

# City of Chicago



O2019-1151

# Office of the City Clerk

# **Document Tracking Sheet**

**Meeting Date:** 1/23/2019

Sponsor(s): Emanuel (Mayor)

Type: Ordinance

Title: Authorization of Class C Tax Status to Tennis Corporation of

America and Columbia Equities LLC following remediation for redevelopment of properties generally located at 2427 N

Elston Ave and 2480 N Elston Ave

Committee(s) Assignment: Committee on Finance



# OFFICE OF THE MAYOR CITY OF CHICAGO

RAHM EMANUEL MAYOR

January 23, 2019

# TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith an ordinance authorizing a Class C tax status for property located in the 2400 block of North Elston.

Your favorable consideration of this ordinance will be appreciated.

Very truly yours,

Mayor

### **ORDINANCE**

- WHEREAS, the City of Chicago (the "City") is a home rule unit of government under Section 6(a), Article VII of the 1970 Constitution of the State of Illinois authorized to exercise any power and perform any function pertaining to its government and affairs; and
- WHEREAS, the Cook County Board of Commissioners has enacted the Cook County Real Property Assessment Classification Ordinance, as amended from time to time (the "Classification Ordinance"), which provides for, among other things, real estate tax incentives to property owners who build, rehabilitate, enhance and occupy property which is located within Cook County and which is used primarily for industrial or commercial purposes; and
- WHEREAS, the City, consistent with the Classification Ordinance, wishes to induce industry to locate, expand and remain in the City by supporting financial incentives in the form of property tax relief; and
- WHEREAS, Tennis Corporation of America, a Delaware corporation ("TCA"), and Columbia Equities LLC, an Illinois limited liability corporation ("Columbia") (TCA and Columbia collectively referred to as the "Applicants"), own certain real estate located generally at 2427 and 2480 North Elston Avenue, Chicago, Illinois 60647 (the "Subject Property"), as described on Exhibit A hereto; and
- WHEREAS, the Subject Property has undergone environmental testing and was found to contain certain adverse environmental conditions (the "Contamination"); and
- WHEREAS, neither the Applicants nor the Applicants' individual owners are directly or indirectly responsible for creating the Contamination; and
- WHEREAS, the Applicants have undertaken environmental remediation at the Subject Property and received a No Further Remediation Letter from the Illinois Environmental Protection Agency's Site Remediation Program, dated December 29, 2017 (the "NFR Letter"); and
- WHEREAS, the costs of remediating the Contamination exceeded \$100,000 in accordance with the eligibility requirements for Class C classification pursuant to the Classification Ordinance; and
- WHEREAS, the redevelopment objective of the City in connection with the Subject Property is to encourage commercial development by supporting real estate tax incentive for the remediation of contaminated properties for the improvement of the health and safety of City residents and potential increase of the County's tax base and employment opportunities; and
- WHEREAS, the Applicants have constructed a development consisting of an approximately 575,000 square foot facility on the Subject Property (the "Development") of which includes a 55-room boutique hotel and retail space (current tenants include a grocer, craft store, physical therapy clinic and healthcare facility) used for commercial purposes in accordance with the eligibility requirements for Class C classification pursuant to the Classification Ordinance; and

- WHEREAS, the Applicant has filed an application for the Class C classification with the Office of the Cook County Assessor (the "Assessor") pursuant to the Classification Ordinance; and
- WHEREAS, it is the responsibility of the Assessor to determine that an application for a Class C classification is eligible pursuant to the Classification Ordinance; and
- WHEREAS, the Classification Ordinance requires that, in connection with the filing of a Class C application with the Assessor, an applicant must obtain from the municipality in which such real estate is located an ordinance or resolution expressly stating that the municipality has determined that the classification incentive is necessary for the development of the property to occur and that the municipality supports and consents to the Class C classification; now therefore,

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

- **SECTION 1.** The above recitals are hereby expressly incorporated as if fully set forth herein.
- **SECTION 2.** The City hereby determines that the incentive provided by the Class C classification is necessary for the Development to have occurred on the Subject Property.
- **SECTION 3.** The City hereby expressly supports and consents to the Class C classification with respect to the Subject Property.
- **SECTION 4.** The Economic Disclosure Statement, as defined in the Classification Ordinance, has been received and filed by the City.
- **SECTION 5.** The City Clerk of the City is authorized and hereby directed to and shall send a certified copy of this Ordinance to the Assessor, and a certified copy of this Ordinance may be included with the Class C application to be filed with the Assessor by the Applicant, as applicant, in accordance with the Classification Ordinance.
- **SECTION 6.** To the extent that any ordinance, resolution, order or provision of the Municipal Code of Chicago, or part thereof, is in conflict with the provisions of this Ordinance, the provisions of this Ordinance shall be controlling. If any section, paragraph, clause or provision shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the other provisions of this Ordinance.
- **SECTION 7.** This Ordinance shall be effective immediately upon its passage and approval.

### **EXHIBIT A**

# **Subject Property Legal Description:**

14-30-301-006-0000

THAT PART OF LOT 1 (EXCEPT STREET) LYING NORTH OF A LINE COMMENCING ON THE NORTHEASTERLY LINE OF ELSTON AVENUE 267 FEET NORTHWESTERLY OF THE EAST LINE THENCE NORTHEASTERLY 90 FEET THENCE NORTHWESTERLY PARALLEL TO ELSTON AVENUE 20 FEET THENCE NORTHEASTERLY 206.68 FEET TO A POINT ON THE EAST LINE 412.78 FEET NORTH OF THE NORTHEASTERLY LINE OF ELSTON AVENUE IN ASSESSOR'S DIVISION OF PART OF THE SOUTHWEST 1/4 LYING SOUTH AND WEST OF THE OF THE NORTH BRANCH OF THE CHICAGO RIVER IN SECTION 30 TOWNSHIP 40 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

14-30-301-008-0000

THAT PART OF LOT 1 BEGINNING AT THE SOUTHWEST ½ COMMENCING IN THE NORTHEASTERLY LINE OF ELSTON AVENUE 237 FEET NORTHWESTERLY OF THE EAST LINE THENCE NORTHWESTERLY ALONG ELSTON AVENUE 30 FEET THENCE NORTHEASTERLY AT RIGHT ANGLE 90 FEET THENCE NORTHWESTERLY PARALLEL TO ELSTON AVENUE 20 FEET THENCE NORTHEASTERLY 206.68 FEET TO A POINT IN THE EAST LINE 412.78 FEET NORTH OF THE NORTHEASTERLY LINE OF ELSTON AVENUE THENCE SOUTH ALONG THE EAST LINE 71.95 FEET THENCE SOUTHWESTERLY TO THE POINT OF BEGINNING IN ASSESSOR'S DIVISION OF PART OF THE SOUTHWEST ¼ LYING SOUTH AND WEST OF THE NORTH BRANCH OF THE CHICAGO RIVER IN SECTION 30 TOWNSHIP 40 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

14-30-301-009-0000

THAT PART OF LOT 1 SOUTH OF A LINE COMMENCING IN THE NORTHEASTERLY LINE OF ELSTON AVENUE 237 FEET NORTHWESTERLY OF EAST LINE THENCE NORTHEASTERLY TO A POINT IN THE EAST LINE 340.83 FEET NORTH OF NORTHEASTERLY LINE OF ELSTON AVENUE IN ASSESSOR'S DIVISION OF PART OF THE SOUTHWEST 14 LYING SOUTH AND WEST OF THE NORTH BRANCH OF THE CHICAGO RIVER IN SECTION 30 TOWNSHIP 40 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

14-30-301-031-0000

THAT PART OF LOT 1 BEGINNING AT THE SOUTHWEST CORNER THENCE NORTH 48°02'50" EAST 309.82 FEET THENCE WEST 20.94 FEET THENCE SOUTHWESTERLY ALONG A LINE 14 FEET NORTHWESTERLY AND PARALLEL TO THE SOUTHEASTERLY 149 FEET THENCE SOUTHWESTERLY 145.92 TO THE POINT OF BEGINNING OF LOT 1 IN SNOWS ESTATES BY SUPERIOR COURT PARTITION OF SECTION 30 TOWNSHIP 40 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL, MERIDIAN, IN COOK COUNTY, ILLINOIS.

### 14-30-319-016-0000

THE WEST 40 FEET OF THE EAST 73 FEET OF LOT 12 IN ASSESSOR'S DIVISION OF PART OF THE SOUTHWEST ¼ LYING SOUTH AND WEST OF THE NORTH BRANCH OF THE CHICAGO RIVER IN SECTION 30 TOWNSHIP 40 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

### 14-30-319-039-0000

THAT PART OF LOT 1 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET, SAID SOUTHEASTERLY LINE BEING ALSO THE NORTHWESTERLY LINE OF SAID LOTS 1 AND 2; THENCE SOUTHWESTERLY ALONG NORTH LEAVITT STREET, 175.99 FEET TO THE POINT OF BEGINNING; THENCE SOUTH 47°42'28" EAST, 234.76 FEET; THENCE SOUTH 42°22'13" WEST, 165.50 FEET; THENCE NORTH 47°41'50" WEST, 232.02 FEET; THENCE NORTHEASTERLY ALONG NORTH LEAVITT STREET, 165.47 FEET TO THE POB IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 IN THE ASSESSOR'S SUBDIVISION OF THAT PART OF THE SOUTHWEST 1/4 OF

### 14-30-319-040-0000

THAT PART OF LOTS 1 AND 2 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET, SAID SOUTHEASTERLY LINE BEING ALSO THE NORTHWESTERLY LINE OF SAID LOTS 1 AND 2; THENCE SOUTHWESTERLY ALONG NORTH LEAVITT STREET, 341.46 FEET TO THE POINT OF BEGINNING; THENCE CONTINUING SOUTHWESTERLY ALONG NORTH LEAVITT STREET, 59.99 FEET; THENCE SOUTH 47°46' 41" EAST, 220.97 FEET; THENCE NORTH 42°23'42" EAST, 59.67 FEET; THENCE NORTH 47°41'50" WEST, 221.99 FEET TO THE POB IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 IN THE ASSESSOR'S SUBDIVISION OF THAT PART OF THE SOUTHWEST 1/4 OF

### 14-30-319-041-0000

THAT PART OF LOTS 1, 5, AND 6 DESCRIBED AS FOLLOWS: BEGINNING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE WITH THE SOUTHERLY LINE OF NORTH LEAVITT STREET, SAID SOUTHERLY LINE BEING ALSO THE NORTHWESTERLY LINE OF SAID LOTS 1 AND 2; THENCE SOUTHWESTERLY ALONG NORTH LEAVITT STREET, 175.99 FEET; THENCE SOUTH 47°42'28" EAST, 234.76 FEET; THENCE SOUTH 42°22'13" WEST, 7.87 FEET; THENCE SOUTH 47°29'04" EAST, 124.65 FEET; THENCE NORTH 42°35'12" EAST, 4.33 FEET; THENCE SOUTH 47°29'448" EAST, 65.29 FEET; THENCE NORTH 42°35'13" EAST, 30.68 FEET; THENCE SOUTH 47°39'55" EAST, 20.88 FEET; THENCE NORTH 42°13'19" EAST, 149.65 FEET; THENCE NORTHWESTERLY ALONG NORTH ELSTON AVENUE, 448.25 FEET TO THE POB IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 IN THE ASSESSOR'S SUBDIVISION OF THAT PART OF THE SOUTHWEST 1/4 OF

### 14-30-319-042-0000

THAT PART OF LOTS 1, 2, 5 AND 6 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET, SAID SOUTHEASTERLY LINE BEING ALSO THE NORTHWESTERLY LINE OF SAID LOTS 1 AND 2; THENCE SOUTHWESTERLY ALONG NORTH LEAVITT STREET, 175.99 FEET; THENCE SOUTH 47°42'28" EAST, 234.76 FEET; THENCE SOUTH 42°22'13" WEST, 7.87 FEET TO THE POINT OF BEGINNING; THENCE SOUTH 47°29'04" EAST, 124.65 FEET; THENCE SOUTH 42°35'12" WEST, 30.16 FEET; THENCE NORTH 47°24'48" WEST, 12.67 FEET; THENCE SOUTH 42°35'07" WEST, 10.35 FEET; THENCE NORTH 47°38'06" WEST, 2.46 FEET; THENCE SOUTH 42°35'12" WEST, 2.93 FEET; THENCE NORTH 47°37'45" WEST, 1.18 FEET; THENCE SOUTH 42°13'35" WEST, 173.30 FEET; THENCE NORTH 47°46'41" WEST, 118.67 FEET; THENCE NORTH 42°23'42" EAST, 59.67 FEET; THENCE SOUTH 47°41'50" EAST, 10.03 FEET; THENCE NORTH 42°21'13" EAST, 157.63 FEET TO THE POB IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 IN THE ASSESSOR'S SUBDIVISION OF THAT PART OF THE SOUTHWEST 1/4 OF

### 14-30-319-046-0000

THAT PART OF LOTS 6, 7, AND 8 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET: THENCE SOUTHEASTERLY ALONG NORTH ELSTON AVENUE, 448.25 FEET TO THE POINT OF BEGINNING: THENCE CONTINUING SOUTH 47°42'16" EAST ALONG SAID SOUTHWESTERLY LINE, 203.24 FEET TO THE SOUTHEASTERLY LINE OF SAID LOT 8; THENCE SOUTHWESTERLY ALONG SAID SOUTHEASTERLY LINE, SAID LINE BEING ALSO A NON-TANGENT CURVE CONCAVE NORTHWESTERLY, HAVING A RADIUS OF 533.22 FEET, AN ARC LENGTH OF 127.12 FEET, A CHORD BEARING SOUTH 49°11'01" WEST AND A CHORD DISTANCE OF 126.81 FEET TO AN ANGLE POINT IN SAID SOUTHEASTERLY LINE; THENCE NORTH 33°59'13" WEST, 14.00 FEET TO AN ANGLE POINT IN SAID SOUTHEASTERLY LINE; THENCE SOUTHWESTERLY ALONG THE SOUTHEASTERLY LINE OF LOTS 8 AND 7. SAID LINE BEING ALSO A NON-TANGENT CURVE CONCAVE NORTHWESTERLY, HAVING A RADIUS OF 519.22 FEET, AN ARC LENGTH OF 187.38 FEET, A CHORD BEARING SOUTH 66°21'06" WEST AND A CHORD DISTANCE OF 186.36 FEET; THENCE NORTH 42°35'12" EAST, 111.84 FEET; THENCE NORTH 47°24'48" WEST, 119.88 FEET; THENCE NORTH 42°35'13" EAST, 30.68 FEET; THENCE SOUTH 47°39'55" EAST, 20.88 FEET; THENCE NORTH 42°13'19" EAST, 149.65 FEET TO POB IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 IN THE ASSESSOR'S SUBDIVISION OF THAT PART OF THE SOUTHWEST 1/4 OF

### 14-30-319-047-0000

THAT PART OF LOTS 3, 4 AND 6 DESCRIBED AS FOLLOWS: BEGINNING AT THE INTERSECTION OF THE NORTHERLY LINE OF WEST FULLERTON AVENUE WITH THE WESTERLY LINE OF SAID LOT 6; THENCE NORTH 01°24'48" WEST ALONG SAID WESTERLY LINE, 201.33 FEET TO THE SOUTHERLY LINE OF SAID LOT 4; THENCE SOUTHWESTERLY ALONG SAID SOUTHERLY LINE, SAID LINE BEING ALSO A NONTANGENT CURVE CONCAVE NORTHWESTERLY, HAVING A RADIUS OF 550.22 FEET, AN ARC LENGTH OF 91.89 FEET, A CHORD BEARING SOUTH 75°34'09" WEST AND A

CHORD DISTANCE OF 91.79 FEET; THENCE NORTH 42°35'12" EAST, 52.35 FEET TO THE NORTHERLY LINE OF SAID LOT 3: THENCE NORTHEASTERLY ALONG SAID NORTHERLY LINE, SAID LINE BEING ALSO A NON-TANGENT CURVE CONCAVE NORTHWESTERLY, HAVING A RADIUS OF 519.22 FEET, AN ARC LENGTH OF 187.38 FEET, A CHORD BEARING NORTH 66°21'06" EAST AND A CHORD DISTANCE OF 186.36 FEET; THENCE SOUTH 33°59'13" EAST, 14.00 FEET; THENCE NORTHEASTERLY ALONG A NONTANGENT CURVE CONCAVE NORTHWESTERLY, HAVING A RADIUS OF 533.22 FEET, AN ARC LENGTH OF 127.12 FEET, A CHORD BEARING NORTH 49°11'01" EÁST AND A CHORD DISTANCE OF 126.81 FEET TO THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE: THENCE SOUTHEASTERLY ALONG NORTH ELSTON AVENUE. 366.15 FEET TO THE WESTERLY LINE OF THE EASTERLY 73 FEET OF LOT 12 IN THE ASSESSOR'S SUBDIVISION OF PART OF THE SOUTHWEST 1/4 OF SECTION 30; THENCE SOUTH 01°48'27" EAST ALONG SAID WESTERLY LINE, 103.35 FEET; THENCE WEST ALONG NORTHERLY LINEOF FULLERTON AVE, 482.24 FEET TO THE POB IN OWNERS DIVISION OF LOT 12 IN THE ASSESSOR'S SUBDIVISION OF THAT PART OF THE SOUTHWEST 1/4 OF

# 14-30-319-049-0000

THAT PART OF LOTS 5 AND 6 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE (66.00 FEET WIDE) WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET (66.00 FEET WIDE): THENCE SOUTH 41 DEGREES 25 MINUTES 17 SECONDS WEST, 175.99 FEET; THENCE SOUTH 47 DEGREES 42 MINUTES 28 SECONDS EAST, 234,76 FEET; THENCE SOUTH 42 DEGREES 22 MINUTES 13 SECONDS WEST, 7.87 FEET; THENCE SOUTH 47 DEGREES 29 MINUTES 04 SECONDS EAST, 124.65 FEET: THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 6.14 FEET TO THE POINT OF BEGINNING, HEREINAFTER REFERRED TO AS POINT A, DEFINING A HORIZONTAL AND VERTICAL AREA THAT HAS NO LOWER VERTICAL LIMIT AND A VARIABLE CEILING ELEVATION HEIGHT WHICH BOUNDS THE UPPER VERTICAL EXTENTS AND IS REFERENCED ALONG THE FOLLOWING DESCRIBED LINES (STARTING AT THE POINT OF BEGINNING HAVING AN ELEVATION OF 28.76 FEET C.C.D.); THENCE CONTINUING SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 0.45 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76: THENCE CONTINUING SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 9.25 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 27.60; THENCE CONTINUING SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST. 14.00 FEET FOLLOWING AN INCLINING PLANE TO AN ELEVATION OF 28.18; THENCE CONTINUING SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 0.32 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 12.67 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE SOUTH 42 DEGREES 35 MINUTES 07 SECONDS WEST, 10.35 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE NORTH 47 DEGREES 38 MINUTES 06 SECONDS WEST, 2.46 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28. 18; THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 2.93 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28, 18: THENCE NORTH 47 DEGREES 37 MINUTES 45 SECONDS WEST. 1.18 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE SOUTH 42 DEGREES 13 MINUTES 35 SECONDS WEST, 30.73 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE CONTINUING

SOUTH 42 DEGREES 13 MINUTES 35 SECONDS WEST. 22.17 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 26.85; THENCE CONTINUING SOUTH 42 DEGREES 13 MINUTES 35 SECONDS WEST, 22.42 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 25.52; THENCE SOUTH 47 DEGREES 24 MINUTES 48 SECONDS EAST, 90.07 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 25.52; THENCE NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST, 22.43 FEET FOLLOWING AN INCLINING PLANE TO AN ELEVATION OF 26.85; THENCE CONTINUING NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST, 22.17 FEET FOLLOWING AN INCLINING PLANE TO AN ELEVATION OF 28.18; THENCE CONTINUING NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST, 44.33 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE CONTINUING NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST, 6.03 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 27.93; THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 9.38 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 27.93: THENCE NORTH 42 DEGREES 35 MINUTES 13 SECONDS EAST, 7.98 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 27.60; THENCE CONTINUING NORTH 42 DEGREES 35 MINUTES 13 SECONDS EAST, 9.18 FEET FOLLOWING AN INCLINING PLANE TO AN ELEVATION OF 28.76; THENCE CONTINUING NORTH 42 DEGREES 35 MINUTES 13 SECONDS EAST, 8.72 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76; THENCE NORTH 47 DEGREES 27 MINUTES 58 SECONDS WEST, 17.40 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76; THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 8.19 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76; THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 47.90 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76 TO THE POB; TOGETHER WITH

THAT PART OF LOTS 5 AND 6 DESCRIBED AS FOLLOWS: BEGINNING AT SAID POINT A, SAID POINT ALSO DEFINING A PORTION OF SAID HORIZONTAL AND VERTICAL AREA THAT HAS NO LOWER VERTICAL LIMIT AND A HORIZONTAL PLANE WHICH BOUNDS THE UPPER VERTICAL EXTENTS, HAVING AN ELEVATION OF 28.76 C.C.D. AND IS REFERENCED ALONG THE FOLLOWING DESCRIBED LINES (STARTING AT SAID POINT A); THENCE NORTH 42 DEGREES 35 MINUTES 12 SECONDS EAST, 10.46 FEET; THENCE SOUTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 2.26 FEET; THENCE SOUTH 47 DEGREES 27 MINUTES 58 SECONDS WEST, 17.40; THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 8.19 FEET; THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 47.90 FEET TO THE POB

ALL IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 (EXCEPT 73 FEET) IN THE ASSESSOR'S SUBDIVISION OF PART OF THE SOUTHWEST 1/4 OF

ALL DIMENSIONS ARE MEASURED ALONG THE HORIZONTAL PLANES OF THE FLOOR AND GROUND SURFACES DESCRIBED HEREIN (CITY OF CHICAGO DATUM) ELEVATION = 13.30)

THAT PART OF LOTS 5 AND 6 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE (66.00 FEET WIDE) WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET (66.00 FEET WIDE); THENCE SOUTH 41 DEGREES 25 MINUTES 17 SECONDS WEST, 175,99 FEET; THENCE SOUTH 47 DEGREES 42 MINUTES 28 SECONDS EAST, 234.76 FEET; THENCE SOUTH 42 DEGREES 22 MINUTES 13 SECONDS WEST, 7.87 FEET; THENCE SOUTH 47 DEGREES 29 MINUTES 04 SECONDS EAST, 124.65 FEET TO THE POINT OF BEGINNING; THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 30, 16 FEET: THENCE NORTH 47 DEGREES 24MINUTES 48 SECONDS WEST. 12.67 FEET: THENCE SOUTH 42 DEGREES 35 MINUTES 07 SECONDS WEST, 10.35 FEET; THENCE NORTH 47 DEGREES 38 MINUTES 06 SECONDS WEST. 2.46 FEET: THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 2.93 FEET; THENCE NORTH 47 DEGREES 37 MINUTES 45 SECONDS WEST, 1.18 FEET; THENCE SOUTH 42 DEGREES 13 MINUTES 35 SECONDS WEST, 75.32 FEET; THENCE SOUTH 47 DEGREES 24 MINUTES 48 SECONDS EAST, 90.07 FEET; THENCE NORTH 42 DEGREES SO MINUTES 52 SECONDS EAST, 94.97 FEET: THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 9.38 FEET; THENCE NORTH 42 DEGREES 35 MINUTES 13 SECONDS EAST, 28.13 FEET: THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 65.29 FEET: THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 4.33 FEET TO THE POB

<EXCEPTING THEREFROM THAT PART OF LOTS 5 AND 6 DESCRIBED AS FOLLOWS:</p> COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE (66.00 FEET WIDE) WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET (66.00 FEET WIDE); THENCE SOUTH 41 DEGREES 25 MINUTES 17 SECONDS WEST, 175.99 FEET; THENCE SOUTH 47 DEGREES 42 MINUTES 28 SECONDS EAST, 234.76 FEET; THENCE SOUTH 42 DEGREES 22 MINUTES 13 SECONDS WEST, 7.87 FEET: THENCE SOUTH 47 DEGREES 29 MINUTES 04 SECONDS EAST. 124.65 FEET; THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 6.14 FEET TO THE POINT OF BEGINNING, HEREINAFTER REFERRED TO AS POINT A. DEFINING A HORIZONTAL AND VERTICAL AREA THAT HAS NO LOWER VERTICAL LIMIT AND A VARIABLE CEILING ELEVATION HEIGHT WHICH BOUNDS THE UPPER VERTICAL EXTENTS AND IS REFERENCED ALONG THE FOLLOWING DESCRIBED LINES (STARTING AT THE POINT OF BEGINNING HAVING AN ELEVATION OF 28.76 C.C.D.); THENCE CONTINUING SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 0.45 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76; THENCE CONTINUING SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 9.25 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 27.60; THENCE CONTINUING SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 14.00 FEET FOLLOWING AN INCLINING PLANE TO AN ELEVATION OF 28.18; THENCE CONTINUING SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 0.32 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18: THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST. 12.67 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE SOUTH 42 DEGREES 35 MINUTES 07 SECONDS WEST, 10.35 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE NORTH 47 DEGREES 38 MINUTES 06 SECONDS WEST, 2.46 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 2.93 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE NORTH 47 DEGREES 37 MINUTES 45 SECONDS WEST, 1.18 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18: THENCE

SOUTH 42 DEGREES 13 MINUTES 35 SECONDS WEST, 30.73 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18: THENCE CONTINUING SOUTH 42 DEGREES 13 MINUTES 35 SECONDS WEST, 22.17 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 26.85: THENCE CONTINUING SOUTH 42 DEGREES 13 MINUTES 35 SECONDS WEST, 22.42 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 25.52; THENCE SOUTH 47 DEGREES 24 MINUTES 48 SECONDS EAST, 90.07 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 25.52; THENCE NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST, 22.43 FEET FOLLOWING AN INCLINING PLANE TO AN ELEVATION OF 26.85: THENCE CONTINUING NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST, 22.17 FEET FOLLOWING AN INCLINING PLANE TO AN ELEVATION OF 28.18; THENCE CONTINUING NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST. 44.33 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.18; THENCE CONTINUING NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST, 6.03 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 27.93: THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 9.38 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 27.93: THENCE NORTH 42 DEGREES 35 MINUTES 13 SECONDS EAST, 7.98 FEET FOLLOWING A DECLINING PLANE TO AN ELEVATION OF 27.60: THENCE CONTINUING NORTH 42 DEGREES 35 MINUTES 13 SECONDS EAST, 9.18 FEET FOLLOWING AN INCLINING PLANE TO AN ELEVATION OF 28.76; THENCE CONTINUING NORTH 42 DEGREES 35 MINUTES 13 SECONDS EAST, 8.72 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76; THENCE NORTH 47 DEGREES 27 MINUTES 58 SECONDS WEST, 17.40 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76: THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST. 8.19 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76; THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 47.90 FEET FOLLOWING A LEVEL LINE TO AN ELEVATION OF 28.76 TO THE POB) **ALSO** 

(EXCEPTING THEREFROM THAT PART OF LOTS 5 AND 6 DESCRIBED AS FOLLOWS: BEGINNING AT SAID POINT A, SAID POINT ALSO DEFINING A PORTION OF SAID HORIZONTAL AND VERTICAL AREA THAT HAS NO LOWER VERTICAL LIMIT AND A HORIZONTAL PLANE WHICH BOUNDS THE UPPER VERTICAL EXTENTS, HAVING AN ELEVATION OF 28.76 C.C.D. AND IS REFERENCED ALONG THE FOLLOWING DESCRIBED LINES (STARTING AT SAID POINT A); THENCE NORTH 42 DEGREES 35 MINUTES 12 SECONDS EAST, 10.46 FEET; THENCE SOUTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 65.29 FEET; THENCE SOUTH 42 DEGREES 27 MINUTES 13 SECONDS WEST, 2.26 FEET; THENCE NORTH 47 DEGREES 27 MINUTES 58 SECONDS WEST, 17.40; THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST, 8.19 FEET; THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 47.90 FEET TO THE POB)

ALL IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 (EXCEPT 73 FEET) IN THE ASSESSOR'S SUBDIVISION OF PART OF THE SOUTHWEST 1/4 OF

(ALL DIMENSIONS ARE MEASURED ALONG THE HORIZONTAL PLANES OF THE FLOOR AND GROUND SURFACES DESCRIBED HEREIN. SITE BENCHMARK: (CITY OF CHICAGO DATUM) ELEVATION = 13.30)

THAT PART OF LOTS 2, 3, 5, 6 AND 7 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE (66.00 FEET WIDE) WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET (66.00 FEET WIDE); THENCE SOUTH 41 DEGREES 25 MINUTES 17 SECONDS WEST ALONG SAID SOUTHEASTERLY LINE, 401.45 FEET; THENCE SOUTH 47 DEGREES 46 MINUTES 41 SECONDS EAST, 339.64 FEET TO THE POB; THENCE NORTH 42 DEGREES 13 MINUTES 35 SECONDS EAST, 97.98 FEET: THENCE SOUTH 47 DEGREES 24 MINUTES 48 SECONDS EAST, 90.07 FEET; THENCE NORTH 42 DEGREES 50 MINUTES 52 SECONDS EAST, 94.97 FEET; THENCE NORTH 47 DEGREES 24 MINUTES 48 SECONDS WEST, 9.38 FEET; THENCE NORTH 42 DEGREES 35 MINUTES 13 SECONDS EAST, 28.13 FEET; THENCE SOUTH 47 DEGREES 24 MINUTES 48 SECONDS EAST, 119.88 FEET; THENCE SOUTH 42 DEGREES 35 MINUTES 12 SECONDS WEST. 111.84 FEET: THENCE SOUTHWESTERLY ALONG A NON-TANGENT CURVE CONCAVE NORTHWESTERLY, HAVING A RADIUS OF 519.22 FEET, AN ARC LENGTH OF 33.20 FEET, A CHORD BEARING SOUTH 78 DEGREES 31 MINUTES 19 SECONDS WEST AND A CHORD DISTANCE OF 33.19 FEET; THENCE SOUTH 80 DEGREES 21 MINUTES 13 SECONDS WEST, 102.12 FEET TO A POINT ON THE EAST LINE OF THE LEASED PARCEL 1 RECORDED AS DOCUMENT 051873905; THENCE NORTH 08 DEGREES 24 MINUTES 49 SECONDS WEST, ALONG SAID EASTERLY LINE, 0.63 FEET TO THE NORTHERLY LINE OF SAID PARCEL 1; THENCE SOUTH 79 DEGREES 27 MINUTES 28 SECONDS WEST ALONG SAID NORTH LINE, 1.61 FEET; THENCE NORTH 47 DEGREES 46 MINUTES 41 SECONDS WEST, 116.91 FEET TO THE POB

ALL IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 (EXCEPT 73 FEET) IN THE ASSESSOR'S SUBDIVISION OF PART OF THE SOUTHWEST 1/4 OF

### 14-30-319-052-0000

THAT PART OF LOTS 3 AND 4 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE (66.00 FEET WIDE) WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET (66.00 FEET WIDE); THENCE SOUTH 41 DEGREES 25 MINUTES 17 SECONDS WEST ALONG SAID SOUTHEASTERLY LINE, 401.45 FEET; THENCE SOUTH 47 DEGREES 46 MINUTES 41 SECONDS EAST, 455.78 FEET TO THE NORTH LINE OF THE LEASED PARCEL 1 RECORDED AS DOCUMENT 051873905: THENCE NORTH 79 DEGREES 27 MINUTES 28 SECONDS EAST ALONG SAID NORTH LINE. 1.61 FEET TO THE NORTHEAST CORNER THEREOF: THENCE SOUTH 08 DEGREES 24 MINUTES 49 SECONDS WEST ALONG THE EAST LINE OF SAID PARCEL 1, 0.63 FEET TO THE POINT OF BEGINNING: THENCE CONTINUING SOUTH 08 DEGREES 24 MINUTES 49 SECONDS EAST ALONG SAID EAST LINE, 14.64 FEET TO THE NORTHERLY LINE OF THE LEASED PARCEL 2 RECORDED AS DOCUMENT 051873905; THENCE NORTH 80 DEGREES 21 MINUTES 13 SECONDS EAST, 29.99 FEET ALONG THE NORTH LINE OF SAID PARCEL 2 TO THE EAST LINE OF SAID PARCEL 2; THENCE SOUTH 08 DEGREES 24 MINUTES 49 SECONDS EAST, 17.00 FEET TO THE SOUTHERLY LINE OF SAID LOT 4; THENCE NORTH 80 DEGREES 21 MINUTES 13 SECONDS EAST ALONG SAID SOUTHERLY LINE, 64.58 FEET; THENCE NORTH 42 DEGREES 35 MINUTES 12 SECONDS EAST, 52.35 FEET TO THE

NORTHERLY LINE OF SAID LOT 3; THENCE SOUTHWESTERLY ALONG A NON-TANGENT CURVE CONCAVE NORTHWESTERLY, HAVING A RADIUS OF 519.22 FEET, AN ARC LENGTH OF 33.20 FEET, A CHORD BEARING SOUTH 78 DEGREES 31 MINUTES 19 SECONDS WEST AND A CHORD DISTANCE OF 33. 19 FEET; THENCE SOUTH 80 DEGREES 21 MINUTES 13 SECONDS WEST ALONG SAID NORTHERLY LINE, 102.12 FEET TO THE POB ALL IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 (EXCEPT 73 FEET) IN THE ASSESSOR'S SUBDIVISION OF PART OF THE SOUTHWEST 1/4 OF

# 14-30-319-053-0000

THAT PART OF LOTS 3 AND 4 DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE SOUTHWESTERLY LINE OF NORTH ELSTON AVENUE WITH THE SOUTHEASTERLY LINE OF NORTH LEAVITT STREET: THENCE SOUTH 41 DEGREES 25 MINUTES 17 SECONDS WEST ALONG SAID SOUTHEASTERLY LINE. 401.45 FEET: THENCE SOUTH 47 DEGREES 46 MINUTES 41 SECONDS EAST, 455.78 FEET TO THE POINT OF BEGINNING. BEING ALSO A SOUTHWESTERLY CORNER OF TRACT 6A PER DOCUMENT 1635729086; THENCE NORTH 79 DEGREES 27 MINUTES 28 SECONDS EAST, ALONG A SOUTH LINE OF SAID TRACT 6A, 1.61 FEET, TO THE NORTHERLY EXTENSION OF THE MOST WESTERLY LINE OF TRACT 6B PER DOCUMENT 1635729086; THENCE SOUTH 08 DEGREES 24 MINUTES 49 SECONDS EAST, ALONG SAID MOST WESTERLY LINE AND EXTENSION THEREOF, 14.64 FEET, TO A SOUTHERLY LINE OF SAID TRACT 6B; THENCE NORTH 80 DEGREES 21 MINUTES 13 SECONDS EAST, ALONG SAID SOUTHERLY LINE, 29.99 FEET, TO A WESTERLY LINE OF SAID TRACT 6B; THENCE SOUTH 08 DEGREES 24 MINUTES 49 SECONDS EAST, ALONG A WESTERLY LINE OF SAID TRACT 6B, 17.00 FEET TO THE SOUTHERLY LINE OF SAID LOT 4: THENCE SOUTH 80 DEGREES 21 MINUTES 13 SECONDS WEST, ALONG SAID SOUTHERLY LINE, 122.56 FEET; THENCE NORTH 09 DEGREES 38 MINUTES 46 SECONDS WEST. 31.00 FEET TO THE NORTHERLY LINE OF LOT 3 IN OWNERS' SUBDIVISION OF LOT 12; THENCE NORTH 80 DEGREES 21 MINUTES 13 SECONDS EAST, ALONG SAID NORTHERLY LINE, 92.12 FEET; THENCE NORTH 47 DEGREES 46 MINUTES 41 SECONDS WEST, 0.77 FEET TO THE POB ALL IN THE RESUBDIVISION OF LOTS 1 AND 2 OF OWNERS DIVISION OF LOT 12 (EXCEPT 73 FEET) IN THE ASSESSOR'S SUBDIVISION OF PART OF THE SOUTHWEST 1/4 OF

# Permanent Real Estate Tax Index Numbers (PINS):

14-30-301-006-0000

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14-30-319-016-0000

14-30-319-039-0000

14-30-319-040-0000

14-30-319-041-0000

14-30-319-042-0000

14-30-319-046-0000

14-30-319-047-0000

14-30-319-049-0000

14-30-319-050-0000

14-30-319-051-0000

14-30-319-052-0000

14-30-319-053-0000

# Address Commonly Known as:

2427 and 2480 North Elston Avenue, Chicago, Illinois 60647

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosi	ng Party submitting this EDS. Include d/b/a/ if applicable:
Tennis Corporation of Ameri	ca
Check ONE of the following	three boxes:
the contract, transaction or oth "Matter"), a direct or indirect i name:	g Party submitting this EDS is:  tly holding, or anticipated to hold within six months after City action on er undertaking to which this EDS pertains (referred to below as the interest in excess of 7.5% in the Applicant. State the Applicant's legal
	direct or indirect right of control of the Applicant (see Section II(B)(1)) ty in which the Disclosing Party holds a right of control:
B. Business address of the Dis	closing Party: 3611 N. Kedzie Avenue, 2nd Floor  Chicago, IL 60618
C. Telephone:773-644-2263	Fax: 773-463-4642 Email: steven.schwartz@midtown.com
D. Name of contact person:	Steven Schwartz
E. Federal Employer Identifica	ation No. (if you have one):
F. Brief description of the Matproperty, if applicable):	ter to which this EDS pertains. (Include project number and location of
Class C Incentive Application 24	27 & 2480 N. Elston Avenue, Chicago, IL 60647
G. Which City agency or depart	City of Chicago - Department of Planning and tment is requesting this EDS? <u>Development</u>
If the Matter is a contract being complete the following:	handled by the City's Department of Procurement Services, please
Specification #	and Contract #
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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Par</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	ty:  [ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?         [ ] Yes [ ] No [ ] Other (please specify)
2. For legal entities, the state (or foreign count	ry) of incorporation or organization, if applicable:
Delaware	
3. For legal entities not organized in the State of business in the State of Illinois as a foreign entities.	•
[X] Yes [ ] No	[ ] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	L ENTITY:
the entity; (ii) for not-for-profit corporations, are no such members, write "no members which similar entities, the trustee, executor, administralimited partnerships, limited liability compar	dicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there are legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub-	mit an EDS on its own behalf.
Name	Title
Alan G. Schwartz	Chairman of the Board
Steven L. Schwartz	President and Chief Executive Officer
Frank Λ. Nusko	Treasurer and Chief Financial Officer
	Secretary neerson or legal entity having a direct or nths after City action) beneficial interest (including

ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Business Address Percentage Interest in the Applicant Please see attached ownership summary SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes . [X] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes X No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: N/A \_\_\_\_\_ Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [ ] Yes [X] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). N/A

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Please see attached			
(Add sheets if necessary)			•
[ ] Check here if the Disc	closing Party	has not retained, nor expects to ret	ain, any such persons or entities.
SECTION V CERTIF	CATIONS	S	
A. COURT-ORDERED	CHII D SI II	PPORT COMPLIANCE	
	•	ntial owners of business entities the support obligations throughout the	•
		ctly owns 10% or more of the Discitions by any Illinois court of compe	
[ ] Yes [x] No [ ] 1	No person di	rectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person er is the person in compliance		court-approved agreement for payragreement?	ment of all support owed and
[] Yes [] No			
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] In Party nor any Affiliated Experformance of any public inspector general, or integ	n the 5-year ntity <u>[see</u> de c contract, th rity complia	ne Matter is a contract being handle period preceding the date of this Elefinition in (5) below] has engaged, he services of an integrity monitor, ince consultant (i.e., an individual obesignated by a public agency to help	OS, neither the Disclosing in connection with the ndependent private sector rentity with legal, auditing,

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

	b)) is a predatory lender with	because it or any of its affiliates (as defined in ain the meaning of MCC Chapter 2-32, explain
		appears on the lines above, it will be ified to the above statements.
D. CERTIFICATION R	EGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or terms defi-	ned in MCC Chapter 2-156 h	nave the same meanings if used in this Part D.
after reasonable inquiry,		the best of the Disclosing Party's knowledge be of the City have a financial interest in his or entity in the Matter?
[ ] Yes	[x] No	
	"Yes" to Item D(1), proceed D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" o Part E.
official or employee shal other person or entity in taxes or assessments, or ( "City Property Sale"). C	I have a financial interest in the purchase of any property iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter involve	a City Property Sale?	
[ ] Yes	[ ] No	•
		mes and business addresses of the City officials ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
N/A		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in
connection with the Matter voidable by the City.
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
N/A
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"
appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities

registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay

any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party t	he Applicant?	)
[ ] Yes	[ ] No	
If "Yes," answer the three	e questions be	elow:
<ol> <li>Have you developed federal regulations? (See [ ] Yes</li> </ol>	•	ave on file affirmative action programs pursuant to applicable t 60-2.)
<del>-</del>	or the Equal Enments?	orting Committee, the Director of the Office of Federal Contract mployment Opportunity Commission all reports due under the [] Reports not required
	2 3	
<ol><li>Have you participate equal opportunity clause</li></ol>		ous contracts or subcontracts subject to the
[ ] Yes	[ ] No	
If you checked "No" to c	question (1) or	(2) above, please provide an explanation:
	`	

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Tennis Corporation of America
(Print or type exact legal name of Disclosing Party)
By: (Sign here)
Steven L. Schwartz
(Print or type name of person signing)
President and Chief Executive Officer
(Print or type title of person signing)
Signed and sworn to before me on (date) <u>January 18th</u> 2019, at <u>Cook</u> County, <u>Illinois</u> (state).  Shari A. Jody  Notary Public
Commission expires: April 18th, 2021
OFFICIAL SEAL SHARI A TODY NOTARY PUBLIC, STATE OF ILLINOIS COOK COUNTY MY COMMISSION EXPIRES 04/18/2021

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	
which such person	is connected; (3) the name	e and title of such person, (2) the name of the legal entity to e and title of the elected city official or department head to o, and (4) the precise nature of such familial relationship.
N/A		

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[X] No	
* *	• • •	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
• • •	scofflaw or problem	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which
N/A		
<del></del>	· ·	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

# CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Tennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equities Limited Liability C	Company Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnership	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	p Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A N/A N/A N/A Trust N/A N/A N/A N/A N/A N/A N/A N/A N/A	N/A N/A N/A N/A N/A N/A Total	2.00000% 0.32200% 0.32200% 0.16100% 0.16100% 100.000000%
AGS I, Inc. (General Partner, Columbia Equities Limited Partnership)	Business Address	Beneficiary	Ownership
Steven L. Schwartz	3611 N. Kedzie Ave. 2nd Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

# TENNIS CORPORATION OF AMERICA

<u>Legal Ownership</u>	
Rice Street Trust	
Peer Pedersen Trust	] 
Ronal Trust	 
Steven L. Schwartz Revocable Trust	
TOTAL	100.00%

# Beneficial Ownership

Alan G. Schwartz & Children	<u> </u>	
Roslyn S. Schwartz & Children		
Steven L. Schwartz		
Peer Pedersen Trust		
•	TOTAL	100.00%

# COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

# **COLUMBIA EQUITIES LIMITED PARTNERSHIP**

-	Legal Ownership	•	
AGS I, Inc.		:	
Peer Pedersen Trust		:	J
Steven L. Schwartz Revocable	Trust		
Elizabeth S. Brint Descendants	Exempt Trust		!
Sally R.S. Higginson Descenda	nts Exempt Trust		; ;
Steven L. Schwartz Descendant	ts Exempt Trust	•	
Elizabeth Brint Revocable Trus	st .	•	!
Sally R.S. Higginson Revocable	e Trust		j
Ronal Trust		<i>:</i>	
Rice Street Trust		į	
	TOTAL	<u>100.00%</u>	
	<del> </del>		
<u> </u>	Beneficial Ownership		٠.
I			
<u>I</u>			

# AGS I, Inc.

Steven L. Schwartz

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

Ver.2018-1

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:						
Ronal Trust						
Check ONE of the following three boxes:						
<ol> <li>[ ] the Applicant OR</li> <li>[x] a legal entity curre the contract, transaction or other.</li> </ol>	ntly holding, or anticipated to hold within six months after City action on her undertaking to which this EDS pertains (referred to below as the interest in excess of 7.5% in the Applicant. State the Applicant's legal America					
3. [ ] a legal entity with a	a direct or indirect right of control of the Applicant (see Section II(B)(1)) tity in which the Disclosing Party holds a right of control:					
B. Business address of the Di	sclosing Party: 3611 N. Kedzie Avenue, 2nd Floor					
	Chicago, IL 60618					
C. Telephone: <u>773-644-230</u>	Fax: 773-463-0999 Email:					
D. Name of contact person: _	Steven L. Schwartz					
E. Federal Employer Identific	ration No. (if you have one):					
F. Brief description of the Maproperty, if applicable):	atter to which this EDS pertains. (Include project number and location of					
Class C Incentive Application 2	427 & 2480 N. Elston Avenue, Chicago, IL 60647					
G. Which City agency or depa	City of Chicago - Department of Planning and artment is requesting this EDS? <u>Development</u>					
If the Matter is a contract bein complete the following:	g handled by the City's Department of Procurement Services, please					
Specification#	and Contract #					
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Limited liability company [ ] Person [ ] Limited liability partnership [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ ] General partnership [ ] Limited partnership [ ] Yes [ ] No Other (please specify) [X] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [X] Organized in Illinois [ ] Yes []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures. each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Roslyn S. Schwartz Trustee 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None."	mpany, or interest of a beneficiary	of a trust, estate or other similar entity. If no	me,
NOTE: Each legal	entity listed below may be require	ed to submit an EDS on its own behalf.	
Name	Percentage Interest in the Applican	it	
Please see attached o	wnership summary		
SECTION III II OFFICIALS	NCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELEC	TE!
_	Party provided any income or confeceding the date of this EDS?	npensation to any City elected official during [ ] Yes [X] N	
	g Party reasonably expect to provious the 12-month period following	de any income or compensation to any City the date of this EDS? [] Yes [X] N	10
describe such incor	the above, please identify below the or compensation:	he name(s) of such City elected official(s) an	d 
inquiry, any City el	•	sclosing Party's knowledge after reasonable partner, have a financial interest (as defined CC")) in the Disclosing Party?	in
	ntify below the name(s) of such Citibe the financial interest(s).	ty elected official(s) and/or spouse(s)/domest	ic 

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through, the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Party	y has not retained, nor expects to re-	tain, any such persons or entities.
SECTION V CERTIF	FICATION	S	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
	•	antial owners of business entities the support obligations throughout the	
<b>2</b> 1	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	•
[] Yes [X] No []]	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person en is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFIC	CATIONS		,
Procurement Services.] In Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other sim	n the 5-year Intity <u>[see</u> de Intity <u>[see</u> de Intity compliant Intity compliantilis, description of the compliantilis of the complete of the compliantilis of the compliantilis of the complete	he Matter is a contract being handled period preceding the date of this Election in (5) below] has engaged, the services of an integrity monitor, and ance consultant (i.e., an individual of lesignated by a public agency to help as well as help the vendors reform the	DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, p the agency monitor the

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party:
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Section 2-3		e because it or any of its affiliates (as defined in thin the meaning of MCC Chapter 2-32, explain
N/A		
	" the word "None," or no response umed that the Disclosing Party cer	e appears on the lines above, it will be tified to the above statements.
D. CERTIFICAT	ION REGARDING FINANCIAL	INTEREST IN CITY BUSINESS
Any words or terr	ns defined in MCC Chapter 2-156	have the same meanings if used in this Part D.
after reasonable ir		the best of the Disclosing Party's knowledge vee of the City have a financial interest in his or entity in the Matter?
[ ] Yes	[x] No	
•	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed	d to Items D(2) and D(3). If you checked "No" to Part E.
official or employ other person or en taxes or assessmen "City Property Sal	ee shall have a financial interest in tity in the purchase of any propert ats, or (iii) is sold by virtue of lega	bidding, or otherwise permitted, no City elected his or her own name or in the name of any y that (i) belongs to the City, or (ii) is sold for a process at the suit of the City (collectively, a ken pursuant to the City's eminent domain the meaning of this Part D.
Does the Matter in	volve a City Property Sale?	,
[ ] Yes	[ ] No	
•	, , , , a	ames and business addresses of the City officials tify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
N/A		
	g Party further certifies that no pro ty official or employee.	hibited financial interest in the Matter will be

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party
must disclose below or in an attachment to this EDS all information required by (2). Failure to
comply with these disclosure requirements may make any contract entered into with the City in
connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of
the Disclosing Party and any and all predecessor entities regarding records of investments or profit
from slavery or slaveholder insurance policies during the slavery era (including insurance policies
issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and
the Disclosing Party has found no such records.

Disclosing Party happolicies. The Discl	osing Party verifies that, as a as found records of investme losing Party verifies that the the names of any and all slav	ents or profits from following constitution	n slavery or slavehold utes full disclosure of	ler insurance all such
N/A	ine names of any and an stav	ves of slavenoiders	, described in those re	

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

	to the Matter: (Add sheets)	ve made lobbying contacts or if necessary):	n behalf of the Disclosing
N/A			

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the [ ] Yes	
[ ] 165	[ ] No
If "Yes," answer the three	questions below:
<ol> <li>Have you developed as federal regulations? (See [ ] Yes</li> </ol>	nd do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)  [ ] No
Compliance Programs, or applicable filing requirements	e Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the ents?  [] No [] Reports not required
[ ] 103	[ ] 140 [ ] Reports not required
<ol> <li>Have you participated in equal opportunity clause?</li> </ol>	in any previous contracts or subcontracts subject to the
[ ] Yes	[ ] No
If you checked "No" to que	estion (1) or (2) above, please provide an explanation:

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Ronal Trust
(Print or type exact legal name of Disclosing Party)
By: Koslyn Schwartz (Sign here)
Rosyln S. Schwartz
(Print or type name of person signing)
Trustee
(Print or type title of person signing)
Signed and sworn to before me on (date) January 18th 2019,
at <u>Cook</u> County, <u>I Uinois</u> (state).
Shari a. Tody
Notary Public $\mathcal O$
Commission expires: April 18 th 2021
OFFICIAL SEAL SHARI A TODY NOTARY PUBLIC, STATE OF ILLINOIS

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	
which such person	is connected; (3) the nam	e and title of such person, (2) the name of the legal entity to the earth of the elected city official or department head to p, and (4) the precise nature of such familial relationship.
N/A		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[X] No	•
	<del>-</del>	blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ¸] No	[X] The Applicant is not publicly traded on any exchange.
• • •	scofflaw or problem	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which
N/A		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[X] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.
·

# CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Tennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A	Total	0 0 0 0 0 100.00
Columbia Equities Limited Liability Company	ompany Business Address	Beneficiary	Ownership
Columbia Equitics Limited Partnership	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	p Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Seer Pedersen Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A N/A N/A Trust N/A N/A N/A N/A	N/A N/A N/A N/A N/A N/A Total	%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
AGS I, Inc. (General Partner, Columbia Equities Limited Partn	Business Address Partnership)	Beneficiary	Ownership
Steven L. Schwartz	$3611$ N. Kedzie Ave. $2^{nd}$ Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

# TENNIS CORPORATION OF AMERICA

## Legal Ownership

Rice Street Trust

Peer Pedersen Trust

Ronal Trust

Steven L. Schwartz Revocable Trust

TOTAL

100,00%

# Beneficial Ownership

TOTAL

100.00%

# COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

# COLUMBIA EQUITIES LIMITED PARTNERSHIP

## Legal Ownership

AGS I, Inc. 2.0%

Peer Pedersen Trust

Steven L. Schwartz Revocable Trust

Elizabeth S. Brint Descendants Exempt Trust

Sally R.S. Higginson Descendants Exempt Trust

Steven L. Schwartz Descendants Exempt Trust

Elizabeth Brint Revocable Trust

Elizabeth Brint Revocable Trust

Ronal Trust

Rice Street Trust

TOTAL 100.00%

## Beneficial Ownership

Peer Pedersen Trust

TOTAL

100,00%

# AGS I, Inc.

Steven L. Schwartz

100%

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:				
Rice Street Trust				
Check ONE of the following three box	xes:			
Indicate whether the Disclosing Party su  1. [ ] the Applicant OR	ubmitting this EDS is:			
2. [X] a legal entity currently holding the contract, transaction or other underta	ng, or anticipated to hold within six months after City action on aking to which this EDS pertains (referred to below as the excess of 7.5% in the Applicant. State the Applicant's legal			
3. [ ] a legal entity with a direct or	indirect right of control of the Applicant (see Section II(B)(1)) ch the Disclosing Party holds a right of control:			
B. Business address of the Disclosing P	arty: 3611 N. Kedzie Avenue, 2nd Floor			
	Chicago, IL 60618			
C. Telephone: <u>773-644-2300</u>	Fax: 773-463-0999 Email: ags@midtown.com			
D. Name of contact person: Alan G. Sci	hwartz			
E. Federal Employer Identification No.	(if you have one):			
F. Brief description of the Matter to wh property, if applicable):	ich this EDS pertains. (Include project number and location of			
Class C Incentive Application 2427 & 2480	N. Elston Avenue, Chicago, IL 60647			
G. Which City agency or department is	City of Chicago - Department of Planning and requesting this EDS? <u>Development</u>			
If the Matter is a contract being handled complete the following:	by the City's Department of Procurement Services, please			
Specification #	and Contract #			
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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PART	ı
<ol> <li>Indicate the nature of the Disclosing P</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	Party:  [ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No [ ] Other (please specify)
2. For legal entities, the state (or foreign cou-	ntry) of incorporation or organization, if applicable:
3. For legal entities not organized in the State business in the State of Illinois as a foreign en	e of Illinois: Has the organization registered to do ntity?
[ ] Yes [ ] No	[X] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:
the entity; (ii) for not-for-profit corporation are no such members, write "no members white similar entities, the trustee, executor, administ limited partnerships, limited liability comp	pplicable, of: (i) all executive officers and all directors of is, all members, if any, which are legal entities (if there ich are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or it of the Applicant.
NOTE: Each legal entity listed below must su	ibmit an EDS on its own behalf.
Name Alan G, Schwartz	Title Trustee
indirect, current or prospective (i.e. within 6 m	concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including t. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

NOTE: Fach le	gal entity listed below may be require	ed to submit an EDS on its own be	ehalf
NOTE: Each legal entity listed below may be required to submit an EDS on its own by Name  Business Address  Percentage Interest in			
Please see attach	ed ownership summary		
SECTION III OFFICIALS	- INCOME OR COMPENSATION	N TO, OR OWNERSHIP BY, C	TY ELECTE
	ing Party provided any income or cond preceding the date of this EDS?	npensation to any City elected offi	cial during the [X] No
	sing Party reasonably expect to provi luring the 12-month period following	•	any City [X] No
	of the above, please identify below to	he name(s) of such City elected of	ficial(s) and
inquiry, any Cit	lected official or, to the best of the Di y elected official's spouse or domestic f the Municipal Code of Chicago ("M [X] No	c partner, have a financial interest	
	dentify below the name(s) of such Ci escribe the financial interest(s).	ty elected official(s) and/or spouse	e(s)/domestic

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
		y has not retained, nor expects to re-	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
	•	antial owners of business entities the support obligations throughout the	•
7 -	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	<del>-</del>
[ ] Yes [X] No [ ]	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person e is the person in compliance		a court-approved agreement for payagreement?	ment of all support owed and
[ ] Yes [ ] No	•	•	
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] I Party nor any Affiliated E	n the 5-year Entity [ <u>see</u> de	he Matter is a contract being handle period preceding the date of this El efinition in (5) below] has engaged, ne services of an integrity monitor,	DS, neither the Disclosing in connection with the

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-32 here (attach addition	-455(b)) is a predatory lender with onal pages if necessary):	because it or any of its affiliates (as defined in nin the meaning of MCC Chapter 2-32, explain
N/A		
	the word "None," or no response med that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL 1	INTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 l	have the same meanings if used in this Part D.
after reasonable inc		the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter?
[ ] Yes	[x] No	
_	cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" to Part E.
official or employe other person or enti taxes or assessment "City Property Sale	e shall have a financial interest in ty in the purchase of any property s, or (iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter inv	volve a City Property Sale?	
[ ] Yes	[ ] No	
		imes and business addresses of the City officials ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
N/Λ		
		· · · · · · · · · · · · · · · · · · ·

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

E. CERTIFICATION REGARDING SEAVERT ERA DOSINESS
Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI – CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
NIA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Applicant?	
[ ] Yes	[ ] No	
If "Yes," answer the thr	ree questions be	elow:
Have you developed federal regulations? (S         [ ] Yes	•	ave on file affirmative action programs pursuant to applicable t 60-2.)
Compliance Programs, applicable filing require	or the Equal Enternets?	orting Committee, the Director of the Office of Federal Contract mployment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[] Reports not required
3. Have you participate equal opportunity claus	· -	ous contracts or subcontracts subject to the
[ ] Yes	[ ] No	
If you checked "No" to N/A	question (1) or	(2) above, please provide an explanation:

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## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Rice Street Trust
(Print or type exact legal name of Disclosing Party)
By: <u>Clan B. Schwert</u> (Sign here)
(Sign here)
Alan G. Schwartz
(Print or type name of person signing)
Trustee
(Print or type title of person signing)
Signed and sworn to before me on (date) January 18th, 2019,
at <u>Cook</u> County, <u>Illinois</u> (state).
Shari a. Tody
Notary Public 0
Commission expires: April 18 th, 2021

OFFICIAL SEAL
SHARI A TODY
NOTARY PUBLIC, STATE OF ILLINOIS
COOK COUNTY
MY COMMISSION EXPIRES 04/18/2021

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
which such person i	connected; (3) the name and title of such person, (2) the name of the legal entity connected; (3) the name and title of the elected city official or department head as a familial relationship, and (4) the precise nature of such familial relationship	to
N/A		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

ì

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code at to MCC Section 2-92-416?
[]Yes	[X] No	-
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
as a building code s the pertinent code v	cofflaw or problen	entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which
N/A		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

## PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.
·

# CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Tennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equities Limited Liability Company	mpany Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnersbip	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	Business Address	Beneficiary	Ównership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A	N/A N/A N/A N/A N/A N/A Total	2.00000% 0.32200% 0.32200% 0.16100% 0.16100% 100.000000%
AGS I, Inc. (General Partner, Columbia Equities Limited Partnership)	Business Address	Beneficiary	Ownership
Steven L. Schwarfz	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

# TENNIS CORPORATION OF AMERICA

# Legal Ownership

Rice Street Trust

Peer Pedersen Trust

Ronal Trust

Steven L. Schwartz Revocable Trust

**TOTAL** 



100.00%

# Beneficial Ownership

Alan G. Schwartz & Children

Roslyn S. Schwartz & Children

Steven L. Schwartz

Peer Pederson Trust



TOTAL

100.00%

# COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

## COLUMBIA EQUITIES LIMITED PARTNERSHIP

## Legal Ownership

AGS I, Inc.

Peer Pedersen Trust

Steven L. Schwartz Revocable Trust

Elizabeth S. Brint Descendants Exempt Trust

Sally R.S. Higginson Descendants Exempt Trust

Steven L. Schwartz Descendants Exempt Trust

Elizabeth Brint Revocable Trust

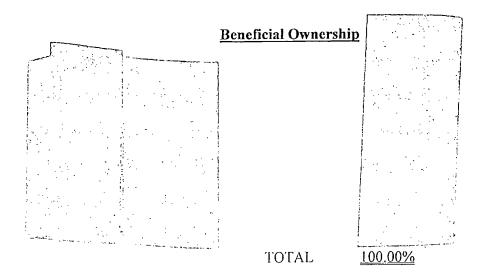
Sally R.S. Higginson Revocable Trust

Ronal Trust

Rice Street Trust

TOTAL

100.00%



# AGS I, Inc.

Steven L. Schwartz

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclos	sing Party submitting this EDS. Include d/b/a/ if applicable:
Steven L. Schwartz Revocable	Trust
Check ONE of the following	three boxes:
<ol> <li>[ ] the Applicant OR</li> <li>[x] a legal entity current the contract, transaction or other</li> </ol>	ng Party submitting this EDS is:  ntly holding, or anticipated to hold within six months after City action on her undertaking to which this EDS pertains (referred to below as the interest in excess of 7.5% in the Applicant. State the Applicant's legal America
3. [ ] a legal entity with a	a direct or indirect right of control of the Applicant (see Section II(B)(1)) tity in which the Disclosing Party holds a right of control:
B. Business address of the Di	,
C. Telephone: <u>773-644-2233</u>	Fax: 773-463-4642 Email: steven.schwartz@midtown.com
D. Name of contact person: _	Steven L. Schwartz
E. Federal Employer Identific	cation No. (if you have one):
F. Brief description of the Ma property, if applicable):	atter to which this EDS pertains. (Include project number and location of
Class C Incentive Application 24	427 & 2480 N. Elston Avenue, Chicago, IL 60647
G. Which City agency or depart	City of Chicago - Department of Planning and artment is requesting this EDS? <u>Development</u>
If the Matter is a contract being complete the following:	g handled by the City's Department of Procurement Services, please
Specification #	and Contract #
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Limited liability company [ ] Person [ ] Limited liability partnership [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Joint venture [ ] Not-for-profit corporation [ ] Sole proprietorship [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] No [ ] Yes [ ] Other (please specify) [X] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [X] Organized in Illinois [ ] Yes []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Steven L. Schwartz Trustee 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None." NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Percentage Interest in the Applicant **Business Address** Please see attached ownership summary SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes [X] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes [X] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: N/A Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes [X] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). N/A

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			,
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entitie
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	•
	•	antial owners of business entities th I support obligations throughout the	
- <del>-</del>	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	-
[ ] Yes [x] No [ ] ]	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person en is the person in compliance		court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes			
B FURTHER CERTIFIC	PATIONS		

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

- Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:
- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.
11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>[ ] is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable MCC Section 2-32-455(b)) is a here (attach additional pages ifN/A	predatory lender w	-		-
If the letters "NA," the word "N conclusively presumed that the				I be
D. CERTIFICATION REGAR	DING FINANCIA	L INTEREST IN O	CITY BUSINESS	5
Any words or terms defined in	MCC Chapter 2-15	6 have the same m	eanings if used in	n this Part D.
1. In accordance with MCC So after reasonable inquiry, does a her own name or in the name o	ny official or emplo	yee of the City ha	ve a financial into	•
[ ] Yes [X]	No			
NOTE: If you checked "Yes" to Item D(1), skip Items D(2) a	· / -	` ,	nd D(3). If you c	hecked "No"
2. Unless sold pursuant to a profficial or employee shall have other person or entity in the purtaxes or assessments, or (iii) is a "City Property Sale"). Compensioner does not constitute a final	a financial interest chase of any proper sold by virtue of leg sation for property	in his or her own n ty that (i) belongs al process at the st taken pursuant to t	name or in the nar to the City, or (ii uit of the City (co the City's eminent	me of any i) is sold for ollectively,
Does the Matter involve a City	Property Sale?			
[]Yes []	No			
3. If you checked "Yes" to Iten or employees having such finan				<u> </u>
Name Bus	iness Address	Nature	of Financial Inter	rest
N/A				
				<del>-</del>

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of
the Disclosing Party and any and all predecessor entities regarding records of investments or profits
from slavery or slaveholder insurance policies during the slavery era (including insurance policies
issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and
the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above,	th
Disclosing Party has found records of investments or profits from slavery or slaveholder insurance	ce
policies. The Disclosing Party verifies that the following constitutes full disclosure of all such	
records, including the names of any and all slaves or slaveholders described in those records:	
	_
N/A	

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

behalf of the Disclosing Party with respect to the Matter.)

Disclosure Act	ow the names of all persons or entities registered under the federal Lobbying of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing ect to the Matter: (Add sheets if necessary):
N/A	
appear, it will b	on appears or begins on the lines above, or if the letters "NA" or if the word "None e conclusively presumed that the Disclosing Party means that NO persons or entition the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts of the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts of the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts of the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts of the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts of the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts of the Lobbying Disclosure Act of 1995, as amended, have made lobbying Disclosure Act of 1995, as amended, have made lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of 1995, as a mended of the Lobbying Disclosure Act of the Lobbying Disclosur

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?
[ ] Yes	[ ] No
If "Yes," answer the three	questions below:
Have you developed an federal regulations? (See 4	nd do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)  [ ] No
[ ] I es	[ ] No [ ] Reports not required
<ol><li>Have you participated i equal opportunity clause?</li></ol>	n any previous contracts or subcontracts subject to the
[ ] Yes	[ ] No
If you checked "No" to que	estion (1) or (2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Steven L. Schwartz Revocable Trust
(Print or type exact legal name of Disclosing Party)
By: (Sign here)
(Bigii liero)
Steven L, Schwartz
(Print or type name of person signing)
Trustee
(Print or type title of person signing)
Signed and sworn to before me on (date) <u>January 18<sup>th</sup></u> 2019,
at <u>Cook</u> County, <u>Illinois</u> (state).
Shari a. Jody
Notary Public 0
Commission expires: April 18th, 2021

OFFICIAL SEAL
SHARI A TODY
NOTARY PUBLIC, STATE OF ILLINOIS
COOK COUNTY
MY COMMISSION EXPIRES 04/18/2021

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
which such person	is connected; (3) the nan	ne and title of such person, (2) the name of the legal entity to ne and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship.
N/A		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[X] No	
* *		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
•	cofflaw or problen	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which
N/A		

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.
,

# CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	. N/A	100.00%
Tennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equities Limited Liability Company	mpany Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnership	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A N/A N/A N/A Trust N/A N/A	N/A N/A N/A N/A N/A N/A Total	$\begin{array}{c} & & & & \\ & & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & \\ & & \\ &$
AGS I, Inc. (General Partner, Columbia Equities Limited Partn	Business Address	Beneficiary	Ownership
Steven L. Schwartz	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

## TENNIS CORPORATION OF AMERICA

## Legal Ownership

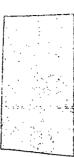
Rice Street Trust

Peer Pedersen Trust

Ronal Trust

Steven L. Schwartz Revocable Trust

TOTAL



100.00%

## Beneficial Ownership

Alan G. Schwartz & Children

Roslyn S. Schwartz & Children

Steven L. Schwartz

Peer Pedersen Trust



TOTAL

100.00%

# COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

## **COLUMBIA EQUITIES LIMITED PARTNERSHIP**

## Legal Ownership

AGS I, Inc.

Peer Pedersen Trust

Steven L. Schwartz Revocable Trust

Elizabeth S. Brint Descendants Exempt Trust

Sally R.S. Higginson Descendants Exempt Trust

Steven L. Schwartz Descendants Exempt Trust

Elizabeth Brint Revocable Trust

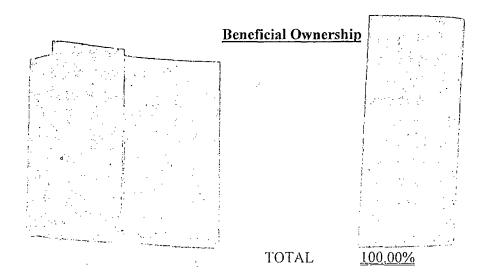
Sally R.S. Higginson Revocable Trust

**Ronal Trust** 

Rice Street Trust

TOTAL

100.00%



# AGS I, Inc.

Steven L. Schwartz

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing	g Party submitting this EDS. Include d/b/a/ if applicable:
Columbia Equities Limited Liabilit	y Company
Check ONE of the following th	aree boxes:
the contract, transaction or other "Matter"), a direct or indirect int name:	y holding, or anticipated to hold within six months after City action on undertaking to which this EDS pertains (referred to below as the erest in excess of 7.5% in the Applicant. State the Applicant's legal
	irect or indirect right of control of the Applicant (see Section II(B)(1)) in which the Disclosing Party holds a right of control:
B. Business address of the Discl	osing Party: 3611 N. Kedzie Avenue, 2nd Floor
	Chicago, IL 60618
C. Telephone: <u>773-644-2233</u>	Fax: <u>773-463-4642</u> Email: <u>steven.schwartz@midtown.</u> com
D. Name of contact person:	Steven L. Schwartz
E. Federal Employer Identificati	ion No. (if you have one):
F. Brief description of the Matte property, if applicable):	er to which this EDS pertains. (Include project number and location of
Class C Incentive Application 2427	7 & 2480 N. Elston Avenue, Chicago, IL 60647
G. Which City agency or departr	City of Chicago - Department of Planning and ment is requesting this EDS? <u>Development</u>
If the Matter is a contract being he complete the following:	nandled by the City's Department of Procurement Services, please
Specification #	and Contract #
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [X] Limited liability company [ ] Limited liability partnership [ | Publicly registered business corporation Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes []No Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes [X] Organized in Illinois []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
Columbia Equities Limited Partnership	Managing Member	
Alan G. Schwartz	Manager	<del></del>
Steven L. Schwartz	Manager	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability state "None."	company, or interest of a beneficiary	of a trust, estate or other similar entity. If non-
NOTE: Each le	gal entity listed below may be require	d to submit an EDS on its own behalf.
Name	Business Address	Percentage Interest in the Applicant
Please see attac	hed ownership summary	
SECTION III - OFFICIALS	INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELECT
	ing Party provided any income or comd preceding the date of this EDS?	pensation to any City elected official during the [ ] Yes [X] No
	sing Party reasonably expect to provid during the 12-month period following	le any income or compensation to any City the date of this EDS? [ ] Yes [X] No
•	of the above, please identify below the come or compensation:	ne name(s) of such City elected official(s) and
inquiry, any City		sclosing Party's knowledge after reasonable partner, have a financial interest (as defined in CC")) in the Disclosing Party?
	dentify below the name(s) of such Citescribe the financial interest(s).	y elected official(s) and/or spouse(s)/domestic

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTIF	FICATION	$\mathbf{S}$	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	•
		antial owners of business entities the support obligations throughout the	
	-	ectly owns 10% or more of the Disc tions by any Illinois court of compe	-
[] Yes [X] No [] I	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person en is the person in compliance		a court-approved agreement for paying agreement?	ment of all support owed and
[ ] Yes [ ] No		X.	
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] In Party nor any Affiliated E	n the 5-year ntity [ <u>see</u> de	he Matter is a contract being handle period preceding the date of this EI efinition in (5) below] has engaged, ne services of an integrity monitor, i	OS, neither the Disclosing in connection with the

- Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section  $\Pi(B)(1)$  of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Party (check one) [ ] is [X] is not a "financial institution" as defined in MCC Section 2-32-455(b). 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

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predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.
1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
[ ] Yes [x] No
NOTE: If you checked "Yes" to Item $D(1)$ , proceed to Items $D(2)$ and $D(3)$ . If you checked "No" to Item $D(1)$ , skip Items $D(2)$ and $D(3)$ and proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?
[]Yes \ []No
3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest:
Name Business Address Nature of Financial Interest
N/A
·

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of
the Disclosing Party and any and all predecessor entities regarding records of investments or profits
from slavery or slaveholder insurance policies during the slavery era (including insurance policies
issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and
the Disclosing Party has found no such records.

2.	The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the
	ng Party has found records of investments or profits from slavery or slaveholder insurance
	The Disclosing Party verifies that the following constitutes full disclosure of all such
	including the names of any and all slaves or slaveholders described in those records:
	•
N/A	

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):		
N/A		
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word appear, it will be conclusively presumed that the Disclosing Party means that NO persons or registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying cobehalf of the Disclosing Party with respect to the Matter.)	entities	

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	
[ ] Yes	[ ] No
If "Yes," answer the three	uestions below:
<ol> <li>Have you developed an federal regulations? (See 4)</li> <li>Yes</li> </ol>	d do you have on file affirmative action programs pursuant to applicable I CFR Part 60-2.) [ ] No
Compliance Programs, or tapplicable filing requireme	Joint Reporting Committee, the Director of the Office of Federal Contract ne Equal Employment Opportunity Commission all reports due under the nts?
[ ] Yes	[ ] No [ ] Reports not required
3. Have you participated i equal opportunity clause?	any previous contracts or subcontracts subject to the
[ ] Yes	[ ] No
If you checked "No" to que N/A	stion (1) or (2) above, please provide an explanation:

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Columbia Equities Limited Liability Company (Print or type exact legal name of Disclosing Party)
By: (Sign here)
Steven L. Schwartz (Print or type name of person signing)
Manager
(Print or type title of person signing)
Signed and sworn to before me on (date) January 18 12019,
at <u>Cook</u> County, <u>Illinois</u> (state).
Shari a. Jody Notary Public
Notary Public
Commission expires: April 18th, 2021
OFFICIAL SEAL SHARI A TODY NOTARY PUBLIC, STATE OF ILLINOIS COOK COUNTY

MY COMMISSION EXPIRES 04/18/2021

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[X] No	
which such perso	n is connected; (3) the nam	e and title of such person, (2) the name of the legal entity to ne and title of the elected city official or department head to p, and (4) the precise nature of such familial relationship.
N/Λ		

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		t to MCC Section 2-92-416?
[ ] Yes	[X] No	
2.2	• • •	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
• • • • • • • • • • • • • • • • • • • •	cofflaw or problen	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which
N/A		

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.
•

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# CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Tennis Corporation of America	) Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equities Limited Liability Co	Company Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnership	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A rust N/A Trust N/A N/A N/A	N/A N/A N/A N/A N/A N/A Total	2.0000% 0.32200% 0.32200% 0.16100% 0.16100% 100.00000%
AGS I, Inc. (General Partner, Columbia Equities Limited Partne	Business Address	Beneficiary	Ownership
Steven L. Schwartz	3611 N. Kedzie Ave. 2nd Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

# TENNIS CORPORATION OF AMERICA

# Legal Ownership

Rice Street Trust

Peer Pedersen Trust

Ronal Trust

Steven L. Schwartz Revocable Trust

TOTAL

# Beneficial Ownership

Alan G. Schwartz & Children

Roslyn S. Schwartz & Children

Steven L. Schwartz

Peer Pedersen Trust



TOTAL

100.00%

# COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

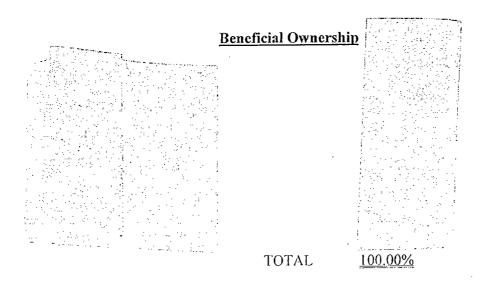
100%

# COLUMBIA EQUITIES LIMITED PARTNERSHIP

# AGS I, Inc. Peer Pedersen Trust Steven L. Schwartz Revocable Trust Elizabeth S. Brint Descendants Exempt Trust Sally R.S. Higginson Descendants Exempt Trust Steven L. Schwartz Descendants Exempt Trust Elizabeth Brint Revocable Trust Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Ronal Trust

TOTAL

100.00%



Rice Street Trust

# AGS I, Inc.

Steven L. Schwartz

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclos	sing Party submitting this EDS. Include d/b/a/ if applicable:
Ronal Trust	
Check ONE of the following	g three boxes:
1. [ ] the Applicant OR 2. [X] a legal entity curre the contract, transaction or of "Matter"), a direct or indirect name: Columbia Equities Lim OR 3. [ ] a legal entity with	ntly holding, or anticipated to hold within six months after City action on her undertaking to which this EDS pertains (referred to below as the interest in excess of 7.5% in the Applicant. State the Applicant's legal hited Liability Company  a direct or indirect right of control of the Applicant (see Section II(B)(1)) tity in which the Disclosing Party holds a right of control:
B. Business address of the Di	isclosing Party: 3611 N. Kedzie Avenue, 2nd Floor Chicago, IL 60618
C. Telephone:773-644-230	0 Fax: <u>773-463-0999</u> Email:
D. Name of contact person: _	Steven L. Schwartz
E. Federal Employer Identifie	cation No. (if you have one):
F. Brief description of the Maproperty, if applicable):	atter to which this EDS pertains. (Include project number and location of
Class C Incentive Application 2	427 & 2480 N. Elston Avenue, Chicago, IL 60647
G. Which City agency or depart	City of Chicago - Department of Planning and artment is requesting this EDS? <u>Development</u>
If the Matter is a contract bein complete the following:	g handled by the City's Department of Procurement Services, please
Specification #	and Contract #
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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF I	HE DISCLUSING PART	, <b>1</b>
[ ] Person [ ] Publicly register	ship	Party:  [ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No [ ] Other (please specify)
2. For legal entities	s, the state (or foreign cou	antry) of incorporation or organization, if applicable:
_	s not organized in the State of Illinois as a foreign er	te of Illinois: Has the organization registered to do ntity?
[ ] Yes	[ ] No	[X] Organized in Illinois
B. IF THE DISCLO	OSING PARTY IS A LEC	GAL ENTITY:
the entity; (ii) for no are no such member similar entities, the limited partnership each general partner	ot-for-profit corporation rs, write "no members white trustee, executor, admini os, limited liability comp	pplicable, of: (i) all executive officers and all directors of as, all members, if any, which are legal entities (if there ich are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or eanies, limited liability partnerships or joint ventures, tager or any other person or legal entity that directly or at of the Applicant.
NOTE: Each legal e	entity listed below must su	ubmit an EDS on its own behalf.
Name		Title
Roslyn S. Schwartz		Trustee
indirect, current or p ownership) in excess	rospective (i.e. within 6 ns of 7.5% of the Applicant	concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including t. Examples of such an interest include shares in a hip or joint venture, interest of a member or manager in a

state "None." NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Percentage Interest in the Applicant **Business Address** Name Please see attached ownership summary SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes [X] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [X] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: N/A Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [ ] Yes [X] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). N/A

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	•
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities th I support obligations throughout the	
		ectly owns 10% or more of the Disc tions by any Illinois court of compe	
[] Yes [X] No []	No person d	lirectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person e is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFIC	CATIONS		,
Procurement Services.] In Party nor any Affiliated E- performance of any public inspector general, or integ	n the 5-year Entity [see dec contract, the crity complis	the Matter is a contract being handled period preceding the date of this Electrication in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual electrication of the period of the lesignated by a public agency to hele	DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing,

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF GRATING AS EDIANGIAL DISTRIBUTION
<ul> <li>C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION</li> <li>1. The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>[ ] is [X] is not</li> </ul>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32-4	55(b)) is a predatory lender with al pages if necessary):	because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
-	ne word "None," or no response a ed that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATIO	N REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or terms	defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inqu		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[ ] Yes	[x] No	
•	ted "Yes" to Item D(1), proceed toms D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employees other person or entity taxes or assessments, "City Property Sale")	shall have a financial interest in h in the purchase of any property or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter invo	ve a City Property Sale?	
[ ] Yes	[ ] No	
		nes and business addresses of the City officials by the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
N/A		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

	sclosing Party verifies that, as a result of conducting the search in step (1) above, th
	ty has found records of investments or profits from slavery or slaveholder insurance
	Disclosing Party verifies that the following constitutes full disclosure of all such
records, includ	ing the names of any and all slaves or slaveholders described in those records:
N/A	
N/A	

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

## A. CERTIFICATION REGARDING LOBBYING

7

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):		
N/A		
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)		

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party th		
If "Yes," answer the three	e questions be	elow:
Have you developed a federal regulations? (See [ ] Yes	•	ave on file affirmative action programs pursuant to applicable 60-2.)
Ţ.	the Equal Er	rting Committee, the Director of the Office of Federal Contrac mployment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[] Reports not required
3. Have you participated equal opportunity clause?		ous contracts or subcontracts subject to the
[ ] ICS	Į J NO	
If you checked "No" to qu N/A	uestion (1) or	(2) above, please provide an explanation:

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Ronal Trust
(Print or type exact legal name of Disclosing Party)
By: Roslyn S. Schwartz
(Sign here)
Rosyln S. Schwartz
(Print or type name of person signing)
Trustee
(Print or type title of person signing)
Signed and sworn to before me on (date) January 18th 2019,
at Cook County, I Uinois (state).
Shai a Tada
Shori a. Jody Notary Public
Commission expires: April 18th 2021
çammanının yazırı bir ili bir
OFFICIAL SEAL SHARI A TODY
NOTARY PUBLIC, STATE OF ILLINOIS
COOK COUNTY MY COMMISSION EXPIRES 04/18/2021
(A A A A A A A A A A A A A A A A A A A

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	,
which such person	is connected; (3) the nam	e and title of such person, (2) the name of the legal entity to be and title of the elected city official or department head to p, and (4) the precise nature of such familial relationship.
N/A		

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code t to MCC Section 2-92-416?
[] Yes	[X] No	
	_ ,	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
	cofflaw or problem	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which
N/A		

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.
,
,

# CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Tennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust · Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equities Limited Liability Company	ompany Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnersbip	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	p Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A	N/A N/A N/A N/A N/A N/A Total	2.00000% 0.32200% 0.32200% 0.16100% 0.16100% 100.00000%
AGS I, Inc. (General Partner, Columbia Equities Limited Partn	Business Address	Beneficiary	Ownership
Steven L. Schwartz	3611 N. Kedzie Ave. $2^{nd}$ Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

# TENNIS CORPORATION OF AMERICA

# Legal Ownership

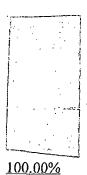
Rice Street Trust

Peer Pedersen Trust

Ronal Trust

Steven L. Schwartz Revocable Trust

TOTAL



# Beneficial Ownership

Alan G. Schwartz & Children

Roslyn S. Schwartz & Children

Steven L. Schwartz

Peer Pedersen Trust



TOTAL

100.00%

# COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

# **COLUMBIA EQUITIES LIMITED PARTNERSHIP**

# Legal Ownership

AGS I, Inc.

Peer Pedersen Trust

Steven L. Schwartz Revocable Trust

Elizabeth S. Brint Descendants Exempt Trust

Sally R.S. Higginson Descendants Exempt Trust

Steven L. Schwartz Descendants Exempt Trust

Elizabeth Brint Revocable Trust

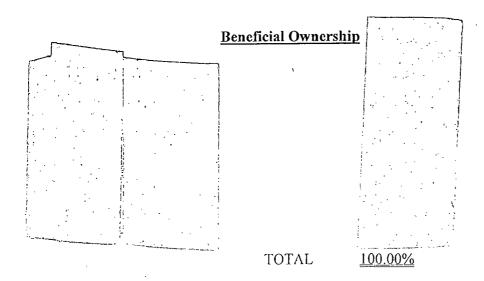
Sally R.S. Higginson Revocable Trust

Ronal Trust

Rice Street Trust

TOTAL

100,00%



# AGS I, Inc.

Steven L. Schwartz

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if appl	icable:
Rice Street Trust	·
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS is:  1. [ ] the Applicant OR 2. [X] a legal entity currently holding, or anticipated to hold within six months the contract, transaction or other undertaking to which this EDS pertains (referred "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the name: Columbia Equities Limited Liability Company OR 3. [ ] a legal entity with a direct or indirect right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the entity in which the Disclosing Party holds a right of control of the Applicant (state the legal name of the legal name of the legal name o	to below as the Applicant's legal see Section II(B)(1))
B. Business address of the Disclosing Party:  3611 N. Kedzie Avenue, 2nd Floor Chicago, IL 60618	
C. Telephone: 773-644-2300 Fax: 773-463-0999 Email: ags@i	midtown.com
D. Name of contact person: Alan G. Schwartz	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of the Matter to which this EDS pertains. (Include project nur property, if applicable):	nber and location of
Class C Incentive Application 2427 & 2480 N. Elston Avenue, Chicago, IL 60647	
G. Which City agency or department is requesting this EDS? <u>Development</u>	rtment of Planning and
If the Matter is a contract being handled by the City's Department of Procurement Scomplete the following:	Services, please
Specification # and Contract #	
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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Limited liability company [ ] Person [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [ ] Joint venture [ | Sole proprietorship [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ ] General partnership [ ] Limited partnership []Yes [ ] No [X] Trust [ ] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [] Yes [X] Organized in Illinois [ ] No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Alan G. Schwartz Trustee 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Business Address Percentage Interest in the Applicant Please see attached ownership summary SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes [X] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes X No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [ ] Yes [X] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). N/A

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Party	y has not retained, nor expects to re-	tain, any such persons or entities.
SECTION V CERTIF	EICATION	S .	ı
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
		ntial owners of business entities the support obligations throughout the	
		ectly owns 10% or more of the Disc tions by any Illinois court of compe	
[ ] Yes [x] No [ ] ?	No person di	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person en is the person in compliance		court-approved agreement for payaggreement?	ment of all support owed and
[] Yes [] No			
B. FURTHER CERTIFIC	CATIONS	•	

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [x] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.
1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
[ ] Yes [x] No
NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?
[ ] Yes [ ] No
3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:
Name Business Address Nature of Financial Interest
N/A

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS
Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
NI/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Applicant?	,
[] Yes	[ ] No	
If "Yes," answer the th	ree questions be	elow:
Have you develope federal regulations? (S         [ ] Yes		ave on file affirmative action programs pursuant to applicable t 60-2.)
Compliance Programs, applicable filing requir	or the Equal Endements?	orting Committee, the Director of the Office of Federal Contrac imployment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[] Reports not required
3. Have you participat cqual opportunity claus		ous contracts or subcontracts subject to the
[ ] Yes	[ ] No	
If you checked "No" to N/A	question (1) or	(2) above, please provide an explanation:

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Rice Street Trust
(Print or type exact legal name of Disclosing Party)
By: Alan S. Schwerty
(Sign here)
Alan G. Schwartz (Print or type name of person signing)
(1 Tint of type name of person signing)
Trustee
(Print or type title of person signing)
Signed and sworn to before me on (date) <u>January 18<sup>th</sup>, 2019</u> ,
at <u>Cook</u> County, <u>Illinois</u> (state).
Shari A. Jody Notary Public
Notary Public
Commission expires: <u>April 18th 2021</u>
~~~~
OFFICIAL SEAL
SHARI A TODY
COOK COUNTY
NOTARY PUBLIC, STATE OF ILLINOIS COOK COUNTY

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	,
which such person	is connected; (3) the nam	e and title of such person, (2) the name of the legal entity to e and title of the elected city official or department head to p, and (4) the precise nature of such familial relationship.
N/A		

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

				is the Applicant or any Owner identified as a building code MCC Section 2-92-416?
	[ ]	] Yes	[X] No	
the	A			icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section
·J	[]	Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
as	a bi		or problem la	tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which
N	I/A			

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[x] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.  This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).	f you checked "no" to the above, plea	ase explain.

CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Tennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equities Limited Liability Co	Company Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnership	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	p Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A N/A rust N/A Trust N/A N/A N/A	N/A N/A N/A N/A N/A N/A Total	2.00000% 0.32200% 0.32200% 0.16100% 0.16100% 100.000000%
AGS I, Inc. (General Partner, Columbia Equities Limited Partnership)	Business Address	Beneficiary	Ownership
Steven L. Schwartz	3611 N. Kedzie Ave. 2nd Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

## TENNIS CORPORATION OF AMERICA

## Legal Ownership

Rice Street Trust

Peer Pedersen Trust

**Ronal Trust** 

Steven L. Schwartz Revocable Trust

TOTAL



100.00%

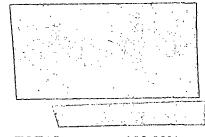
## Beneficial Ownership

Alan G. Schwartz & Children

Roslyn S. Schwartz & Children

Steven L. Schwartz

Peer Pedersen Trust



TOTAL

100.00%

## COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

## **COLUMBIA EQUITIES LIMITED PARTNERSHIP**

## Legal Ownership

AGS I, Inc.

Peer Pedersen Trust

Steven L. Schwartz Revocable Trust

Elizabeth S. Brint Descendants Exempt Trust

Sally R.S. Higginson Descendants Exempt Trust

Steven L. Schwartz Descendants Exempt Trust

Elizabeth Brint Revocable Trust

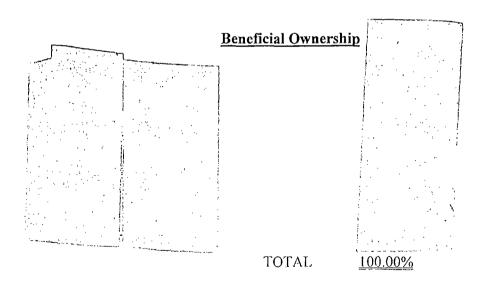
Sally R.S. Higginson Revocable Trust

Ronal Trust

Rice Street Trust

TOTAL

100.00%



## AGS I, Inc.

Steven L. Schwartz

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosin	g Party submitting this EDS. Include d/b/a/ if applicable:
Steven L. Schwartz Revocable T	rust
Check ONE of the following the	hree boxes:
"Matter"), a direct or indirect in name: Columbia Equities Limite OR  3. [] a legal entity with a direct or or other indirect in name.	ly holding, or anticipated to hold within six months after City action on r undertaking to which this EDS pertains (referred to below as the terest in excess of 7.5% in the Applicant. State the Applicant's legal
B. Business address of the Disc	losing Party: 3611 N. Kedzie Avenue, 2nd Floor Chicago, IL 60618
C. Telephone: <u>773-644-2233</u>	Fax: 773-463-4642 Email: steven.schwartz@midtown.com
D. Name of contact person: St	even L. Schwartz
E. Federal Employer Identificat	ion No. (if you have one):
F. Brief description of the Matter property, if applicable):	er to which this EDS pertains. (Include project number and location of
Class C Incentive Application 2422	7 & 2480 N. Elston Avenue, Chicago, IL 60647
G. Which City agency or departs	City of Chicago - Department of Planning and ment is requesting this EDS? <u>Development</u>
If the Matter is a contract being being to complete the following:	handled by the City's Department of Procurement Services, please
Specification #	and Contract #
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## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF T	HE DISCLOSING PART	Y
[ ] Person	hip ship	[ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ ] Yes [ ] No [ ] Other (please specify)
2. For legal entities  Illinois	s, the state (or foreign cour	ntry) of incorporation or organization, if applicable:
_	not organized in the State of Illinois as a foreign en	e of Illinois: Has the organization registered to do tity?
[ ] Yes	[ ] No	[X] Organized in Illinois
B. IF THE DISCLO	DSING PARTY IS A LEG	AL ENTITY:
the entity; (ii) for no are no such member similar entities, the limited partnership each general partner	ot-for-profit corporations s, write "no members which trustee, executor, adminis os, limited liability compa	oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant.
NOTE: Each legal of	ntity listed below must su	bmit an EDS on its own behalf.
Name Steven L. Schwartz		Title Trustee
indirect, current or p ownership) in excess	rospective (i.e. within 6 m s of 7.5% of the Applicant.	oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including . Examples of such an interest include shares in a
corporation, partners	nip interest in a partnershi	ip or joint venture, interest of a member or manager in a

state "None." NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Percentage Interest in the Applicant Name **Business Address** Please see attached ownership summary SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes [X] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes [X] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes [X] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). N/A

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
	-	antial owners of business entities th I support obligations throughout the	
	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	- ·
[] Yes [x] No []	No person d	lirectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person e is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
[] Yes [] No			
R EURTHER CERTIFIC	2 A TIONS		

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32	Party is unable to make this pledge 2-455(b)) is a predatory lender with onal pages if necessary):	because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	onar pages ir necessary).	
If the letters "NA, conclusively presu	" the word "None," or no response med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT:	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-156 h	nave the same meanings if used in this Part D.
after reasonable in		he best of the Disclosing Party's knowledge se of the City have a financial interest in his or entity in the Matter?
[ ] Yes	[x] No	
	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" o Part E.
official or employed other person or ent taxes or assessmen "City Property Sale	e shall have a financial interest in ity in the purchase of any property ts, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[ ] Yes	[ ] No	N
		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
N/A		
_	Party further certifies that no prohecy official or employee.	ibited financial interest in the Matter will be

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#### E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

13. CERTIFICATION REGARDING SEAVERT ERA DOBINESS
Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

N/A

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?
[ ] Yes	[ ] No
If "Yes," answer the three of	uestions below:
<ol> <li>Have you developed an federal regulations? (See 4</li> <li>Yes</li> </ol>	do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.) [ ] No
Compliance Programs, or the applicable filing requirements	Joint Reporting Committee, the Director of the Office of Federal Contract to Equal Employment Opportunity Commission all reports due under the ats?  [] No [] Reports not required
equal opportunity clause?	any previous contracts or subcontracts subject to the  [ ] No
If you checked "No" to que: N/A	tion (1) or (2) above, please provide an explanation:
<del></del>	·

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Steven L. Schwartz Revocable Trust
(Print or type <u>exact legal name of Disclosing Party</u> )
By:
(Sign here)
Steven L. Schwartz
(Print or type name of person signing)
Trustee
(Print or type title of person signing)
Signed and sworn to before me on (date) <u>January 18<sup>th</sup>, 2019</u> ,
at Cook County, Illinois (state).
Shari a. Jady
Notary Public
Commission expires: April 18,2021
OFFICIAL SEAL
SHARI A TODY NOTARY PUBLIC, STATE OF ILLINOIS
COOK COUNTY  MY COMMISSION EXPIRES 04/18/2021

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
which such person	entify below (1) the name and title of such person, (2) the name of the legal entity is connected; (3) the name and title of the elected city official or department head has a familial relationship, and (4) the precise nature of such familial relationship	to
N/A		

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[] Yes	[X] No	
^ *	• • •	blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
• • •	scofflaw or problen	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which
N/A		

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[x] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Fennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equities Limited Liability Co	Company 'Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnership	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Eligginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A Trust N/A N/A Trust N/A N/A N/A N/A N/A N/A N/A N/A N/A	N/A N/A N/A N/A N/A Total	2.00000% 0.32200% 0.32200% 0.16100% 0.16100% 0.16100%
AGS I, Inc. (General Partner, Columbia Equities Limited Partnership)	Gusiness Address	Beneficiary	Ownership
Steven L. Schwartz	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

## TENNIS CORPORATION OF AMERICA

## Legal Ownership

Rice Street Trust

Peer Pedersen Trust

**Ronal Trust** 

Steven L. Schwartz Revocable Trust

TOTAL



100.00%

## Beneficial Ownership

Alan G. Schwartz & Children

Roslyn S. Schwartz & Children

Steven L. Schwartz

Peer Pedersen Trust



TOTAL

100.00%

## COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

## COLUMBIA EQUITIES LIMITED PARTNERSHIP

## Legal Ownership

AGS I, Inc.

Peer Pedersen Trust

Steven L. Schwartz Revocable Trust

Elizabeth S. Brint Descendants Exempt Trust

Sally R.S. Higginson Descendants Exempt Trust

Steven L. Schwartz Descendants Exempt Trust

Elizabeth Brint Revocable Trust

Sally R.S. Higginson Revocable Trust

Ronal Trust

Rice Street Trust

100.00%

TOTAL

Beneficial Ownership

TOTAL

## AGS I, Inc.

Steven L. Schwartz



### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing	g Party submitting this EDS. Include d/b/a/ if applicable:
Columbia Equities Limited Partne	ership
Check ONE of the following th	iree boxes:
the contract, transaction or other "Matter"), a direct or indirect int name:	Party submitting this EDS is:  y holding, or anticipated to hold within six months after City action on undertaking to which this EDS pertains (referred to below as the terest in excess of 7.5% in the Applicant. State the Applicant's legal
#-3 U ,	irect or indirect right of control of the Applicant (see Section II(B)(1)) in which the Disclosing Party holds a right of control:  Liability Company
B. Business address of the Disc	losing Party: 3611 N. Kedzie Avenue, 2nd Floor
	Chicago, IL 60618
C. Telephone: <u>773-644-2233</u>	Fax: 773-463-4642 Email: steyen.schwartz@midtown.com
D. Name of contact person: St	even L. Schwartz
E. Federal Employer Identification	ion No. (if you have one):
F. Brief description of the Matter property, if applicable):	er to which this EDS pertains. (Include project number and location of
Class C Incentive Application 2427	7 & 2480 N. Elston Avenue, Chicago, IL 60647
G. Which City agency or departs	City of Chicago - Department of Planning and ment is requesting this EDS? <u>Development</u>
If the Matter is a contract being becomplete the following:	nandled by the City's Department of Procurement Services, please
Specification #	and Contract #
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## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF T	HE DISCLOSING PARTY	Y
[ ] Person	nip hip	rty:  [ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No [ ] Other (please specify)
For legal entities     Illinois	, the state (or foreign coun	try) of incorporation or organization, if applicable:
•	not organized in the State of Illinois as a foreign ent	of Illinois: Has the organization registered to do ity?
[ ] Yes	[ ] No	[X] Organized in Illinois
B. IF THE DISCLO	SING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for no are no such members similar entities, the limited partnership each general partner,	t-for-profit corporations s; write "no members whic trustee, executor, administ s, limited liability compa	plicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there the are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or inies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal e	ntity listed below must sub	omit an EDS on its own behalf.
Name	,	Title
AGS I Inc.		General Partner
2 Dlagga provide th	a following information as	nearning each person or legal antity having a direct or
indirect, current or prownership) in excess	ospective (i.e. within 6 moof 7.5% of the Applicant.	oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a p or joint venture, interest of a member or manager in a

Name	Business Address Percentage Interest in the Application			
Please see attache	ed ownership summary			
SECTION III - OFFICIALS	INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELEC		
	ing Party provided any income or cond preceding the date of this EDS?	npensation to any City elected official during [ ] Yes [X] N		
	sing Party reasonably expect to proviously the 12-month period following	de any income or compensation to any City the date of this EDS? [] Yes [X] N		
	of the above, please identify below to	he name(s) of such City elected official(s) and		
inquiry, any City		sclosing Party's knowledge after reasonable partner, have a financial interest (as defined CC")) in the Disclosing Party?		
	dentify below the name(s) of such Cirescribe the financial interest(s).	y elected official(s) and/or spouse(s)/domesti		

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	)		
[X] Check here if the Dis	closing Part	y has not retained, nor expects to re-	tain, any such persons or entities.
SECTION V CERTI	FICATION	S	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
	-	antial owners of business entities the support obligations throughout the	<del>_</del>
	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	•
[] Yes [X] No []	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for paying agreement?	ment of all support owed and
[] Yes [] No		,	
B. FURTHER CERTIFIC	CATIONS	)	
Procurement Services.] I Party nor any Affiliated E performance of any publi inspector general, or integ	n the 5-year Entity [see de c contract, the grity complia	he Matter is a contract being handle period preceding the date of this El efinition in (5) below] has engaged, he services of an integrity monitor, in ance consultant (i.e., an individual of esignated by a public agency to hel	OS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing,

- activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32 here (attach addition	-455(b)) is a predatory lender with onal pages if necessary):	because it or any of its affiliates (as defined in hin the meaning of MCC Chapter 2-32, explain
N/A		
If the letters "NA," conclusively presu	the word "None," or no response med that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 l	nave the same meanings if used in this Part D.
after reasonable inc		the best of the Disclosing Party's knowledge be of the City have a financial interest in his or entity in the Matter?
[ ] Yes	[x] No	
•	cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" o Part E.
official or employe other person or enti taxes or assessment "City Property Sale	e shall have a financial interest in ty in the purchase of any property s, or (iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, seen pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter inv	volve a City Property Sale?	,
[]Yes	[ ] No	
•	` // ·	mes and business addresses of the City officials ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
N/A		
	Party further certifies that no prob	ibited financial interest in the Matter will be

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):		
N/A		
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)		

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?
[ ] Yes	[ ] No
If "Yes," answer the three	questions below:
<ol> <li>Have you developed an federal regulations? (See 4</li> <li>Yes</li> </ol>	· · · · · · · · · · · · · · · · · · ·
Compliance Programs, or tapplicable filing requireme	For Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the ents?  [] No [] Reports not required
į j res	[ ] No [ ] Reports not required
equal opportunity clause?	n any previous contracts or subcontracts subject to the
[] Yes	[ ] No
If you checked "No" to que N/A	stion (1) or (2) above, please provide an explanation:

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## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Columbia Equities Limited Partnership
(Print or type exact legal name of Disclosing Party)
Ву:
(Sign here)
Steven L. Schwartz
(Print or type name of person signing)
President and Treasurer of AGS I, Inc.,
an Illinois Corporation, General Partner
(Print or type title of person signing)
(a remo or o'b o remo or bornow or Brime)
Signed and sworn to before me on (date)
Signed and sworn to before me on (date) January 18th, 2019,
at Cook County, Illinois (state).
Shari a. Jody
Notary Public
,
<b>A</b>
Commission expires: <u>Opril 18 th</u> 2021
•
mmmmma.
OFFICIAL SEAL
SHARI A TODY NOTARY PUBLIC, STATE OF ILLINOIS
J COOK COUNTY S
MY COMMISSION EXPIRES 04/18/2021

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
which such person	is connected; (3) the name	e and title of such person, (2) the name of the legal entity to e and title of the elected city official or department head to o, and (4) the precise nature of such familial relationship.
Ν/Λ		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		t to MCC Section 2-92-416?
[ ] Yes	[X] No	-
* *		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
• , , ,	cofflaw or problem	lentify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which
N/A		
		,

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

If you checked "no" to the above, please explain.	
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).	
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-38	35.
[ ] No	
[ ] Yes	

CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Tennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equities Limited Liability Co	Company Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnership	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Feer Pedersen Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A N/A Trust N/A N/A N/A N/A N/A N/A N/A N/A N/A N/A	N/A N/A N/A N/A N/A N/A Total	2.00000% 0.32200% 0.32200% 0.16100% 0.16100% 0.16100%
AGS I, Inc. (General Partner, Columbia Equities Limited Partnership)	Business Address	Beneficiary	Ownership
Steven L. Schwartz	$3611~\mathrm{N.~Kedzie~Ave.}$ $2^{\mathrm{nd}}$ Floor, Chicago, IL $60618$	Steven L. Schwartz	100.00 %

7

0

# TENNIS CORPORATION OF AMERICA

# Legal Ownership

Rice Street Trust

Peer Pedersen Trust

Ronal Trust

Steven L. Schwartz Revocable Trust

TOTAL



100.00%

# Beneficial Ownership

Alan G. Schwartz & Children

Roslyn S. Schwartz & Children

Steven L. Schwartz

Peer Pedersen Trust



**TOTAL** 

100.00%

# COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

# **COLUMBIA EQUITIES LIMITED PARTNERSHIP**

# Legal Ownership

AGS I, Inc.

Peer Pedersen Trust

Steven L. Schwartz Revocable Trust

Elizabeth S. Brint Descendants Exempt Trust

Sally R.S. Higginson Descendants Exempt Trust

Steven L. Schwartz Descendants Exempt Trust

Elizabeth Brint Revocable Trust

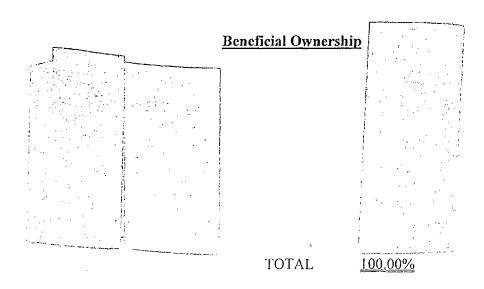
Sally R.S. Higginson Revocable Trust

Ronal Trust

Rice Street Trust

TOTAL

100.00%



# AGS I, Inc.

Steven L. Schwartz

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclo	sing Party submitting	ng this EDS. Include d/b/a/ if applicable:
AGS I, Inc.		
Check ONE of the followin	g three boxes:	
Indicate whether the Disclosion 1. [] the Applicant OR		
the contract, transaction or of "Matter"), a direct or indirect name:	ther undertaking to value interest in excess of	icipated to hold within six months after City action on which this EDS pertains (referred to below as the f 7.5% in the Applicant. State the Applicant's legal
~ ~ ~ ~	ntity in which the Di	right of control of the Applicant (see Section II(B)(1)) isclosing Party holds a right of control:
B. Business address of the D	isclosing Party:	3611 N. Kedzie Avenue, 2nd Floor
	_	Chicago, IL 60618
C. Telephone: 773-644-223  D. Name of contact person:		Email: <u>steven.schwartz@midtown.c</u> om
E. Federal Employer Identifi		ave one):
F. Brief description of the M property, if applicable):	atter to which this E	EDS pertains. (Include project number and location of
Class C Incentive Application 2	2427 & 2480 N. Elston	Avenue, Chicago, IL 60647
G. Which City agency or dep	partment is requesting	City of Chicago - Department of Planning and g this EDS? <u>Development</u>
If the Matter is a contract being complete the following:	ng handled by the Ci	ity's Department of Procurement Services, please
Specification #	a	and Contract #
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:
Person	[ ] Limited liability company
Publicly registered business corporation	[ ] Limited liability partnership
[X] Privately held business corporation	[ ] Joint venture
[ ] Sole proprietorship	[ ] Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a 501(c)(3))
[ ] Limited partnership	[ ] Yes [ ] No
[ ] Trust	[ ] Other (please specify)
2. For legal entities, the state (or foreign coul	ntry) of incorporation or organization, if applicable:
IMIIOIS	
<ol><li>For legal entities not organized in the State business in the State of Illinois as a foreign en</li></ol>	e of Illinois: Has the organization registered to do tity?
[] Yes [] No	[X] Organized in Illinois
D. TO MITTE DIGGE AGDIG DID MITTER	

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

**NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
Steven L. Schwartz	President, Treasurer, and Director	
Alan G. Schwartz	Secretary, and Director	
Frank A. Nusko	Vice President	
Patricia Allen	Assistant Secretary	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Business Address	Percentage Interest in the A	ppneam
3611 N. Kedzie Avenue, Chicago, IL 60618	100%	
NCOME OR COMPENSATION TO, O	R OWNERSHIP BY, CITY	ELECTED
	on to any City elected official [ ] Yes	during the [X] No
		City [X] No
	e(s) of such City elected offici	al(s) and
ected official's spouse or domestic partner	, have a financial interest (as	
	ed official(s) and/or spouse(s)/	domestic
	NCOME OR COMPENSATION TO, O  Party provided any income or compensative eding the date of this EDS?  By Party reasonably expect to provide any integrated in the period following the date of the above, please identify below the name one or compensation:  By Party reasonably expect to provide any integrated in the above, please identify below the name one or compensation:  By Party reasonably expect to provide any integrated in the period following the date of the above, please identify below the name one or compensation:  By Party reasonably expect to provide any integrated in the period following the date of the above, please identify below the name one or compensation:  By Party reasonably expect to provide any integrated in the period following the date of the above, please identify below the name one or compensation:  By Party reasonably expect to provide any integrated in the period following the date of the above, please identify below the name one or compensation:  By Party reasonably expect to provide any integrated in the period following the date of the above, please identify below the name one or compensation:  By Party reasonably expect to provide any integrated in the period following the date of the above, please identify below the name of the period following the date of the period foll	Party provided any income or compensation to any City elected official ecceding the date of this EDS?  [ ] Yes  g Party reasonably expect to provide any income or compensation to any ing the 12-month period following the date of this EDS?  [ ] Yes  the above, please identify below the name(s) of such City elected official e or compensation:  ed official or, to the best of the Disclosing Party's knowledge after reason ected official's spouse or domestic partner, have a financial interest (as a e Municipal Code of Chicago ("MCC")) in the Disclosing Party?  [X] No  httify below the name(s) of such City elected official(s) and/or spouse(s)/

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTIF	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities the support obligations throughout the	<del>-</del>
	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	<del>-</del> *
[] Yes [X] No []]	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person en is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
[] Yes [] No			
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] In Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other sim	n the 5-year ntity [see do c contract, the crity compliantials, dilar skills, d	he Matter is a contract being handled period preceding the date of this Election in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual designated by a public agency to help as well as help the vendors reform the	DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, p the agency monitor the

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
N/A
complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliate MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chap here (attach additional pages if necessary):	
If the letters "NA," the word "None," or no response appears on the lines above, it vectorisely presumed that the Disclosing Party certified to the above statements.	will be
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINE	ESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used	d in this Part D.
1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party after reasonable inquiry, does any official or employee of the City have a financial her own name or in the name of any other person or entity in the Matter?	<del>-</del>
[ ] Yes [x] No	
NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you to Item D(1), skip Items D(2) and D(3) and proceed to Part E.	u checked "No"
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted official or employee shall have a financial interest in his or her own name or in the other person or entity in the purchase of any property that (i) belongs to the City, or taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City "City Property Sale"). Compensation for property taken pursuant to the City's emin power does not constitute a financial interest within the meaning of this Part D.	name of any (ii) is sold for (collectively,
Does the Matter involve a City Property Sale?	
[]Yes []No	
3. If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial in	
Name Business Address Nature of Financial Ir	
N/A	
The Disclosing Party further certifies that no prohibited financial interest in the	N

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

E. CERTIFICATION REGARDING SLAVERT ERA DOSINESS
Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI — CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not rederally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party t	he Applicant?	
[ ] Yes	[ ] No	
If "Yes," answer the three	e questions be	elow:
<ol> <li>Have you developed federal regulations? (Se</li> <li>Yes</li> </ol>	•	ave on file affirmative action programs pursuant to applicable t 60-2.)
	or the Equal Erments?	orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the [] Reports not required
Have you participated equal opportunity clause     [ ] Yes	• .	ous contracts or subcontracts subject to the
If you checked "No" to q	uestion (1) or	(2) above, please provide an explanation:
<del></del>		

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

AGS I, Inc.
(Print or type exact legal name of Disclosing Party)
By: (Sign here)
(Sally)
Steven L. Schwartz
(Print or type name of person signing)
President and Treasurer of AGS I Inc., an
Illinois Corporation
(Print or type title of person signing)
Signed and sworn to before me on (date) January 18th 2019,
at <u>Cook</u> County, <u>Illinois</u> (state).
Shan a. Tody
Notary Public ()
Commission expires: April 18 <sup>4</sup> , 2021
OFFICIAL SEAL
SHARI A TODY NOTARY PUBLIC, STATE OF ILLINOIS
COOK COUNTY >
MY COMMISSION EXPIRES 04/18/2021

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
which such person	is connected; (3) the nar	ne and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to hip, and (4) the precise nature of such familial relationship.
N/A		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		,	is the Applicant or any Owner identified as a building code MCC Section 2-92-416?
[]	Yes .	[X] No	
	licant identified as	~ ~	cly traded on any exchange, is any officer or director of le scofflaw or problem landlord pursuant to MCC Section
[]5	/es	[ ] No	[X] The Applicant is not publicly traded on any exchange.
as a buil		or problem la	ify below the name of each person or legal entity identified and the address of each building or buildings to which
N/A			

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No .
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

# CITY OF CHICAGO: ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT OWNERSHIP SUMMARY

TCA-2020 West, L.L.C.	Business Address	Beneficiary	Ownership
Tennis Corporation of America	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	N/A	100.00%
Tennis Corporation of America	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A		
Columbia Equitics Limited Liability Co	Company Business Address	Beneficiary	Ownership
Columbia Equities Limited Partnership	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618	See Below	100.00%
Columbia Equities Limited Partnership	Business Address	Beneficiary	Ownership
Ronal Trust Rice Street Trust Steven L. Schwartz Revocable Trust Peer Pedersen Trust AGS I, Inc. Elizabeth Brint Revocable Trust Sally R.S. Higginson Revocable Trust Elizabeth S. Brint Descendant's Exempt Trust Sally R.S. Descendant's Exempt Trust Steven L. Schwartz-Descendant's Exempt Trust	3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 3611 N. Kedzie Ave. 2 <sup>nd</sup> Floor, Chicago, IL 60618 N/A N/A N/A pt Trust N/A N/A N/A N/A N/A N/A N/A	N/A N/A N/A N/A N/A N/A N/A Total	2.00000% 0.32200% 0.32200% 0.16100% 0.16100% 100.000000%
AGS I, Inc. (General Partner, Columbia Equities Limited Partnership)	Business Address	Beneficiary	Ownership
Steven L. Schwartz	$3611~\mathrm{N.}$ Kedzie Ave. $2^{\mathrm{nd}}$ Floor, Chicago, IL 60618	Steven L. Schwartz	100.00 %

# TENNIS CORPORATION OF AMERICA

# Legal Ownership

Rice Street Trust

Peer Pedersen Trust

Ronal Trust

Steven L. Schwartz Revocable Trust

TOTAL



100.00%

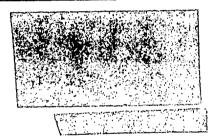
# Beneficial Ownership

Alan G. Schwartz & Children

Roslyn S. Schwartz & Children

Steven L. Schwartz

Peer Pedersen Trust



TOTAL

100.00%

# COLUMBIA EQUITIES LLC

Columbia Equities Limited Partnership

100%

# COLUMBIA EQUITIES LIMITED PARTNERSHIP

# Legal Ownership

AGS I, Inc.

Peer Pedersen Trust

Steven L. Schwartz Revocable Trust

Elizabeth S. Brint Descendants Exempt Trust

Sally R.S. Higginson Descendants Exempt Trust

Steven L. Schwartz Descendants Exempt Trust

Elizabeth Brint Revocable Trust

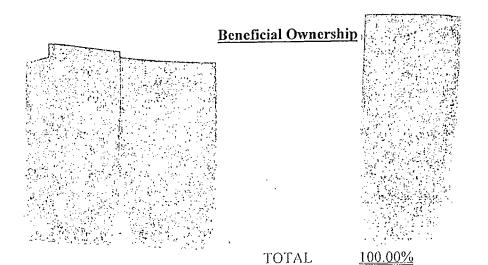
Sally R.S. Higginson Revocable Trust

Ronal Trust

Rice Street Trust

100.00%

TOTAL



# AGS I, Inc.

Steven L. Schwartz

