

## City of Chicago



O2018-6040

## Office of the City Clerk

## **Document Tracking Sheet**

**Meeting Date:** 7/25/2018

Sponsor(s): Emanuel (Mayor)

Type: Ordinance

Title: Sale of City-owned property at 3643 S Prairie Ave to

Matthew Szontagh

Committee(s) Assignment: Committee on Housing and Real Estate





# OFFICE OF THE MAYOR CITY OF CHICAGO

RAHM EMANUEL MAYOR

July 25, 2018

# TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

## Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of City-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

#### ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City, through the foreclosure of demolition liens, tax sales and other methods of acquisition, has acquired title to numerous parcels of real property which are of minimal value and costly to clean up and maintain, and because title to such properties was vested in the City, the properties were exempted from real estate taxes, thus depriving the City of revenue; and

WHEREAS, pursuant to an ordinance (the "Original Program Ordinance") adopted by the City Council of the City ("City Council") on March 6, 1981 and published on pages 5584 - 5585 of the Journal of Proceedings of the City Council ("Journal") for such date, the City established a program for the disposition of certain vacant real property owned by the City known as the Adjacent Neighbors Land Acquisition Program; and

WHEREAS, the Original Program Ordinance was amended by ordinances adopted on July 23, 1982 and published in the Journal for such date at pages 11830 - 11833, and on January 7, 1983 and published in the Journal for such date at pages 14803 - 14805 (the Original Program Ordinance and such two amending ordinances, collectively, the "Original ANLAP Ordinances"); and

WHEREAS, pursuant to an ordinance adopted by the City Council on September 14, 1994 and published in the Journal for such date at pages 56195 - 56198, the City Council repealed the Original ANLAP Ordinances, and established a new program, also known as the Adjacent Neighbors Land Acquisition Program, for the disposition of certain vacant real property owned by the City, which ordinance was subsequently amended by ordinances adopted on September 4, 2002 and published in the Journal for such date at pages 92771 - 92773, and on July 28, 2010 and published in the Journal for such date at pages 97370 - 97374, and on June 25, 2014 and published in the Journal for such date at pages 83533 - 83535 (such new program, as amended, the "ANLAP Program"); and

WHEREAS, pursuant to the ANLAP Program, as codified at Municipal Code Chapter 2-159-010 et seq. (the "ANLAP Program Ordinance"), a qualified City-owned parcel may be sold if it has an appraised value of not more than Fifty Thousand Dollars (\$50,000); and

WHEREAS, pursuant to the ANLAP Program: (i) if the property appraises at or for less than Ten Thousand Dollars (\$10,000.00) the minimum acceptable bid must be at least One Thousand Dollars (\$1,000.00); or (ii) if the property appraises at or for less than Twenty Thousand Dollars (\$20,000.00) but more than Ten Thousand Dollars (\$10,000.00) the minimum acceptable bid must be at least Two Thousand Dollars (\$2,000.00); or (iii) if the property appraises for more than Twenty Thousand Dollars (\$20,000.00) the minimum acceptable bid must be Two Thousand Dollars (\$2,000.00), plus fifty percent (50%) of the appraised value which exceeds Twenty Thousand Dollars (\$20,000.00); and

WHEREAS, pursuant to the ANLAP Program, an Adjacent Neighbor means a person who owns one parcel, or two or more contiguous parcels, of real property that is immediately

adjacent to a city-owned parcel and at least one of the parcels is an improved parcel which the person occupies as his primary residence; and

WHEREAS, pursuant to the ANLAP Program, any deed conveying a parcel pursuant thereto shall contain covenants which: (1) prohibit the grantee from conveying, assigning or otherwise transferring the parcel except in conjunction with the sale of the real estate on which grantee's primary residence is located; and (2) require that the parcel be improved with landscaped open space within six (6) months of the conveyance of such parcel and prohibit the construction of any permanent improvements on the parcel, excluding only improvements made by the grantee on the parcel that constitute an integrated addition to the grantee's primary residence, or a garage appurtenant thereto; and (3) require the grantee to maintain the parcel in accordance with the provisions of the Municipal Code of Chicago. The covenants shall terminate ten (10) years after the date of the conveyance of the parcel to the grantee; and

WHEREAS, the Department of Planning and Development ("DPD") of the City desires to convey the vacant parcel of real property identified on <a href="Exhibit A">Exhibit A</a> to this ordinance (the "ANLAP Parcel") to Matthew Szontagh (the "Purchaser"), who has a principal residence of 3645 South Prairie Avenue, Chicago, Illinois 60653, which ANLAP Parcel is located in the Bronzeville Redevelopment Project Area ("Area") established pursuant to ordinances adopted by the City Council on November 4, 1998 and published in the Journal for such date at pages 80642 through 80778; and

WHEREAS, DPD has caused notice of the proposed sale of the ANLAP Parcel to be sent to all eligible adjacent neighbors (if any) and has also caused public notice advertising the City's intent to enter into the sale of the ANLAP Parcel to the Purchaser to be published in the Chicago Sun-Times on May 25, 2018; and

WHEREAS, Purchaser's proposal was the only proposal received by the deadline indicated in the aforesaid notice; and

WHEREAS, pursuant to Resolution Number 18-046-21 adopted on July 19, 2018, by the Plan Commission of the City of Chicago ("Commission"), the Commission approved the sale of the ANLAP Parcel to the Purchaser; now, therefore

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** The City hereby accepts the bid of the Purchaser to purchase the ANLAP Parcel, which purchase shall be subject to all of the terms, conditions, covenants and restrictions of the ANLAP Program and the ANLAP Program Ordinance.

**SECTION 2.** The Mayor or his proxy is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the ANLAP Parcel to the Purchaser for the purchase price of Fourteen Thousand and 00/100 Dollars (\$14,000.00). Such deed shall include a covenant obligating the Purchaser to use the ANLAP Parcel only for a use consistent with the land uses permitted under the redevelopment plan for the Area and consistent with the requirements of the ANLAP Program Ordinance.

SECTION 3. If any provision of this ordinance shall be held to be invalid or

unenforceable for any reason, the invalidity of such provision shall not affect any of the other provisions of this ordinance.

**SECTION 4.** All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

**SECTION 5.** This ordinance shall take effect upon its passage and approval.

#### **EXHIBIT A**

Bidder: Matthew Szontagh

Bidder's Address: 3645 South Prairie Avenue, Chicago, Illinois 60653

**Appraised Value ("as is"):** \$44,000.00 **Bid Amount:** \$14,000.00

### Legal Description (Subject to Title Commitment and Survey):

The north 3 inches of Lot 31 and the south 16 ½ feet of Lot 32, in Block 1 in Scammon's Nelson subdivision of the southwest quarter of the northeast quarter of the southwest quarter of Section 34, Township 39 North, Range 14, East of the Third Principle Meridian, In Cook County, Illinois.

Address: 3643 South Prairie Avenue

Chicago, Illinois 60653

**Property Index Number:** 17-34-310-048-0000

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disc  | closing Party submitting  | this EDS. Include d/b/a/ if applicable:   |
|--|---|---|
| Matthew Szontagh   |   |   |
| Check ONE of the follow  | ring three boxes:   | ,   |
| the contract, transaction or "Matter"), a direct or indir name:  OR  3. [] a legal entity with | arrently holding, or antice other undertaking to we ect interest in excess of | cipated to hold within six months after City action on which this EDS pertains (referred to below as the 7.5% in the Applicant. State the Applicant's legal |
| Home<br>B. Business address of the   | Disclosing Party:   | 3645 S. Prairie Avenue  |
|  |   | Chicago, IL <del>60618</del> 60653  |
| C. Telephone:  | Fax:  | Email:  |
| D. Name of contact person  | n:Matthew Szontagh  |   |
| E. Federal Employer Iden   | tification No. (if you ha   | ive one):   |
| F. Brief description of the property, if applicable):  | Matter to which this E  | DS pertains. (Include project number and location of  |
| Acquisition of the va  | cant lot located at 364   | 3 S. Prairie Avenue   |
| G. Which City agency or o  | department is requesting  | g this EDS? Department of Planning and Development  |
| If the Matter is a contract be complete the following:   | peing handled by the Ci   | ty's Department of Procurement Services, please   |
| Specification #  | a   | nd Contract #   |
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## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF T  | HE DISCLOSING PAI   | KTY ,   |
|---|---|---|
| [X] Person  | nip<br>hip  | [ ] Limited liability company   |
| 2. For legal entities   | , the state (or foreign co  | ountry) of incorporation or organization, if applicable:  |
|   | not organized in the St<br>of Illinois as a foreign   | tate of Illinois: Has the organization registered to do entity?   |
| [ ] Yes   | [ ] No  | [ ] Organized in Illinois   |
| B. IF THE DISCLO  | SING PARTY IS A L   | EGAL ENTITY:  |
| the entity; (ii) for no<br>are no such members<br>similar entities, the<br>limited partnership<br>each general partner, | t-for-profit corporati<br>s, write "no members w<br>trustee, executor, admi<br>s, limited liability con | f applicable, of: (i) all executive officers and all directors of ons, all members, if any, which are legal entities (if there which are legal entities"); (iii) for trusts, estates or other inistrator, or similarly situated party; (iv) for general or inpanies, limited liability partnerships or joint ventures, anager or any other person or legal entity that directly or tent of the Applicant. |
| NOTE: Each legal e  | ntity listed below must   | submit an EDS on its own behalf.  |
| Name  |   | Title   |
|   |   |   |
| indirect, current or prownership) in excess   | ospective (i.e. within 6 of 7.5% of the Applica   | n concerning each person or legal entity having a direct or 6 months after City action) beneficial interest (including ant. Examples of such an interest include shares in a rship or joint venture, interest of a member or manager in a   |

| Name   | Business Address  | Percentage Interest in the  | Applicant             |
|--|---|---|-----------------------|
|  |   |   |                       |
|  | NOI   | VE  |                       |
| SECTION III -<br>OFFICIALS   | - INCOME OR COMPENSATION  | N TO, OR OWNERSHIP BY, CIT  | Y ELECTED             |
|  | ng Party provided any income or conl preceding the date of this EDS?  | npensation to any City elected offic                                    | ial during the [X] No |
|  | sing Party reasonably expect to providuring the 12-month period following   |   | any City<br>[X] No    |
|  | of the above, please identify below to  | he name(s) of such City elected off                                     | icial(s) and          |
| inquiry, any City<br>Chapter 2-156 of<br>[ ] Yes<br>If "yes," please i | lected official or, to the best of the Divelected official's spouse or domestic of the Municipal Code of Chicago ("M. [X] No dentify below the name(s) of such Ciescribe the financial interest(s). | c partner, have a financial interest (a ICC")) in the Disclosing Party? | as defined in         |

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)                                | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)    | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|---------------------|---|---|
| Rudolph Kaplan LLC; S   | eth A. Kapl         | lan - retained attorney   | not an acceptable response.   |
| 20 N. Clark St., Ste. 25  | 00, Chicago         | o, IL 60602   |   |
| Buyer's attorney's fee is   | as follows          | : \$1,500.00  |   |
| (Add sheets if necessary)   |                     |   |   |
| [ ] Check here if the Disc  | closing Part        | y has not retained, nor expects to re   | tain, any such persons or entities.   |
| SECTION V CERTIF  | EICATION            | S   | -   |
| A. COURT-ORDERED  | CHILD SUI           | PPORT COMPLIANCE  |   |
|   |                     | antial owners of business entities th<br>I support obligations throughout the | <del>_</del>  |
|   | •                   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of comp     | <del>-</del> -  |
| [ ] Yes [ ] No [X] No person directly or indirectly owns 10% or more of the Disclosing Party. |                     |   |   |
| If "Yes," has the person en is the person in compliance                                       |                     | a court-approved agreement for pay agreement?                                 | ment of all support owed and  |
| []Yes []No  |                     |   |   |

### **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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|  | N/A   |
|--|---|
|  | ord "None," or no response appears on the lines above, it will be conclusively ing Party certified to the above statements.   |
| complete list of all curren<br>month period preceding the  | closing Party's knowledge after reasonable inquiry, the following is a temployees of the Disclosing Party who were, at any time during the 12-ne date of this EDS, an employee, or elected or appointed official, of the City ate with "N/A" or "none").  |
|  | N/A   |
| complete list of all gifts the 12-month period precedure official, of the City of Chimade generally available the course of official City political contribution other | closing Party's knowledge after reasonable inquiry, the following is a part the Disclosing Party has given or caused to be given, at any time during reding the execution date of this EDS, to an employee, or elected or appointed cago. For purposes of this statement, a "gift" does not include: (i) anything to City employees or to the general public, or (ii) food or drink provided in business and having a retail value of less than \$25 per recipient, or (iii) a rwise duly reported as required by law (if none, indicate with "N/A" or ted below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF  | STATUS AS FINANCIAL INSTITUTION   |
| 1. The Disclosing Party of [ ] is [X] is   | ertifies that the Disclosing Party (check one) not  |
| a "financial institution"  | as defined in MCC Section 2-32-455(b).  |
| 2. If the Disclosing Party   | IS a financial institution, then the Disclosing Party pledges:  |
| pledge that none of our aff<br>MCC Chapter 2-32. We u  | ecome a predatory lender as defined in MCC Chapter 2-32. We further iliates is, and none of them will become, a predatory lender as defined in nderstand that becoming a predatory lender or becoming an affiliate of a it in the loss of the privilege of doing business with the City."   |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary): |  |  |  |  |
|--|--|--|--|--|
|  | ," the word "None," or no response a<br>umed that the Disclosing Party certif  |  |  |  |
| D. CERTIFICAT  | TION REGARDING FINANCIAL II  | NTEREST IN CITY BUSINESS   |  |  |
| Any words or terr  | ms defined in MCC Chapter 2-156 h  | ave the same meanings if used in this Part D.  |  |  |
| after reasonable in  |  | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |  |  |
| [ ] Yes  | [X] No   |  |  |  |
|  | necked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" Part E.  |  |  |
| official or employ<br>other person or en<br>taxes or assessme<br>"City Property Sa   | vee shall have a financial interest in hatity in the purchase of any property nts, or (iii) is sold by virtue of legal property in the contract of the contrac | idding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D. |  |  |
| Does the Matter in   | nvolve a City Property Sale?   |  |  |  |
| []Yes  | [ ] No   | •  |  |  |
|  |  | nes and business addresses of the City officials fy the nature of the financial interest:  |  |  |
|  | Business Address   | Nature of Financial Interest   |  |  |
| 4. The Disclosing  |  | bited financial interest in the Matter will be   |  |  |

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of      |
|---|
| the Disclosing Party and any and all predecessor entities regarding records of investments or profits |
| from slavery or slaveholder insurance policies during the slavery era (including insurance policies   |
| issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and  |
| the Disclosing Party has found no such records.   |
|   |

| 2. '      | The Disclosing    | Party verifies th | at, as a result | of conduction | ng the searc                            | h in step (1)  | above, the |
|-----------|-------------------|-------------------|-----------------|---------------|---|----------------|------------|
| Disclosi  | ing Party has for | ınd records of ir | vestments or    | profits from  | slavery or                              | slaveholder i  | insurance  |
| policies. | . The Disclosing  | g Party verifies  | that the follov | ving constitu | ites full disc                          | closure of all | such       |
| records.  | including the na  | ames of any and   | all slaves or   | slaveholders  | described                               | in those reco  | rds:       |
| <b>,</b>  |                   | ···               |                 |               |   |                |            |
|           |                   |                   |                 | <del></del>   |   |                |            |
|           |                   | ·                 |                 |               |   |                |            |
|           |                   |                   |                 |               |   |                |            |
|           |                   |                   |                 |               | , |                |            |

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying        |
|---|
| Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |
| Party with respect to the Matter: (Add sheets if necessary):                                    |
|   |
| N/A   |

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the                             | Applicant?        |  |
|---|-------------------|--|
| [ ] Yes   | [ ] No            |  |
| If "Yes," answer the three                              | questions bel     | ow:  |
| Have you developed ar federal regulations? (See [ ] Yes | 41 CFR Part (     | ve on file affirmative action programs pursuant to applicable 60-2.)   |
| Compliance Programs, or applicable filing requirement   | the Equal Ements? | ting Committee, the Director of the Office of Federal Contraction of Federal Cont |
|   |                   | us contracts or subcontracts subject to the  |
| If you checked "No" to que                              | estion (1) or (   | 2) above, please provide an explanation:   |
|   |                   |  |
|   |                   |  |

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

| Matthew R. Szontagh                                    |  |
|--|--|
| (Print or type exact legal name of Disclosing Party)   |  |
| By: (Sign here)  |  |
| Matthew Szontagh                                       |  |
| (Print or type name of person signing)                 |  |
| N/A Applicant  |  |
| (Print or type title of person signing)                | ``   |
| Signed and sworn to before me on (date) May 22nd, 2018 | ,  |
| at Cook County, Illinois (state).                      |  |
| Blowles  | Official Seal Brianna J DiZeo                                    |
| Notary Public  | Notary Public State of Illinois My Commission Expires 01/19/2022 |
| Commission expires: 119 22                             | ······   |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [X] No                    |   |
|-------------------|---------------------------|---|
| which such person | is connected; (3) the nam | the and title of such person, (2) the name of the legal entity to<br>the and title of the elected city official or department head to<br>tip, and (4) the precise nature of such familial relationship. |
| 4                 |                           |   |
| •                 |                           |   |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|      | Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code offlaw or problem landlord pursuant to MCC Section 2-92-416? |        |  |
|------|---|--------|--|
|      | []Yes   | [X] No |  |
| the  |   |        | cly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section            |
|      | []Yes   | [ ] No | [X] The Applicant is not publicly traded on any exchange.  |
| as a | building co   |        | tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |
|      |   |        |  |