b/a Related           | ••  |
|--|---|
| Check ONE of the following three boxes:  |   |
| Indicate whether the Disclosing Party submittin  1. [ ] the Applicant  OR                            | ng this EDS is:   |
| 2. [X] a legal entity currently holding, or ar the contract, transaction or other undertaking to     | nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
| OR  3. [] a legal entity with a direct or indirect State the legal name of the entity in which the I | t right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control:   |
| B. Business address of the Disclosing Party:   | 350 W. Hubbard St., Suite 300   |
|  | Chicago, IL 60654   |
| C. Telephone: 512-595-7400 Fax:  | 595-1898 Email: wtippens@relatedmidwest.com   |
| D. Name of contact person:   |   |
| E. Federal Employer Identification No. (if you   |   |
| property, if applicable): An application for a Zoning Zoning map amendment for property              | EDS pertains. (Include project number and location of located at 723-741 West Randolph / 121-133 North Halsted / 724 West   |
| Washington   | Department of Planning and Development  |
| G. Which City agency or department is request  | ing this EDS? Department of Planning and Development  |
| If the Matter is a contract being handled by the complete the following:                             | City's Department of Procurement Services, please   |
| Specification # N/A  | and Contract #  |

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#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

#### A. NATURE OF THE DISCLOSING PARTY

| <ol> <li>Indicate the nature of the Disclosing Pale of Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol> | Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No  [ ] Other (please specify)   |
|---|--|
| 2. For legal entities, the state (or foreign cour   | ntry) of incorporation or organization, if applicable:   |
| Delaware  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign en  | e of Illinois: Has the organization registered to do tity?   |
|   | [ ] Organized in Illinois  |
| B. IF THE DISCLOSING PARTY IS A LEG   | AL ENTITY:   |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability comparts  | oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant. |
| NOTE: Each legal entity listed below must su  | bmit an EDS on its own behalf.   |
| Name<br>Related LR Development LLC  | Title<br>Managing Member   |
| Curt Bailey   | Member, President  |
| LRD Group LLC   | Member   |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

Percentage Interest in the Applicant

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Rusiness Address

| Related LR Develo          | opment LLC 60 Columbus Cir  |                             | 79.93%                                     |
|----------------------------|---|-----------------------------|--|
| Curt Bailey                | 16%   |                             |  |
| LRD Group LLC              | LRD Group LLC 350 W. Hubbard St., Ste 300 Chicago, IL 60654   |                             |  |
| SECTION III I<br>OFFICIALS | NCOME OR COMPENSATIO  | ON TO, OR OWNERS            | HIP BY, CITY ELECTED                       |
|                            | Party provided any income or coreceding the date of this EDS?   | ompensation to any City     | elected official during the [ ] Yes [X] No |
|                            | g Party reasonably expect to proing the 12-month period following                                     | •                           |  |
| •                          | f the above, please identify below<br>me or compensation:   | v the name(s) of such Cit   | y elected official(s) and                  |
| inquiry, any City e        | ted official or, to the best of the lected official's spouse or domes he Municipal Code of Chicago (" | tic partner, have a financ  | cial interest (as defined in               |
| - <b>-</b>                 | ntify below the name(s) of such cribe the financial interest(s).                                      | City elected official(s) an | nd/or spouse(s)/domestic                   |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name

| Name (indicate whether retained or anticipated to be retained)                     | Business<br>Address                                      | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.  |
|--|--|--|--|
| · > ***********************************  |  | 77   |  |
| (Add sheets if necessary)  | ***************************************                  |  | The state of the s |
| [⋈] Check here if the Disc   | closing Party  | has not retained, nor expects to ret   | ain, any such persons or entities.   |
| SECTION V CERTII   | FICATION   | S  |  |
| A. COURT-ORDERED   | CHILD SUI  | PPORT COMPLIANCE   |  |
|  |  | ential owners of business entities the support obligations throughout the  |  |
|  |  | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe   |  |
| [] Yes   No []   | No person d  | irectly or indirectly owns 10% or m  | ore of the Disclosing Party.   |
| If "Yes," has the person e is the person in compliance                             |  | a court-approved agreement for paying agreement?   | ment of all support owed and   |
| [ ] Yes [ ] No   |  |  |  |
| B. FURTHER CERTIFIC  | CATIONS  |  |  |
| Procurement Services.] I<br>Party nor any Affiliated E<br>performance of any publi | n the 5-year<br>Entity [ <u>see</u> de<br>c contract, tl | he Matter is a contract being handle<br>period preceding the date of this Ele<br>efinition in (5) below] has engaged,<br>he services of an integrity monitor,<br>ance consultant (i.e., an individual of | DS, neither the Disclosing in connection with the independent private sector   |

- performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively   |
| presumed that the Disclosing Party certified to the above statements.  12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  N/A  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>[ ] is [X] is not</li> </ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a   |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

| <del>-</del>   | •   | ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain  |
|--|---|---|
|  | onal pages if necessary):   |   |
|  | the word "None," or no response a med that the Disclosing Party certif  | ppears on the lines above, it will be ied to the above statements.  |
| D. CERTIFICATI   | ON REGARDING FINANCIAL IN   | TEREST IN CITY BUSINESS   |
| Any words or term  | ns defined in MCC Chapter 2-156 ha  | ave the same meanings if used in this Part D.   |
| after reasonable in  |   | the best of the Disclosing Party's knowledge to of the City have a financial interest in his or natity in the Matter?   |
| [ ] Yes  | [⋈ No   |   |
|  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to   | o Items D(2) and D(3). If you checked "No" Part E.  |
| official or employed<br>other person or ent<br>taxes or assessment<br>"City Property Sal | ee shall have a financial interest in he ity in the purchase of any property its, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?   |   |
| [ ] Yes  | [ ] No  |   |
| -  | · · · · · ·   | nes and business addresses of the City officials fy the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest  |
|  |   |   |
|  |   |   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of      |
|---|
| the Disclosing Party and any and all predecessor entities regarding records of investments or profits |
| from slavery or slaveholder insurance policies during the slavery era (including insurance policies   |
| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and  |
| the Disclosing Party has found no such records.   |
|   |

|  | , the       |
|--|-------------|
| policies. The Disclosing Party verifies that the following constitutes full disclosure of all such   | nce         |
|  |             |
| records, including the names of any and all slaves or slaveholders described in those records:   |             |
|  |             |
|  |             |
| THE PROPERTY OF THE PROPERTY O | <del></del> |
|  |             |

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

# A. CERTIFICATION REGARDING LOBBYING

| Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |  |  |
|--|--|--|
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities |  |  |
| registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Par  |  |  |
|--|--|--|
| If "Yes," answer the   | three questions be   | elow:  |
| Have you develop federal regulations?     Yes                            | (See 41 CFR Part   | ave on file affirmative action programs pursuant to applicable t 60-2.)  |
| ~  | s, or the Equal Endirements?   | orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the [] Reports not required |
| <ol> <li>Have you particip equal opportunity cla</li> <li>Yes</li> </ol> | use?   | ous contracts or subcontracts subject to the   |
| If you checked "No"  | to question (1) or   | (2) above, please provide an explanation:  |
|  | HINGE AND THE STATE OF THE STAT |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BCCL事業存むODE'SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

The control of the completed only by (a) the Applicant, and (b) any legal entity which has a direct gradered in the Applicant/exceeding 7.5% (an "Owner"). It is not to be completed by any legal which has only an indirect ownership interest in the Applicant.

1. Aussiant to MCC Section 2-154-010; is the Applicant or any Owner identified as a building code

| spetifiam in onco (La  | a landlord pursuant | t to MCC Section 2-92-416?  |
|--|---------------------|---|
| i. J. Yes  | [X]⋅No              |   |
|  |                     | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| *  | [ ] No              | The Applicant is not publicly traded on any exchange.   |
|  | ्रभीaw or problen   | entify below the name of each person or legal entity identified name address of each building or buildings to which     |
| · · · · · · · · · · · · · · · · · · ·  |                     |   |
| The second secon |                     |   |

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| LR Development Company LLC (d/b/a Related Midwest LLC)  |
|---|
| Print or type exact legal name of Disclosing Party)   |
| By: (Sign bere)   |
| Curt Bailey   |
| Print or type name of person signing)   |
| President of Disclosing Party   |
| Print or type title of person signing)  |
| Signed and sworn to before me on (date) 93817,  |
| at COOK County, \( \lambda \rightarrow \lambda \text{(state)}.                                |
| Constant Malant   |
| Notary Public SEAL JACALYN M FINKEL GUON  |
| Commission expires: 5/18/18  NOTARY PUBLIC - STATE OF ILLINCIS MY COMMISSION EXPIRES:05/12/18 |

# **CITY OF CHICAGO** ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [≯] No  |                   |
|-------------------|---|-------------------|
| which such person | tify below (1) the name and title of such person, (2) the name of connected; (3) the name and title of the elected city official or dust a familial relationship, and (4) the precise nature of such familial | epartment head to |
|                   |   |                   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|        | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|--------|---|
| [X] No |   |
|        | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section             |
| [ ] No | [X] The Applicant is not publicly traded on any exchange.   |
|        | lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
|        | landlord pursuan    No   No   Solution   No   No   No   No   above, please incofflaw or probler                                     |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

Ver.2017-1

| A. Legal name of the Disclosing Party submittin   |                            | • •                                    |
|---|----------------------------|--|
| Check ONE of the following three boxes:   |                            |  |
| Indicate whether the Disclosing Party submitting 1. [ ] the Applicant OR 2. [☒ a legal entity currently holding, or an                                  |                            |  |
| the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess on name: Madmorg RH LLC                            | which this E of 7.5% in th | DS pertains (referred to below as the  |
| OR 3. [] a legal entity with a direct or indirect State the legal name of the entity in which the D   |                            |  |
| B. Business address of the Disclosing Party:  | 350 W. Hub                 | obard St., Suite 300                   |
| b. Dusiness address of the Disclosing Party.  | Chicago, IL                | 60654                                  |
| C. Telephone: 312-595-7400 Fax: 312-5   | 595-1898                   | wtippens@relatedmidwest.com Email:     |
| D. Name of contact person: William Tippens  |                            |  |
| E. Federal Employer Identification No. (if you  |                            |  |
| F. Brief description of the Matter to which this property, if applicable):  An application for a Zoning Zoning map amendment for property to Washington | _                          |  |
| G. Which City agency or department is requesti  | ng this EDS                | Department of Planning and Development |
| If the Matter is a contract being handled by the Complete the following:  | City's Depart              | ment of Procurement Services, please   |
| Specification # N/A   | and Contrac                | t # <b>N/A</b>                         |

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### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY

| <ol> <li>Indicate the nature of the Disclosing Pale of Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol> | Limited liability company   Limited liability partnership   Joint venture   Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?   Yes   No   Other (please specify)   |
|---|--|
| 2. For legal entities, the state (or foreign cour   | ntry) of incorporation or organization, if applicable:   |
| Delaware  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign en  | e of Illinois: Has the organization registered to do tity?   |
| [ ] No  | [ ] Organized in Illinois  |
| B. IF THE DISCLOSING PARTY IS A LEG   | AL ENTITY:   |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compared  | oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant. |
| NOTE: Each legal entity listed below must su  | bmit an EDS on its own behalf.   |
| Name<br>Related LR Development LLC  | Title<br>Managing Member   |
| Curt Bailey   | Member, President  |
| LRD Group LLC   | Member   |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

Percentage Interest in the Applicant

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

**Business Address** 

| Related LR Development LLC 60 Columbus Cir New York, NY 1  | 10023 79.93%  |
|--|---|
| Curt Bailey 350 W. Hubbard St., Ste 300 Chicago, IL 60654  | 4 16%   |
| LRD Group LLC 350 W. Hubbard St., Ste 300 Chicago, IL 6065   | 4 10.6%   |
| SECTION III INCOME OR COMPENSATION TO, OR OW OFFICIALS   | NERSHIP BY, CITY ELECTED                            |
| Has the Disclosing Party provided any income or compensation to a 12-month period preceding the date of this EDS?  | ny City elected official during the  [ ] Yes [X] No |
| Does the Disclosing Party reasonably expect to provide any income elected official during the 12-month period following the date of thi  | •   |
| If "yes" to either of the above, please identify below the name(s) of secribe such income or compensation:   | such City elected official(s) and                   |
| Does any City elected official or, to the best of the Disclosing Party inquiry, any City elected official's spouse or domestic partner, have Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the D  [ ] Yes   | a financial interest (as defined in                 |
| If "yes," please identify below the name(s) of such City elected office partner(s) and describe the financial interest(s).   | cial(s) and/or spouse(s)/domestic                   |
| The state of the s |   |

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name

| Name (indicate whether retained or anticipated to be retained)                     | Business<br>Address  | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--|---|---|
| (Add sheets if necessary)  |  |   |   |
| [⋈] Check here if the Disc   | closing Party  | y has not retained, nor expects to ret  | tain, any such persons or entities.   |
| SECTION V CERTII   | FICATION   | S   |   |
| A. COURT-ORDERED   | CHILD SUI  | PPORT COMPLIANCE  |   |
|  |  | antial owners of business entities the support obligations throughout the   | <del>_</del>  |
|  | -  | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe  | •   |
| []Yes [X] No []  | No person d  | irectly or indirectly owns 10% or m   | ore of the Disclosing Party.  |
| If "Yes," has the person e is the person in complian                               |  | a court-approved agreement for pay agreement?   | ment of all support owed and  |
| [ ] Yes [ ] No   |  |   |   |
| B. FURTHER CERTIFIC  | CATIONS  |   |   |
| Procurement Services.] I<br>Party nor any Affiliated I<br>performance of any publi | n the 5-year<br>Entity [see december of the contract, the contract contract, the contract | the Matter is a contract being handle<br>period preceding the date of this E<br>efinition in (5) below] has engaged,<br>he services of an integrity monitor,<br>ance consultant (i.e., an individual) | DS, neither the Disclosing in connection with the independent private sector                              |

- ector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
|  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

| MCC Section 2-32   |  | ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain   |
|--|--|--|
| N/A  | Julius pugos 11 Hooossury).  | · · · · · · · · · · · · · · · · · · ·  |
|  | the word "None," or no response a med that the Disclosing Party certif   | ppears on the lines above, it will be ied to the above statements.   |
| D. CERTIFICATI   | ON REGARDING FINANCIAL II  | NTEREST IN CITY BUSINESS   |
| Any words or term  | s defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |
| after reasonable in  |  | ne best of the Disclosing Party's knowledge to of the City have a financial interest in his or natity in the Matter?   |
| [ ] Yes  | [⋈ No  |  |
| =  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" Part E.  |
| official or employed<br>other person or ent<br>taxes or assessmen<br>"City Property Sale | ee shall have a financial interest in lity in the purchase of any property ts, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?  |  |
| [ ] Yes  | [ ] No   |  |
|  |  | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name   | Business Address   | Nature of Financial Interest   |
|  |  |  |
| 4 The Disclosing   | Party further certifies that no prob   | ihited financial interest in the Matter will be  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

| connection with the Matter voidable by the City.  |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
|   |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the  | he Applicant?  |  |
|--|----------------|--|
| [ ] Yes  | [ ] No         |  |
| If "Yes," answer the thre  | e questions be | elow:  |
| <ol> <li>Have you developed federal regulations? (Se</li> <li>Yes</li> </ol> | e 41 CFR Part  | ave on file affirmative action programs pursuant to applicable t 60-2.)  |
|  | or the Equal E | orting Committee, the Director of the Office of Federal Contract<br>mployment Opportunity Commission all reports due under the |
| []Yes  |                | [] Reports not required  |
| 3. Have you participate equal opportunity clause                             | v -            | ous contracts or subcontracts subject to the   |
| []Yes  | [ ] No         |  |
| If you checked "No" to o   | uestion (1) or | (2) above, please provide an explanation:  |
|  |                |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# 製造機能のCODE'SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix To to be completed only by (a) the Applicant, and (b) any legal entity which has a direct on wearing interest in the Applicant/exceeding 7.5% (an "Owner"). It is not to be completed by any least entity which has only an indirect ownership interest in the Applicant.

| •       | •                                     | 11  |
|---------|---------------------------------------|---|
|         |                                       | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
| . I Yes | [X] No                                |   |
|         |                                       | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section           |
|         | [ ] No                                | [X] The Applicant is not publicly traded on any exchange.   |
|         | इंत्योशिक्ष or problem                | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
|         | · · · · · · · · · · · · · · · · · · · |   |
|         | AA                                    |   |
|         |                                       |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| LR Development Company LLC (d/b/a Rela               | ted Midwest LLC)   |
|--|--|
| (Print or type exact legal name of Disclosing Party) | <del>-</del>   |
| By: (Sign here)                                      |  |
| Curt Bailey  |  |
| (Print or type name of person signing)               |  |
| President of Disclosing Party                        |  |
| (Print or type title of person signing)              |  |
|  | 8117,  |
| at COOK County,   (state                             | ).  OFFICIÁL SEAL  |
| Commission expires: 5/18/18                          | JACALYN M FINKEL GUON NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:05/12/18 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes  If yes, please id | [X] No fy below (1) the name and title of such person, (2) the name of the legal en   | tity to |
|----------------------------|---|---------|
| which such person          | onnected; (3) the name and title of the elected city official or department he a familial relationship, and (4) the precise nature of such familial relationship. | ead to  |
|                            |   |         |

- - - -

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| [] Yes  |                      |                    | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|---|----------------------|--------------------|--|
| the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?  [] Yes [] No [] The Applicant is not publicly traded on any exchange.  3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which | [ ] Yes              | [X] No             |  |
| 3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which  | the Applicant identi |                    | · · · · · · · · · · · · · · · · · · ·  |
| as a building code scofflaw or problem landlord and the address of each building or buildings to which  | [ ] Yes              | [ ] No             | [X] The Applicant is not publicly traded on any exchange.                                |
|   | as a building code s | cofflaw or probler |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing The Related Realty Group, Inc.                                    |  | ing this EDS                                  | . Include                          | e d/b/a/ if applicable:                                  |
|---|--|---|------------------------------------|--|
| Check ONE of the following  | three boxes:   |   |                                    |  |
| the contract, transaction or other "Matter"), a direct or indirect in name:  OR                   | tly holding, or an<br>er undertaking to<br>nterest in excess | nticipated to<br>o which this<br>of 7.5% in t | hold with<br>EDS pert<br>he Applic | cant. State the Applicant's legal                        |
| State the legal name of the enti<br>The Related Companies, L.P.                                   | ty in which the l  | _   |                                    | e Applicant (see Section II(B)(1)) s a right of control: |
| B. Business address of the Dis  | closing Party:   | 60 Colum                                      | ous Circle                         |  |
|   | erouning i wroj i  | New York                                      | NY 1002                            | 23   |
| C. Telephone: 212-421-5333  | Fax:   | -801-3781                                     | <b>E</b> :                         | mail:mail:   |
| D. Name of contact person:  | ichael J. Brenne   | er<br>  | -                                  |  |
| E. Federal Employer Identifica  | ation No. (if you  | have one).                                    |                                    |  |
| F. Brief description of the Mat<br>property, if applicable):<br>Zoning map amendment for property |  |   |                                    | ude project number and location of                       |
| G. Which City agency or depart  | rtment is reques   | ting this ED                                  | Departr                            | ment of Planning and Development                         |
| If the Matter is a contract being complete the following:   | g handled by the   | City's Depa                                   | rtment of                          | Procurement Services, please                             |
| Specification # N/A   |  | _ and Contra                                  | nct #                              |  |
| Ver.2017-1  | Pa   | ige 1 of 14                                   |                                    |  |

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

#### A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing P | arty:   |
|--|---|
| Person                                     | [ ] Limited liability company                           |
| Publicly registered business corporation   | [ ] Limited liability partnership                       |
| Privately held business corporation        | [ ] Joint venture                                       |
| Sole proprietorship                        | Not-for-profit corporation                              |
| General partnership                        | (Is the not-for-profit corporation also a 501(c)(3))?   |
| Limited partnership                        | [ ] Yes [ ] No  |
| Trust                                      | [ ] Other (please specify)                              |
|  |   |
| · · ·                                      | untry) of incorporation or organization, if applicable: |
| Delaware                                   | te of Illinois: Has the organization registered to do   |

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

**NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

| Name               | Title                                |
|--------------------|--------------------------------------|
| Stephen M. Ross    | Sole Stockholder, Director, Chairman |
| Jeff T. Blau       | Director Chief Executive Officer     |
| Bruce A. Beal, Jr. | President                            |
| Michael J. Brenner | CFO, EVP, Treasuer, Director         |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

Percentage Interest in the Applicant

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf.

Business Address

| Stephen M. Ross  | 60 Columbus Cir                                      |                                  |   | .32%                            | Аррисан              |
|--|--|----------------------------------|---|---------------------------------|----------------------|
| Jeff T. Blau   | 60 Columbus Cir                                      | New York, NY 1                   | 0023 21                                     | .22%                            |                      |
| SECTION III II<br>OFFICIALS  | NCOME OR COM   | MPENSATION 7                     | TO, OR OWNERS                               | внір ву, сіт                    | Y ELECTED            |
| Has the Disclosing 12-month period pr                                    |  |                                  | ensation to any City                        | y elected offici                | al during the [X] No |
| Does the Disclosing elected official duri                                |  | •                                | •   | •                               | ny City<br>[汉] No    |
| If "yes" to either of describe such incor                                |  |                                  | e name(s) of such C                         | ity elected offic               | cial(s) and          |
| Does any City electinquiry, any City el<br>Chapter 2-156 of th<br>[] Yes | lected official's spo<br>ne Municipal Code<br>[ズ] No | use or domestic pof Chicago ("MC | partner, have a finar (C")) in the Disclosi | ncial interest (a<br>ing Party? | s defined in         |
| If "yes," please ider<br>partner(s) and descri                           | •  | • •                              | elected official(s)                         | and/or spouse(s                 | s)/domestic          |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name

| Name (indicate whether retained or anticipated to be retained)   | Business<br>Address  | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.                               |
|--|--|---|---|
| (Add sheets if necessary)  |  |   |   |
| [★] Check here if the Dise   | closing Part   | y has not retained, nor expects to re   | tain, any such persons or entities.   |
| SECTION V CERTII   | FICATION   | 'S  |   |
| A. COURT-ORDERED   | CHILD SU   | PPORT COMPLIANCE  |   |
|  |  | antial owners of business entities the support obligations throughout the   | · · · · · · · · · · · · · · · · · · ·   |
| - <del>-</del>   | •  | ectly owns 10% or more of the Disc<br>ations by any Illinois court of compo   |   |
| []Yes [X]No []   | No person o  | lirectly or indirectly owns 10% or m  | nore of the Disclosing Party.   |
| If "Yes," has the person e is the person in complian   |  | a court-approved agreement for pay agreement?   | ment of all support owed and  |
| [ ] Yes [ ] No   |  |   |   |
| B. FURTHER CERTIFIC  | CATIONS  |   |   |
| Procurement Services.] I<br>Party nor any Affiliated I<br>performance of any publi<br>inspector general, or integ<br>investigative, or other sin | In the 5-yea<br>Entity [see of<br>the contract, the<br>grity complinilar skills, | the Matter is a contract being handler period preceding the date of this Elefinition in (5) below] has engaged the services of an integrity monitor, iance consultant (i.e., an individual designated by a public agency to he as well as help the vendors reform t | DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  N/A   |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

- The Disclosing Party certifies that the Disclosing Party (check one)
   is ⋈ is not
  - a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32-455(b)) here (attach additional page  | is a predatory lender with   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|--|--|--|
| N/A  |  |  |
|  | _  | appears on the lines above, it will be ified to the above statements.  |
| D. CERTIFICATION REG   | ARDING FINANCIAL   | NTEREST IN CITY BUSINESS   |
| Any words or terms defined   | in MCC Chapter 2-156 l   | nave the same meanings if used in this Part D.   |
|  | es any official or employe   | the best of the Disclosing Party's knowledge see of the City have a financial interest in his or entity in the Matter?   |
| [ ] Yes  | [X] No   |  |
| NOTE: If you checked "Ye to Item D(1), skip Items D(2)   |  | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employee shall he<br>other person or entity in the<br>taxes or assessments, or (iii) | ave a financial interest in purchase of any property is sold by virtue of legal pensation for property taken | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter involve a C  | ity Property Sale?   |  |
| [ ] Yes  | [X] No   |  |
|  |  | ames and business addresses of the City officials ify the nature of the financial interest:  |
| Name   | Business Address   | Nature of Financial Interest   |
|  |  |  |
| 4. The Disclosing Party fu   | rther certifies that no pro  | hibited financial interest in the Matter will be   |

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acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of        |
|---|
| the Disclosing Party and any and all predecessor entities regarding records of investments or profits |
| from slavery or slaveholder insurance policies during the slavery era (including insurance policies   |
| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and  |
| the Disclosing Party has found no such records.   |
|   |

| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
|---|
|   |

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosi |  |  |
|--|--|--|
| Party with respect to the Matter: (Add sheets if necessary):   |  |  |
|  |  |  |
|  |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None   |  |  |

appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Is the Disclosing Party the Applicant?

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Yes  | No  |      |
|--|---|------|
| [ ] 100  |   |      |
| If "Yes," answer the three   | questions below:  |      |
| <ol> <li>Have you developed at federal regulations? (See</li> <li>Yes</li> </ol> | ·   | able |
| •  | Joint Reporting Committee, the Director of the Office of Federal Conhe Equal Employment Opportunity Commission all reports due under nts? |      |
| [ ] Yes  | [ ] No [ ] Reports not required   |      |
| 3. Have you participated equal opportunity clause?                               | n any previous contracts or subcontracts subject to the   |      |
| [ ] Yes  | [ ] No  |      |
| If you checked "No" to qu  | estion (1) or (2) above, please provide an explanation:   |      |
|  |   |      |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| The Related Realty Group, Inc.   |
|--|
| (Print or type exact legal name of Disclosing Party)   |
| By: Mulul & Brun   |
| (Sign here)  |
| Michael J. Brenner   |
| (Print or type name of person signing)   |
| Executive Vice President of Disclosing Party   |
| (Print or type title of person signing)  |
| Signed and sworn to before me on (date) 9/28/2017  at New York County, New York (state).  Course M. College.  Notary Public                      |
| CORINE M. COLLIGAN  Notary Public, State of New York Registration #01CO6014998 Qualified in New York County Commission Expires October 19, 20 18 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| which such person | is connected; (3) the name | and title of such person, (2) the name of the legal and title of the elected city official or department, and (4) the precise nature of such familial relations. | t head to   |
|-------------------|----------------------------|--|-------------|
|                   |                            |  | <u> </u>    |
|                   |                            |  | <del></del> |

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|                 |        | is the Applicant or any Owner identified as a building code MCC Section 2-92-416?  |
|-----------------|--------|--|
| []Yes           | [X] No |  |
|                 |        | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section           |
| [ ] Yes         | [ ] No | ☐ The Applicant is not publicly traded on any exchange.  |
| as a building c |        | tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |
|                 |        |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing Party sub<br>The Related Companies, L.P.  | mitting this                 | EDS. In  | clude d/t            | o/a/ if appli | cable:                   |
|---|------------------------------|----------|----------------------|---------------|--------------------------|
| Check ONE of the following three boxes  |                              |          |                      |               |                          |
| Indicate whether the Disclosing Party subm  1. [ ] the Applicant  OR  | _                            |          | f:41.:               |               | often City and an arrive |
| 2. [X] a legal entity currently holding, the contract, transaction or other undertakin "Matter"), a direct or indirect interest in exename:  Madmorg RH LLC  OR | ng to which the cess of 7.5% | his EDS  | pertains<br>pplicant | (referred to  | o below as the           |
| 3. [ ] a legal entity with a direct or ind<br>State the legal name of the entity in which   | _                            |          |                      | • • '         | , , , , , ,              |
| B. Business address of the Disclosing Part  | 60 Co                        | umbus (  | Circle               | ···           |                          |
| 2. Daymoss address of the Discressing I are   |                              | ork, NY  | 10023                |               |                          |
| C. Telephone: 212-421-5333 Fax: 2   | 212-801-378                  | 1        | Email                | : mbrenne     | er@related.com           |
| D. Name of contact person: Michael J. Bre   | nner                         | _        |                      |               |                          |
| E. Federal Employer Identification No. (if  | you have or                  | e)       |                      |               |                          |
| F. Brief description of the Matter to which property, if applicable):  Zoning map amendment for property located at 723-  | _                            |          |                      | -             |                          |
| G. Which City agency or department is rec   | uesting this                 | EDS?_    | epartment            | of Planning   | and Development          |
| If the Matter is a contract being handled by complete the following:  | the City's D                 | epartme  | ent of Pro           | curement S    | Services, please         |
| Specification # N/A   | and Co                       | ntract # | N/A                  | *******       |                          |
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

| arty:  |
|--|
| [ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation |
| (Is the not-for-profit corporation also a 501(c)(3))?  |
| [ ] Yes [ ] No   |
| Other (please specify)   |
| intry) of incorporation or organization, if applicable:  |
|  |
| te of Illinois: Has the organization registered to do ntity?   |
|  |

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name                                   | Title                                       |
|--|---|
| The Related Realty Group, Inc. ("RRG") | General Partner                             |
| Stephen M. Ross                        | Chaiman of RRG                              |
| Jeff T. Blau                           | Chief Executive Officer of RRG              |
| Bruce A. Beal, Jr., President of RRG   | Michael J. Brenner, CFO EVP &Treasurer -RRG |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name<br>Stephen M. Ross                               | Business Ad<br>60 Columbus Cir               | ldress<br>New York, NY 10023                  | Percentage Interest in the 45.32% indirect   | Applicant            |
|---|--|---|--|----------------------|
| Jeff T. Blau  | 60 Columbus Cir                              | New York, NY 10023                            | 21.22% indirect  |                      |
| SECTION III I   | NCOME OR COM                                 | PENSATION TO, (                               | OR OWNERSHIP BY, CIT   | Y ELECTED            |
| _   | Party provided any receding the date of      | <del>-</del>                                  | tion to any City elected offici<br>[ ] Yes   | al during the [X] No |
|   |  |   | income or compensation to a te of this EDS? [] Yes   | ny City<br>[汉] No    |
|   | the above, please in the or compensation     |   | ne(s) of such City elected offi  | cial(s) and          |
| inquiry, any City e<br>Chapter 2-156 of th<br>[ ] Yes | lected official's spone Municipal Code       | use or domestic partne<br>of Chicago ("MCC")) | ng Party's knowledge after rea<br>er, have a financial interest (a<br>in the Disclosing Party? | s defined in         |
|   | ntify below the nan<br>ribe the financial in |   | ted official(s) and/or spouse(   | s)/domestic          |
|   |  |   |  |                      |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|--|
| (Add sheets if necessary)                                      |                     |  |  |
|  |                     | y has not retained, nor expects to re                                      | tain, any such persons or entities.  |
| SECTION V CERTII   | FICATION            | (S   |  |
| A. COURT-ORDERED   | CHILD SU            | PPORT COMPLIANCE   |  |
|  | •                   | antial owners of business entities the support obligations throughout the  | <u> </u>   |
| ~ *  | •                   | ectly owns 10% or more of the Disc<br>ations by any Illinois court of comp | _ ,  |
| []Yes [X]No []   | No person o         | directly or indirectly owns 10% or n                                       | nore of the Disclosing Party.  |
| If "Yes," has the person e is the person in complian           |                     | a court-approved agreement for pay agreement?                              | ment of all support owed and   |
| [ ] Yes  |                     |  |  |
| n rupture orbite   | CATIONS             |  |  |

#### B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A  |  |  |  |
|---|--|--|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |  |  |  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A   |  |  |  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |  |  |  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |  |  |  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is ⋈ is not</li> </ol>   |  |  |  |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |  |  |  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |  |  |  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a  |  |  |  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32   |   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain  |
|--|---|---|
|  |   |   |
|  | " the word "None," or no response a med that the Disclosing Party certif  | appears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICAT  | ION REGARDING FINANCIAL II  | NTEREST IN CITY BUSINESS  |
| Any words or term  | ns defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.   |
| after reasonable in  |   | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?  |
| [ ] Yes  | [⋈ No   |   |
|  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" o Part E.   |
| official or employ<br>other person or en<br>taxes or assessmen<br>"City Property Sal | ee shall have a financial interest in l<br>tity in the purchase of any property<br>nts, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?   |   |
| [ ] Yes  | ⊠ No  |   |
|  |   | mes and business addresses of the City officials fy the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest  |
|  |   |   |
|  | g Party further certifies that no prohity official or employee.   | nibited financial interest in the Matter will be  |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| B. CERTIFOTT TECHNOLOGY CONTROL ENTROL   |
|--|
| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  |
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profifrom slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS   |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.   |
| A. CERTIFICATION REGARDING LOBBYING  |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  |
|  |
|  |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the  | Applicant?       |   |
|--|------------------|---|
| [ ] Yes  | [ ] No           | )   |
| If "Yes," answer the three of  | questions belo   | ow:   |
| <ol> <li>Have you developed an federal regulations? (See 4</li> <li>Yes</li> </ol> |                  | re on file affirmative action programs pursuant to applicable 60-2.)  |
| <del>-</del>   | he Equal Em      | ing Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the |
| [ ] Yes  | [ ] No           | [] Reports not required   |
| 3. Have you participated i equal opportunity clause?                               | n any previou    | us contracts or subcontracts subject to the   |
| [ ] Yes  | [ ] No           |   |
| If you checked "No" to que   | estion (1) or (2 | 2) above, please provide an explanation:  |
|  |                  | ·   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| The Related Companies, L.P.   |
|---|
| (Print or type exact legal name of Disclosing Party)  |
| By: Mulit & Men   |
| (Sign here)   |
| Michael J. Brenner  |
| (Print or type name of person signing)  |
| Executive Vice President of Disclosing Party  |
| (Print or type title of person signing)   |
| Signed and sworn to before me on (date) 9/28/2017  at NewYork County, New York (state).  Corne M. Celligan  Notary Public                           |
| CORINE M. COLLIGAN  Notary Public, State of New York  Registration #01CO6014998  Qualified In New York County  Commission Expires October 19, 20 12 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

. . .

| [ ] Yes           | [X] No                    |  |
|-------------------|---------------------------|--|
| which such person | is connected; (3) the nam | ne and title of such person, (2) the name of the legal entity to<br>me and title of the elected city official or department head to<br>ip, and (4) the precise nature of such familial relationship. |
|                   |                           |  |

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                     | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|---------------------|---|
| [ ] Yes | <b>⋈</b> No         |   |
| • •     |                     | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section           |
| [ ] Yes | [ ] No              | [X] The Applicant is not publicly traded on any exchange.   |
| •       | scofflaw or problen | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
|         |                     |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing Party submitti  | ng this EDS. Include d/b/a/ if applicable:   |
|---|--|
| Check ONE of the following three boxes:   |  |
| the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: Madmorg RH LLC  OR | nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal right of control of the Applicant (see Section II(B)(1)) |
| B. Business address of the Disclosing Party:  | 60 Columbus Circle  New York, NY 10023   |
| C. Telephone: 212-421-5333 Fax: 212-  | 801-3781mbrenner@related.com   |
| D. Name of contact person: Michael J. Brenne  | r  |
| E. Federal Employer Identification No. (if you  | have one):   |
| property, if applicable):   | EDS pertains. (Include project number and location of Vest Randolph / 121-133 North Halsted / 724 West Washington  |
| G. Which City agency or department is request   | ing this EDS?  |
|   | City's Department of Procurement Services, please  |
| Specification # N/A   | and Contract # N/A   |
| 1   | ge 1 of 14   |

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

#### A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclos   | ing Party:   |
|---|--|
| [ ] Person  |  |
| Publicly registered business corpora  | tion [ ] Limited liability partnership                                 |
| Privately held business corporation   | [ ] Joint venture  |
| Sole proprietorship   | Not-for-profit corporation   |
| General partnership   | (Is the not-for-profit corporation also a 501(c)(3))?                  |
| Limited partnership   | []Yes []No   |
| Trust   | [ ] Other (please specify)   |
| Delaware  |  |
| 3. For legal entities not organized in th business in the State of Illinois as a fore | e State of Illinois: Has the organization registered to do ign entity? |
| [☐] Yes [☐] No  | [ ] Organized in Illinois  |
| B. IF THE DISCLOSING PARTY IS A   | A LEGAL ENTITY:  |
| List below the full names and title   | s, if applicable, of: (i) all executive officers and all directors     |

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name                            | Title                                      |  |  |
|---------------------------------|--|--|--|
| The Related Companies, L.P.     | Member                                     |  |  |
| Stephen M. Ross                 | President                                  |  |  |
| Jeff T. Blau                    | Vice President                             |  |  |
| Bruss A. Boot Ir Visa Brooklant | Michael I Dranner Evenutive Vice President |  |  |

Bruce A. Beal, Jr., Vice President

Michael J. Brenner. Executive Vice President

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name<br>The Related Comp  | Business Ad<br>panies, L.P. 60 Co |                 |                 | ge Interest in the Ap<br>71.94% indirect | plicant            |
|---|-----------------------------------|-----------------|-----------------|--|--------------------|
| Stephen M. Ross   | 60 Columbus Cir                   | New York, NY 10 | )023            | 45.32% indirect                          |                    |
| Jeff T. Blau  | 60 Columbus Cir                   | New York, NY 10 | 023             | 21.22% indirect                          |                    |
| SECTION III II<br>OFFICIALS   | NCOME OR COM                      | IPENSATION T    | O, OR OWNE      | RSHIP BY, CITY                           | ELECTED            |
| Has the Disclosing<br>12-month period pr  |                                   | -               | nsation to any  | City elected official [ ] Yes            | during the<br>⊠ No |
| Does the Disclosing elected official duri   |                                   |                 | •               | compensation to any DS? [] Yes           | City<br>⋈ No       |
| If "yes" to either of describe such incom   |                                   |                 | name(s) of such | n City elected officia                   | ıl(s) and          |
| Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?  [ ] Yes |                                   |                 |                 |  |                    |
|   |                                   |                 |                 |  |                    |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address            | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--------------------------------|--|---|
| (Add sheets if necessary)                                      |                                |  |   |
| [⋈ Check here if the Disc                                      | closing Part                   | y has not retained, nor expects to re  | tain, any such persons or entities.   |
| SECTION V CERTII   | FICATION                       | S  |   |
| A. COURT-ORDERED   | CHILD SU                       | PPORT COMPLIANCE   |   |
|  |                                | antial owners of business entities th<br>d support obligations throughout the  | •   |
| ¥ -  | •                              | ectly owns 10% or more of the Disc<br>ations by any Illinois court of compe  | •   |
| []Yes [X]No []   | No person o                    | lirectly or indirectly owns 10% or n   | nore of the Disclosing Party.   |
| If "Yes," has the person e is the person in complian           |                                | a court-approved agreement for pay agreement?  | ment of all support owed and  |
| [ ] Yes [ ] No   |                                |  |   |
| B. FURTHER CERTIFIC  | CATIONS                        |  |   |
| Procurement Services.] I Party nor any Affiliated I            | In the 5-year<br>Entity [see d | the Matter is a contract being handler period preceding the date of this Elefinition in (5) below] has engaged the services of an integrity monitor, | DS, neither the Disclosing, in connection with the  |

- inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

|            | . If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further rtifications), the Disclosing Party must explain below:  |  |  |  |
|------------|--|--|--|--|
|            | the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively esumed that the Disclosing Party certified to the above statements.  |  |  |  |
| mo<br>of   | 2. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a omplete list of all current employees of the Disclosing Party who were, at any time during the 12-nonth period preceding the date of this EDS, an employee, or elected or appointed official, of the City f Chicago (if none, indicate with "N/A" or "none").  |  |  |  |
| the off ma | To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a mplete list of all gifts that the Disclosing Party has given or caused to be given, at any time during a 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed ficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything a generally available to City employees or to the general public, or (ii) food or drink provided in a course of official City business and having a retail value of less than \$25 per recipient, or (iii) a litical contribution otherwise duly reported as required by law (if none, indicate with "N/A" or one"). As to any gift listed below, please also list the name of the City recipient. |  |  |  |
| <u> </u>   | CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |  |  |  |
| 1.         | The Disclosing Party certifies that the Disclosing Party (check one)  [ ] is [X] is not  |  |  |  |
|            | a "financial institution" as defined in MCC Section 2-32-455(b).   |  |  |  |
| 2.         | If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |  |  |  |
| ple        | Ve are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further edge that none of our affiliates is, and none of them will become, a predatory lender as defined in CC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a   |  |  |  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32  |  | ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain  |
|---|--|---|
|   |  |   |
|   | the word "None," or no response a med that the Disclosing Party certif   | ppears on the lines above, it will be led to the above statements.  |
| D. CERTIFICATI  | ON REGARDING FINANCIAL I   | ITEREST IN CITY BUSINESS  |
| Any words or term   | as defined in MCC Chapter 2-156 ha   | ave the same meanings if used in this Part D.   |
| after reasonable in   |  | ne best of the Disclosing Party's knowledge of the City have a financial interest in his or ntity in the Matter?  |
| [ ] Yes   | ⋈ No   |   |
|   | ecked "Yes" to Item D(1), proceed to<br>Items D(2) and D(3) and proceed to   | o Items D(2) and D(3). If you checked "No" Part E.  |
| official or employed<br>other person or ent<br>taxes or assessment<br>"City Property Sale | ee shall have a financial interest in hit ity in the purchase of any property its, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D. |
| Does the Matter in  | volve a City Property Sale?  | ,   |
| [ ] Yes   | ⊠ No   |   |
|   |  | nes and business addresses of the City officials fy the nature of the financial interest:   |
| Name  | Business Address   | Nature of Financial Interest  |
|   |  |   |
|   | g Party further certifies that no prohity official or employee.  | ibited financial interest in the Matter will be   |

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# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| E. CERTITION REGIREDING SERVERT ERRY BOSINESS   |
|---|
| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| · · · · · · · · · · · · · · · · · · ·   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| is the Disclosing Party the                             | Applicant?      |   |
|---|-----------------|---|
| [] Yes  | [ ] No          |   |
| If "Yes," answer the three                              | questions bel   | ow:   |
| Have you developed ar federal regulations? (See [ ] Yes | -               | ve on file affirmative action programs pursuant to applicable 60-2.)  |
|   | the Equal Em    | ting Committee, the Director of the Office of Federal Contract aployment Opportunity Commission all reports due under the |
| [ ] Yes   | [ ] No          | [] Reports not required   |
| 3. Have you participated equal opportunity clause?      | in any previo   | us contracts or subcontracts subject to the   |
| [ ] Yes   | [ ] No          |   |
| If you checked "No" to que                              | estion (1) or ( | (2) above, please provide an explanation:   |
|   | <u></u>         |   |
|   |                 |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| Related LR Development LLC   |
|--|
| (Print or type exact legal name of Disclosing Party)   |
| By: (Sign here)  |
| Michael J. Brenner   |
| (Print or type name of person signing)   |
| Executive Vice President of Disclosing Party   |
| (Print or type title of person signing)  |
| Signed and sworn to before me on (date) $\frac{9/28/20/7}{20/7}$ at Now York County, New York (state).  Coreni M. College  Notary Public         |
| CORINE M. COLLIGAN  Notary Public, State of New York  Registration #01CO6014998  Qualified In New York County  Commission Expires October 19, 20 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| which such person | ntify below (1) the name and title of such person, (2) to connected; (3) the name and title of the elected city of | official or department head to |
|-------------------|--|--------------------------------|
| whom such person  | as a familial relationship, and (4) the precise nature of  | f such familial relationship.  |
|                   | · · · · · · · · · · · · · · · · · · ·  |                                |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|      | Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code cofflaw or problem landlord pursuant to MCC Section 2-92-416? |                |  |  |
|------|--|----------------|--|--|
| ĺ    | ] Yes  | [⋈] No         |  |  |
| the  |  |                | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section           |  |
| Į    | ] Yes  | [ ] No         | [X] The Applicant is not publicly traded on any exchange.  |  |
| as a | • ' ' ' ' '  | v or problem l | tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |  |
|      |  |                |  |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

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| A. Legal name of the Disclosing Party submitting  | this EDS. Include d/b/a/ if applicable:  |
|---|--|
| Goldberg Investment Properties, LLC   |  |
| Check ONE of the following three boxes:   |  |
| the contract, transaction or other undertaking to who "Matter"), a direct or indirect interest in excess of name:  Chicago Title Land Trust Company, a C OR November 1, 2000 and known as Trust N | pated to hold within six months after City action on aich this EDS pertains (referred to below as the 7.5% in the Applicant. State the Applicant's legal corporation of Illinois, as Trustee under Trust Agreement dated number 1109135 tht of control of the Applicant (see Section II(B)(1)) |
| D. Dusiness address of the Disclosing Larry.  | 1 N. LaSalle, Suite 1600   |
| <u>Cn</u>   | icago, IL 60601  |
| C. Telephone: 312-726-7335 Fax: 312-809-  | Email: drexler321@aol.com  |
| D. Name of contact person: Thomas W. Drexler  | · · · · · · · · · · · · · · · · · · ·  |
| E. Federal Employer Identification No. (if you have   | /e one):   |
| F. Brief description of the Matter to which this ED property, if applicable):   | OS pertains. (Include project number and location of   |
| Zoning map amendment for property generally located at 72   | 25 West Randolph.  |
| G. Which City agency or department is requesting  | this EDS? Department of Planning and Development   |
| If the Matter is a contract being handled by the City<br>complete the following:  | y's Department of Procurement Services, please   |
| Specification # ar  | d Contract #   |

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# **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the r   | nature of the Disclosing Par   | rty:<br>[x] Limited liability company   |  |
|---|--|---|--|
| L 3   | ed business corporation  | [ ] Limited liability partnership   |  |
| [ ] Privately held bu   | <del>-</del>   | [ ] Joint venture   |  |
| [ ] Sole proprietors]   | -  | [] Not-for-profit corporation   |  |
| [ ] General partners [ ] Limited partners   | <del>-</del>   | (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No   |  |
| Trust   | mp   | [ ] Other (please specify)  |  |
|   |  |   |  |
| 2. For legal entities   | s, the state (or foreign coun  | try) of incorporation or organization, if applicable:   |  |
| Illinois  |  |   |  |
| _   | not organized in the State<br>of Illinois as a foreign ent   | of Illinois: Has the organization registered to do ity?   |  |
| [ ] Yes   | [ ] No   | [x] Organized in Illinois   |  |
| B. IF THE DISCLO  | OSING PARTY IS A LEGA  | AL ENTITY:  |  |
| the entity; (ii) for no<br>are no such member<br>similar entities, the<br>limited partnership<br>each general partner | ot-for-profit corporations rs, write "no members whice trustee, executor, administ ps, limited liability compa | plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or tof the Applicant. |  |
| NOTE: Each legal  | entity listed below must sul   | bmit an EDS on its own behalf.  |  |
| Name  |  | Title   |  |
| Kimberly Sommers  |  | Manager   |  |
|   |  |   |  |
|   |  |   |  |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Percentage Interest in the Applicant Name **Business Address** c/o Kimberly Sommers Kenneth Goldberg Trust dated June 10, 2003 90% 595 Ravinia Road Highland Park, IL 60035 SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes [x] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes [X] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes [x] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|--|
| (Add sheets if necessary)                                      |                     |  |  |
| [x] Check here if the Disc                                     | closing Part        | y has not retained, nor expects to   | retain, any such persons or entities.  |
| SECTION V CERTII   | FICATION            | S  |  |
| A. COURT-ORDERED   | CHILD SU            | PPORT COMPLIANCE   |  |
|  | •                   | antial owners of business entities of support obligations throughout the   | •  |
| <b>7</b> 1   | •                   | ectly owns 10% or more of the Di<br>ations by any Illinois court of com    | · ·  |
| [] Yes [x] No []   | No person o         | directly or indirectly owns 10% or   | more of the Disclosing Party.  |
| If "Yes," has the person e is the person in complian           |                     | a court-approved agreement for pagreement?                                 | ayment of all support owed and   |
| [ ] Yes [x] No   |                     |  |  |
| B. FURTHER CERTIFI   | CATIONS             |  |  |
|  | •                   | the Matter is a contract being hand r period preceding the date of this    | · · · · · · · · · · · · · · · · · · ·  |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| believe has not provided or cannot provide truthful certifications.   |  |  |  |
|---|--|--|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:   |  |  |  |
| None  |  |  |  |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |  |  |  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  |  |  |  |
| None  |  |  |  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |  |  |  |
| None  |  |  |  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x] is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32  |   | ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain   |
|---|---|--|
|   | ' the word "None," or no response a med that the Disclosing Party certif      | ppears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICATI  | ON REGARDING FINANCIAL II   | NTEREST IN CITY BUSINESS   |
| Any words or term   | as defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |
| after reasonable in   |   | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |
| [ ] Yes   | [×] No  |  |
|   | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to       | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employed<br>other person or entaxes or assessment<br>"City Property Sal | tity in the purchase of any property its, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in  | volve a City Property Sale?   |  |
| [ ] Yes   | [ ] No  |  |
|   |   | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name  | Business Address  | Nature of Financial Interest   |
|   |   |  |
|   | g Party further certifies that no probity official or employee.               | ibited financial interest in the Matter will be  |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies                                    |
| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and  |

| Disclosing Party l | osing Party verifies that,<br>has found records of inve<br>closing Party verifies tha | estments or profits | s from slavery or sla | veholder insurance |
|--------------------|---|---------------------|-----------------------|--------------------|
| records, including | the names of any and al   | l slaves or slaveho | olders described in t | those records:     |
|                    |   |                     |                       |                    |

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

the Disclosing Party has found no such records.

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing                   |
|--|
| Party with respect to the Matter: (Add sheets if necessary):   |
|  |
| ,  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities |
| registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on   |
| behalf of the Disclosing Party with respect to the Matter.)  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the  | Applicant?        |   |
|--|-------------------|---|
| [ ] Yes  | [ ] No            |   |
| If "Yes," answer the three of  | questions belo    | ow:   |
| <ol> <li>Have you developed an federal regulations? (See 4</li> <li>Yes</li> </ol> | •                 | e on file affirmative action programs pursuant to applicable 60-2.)   |
| Compliance Programs, or tapplicable filing requireme                               | he Equal Empents? | ing Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the |
| [ ] Yes  | [ ] No            | [] Reports not required   |
| 3. Have you participated i equal opportunity clause?                               | n any previou     | is contracts or subcontracts subject to the   |
| [ ] Yes  | [ ] No            |   |
| If you checked "No" to que   | estion (1) or (2  | 2) above, please provide an explanation:  |
|  |                   |   |
|  |                   |   |

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| Goldberg Investment Properties, LLC                            |
|--|
| (Print or type exact legal name of Disclosing Party)           |
| By. (Sign here)  |
| KIMBERLY SOMMERS   |
| (Print or type name of person signing)                         |
| MANAGER  |
| (Print or type title of person signing)                        |
| Signed and sworn to before me on (date) $\frac{9/29}{17}$      |
| at County, County, (state).                                    |
|  |
| Notary Public  |
| Commission expires: 4/19/19                                    |
| OFFICIAL SEAL  THOMAS DREXLER  NOTARY PUBLIC STATE OF ILLINOIS |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [×] No                      |  |
|-------------------|-----------------------------|--|
| which such persor | n is connected; (3) the nan | ne and title of such person, (2) the name of the legal entity to<br>me and title of the elected city official or department head to<br>ip, and (4) the precise nature of such familial relationship. |
|                   |                             |  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|                                       |                   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------------------------------------|-------------------|---|
| [ ] Yes                               | [x] No            |   |
|                                       | ~ .               | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section             |
| [ ] Yes                               | [ ] No            | [x] The Applicant is not publicly traded on any exchange.   |
|                                       | offlaw or probler | lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
| · · · · · · · · · · · · · · · · · · · |                   |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

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| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:  |
|---|
| Kenneth Goldberg Trust dated June 10, 2003  |
| Check ONE of the following three boxes:   |
| Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [x] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:  Chicago Title Land Trust Company, a Corporation of Illinois, as Trustee under Trust Agreement dated OR November 1, 2000 and known as Trust Number 1109135  3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 221 N. LaSalle, Suite 1600   |
| Chicago, IL 60601   |
| C. Telephone: 312-726-7335 Fax: 312-809-6644 Email: drexler321@aol.com  |
| D. Name of contact person: Thomas W. Drexler  |
| E. Federal Employer Identification No. (if you have one):   |
| F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):  |
| Zoning map amendment for property generally located at 725 West Randolph.   |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development   |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:  |
| Specification # and Contract #  |

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#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

### A. NATURE OF THE DISCLOSING PARTY

|   | re of the Disclosing Pa  | rty:   |  |  |                         |  |   |  |  |
|---|--|--|--|--|-------------------------|--|---|--|--|
| <ul><li>[ ] Person</li><li>[ ] Publicly registered business corporation</li><li>[ ] Privately held business corporation</li><li>[ ] Sole proprietorship</li></ul> |  | <ul> <li>[ ] Limited liability company</li> <li>[ ] Limited liability partnership</li> <li>[ ] Joint venture</li> <li>[ ] Not-for-profit corporation</li> </ul>  |  |  |                         |  |   |  |  |
|   |  |  |  |  | [ ] General partnership |  | (Is the not-for-profit corporation also a 501(c)(3))? |  |  |
|   |  |  |  |  | [ ] Limited partnership |  | [ ] Yes [ ] No  |  |  |
|   |  |  |  |  | [x ] Trust              |  | [ ] Other (please specify)                            |  |  |
| 1   |  |  |  |  |                         |  |   |  |  |
| 2. For legal entities, th   | e state (or foreign coun   | try) of incorporation or organization, if applicable:  |  |  |                         |  |   |  |  |
| Illinois  |  |  |  |  |                         |  |   |  |  |
| <ol><li>For legal entities no<br/>business in the State of</li></ol>  | _  | of Illinois: Has the organization registered to do ity?  |  |  |                         |  |   |  |  |
| [ ] Yes   | [ ] No   | [x] Organized in Illinois  |  |  |                         |  |   |  |  |
| B. IF THE DISCLOSE  | NG PARTY IS A LEG  | AL ENTITY:   |  |  |                         |  |   |  |  |
| the entity; (ii) for not-f<br>are no such members, v<br>similar entities, the tru<br>limited partnerships, l  | For-profit corporations write "no members which istee, executor, adminis limited liability comparanaging member, managing member, managing member, | plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or |  |  |                         |  |   |  |  |
|   | iay-to-day managemen   | t of the Applicant.  |  |  |                         |  |   |  |  |
| indirectly controls the c   |  | t of the Applicant. bmit an EDS on its own behalf.   |  |  |                         |  |   |  |  |
| indirectly controls the c   |  |  |  |  |                         |  |   |  |  |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Percentage Interest in the Applicant Name c/o Kimberly Sommers 592 Ravinia Road Highland Park, IL 60035 Kenneth Goldberg 100% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes [x] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes [X] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes [x] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address            | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--------------------------------|--|--|
|  |                                |  |  |
| (Add sheets if necessary)                                      | )                              |  |  |
| [x] Check here if the Dis                                      | closing Part                   | y has not retained, nor expects to re  | tain, any such persons or entitie  |
| SECTION V CERTI  | FICATION                       | S  |  |
| A. COURT-ORDERED   | CHILD SU                       | PPORT COMPLIANCE   |  |
|  | •                              | antial owners of business entities the support obligations throughout the  |  |
| * <b>*</b>   | •                              | ectly owns 10% or more of the Disc<br>ations by any Illinois court of compe  |  |
| [ ] Yes [x] No [ ]   | No person o                    | lirectly or indirectly owns 10% or n   | nore of the Disclosing Party.  |
| If "Yes," has the person of is the person in compliar          |                                | a court-approved agreement for pay agreement?  | ment of all support owed and   |
| [ ] Yes [x] No   |                                |  |  |
| B. FURTHER CERTIFI   | CATIONS                        |  |  |
| Procurement Services.] Party nor any Affiliated                | In the 5-year<br>Entity [see c | the Matter is a contract being handler period preceding the date of this Elefinition in (5) below] has engaged the services of an integrity monitor, | DS, neither the Disclosing , in connection with the  |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| believe has not provided or cannot provide truthful certifications.  11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:   |   |  |  |
|--|---|--|--|
|  |   |  |  |
| If the letters "NA," the word "None," or no response appears on the line presumed that the Disclosing Party certified to the above statements.   | s above, it will be conclusively  |  |  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquent complete list of all current employees of the Disclosing Party who were month period preceding the date of this EDS, an employee, or elected of Chicago (if none, indicate with "N/A" or "none").   | , at any time during the 12-  |  |  |
| None   |   |  |  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inq complete list of all gifts that the Disclosing Party has given or caused to the 12-month period preceding the execution date of this EDS, to an em official, of the City of Chicago. For purposes of this statement, a "gift" made generally available to City employees or to the general public, or the course of official City business and having a retail value of less than political contribution otherwise duly reported as required by law (if non "none"). As to any gift listed below, please also list the name of the City | be given, at any time during ployee, or elected or appointed does not include: (i) anything (ii) food or drink provided in \$25 per recipient, or (iii) a e, indicate with "N/A" or |  |  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

None

The Disclosing Party certifies that the Disclosing Party (check one)
 is [x] is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary): |   |  |
|--|---|--|
|  |   |  |
|  |   | e appears on the lines above, it will be tified to the above statements.   |
| D. CERTIFICATION RE  | GARDING FINANCIAL   | INTEREST IN CITY BUSINESS  |
| Any words or terms define  | ed in MCC Chapter 2-156   | have the same meanings if used in this Part D.   |
|  | oes any official or employ  | the best of the Disclosing Party's knowledge yee of the City have a financial interest in his or entity in the Matter?   |
| [ ] Yes  | [×] No  |  |
| NOTE: If you checked "to Item D(1), skip Items D   | , , , , <u>-</u>  | d to Items D(2) and D(3). If you checked "No" to Part E.   |
| official or employee shall<br>other person or entity in the<br>taxes or assessments, or (i<br>"City Property Sale"). Co  | have a financial interest in<br>the purchase of any propertion<br>ii) is sold by virtue of legate<br>in the man and the property to the | bidding, or otherwise permitted, no City elected in his or her own name or in the name of any ty that (i) belongs to the City, or (ii) is sold for all process at the suit of the City (collectively, aken pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter involve a  | City Property Sale?   |  |
| [ ] Yes  | [ ] No  |  |
|  |   | names and business addresses of the City officials ntify the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest   |
|  |   |  |
|  |   |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|---|
| A. CERTIFICATION REGARDING LOBBYING   |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
|   |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
|   |
| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|   |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- $\dot{}$  3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Ver.2017-1

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the  | Applicant?       |   |
|--|------------------|---|
| [ ] Yes  | [ ] No           |   |
| If "Yes," answer the three o   | luestions belc   | ow:   |
| <ol> <li>Have you developed and federal regulations? (See 4)</li> <li>Yes</li> </ol> | · ·              | e on file affirmative action programs pursuant to applicable 60-2.)   |
|  | he Equal Emp     | ing Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the |
| [ ] Yes  |                  | [] Reports not required   |
| 3. Have you participated in equal opportunity clause?                                | n any previou    | as contracts or subcontracts subject to the   |
| [ ] Yes  | [ ] No           |   |
| If you checked "No" to que   | estion (1) or (2 | 2) above, please provide an explanation:  |
|  |                  |   |

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| Kenneth Goldberg Trust dated June 10, 2003  |
|---|
| (Print or type exact legal name of Disclosing Party)  By: (Sign here)               |
| (Print or type name of person signing)  |
| Trustee   |
| (Print or type title of person signing)   |
| Signed and sworn to before me on (date) 9/29/17.  at Cock County, recruios (state). |
| Notary Public   |
| Commission expires: 4/19/19   |
| OFFICIAL SEAL THOMAS DREXLER  |

MY COMMISSION EXPIRES:04/19/19

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [×] No                    |   |
|-------------------|---------------------------|---|
| which such person | is connected; (3) the nar | me and title of such person, (2) the name of the legal entity to<br>me and title of the elected city official or department head to<br>hip, and (4) the precise nature of such familial relationship. |
|                   |                           | · · · · · · · · · · · · · · · · · · ·   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                    | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---------|--------------------|---|
| [ ] Yes | [x] No             |   |
|         | • • •              | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section             |
| [ ] Yes | [ ] No             | [x] The Applicant is not publicly traded on any exchange.   |
|         | cofflaw or probler | lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
|         | ·                  |   |