

City of Chicago



Office of the City Clerk

Document Tracking Sheet

Meeting Date: 1/23/2019

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 5-G at 1740-1742 N

Milwaukee Ave and 2144-2146 W Wabansia Ave - App No.

19921T1

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

#19921-TI INTRODATE DAN 23, 2019

<u>ORDINANCE</u>

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the M1-2 Limited Manufacturing/Business Park

District symbols and indications as shown on Map No.5-G in the area bounded by

a line 124.72 feet northwest of the intersection of North Milwaukee Avenue and West Wabansia Avenue as measured at the southwest right-of-way line of North Milwaukee Avenue and perpendicular thereto; North Milwaukee Avenue; a line 99.72 feet northwest of the intersection of North Milwaukee Avenue and West Wabansia Avenue as measured at the southwest right-of-way line of North Milwaukee Avenue and perpendicular thereto; a line 100 feet west of the intersection of North Milwaukee Avenue and West Wabansia Avenue as measured at the north right-of-way line of West Wabansia Avenue and perpendicular thereto; West Wabansia Avenue; the alley next south west of and parallel to North Milwaukee Avenue,

to those of a C1-3 Neighborhood Commercial District is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Common address of property:

1740-42 North Milwaukee Avenue and

2144-46 West Wabansia Avenue

NARRATIVE AND PLANS FOR PROPOSED REZONING FOR A TYPE 1 ZONING AMENDMENT – 1740-1742 N. MILWAUKEE AVENUE/

The current zoning of the parcel is M1-2 Limited Manufacturing Business Park District. The zoning amendment is required to change the zoning of the parcel to C1-3 Neighborhood Commercial District. The zoning change is needed to permit a retail use on the 1st Floor, office use on the 2d floor and an artist's live/work space (dwelling unit) use on the 3d floor in an existing 3 story brick building.

Lot Area: 3,165 sq. ft.

FAR: 3.0

Building Area: 9,495 sq. ft.

Density/Dwelling Unit: 1055 sq.ft

Off Street Parking Spaces: -0- spaces*

Front Setback: (Existing) 0'- 0"

Rear Setback: (Existing) 0' - 0".

Sideyard (East): (Existing) 0' - 0"

Sideyard (West): (Existing) 0' -[0"

Rear Yard Open Space: (None required)

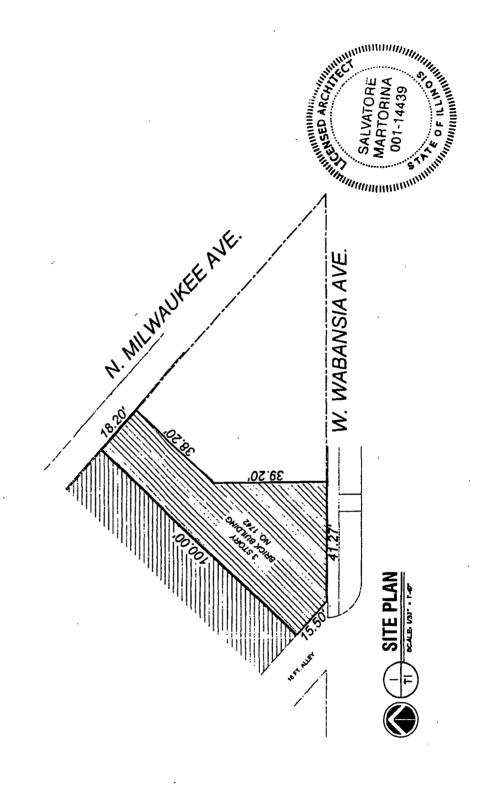
Building Height: 44 ft. (existing)

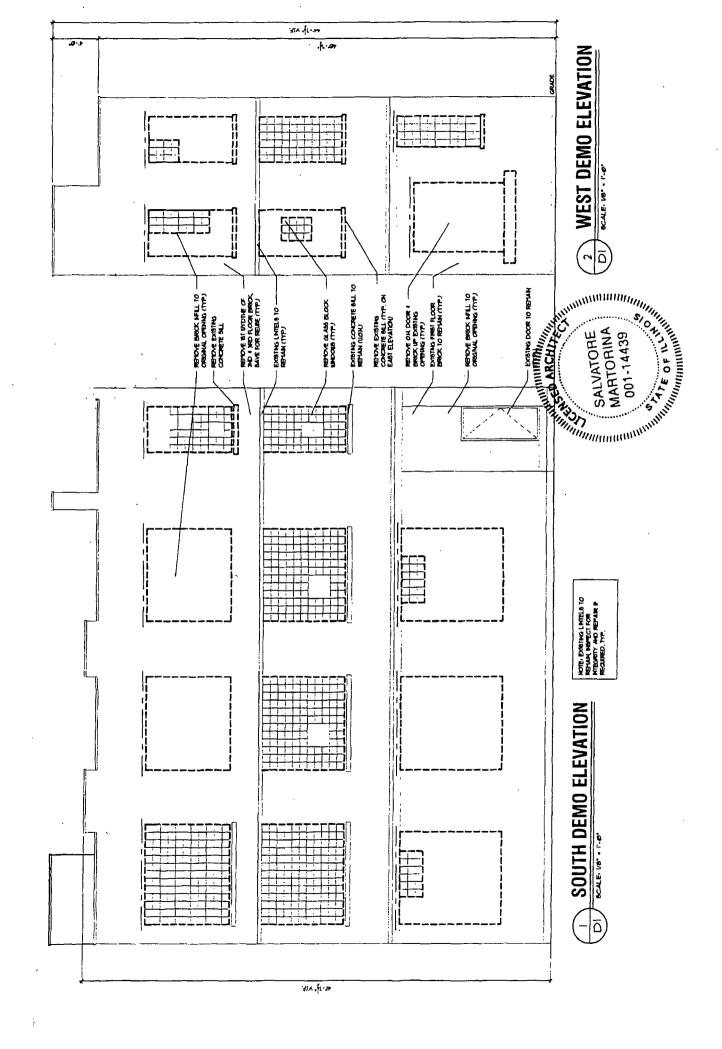
After rezoning, the property shall be used for retail use on the first floor, office use on the second floor and an artist's live/ work space (dwelling unit) use on the third floor. unit)

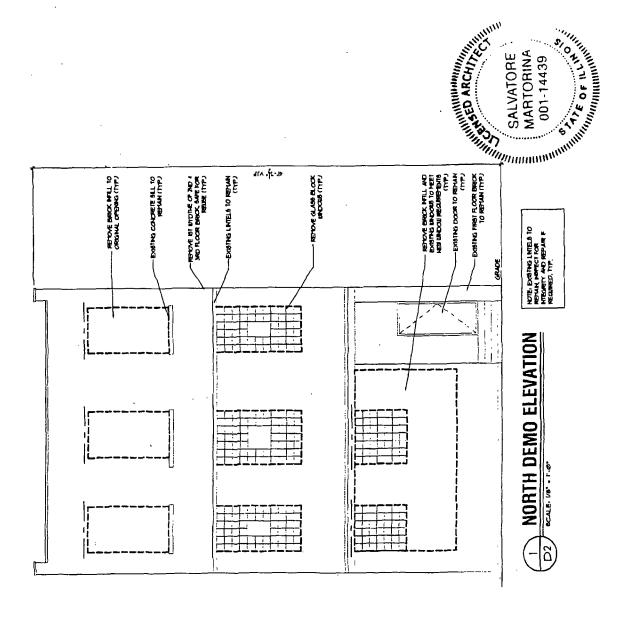
^{*} The applicant shall seek a variation to reduce the additional required parking spaces which are the result of the new 3rd floor dwelling unit use.

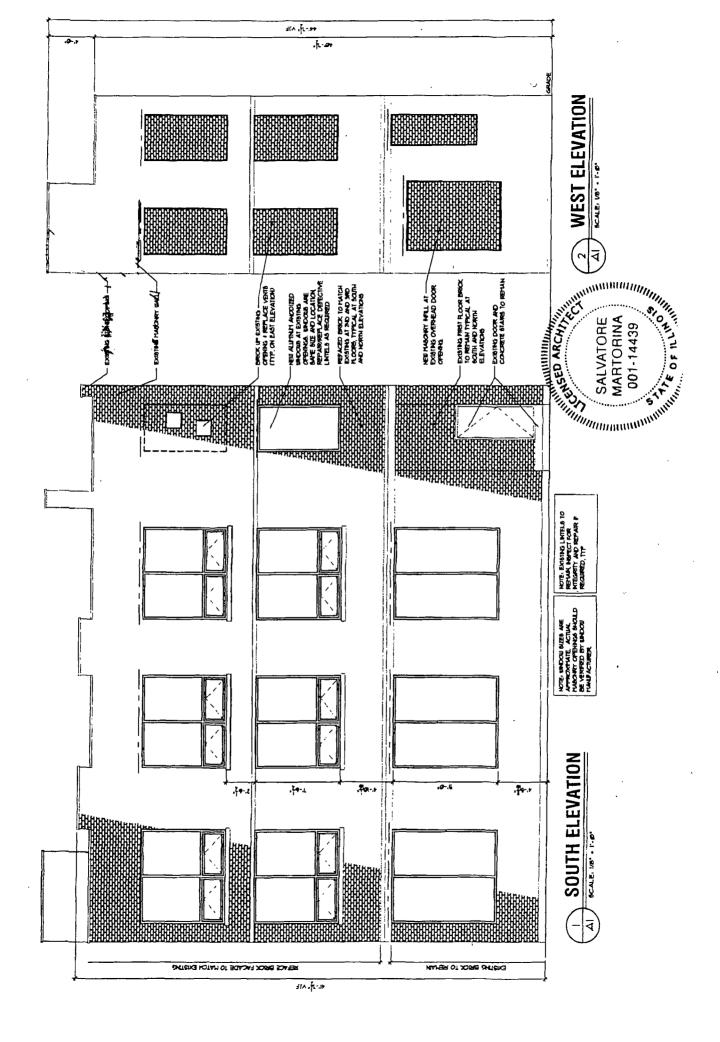
FACADE RENOVATION

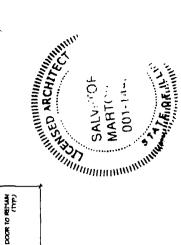
1740 N. MILWAUKEE AVENUE CHICAGO, ILLINOIS 60647

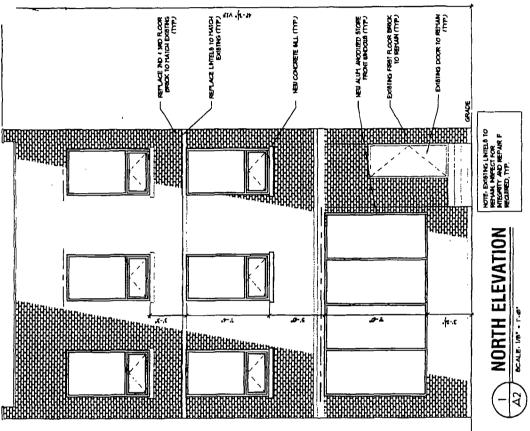


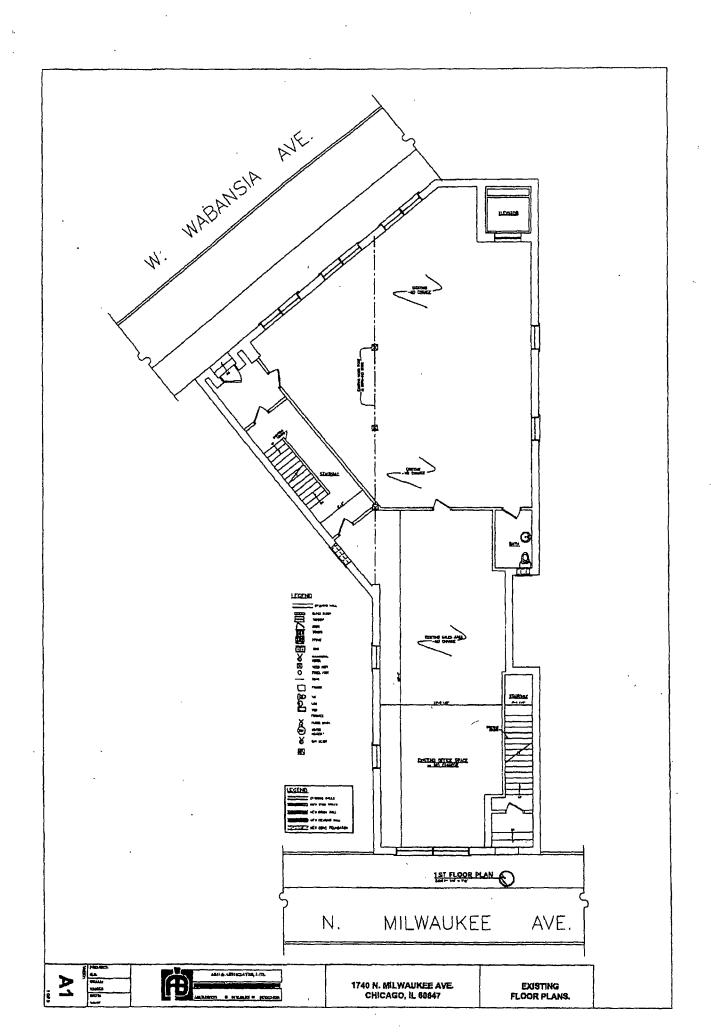


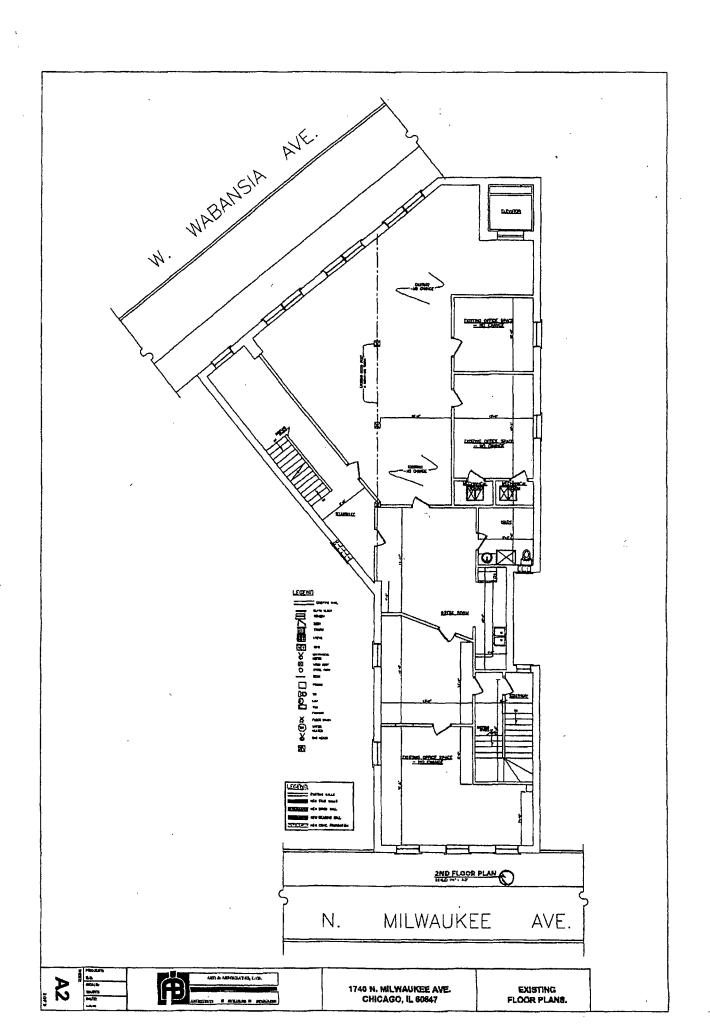


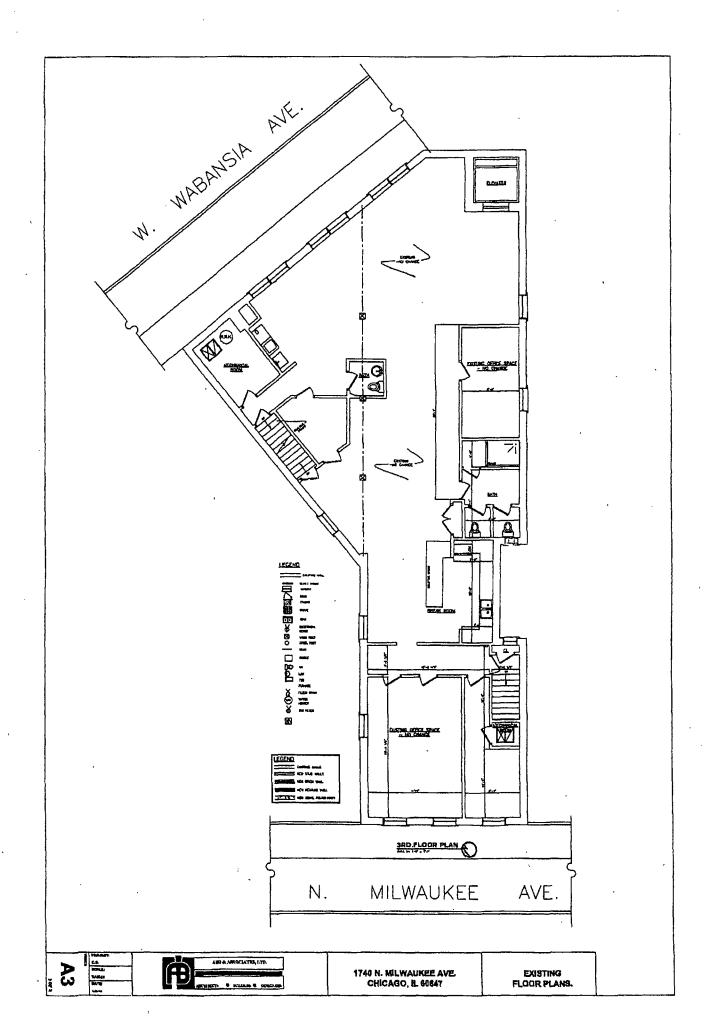
















PROPERTY ADDRESS 1740-1742 N MILWAUKEE AVE, CHICAGO, ILLINOIS 60647

SURVEY NUMBER. 1809 3537

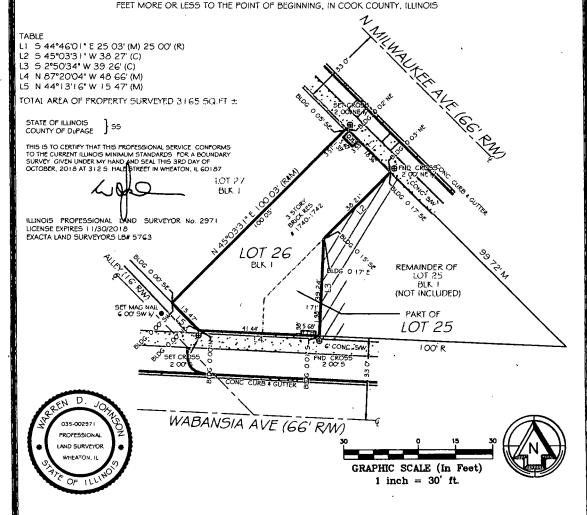
FIELD WORK DATE 10/2/2018

REVISION DATE(S): (REV1 10/29/2018) (REV1 10/3/2018)

18093537

COOK COUNTY

BOUNDARY SURVEY LOT 26 AND THAT PART OF LOT 25 IN BLOCK I IN ISHAM'S SUBDIVISION OF THE NORTH HALF OF THE SOUTH HALF OF THE SOUTHWEST QUARTER OF SECTION 31, TOWNSHIP 40 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, DESCRIBED AS FOLLOWS COMMENCING AT THE SOUTHEAST CORNER OF SAID LOT 25, THENCE WEST ALONG THE SOUTH LINE OF SAID LOT 25, 100 FEET TO THE POINT OF BEGINNING, THENCE CONTINUING WEST ALONG SAID SOUTH LINE TO THE SOUTHWEST CORNER OF SAID LOT 25, THENCE NORTH, ALONG THE WEST LINE OF SAID LOT, 13 FEET MORE OR LESS TO THE NORTHWESTERLY LINE OF SAID LOT 25, THENCE NORTHEASTERLY ALONG SAID NORTHWESTERLY LINE OF SAID LOT, 34 8 FEET, THENCE SOUTH 39 2



THE ABOVE SURVEY IS A PROFESSIONAL SERVICE IN COMPLIANCE WITH THE MINIMUM STANDARDS OF THE STATE OF ILLINOIS. NO IMPROVEMENTS SHOULD BE MADE ON THE BASIS OF THIS PLAT ALONE. PLEASE REFER ALSO TO YOUR DEED, TITLE POLICY AND LOCAL ORDINANCES. COPYRIGHT BY EXACTA ILLINOIS SURVEYORS. THIS DOCUMENT MAY ONLY BE USED BY THE PARTIES TO WHICH IT IS CERTIFIED. PLEASE DIRECT QUESTIONS OR COMMENTS TO EXACTA ILLINOIS SURVEYORS, INC. AT THE NUMBER IN THE PORTICAL PLATE OF THE PARTIES. SOTTOM RIGHT CORNER

CLIENT NUMBER:

DATE 10/03/18

www.thecarapingiam.org

POINTS OF INTEREST

Leasts Proudly Supports

THE CATAPROGRAM transforming lives..

Planco Romit Payment To: 2132 E9th St | Suito 310, Claveland, OH 44115

AUI

BUYER

SELLER:

CERTIFIED TO

This is page 1 of 2 and is not valid without all pages

"WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

December 27, 2018

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 N. LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Dean T. Maragos, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning approximately December 27, 2018.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Signature

Subscribed and Sworn to before me this

av of Decembers, 2018.

Notary Public

KENNETH ALAN HENRY
Official Seal
Notary Public - State of Illinois
My Commission Expires Sep 15, 2020

Maragos & Maragos I, CHTD.

ATTORNEYS AND COUNSELORS AT LAW

1 North LaSalle Street • Suite 2200 Chicago, Illinois 60602 Phone: 312.578.1012 • Fax: 312.578.1016 E-Mail: dtm@maragoslaw.com

HON. SAMUEL C. MARAGOS (1922-2005) DEAN T. MARAGOS* *ALSO ADMITTED IN FLORIDA OF COUNSEL
FRANCIS X. RILEY
(1912 - 2006)

December 27, 2018

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about December 27, 2018, the undersigned will file an application for a change in zoning from M1-2 (Limited Manufacturing/ Business Park District) to C1-3 (Neighborhood Commercial District) on behalf of Gino Battaglia for the property located at 1740-42 N. Milwaukee.

The applicant intends to use the subject property for retail use on the 1st floor, office use on the 2nd floor and artist live/work space on the 3rd floor (dwelling unit) in a three-story brick building. There will be no exterior changes to the existing building

Gino Battaglia is the owner and applicant and is located at 3153 N. Pine Grove, Chicago, Illinois. The contact person for this application is Dean T. Maragos, applicant attorney, 1 N. LaSalle Street, Chicago, Illinois 60602, (312) 578-1012.

Please note that the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Very truly yours,

Dean T. Mu

CITY OF CHICAGO

#19921-TI INTRODATE JAN 23, 2019

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| 1. | ADDRESS of the property Applicant is seeking to rezone: | |
|----|--|---------------------------------|
| | 1740-42 N. MILWAUKEE AVENUE | · |
| 2. | Ward Number that property is located in: 3240 | |
| 3. | APPLICANT GINO BATTAGLIA | · |
| | ADDRESS 1740-42 N. MILWAVKEE | CITY CHICAGO |
| | STATE ILLINOIS ZIP CODE 60647 | PHONE (312) 578-1012 |
| | EMAIL DTM CMARAGOSIAW.CONTACT PERSON_ | DEAN T. MARAGOS, ATTORNEY |
| 4. | Is the applicant the owner of the property? YES If the applicant is not the owner of the property, please provious regarding the owner and attach written authorization from the proceed. | de the following information |
| | OWNER | |
| | ADDRESS | _CITY |
| · | STATEZIP CODE | PHONE |
| | EMAILCONTACT PERSON_ | |
| 5. | If the Applicant/Owner of the property has obtained a lawyer rezoning, please provide the following information: | as their representative for the |
| · | ATTORNEY DEAN T. MARAGOS | |
| | ADDRESS 1 W. LASAUS STUGET | · |
| | CITY CHICAGO STATE 12 ZIP CO | ODE 60602 |
| | PHONE (312) 578-1012 FAX (312) 578-1016 | EMAIL DIMEMARAGOSLAW. CO |

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| | On what date did the owner acquire legal title to the subject property? 9/1/05 |
|---|---|
| | Has the present owner previously rezoned this property? If yes, when? |
| | |
| | Present Zoning District M1.2 Proposed Zoning District C1.3. |
| | Lot size in square feet (or dimensions) 3, 165 Sq. ft. |
| , | Current Use of the property RETAILUSE 15+ FLOOR, OFFICE USE 2d + 3d FLOOR |
| | Reason for rezoning the property To PERMIT A RETAIL USE ON THE 1st FLOOR, |
| | OFFICE USE ON THE 20 FLOOR & ARTIST LIVE WORK SPACE DISCUMBUNIT |
| | Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC) THE PROPOSED USE AFTER REZON SHALL BE RETAIL USE ON THE 1st FLOOR, OFFICE USE ON THE 2° FLOOR. |
| | ARTIST LIVE/WORK SPACE ON THE 30 FLOOR, THE REWILL BE ONE DWE |
| | UNIT, NO PARKING SPACE PROVIDED, 3,000 SO. FT FOR OFFICE SPACE A. 3,000 SO. FT. FOR THE RETAIL SPACE, HEICHT IS 44 FT. |
| | The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and a financial contribution for residential housing projects with ten or more units that receive a zechange which, among other triggers, increases the allowable floor area, or, for existing Planne Developments, increases the number of units (see attached fact sheet or visit |

| COUNTY (| OF COOK |
|----------|----------|
| STATE OF | ILLINOIS |

| statements and the statements contained in the do | first duly sworn on oath, states that all of the above ocuments submitted herewith are true and correct: |
|---|--|
| | Signature of Applicant |
| Subscribed and Sworn to before me this 26 m day of December, 20 18 What I. Maryn Notary Public | OFFICIAL SEAL DEAN T MARAGOS NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES 07/11/21 MY COMMISSION EXPIRES 07/11/21 |
| For Off | ice Use Only |
| Date of Introduction: | THE RESERVE OF THE PARTY OF THE |
| File Number: | |
| Ward: | |

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosi | ing Party submitting this EDS. Include d/b/a/ if applicable: |
|--|---|
| GINO BATTAGLIA | |
| Check ONE of the following | three boxes: |
| 1. | ntly holding, or anticipated to hold within six months after City action on her undertaking to which this EDS pertains (referred to below as the interest in excess of 7.5% in the Applicant. State the Applicant's legal |
| 3. a legal entity with a | direct or indirect right of control of the Applicant (see Section II(B)(1)) ity in which the Disclosing Party holds a right of control: |
| B. Business address of the Dis | CHICAGO, MUMOIS 60647 |
| C. Telephone: (3,2) 578-16 | Fax: (312) 578-1016 Email: DTMC MARAGOS LAW. COM |
| D. Name of contact person: 1 | YEAN T. MARAGOS, ATTORNEY |
| E. Federal Employer Identific | eation No. (if you have one): |
| F. Brief description of the Ma property, if applicable): | atter to which this EDS pertains. (Include project number and location of |
| ZONING AMENDMENT A | com MI-2 to CI-3 FOR 1740.42 N. MICHAUKEE A VENUC DEPT. OF HOUSING + ECONOMIC DEVELOPMEN |
| G. Which City agency or depart | DEPT. OF HOUSING & ECONOMIC DEVELOPMEN artment is requesting this EDS? BUILEAU OF PLANNING + ZONING. |
| If the Matter is a contract bein complete the following: | g handled by the City's Department of Procurement Services, please |
| Specification # | and Contract # |
| | Page 1 of 15 |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature | e of the Disclosing Par | rty: | |
|---|--|---|---|
| Person | | Limited liability compa | iny |
| Publicly registered by | - | Limited liability partne | rship |
| Privately held busines | ss corporation | Joint venture | • |
| Sole proprietorship | | Not-for-profit corporati | |
| General partnership Limited partnership | | (Is the not-for-profit corpor | |
| Trust | | Other (please specify) | , |
| | • | | |
| | | | |
| 2. For legal entities, the | state (or foreign coun | try) of incorporation or organ | ization, if applicable: |
| · | N/ | A· | |
| 2 Paulant (2) | 11 1 0 | CIN | |
| business in the State of I | | of Illinois: Has the organization? | ion registered to do |
| business in the state of the | iniois as a foreign cit | ity: | • |
| Yes | □No | Organized in Illinois | N/A |
| B. IF THE DISCLOSIN | G PARTY IS A LEGA | AL ENTITY: | |
| the entity; (ii) for not-for are no such members, wr similar entities, the trust limited partnerships, lin | r-profit corporations ite "no members whice ee, executor, administ nited liability compa naging member, mana | plicable, of: (i) all executive of, all members, if any, which as the are legal entities"); (iii) for trator, or similarly situated partners, limited liability partners or any other person or legal of the Applicant. | re legal entities (if there trusts, estates or other rty; (iv) for general or erships or joint ventures, |
| NOTE: Each legal entity | listed below must sul | omit an EDS on its own behal | f. |
| Name | | Title | |
| | N/A | · · | |
| | | | |
| a Di | | | |
| 2. Please provide the fol | lowing information co | oncerning each person or lega | I entity having a direct or |

indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| l entity listed below may be require | ed to submit an EDS on its own behalf. |
|--|--|
| Business Address | Percentage Interest in the Applicant |
| N/A | · · · · · · · · · · · · · · · · · · · |
| INCOME OR COMPENSATION | N TO, OR OWNERSHIP BY, CITY ELECTED |
| • • • | npensation to any City elected official during the Yes No |
| • • • • • | de any income or compensation to any City g the date of this EDS? Yes No |
| me or compensation: | the name(s) of such City elected official(s) and |
| elected official's spouse or domestic | isclosing Party's knowledge after reasonable c partner, have a financial interest (as defined in ICC")) in the Disclosing Party? |
| entify below the name(s) of such City the financial interest(s). | ity elected official(s) and/or spouse(s)/domestic |
| | Business Address A/A INCOME OR COMPENSATION Begin and provided any income or compresseding the date of this EDS? Business Address INCOME OR COMPENSATION By Party provided any income or compresseding the date of this EDS? Business Address INCOME OR COMPENSATION By Party provided any income or compressed to the Displace of Chicago ("Managed Party Provided Income of the Displace of Chicago ("Managed Party Provided Income of the Displace of Chicago ("Managed Party Provided Income of the Displace of Chicago ("Managed Party Provided Income of the Displace of Chicago ("Managed Party Provided Income of the Displace of Chicago ("Managed Party Provided Income of the Displace of Chicago ("Managed Party Provided Income of the Displace of Chicago ("Managed Party Provided Income of the Displace of Chicago ("Managed Party Party Provided Income of the Displace of Chicago ("Managed Party Par |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclo (subcontractor, attorned lobbyist, etc.) | ey, | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|-------------------|---|
| DEAN T. MARAGOS | 1 N. LAS | ALLO ATTORNO | | |
| (RETAINED) | Ethica Go, | 160602 | | PA10 \$1,000. |
| (Add sheets if necessary) | | | | |
| _ | closing Part | y has not retained, nor e | expects to retain | n, any such persons or entities. |
| SECTION V CERTIF | ICATION | IS . | | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | ₹ | |
| Under MCC Section 2-92 remain in compliance wit | • | | | contract with the City must ontract's term. |
| Has any person who direct arrearage on any child sup | • | • | | sing Party been declared in ent jurisdiction? |
| Yes No | No person d | directly or indirectly own | ns 10% or mo | re of the Disclosing Party. |
| If "Yes," has the person en is the person in compliance | | , | nent for paym | ent of all support owed and |
| Yes No | | • | | |
| B. FURTHER CERTIFIC | CATIONS | | | |
| 1. [This paragraph 1 app Procurement Services.] Is | • | | _ | by the City's Department of S, neither the Disclosing |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: |
|---|
| |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

| MCC Section 2-32 | | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain |
|--|--|--|
| | · · · · · · · · · · · · · · · · · · · | |
| | the word "None," or no response ned that the Disclosing Party cert | appears on the lines above, it will be fied to the above statements. |
| D. CERTIFICATION | ON REGARDING FINANCIAL I | NTEREST IN CITY BUSINESS |
| Any words or term | s defined in MCC Chapter 2-156 l | nave the same meanings if used in this Part D. |
| after reasonable inc | | the best of the Disclosing Party's knowledge see of the City have a financial interest in his or entity in the Matter? |
| Yes | ☑ No | |
| _ | cked "Yes" to Item $D(1)$, proceed tems $D(2)$ and $D(3)$ and proceed t | to Items D(2) and D(3). If you checked "No" o Part E. |
| official or employe other person or enti- taxes or assessment "City Property Sale | e shall have a financial interest in ty in the purchase of any property s, or (iii) is sold by virtue of legal | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter inv | volve a City Property Sale? | • |
| Yes | ✓ No | , |
| • | , , , , , , , , , , , , , , , , , , , | imes and business addresses of the City official ify the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | | |
| | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING MA |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 |

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the | Applicant? |
|--|--|
| Yes | No |
| If "Yes," answer the three of | uestions below: |
| Have you developed an federal regulations? (See 4 Yes | d do you have on file affirmative action programs pursuant to applicable 1 CFR Part 60-2.) No |
| • | Joint Reporting Committee, the Director of the Office of Federal Contract he Equal Employment Opportunity Commission all reports due under the nts? No Reports not required |
| 3. Have you participated i equal opportunity clause?Yes | n any previous contracts or subcontracts subject to the |
| If you checked "No" to que | stion (1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

... CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| GINO BATTAGLIA | *. * |
|--|--|
| (Print or type exact legal name of Disclosing Party) | |
| By: Mar | anne CEAL |
| (Sign here) | OFFICIAL SEAL OFFICIAL SEAL DEAN T MARAGOS DEAN T MARAGOS NOTARY PUBLIC - STATE OF ILLINOIS |
| CINO BATTAGLIA | DEAN T MARKETE OF ILLINOIS NOTARY PUBLIC - STATE OF ILLINOIS NOTARY PUBLIC |
| (Print or type name of person signing) | & |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date) 12/27/18 | in the state of th |
| at Cook County, Llling (state). | ۴. |
| Notary Public | |
| | .ė. |
| Commission expires: $7(u/2)$ | r |
| · | A. |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| | ng Party or any "Applicable Party" or any Spouse or Domestic Partner thereof ilial relationship" with an elected city official or department head? | |
|-------------------|---|------|
| Yes | No | |
| which such person | tify below (1) the name and title of such person, (2) the name of the legal entity connected; (3) the name and title of the elected city official or department heads a familial relationship, and (4) the precise nature of such familial relationship | l to |
| | | |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| Pursuant to MCC Section scofflaw or problem landlord | · • • | or any Owner identified as a building code 92-416? |
|--|-------------------------------|---|
| Yes | No | |
| | * - * | exchange, is any officer or director of oblem landlord pursuant to MCC Section |
| Yes | No The Applican | nt is not publicly traded on any exchange. |
| | r problem landlord and the ac | me of each person or legal entity identified ddress of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
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