

### City of Chicago



O2018-7957

#### Office of the City Clerk

#### **Document Tracking Sheet**

Meeting Date:

10/29/2018

Sponsor(s):

Dept./Agency

Type:

Ordinance

Title:

Scope of services, budget and management agreement for Special Service Area No. 10, Back of the Yards (Year 2019)

**Committee(s) Assignment:** 

Committee on Finance



# DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

October 16, 2018

# TO THE HONORABLE CHAIRMAN, EDWARD M. BURKE AND MEMBERS OF THE COMMITTEE ON FINANCE OF THE CITY COUNCIL

Ladies and Gentlemen:

I transmit herewith twelve Special Service Area ordinances.

Your favorable consideration of this ordinance will be appreciated.

Very truly yours,

David L. Reifman Commissioner

#### **ORDINANCE**

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(I) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., (the "Special Service Area Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, on September 13, 1989, the City Council of the City of Chicago (the "City Council") enacted an ordinance, as amended by an ordinance enacted by the City Council on November 15, 1995 (collectively, the "Establishment Ordinance") which established an area known and designated as City of Chicago Special Service Area Number 10 (the "Area") and authorized the levy of an annual tax not to exceed an annual rate of one and nine tenths percent (1.9%) of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Special Services"); and

WHEREAS, certain funds in Fund 339 ("Fund 339") in the amount of \$119,892 are available for use in connection with the Area; and

WHEREAS, the Establishment Ordinance established the Area as that territory approximately bounded by the area fronting in whole or in part on 47th Street from the Conrail Railroad tracks on the west (2200 West) to Loomis Avenue on the east and on Ashland Avenue from the Conrail Railroad tracks on the north (4000 South) to 49th Street on the South; and

WHEREAS, the Special Services authorized in the Establishment Ordinance included recruitment of new businesses to the Area, rehabilitation activities, loan packaging services, maintenance and beautification activities, coordinated promotional and advertising activities for the Area, private security services, and other technical assistance activities to promote commercial and economic development; and

WHEREAS, the Establishment Ordinance provided for the appointment of the Back of the Yards Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as <u>Exhibit A</u> and hereby made a part hereof; now, therefore

#### Be It Ordained by the City Council of the City of Chicago:

**SECTION 1**. <u>Incorporation of Preambles</u>. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

**SECTION 2.** Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

# BACK OF THE YARDS SPECIAL SERVICE AREA COMMISSION SPECIAL SERVICE AREA BUDGET

For the fiscal year beginning January 1, 2019 and ending December 31, 2019.

	EXPENDITURES
Service Provider Agreement for the provision of Special Services	\$504,660
TOTAL BUDGET REQUEST	\$504,660
SOURCE OF FUNDING Tax levy at an annual rate not to exceed one and nine tenths percent (1.9%) of the equalized assessed value, of taxable property within Special Service Area Number 10	\$367,574
Fund 339	\$119,892
Carryover funds currently available from prior tax years	\$ 15,000
Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any.	\$ 2,194

**SECTION 3**. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6(a) and 6(I)(2) of the Constitution of the State of Illinois and pursuant to the

provisions of the Special Service Area Act and pursuant to the provisions of the Establishment Ordinance, the sum of \$367,574 as the amount of the Services Tax for the tax year 2018.

SECTION 4. Filing. The City Clerk of the City of Chicago (the" City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 25, 2018, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2018 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with Back of the Yards Neighborhood Council, an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

**SECTION 6**. <u>Enforceability</u>. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

**SECTION 7**. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

**SECTION 8**. <u>Publication</u>. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

**SECTION 9**. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

#### **EXHIBIT A**

Budget

# Exhibit A Budget

Special Service Area # 10

Service Provider Agency: Back of the Yards Neighborhood Council

#### **2019 BUDGET SUMMARY**

Budget and Services Period: January 1, 2019 through December 31, 2019

		2018	Levy				
CATEGORY		Collectable Levy	Estimated Loss Collection	Carryover Funds	TIF Rebate Fund #339	Estimated Late Collections and Interest	Total All Sources
1.00 Custor Attraction	mer	\$22,692	\$2,194	\$0	\$65,500	\$0	\$90,386
2.00 Public Aesthetics	Way	\$177,825	\$0	\$0	\$29,092	\$1,097	\$208,014
3.00 Sustainability and Public Places		\$4,000	\$0	\$0	. \$0	\$0	\$4,000
4.00 Economic/ Business Development		\$51,000	\$0	\$0	\$10,000	\$1,097	\$62,097
5.00 Safety Programs		\$15,000	\$0	\$15,000	\$0	\$0	\$30,000
6.00 SSA Management		\$5,800	\$0	\$0	\$15,300	\$0	, \$21,100
7.00 Personnel		\$89,063	\$0		\$0	\$0	\$89,063
	Sub-total	\$365,380	\$2,194				
GRAND TOTALS	Levy Total	\$367	,574	\$15,000	\$119,892	\$2,194	\$504,660

LEVY ANALYSIS	
Estimated 2018 EAV.	\$33,589,727
Authorized Tax Rate Cap:	1.900%
Maximum Potential Levy limited by Rate Cap:	\$638,205
Requested 2018 Levy Amount:	\$367,574
Estimated Tax Rate to Generate 2017 Levy:	1.0943%

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclo	sing Party submitti	ng this EDS. Inclu	de d/b/a/ if applicable:
Back of the Yards Neigh	borhood Council		
Check ONE of the following	g three boxes:		
the contract, transaction or o "Matter"), a direct or indirect name:	ently holding, or ar	iticipated to hold w which this EDS pe	ithin six months after City action on rtains (referred to below as the licant. State the Applicant's legal
OR 3. [ ] a legal entity with State the legal name of the e		_	the Applicant (see Section II(B)(1)) ds a right of control:
B. Business address of the I	Disclosing Party:	1823 W. 47th	Street Chicago, IL 60609
C. Telephone: <u>773-523-44</u>	16 Fax: <u>773</u> -	409-5741 Email:	echico@bync.org
D. Name of contact person:	Craig Chico		_
E. Federal Employer Identif	ication No. (if you	have one):	
			clude project number and location of icago to provide special services
G. Which City agency or dep	partment is request	ing this EDS? Plan	ning & Development
If the Matter is a contract bei complete the following:	ng handled by the	City's Department o	of Procurement Services, please
Specification #		and Contract #	
Ver.2017-1		ge 1 of 14	

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PART	Υ
Indicate the nature of the Disclosing Parson [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Sole proprietorship [ ] General partnership [ ] Lumited partnership [ ] Trust	arty:  [ ] Limited hability company [ ] Limited hability partnership [ ] Joint venture [x] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  [X] Yes [ ] No [ ] Other (please specify)
2. For legal entities, the state (or foreign cou	intry) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the State business in the State of Illinois as a foreign en	e of Illinois: Has the organization registered to do atity?
[ ] Yes [ ] No	[x] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
the entity; (ii) for not-for-profit corporation are no such members, write "no members which similar entities, the trustee, executor, administ limited partnerships, limited liability company.	
NOTE Each legal entity listed below thust su	
Name No members which are legal entitics.	Title
SEE ATTACHED	
2. Please provide the following information o	concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a conformation, partnership interest in a partnership or joint venture, interest of a member or manager in a

1751 W. 47th Street, 2nd Fl. | Chicago, IL 60609 P 773.523.4416 | F 773.254.3525 | www.bync.org

#### BYNC Board of Directors (redacted)

Philip K. Fuentes Chairman & Secretary

Patricia Doherty-Wildner Treasurer

> Craig A. Chico President & CEO

Dan Arce Board Member

Kim McCullough Board Member

Richard Gentile Board Member

Cesar Dovalina Board Member

limited liability state "None."	company, or interest of a beneficiary of	of a trust, estate or other similar entity. If none,	
NOTE: Each le	egal entity listed below may be required	d to submit an EDS on its own behalf.	
Name	Business Address Percentage Interest in the		
_None			
SECTION III OFFICIALS	INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELECTED	
	sing Party provided any income or com od preceding the date of this EDS?	pensation to any City elected official during the [ ] Yes [ X ] No	
	osing Party reasonably expect to provide during the 12-month period following	le any income or compensation to any City the date of this EDS? [] Yes [X] No	
-	er of the above, please identify below the ncome or compensation:	ne name(s) of such City elected official(s) and	
inquiry, any Cit		sclosing Party's knowledge after reasonable partner, have a financial interest (as defined in CC")) in the Disclosing Party?	
	identify below the name(s) of such Citlescribe the financial interest(s).	y elected official(s) and/or spouse(s)/domestic	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
See attached list.			tagangan o propagas ambir pagan uman pangan pan Bangan pangan panga
(Add sheets if necessary)	ı		
[ ] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTIF	FICATION	s	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities th I support obligations throughout the	
	=	ectly owns 10% or more of the Disc tions by any Illinois court of compe	<u> </u>
[] Yes[] No [X] No	person dire	ectly or indirectly owns 10% or mor	e of the Disclosing Party.
If "Yes," has the person e is the person in compliant		a court-approved agreement for pay agreement? <	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFIC	CATIONS	*	
Procurement Services.] I	n the 5-year	the Matter is a contract being handle period preceding the date of this E efinition in (5) below! has engaged	DS, neither the Disclosing

- 1. [This paragraph I applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2 The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue

# List of Retained/Anticipated Parties

			<u> </u>	, 16	10	<b>1</b>	<u> </u>	ñ		<u>.</u>	SSA #
10 Back of the Yards		Dack of the Laids	10 Back of the Yards	10 Back of the Yards	10 Back of the Yards	10 Back of the Yards	10 Back of the Yards	10 Back of the Yards	10 Back of the Yards	10 Back of the Yards	SSA Name
Council	Neighborhood	Back of the Yards	Back of the Yards	Back of the Yards Neighborhood Council	Back of the Yards Neighborhood Council	Back of the Yards Neighborhood Council	Back of the Yards Neighborhood Council	Back of the Yards Neighborhood Council	Back of the Yards Neighborhood Council	Back of the Yards Neighborhood Council	.Provider Agency
Maintenance	Sidewalk	Coodiliani	Accountant	Storage Rental	Sanitation Services	Decorative Banners	Print Materials	Display Advertising	Public Media Relations	Public Media Relations	Vendor Type
Unlimited	Pressure Washing	100	Cary J. Hall & Associates,	MKM Enterprises, Inc.	Republic Services	Taylor Visual Group	Fitzgerald Marketing & Communications	The Gate	Telemundo	Univision Radio	Vendor Name
Nicole Mioletti		Cally of Figure	Carv - Hall	N/A	N/A	Denise Taylor	Rosann James	Gloria Talamantes	Mario Paex	Alicia Chavarria 312-981-1831	Contact Name
773-392-1500		100	708-771-2722	773-927-5757	773-579-3600	630-592-4688	773-565-1519	773-847-4896	312-836-3042	312-981-1831	Phone
2558 W. 16th Street		COCO NOTE OCTUBE	3808 North Central	1443 W. 41st St.	2608 S. Damen	770 North Church Road, Unit C Elmhurst, IL	4141 S. Peoria	1823 W. 47th Street	454 N Columbus Drive	625 N. Michigan Ave., 3rd Fl.	Address
\$10,000.00		\$0,000	\$6 000 00	\$2,400.00	\$1,500.00	\$12,000.00 Anticipated	\$2,000.00	\$2,000.00	\$5,000.00	\$12,000.00 Anticipated Estimated	Cost
\$10,000.00 Anticipated		A Incipated	\$6 000 00 Anticipated	\$2,400.00 Anticipated	\$1,500.00 Anticipated	Anticipated	\$2,000.00 Anticipated	\$2,000.00 Anticipated	\$5,000.00 Anticipated	Anticipated	Notes
Estimated		Lambiatod	Testimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Paid or Estimated

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction, a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM")
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Page 6 of 14

N/A		······································		<del></del>	
	," the word "None," or Disclosing Party certi			es above, it will b	pe conclusively
list of all current preceding the dat	f the Disclosing Party's employees of the Discle of this EDS, an employer the "N/A" or "none").	osing Party w	ho were, at any t	me during the 12	-month period
N/A					
of the City of Chi generally available course of official contribution other	ceding the execution date cago. For purposes of the to City employees or City business and having wise duly reported as row, please also list the	this statement to the genera ng a retail val required by lav	t, a "gift" does no l public, or (ii) fo ue of less than \$2 w (if none, indica	t include: (i) any ood or drink provi 25 per recipient, o	thing made ded in the or (iii) a political
C. CERTIFICAT	ION OF STATUS AS	FINANCIAL	INSTITUTION		
-	g Party certifies that the	e Disclosing F	arty (check one)		
a "financial ins	stitution" as defined in	MCC Section	2-32-455(b).		•
2. If the Disclosin	ng Party IS a financial	institution, the	en the Disclosing	Party pledges:	

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Section 2-3		ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
	" the word "None," or no response a umed that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICAT	ION REGARDING FINANCIAL II	TEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		te best of the Disclosing Party's knowledge to of the City have a financial interest in his or natity in the Matter?
[ ] Yes	[X] No	
	ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	o Items D(2) and D(3). If you checked "No" Part E.
official or employed other person or ent taxes or assessment "City Property Sal	ee shall have a financial interest in hatity in the purchase of any property ats, or (iii) is sold by virtue of legal	dding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[ ] Yes	[ ] No	
		nes and business addresses of the City officials by the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
-	g Party further certifies that no prohity official or employee.	bited financial interest in the Matter will be

Var 2017-1

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
i.

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

Page 9 of 14

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4 The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended:
- 5 If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Part		
[ ] Yes	[] No	
If "Yes," answer the t	hree questions be	elow:
Have you develop federal regulations? (         [ ] Yes	See 41 CFR Part	ave on file affirmative action programs pursuant to applicable t 60-2)
Compliance Programs applicable filing requ	s, or the Equal Errements?	orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[] Reports not required
3 Have you participated and opportunity class		ous contracts or subcontracts subject to the
[ ] Yes	[ ] No	
If you checked "No" t	o question (1) or	(2) above, please provide an explanation
	and the second s	

Page 10 of 14

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Back of the Yards Neighborhood Council	
(Print or type exact legal pame of Disclosing Party)	
By: Crange Kenn	
(Sign here)	
Craig Chico	
(Print or type name of person signing)	
President & CEO	
(Print or type title of person signing)	•
	`
Signed and sworn to before me on (date) 9-4	1-18
at Cook County, Illinging (state).	
James & Sousha	
Notary Public	OFFICIAL SEAL
	JAMES S. GONSKA
() 1 -	Notary Public - State of Illinois My Commission Explres 8/01/2021
Commission expires: $8 - 1 - 21$	Wy Commission Capital Systy

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No		
which such person	is connected; (3) the nam	ne and title of such person, (2) the name of the legal ne and title of the elected city official or department ip, and (4) the precise nature of such familial relation	t head to

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[X] No	
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
	offlaw or problen	entify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

X J Yes
] No
] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
f you checked "no" to the above, please explain.
,