

# City of Chicago



Office of the City Clerk

**Document Tracking Sheet** 

**Meeting Date:** 

7/24/2019

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 11-G at 4738-4750 N

Winthrop Ave - App No. 20088T1

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

#20088-TI INTRO DATE July 24, 2019

# **ORDINANCE**

# BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RT 4 Residential Two-Flat, Townhouse and Multi-Unit District symbols and indications as shown on Map No. 11-G in the area bounded by

A line 83.41 feet south of and parallel to West Lawrence Avenue; North Winthrop Avenue; a line 216.73 feet south of and parallel to West Lawrence Avenue; and the alley next west of and parallel to North Winthrop Avenue

to those of a B2-5 Neighborhood Mixed-Use District.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Address: 4738 to 4750 North Winthrop Avenue, Chicago, Illinois

# 17-13-0303-C(1) NARRATIVE ZONING ANALYSIS

SUBJECT PROPERTY: 4738-4750 NORTH WINTHROP AVENUE, CHICAGO, ILLINOIS

ZONING: B2-5 NEIGHBORHOOD MIXED-USE DISTRICT, TYPE 1.

LOT AREA: 19,312 SQUARE FEET

LAND USE: THE PROPERTY IS CURRENTLY IMPROVED WITH A SURFACE PARKING LOT. APPLICANT IS SEEKING TO REZONE THE PROPERTY TO DEVELOP A FOUR (4) STORY WITH A ROOFTOP STAIR ENCLOSURE, 84-UNIT RESIDENTIAL BUILDING [24 STANDARD UNITS AND 60 EFFICIENCY UNITS] WITH 39 OFF-STREET PARKING SPACES. THE APPLICANT REDUCED ITS PARKING BASED ON THE SUBJECT PROPERTY'S PROXIMITY TO A CTA TRANSIT STATION. THE APPLICANT WILL PROVIDE 20 OFF STREET PARKING SPACES AS NON-REQUIRED, NON-ACCESSORY PARKING TO A TENANT IN AN ADJACENT BUILDING. THE OBLIGATION TO PROVIDE SUCH 20 SPACES SHALL TERMINATE UPON THE END OF THE TERM OF THE LEASE. THE APPLICANT WILL PROVIDE 19 ACCESSORY PARKING SPACES FOR USERS WITHIN THE RESIDENTIAL BUILDING. APPLICANT WILL SEEK A VARIATION OF THE CHICAGO ZONING ORDINANCE TO REDUCE THE REAR YARD.

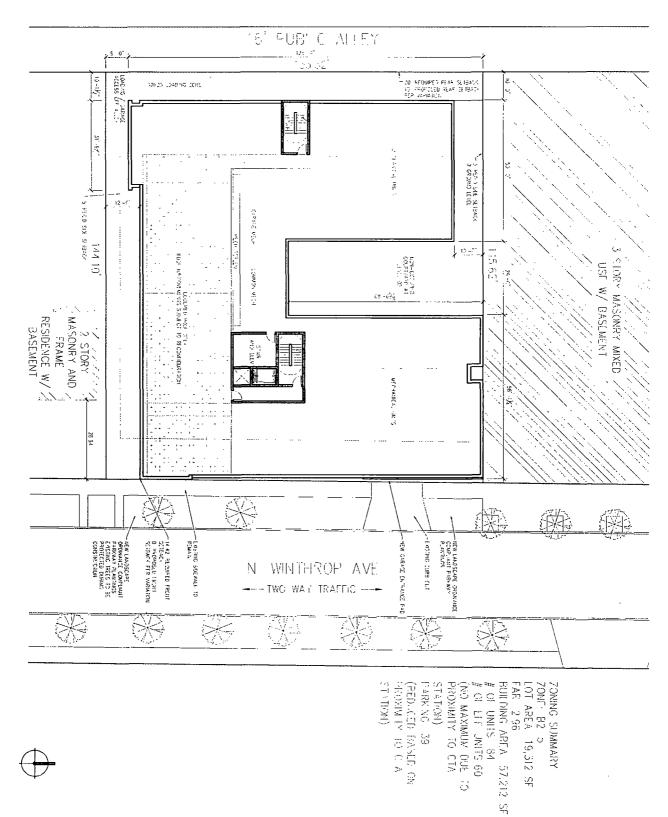
- (A) FLOOR AREA RATIO: 2.95; TOTAL FLOOR AREA IS 56,970.40 SQUARE FEET
- (B) DENSITY (LOT AREA PER DWELLING UNIT):  $60 \times 135 \text{ SF} = 8,100 \text{ SF}$ ;  $24 \times 200 \text{ SF} = 4,800$ . TOTAL IS 12,900 SF WHICH IS LESS THAN 19,312 SF
- (C) THE AMOUNT OF OFF-STREET PARKING: 39 OFF-STREET PARKING SPACES AND 50 BIKE PARKING SPACES.\*
- (D) SETBACKS:
- A. FRONT SETBACK: 0.00 FEET\*\*
- B. REAR SETBACK: 10.0 FEET\*\*
- C. SIDE SETBACKS: 12.0 FEET (SOUTH) AND 0.0 FEET (NORTH)
- D. BUILDING HEIGHT: 60.50 FEET (AS MEASURED PER CHICAGO ZONING ORDINANCE)

### PURSUANT TO ATTACHED SET OF PLANS

- \* APPLICANT IS SEEKING PARKING REDUCTION BASED ON ITS STATUS AS A TRANSIT SERVED LOCATION.
- \*\* VARIATION REQUIRED PURSUANT TO CHICAGO ZONING ORDINANCE, § 17-13-1101.

# OBLEBOABOOM! TBD. 331 Larry **8**/200/8 CHICASO ILLIADIS

# CHICAGO TRANSIT AUTHORITY RED. / PURPLE LINE

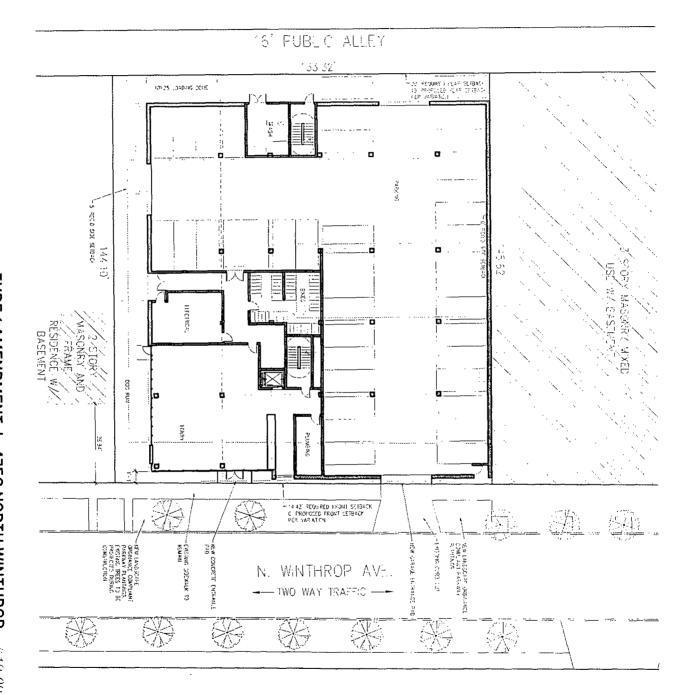


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TYPE I AMENDMENT | 4750 NORTH WINTHROP SCALE: 1/32" 7'-0" #18.09 | July 16, 2019

SITE PLAN

# CHICAGO TRANSIT AUTHORITY RED / PURPLE LINE





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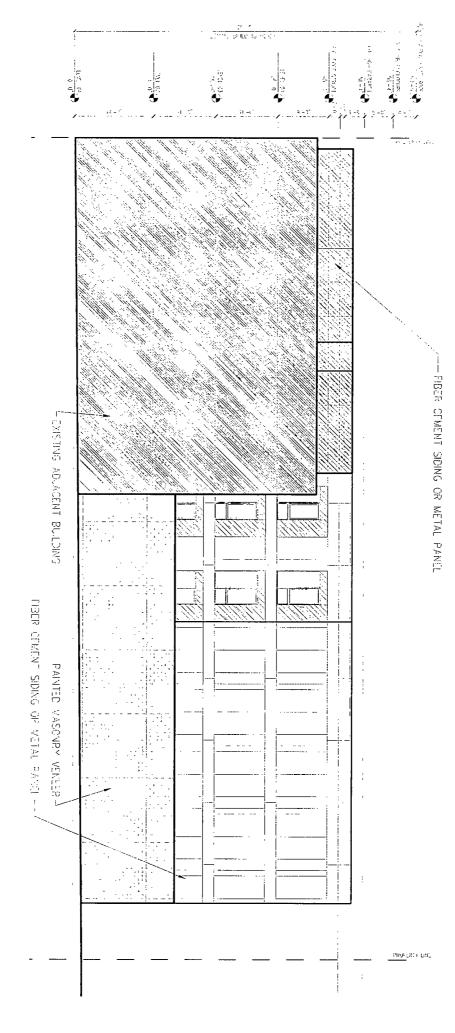
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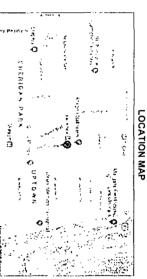
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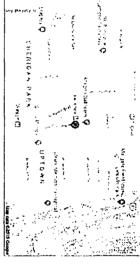


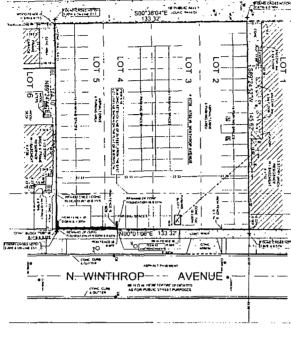


TYPE 1 AMENDMENT | 4750 NORTH WINTHROP #18.09 | July 16, 2019 | SCALE: 1/16" | 1'-0" NORTH ELEVATION









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ZONING DATA REFLECTS ALL ORDINANCES PASSED IN THE MOST RECENT CITY COUNCIL MEETING, CITY OF CHICAGO, ILLINOIS

ZONING REQUIREMENTS.

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2019 - 26581 DATE

REVISION

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SECTION 17-3-0403-A FLOOR AREA RATIO-THE MAXIMUM FLOOR AREA RATIO-- 1.2 RT→ = RESIDENTIAL TWO-FLAT, TOWNHOUSE AND MULTI-UNIT DISTRICT

17-2-0306 REAR SETBACKS

PARKING REQUIREMENTS
PARKING REQUIREMENTS DEPENDS ON USE SQUARE FOOTAGE OF
BUILDING AND NUMBER OF EMPLOYEES



COMMITMENT NO 41043571
EFFECTIVE DATE FEBRUARY 13, 2018

GREATER LILLINOIS TITLE COMPANY A POLICY ISSUING AGENT OF CHICAGO TITLE INSURANCE COMPANY

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THE FIELD WORK WAS COMPLETED ON FEBRUARY 28 2019

By H. Samueland

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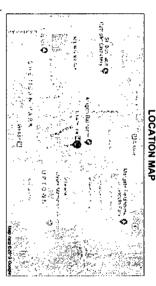
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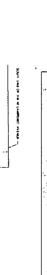
THIS LEGAL DESCRIPTION DESCRIBES THE SAME PROPERTY AS INSURED IN THE THE COMMITMENT AND WHI EXCEPTIONS HAVE BEEN ACTED HEREIN











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- THERE ARE NO VISIBLE EVIDENCE OF CEMETERIES GRAVE SITES OR BURIAL GROUNDS LOCATED ON THE PROPERTY

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OMMITMENT NO. 41043571 FFECTIVE DATE FEBRUARY 13, 2019

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SCALE 1" = 20" 2019 - 26581 DATE

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17-2-339-C FRONT SETBACKS.
15 FEET OR 12% OF LOT BEPTH WHICHEVER IS LESS

17 2-0306 REAR SETBACKS

SECTION 173-0403-A FLOOR AREA RATIO-THE MAXIMUM FLOOR AREA RATIO- 1.2

RT4 = RESIDENTIAL TWO-FLAT, TOWNHOUSE AND MULTI-UNIT DISTRICT

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Dykema Gossett PLLC 10 S. Wacker Drive Suite 2300 Chicago, IL 60606 WWW.DYKEMA.COM

Tel. (312) 876-1700 Fax: (312) 876-1155

**Andrew P. Scott** 

Direct Dial: (312) 627-8325 Direct Fax: (866) 950-3678 Email: APScott@dykema.com

July 16, 2019

The Honorable Tom Tunney Chairman, Committee on Zoning Landmarks and Building Standards 121 North LaSalle Street, Room 200 Chicago, Illinois 60602

The undersigned, Andrew P. Scott, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notices to such property owners who appear to be the owners of the property within the subject area not solely owned by the owner of the subject property, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately July 16, 2019.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Andrew P. Scott

Dykema Gossett PLLC

Subscribed and Sworn to before me this

loth day of July, 2019

Notary Public

"OFFICIAL SEAL"
MARY ALICE FLAVIN
Notary Public, State of Illinois
My Commission Expires 11/19/2021



Dykema Gossett PLLC 10 S. Wacker Drive Suite 2300 Chicago, IL 60606 WWW.DYKEMA.COM

Tel: (312) 876-1700 Fax: (312) 876-1155

Andrew P. Scott

Direct Dial: (312) 627-8325 Direct Fax: (866) 950-3678 Email: APScott@dykema.com

July 16, 2019

# Dear Property Owner:

In accordance with the requirements for an amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about July 16, 2019, the undersigned intends to file an application for a change in zoning for the property with an address range of 4738 to 4750 North Winthrop Avenue (the "Property") from RT 4 Residential Two-Flat, Townhouse and Multi-Unit District to B2-5 Neighborhood Mixed-Use District, Type 1.

The property is currently improved with a surface parking lot. The applicant seeks to rezone the Property to allow for the construction of a four (4) story with a rooftop stair enclosure, 84-unit residential building (60 efficiency units and 24 standard units) with 39 off-street parking spaces (19 accessory and 20 non-accessory). The building height will be 60.50 feet to the top of the building.

The owners of the Property and the joint applicants, Lawrence 1101 LLC and Fulton West Loop Cedar Lawrence LLC, each an Illinois limited liability company, are located at 1025 West Sunnyside Avenue, Chicago, Illinois 60640 and 1020 West Lawrence, Chicago, Illinois 60640, respectively. The contact person for the application is the undersigned, Andrew P. Scott. My address is 10 South Wacker Drive, Suite 2300, Chicago, Illinois 60606. I can be reached at (312) 627-8325.

Please note that the applicant is not seeking to rezone, make any changes to or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Very truly Jours,

Andrew P. Scott

Attorney

#20088-TI INTRO DATE JULY 24,2019

# CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| ADDRESS of the pro                         | perty Applicant is seeking  | to rezone:  |
|--|-----------------------------|---|
|  |                             |   |
|  |                             |   |
| Ward Number that pr                        | operty is located in: 46    |   |
| APPLICANT <u>Lawre</u>                     | nce 1101 LLC and Fulton     | West Loop Cedar Lawrence LLC (jointly)                                |
| ADDRESS 1020 W                             | est Lawrence Avenue, Suit   | e 300   |
| CITY Chicago                               | STATE Illinois              | ZIP CODE 60640  |
| PHONE <u>773-897-98</u>                    | 95 CONTACT PERS             | SON T.J. Sobczak  |
| regarding the owner a proceed.             | and attach written authoriz | perty, please provide the following information to the application to |
| ADDRESS                                    |                             |   |
| CITY                                       | STATE                       | ZIP CODE  |
| PHONE                                      | CONTACT PERS                | SON   |
| If the Applicant/Own rezoning, please prov | ner of the property has o   | btained a lawyer as their representative for the on:                  |
| ATTORNEY Andre                             | w Scott, Esq., Dykema Go    | ssett, P.L.L.C.   |
| ADDRESS 10 South                           | Wacker Drive, Suite 2300    |   |
| CITY Chicago                               | STATE Illinois              | ZIP CODE 60606  |
| DIIONE (212) (27 0                         | 205 EAV (066) 050 26        | 70 EMAIL ADSoutt@Dukoma com   |

| 6.  | If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.  |  |  |
|-----|--|--|--|
| v   | Uptown Apartments LLC, Joel Jastromb Revocable Trust,  |  |  |
|     | Fulton West Loop Cedar LLC, Edgar D. Jannotta, Jr. Revocable Trust   |  |  |
|     | · · · · · · · · · · · · · · · · · · ·  |  |  |
| 7.  | On what date did the owner acquire legal title to the subject property? 6/17/2015  |  |  |
| 8.  | Has the present owner previously rezoned this property? If yes, when?  |  |  |
|     | No.  |  |  |
| 9.  | Present Zoning District RT 4 Residential Two-Flat, Townhouse and Multi-Unit District   |  |  |
|     | Proposed Zoning District <u>B2-5 Neighborhood Mixed-Use District</u> , Type 1  |  |  |
| 10. | Lot size in square feet (or dimensions) <u>Approximately 19,312 square feet</u>  |  |  |
| 11. | Current Use of the property The property is improved with a surface parking lot.   |  |  |
|     | Reason for rezoning the property To permit the development of an 84-unit residential building.   |  |  |
| 12. | Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)  |  |  |
|     | The applicant proposes to develop the subject property with a four (4) story with a rooftop stair enclosure, 84-unit, 60.5-foot tall residential building with 39 off-street parking spaces. There will not be any ground floor commercial space. The subject property is within 600 feet of a CTA station so the applicant intends to reduce the parking count to 39. The applicant also intends to seek variations for front and rear yard relief.                     |  |  |
| 13. | The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change, which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO? |  |  |
|     | YESNO  |  |  |

# COUNTY OF COOK

| STATE OF ILLINOIS  |   |
|--|---|
| statements contained in the documents submitted her  | eath, states that all of the above statements and the ewith are true and correct.   |
| FULTON WEST LOOP CEDAR LAWRENCE LLC, an Illinois limited liability company   | LAWRENCE 1101 LLC, an Illinois limited liability company  |
| By: Fulton West Loop Cedar, LLC, an Illinois limited liability company, its Manager  | By: Cedar Street Capital Partners LLC, a Delaware limited liability company, its Manager  |
| By: Cedar Street Capital Partners LLC, a Delaware limited liability company, its Manager   | By: Alex Samoylovich, as Co-Manager   |
| By: CSCP II LLC, an Illinois limited liability company, its Manager  | By: CSCP Manager LLC, an Illinois limited liability company, as Co-Manager  |
| By: Alex Samoy Low   | By: Alex Samoylovich, as Sole Member  |
| Its: Co-Manager  | ••••  |
| In Aux Sanahouchi capacity as co-manager of CSCP II, LLC, manager of Cedar Street Capital Partners, LLC, manager of Fulton West Loop Cedar LLC, manager of Fulton West Loop Cedar Lawrence LLC subscribed and worn to before me this day of May , 2019.  Notary Public  Notary Public, State of Illinois My Commission Expires 4/26/2021  In Alex Samoy Avious capacity as sole member of CSCP Manager LLC, co-manager of Cedar Street Capital Partners LLC, manager of Fulton West Loop | In Alex Samoylovich's capacity as co-manager of Cedar Street Capital Partners LLC, manager of Lawrence 1101 LLC, subscribed and sworn to before me this |
| Cedar LLC, subscribed and sworn to before me this  | Cedar LLC, subscribed and sworn to before me this   |
| Notary Public "OFFICIAL SEAL" SCOTT G PERDUE  White State of Illinois  | Notary Public "OFFICIAL SEAL" SCOTT G PERDUE  |
| Notary Public, State of Illinois My Commission Expires 4/26/2021   | ······································  |
| For Office   | Use Uniy  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

| A. Legal name of the D  | isclosing Party submitting thi   | is EDS. Include d/b/a/   | if applicable:                                     |
|---|--|--|--|
| Lawrence 1101 LLC   |  |  |  |
| Check ONE of the follo  | owing three boxes:   |  |  |
| 1. X the Applicant OR 2. a legal entity the contract, transaction "Matter"), a direct or inconame: OR | cclosing Party submitting this currently holding, or anticipa or other undertaking to which direct interest in excess of 7.5 with a direct or indirect right | nted to hold within six<br>h this EDS pertains (ro<br>5% in the Applicant. S | eterred to below as the tate the Applicant's legal |
| State the legal name of t   | he entity in which the Disclo  | sing Party holds a righ  | at of control:                                     |
| B. Business address of t  | the Disclosing Party: 10 C   | nicago, IL 60640   | nite 300   |
| C. Telephone:   | Fax:   | Email: _e  | nsamoylovich@cedarst.com                           |
| D. Name of contact pers   | son: Alex Samoylovich  |  |  |
| E. Federal Employer Ide   | entification No. (if you have  |  | •  |
| F. Brief description of t property, if applicable):   | he Matter to which this EDS  | pertains. (Include pro   | ject number and location of                        |
| Zoning amendm   | ent for properties located at 475  | 50 N. Winthrop   |  |
| G. Which City agency o  | r department is requesting th  | is EDS? Zoning: Dept.  | of Planning & Development                          |
| If the Matter is a contrac complete the following:  | t being handled by the City's  | Department of Procur   | rement Services, please                            |
| Specification #   | and 0  | Contract #   |  |
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## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person X Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Not-for-profit corporation Sole proprietorship General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership Yes No Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? X Organized in Illinois Yes $\square$ No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Manager Cedar Street Capital Partners LLC 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

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corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Name **Business Address** Percentage Interest in the Applicant Uptown Apartments LLC 290 Marshman St. Highland Park, IL 60035 50.86% Joel Jastromb Revocable Trust 1355 Lakewood Dr. Lake Forest, IL 60045 8.17% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes X No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? X No Yes If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Yes If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)  | Business<br>Address  | Relationship to Disclosing (subcontractor, attorney, lobbyist, etc.)   | 1   | Pees ( <u>indicate</u><br>paid or estine<br>"hourly rate"<br>not an accept | nated.) N<br>' or "t.b.               | NOTE:<br>d." is              |
|---|--|--|---|--|---------------------------------------|------------------------------|
| Dykema Gossett PLLC 10  | S. Wacker Dr   | . Ste 2300 Chicago, IL 60606   |   |  | esi<br>Esi                            | A .                          |
| Level Architecture 1 N. De  | earborn Ste 70   | 0 Chicago, IL 60602  | Architect   | \$7,500  | 254                                   | K                            |
| (Add sheets if necessary)   |  |  |   |  |                                       |                              |
| Check here if the Disc  | losing Party   | has not retained, nor expect   | s to retain   | n, any such p  | ersons (                              | or entities.                 |
| SECTION V CERTIF  | ICATIONS   |  |   |  |                                       |                              |
| A. COURT-ORDERED  | CHILD SUP  | PORT COMPLIANCE  |   |  |                                       |                              |
|   |  | ntial owners of business enti<br>support obligations through   |   |  |                                       | y must                       |
|   | -  | ctly owns 10% or more of the<br>ons by any Illinois court of   |   |  |                                       | ared in                      |
| Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.                                   |  |  |   |  |                                       |                              |
| If "Yes," has the person er is the person in compliance   |  | court-approved agreement f greement?   | or payme  | nt of all sup  | port ow                               | ed and                       |
| Yes No  |  |  |   |  |                                       |                              |
| B. FURTHER CERTIFIC   | CATIONS  |  |   |  |                                       |                              |
| Procurement Services.] In<br>Party nor any Affiliated E<br>performance of any public<br>inspector general, or integ | n the 5-year partity [see defection of the contract, the contract, the compliant of the com | e Matter is a contract being period preceding the date of finition in (5) below] has ence services of an integrity monce consultant (i.e., an indiversignated by a public agency | this EDS gaged, in onitor, incomitor, incoming the contraction of the | , neither the connection lependent prentity with le                        | Disclos with the rivate see egal, auc | sing<br>c<br>ctor<br>liting, |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:   |
|---|
| N/A   |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  N/A  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  is X is not  |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further   |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

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pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32  |  | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain  |
|---|--|---|
|   |  |   |
|   | the word "None," or no response a<br>med that the Disclosing Party certi                                       | appears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICATION  | ON REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS  |
| Any words or term   | s defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.   |
| after reasonable inc  |  | he best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?  |
| Yes   | X No   |   |
| _   | cked "Yes" to Item $D(1)$ , proceed tems $D(2)$ and $D(3)$ and proceed to                                      | to Items D(2) and D(3). If you checked "No" o Part E.   |
| official or employe<br>other person or enti<br>taxes or assessment<br>"City Property Sale | e shall have a financial interest in ty in the purchase of any property s, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected<br>nis or her own name or in the name of any<br>that (i) belongs to the City, or (ii) is sold for<br>process at the suit of the City (collectively,<br>en pursuant to the City's eminent domain<br>ne meaning of this Part D. |
| Does the Matter inv   | volve a City Property Sale?  |   |
| Yes   | No   |   |
| _   | • • •  | mes and business addresses of the City officials fy the nature of the financial interest:   |
| Name  | Business Address   | Nature of Financial Interest  |
|   |  |   |
|   |  |   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

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| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee   |

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Yes   | Applicant?   |
|---|--|
| If "Yes," answer the three o  | uestions below:  |
| <ol> <li>Have you developed an federal regulations? (See 4</li> <li>Yes</li> </ol>  | d do you have on file affirmative action programs pursuant to applicable 1 CFR Part 60-2.)   |
| <del>-</del>  | Joint Reporting Committee, the Director of the Office of Federal Contract he Equal Employment Opportunity Commission all reports due under the nts?  No Reports not required |
| <ol> <li>Have you participated in equal opportunity clause?</li> <li>Yes</li> </ol> | any previous contracts or subcontracts subject to the  |
| If you checked "No" to que  | stion (1) or (2) above, please provide an explanation:   |
|   |  |

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Lawrence 1101 LLC                                    |  |
|--|--|
| (Print or type exact legal name of Disclosing Party) |  |
| Ву:  |  |
| (Sign here)  |  |
| Alex Samoylovich                                     |  |
| (Print or type name of person signing)               |  |
| Manager  |  |
| (Print or type title of person signing)              |  |
|  |  |
| Signed and sworn to before me on (date) May 3        | 3/,2019,   |
| at <u>Cook</u> County, <u>Ollinois</u> (state).      |  |
| Scott & Lendue                                       | <b>5</b>   |
| Notary Public  | Y "OFFICIAL SEAL"  Y SCOTT G PERDUE                              |
|  | Notary Public, State of Illinois My Commission Expires 4/26/2021 |
| Commission expires: 4/36/302/                        | ***************************************                          |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| currently have a "fa | imilial relationship" w | ith an elected city official or department head?   |
|----------------------|-------------------------|--|
| Yes                  | X No                    |  |
| which such person    | is connected; (3) the n | ame and title of such person, (2) the name of the legal entity to<br>ame and title of the elected city official or department head to<br>ship, and (4) the precise nature of such familial relationship. |
|                      |                         |  |
|                      |                         |  |
|                      |                         |  |

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|   |                    | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---|--------------------|---|
| Yes                                     | X No               |   |
| ^ •                                     |                    | iblicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section           |
| Yes                                     | No                 | The Applicant is not publicly traded on any exchange.   |
| • | cofflaw or problen | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
|   |                    |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes  |
|--|
| □No  |
| $\boxed{X}$ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).            |
| If you checked "no" to the above, please explain.  |
|  |
|  |
|  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I 1- GENERAL INFORMATION

| A. Legal name of the Disc  | losing Party submitt  | ing this EDS. Include d/b/a/ if applicable:   |
|--|---|---|
| Cedar Street Capital Partn   | ers LLC   |   |
| Check ONE of the following   | ing three boxes:  |   |
| the contract, transaction or "Matter"), a direct or indire name:  OR  3. x a legal entity with | rently holding, or ar<br>other undertaking to<br>ct interest in excess<br>h a direct or indirec | nticipated to hold within six months after City action or which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
| B. Business address of the   | Disclosing Party:   | 1020 W. Lawrence Ave, Suite 300 Chicago, Illinois 60640   |
| C. Telephone:  | Fax:  | Email: asamoylovich@cedarst.com   |
| D. Name of contact person  | : Alex Samoylovich  |   |
| E. Federal Employer Ident  | ification No. (if you   | have one):  |
| F. Brief description of the property, if applicable):  | Matter to which this  | EDS pertains. (Include project number and location o  |
| Zoning amendment for pro   | operties located at 475   | 0 N. Winthrop   |
| G. Which City agency or d  | epartment is request  | ing this EDS? Zoning: Dept. of Planning & Development   |
| If the Matter is a contract be complete the following:   | eing handled by the   | City's Department of Procurement Services, please   |
| Specification #  |   | and Contract #  |
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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF TH   | E DISCLOSING PART   | Y  |
|---|---|--|
| Person Publicly registere   | ip  | Arty:    X   Limited liability company     Limited liability partnership     Joint venture     Not-for-profit corporation   (Is the not-for-profit corporation also a 501(c)(3))?     Yes  |
| 2. For legal entities,  Delaware  | the state (or foreign cour  | ntry) of incorporation or organization, if applicable:   |
|   | not organized in the State<br>of Illinois as a foreign en   | e of Illinois: Has the organization registered to do tity?   |
| X Yes   | ☐ No  | Organized in Illinois  |
| B. IF THE DISCLO  | SING PARTY IS A LEG   | AL ENTITY:   |
| the entity; (ii) for not<br>are no such members<br>similar entities, the t<br>limited partnerships<br>each general partner, | t-for-profit corporations, write "no members which rustee, executor, administ, limited liability company. | oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant. |
| NOTE: Each legal en   | ntity listed below must su  | ibmit an EDS on its own behalf.  |
| Name<br>Alex Samoylovich  |   | Title<br>Manager   |
| CSCP Manager, LLC   |   | Manager  |
| indirect, current or pr   | ospective (i.e. within 6 m  | concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including a Examples of such an interest include shares in a  |

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name Alex Samoylovich                                       | Business Address<br>1020 W Lawrence Ave St 300   |  | Interest in the 50% | Applicant       |
|---|--|--|---------------------|-----------------|
| Estate of Jay Michael                                       | 4846 Dempster St. Ste 2E Sk  | okie, IL 60077                                   | 50%                 |                 |
| SECTION III INCO<br>OFFICIALS                               | ME OR COMPENSATION   | TO, OR OWNERS                                    | SHIP BY, CIT        | Y ELECTEI       |
| Has the Disclosing Party<br>12-month period precedi         | provided any income or conng the date of this EDS?   | npensation to any Cit                            | ty elected offici   | al during the   |
| _   | y reasonably expect to provide 12-month period following   | -  | -                   | ny City<br>X No |
| If "yes" to either of the aldescribe such income or         | bove, please identify below the compensation:  | he name(s) of such C                             | City elected offi   | cial(s) and     |
| inquiry, any City elected<br>Chapter 2-156 of the Mu<br>Yes | ficial or, to the best of the Di official's spouse or domestic nicipal Code of Chicago ("M  X No  pelow the name(s) of such Ci | e partner, have a finar<br>(CC")) in the Disclos | ncial interest (a   | s defined in    |
| partner(s) and describe th                                  |  |  |                     |                 |

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)   | Business<br>Address  | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.                               |
|--|--|--|---|
|  |  |  |   |
| (Add sheets if necessary)  |  |  |   |
| X Check here if the Disc   | closing Part   | y has not retained, nor expects to re  | tain, any such persons or entities.   |
| SECTION V CERTH  | EICATION   | $\mathbf{S}$   |   |
| A. COURT-ORDERED   | CHILD SU   | PPORT COMPLIANCE   |   |
|  | •  | antial owners of business entities th<br>I support obligations throughout the  | <del>_</del>  |
| - 1  | •  | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe   | •   |
| Yes X No   | No person d  | lirectly or indirectly owns 10% or m   | nore of the Disclosing Party.   |
| If "Yes," has the person es is the person in compliance  |  | a court-approved agreement for pay agreement?  | ment of all support owed and  |
| Yes No   |  |  |   |
| B. FURTHER CERTIFIC  | CATIONS  |  |   |
| Procurement Services.] I Party nor any Affiliated E performance of any public inspector general, or integrity investigative, or other simple s | n the 5-year<br>Entity [see d<br>c contract, t<br>grity compli-<br>nilar skills, c | the Matter is a contract being handle<br>period preceding the date of this E<br>efinition in (5) below  has engaged,<br>he services of an integrity monitor,<br>ance consultant (i.e., an individual of<br>designated by a public agency to he<br>as well as help the vendors reform the | DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property:
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
| If the letters "NA." the word "None," or no response appears on the lines above, it will be conclusively   |
| presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
|  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is X is not</li> </ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

| MCC Section 2-32   |   | pecause it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|--|---|--|
|  |   |  |
|  | " the word "None," or no response a<br>imed that the Disclosing Party certi   | appears on the lines above, it will be fied to the above statements.   |
| D. CERTIFICAT  | ION REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS   |
| Any words or tern  | ns defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |
| after reasonable in  |   | he best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |
| Yes  | X No  |  |
| •  | ecked "Yes" to Item D(1), proceed<br>Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employed<br>other person or ent<br>taxes or assessment<br>"City Property Sal | ee shall have a financial interest in lating in the purchase of any property ats, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?   |  |
| Yes  | No  |  |
|  | , , , . <u>.</u>  | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name   | Business Address  | Nature of Financial Interest   |
|  |   |  |
|  |   |  |
|  |   |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1  Page 9 of 15                      |

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the   | Applicant?   |
|---|--|
| Yes   | No   |
| If "Yes," answer the three  | questions below:   |
| 1. Have you developed an federal regulations? (See                                  | ad do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)  No   |
| •   | e Joint Reporting Committee, the Director of the Office of Federal Contract<br>the Equal Employment Opportunity Commission all reports due under the<br>ents?  No Reports not required |
| <ol> <li>Have you participated if equal opportunity clause?</li> <li>Yes</li> </ol> | n any previous contracts or subcontracts subject to the  No  |
| If you checked "No" to que  | estion (1) or (2) above, please provide an explanation:  |
|   |  |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

1

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Cedar Street Capital Partners LLC                    |  |
|--|--|
| (Print or type exact legal name of Disclosing Party) |  |
| By:  |  |
| (Sign here)  |  |
| Alex Samoylovich                                     |  |
| (Print or type name of person signing)               |  |
| Manager  |  |
| (Print or type title of person signing)              |  |
|  |  |
| Signed and sworn to before me on (date) May 3        | <del>1/20/9</del> ,  |
| at Cook County, Ollinois(state).                     |  |
| Scott & Perdue                                       |  |
| Notary Public  | SCOTT G PERDUE   |
|  | Notary Public, State of Illinois My Commission Expires 4/26/2021 |
| Commission expires: 4/24/2021                        |  |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

| currently have a "f | amılıal relationship" with a | an elected city official or department head?   |
|---------------------|------------------------------|--|
| Yes                 | X No                         |  |
| which such person   | is connected; (3) the name   | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to and (4) the precise nature of such familial relationship. |
|                     |                              |  |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|     |                   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|-----|-------------------|---|
| Yes | X No              |   |
|     | • • •             | iblicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section             |
| Yes | No                | $\boxed{X}$ The Applicant is not publicly traded on any exchange.   |
|     | offlaw or probler | lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
|     |                   |   |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes   |
|---|
| □No   |
| N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.  |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain.                                       |
|   |
|   |
|   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| Λ. Legal name of the Discl  | osing Party submitt   | ing this EDS. Include d/b/a/ if applicable:   |
|---|---|---|
| CSCP Manager LLC  |   |   |
| Check ONE of the followi  | ng three boxes:   |   |
| the contract, transaction or or "Matter"), a direct or indirect name:  OR  3. x a legal entity with | rently holding, or another undertaking to a interest in excess the direct or indirecentity in which the l | nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
| B. Business address of the  |   | 1020 W. Lawrence Ave, Suite 300 Chicago, Illinois 60640   |
| C. Telephone:   | Fax:  | Email: asamoylovich@cedarst.com   |
| D. Name of contact person:  | Alex Samoylovich  |   |
| E. Federal Employer Identi  | fication No. (if you  | have one):  |
| F. Brief description of the property, if applicable):   | Matter to which this  | EDS pertains. (Include project number and location of   |
| Zoning amendment for pro  | perties located at 475  | 0 N. Winthrop   |
| G. Which City agency or do  | epartment is request  | ing this EDS? Zoning: Dept. of Planning & Development   |
| If the Matter is a contract be complete the following:  | ing handled by the  | City's Department of Procurement Services, please   |
| Specification #   |   | and Contract #  |
| Ver.2018-1  | Pa  | ge 1 of 15  |

# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| Indicate the Person     Publicly registe   | ship   | g Party:  X Limited liability company  |
|--|--|--|
| 2. For legal entities Illinois   | es, the state (or foreign co   | ountry) of incorporation or organization, if applicable:   |
| •  | es not organized in the Stee of Illinois as a foreign  | tate of Illinois: Has the organization registered to do entity?  |
| Yes  | ☐ No   | X Organized in Illinois  |
| B. IF THE DISCL  | OSING PARTY IS A L   | EGAL ENTITY:   |
| the entity; (ii) for mare no such member similar entities, the limited partnership each general partnership. | not-for-profit corporations, write "no members we trustee, executor, adminstrates, limited liability con | f applicable, of: (i) all executive officers and all directors of ions, all members, if any, which are legal entities (if there which are legal entities"); (iii) for trusts, estates or other inistrator, or similarly situated party; (iv) for general or npanies, limited liability partnerships or joint ventures, tanager or any other person or legal entity that directly or nent of the Applicant. |
| NOTE: Each legal   | entity listed below must   | t submit an EDS on its own behalf.   |
| Name<br>Alex Samoylovich   |  | Title<br>Manager   |
| indirect, current or ownership) in excess  | prospective (i.e. within (<br>ss of 7.5% of the Applic   | on concerning each person or legal entity having a direct or 6 months after City action) beneficial interest (including eant. Examples of such an interest include shares in a rship or joint venture, interest of a member or manager in a  |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Percentage Interest in the Applicant Name 100% Alex Samoylovich 1020 W Lawrence Ave Ste 300 Chicago, IL 60640 SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes X No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? X No TYes If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? X No Yes If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| retained or anticipated Address to be retained)   | 1 8 9   | paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is  not an acceptable response.  |
|---|---|---|
|   |   |   |
| (Add sheets if necessary)   |   |   |
| X Check here if the Disclosing Pa   | rty has not retained, nor expects to re   | tain, any such persons or entities.   |
| SECTION V CERTIFICATIO  | NS  |   |
| A. COURT-ORDERED CHILD S  | UPPORT COMPLIANCE   |   |
| · · · · · · · · · · · · · · · · · · ·   | stantial owners of business entities thild support obligations throughout the   | <b>→</b>  |
| • •   | irectly owns 10% or more of the Disc<br>gations by any Illinois court of compe  | <b>U 2</b>  |
| Yes X No No persor  | directly or indirectly owns 10% or m  | nore of the Disclosing Party.   |
| If "Yes," has the person entered int is the person in compliance with th  | o a court-approved agreement for pay at agreement?  | ment of all support owed and  |
| Yes No  |   |   |
| B. FURTHER CERTIFICATIONS   | }   |   |
| Procurement Services.] In the 5-ye Party nor any Affiliated Entity [see performance of any public contract inspector general, or integrity compinvestigative, or other similar skills activity of specified agency vendor | f the Matter is a contract being handle<br>far period preceding the date of this Edefinition in (5) below] has engaged,<br>the services of an integrity monitor,<br>pliance consultant (i.e., an individual of<br>designated by a public agency to hele<br>as as well as help the vendors reform the<br>facts in the future, or continue with a c | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, p the agency monitor the heir business practices so they |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any tine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
|  |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
|  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):  |
|---|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS  |
| Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.  |
| 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?   |
| Yes No  |
| NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  |
| 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. |
| Does the Matter involve a City Property Sale?   |
| ☐ Yes ☐ No  |
| 3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:   |
| Name Business Address Nature of Financial Interest  |
|   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| Λ. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1  Page 9 of 15                      |

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Yes                         | Applicant?  |
|---|---|
| If "Yes," answer the three                              | questions below:  |
| 1. Have you developed ar federal regulations? (See      | ad do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)  No  |
| Compliance Programs, or tapplicable filing requirements | Joint Reporting Committee, the Director of the Office of Federal Contraction Equal Employment Opportunity Commission all reports due under the ents?  No Reports not required |
|   | n any previous contracts or subcontracts subject to the  No   |
| If you checked "No" to que                              | estion (1) or (2) above, please provide an explanation:   |
|   |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| CSCP Manager LLC                                     |  |
|--|--|
| (Print or type exact legal name of Disclosing Party) | -  |
| By: (Sign here)                                      |  |
| Alex Samoylovich                                     |  |
| (Print or type name of person signing)               |  |
| Manager  |  |
| (Print or type title of person signing)              |  |
| Signed and sworn to before me on (date) May          | · -··—   |
| at Cook County, Ollinois (state)                     |  |
| Notary Public  | "OFFICIAL SEAL"  SCOTT G PERDUE  Notany Bublic State of this     |
| Commission expires: 4/36/2021                        | Notary Public, State of Illinois My Commission Expires 4/26/2021 |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

X
No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|     |                    | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|-----|--------------------|---|
| Yes | X No               |   |
| • • | • • •              | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| Yes | No                 | The Applicant is not publicly traded on any exchange.   |
|     | cofflaw or problen | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
|     |                    |   |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes  |
|--|
| □No  |
| $\boxed{X}$ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).            |
| If you checked "no" to the above, please explain.  |
|  |
|  |
|  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosin   | ng Party submitting th   | nis EDS.                               | Include d/b/a/ if applicable:  |
|--|--|--|--|
| Joel Jastromb Revocable Trus   | St .   |  |  |
| Check ONE of the following   | three boxes:   |  |  |
| the contract, transaction or othe "Matter"), a direct or indirect in name:  OR | tly holding, or anticiper undertaking to which<br>terest in excess of 7. | eated to ho<br>ch this ED<br>5% in the | ld within six months after City action or DS pertains (referred to below as the Applicant. State the Applicant's legal |
| 3. a legal entity with a State the legal name of the enti                      | _  |  | ol of the Applicant (see Section II(B)(1)) y holds a right of control:   |
| B. Business address of the Dis   | closing Party: 135   | 5 Lakewoo                              | nd Dr Lake Forest, Illinois 60045  |
| C. Telcphone:  | Fax:   |  | Email:   |
| D. Name of contact person: _Jo   |  |  |  |
| E. Federal Employer Identifica   | ation No. (if you have   | one):                                  | ·  |
| F. Brief description of the Mat property, if applicable):                      | ter to which this EDS  | S pertains.                            | (Include project number and location o   |
| Zoning amendment for propert   | ies located at 4750 N.   | Winthrop                               |  |
| G. Which City agency or depart   | rtment is requesting th  | his EDS?_                              | Zoning, Department of Planning and Development   |
| If the Matter is a contract being complete the following:                      | , handled by the City'   | s Departn                              | nent of Procurement Services, please   |
| Specification #  | and  | Contract                               | #  |
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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING P  | 'ARTY   |
|--|---|
| Indicate the nature of the Disclos     Person     Publicly registered business corporate     Privately held business corporation     Sole proprietorship     General partnership     Limited partnership     X Trust | Limited liability company   |
| 2. For legal entities, the state (or foreign Illinois  | n country) of incorporation or organization, if applicable:   |
| 3. For legal entities not organized in the business in the State of Illinois as a foreign  | e State of Illinois: Has the organization registered to do ign entity?  |
| ☐ Yes ☐ No   | X Organized in Illinois   |
| B. IF THE DISCLOSING PARTY IS A  | LEGAL ENTITY:   |
| the entity; (ii) for not-for-profit corpor<br>are no such members, write "no member<br>similar entities, the trustee, executor, ac<br>limited partnerships, limited liability  | s, if applicable, of: (i) all executive officers and all directors of rations, all members, if any, which are legal entities (if there is which are legal entities"); (iii) for trusts, estates or other diministrator, or similarly situated party; (iv) for general or companies, limited liability partnerships or joint ventures, manager or any other person or legal entity that directly or gement of the Applicant. |
| NOTE: Each legal entity listed below m   | ust submit an EDS on its own behalf.  |
| Name<br>Joel Jastromb  | Title<br>Trustee  |
|  |   |
| indirect, current or prospective (i.e. with ownership) in excess of 7.5% of the App  | ntion concerning each person or legal entity having a direct or in 6 months after City action) beneficial interest (including plicant. Examples of such an interest include shares in a tnership or joint venture, interest of a member or manager in a   |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Name **Business Address** Percentage Interest in the Applicant None SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes X No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?  $X N_0$ If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? X No Yes If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)  | Business<br>Address   | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.    |
|---|---|--|--|
| ~   |   |  |  |
| (Add sheets if necessary)   |   |  |  |
| X Check here if the Discl   | losing Party  | has not retained, nor expects to ret   | ain, any such persons or entities.   |
| SECTION V CERTIF  | ICATION   | S  |  |
| A. COURT-ORDERED C  | CHILD SUI   | PPORT COMPLIANCE   |  |
|   |   | ential owners of business entities that<br>support obligations throughout the  | <del>_</del>   |
| - 1   | •   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe   | <u> </u>   |
| Yes No N  | lo person d   | irectly or indirectly owns 10% or m  | ore of the Disclosing Party.   |
| If "Yes," has the person en is the person in compliance   |   | a court-approved agreement for payagreement?   | ment of all support owed and   |
| Yes No  |   |  |  |
| B. FURTHER CERTIFIC   | ATIONS  |  |  |
| Procurement Services.] In<br>Party nor any Affiliated Er<br>performance of any public<br>inspector general, or integr | the 5-year<br>ntity [see decontract, the<br>contract, the<br>rity complia | he Matter is a contract being handle<br>period preceding the date of this El<br>efinition in (5) below] has engaged,<br>he services of an integrity monitor,<br>ance consultant (i.e., an individual of<br>esignated by a public agency to hel | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  None   |
|---|
|   |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  None  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is X is not</li> </ol>   |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."   |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

| MCC Section 2-32  |  | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|---|--|--|
|   | the word "None," or no response a<br>med that the Disclosing Party certi   | appears on the lines above, it will be fied to the above statements.   |
| D. CERTIFICATI  | ON REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS   |
| Any words or term   | s defined in MCC Chapter 2-156 h   | have the same meanings if used in this Part D.   |
| after reasonable in   |  | the best of the Disclosing Party's knowledge be of the City have a financial interest in his or entity in the Matter?  |
| Yes   | X No   |  |
|   | ecked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employe<br>other person or ent<br>taxes or assessmen<br>"City Property Sale | e shall have a financial interest in lity in the purchase of any property its, or (iii) is sold by virtue of legal | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, seen pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter in  | volve a City Property Sale?  |  |
| Yes   | No   |  |
|   | * * * *  | mes and business addresses of the City official ify the nature of the financial interest:  |
| Name  | Business Address   | Nature of Financial Interest   |
|   |  |  |
|   |  |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1  Page 9 of 15                    |

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant?  |   |
|---|---|
| ☐ Yes ☐ No  |   |
| If "Yes," answer the three questions below:   |   |
| <ol> <li>Have you developed and do you have on file affirmated federal regulations? (See 41 CFR Part 60-2.)</li> <li>Yes</li> </ol>     | tive action programs pursuant to applicable |
| 2. Have you filed with the Joint Reporting Committee, Compliance Programs, or the Equal Employment Opporapplicable filing requirements? | tunity Commission all reports due under the |
| <ul><li>3. Have you participated in any previous contracts or stequal opportunity clause?</li><li>Yes</li><li>No</li></ul>              | abcontracts subject to the                  |
| If you checked "No" to question (1) or (2) above, please  | provide an explanation:                     |
|   |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Joel Jastromb Revocable Trust  |  |
|--|--|
| (Print or type exact legal name of Disclosing Party)   |  |
| By: (Sign here)  |  |
| Joel Jastromb  |  |
| (Print or type name of person signing)   |  |
| Trustee  |  |
| (Print or type title of person signing)  |  |
| Signed and sworn to before me on (date)  | 2019,  |
| at County, |  |
| Seett & Perdue   |  |
| Notary Public  | "OFFICIAL SEAL" SCOTT G PERDUE                                   |
| Commission expires: $4/26/2924$  | Notary Public, State of Illinois My Commission Expires 4/26/2021 |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|     |     |              | O, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|-----|-----|--------------|--|
|     | Yes | X No         |  |
| the |     |              | licly traded on any exchange, is any officer or director of ode scofflaw or problem landlord pursuant to MCC Section           |
|     | Yes | No           | X The Applicant is not publicly traded on any exchange.  |
| as  |     | w or problem | ntify below the name of each person or legal entity identified landlord and the address of each building or buildings to which |
|     |     |              |  |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

## PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes   |
|---|
| □No   |
| N/A − I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.  |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain.                                       |
|   |
|   |
|   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclo  | sing Party submitti                        | ng this EDS. 1                   | Include d/b/  | a/ if applicable:                              |
|--|--|----------------------------------|---------------|--|
| Uptown Apartments LLC  |  |                                  |               |  |
| Check ONE of the following   | g three boxes:                             |                                  |               |  |
| Indicate whether the Disclosion  1. the Applicant OR 2. X a legal entity current the contract, transaction or ot "Matter"), a direct or indirect | ently holding, or an<br>her undertaking to | ticipated to ho<br>which this ED | S pertains (  |  |
| name: Lawrence 1101 L  | LC   |                                  |               |  |
| OR 3. a legal entity with State the legal name of the er   |  | _                                | •             | plicant (see Section II(B)(1)) ght of control: |
| B. Business address of the D   | isclosing Party:                           | 290 Marshma                      | an St. Highla | nd Park, IL 60035                              |
| C. Telephone:  | Fax:                                       |                                  | Email:        | gcohen@hilandcap.com                           |
| D. Name of contact person:   | Gidon Cohen                                |                                  |               |  |
| E. Federal Employer Identifi   | cation No. (if you l                       | nave one):                       |               |  |
| F. Brief description of the M property, if applicable):  | atter to which this                        | EDS pertains.                    | (Include pr   | roject number and location of                  |
| Zoning amendment for propo   | erties located at 4750                     | N. Winthrop                      |               |  |
| G. Which City agency or dep  | artment is requesti                        | ng this EDS?_                    | Zoning, De    | partment of Planning and                       |
| If the Matter is a contract being complete the following:  | ng handled by the (                        | City's Departm                   | ent of Proc   | urement Services, please                       |
| Specification #  |  | and Contract                     | #             |  |
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? General partnership Limited partnership Yes $\square$ No Other (please specify) Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Yes Organized in Illinois X No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Manager Name Highland Capital LLC

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| limited liability comp state "None."             | any, or interest of a beneficiary of  | of a trust, estate or oth | er similar entit                 | y. If none,     |
|--|---|---------------------------|----------------------------------|-----------------|
| NOTE: Each legal en                              | tity listed below may be required   | d to submit an EDS or     | n its own behalf                 | <b>.</b>        |
| Name<br>Highland Equities LF                     | Business Address 290 Marshman St Highland Park,   |                           | nterest in the A (100% of disclo |                 |
| SECTION III INC<br>OFFICIALS                     | COME OR COMPENSATION  | TO, OR OWNERSI            | HIP BY, CITY                     | ELECTED         |
|  | rty provided any income or comeding the date of this EDS?   | pensation to any City     | elected official  Yes            | during the X No |
| _  | earty reasonably expect to providg the 12-month period following                                    |                           | -                                | y City<br>X No  |
| If "yes" to either of th<br>describe such income | e above, please identify below th or compensation:  | ne name(s) of such Cit    | y elected offici                 | al(s) and       |
| inquiry, any City elec                           | official or, to the best of the Disted official's spouse or domestic Municipal Code of Chicago ("Mo | partner, have a finance   | ial interest (as                 |                 |
| • •  | fy below the name(s) of such Cit e the financial interest(s).                                       | y elected official(s) ar  | nd/or spouse(s)/                 | /domestic       |
|  |   |                           |                                  |                 |

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|---|
|  |                     |  |   |
| (Add sheets if necessary)                                      |                     |  |   |
| Check here if the Disc   | closing Part        | y has not retained, nor expects to re                                      | tain, any such persons or entities.   |
| SECTION V CERTII   | FICATION            | S  |   |
| A. COURT-ORDERED   | CHILD SU            | PPORT COMPLIANCE   |   |
|  |                     | antial owners of business entities the support obligations throughout the  |   |
| - ·  | •                   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe | <del>-</del> •  |
| Yes X No   | No person d         | lirectly or indirectly owns 10% or m                                       | nore of the Disclosing Party.   |
| If "Yes," has the person ends is the person in compliance      |                     | a court-approved agreement for pay agreement?                              | ment of all support owed and  |
| Yes No   |                     |  |   |
| B. FURTHER CERTIFIC  | CATIONS             |  |   |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| Cer                        | If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further tifications), the Disclosing Party must explain below:   |
|----------------------------|--|
|                            | te letters "NA," the word "None," or no response appears on the lines above, it will be conclusively tumed that the Disclosing Party certified to the above statements.  |
| com<br>mor<br>of C         | To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a aplete list of all current employees of the Disclosing Party who were, at any time during the 12-th period preceding the date of this EDS, an employee, or elected or appointed official, of the City Chicago (if none, indicate with "N/A" or "none").   |
| the office made the opolic | To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a plete list of all gifts that the Disclosing Party has given or caused to be given, at any time during 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed cial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything the generally available to City employees or to the general public, or (ii) food or drink provided in course of official City business and having a retail value of less than \$25 per recipient, or (iii) a tical contribution otherwise duly reported as required by law (if none, indicate with "N/A" or ne"). As to any gift listed below, please also list the name of the City recipient. |
| C. (                       | CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| 1. 7                       | The Disclosing Party certifies that the Disclosing Party (check one)  is X is not  |
| г                          | "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. I                       | f the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| pled<br>MC                 | are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further ge that none of our affiliates is, and none of them will become, a predatory lender as defined in C Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a atory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

| _ ,  | is a predatory lender wi   | e because it or any of its affiliates (as defined in ithin the meaning of MCC Chapter 2-32, explain   |
|--|--|---|
| IVOIIC   |  |   |
|  | • • • • • • • • • • • • • • • • • • •  | te appears on the lines above, it will be rtified to the above statements.  |
| D. CERTIFICATION REC   | GARDING FINANCIAL  | INTEREST IN CITY BUSINESS   |
| Any words or terms define  | d in MCC Chapter 2-156   | 6 have the same meanings if used in this Part D.  |
|  | es any official or employ  | o the best of the Disclosing Party's knowledge yee of the City have a financial interest in his or rentity in the Matter?   |
| Yes  | X No   |   |
| NOTE: If you checked "Y to Item D(1), skip Items D(  | · // 1   | ed to Items D(2) and D(3). If you checked "No" I to Part E.   |
| official or employee shall hother person or entity in the taxes or assessments, or (iii "City Property Sale"). Con | ave a financial interest in<br>e purchase of any propert<br>) is sold by virtue of lega<br>ppensation for property t | e bidding, or otherwise permitted, no City elected<br>in his or her own name or in the name of any<br>ty that (i) belongs to the City, or (ii) is sold for<br>al process at the suit of the City (collectively,<br>taken pursuant to the City's eminent domain<br>the meaning of this Part D. |
| Does the Matter involve a G  | City Property Sale?  |   |
| Yes  | □No  |   |
|  |  | names and business addresses of the City officials ntify the nature of the financial interest:  |
| Name   | Business Address   | Nature of Financial Interest  |
|  |  |   |
|  |  |   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |
| 2 The Divided Describes of Acad III A 1 C. I. II  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applica Yes No   |   |
|--|---|
| If "Yes," answer the three question  | as below:   |
| 1. Have you developed and do yo federal regulations? (See 41 CFR Yes No  |   |
| •  | Leporting Committee, the Director of the Office of Federal Contract al Employment Opportunity Commission all reports due under the Reports not required |
| 3. Have you participated in any participated i | revious contracts or subcontracts subject to the  |
| If you checked "No" to question (1   | ) or (2) above, please provide an explanation:  |
|  |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Uptown Apartments LLC   |  |
|---|--|
| (Print or type exact legal name of Disclosing Party)                        |  |
| By: Olution (Sign here)   |  |
| <u>Dana Plotkin</u><br>(Print or type name of person signing)               |  |
| Vice President, Highland Capital UC (Print or type title of person signing) |  |
| Signed and sworn to before me on (date) June 3, 2019                        | •  |
| Signed and sworn to before me on (date) June 3, 2019  at                    |  |
| Notary Public   | "OFFICIAL SEAL"  |
| Commission expires: $\frac{4/26/2021}{}$                                    | SCOTT G PERDUE  Notary Public, State of Illinois My Commission Expires 4/26/2021 |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| currently have a | "familial relationship" w  | ith an elected city official or department head?  |
|------------------|----------------------------|---|
| Yes              | X No                       |   |
| which such perso | on is connected; (3) the n | ame and title of such person, (2) the name of the legal entity to name and title of the elected city official or department head to ship, and (4) the precise nature of such familial relationship. |
|                  |                            |   |

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|       |                   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|-------|-------------------|---|
| Yes   | X No              |   |
|       |                   | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| Yes   | No                | X The Applicant is not publicly traded on any exchange.   |
| , , , | offlaw or problen | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
|       |                   |   |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

## PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes  |
|--|
| □ No   |
| $\boxed{X}$ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).            |
| If you checked "no" to the above, please explain.  |
|  |
|  |
|  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of th                          |                          | ubmitting this EDS. Ir   | nclude d/b/a/ if applica                | able:              |
|--|--------------------------|--|---|--------------------|
| Check ONE of the                             | following three box      | es:  |   |                    |
| Indicate whether the 1. [ ] the Applie OR    | •                        | bmitting this EDS is:  |   |                    |
| the contract, transac                        | tion or other underta    | g, or anticipated to hole aking to which this ED excess of 7.5% in the A | S pertains (referred to                 | below as the       |
|  | of the entity in whi     | indirect right of contro   | • | , , , , , ,        |
| B. Business address                          | of the Disclosing Pa     | arty: 290 MARSHMA  | AN ST., HIGHLAND PARK                   | K, IL 60035        |
| C. Telephone: 847-                           | 302-2515 Fax             | : 312-224-1202   | Email: _                                | <u> </u>           |
| D. Name of contact                           | person: GIDON COF        | IEN  |   |                    |
| E. Federal Employe                           | r Identification No.     | (if you have one): _   | 10 55 90 minute 1 minute                | :                  |
| F. Brief description property, if applicable |                          | ich this EDS pertains.   | (Include project numb                   | er and location of |
| Zoning amendment for                         | properties located at 47 | 750 N. Winthrop  |   |                    |
| G. Which City agend                          | cy or department is r    | requesting this EDS? <u>zo</u><br>DI                                     | ONING: DEPARTMENT O                     | F PLANNING AND     |
| If the Matter is a concomplete the following | _                        | by the City's Departme   | ent of Procurement Ser                  | rvices, please     |
| Specification #                              | N/A                      | and Contract #   | N/A                                     | <del></del>        |
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [x] Limited liability company Publicly registered business corporation [ ] Limited liability partnership Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes []No [ ] Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **DELAWARE** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Organized in Illinois [ ] Yes [x] No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title **GIDON COHEN MANAGER HILARY COHEN MANAGER**

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name<br>NONE   | Business Address  | Percentage Interest in th   | e Applicant             |
|--|---|---|-------------------------|
| SECTION III<br>OFFICIALS   | INCOME OR COMPENSATION  | N TO, OR OWNERSHIP BY, C  | ITY ELECTEI             |
|  | sing Party provided any income or cond preceding the date of this EDS?  | npensation to any City elected offi<br>[ ] Yes                        | icial during the [X] No |
|  | osing Party reasonably expect to providuring the 12-month period following  |   | any City<br>[X] No      |
| •  | r of the above, please identify below to  | he name(s) of such City elected of                                    | fficial(s) and          |
| inquiry, any Cit<br>Chapter 2-156 (<br>[ ] Yes<br>If "yes," please | elected official or, to the best of the Dicy elected official's spouse or domestic of the Municipal Code of Chicago ("MEX") No identify below the name(s) of such Citescribe the financial interest(s). | c partner, have a financial interest (ICC")) in the Disclosing Party? | (as defined in          |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)    | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|--|
|  |                     |   |  |
| (Add sheets if necessary)                                      |                     |   |  |
| [x] Check here if the Disc                                     | closing Part        | y has not retained, nor expects to re   | tain, any such persons or entities.  |
| SECTION V CERTII   | FICATION            | S   |  |
| A. COURT-ORDERED   | CHILD SU            | PPORT COMPLIANCE  |  |
|  |                     | antial owners of business entities th<br>I support obligations throughout the |  |
| <b>7</b> .   | •                   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe    |  |
| [ ] Yes [X] No [ ]   | No person o         | directly or indirectly owns 10% or n  | nore of the Disclosing Party.  |
| If "Yes," has the person e is the person in compliance         |                     | a court-approved agreement for pay agreement?                                 | ment of all support owed and   |
| [ ] Yes [ ] No   |                     |   |  |
| B. FURTHER CERTIFIC  | CATIONS             |   |  |
| 1. [This paragraph 1 app                                       | lies only if        | the Matter is a contract being handle   | ed by the City's Department of   |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  NONE   |
|---|
|   |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  NONE  |
|   |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  NONE |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>   |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32   | •  | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|--|--|--|
|  | the word "None," or no response a med that the Disclosing Party certi  | appears on the lines above, it will be fied to the above statements.   |
| D. CERTIFICATI   | ON REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS   |
| Any words or term  | s defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |
| after reasonable in  |  | ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?   |
| [ ] Yes  | [X] No   |  |
| <del>-</del>   | ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employed<br>other person or ent<br>taxes or assessmen<br>"City Property Salo | te shall have a financial interest in lity in the purchase of any property ts, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | volve a City Property Sale?  |  |
| [ ] Yes  | [ ] No   |  |
|  | , · -  | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name   | Business Address   | Nature of Financial Interest   |
|  |  |  |
|  |  |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the                             | Applicant?    |   |
|---|---------------|---|
| [ ] Yes   | [ ] No        |   |
| If "Yes," answer the three                              | questions be  | low:  |
| Have you developed ar federal regulations? (See [ ] Yes | •             | ve on file affirmative action programs pursuant to applicable 60-2.)  |
| •   | the Equal En  | rting Committee, the Director of the Office of Federal Contract inployment Opportunity Commission all reports due under the |
| [ ] Yes   | [ ] No        | [] Reports not required   |
| 3. Have you participated equal opportunity clause?      | in any previo | ous contracts or subcontracts subject to the  |
| [ ] Yes   | [ ] No        |   |
| If you checked "No" to que                              | estion (1) or | (2) above, please provide an explanation:   |
|   |               |   |
|   |               | <del></del>   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| HIGHLAND CAPITAL LLC  |   |
|---|---|
| (Print or type exact legal name of Disclosing Party)                        |   |
| By: Ohn Plants (Sign here)  |   |
| Dana Plotkin (Print or type name of person signing)                         |   |
| Vice President (Print or type title of person signing)                      |   |
| Signed and sworn to before me on (date) June 3, at County, County, (state). | <del>20/9</del> ,   |
| Scott & Perdue  |   |
| Notary Public  Commission expires: 4/26/2021                                | "OFFICIAL SEAL" SCOTT G PERDUE Notary Public, State of Illinois My Commission Expires 4/26/2021 |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| whom such person has a familial relationship, and (4) the precise nature of such familial relationship. | which such person i | ntify below (1) the name and title of such person, (2) the name of the legal s connected; (3) the name and title of the elected city official or department as a familial relationship, and (4) the precise nature of such familial relationship. | head to |
|---|---------------------|---|---------|
|---|---------------------|---|---------|

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|   |                   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|---|-------------------|---|
| [ ] Yes                                 | [x] No            |   |
| • •                                     | • • •             | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| [ ] Yes                                 | [ ] No            | [x] The Applicant is not publicly traded on any exchange.   |
| • | offlaw or problen | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
|   |                   |   |

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| [ ] Yes  |
|--|
| [ ] No   |
| [x] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).    |
| If you checked "no" to the above, please explain.  |
|  |
|  |
|  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of th   | e Disclosing Party  | submitting this EDS. Inclu                               | de d/b/a/ if applicable:   |
|---|---|--|--|
| HIGHLAND EQUITI   | ES LP   |  |  |
| Check ONE of the  | following three bo  | xes:   |  |
| 1. [ ] the Applic OR 2. [X] a legal enth the contract, transace "Matter"), a direct of name:Lawrence 11 | cant<br>atity currently holdination or other underter<br>trindirect interest in | aking to which this EDS pe                               | within six months after City action on ertains (referred to below as the licant. State the Applicant's legal |
|   |   | indirect right of control of ch the Disclosing Party hol | the Applicant (see Section II(B)(1)) ds a right of control:  |
| B. Business address   | of the Disclosing P   | arty: 290 MARSHMAN S                                     | ST., HIGHLAND PARK, IL 60035   |
| C. Telephone: 847-  | 302-2515 Fax  | x:312-224-1202]  | Email: <u>T</u>  |
| D. Name of contact  | person: GIDON CO  | HEN  |  |
| E. Federal Employe  | r Identification No.  |  |  |
| property, if applicabl  | e):   | ich this EDS pertains. (Inc                              | lude project number and location of  |
| G. Which City agend   | cy or department is   | requesting this EDS? <u>zonin</u><br>DEVEL               | IG: DEPARTMENT OF PLANNING AND<br>LOPMENT  |
| If the Matter is a concomplete the following  |   | by the City's Department of                              | of Procurement Services, please  |
| Specification #   | N/A   | and Contract #   | N/A  |
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [ ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership Privately held business corporation | | Joint venture [ ] Sole proprietorship Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [x] Limited partnership [ ] Yes | No [ ] Trust [ ] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **DELAWARE** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Organized in Illinois [ ] Yes [x] No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title HIGHLAND CAPITAL LLC **MANAGER**

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name<br>GIDON COHEN   | Business Address<br>290 Marshman St., Highland Park, IL 60035   | Percentage Interest in the A<br>57% (100% of c      | • •                    |
|---|---|---|------------------------|
| SECTION III II<br>OFFICIALS   | NCOME OR COMPENSATION TO, OR  | OWNERSHIP BY, CITY                                  | ELECTEI                |
| _   | Party provided any income or compensation receding the date of this EDS?  | to any City elected officia                         | l during the<br>[X] No |
|   | g Party reasonably expect to provide any incoing the 12-month period following the date o   | <u>-</u>  | y City<br>[X] No       |
| •   | the above, please identify below the name(s) me or compensation:  | ) of such City elected offic                        | ial(s) and             |
| inquiry, any City el<br>Chapter 2-156 of th<br>[ ] Yes<br>If "yes," please iden | ted official or, to the best of the Disclosing P ected official's spouse or domestic partner, he Municipal Code of Chicago ("MCC")) in the [X] No entify below the name(s) of such City elected ribe the financial interest(s). | have a financial interest (as the Disclosing Party? | defined in             |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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state "None."

| Name (indicate whether retained or anticipated to be retained)   | Business<br>Address                                   | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---|---|--|
|  |   |   |  |
| (Add sheets if necessary)  |   |   |  |
| [x] Check here if the Disc   | closing Part  | y has not retained, nor expects to re-  | tain, any such persons or entities.  |
| SECTION V CERTII   | FICATION  | $\mathbf{S}$  |  |
| A. COURT-ORDERED   | CHILD SU  | PPORT COMPLIANCE  |  |
|  | ,   | antial owners of business entities the support obligations throughout the   | •  |
| · •  | •   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe  | •  |
| [ ] Yes [X] No [ ]   | No person o   | directly or indirectly owns 10% or n  | nore of the Disclosing Party.  |
| If "Yes," has the person e is the person in compliance   |   | a court-approved agreement for pay agreement?   | ment of all support owed and   |
| [ ] Yes [ ] No   |   |   |  |
| B. FURTHER CERTIFIC  | CATIONS   |   |  |
| Procurement Services.] I Party nor any Affiliated E performance of any publi inspector general, or integral. | n the 5-year Entity [see d c contract, t grity compli | the Matter is a contract being handle<br>period preceding the date of this E<br>efinition in (5) below] has engaged,<br>he services of an integrity monitor,<br>ance consultant (i.e., an individual of<br>designated by a public agency to hel | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing,     |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  NONE   |
|---|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  NONE  |
|   |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  NONE |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>   |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in   |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32-   |   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain   |
|---|---|--|
| NONE  |   |  |
|   | the word "None," or no response a ned that the Disclosing Party certi   | appears on the lines above, it will be fied to the above statements.   |
| D. CERTIFICATIO   | ON REGARDING FINANCIAL I  | NTEREST IN CITY BUSINESS   |
| Any words or terms  | defined in MCC Chapter 2-156 h  | ave the same meanings if used in this Part D.  |
| after reasonable inq  |   | ne best of the Disclosing Party's knowledge<br>e of the City have a financial interest in his or<br>ntity in the Matter?   |
| [] Yes  | [X] No  |  |
|   | eked "Yes" to Item D(1), proceed to ems D(2) and D(3) and proceed to  | o Items D(2) and D(3). If you checked "No" Part E.   |
| official or employee<br>other person or entit<br>taxes or assessments<br>"City Property Sale" | shall have a financial interest in lay in the purchase of any property s, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter inv   | olve a City Property Sale?  |  |
| [ ] Yes   | [ ] No  |  |
| <b>▼</b>  | * ***   | mes and business addresses of the City officials fy the nature of the financial interest:  |
| Name  | Business Address  | Nature of Financial Interest   |
|   |   |  |
|   |   |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| ·   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |  |  |
|---|--|--|
|   |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on |  |  |
| behalf of the Disclosing Party with respect to the Matter.)   |  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the                          | Applicant?      |  |
|--|-----------------|--|
| [ ] Yes  | [ ] No          |  |
| If "Yes," answer the three                           | questions bel   | low:   |
| 1. Have you developed ar federal regulations? (See   | •               | ve on file affirmative action programs pursuant to applicable 60-2.)   |
| · ·  | the Equal Em    | ting Committee, the Director of the Office of Federal Contract apployment Opportunity Commission all reports due under the |
| [ ] Yes  | [ ] No          | [] Reports not required  |
| 3. Have you participated i equal opportunity clause? | in any previo   | ous contracts or subcontracts subject to the   |
| [ ] Yes  | [ ] No          |  |
| If you checked "No" to que                           | estion (1) or ( | (2) above, please provide an explanation:  |
|  |                 |  |
|  |                 |  |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| HIGHLAND EQUITIES LP  |  |
|---|--|
| (Print or type exact legal name of Disclosing Party)  |  |
| By: Out Oluti (Sign here)   |  |
| Dana Plotkin (Print or type name of person signing)   |  |
| Vice President, Highland Capital UC (Print or type title of person signing)                             |  |
| Signed and sworn to before me on (date) <u>June 3, 20.</u> at <u>Roole</u> County <u>Junes</u> (state). | <u>119</u> ,   |
| Notary Public   | "OFFICIAL SEAL" SCOTT G PERDUE                                   |
| Commission expires: 4/26/2021   | Notary Public, State of Illinois My Commission Expires 4/26/2021 |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes          | [x] No                       |   |
|------------------|------------------------------|---|
| which such perso | on is connected; (3) the nam | te and title of such person, (2) the name of the legal entity to the and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship. |
|                  |                              |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|         |                     | t to MCC Section 2-92-416?   |
|---------|---------------------|--|
| [ ] Yes | [x] No              |  |
| • •     | • • •               | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| [ ] Yes | [ ] No              | [x] The Applicant is not publicly traded on any exchange.  |
| •       | scofflaw or problen | entify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
|         | <del></del>         |  |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| _ |
|---|

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Discl  | osing Party submitting this  | EDS. Include d/b/a/ if applicable:  |
|---|--|---|
| Fulton West Loop Cedar I  | Lawrence LLC   |   |
| Check ONE of the followi  | ng three boxes:  |   |
| the contract, transaction or "Matter"), a direct or indire name:  OR 3. \[ \sum \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | rently holding, or anticipate other undertaking to which ct interest in excess of 7.5% | ed to hold within six months after City action on<br>this EDS pertains (referred to below as the<br>6 in the Applicant. State the Applicant's legal |
| B. Business address of the  | Disclosing Party: 1020 W   | . Lawrence Ave, Suite 300 Chicago, Illinois 60640   |
| C. Telephone:   | Fax:   | Email: asamoylovich@cedarst.com   |
| D. Name of contact person   | : Alex Samoylovich   | <u> </u>  |
| E. Federal Employer Identi  | ification No. (if you have or  | ne):  |
| F. Brief description of the property, if applicable):   | Matter to which this EDS p   | ertains. (Include project number and location of  |
| Zoning amendment for pro  | operties located at 4750 N. Wi   | nthrop  |
| G. Which City agency or d   | epartment is requesting this   | EDS? Zoning: Dept. of Planning & Development  |
| If the Matter is a contract be complete the following:  | eing handled by the City's I   | Department of Procurement Services, please  |
| Specification #   | and C  | ontract #   |
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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PART  | Y   |
|---|---|
| Indicate the nature of the Disclosing Parson     Publicly registered business corporation     Privately held business corporation     Sole proprietorship     General partnership     Limited partnership     Trust | Arty:  X Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify)   |
| 2. For legal entities, the state (or foreign cour Illinois  | ntry) of incorporation or organization, if applicable:  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign en  | e of Illinois: Has the organization registered to do atity?   |
| ☐ Yes ☐ No  | X Organized in Illinois   |
| B. IF THE DISCLOSING PARTY IS A LEG   | AL ENTITY:  |
| the entity; (ii) for not-for-profit corporation are no such members, write "no members which similar entities, the trustee, executor, administ limited partnerships, limited liability comparence."                 | oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or at of the Applicant. |
| NOTE: Each legal entity listed below must su  | ıbmit an EDS on its own behalf.   |
| Name Fulton West Loop Cedar LLC   | Title<br>Manager  |
| indirect, current or prospective (i.e. within 6 n ownership) in excess of 7.5% of the Applicant   | concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including t. Examples of such an interest include shares in a hip or joint venture, interest of a member or manager in a   |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name   | Business Address   | Percentage Interest in the          | Applicant          |
|--|--|-------------------------------------|--------------------|
| Fulton West Loop Cedar                         | 1020 W. Lawrence Ave<br>Suite 300, Chicago, IL                               | 100%                                |                    |
| Ltc LLC  | 60640 60640  |                                     |                    |
| SECTION III IN                                 | COME OR COMPENSATION T   | O, OR OWNERSHIP BY, CIT             | Y ELECTED          |
|  | arty provided any income or compeceding the date of this EDS?                | nsation to any City elected offici  | al during the X No |
| _  | Party reasonably expect to provide a g the 12-month period following the     | -                                   | ny City<br>  X No  |
| If "yes" to either of the describe such income | ne above, please identify below the eor compensation:                        | name(s) of such City elected offi   | cial(s) and        |
| inquiry, any City elec                         | d official or, to the best of the Discleted official's spouse or domestic pa | rtner, have a financial interest (a |                    |
| Yes  | Municipal Code of Chicago ("MCC  X  No                                       |                                     |                    |
| -  | ify below the name(s) of such City of the financial interest(s).             | elected official(s) and/or spouse(s | s)/domestic        |
|  |  |                                     |                    |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)                     | Business<br>Address                                   | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---|---|---|
| (Add shoots if passessess)   |   |   |   |
| (Add sheets if necessary)  |   |   |   |
| X Check here if the Disc   | closing Part  | y has not retained, nor expects to re   | tain, any such persons or entities.   |
| SECTION V CERTII   | FICATION  | S   |   |
| A. COURT-ORDERED   | CHILD SU  | PPORT COMPLIANCE  |   |
|  |   | antial owners of business entities the support obligations throughout the   |   |
|  | •   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe  |   |
| Yes X No   | No person d   | lirectly or indirectly owns 10% or m  | nore of the Disclosing Party.   |
| If "Yes," has the person e is the person in compliant                              |   | a court-approved agreement for pay agreement?   | ment of all support owed and  |
| Yes No   |   |   |   |
| B. FURTHER CERTIFIC  | CATIONS   |   |   |
| Procurement Services.] I<br>Party nor any Affiliated E<br>performance of any publi | n the 5-year<br>Entity <u>[see</u> d<br>c contract, t | the Matter is a contract being handle<br>period preceding the date of this E<br>efinition in (5) below] has engaged,<br>he services of an integrity monitor,<br>ance consultant (i.e., an individual of | DS, neither the Disclosing in connection with the independent private sector                              |

- Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records: making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
|  |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
|  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

| MCC Section 2-3  |   | because it or any of its affiliates (as defined in nin the meaning of MCC Chapter 2-32, explain  |
|--|---|--|
|  |   |  |
|  | ," the word "None," or no response umed that the Disclosing Party certi   | appears on the lines above, it will be ified to the above statements.  |
| D. CERTIFICAT  | TION REGARDING FINANCIAL I  | NTEREST IN CITY BUSINESS   |
| Any words or terr  | ns defined in MCC Chapter 2-156 l   | nave the same meanings if used in this Part D.   |
| after reasonable in  |   | the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter?  |
| Yes  | X No  |  |
| <u> </u>   | necked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed t   | to Items D(2) and D(3). If you checked "No" o Part E.  |
| official or employ<br>other person or er<br>taxes or assessme<br>"City Property Sa | ree shall have a financial interest in<br>atity in the purchase of any property<br>nts, or (iii) is sold by virtue of legal | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | nvolve a City Property Sale?  |  |
| Yes  | No  |  |
| -  |   | imes and business addresses of the City officials ify the nature of the financial interest:  |
| Name   | Business Address  | Nature of Financial Interest   |
|  |   |  |
|  |   |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  |
|---|
| In The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| CECTION VI. CEDTIFICATIONS FOR FEREDALLY FUNDER MATTERS   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts or behalf of the Disclosing Party with respect to the Matter.)   |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to party person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1  Page 9 of 15                      |

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant?  |       |
|---|-------|
| ☐ Yes ☐ No  |       |
| If "Yes," answer the three questions below:   |       |
| Have you developed and do you have on file affirmative action programs pursuant to applie federal regulations? (Sec 41 CFR Part 60-2.)  Yes  No   | cable |
| <ol> <li>Have you filed with the Joint Reporting Committee, the Director of the Office of Federal C Compliance Programs, or the Equal Employment Opportunity Commission all reports due und applicable filing requirements?</li></ol> |       |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?   No  |       |
| If you checked "No" to question (1) or (2) above, please provide an explanation:  |       |
|   |       |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Fulton West Loop Cedar Lawrence LLC  |   |
|--|---|
| (Print or type exact legal name of Disclosing Party)                             |   |
| By: A  |   |
| (Sign here)  |   |
| Alex Samoylovich   |   |
| (Print or type name of person signing)   |   |
| Manager  |   |
| (Print or type title of person signing)  |   |
| Signed and sworn to before me on (date) May 3  at Cook County, Ollinois (state). | "OFFICIAL SEAL"   |
| Notary Public  | SCOTT G PERDUE Notary Public, State of Itlinois My Commission Expires 4/26/2021 |
| Commission expires: 4/36/303/  |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| currently have a "familial relationship" with an elected city official or department head?   | •    |
|--|------|
| Yes X No   |      |
| If yes, please identify below (1) the name and title of such person, (2) the name of the legal entitle which such person is connected; (3) the name and title of the elected city official or department here whom such person has a familial relationship, and (4) the precise nature of such familial relationsh | d to |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|       |                     | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|-------|---------------------|---|
| Yes   | X No                |   |
| • •   | • • •               | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| Yes   | No                  | X The Applicant is not publicly traded on any exchange.   |
| • ' ' | scofflaw or problem | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
|       |                     |   |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes   |
|---|
| □No   |
| N/A − I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.  |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain.                                       |
|   |
|   |
|   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disc  | closing Party submitting this                            | EDS. Include d/b/a/ if applicable:  |
|--|--|---|
| Fulton West Loop Cedar   | LLC  |   |
| Check ONE of the follow  | ing three boxes:   |   |
| 1. the Applicant OR 2. X a legal entity cu the contract, transaction or "Matter"), a direct or indirect name: Fulton West Loop | other undertaking to which ct interest in excess of 7.5% | EDS is:  ed to hold within six months after City action of this EDS pertains (referred to below as the // in the Applicant. State the Applicant's legal |
|  | 9  | of control of the Applicant (see Section II(B)(1) ing Party holds a right of control:   |
| B. Business address of the   | Disclosing Party: 1020 W                                 | /. Lawrence Ave, Suite 300 Chicago, Illinois 60640  |
| C. Telcphone:  | Fax:   | Email: asamoylovich@cedarst.com   |
| D. Name of contact person  | 1: Alex Samoylovich                                      |   |
| E. Federal Employer Iden   | tification No. (if you have o                            | ne):  |
| F. Brief description of the property, if applicable):  | Matter to which this EDS p                               | pertains. (Include project number and location o  |
| Zoning amendment for pr  | operties located at 4750 N. W                            | inthrop   |
| G. Which City agency or o  | department is requesting this                            | s EDS? Zoning: Dept. of Planning & Development  |
| If the Matter is a contract be complete the following:   | peing handled by the City's l                            | Department of Procurement Services, please  |
| Specification #  | and C  | Contract #  |
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# **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

| A. NATURE OF THE DISCLOSING PARTY  | Y   |  |  |  |  |
|--|---|--|--|--|--|
| 1. Indicate the nature of the Disclosing Particle Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust  | Arty:  X Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  Yes No Other (please specify)  |  |  |  |  |
| 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:  Illinois   |   |  |  |  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign ent  | e of Illinois: Has the organization registered to do tity?  |  |  |  |  |
| Yes No   | X Organized in Illinois   |  |  |  |  |
| B. IF THE DISCLOSING PARTY IS A LEG.   | AL ENTITY:  |  |  |  |  |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compared to the compar | oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant. |  |  |  |  |
| NOTE: Each legal entity listed below must sul  | bmit an EDS on its own behalf.  |  |  |  |  |
| Name<br>CSCP II LLC  | Title<br>Manager  |  |  |  |  |
| indirect, current or prospective (i.e. within 6 m  | oncerning each person or legal entity having a direct or nonths after City action) beneficial interest (including . Examples of such an interest include shares in a  |  |  |  |  |
|  | ip or joint venture, interest of a member or manager in a   |  |  |  |  |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Business Address Percentage Interest in the Applicant Edgar D. Jannotta, Jr. Revocable Trust P.O. Box 504 Teton Village, WY 83025 8.10% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? ] Yes X No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? X No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? X No Yes If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)  | Business<br>Address  | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.                              |
|---|--|--|--|
| (Add sheets if necessary)   | ı  |  |  |
| X Check here if the Disc  | closing Part   | y has not retained, nor expects to re  | tain, any such persons or entities.  |
| SECTION V CERTII  | FICATION   | S  |  |
| A. COURT-ORDERED  | CHILD SU   | PPORT COMPLIANCE   |  |
|   |  | antial owners of business entities the support obligations throughout the  |  |
|   |  | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe   |  |
| Yes X No  | No person d  | lirectly or indirectly owns 10% or n   | nore of the Disclosing Party.  |
| If "Yes," has the person e is the person in complian  |  | a court-approved agreement for pay agreement?  | ment of all support owed and   |
| Yes No  |  |  |  |
| B. FURTHER CERTIFIC   | CATIONS  |  |  |
| Procurement Services.] I Party nor any Affiliated E performance of any publi inspector general, or integinvestigative, or other sin | n the 5-year<br>Entity <u>[see</u> d<br>c contract, t<br>grity compli<br>tilar skills, c | the Matter is a contract being handled<br>period preceding the date of this Elefinition in (5) below] has engaged,<br>the services of an integrity monitor,<br>ance consultant (i.e., an individual of<br>the designated by a public agency to help as well as help the vendors reform the | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, up the agency monitor the |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
|  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

| MCC Section 2-3  |   | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain  |
|--|---|---|
|  |   |   |
|  | " the word "None," or no response a<br>umed that the Disclosing Party certi   | appears on the lines above, it will be fied to the above statements.  |
| D. CERTIFICAT  | TON REGARDING FINANCIAL I   | NTEREST IN CITY BUSINESS  |
| Any words or terr  | ns defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.   |
| after reasonable in  |   | he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?  |
| Yes  | [X] No  |   |
| •  | necked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to   | to Items D(2) and D(3). If you checked "No" o Part E.   |
| official or employ<br>other person or en<br>taxes or assessment<br>"City Property Sa | ee shall have a financial interest in latity in the purchase of any property nts, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in   | nvolve a City Property Sale?  |   |
| Yes  | No  |   |
| <u>-</u>   | , , <u>-</u>  | mes and business addresses of the City officials fy the nature of the financial interest:   |
| Name   | Business Address  | Nature of Financial Interest  |
|  |   | ·   |
|  |   |   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Ver.2018-1

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |  |  |  |  |
|---|--|--|--|--|
| I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |  |  |  |  |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |  |  |  |  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |  |  |  |  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |  |  |  |  |
| A. CERTIFICATION REGARDING LOBBYING   |  |  |  |  |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |  |  |  |  |
|   |  |  |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |  |  |  |  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee   |  |  |  |  |

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Ap                                 | pplicant?<br>No  |
|--|--|
| If "Yes," answer the three que                                 | estions below:   |
| Have you developed and of federal regulations? (See 41     Yes | do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.)  No   |
| 2  | oint Reporting Committee, the Director of the Office of Federal Contract Equal Employment Opportunity Commission all reports due under the s?  No Reports not required |
| 3. Have you participated in a equal opportunity clause?        | any previous contracts or subcontracts subject to the  |
| If you checked "No" to questi                                  | ion (1) or (2) above, please provide an explanation:   |
|  |  |

### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Fulton West Loop Cedar LLC                           |   |
|--|---|
| (Print or type exact legal name of Disclosing Party) |   |
| By: (Sign here)                                      |   |
| Alex Samoylovich                                     |   |
| (Print or type name of person signing)               |   |
| Manager  |   |
| (Print or type title of person signing)              |   |
| Signed and sworn to before me on (date) May 3/       | 2019,   |
| at Cook County, Clunois (state).                     |   |
| Notary Public Perdue                                 |   |
| Commission expires: $\frac{4/36/3019}{}$             | "OFFICIAL SEAL" SCOTT G PERDUE Notary Public, State of Illinois My Commission Expires 4/26/2021 |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| currently have a "f | amilial relationship" with | an elected city official or department head?  |
|---------------------|----------------------------|---|
| Yes                 | X No                       |   |
| which such person   | is connected; (3) the nam  | e and title of such person, (2) the name of the legal entity to e and title of the elected city official or department head to p, and (4) the precise nature of such familial relationship. |

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|    |   |              | O, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?  |
|----|---|--------------|--|
|    | Yes                                     | x No         |  |
| th |   | • • •        | licly traded on any exchange, is any officer or director of ode scofflaw or problem landlord pursuant to MCC Section           |
|    | Yes                                     | No           | X The Applicant is not publicly traded on any exchange.  |
| as | • | w or problem | ntify below the name of each person or legal entity identified landlord and the address of each building or buildings to which |
|    |   |              |  |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes   |
|---|
| □No   |
| N/A − I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.  |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain.                                       |
|   |
|   |
|   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disc  | closing Party submit   | ing this EDS. Include d/b/a/ if app   | licable:  |
|--|--|---|---|
| CSCP II LLC  |  |   |   |
| Check ONE of the follow  | ing three boxes:   |   |   |
| the contract, transaction of "Matter"), a direct or indir name:  OR  3. x a legal entity w | irrently holding, or a<br>r other undertaking t<br>ect interest in excess<br>ith a direct or indirect<br>e entity in which the | nticipated to hold within six months o which this EDS pertains (referred of 7.5% in the Applicant. State the tright of control of the Applicant (so | to below as the e Applicant's legal see Section II(B)(1)) |
| B. Business address of the   |  | 1020 W. Lawrence Ave, Suite 300 Ch  | nicago, Illinois 60640                                    |
| C. Telephone:  | Fax:   | Email: asamoyl  | lovich@cedarst.com  |
| D. Name of contact person  | n: Alex Samoylovicl  |   |   |
| E. Federal Employer Iden   | tification No. (if you   | have one):  | · · · ;<br>;  |
| F. Brief description of the property, if applicable):                                      | : Matter to which thi  | EDS pertains. (Include project nu   | mber and location of                                      |
| Zoning amendment for pr  | roperties located at 47.   | 0 N. Winthrop   |   |
| G. Which City agency or o  | department is reques   | ing this EDS? Zoning: Dept. of Plan   | ning & Development  |
| If the Matter is a contract to complete the following:                                     | being handled by the   | City's Department of Procurement  | Services, please  |
| Specification #  | . <u> </u>   | and Contract #  |   |
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Limited liability partnership Publicly registered business corporation Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership $\overline{\text{(Is)}}$ the not-for-profit corporation also a 501(c)(3))? Limited partnership □No Other (please specify) **Trust** 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? X Organized in Illinois Yes No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Manager Alex Samoylovich Mark Heffron Manager Will Murphy Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name             | Business Address                   | Percentage | Interest in the Applica | nt |
|------------------|------------------------------------|------------|-------------------------|----|
| Alex Samoylovich | 1020 W Lawrence Ave St 300 Chicago | , IL 60640 | 60%                     |    |
| Mark Heffron     | 1020 W Lawrence Ave St 300 Chicago | , IL 60640 | 20%                     |    |
| Will Murphy      | 1020 W Lawrence Ave St 300 Chicago | , IL 60640 | 20%                     |    |

# SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

| Has the Disclosing Party provided any income or compensation to any City 12-month period preceding the date of this EDS?  | elected offici   | al during the   |
|---|------------------|-----------------|
| Does the Disclosing Party reasonably expect to provide any income or compelected official during the 12-month period following the date of this EDS?  |                  | ny City<br>X No |
| If "yes" to either of the above, please identify below the name(s) of such Cit describe such income or compensation:  | y elected offi   | cial(s) and     |
| Does any City elected official or, to the best of the Disclosing Party's knowlinquiry, any City elected official's spouse or domestic partner, have a finance Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing | cial interest (a |                 |
| Yes X No  If "yes," please identify below the name(s) of such City elected official(s) are partner(s) and describe the financial interest(s).   | nd/or spouse(    | s)/domestic     |
|   |                  |                 |

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)   | Business<br>Address   | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.    |
|--|---|--|--|
|  |   |  |  |
| (Add sheets if necessary)  |   |  |  |
| X Check here if the Disc   | closing Part  | y has not retained, nor expects to re  | tain, any such persons or entities.  |
| SECTION V CERTII   | FICATION  | S  |  |
| A. COURT-ORDERED   | CHILD SU  | PPORT COMPLIANCE   |  |
|  |   | antial owners of business entities the support obligations throughout the  | · · · · · · · · · · · · · · · · · · ·  |
| - ·  | -   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe   |  |
| Yes X No   | No person d   | lirectly or indirectly owns 10% or m   | ore of the Disclosing Party.   |
| If "Yes," has the person e is the person in compliant  |   | a court-approved agreement for pay agreement?  | ment of all support owed and   |
| Yes No   |   |  |  |
| B. FURTHER CERTIFIC  | CATIONS   |  |  |
| Procurement Services.] I Party nor any Affiliated E performance of any publi inspector general, or integral. | n the 5-year<br>Entity <u>[see</u> d<br>c contract, t<br>grity compli | the Matter is a contract being handled<br>period preceding the date of this Elementary<br>efinition in (5) below] has engaged,<br>the services of an integrity monitor,<br>ance consultant (i.e., an individual of<br>the designated by a public agency to helementary | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A   |
|--|
|  |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |
|  |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>  |
| a "financial institution" as defined in MCC Section 2-32-455(b).   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary): |  |  |  |
|--|--|--|--|
|  |  |  |  |
|  | the word "None," or no response a med that the Disclosing Party certi  | ppears on the lines above, it will be led to the above statements.   |  |
| D. CERTIFICATI   | ON REGARDING FINANCIAL II  | NTEREST IN CITY BUSINESS   |  |
| Any words or term  | s defined in MCC Chapter 2-156 h   | ave the same meanings if used in this Part D.  |  |
| after reasonable in  |  | ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?   |  |
| Yes  | X No   |  |  |
| •  | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to  | to Items D(2) and D(3). If you checked "No" o Part E.  |  |
| official or employed<br>other person or ent<br>taxes or assessmen<br>"City Property Sale   | te shall have a financial interest in lity in the purchase of any property ts, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |  |
| Does the Matter in   | volve a City Property Sale?  |  |  |
| Yes  | No   |  |  |
| •  | • • •  | mes and business addresses of the City officials fy the nature of the financial interest:  |  |
| Name   | Business Address   | Nature of Financial Interest   |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1  Page 9 of 15                    |

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Yes   | Applicant?  No  |
|---|---|
| If "Yes," answer the three  | questions below:  |
| <ol> <li>Have you developed an federal regulations? (See 4)</li> <li>Yes</li> </ol> | nd do you have on file affirmative action programs pursuant to applicable II CFR Part 60-2.)  |
| •   | Joint Reporting Committee, the Director of the Office of Federal Contract he Equal Employment Opportunity Commission all reports due under the ents?  No Reports not required |
| <ol> <li>Have you participated i equal opportunity clause?</li> <li>Yes</li> </ol>  | n any previous contracts or subcontracts subject to the   |
| If you checked "No" to que  | estion (1) or (2) above, please provide an explanation:   |
|   |   |

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| CSCP II LLC  |   |
|--|---|
| (Print or type exact legal name of Disclosing Party)                   |   |
| By: (Sign here)  |   |
| Alex Samoylovich   |   |
| (Print or type name of person signing)                                 |   |
| Manager  |   |
| (Print or type title of person signing)                                |   |
| Signed and sworn to before me on (date) Muy- at County, Uline (state). | 3/ <sub>1</sub> 2019 ,  |
| Scott & Pardue   | <b>;</b>  |
| Notary Public  | "OFFICIAL SEAL" SCOTT G PERDUE Notery Public, State of Illinois My Commission Expires 4/26/2021 |
| Commission expires: $4/26/202$   | <b>6</b>  |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| currently have a "f | 'amilial relationship" w | vith an elected city official or department head?  |
|---------------------|--------------------------|--|
| Yes                 | X No                     |  |
| which such persor   | is connected; (3) the r  | ame and title of such person, (2) the name of the legal entity to name and title of the elected city official or department head to aship, and (4) the precise nature of such familial relationship. |
|                     |                          |  |

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|     |   |                | , is the Applicant or any Owner identified as a building code o MCC Section 2-92-416?  |
|-----|---|----------------|--|
|     | Yes                                     | X No           |  |
| the |   |                | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section             |
|     | Yes                                     | No             | $\overline{X}$ The Applicant is not publicly traded on any exchange.   |
| as  | • | w or problem l | ntify below the name of each person or legal entity identified landlord and the address of each building or buildings to which |
|     |   |                |  |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes  |
|--|
| □ No   |
| $\boxed{X}$ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).            |
| If you checked "no" to the above, please explain.  |
|  |
|  |
|  |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Discl  | osing Party submitt                          | ing this EDS.                                       | Include d/b/a/ if applicabl                                | e:             |
|---|--|---|--|----------------|
| Edgar D. Jannotta, Jr. Rev  | ocable Trust                                 |   |  |                |
| Check ONE of the followi  | ng three boxes:                              |   |  |                |
| the contract, transaction or "Matter"), a direct or indire name: Fulton West Lo | rently holding, or a<br>other undertaking to | nticipated to ho<br>which this ED<br>of 7.5% in the |  | low as the     |
| OR 3.  a legal entity with State the legal name of the                          |  | ~   | ol of the Applicant (see So<br>y holds a right of control: |                |
| B. Business address of the  | Disclosing Party:                            | P.O Box 504   | Teton Village, WY 83025                                    |                |
| C. Telephone:   | Fax:   |   | Email:n  | m              |
| D. Name of contact person   |  |   |  |                |
| E. Federal Employer Identi  | ification No. (if you                        | have one):  | ······································                     |                |
| F. Brief description of the property, if applicable):                           | Matter to which this                         | EDS pertains.                                       | (Include project number                                    | and location o |
| Zoning amendment for pro  | perties located at 475                       | 0 N. Winthrop                                       |  |                |
| G. Which City agency or de  | epartment is request                         | ing this EDS?_                                      | Zoning, Department of Pla  Development                     | anning and     |
| If the Matter is a contract be complete the following:                          | eing handled by the                          | City's Departm                                      | nent of Procurement Servi                                  | ces, please    |
| Specification #   |  | and Contract  | #  |                |
| Ver.2018-1  | Pag  | ge 1 of 15  |  |                |

# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DI   | SCLOSING PARTY  |  |
|---|---|--|
| 1. Indicate the nature Person Publicly registered bus Privately held business Sole proprietorship General partnership Limited partnership X Trust   | iness corporation   | Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify)   |
| 2. For legal entities, the survey was a survey with the survey was a survey with the survey was a survey was | tate (or foreign count  | ry) of incorporation or organization, if applicable:   |
| 3. For legal entities not or business in the State of Illi  | •   | of Illinois: Has the organization registered to do ity?  |
| Yes   | X No  | Organized in Illinois  |
| B. IF THE DISCLOSING  | PARTY IS A LEGA   | AL ENTITY:   |
| the entity; (ii) for not-for-<br>are no such members, writ<br>similar entities, the trusted<br>limited partnerships, lim  | profit corporations, e "no members which e, executor, administrated liability comparinging member, managements. | olicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there have legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant. |
| NOTE: Each legal entity l   | isted below must sub  | omit an EDS on its own behalf.   |
| Name<br>Edgar D Jannotta Jr.  |   | Title<br>Trustee   |
| indirect, current or prospec<br>ownership) in excess of 7.5   | ctive (i.e. within 6 mo<br>5% of the Applicant.   | oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a p or joint venture, interest of a member or manager in a   |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Business Address Name Percentage Interest in the Applicant None SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? X No Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? ☐ Yes X No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Yes X No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)   | Business<br>Address   | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response.   |
|--|---|--|--|
|  |   |  |  |
| (Add sheets if necessary)  |   |  |  |
| X Check here if the Disc   | closing Part  | y has not retained, nor expects to re-   | tain, any such persons or entities.  |
| SECTION V CERTIF   | FICATION  | S  |  |
| A. COURT-ORDERED   | CHILD SU  | PPORT COMPLIANCE   |  |
|  | -   | antial owners of business entities th<br>I support obligations throughout the  |  |
| <b>3</b> 1   | •   | ectly owns 10% or more of the Disc<br>tions by any Illinois court of compe   |  |
| Yes XNo  | No person d   | lirectly or indirectly owns 10% or m   | nore of the Disclosing Party.  |
| If "Yes," has the person e is the person in compliance   |   | a court-approved agreement for pay agreement?  | ment of all support owed and   |
| Yes No   |   |  |  |
| B. FURTHER CERTIFIC  | CATIONS   |  |  |
| Procurement Services.] I<br>Party nor any Affiliated E<br>performance of any publi-<br>inspector general, or integ | n the 5-year<br>Entity <u>[see</u> d<br>c contract, t<br>grity compli | the Matter is a contract being handled period preceding the date of this E efinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual edgesignated by a public agency to help | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  None   |
|---|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  None  |
|   |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
|   |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
| <ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>   |
| a "financial institution" as defined in MCC Section 2-32-455(b).  |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS  Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.  1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  Yes  No  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  Yes  No  | MCC Section 2-33 here (attach additi   |  | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain  |
|--|--|--|---|
| conclusively presumed that the Disclosing Party certified to the above statements.  D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS  Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.  1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  Yes  No  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  Yes  No  1. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest: | None   |  |   |
| conclusively presumed that the Disclosing Party certified to the above statements.  D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS  Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.  1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  Yes  No  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  Yes  No  1. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest: |  |  |   |
| Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.  1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?    Yes   X No   NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?    Yes   No   No    3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:   |  | <u>.</u>   |   |
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| after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  Yes X No  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  Yes No  No  If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:   | Any words or term  | ns defined in MCC Chapter 2-156 h  | ave the same meanings if used in this Part D.   |
| NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  Yes No  No  If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:   | after reasonable in  | quiry, does any official or employe  | c of the City have a financial interest in his or   |
| to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  Yes No  No  If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:  | Yes  | X No   |   |
| official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  No  If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:  |  | ` , , <u>•</u>   | · · · · · · · · · · · · · · · · · · ·   |
| Yes No  No  If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:   | official or employ<br>other person or en<br>taxes or assessmen<br>"City Property Sal | ee shall have a financial interest in tity in the purchase of any property its, or (iii) is sold by virtue of legal e"). Compensation for property tak | this or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, cen pursuant to the City's eminent domain |
| 3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:  | Does the Matter in   | volve a City Property Sale?  |   |
| or employees having such financial interest and identify the nature of the financial interest:   | Yes  | No   |   |
| Name Business Address Nature of Financial Interest   | •  | · · · ·  |   |
|  | Name   | Business Address   | Nature of Financial Interest  |
|  |  |  |   |
|  |  |  |   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Ver.2018-1

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.   |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| Λ. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee   |

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the A                                | pplicant? No  |
|--|---|
| If "Yes," answer the three que                               | estions below:  |
| 1. Have you developed and federal regulations? (See 41       | do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.)  No  |
| _  | oint Reporting Committee, the Director of the Office of Federal Contract<br>e Equal Employment Opportunity Commission all reports due under the<br>ss?  No Reports not required |
| 3. Have you participated in a equal opportunity clause?  Yes | any previous contracts or subcontracts subject to the   |
| If you checked "No" to quest                                 | ion (1) or (2) above, please provide an explanation:  |
|  |   |

### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS; and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Edgar D. Jannotta, Jr. Revocable Trust                           |   |
|--|---|
| (Print or type exact legal name of Disclosing Party)  By:        |   |
| (Sign here) Ned Jannotta   |   |
| (Print or type name of person signing)                           | A second  |
| Trustee (Print or type title of person signing)                  |   |
| Signed and sworn to before me on (date) June 4/0                 | 2019  |
| at Cook County, Ollinsis (state).                                |   |
| at Cook County, Ollinais (state).  Scott & Perdue  Notary Public | "OFFICIAL SEAL" SCOTT G PERDUE Notary Public, State of Illinois |
| 1/. /2.2   | My Commission Expires 4/26/2021                                 |
| Commission expires: $4/26/302$                                   |   |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

|             |                   | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?   |
|-------------|-------------------|--|
| Yes         | X No              |  |
|             | ~ .               | iblicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section            |
| Yes         | No                | X The Applicant is not publicly traded on any exchange.  |
| • • • • • • | offlaw or problen | entify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
|             |                   |  |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.