

## City of Chicago



O2018-8292

# Office of the City Clerk Document Tracking Sheet

**Meeting Date:** 10/31/2018

Sponsor(s): Emanuel (Mayor)

Type: Ordinance

Title: Negotiated sale of City-owned property at 341-343 N Kedzie

Ave to Corry Williams

Committee(s) Assignment: Committee on Housing and Real Estate



## OFFICE OF THE MAYOR CITY OF CHICAGO

RAHM EMANUEL

October 31, 2018

## TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

## Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

#### **ORDINANCE**

- WHEREAS, the City of Chicago ("<u>City</u>") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970 and, as such, may exercise any power and perform any function pertaining to its government and affairs; and
- **WHEREAS**, the City has established the Community Development Commission ("<u>CDC</u>") to, among other things, designate redevelopment areas, approve redevelopment plans, and recommend the sale or lease of parcels located in redevelopment areas, subject to the approval of the City Council of the City ("<u>City Council</u>"); and
- WHEREAS, pursuant to an ordinance adopted by the City Council on June 10, 1998, and published at pages 70367-70499 of the Journal of the Proceedings of the City Council ("Journal") of such date, a certain redevelopment plan and project (as amended, the "Plan") for the Kinzie Industrial Corridor ("Redevelopment Area") was approved pursuant to the Illinois Tax Increment Allocation Redevelopment Act, as amended (65 ILCS 5/11-74.4-1 et seq.) (the "Act"); and
- WHEREAS, pursuant to an ordinance adopted by the City Council on June 10, 1998, and published at pages 70499-70509 of the Journal of such date, the Redevelopment Area was designated as a "conservation area" redevelopment project area pursuant to the Act; and
- WHEREAS, pursuant to an ordinance adopted by the City Council on June 10, 1998, and published at pages 70509-70520 of the Journal of such date, tax increment allocation financing was adopted pursuant to the Act as a means of financing certain Redevelopment Area redevelopment project costs (as defined in the Act) incurred pursuant to the Plan; and
- WHEREAS, the City is the owner of the real property located at 341-343 North Kedzie, Chicago, Illinois 60612, which is legally described on Exhibit A attached hereto (the "City Land"), and which is located in the Redevelopment Area; and
- **WHEREAS**, the City Land is located in the East Garfield Park and is comprised of approximately .1401 acres (6,103 s.f.); and
- WHEREAS, Corry Williams (the Grantee), has submitted a proposal to the Department of Planning and Development (the "Department") to purchase the City Land for \$1.00; and
  - WHEREAS, the appraised fair market value of the City Land is \$49,000; and
- WHEREAS, the Grantee owns the real property located adjacent to the City Land at 345 North Kedzie Avenue, Chicgao, Illinois 60612, and operates an art gallery thereon; and
- **WHEREAS**, Grantee is the manager of 345 Art Gallery, LLC, an Illinois limited liability company (the "Art Gallery"); and
  - WHEREAS, the Art Gallery showcases art from established and local artists and Chicago

Public School students and provides a performing arts, visual arts and multi-purpose space for the community; and

- WHEREAS, Grantee has previously acquired the property located at 347 North Kedzie Avenue from the City; and
- WHEREAS, Grantee intends to use the City Land for the expansion of the Art Gallery and the creation of a sculpture garden, which use is consistent with the purposes and objectives of the Plan; and
- WHEREAS, the Art Gallery has received a grant in the amount of \$40,000 from the City's Neighborhood Opportunity Fund to assist with the development of the City Land for the art gallery; and
- WHEREAS, the expansion of the Art Gallery will improve the health of the Kedzie Avenue commercial corridor by activating an underutilized parcel of real estate, and will also provide additional cultural resources for the community; and
- WHEREAS, the City Council finds that the conveyance of the City Land to Grantee, or to an entity of which Grantee is the sole controlling party, is in the best interests of the City; and
- **WHEREAS**, pursuant to Resolution No. 18-041-21 adopted on June 21, 2018, the Chicago Plan Commission approved the conveyance of the City Land to Grantee; and
- WHEREAS, by Resolution No. 18-CDC-13, adopted on May 8, 2018, the CDC authorized the Department to advertise its intent to negotiate a sale with Grantee for disposition of the City Land and to request alternative proposals for redevelopment, and recommended the sale of the City Land to Grantee if no responsive alternative proposals were received at the conclusion of the advertising period, or, if alternative proposals were received, if the Department determined in its sole discretion that it was in the best interest of the City to proceed with Grantee's proposal; and
- WHEREAS, public notices advertising the Department's intent to enter into a negotiated sale of the City Land with Grantee and requesting alternative proposals appeared in the Chicago Sun-Times on May 14, May 28 and June 4, 2018; and
- **WHEREAS**, no alternative proposals were received by the deadline indicated in the aforesaid notices; *now, therefore*,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

- **SECTION 1.** The City Council hereby approves the sale of the City Land to Grantee or to an entity of which Grantee is the sole controlling party for \$1.00.
- **SECTION 2.** The Mayor or his proxy is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the City Land to Grantee or to an entity of which Grantee is the sole controlling party in substantially the form attached hereto as Exhibit B.

**SECTION 3.** The Commissioner of the Department (the "<u>Commissioner</u>") or a designee of the Commissioner, is each hereby authorized, subject to the review and approval of the City's Corporation Counsel as to form and legality, to negotiate, execute and deliver such other documents and take such other actions as may be necessary or appropriate to carry out and comply with the provisions of this ordinance.

**SECTION 4.** If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

**SECTION 5.** All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

**SECTION 6**. This ordinance shall take effect upon its passage and approval.

Attachments: Exhibit A – Legal Description of City Land

Exhibit B – Deed

#### **EXHIBIT A**

### **LEGAL DESCRIPTION**

## (SUBJECT TO FINAL SURVEY AND TITLE COMMITMENT)

LOT 27 IN HINDS' SUBDIVISION OF BLOCK 7 IN LEE'S SUBDIVISION OF THE WEST 1/2 OF THE SOUTHEAST 1/4 OF SECTION 16, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

**COMMON ADDRESS:** 

341 North Kedzie Avenue

Chicago, Illinois 60612

PROPERTY INDEX NUMBER:

16-12-300-004

LOT 28 IN HINDS' SUBDIVISION OF BLOCK 7 IN LEE'S SUBDIVISION OF THE WEST 1/2 OF THE SOUTHEAST 1/4 OF SECTION 16, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

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343 North Kedzie Avenue

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16-12-300-004

## EXHIBIT B

## **DEED**

(ATTACHED)

# QUITCLAIM DEED (Vacant Land)

(The Above Space for Recorder's Use Only)

The CITY OF CHICAGO, an Illinois municipal corporation and home rule unit of local government, having its principal offices at 121 North LaSalle Street, Chicago, Illinois 60602 ("City"), for and in consideration of One Dollar (\$1.00) (the "Purchase Price"), conveys and quitclaims to CORRY WILLIAMS, [or to an entity of which Corry Williams is the sole controlling party], whose principal place of business is 345 North Kedzie Avenue, Chicago, Illinois 60612 ("Grantee"), all interest and title of Grantor in the real property legally described and identified on Exhibit A attached hereto (the "Property"), pursuant to an ordinance adopted by the City Council on \_\_\_\_\_\_, 2018, and published at pages \_\_\_\_\_\_ through \_\_\_\_\_\_ in the Journal of the Proceedings of the City Council of such date.

Without limiting the quitclaim nature of this deed, this conveyance is subject to: (a) the standard exceptions in an ALTA title insurance policy; (b) general real estate taxes and any special assessments or other taxes; (c) all easements, encroachments, covenants and restrictions of record and not shown of record; (d) such other title defects that may exist; and (e) any and all exceptions caused by the acts of Grantee or its agents. In addition, this conveyance is subject to the following express conditions and covenants which are a part of the consideration for the Property and which are to be taken and construed as running with the land and binding on Grantee and Grantee's successors and assigns:

FIRST. Grantee shall operate the Property as an art gallery, sculpture garden or cultural events space. Grantee may not use the Property for any other purpose without the prior written consent of the Commissioner of the City of Chicago Department of Planning and Development (the "Department"), or any successor department thereto, which consent shall be in the Department's sole discretion. This covenant shall be in full force and effect for a period of twenty (20) years from the date of this deed.

**SECOND**. Grantee may not, without the prior written consent of the Department, which consent shall be in the Department's sole discretion, engage in any financing or other transaction which would create an encumbrance or lien on the Property. This covenant shall be in full force and effect for a period of twenty (20) years from the date of this deed.

**THIRD**. Grantee shall not discriminate on the basis of race, color, sex, gender identity, age, religion, disability, national origin, ancestry, sexual orientation, marital status, parental status, military discharge status, or source of income in the sale, lease, rental, use or occupancy of the Property or any part thereof. This covenant shall have no limitation as to time.

FOURTH. Grantee acknowledges and agrees that the Property is being conveyed, and Grantee accepts the Property, in its "as is," "where is" and "with all faults" condition without any covenant, representation or warranty, express or implied, of any kind, as to the structural, physical or environmental condition of the Property or the suitability of the Property for any purpose whatsoever. Grantee, on behalf of itself and its successors and assigns, shall release, relinquish and forever discharge Grantor and its officers, employees, agencies, departments and officials, from and against any and all claims, causes of action, demands, legal or administrative proceedings, losses, damages, liabilities, judgments, amounts paid in settlement, interest, fines, penalties, costs and expenses (including, without limitation, reasonable attorney's fees and expenses and court costs) based upon, arising out of or in any way connected with, directly or indirectly, the structural, physical or environmental condition of the Property. This covenant shall have no limitation as to time.

FIFTH. Grantee acknowledges that the environmental assessment of the Property identified contamination above residential remediation objectives as determined by Title 35 of the Illinois Administrative Code ("IAC") Part 742, and that Grantee enrolled the Property in the Illinois Environmental Protection Agency's ("IEPA") Site Remediation Program ("SRP"). Grantee shall take all necessary and proper steps to obtain a Remedial Action Plan approval letter from the IEPA ("RAP Approval Letter") for the Property. Grantee acknowledges and agrees that it may not commence work on the Property until the IEPA issues, and the City's Department of Fleet and Facility Management ("2FM") approves, the RAP Approval Letter for the Property. Upon receipt of the RAP Approval Letter for the Property, Grantee covenants and agrees to diligently complete all remediation work necessary to obtain a final "No Further Remediation" letter ("NFR Letter") from the IEPA for the Property using all reasonable means. The City shall have the right to review in advance and approve all documents submitted to the IEPA under the SRP, as amended or supplemented from time to time. Grantee shall cooperate and consult with 2FM at all relevant times (and in all cases upon 2FM's request) with respect to environmental matters. Grantee shall bear sole responsibility for all aspects of the remediation work including, but not limited to, the removal and closure of any underground storage tanks. Grantee shall promptly transmit to the City copies of all documents prepared or received with respect to the remediation work, including, without limitation, any written communications delivered to or received from the IEPA or other regulatory agencies. Grantee acknowledges and agrees that the City will not issue a Certificate of Occupancy for the Property until the IEPA has issued, the City has approved, and Grantee has recorded with the Cook County Recorder of Deeds, a Final NFR Letter for the Property (the "NFR Approval and Recording Requirement"). This covenant shall expire upon the satisfaction of the NFR Approval and Recording Requirement.

**SIXTH.** Grantee shall comply with all land use restrictions, institutional controls and other terms and conditions contained in the Final NFR Letter. This covenant shall terminate in accordance with the terms of the Final NFR Letter.

If Grantee violates, breaches or fails to comply with any of the foregoing covenants and conditions, Grantee shall have thirty (30) days after written notice of default from Grantor to cure the default, or such longer period as shall be reasonably necessary to cure such default provided Grantee promptly commences such cure and thereafter diligently pursues such cure to completion (so long as continuation of the default does not create material risk to the Property or to persons using the Property). If the default is not cured in the time period provided for herein, Grantor may exercise any and all rights and remedies available to it at law or in equity, including but not limited to, the right to reenter and take possession of the Property, terminate the estate conveyed to Grantee, and revest title to the Property in Grantor (the "Right of Reverter"); provided, however, Grantor's Right of Reverter shall be limited by, and shall not defeat, render invalid, or limit in any way, the lien of any mortgage authorized by the Department. Grantee, at Grantor's request, covenants to execute and deliver to the City a reconveyance deed to the Property to further evidence such revesting of title, and Grantee shall be responsible for all real estate taxes and assessments which accrued during the period the Property was owned by Grantee and shall cause the release of all liens and encumbrances placed on the Property (except those permitted by the Department) during the period of time the Property was owned by Grantee. Grantee shall pay Grantor's legal fees incurred in enforcing Grantee's obligations under these covenants.

[Signature Page Follows]

duly executed in its name and behalf and its seal to City Clerk, on or as of the day of	
	CITY OF CHICAGO, an Illinois municipal corporation
	Rahm Emanuel, Mayor
ATTEST:	
Andrea M. Valencia, City Clerk	
Approved as to form (except for legal description):	
Lisa Misher,  Deputy Corporation Counsel	

THIS TRANSFER IS EXEMPT PURSUANT TO THE PROVISIONS OF THE REAL ESTATE TRANSFER TAX ACT, 35 ILCS 200/31-45(b); SECTION 3-33-060.B. OF THE MUNICIPAL CODE OF CHICAGO (CHICAGO REAL PROPERTY TRANSFER TAX ORDINANCE); AND SECTION 6 (B) OF THE COOK COUNTY REAL PROPERTY TAX ORDINANCE.

STATE OF ILLINOIS	)
	) SS.
COUNTY OF COOK	)
T.1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
	ublic in and for said County, in the State aforesaid, do hereby certify
that Edward N. Siskel, person	nally known to me to be the Corporation Counsel of the City of
_	al corporation (the "City") pursuant to proxy on behalf of Rahm
-	M. Valencia, City Clerk of the City, both personally known to me
	names are subscribed to the foregoing instrument, appeared before
	ing first duly sworn by me acknowledged that as said Corporation
	respectively, each person signed and delivered the said instrument
•	
<u>-</u>	of said City to be affixed thereto, pursuant to authority given by the
City, as each person's free an	d voluntary act, and as the free and voluntary act and deed of said
City, for the uses and purposes	s therein set forth.

of ven under my hand and notarial seafths	day of	, 2018.	
		•	
	NOTAE	V DI IRI IC	

THIS INSTRUMENT WAS PREPARED BY: Marcus Martinez
Department of Law, Real Estate Division
121 North LaSalle Street, Suite 600
Chicago, Illinois 60602
312-742-8412

MAIL DEED AND TAX BILLS TO: Corry Williams 345 North Kedzie Avenue Chicago, Illinois 60612

### **EXHIBIT A**

## **LEGAL DESCRIPTION**

## (SUBJECT TO FINAL COMMITMENT AND SURVEY)

LOT 27 IN HINDS' SUBDIVISION OF BLOCK 7 IN LEE'S SUBDIVISION OF THE WEST 1/2 OF THE SOUTHEAST 1/4 OF SECTION 16, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

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PROPERTY INDEX NUMBER:

16-12-300-005

#### STATEMENT BY GRANTOR AND GRANTEE

The grantor or his agent affirms that, to the best of his knowledge, the name of Grantee shown on the deed or assignment of beneficial interest in a land trust is either a natural person, an Illinois corporation or foreign corporation authorized to do business or acquire and hold title to real estate in Illinois, a partnership authorized to do business or acquire and hold title to real estate in Illinois, or other entity recognized as a person and authorized to do business or acquire title to real estate under the laws of the State of Illinois.

	City of Chicago, by one of its attorneys:
Dated, 2018	SignatureMarcus Martinez
	Assistant Corporation Counsel
Subscribed and sworn to before me this day of, 2018	
Notary Public	
beneficial interest in a land trust is eit corporation authorized to do business or authorized to do business or acquire recognized as a person authorized to do laws of the State of Illinois.	name of Grantee shown on the deed or assignment of ther a natural person, an Illinois corporation or foreign acquire and hold title to real estate in Illinois, a partnership and hold title to real estate in Illinois, or other entity business or acquire and hold title to real estate under the
Dated, 2018	SignatureGrantee or Agent
Subscribed and sworn to before me this day of, 2018	- C
Notary Public	
	nits a false statement concerning the identity of a grantee or for the first offense and of a Class A misdemeanor for

(Attach to deed or ABI to be recorded in Cook County, Illinois if exempt under provisions of Section 4 of the Illinois Real Estate Transfer Tax Act)

## CÎTY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

-	of the Disclosing Pa	•		<del></del>
Corry W	Villiams			-
	the following three			,
1. [ the A OR 2. [ ] a legathe contract, trans	al entity currently house	olding, or anticipate dertaking to which	ed to hold within si this EDS pertains	ix months after City action or (referred to below as the State the Applicant's legal
name:			,	
	al entity with a directance of the entity in			oplicant (see Section II(B)(1)) ght of control:
B. Business add	ress of the Disclosin	ng Party:		2
C. Telephone		 	Email:	
D. Name of con	tact person: Sav	ne		
E. Federal Emp	loyer Identification	No. (if you have or	ıe): _	
			ertains. (Include p	roject number and location of
G. Which City a	gency or departmen	t is requesting this	eds?Plannen	g & Development
	contract being hand			urement Services, please
Specification #_	nla	and Co	ontract#	
Ver.2017-1		Page 1 of 14	ı	

### SECTION II – DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	rty:
[V] Person	[ ] Limited liability company
[ ] Publicly registered business corporation	[ ] Limited liability partnership
[ ] Privately held business corporation	[ ] Joint venture
[ ] Sole proprietorship	Not-for-profit corporation
[ ] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[ ] Limited partnership	[]Yes []No
[ ] Trust	[ ] Other (please specify)
2. For legal entities, the state (or foreign count	try) of incorporation or organization, if applicable:
3. For legal entities not organized in the State business in the State of Illinois as a foreign ent	of Illinois: Has the organization registered to do ity?
[ ] Yes [ ] No	[ ] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whic similar entities, the trustee, executor, administ limited partnerships, limited liability compa	plicable, of: (i) all executive officers and all directors of , all members, if any, which are legal entities (if there h are legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name Name	Title

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Name	Business Address	Percentage Interest in the Applicant
SECTION III - OFFICIALS	- INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELECTE
	ng Party provided any income or con preceding the date of this EDS?	npensation to any City elected official during the
	sing Party reasonably expect to providuring the 12-month period following	de any income or compensation to any City the date of this EDS? [] Yes [V] No
•	of the above, please identify below to	he name(s) of such City elected official(s) and
inquiry, any City Chapter 2-156 of [ ] Yes If "yes," please i	velected official's spouse or domestic f the Municipal Code of Chicago ("M No	sclosing Party's knowledge after reasonable partner, have a financial interest (as defined in ICC")) in the Disclosing Party?

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

## SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
Check here if the Disc	closing Part	y has not retained, nor expects to re	etain, any such persons or entities.
SECTION V CERTIE			
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities t d support obligations throughout th	
		ectly owns 10% or more of the Distinctions by any Illinois court of com	
[]Yes []No []]	No person o	lirectly or indirectly owns 10% or	more of the Disclosing Party.
If "Yes," has the person e is the person in compliance		a court-approved agreement for pa agreement?	yment of all support owed and
[]Yes []No			•
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] ]	in the 5-yea	the Matter is a contract being hand r period preceding the date of this lefinition in (5) below! has engaged	EDS, neither the Disclosing

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Ver.2018-1

Page 4 of 15

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:			
If the letters "NA," the word-"None," or no response appears on the lines above, it will be conclusivel presumed that the Disclosing Party certified to the above statements.			
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").			
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.			
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION			
1. The Disclosing Party certifies that the Disclosing Party (check one)  [ ] is [ ] is not			
a "financial institution" as defined in MCC Section 2-32-455(b).			
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:			
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a			

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain		
here (attach addit	ional pages if necessary):	
	" the word "None," or no response a med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	ION REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or terr	ns defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[ ] Yes	[ No	,
	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employ other person or en taxes or assessment "City Property Sal	ee shall have a financial interest in latity in the purchase of any property ats, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[ ] No	
		mes and business addresses of the City official fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
	g Party further certifies that no probity official or employee.	ibited financial interest in the Matter will be

Page 8 of 14

Ver.2017-1

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.				
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS				
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.				
A. CERTIFICATION REGARDING LOBBYING				
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)				
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pa any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any				

person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Page 9 of 14

Ver.2017-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?	
[] Yes	[ ] No	
If "Yes," answer the three	questions belo	ow:
<ol> <li>Have you developed an federal regulations? (See 4)</li> <li>Yes</li> </ol>	•	ve on file affirmative action programs pursuant to applicable 60-2.)
	he Equal Em	ting Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[] Reports not required
3. Have you participated i equal opportunity clause?	n any previot	us contracts or subcontracts subject to the
[]Yes	[ ] No	
If you checked "No" to que	stion (1) or (2	2) above, please provide an explanation:

Page 10 of 14

Ver.2017-1

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

me of Disclosing Party) (Print or type title of person signing)

Signed and sworn to before me on (date) October 25, 2018

OFFICIAL SEAL

Commission expires:



# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.—

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[√] No	
which such person	is connected; (3) the nan	ne and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Moscofflaw or proble	CC Section 2-154-0 om landlord pursuan	10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	No	
the Applicant iden 2-92-416?	tified as a building	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[]Yes	[v] No	[ ] The Applicant is not publicly traded on any exchange.
3. If yes to (1) or as a building code the pertinent code	scofflaw or problem	entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

## PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

Ver.2018-1

Page 15 of 15

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