

# City of Chicago



O2019-7759

# Office of the City Clerk

Document Tracking Sheet

**Meeting Date:** 

9/18/2019

Sponsor(s):

Lightfoot (Mayor)

Type:

Ordinance

Title:

Scope of services, budget and management agreement for

Special Service Area No. 22, Andersonville Street

Commission

Committee(s) Assignment:

Committee on Economic, Capital and Technology

Development

# OFFICE OF THE MAYOR CITY OF CHICAGO

LORI E. LIGHTFOOT

MAYOR

September 18, 2019

# TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing public hearings for, and renewals of, various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

#### **ORDINANCE**

**WHEREAS**, special service areas may be established pursuant to (i) Article VII, Sections 6(I) and 7(6) of the Constitution of the State of Illinois, (ii) the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act"), and (iii) the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, on November 13, 2002, the City Council of the City of Chicago (the "City Council") enacted an ordinance (the "Original Ordinance") which was published in the Journal of Proceedings of the City Council (the "Journal") for such date at pages 97169 through 97241, and which established an area known and designated as City of Chicago Special Service Area Number 22 (the "Original Area") and authorized the levy of an annual tax, for the period beginning in 2002 through and including 2011 (the "Original Services Tax"), not to exceed an annual rate of four hundred seventy-five one-thousandths of one percent (0.475%) of the equalized assessed value of the taxable property therein to provide certain special services in and for the Original Area in addition to the services provided by and to the City of Chicago (the "City") generally; and

WHEREAS, on November 15, 2012, the City Council enacted an ordinance (the "Enlargement Ordinance") which was published in the Journal for such date at pages 41266 through 41362, and which (i) enlarged the boundaries of the Original Area (such enlarged Original Area is referred to herein as the "Area"), (ii) increased the maximum rate of the Original Services Tax from an annual rate of four hundred seventy-five one-thousandths of one percent (0.475%) to five tenths of one percent (0.5%) of the equalized assessed value of the taxable property within the Area (the "Services Tax"), and (iii) extended the number of years of the authorization of the levy of the Services Tax for a period beginning in 2012 through and including year 2026 in order to provide the Special Services (as hereinafter defined) in and for the Area in addition to the services provided by and to the City generally; and

**WHEREAS**, the Original Ordinance and the Enlargement Ordinance are herein collectively referred to as the "Establishment Ordinance"; and

WHEREAS, certain funds in Fund 338 ("Fund 338") in the amount of \$17,651 are available for use in connection with the Area; and

WHEREAS, the Establishment Ordinance established the Area as that territory consisting approximately of the area fronting Clark Street from the south side of Victoria Street to the north side of Ainslie Street, the south side of Balmoral Street from Clark Street to the east side of Ashland Avenue; and

WHEREAS, the Special Services authorized in the Establishment Ordinance include, but are not limited to: recruitment of new businesses to the Area, rehabilitation activities, maintenance and beautification activities, new construction, security, promotional and advertising activities, strategic planning for the Area, and other technical assistance activities to promote commercial and economic development (which may include, but are not limited to, streetscape improvements, strategic transit/parking improvement including parking management studies, and enhanced land use oversight and control initiatives) (collectively, the "Special Services"); and

WHEREAS, the Establishment Ordinance provided for the appointment of the Andersonville Street Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the Service Provider Agreement (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as <u>Exhibit A</u> and hereby made a part hereof; now, therefore,

#### Be It Ordained by the City Council of the City of Chicago:

**SECTION 1.** <u>Incorporation of Preambles</u>. The preambles of this ordinance are hereby incorporated herein as if set out herein in full.

**SECTION 2.** Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

# ANDERSONVILLE STREET SPECIAL SERVICE AREA COMMISSION SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2020 and ending December 31, 2020.

	EXPENDITURES
Service Provider Agreement for the provision of Special	
Services	\$282,674
TOTAL BUDGET REQUEST	\$282,674
SOURCE OF FUNDING Tax levy at an annual rate not to exceed 0.5 percent of the equalized assessed value of the taxable property	
within Special Service Area Number 22	\$229,111
Fund 338	\$17,651
Carryover funds currently available from prior years	\$35,500

Late collections received by the City attributable to the levy of the Services Tax in prior years, along with interest income thereon, if any

\$412

- **SECTION 3.** Levy of Taxes. There is hereby levied pursuant to (i) the provisions of Article VII, Sections 6(a) and 6(I)(2) of the Constitution of the State of Illinois, (ii) the provisions of the Act, and (iii) the provisions of the Establishment Ordinance, the sum of \$229,111 as the amount of the Services Tax for the year 2019.
- **SECTION 4.** Filing. The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 31, 2019, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the year 2019 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.
- SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with Andersonville Chamber of Commerce, an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation, the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.
- **SECTION 6.** Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.
- **SECTION 7**. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.
- **SECTION 8.** <u>Publication</u>. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.
- **SECTION 9.** Effective Date. This ordinance shall take effect 10 days after its passage and publication

# EXHIBIT A Budget

SEE ATTACHED

# Exhibit A Budget

	Special Service Area # 22
SSA Name	Andersonville

#### 2020 BUDGET SUMMARY

Budget and Services Period - January 1, 2020 through December 31, 2020

	[	2019	Levy	[			
CAT	EGORY	Collectable Levy	Estimated Loss Collection	Carryover Funds	TIF Rebate Fund #338	Estimated Late Collections and Interest	Total All Sources
1.00 Custom	er Attraction	\$34,000	\$0	\$10,000	\$0	\$0	\$44,000
2.00 Public V	Vay Aesthetics	\$110,734	\$412	\$14,500	\$17,651	\$412	\$143,709
3.00 Sustain Public Place		\$1,800	\$0	\$0	\$0	\$0	\$1,800
4.00 Econon Developmen	nic/ Business t	\$10,300	\$0	\$8,500	\$0	\$0	\$18,800
5.00 Safety F	Programs	, \$5,000	\$0	\$2,500	\$0	. \$0	\$7,500
6.00 SSA Ma	nagement	\$20,365	\$0	\$0	\$0	\$0	\$20,365
7.00 Personi	nel	\$46,500	\$0		\$0	\$0	\$46,500
	Sub-total	\$228,699	\$412				
GRAND TOTALS	Levy Total	\$229	,111	\$35,500	\$17,651	\$412	\$282,674

LEVY ANALYSIS	4.4
Estimated 2019 EAV	\$48,976,036
Authonzed Tax Rate Cap	0.500%
Maximum Potential Levy Irmited by Rate Cap `	\$244,880
Requested 2019 Levy Amount	\$229,111
Estimated Tax Rate to Generate 2018 Levy	0.4678%

2018 Levy Total (in 2019 budget)	\$218,276
2019 Levy Total (in 2020 budget)	\$229,111
Percentage Change	4 96%

CARRYOVER CALCL	LATION
2019 Budget Total	\$275,176
2020 Carryover	\$35,500
Percentage	12.901%
Must be less	than 25%

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A Legal name of the Disclos	sing Party submitting this EDS. Include d/b/a/ if applicable:
Andersonville	Chamber of Commerce
Check ONE of the following	g three boxes:
Indicate whether the Disclosin  1. [X] the Applicant  OR	ng Party submitting this EDS is:
the contract, transaction or oth "Matter"), a direct or indirect name:	ntly holding, or anticipated to hold within six months after City action on her undertaking to which this EDS pertains (referred to below as the interest in excess of 7.5% in the Applicant. State the Applicant's legal
<del>-</del> -	a direct or indirect right of control of the Applicant (see Section II(B)(1)) tity in which the Disclosing Party holds a right of control:
B. Business address of the Di	isclosing Party: 5217 N. Clark St. #3 Chicago IL Welf
C. Telephone: <u>173 · 728 ·</u>	2995 Fax: 773 - 728 · 10488 Email: Sdinges@andersonville.org
	Sara Dinges
E. Federal Employer Identific	cation No. (if you have one):
property, if applicable):	fatter to which this EDS pertains. (Include project number and location of sonville Chamber of Commerce to enter int my of anicago to provide special services with SSA # 2
G. Which City agency or dep	partment is requesting this EDS? Flanning and Development.
	ng handled by the City's Department of Procurement Services, please
Specification #	and Contract #
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### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [ ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [X] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Ycs X]No [ ] Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Organized in Illinois []Yes []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. See attached UST. Name No members.

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

# 2019 Andersonville Chamber of Commerce Board of Directors

FIRST AND LAST NAME	BOARD TITLE
Sara Dinges	Executive Director
Carol Jones	President
Dean Hervechon	Co-Vice President
Ronna Hoffberg	Co-Vice President
Karin Moen Abercrombie	Treasurer
Lynn Mooney	Secretary
Michael Bransford	Director
Jean Cate	Director
Joseph Cranford	Director
Searah Deysach	Director
Paul Fehribach	Director
Ted Fournier	Director .
Amy Giordano	Director
Barbara Janusek	Director
Greg Katzman	Director
Mark Liberson	Director
JT Murray	Director

NOTE: Fact land	Landar Bard Later and a constant	dan and an it are PDC as its arms habelf
Note: Each lega Name	Business Address	Percentage Interest in the Applicant
SECTION III I	INCOME OR COMPENSATION	N TO, OR OWNERSHIP BY, CITY ELECTEI
_	g Party provided any income or con preceding the date of this EDS?	npensation to any City elected official during the [ ] Yes [X] No
	ng Party reasonably expect to provi ring the 12-month period following	de any income or compensation to any City the date of this EDS? [] Yes X] No
	f the above, please identify below tome or compensation:	the name(s) of such City elected official(s) and
inquiry, any City of Chapter 2-156 of t [ ] Yes If "yes," please ide	elected official's spouse or domestic the Municipal Code of Chicago ("M	isclosing Party's knowledge after reasonable c partner, have a financial interest (as defined in ICC")) in the Disclosing Party?

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)  Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	y Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response.	
See attached list.			
(Add sheets if necessary)			
[ ] Check here if the Disclosing Par	ty has not retained, nor expects to re	tain, any such persons or entities.	
SECTION V CERTIFICATION	NS ·		
A. COURT-ORDERED CHILD SU	JPPORT COMPLIANCE		
•	stantial owners of business entities the		
, <u>, , , , , , , , , , , , , , , , , , </u>	rectly owns 10% or more of the Disc gations by any Illinois court of comp		
[] Yes [] No [X] No person	directly or indirectly owns 10% or r	nore of the Disclosing Party.	
If "Yes," has the person entered into is the person in compliance with that	o a court-approved agreement for pay at agreement?	ment of all support owed and	
[ ] Yes			
B. FURTHER CERTIFICATIONS		·	
Procurement Services.] In the 5-ye Party nor any Affiliated Entity [see	f the Matter is a contract being hand ar period preceding the date of this E definition in (5) below] has engaged	DS, neither the Disclosing, in connection with the	

- Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

# List of 2020 Retained Parties for Andersonville SSA #22

Anticipated upon board review
Estimated \$39,200 \$38,500 \$3,245 \$16,000 \$3,000 \$7,500 \$7,500 \$22,000
Scope of Work Litter abatement, Recycling, and Pressure Washing Snow plowing and holiday decorations Audit Printing Market research Streetscape repairs Economic development and strategic planning Landscaping
Business Address 237 S Desplaines Chicago, IL 60661 6107 N Ravenswood Chicago, IL 60660 6228 N Broadway Chicago, IL 60660 5443 N Broadway Chicago, IL 60640 PO Box 5112 Evanston, IL 60204 1433 Kenilworth Ave Berwyn, IL 60402 3701 N Ravenswood Ave., Suite 205, Chicago IL 60616 PO Box 740655 Atlanta, GA 30374
Name Cleanslate by Cara Patch Landscaping A C T Group Fisheye Graphic Services Business Districts, Inc. Soberanis Construction, Inc. PLACE Consulting BrightView Landscapes, LLC

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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Certifications), the Disclosing Party must explain below:
n\a
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one) [] is [] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

	b)) is a predatory lender withi	ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
	vord "None," or no response a hat the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATION R	EGARDING FINANCIAL II	NTEREST IN CITY BUSINESS
Any words or terms defi	ned in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inquiry,		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or natity in the Matter?
[ ] Yes	[K] No	
	"Yes" to Item D(1), proceed to D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" Part E.
official or employee sha other person or entity in taxes or assessments, or "City Property Sale"). C	I have a financial interest in the purchase of any property (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter involve	a City Property Sale?	
[ ] Yes	M) No	
		mes and business addresses of the City officials fy the nature of the financial interest:
Name · · · · · · · · · · · · · · · · · · ·	Business Address	Nature of Financial Interest
*		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

E. CERTITON REGIMENTO SERVERT ENTEROUNCES
Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?		
[ ] Yes	[ ] No		
If "Yes," answer the three	questions bel	low:	
Have you developed ar federal regulations? (See [ ] Yes	•	ve on file affirmative action prog	grams pursuant to applicable
<u> </u>	the Equal En	rting Committee, the Director of apployment Opportunity Commis	
[]Yes	[ ] No	[] Reports not required	
3. Have you participated equal opportunity clause?	in any previo	ous contracts or subcontracts sub	ject to the
[ ] Yes	[ ] No		
If you checked "No" to que	estion (1) or	(2) above, please provide an exp	lanation:

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Commission expires: $07/26/2022$	OFFICIAL SEAL DAVID N OAKES NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:07/26/22
DAVID OAKES  Notary Public	,
at Cook County, 1/ (state	<del>)</del> ).
Signed and sworn to before me on (date) $8/29$	9/2019
(Print or type title of person signing)	
Frecunge Director	
(Print or type name of person signing)	
Crave Dinace	
By: (Sign here)	
(Print or type exact legal name of Disclosing Party)	
Andreamentle Chamber of Comm	<u>a</u> ∢\$

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[X] No	· ·
which such person	n is connected; (3) the nar	nc and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to hip, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[¾] No	
• •		blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	<b>[</b> ≰] No	[ ] The Applicant is not publicly traded on any exchange.
• , ,	scofflaw or probler	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

X res	
] No	
] $N/A - I$ am not an Applicant that is a "contractor" as define	d in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC S	Section 2-92-385(c)(1).
f you checked "no" to the above, please explain.	
	S
-	

X /1 V ...