

## City of Chicago



O2019-7774

## Office of the City Clerk

## **Document Tracking Sheet**

**Meeting Date:** 9/18/2019

Sponsor(s): Lightfoot (Mayor)

Type: Ordinance

Title: Sale of vacant city-owned property to VOA IL and execution

of Multi-family program loan funds to Hope Manor Village Housing LP, Hope Manor Village VOA Housing LLC for redevelopment of numerous parcels on S. Green St and S.

Sangamon St in the Englewood Tax Increment

Redevelopment Project Area to construct affordable housing

and appurtenant surface parking

Committee(s) Assignment: Committee on Housing and Real Estate



## OFFICE OF THE MAYOR

CITY OF CHICAGO

LORI E. LIGHTFOOT

September 18, 2019

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Housing, I transmit herewith an ordinance authorizing an expenditure of multi-family housing loan funds, land write down and sale of city-owned property for Hope Manor Village.

Your favorable consideration of this ordinance will be appreciated.

Very truly yours,

Mayor

## ORDINANCE

WHEREAS, the City of Chicago (the "City"), a home rule unit of government under Section 6(a), Article VII of the 1970 Constitution of the State of Illinois, has heretofore found and does hereby find that there exists within the City a serious shortage of decent, safe and sanitary rental housing available to persons of low and moderate income; and

WHEREAS, the City has determined that the continuance of a shortage of affordable rental housing is harmful to the health, prosperity, economic stability and general welfare of the City; and

WHEREAS, the City has certain funds available from a variety of funding sources ("Multi-Family Program Funds") to make loans and grants for the development of multi-family residential housing to increase the number of families served with decent, safe, sanitary and affordable housing and to expand the long-term supply of affordable housing, and such Multi-Family Program Funds are administered by the City's Department of Housing ("DOH"); and

WHEREAS,DOH has preliminarily reviewed and approved the making of a loan to Hope Manor Village Housing Limited Partnership, an Illinois limited partnership (the "Borrower"), of which the sole general partner is Hope Manor Village VOA Housing LLC, an Illinois limited liability company (the "General Partner"), of which Volunteers of America of Illinois ("VOA IL"), an Illinois not for profit corporation, and Volunteers of America National Services, a Minnesota nonprofit corporation, are the sole members, in an amount not to exceed \$3,150,000 (the "Loan"), to be funded from Multi-Family Program Funds pursuant to the terms and conditions set forth in Exhibit A attached hereto and made a part hereof; and

WHEREAS, pursuant to an ordinance adopted by the City Council of the City (the "City Council") on June 27, 2001 and published at pages 61850 through 62055 in the Journal of the Proceedings of the City Council (the "Journal") of such date: (i) a certain redevelopment plan and project ("Redevelopment Plan") for the Englewood Neighborhood Tax Increment Redevelopment Project Area ("Redevelopment Area") was approved pursuant to the Illinois Tax Increment Allocation Redevelopment Act, as amended (65 ILCS 5/11-74.4-1 et seq.) (the "Act"); (ii) the Redevelopment Area was designated as a redevelopment project area pursuant to the Act; and (iii) tax increment financing was adopted pursuant to the Act as a means of financing certain Redevelopment Area redevelopment project costs (as defined in the Act) incurred pursuant to the Redevelopment Plan; and

WHEREAS, the City owns certain vacant parcels of real property totaling approximately 1.05 acres and located within the Redevelopment Area, that are commonly known as 5922, 5930, 5950, 5958, 6033, 6035, 6037 and 6050 S. Green Street; 5930, 5932, 5944, 5947, 5956, 6100 and 6102 S. Peoria Street; and 6101 S. Sangamon Street, Chicago, Illinois, (collectively, the "Property"), which is legally described on <a href="Exhibit B">Exhibit B</a> attached hereto (subject to final title commitment and survey); and

WHEREAS, the appraised fair market value ("Fair Market Value") of the Property is approximately Twenty-Eight Thousand Five Hundred Dollars (\$28,500), which Fair Market Value is based on the assumption that there are no adverse environmental conditions affecting the Property; and

WHEREAS, the Borrower proposes to develop affordable housing on the Property and surface parking to serve such affordable housing (the "Project," as further described in <a href="Exhibit A">Exhibit A</a> attached hereto); and

WHEREAS, the Project is consistent with the Redevelopment Plan; and

WHEREAS, VOA IL has offered to purchase the Property from the City for One Dollar (\$1.00) per City parcel, totaling Sixteen Dollars (\$16.00), which is a Twenty-Eight Thousand Four Hundred Eighty-Four Dollars (\$28,484) and write-down from the Fair Market Value; and

WHEREAS, DOH published notice on three (3) separate dates – August 16, 2019, August 23, 2019 and August 30, 2019 -- each requesting alternative proposals for the redevelopment of the Property, and provided a reasonable opportunity for other persons to submit alternative bids or proposals; and

WHEREAS, no alternative proposals were received by the deadline indicated in the aforesaid notices; and

WHEREAS, by resolution adopted on August 13, 2019 as Resolution No. 19-CDC-17, the Community Development Commission of the City of Chicago authorized DOH to advertise its intention to enter into a negotiated sale with the Borrower for the redevelopment of the Property, approved DOH's request to advertise for alternative proposals, approved the sale of the Property to the Borrower as no alternative proposals had been received, and authorized DOH to recommend that the City Council approve the sale of the Property to the Borrower; and

WHEREAS, the City is willing to sell the Property to VOA IL on the condition that VOA IL thereafter promptly convey title to the Property to the Borrower by either capital contribution or sale; now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** The above recitals are expressly incorporated in and made a part of this ordinance as though fully set forth herein.

**SECTION 2.** Upon the approval and availability of the Additional Financing as shown in Exhibit A hereto, the Commissioner of DOH (the "Commissioner") and a designee of the Commissioner (collectively, the "Authorized Officer") are each hereby authorized, subject to approval by the Corporation Counsel, to enter into and execute such agreements and instruments, and perform any and all acts as shall be necessary or advisable in connection with the implementation of the Loan. The Authorized Officer is hereby authorized, subject to the approval of the Corporation Counsel, to negotiate any and all terms and provisions in connection with the Loan which do not substantially modify the terms described in Exhibit A hereto. Upon the execution and receipt of proper documentation, the Authorized Officer is hereby authorized to disburse the proceeds of the Loan to the Borrower.

**SECTION 3.** The sale of the Property to VOA IL for One Dollar (\$1.00) per City parcel, totaling Sixteen Dollars (\$16.00), is hereby approved.

**SECTION 4.** The Mayor is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, one or more quitclaim deeds conveying the Property to VOA IL.

**SECTION 5**. The Mayor of the City, a designee of the Mayor and any Authorized Officer are each hereby authorized, subject to approval by the Corporation Counsel, to enter into and execute such agreements and instruments, and perform any and all acts as shall be necessary or advisable in connection with the sale of the Property to VOA IL.

**SECTION 6.** The Project shall be deemed to qualify as "Affordable Housing" for purposes of Chapter 16-18 of the Municipal Code of Chicago (the "Code"). Section 2-44-080 of the Code shall not apply to the Project or the Property.

SECTION 7. To the extent that any ordinance, resolution, rule, order or provision of the Code, or part thereof, is in conflict with the provisions of this ordinance, the provisions of this ordinance shall control. If any section, paragraph, clause or provision of this ordinance shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the other provisions of this ordinance. To the extent that the Borrower directly or indirectly receives any funding sources in connection with the Project which require the restriction of the rental of some or all of the Property's residential dwelling units to certain categories of veterans, the provisions of Chapter 5-8 of the Code which prohibit discrimination based on "military status" because of "the fact of discharge from any such branch of the armed forces of the United States and the reasons for such discharge" as provided in subsection 3 of the definition of "military status" in Section 2-160-020 of the Code, shall not apply to the Project or the Property.

**SECTION 8.** This ordinance shall be effective as of the date of its passage and approval.

#### **EXHIBIT A**

BORROWER:

Hope Manor Village Housing Limited Partnership, an Illinois limited partnership (the "Borrower"), of which the sole general partner is Hope Manor Village VOA Housing LLC, an Illinois limited liability company (the "General Partner"), of which Volunteers of America of Illinois ("VOA IL"), an Illinois not for profit corporation, and Volunteers of America National Services ("VOANS"), a Minnesota nonprofit corporation, are the sole members, and others to be hereafter selected as limited partners

PROJECT:

Acquisition of land at 5922, 5930, 5950, 5958, 6033, 6035, 6037 and 6050 S. Green Street; 5930, 5932, 5944, 5947, 5956, 6100 and 6102 S. Peoria Street; and 6101 S. Sangamon Street, Chicago, and construction thereon of 12 two-flat buildings and 4 three-flat buildings containing approximately 36 two-bedroom dwelling units for low-income households with a preference for veterans and their families and approximately 28 spaces of on-site parking

LOAN:

Source:

Multi-Family Program Funds

Amount:

Not to exceed \$3,150,000 Not to exceed 32 years

Term: Interest:

Three (3) percent per annum

Security:

Non-recourse loan; mortgage on the Property (the "City

Mortgage") junior to the lien of the Bridge Loan Mortgage

Repayment:

Balloon Payment upon maturity

## ADDITIONAL FINANCING:

1. Amount:

Not to exceed \$8,400,000 (the "Bridge Loan")

Term:

Not to exceed 42 months, or another term acceptable to the

Authorized Officer

Source:

BMO Harris Bank N.A., or another source acceptable to the

Authorized Officer

Interest:

A variable rate of interest not to exceed eight percent per

annum, or another rate or rates acceptable to the

Authorized Officer

Security:

Recourse loan; first lien mortgage (the "Bridge Loan Mortgage") on the Property senior to the lien of the City Mortgage, and a pledge of capital contributions, tax credits, general partner interests, rental subsidy agreements and rental subsidies with Chicago Low-Income Housing Trust Fund, and other security acceptable to the Authorized

Officer

2. Low-Income Housing Tax Credit ("LIHTC") Proceeds: Approximately \$10,250,000, all or a portion of which may be

paid in on a delayed basis, and used, among other purposes, to retire all or a portion of the Bridge Loan

Source: To be derived from the syndication of \$1,083,000 LIHTC per

annum allocation by Illinois Housing Development Authority

("IHDA")

3. Amount: Approximately \$250,000

Term: Not to exceed 32 years

Source: Capital Magnets Fund to be funded by VOANS, or another

lender acceptable to the Authorized Officer

Interest: A fixed rate of interest not to exceed eight percent per

annum, or another rate or rates acceptable to the

**Authorized Officer** 

Security: Recourse mortgage on the Property junior to the lien of the

City Mortgage, or other security acceptable to the

**Authorized Officer** 

Repayment: Available cash flow with Balloon Payment upon maturity

4. Amount: Approximately \$540,000 Term: Not to exceed 32 years

Source: VOA IL, derived from the proceeds of an affordable housing

grant ("AHP") from the Federal Home Loan Bank Chicago ("FHLBC"), or another source acceptable to the Authorized Officer; VOA IL shall lend the AHP proceeds to the Borrower

Interest: A fixed rate of interest not to exceed eight percent per

annum, or another rate or rates acceptable to the

**Authorized Officer** 

Security: Recourse mortgage on the Property junior to the lien of the

City Mortgage, Affordable Housing Program Recapture Agreement, or other security acceptable to the Authorized Officer. The note and mortgage evidencing this loan will be collaterally assigned to BMO Harris Bank N.A. as the

participating FHLBC member bank during the AHP 15-year

compliance period

Repayment: Balloon Note upon maturity.

5. Amount: Approximately \$400,000

Term: Not to exceed 32 years
Source: VOA IL, derived from the proceeds of a Home Depot grant,

the proceeds of which shall be loaned to the Borrower by VOA IL, or another source acceptable to the Authorized

Officer

Interest: A fixed rate of interest not to exceed eight percent per

annum, or another rate or rates acceptable to the

**Authorized Officer** 

Security: Recourse mortgage on the Property junior to the lien of the

City Mortgage, or other security acceptable to the

Authorized Officer

Repayment: Available cash flow with Balloon Payment upon maturity

6. Amount: Approximately \$83,500 Not to exceed 32 years

Term: Sources:

(1) Seller Financing Loan provided by VOA IL in connection with its sale of the Property to the Borrower, plus (2) \$55,000 grant received by VOA IL for the Project, the proceeds of which shall be loaned to the Borrower by VOA IL, or another source acceptable to the Authorized Officer

A fixed rate of interest not to exceed eight percent per

annum, or another rate or rates acceptable to the

**Authorized Officer** 

Security:

Interest:

Recourse mortgage on the Property junior to the lien of the

City Mortgage, or other security acceptable to the

**Authorized Officer** 

Repayment:

Available cash flow with Balloon Payment upon maturity

7. Amount: \$100

Source:

General Partner

## **EXHIBIT B**

#### LEGAL DESCRIPTION OF PROPERTY

## PARCEL 1:

LOT 41 IN BLOCK 4 IN MIFFLIN'S SUBDIVISION OF BLOCKS 3 AND 4 OF THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 2:

LOT 40 IN BLOCK 4 IN MIFFLIN'S SUBDIVISION OF BLOCKS 3 AND 4 OF THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 3:

LOT 35 IN BLOCK 4 IN MIFFLIN'S SUBDIVISION OF BLOCKS 3 AND 4 OF THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

## PARCEL 4:

LOT 30 IN DICKEY & BAKER'S SUBDIVISION OF BLOCK 5 IN THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 5:

LOT 30 IN BLOCK 4 IN MIFFLIN'S SUBDIVISION OF BLOCKS 3 AND 4 OF THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

## PARCEL 6:

LOT 10 IN DICKEY & BAKER'S SUBDIVISION OF BLOCK 5 IN THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 7:

LOT 13 IN DICKEY & BAKER'S SUBDIVISION OF BLOCK 5 IN THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD

PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 8

LOT 21 IN DICKEY & BAKER'S SUBDIVISION OF BLOCK 5 IN THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 9:

LOT 24 IN DICKEY & BAKER'S SUBDIVISION OF BLOCK 5 IN THOMPSON AND HOLMES SUBDIVISION OF THE EAST 45 ACRES OF THE NORTH 60 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

## PARCEL 10:

LOT 9 IN BLOCK 2 IN MINNICK'S SUBDIVISION OF THE EAST 11 1/4 ACRES OF THE SOUTH HALF OF THE SOUTH HALF OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

## PARCEL 11:

THE NORTH 5.75 FEET OF LOT 23 AND ALL OF LOT 24 (EXCEPT THAT PART THEREOF LYING NORTH OF THE SOUTH 100 RODS OF THE SOUTH 100 ACRES OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN), IN BLOCK 1 IN MINNICK'S SUBDIVISION OF THE EAST 11 ¼ ACRES OF THE SOUTH HALF OF THE SOUTH HALF OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 12:

LOT 22 EXCEPT THE SOUTH 13 FEET THEREOF AND LOT 23 EXCEPT THE NORTH 5.75 FEET IN BLOCK 1 IN MINNICK'S SUBDIVISION OF THE EAST 11 ¼ ACRES OF THE SOUTH HALF OF THE SOUTH HALF OF THE SOUTH HALF OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 13:

THE NORTH 17 FEET 2 INCHES OF LOT 21 AND THE SOUTH 13 FEET OF LOT 22 IN BLOCK 1 IN MINNICK'S SUBDIVISION OF THE EAST 11 ¼ ACRES OF THE SOUTH HALF OF THE SOUTH HALF OF THE SOUTH HALF OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### PARCEL 14:

THE NORTH HALF OF A TRACT OF LAND BEING THAT PART OF LOTS 1 AND 2 IN CROCKER'S SUBDIVISION OF THE WEST HALF OF THE SOUTHEAST QUARTER OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN DESCRIBED AS FOLLOWS: BEGINNING AT THE SOUTHWEST CORNER OF 61<sup>ST</sup> STREET AND PEORIA STREET; THENCE SOUTH 50 FEET; THENCE WEST PARALLEL TO THE SOUTH LINE OF 61<sup>ST</sup> STREET, 125.53 FEET TO THE EAST LINE OF ALLEY RUNNING NORTH AND SOUTH BETWEEN PEORIA STREET AND SANGAMON STREET; THENCE NORTHERLY ALONG EAST LINE OF SAID ALLEY 50 FEET TO THE SOUTH LINE OF SAID 61<sup>ST</sup> STREET; THENCE EAST TO THE PLACE OF BEGINNING, IN COOK COUNTY, ILLINOIS.

#### PARCEL 15:

THE SOUTH HALF OF A TRACT OF LAND BEING THAT PART OF LOTS 1 AND 2 IN CROCKER'S SUBDIVISION OF THE WEST HALF OF THE SOUTHEAST QUARTER OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN DESCRIBED AS FOLLOWS: BEGINNING AT THE SOUTHWEST CORNER OF 61<sup>ST</sup> STREET AND PEORIA STREET; THENCE SOUTH 50 FEET; THENCE WEST PARALLEL TO THE SOUTH LINE OF 61<sup>ST</sup> STREET, 125.53 FEET TO THE EAST LINE OF ALLEY RUNNING NORTH AND SOUTH BETWEEN PEORIA STREET AND SANGAMON STREET; THENCE NORTHERLY ALONG EAST LINE OF SAID ALLEY 50 FEET TO THE SOUTH LINE OF SAID 61<sup>ST</sup> STREET; THENCE EAST TO THE PLACE OF BEGINNING, IN COOK COUNTY, ILLINOIS.

## PARCEL 16:

THE NORTH 28 ½ FEET OF LOT 14 IN BLOCK 1 OF KIRKPATRICK'S SUBDIVISION OF THE NORTH PART OF THE WEST HALF OF THE SOUTHEAST QUARTER OF SECTION 17, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

#### Common addresses and PINs:

5922 S. Green St.	20-17-406-032
5930 S. Green St.	20-17-406-035
5950 S. Green St.	20-17-406-043
5958 S. Green St.	20-17-406-046
6033 S. Green St.	20-17-415-007
6035 S. Green St.	20-17-415-008
6037 S. Green St.	20-17-415-009 and 20-17-415-035
6050 S. Green St.	20-17-414-042
5930 S. Peoria St.	20-17-405-035
5932 S. Peoria St.	20-17-405-036
5944 S. Peoria St.	20-17-405-041
5947 S. Peoria St.	20-17-406-018
5956 S. Peoria St.	20-17-405-046
6100, 6102 S. Peoria St.	20-17-421-022
6101 S. Sangamon St.	20-17-421-001

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

——————————————————————————————————————		y submitting this EDS. Include d/b/a/ if applicable:
Hope Man	or Village	Housing Limited Partnership
Check ONE of the		O .
1. [M] the Appli OR 2. [] a legal enthe contract, transac "Matter"), a direct oname:	cant tity currently hold tion or other under	submitting this EDS is: ling, or anticipated to hold within six months after City action on rtaking to which this EDS pertains (referred to below as the in excess of 7.5% in the Applicant. State the Applicant's legal
	•	or indirect right of control of the Applicant (see Section II(B)(1)) hich the Disclosing Party holds a right of control:
B. Business address	of the Disclosing	Party: VOAoFIL: 47 W. BIK Street, Suite 250-2 Chicago, PL 60605
C. Telephone (312)	564-2300 F	ax: 312) 5104-2301 Email: nhughes@ Voa: 1. org
		Hughes Moyer
	J	o. (if you have one):
property, if applicab	le):	which this EDS pertains. (Include project number and location of
Ble of Cityouned	parcels (sec Nitrol	Inment A for list of prop); and 2) up to \$3, 150,000 in multiferrally Conc
	•	s requesting this EDS? Department of Housing
If the Matter is a concomplete the following	•	ed by the City's Department of Procurement Services, please
Specification #	NIA	and Contract # NIA
Ver.2018-1		Page 1 of 15

Hope Manor Village 07.16.19

Attachment A WJW #16779

## PROPERTY SUMMARY

Building Number	New Address (per CDOT House No. Certs)	Old (Survey) Address	PIN
1	5922 S. Green Street	5922 S. Green Street	2017406032
2	5930 S. Green Street	5930 S. Green Street	2017406035
3	5950 S. Green Street	5944 S. Green Street	2017406043
4	5958 S. Green Street	5950 S. Green Street	2017406046
5	6033 S. Green Street	6031 S. Green Street	2017415007
6	6035 S. Green Street	6033 S. Green Street	2017415008
7	6037 S. Green Street	6035 S. Green Street	2017415009
	ober er ereen erreer	6037 S. Green Street	2017415035
8	6050 S. Green Street	6050 S. Green Street	2017414042
9	5930 S. Peoria Street	5930 S. Peoria Street	2017405035
10	5932 S. Peoria Street	5932 S. Peoria Street	2017405036
11	5944 S. Peoria Street	5946 S. Peoria Street	2017405041
12	5947 S. Peoria Street	5947 S. Peoria Street	2017406018
13	5956 S. Peoria Street	5958 S. Peoria Street	2017405046
14	6100 S. Peoria Street	6106 S. Peoria Street	2017421022
15	6102 S. Peoria Street	0100 S. Feoria Street	2017421022
16	6101 S. Sangamon	6101 S. Sangamon	2017421001

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	
<ol> <li>Indicate the nature of the Disclosing Particle</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ ] Yes [ ] No [ ] Other (please specify)
	try) of incorporation or organization, if applicable:
<u> </u>	
3. For legal entities not organized in the State business in the State of Illinois as a foreign entitle	
[ ] Yes [ ] No	[V Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compa	plicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there h are legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name HOPE MANOR VIIIMGE VOA HOUSING	6 LLC - General Partner
indirect, current or prospective (i.e. within 6 mo ownership) in excess of 7.5% of the Applicant.	ncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a por joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

\*

Name Business Address Percentage Hope Monx Village Vol Housing, LLC - 47 W Polk St, Suit 250, (h) co	Interest in the Applicant
Voluntois Of Organia of Phinais NFP - 47 W Bly St. Suit 250, Chiloso	(W605 99% (LP)
Volunkers of America of Almus, NFP - 41 W. Polic St. Suit 250; Chriso U Volunkers of America National Servis. 1660 Duke St., Alurandria VA, 22314	10605 60,00% of 61
SECTION III INCOME OR COMPENSATION TO, OR OWNERS: OFFICIALS	HIP BY, CITY ELECTEI
Has the Disclosing Party provided any income or compensation to any City 12-month period preceding the date of this EDS?	elected official during the
Does the Disclosing Party reasonably expect to provide any income or comelected official during the 12-month period following the date of this EDS?	
If "yes" to either of the above, please identify below the name(s) of such Cidescribe such income or compensation:	ty elected official(s) and
NIA	
Does any City elected official or, to the best of the Disclosing Party's know inquiry, any City elected official's spouse or domestic partner, have a finance Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing [V No	cial interest (as defined in
If "yes," please identify below the name(s) of such City elected official(s) as partner(s) and describe the financial interest(s).	nd/or spouse(s)/domestic
NA	
SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHE	ER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Ver. 2018-1

\* It is anticipated that National Equity Fund. located @ 500 S. Grand Ave. Suite 2800, LA, CA

90071, Will acquire 99.39%. Limited Partner Interest in the applicant upon closing of

project's finance which is expected to occur when a months of city council's consideration of

author many orderance.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
See attuch	ed list		
(Add sheets if necessary)	· · · · · · · · · · · · · · · · · · ·		
[ ] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTIF	FICATION	$\mathbf{s}$	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities the support obligations throughout the	
		ectly owns 10% or more of the Disc tions by any Illinois court of compe	
[] Yes [] No [V	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person er is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
[]Yes []No			

## **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Hope Manor Village Retained Parties

Name	Business Address	City	State	diz	role	estimated fees
Kowalenko Group	484 Central Avenue	Highland Park	=	60035	Subcontractor	15,000
American Marketing Services	527 S. Wells, suite 400	Chicago	<b>-</b>	60607	Subcontractor	12,525
Applegate Thorn Thomsen	425 S. Financial Place, Suite 19 Chicago	19 Chicago	<u></u> !	60605	Attorney	130,000
Title Services	610 E. Roosevelt Rd	Wheaton	<b>=</b>	60187	Subcontractor	25,000
ECS Midwest	9824 Industrial Dr	Bridgeview	ᆜ	60630	Subcontractor	20,000
Milner and Caringella, Inc	1803 St. Johns	Highland Park	<b>-</b>	60035	Subcontractor	325,000
Worn Jerabek Wilste Architects	401 W SUPERIOR	Chicago	_	60654	Subcontractor	517,700
JJ Duffy Company	4994 N. Elston Ave	Chicago	ᆜ		Subcontractor	10,562,159
Edward Malloy & Associates	1236 Mark Street	Bensenville	<u></u>	60106	Subcontractor	40,000
Accumarket Appraisals	1411 Peterson Ave	Park Ridge	<b>=</b>	89009	Subcontractor	2,100
Energy Diagnostics	405 E. Archer Way	Valpariso	z	46383	Subcontractor	26,000

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
NIA
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)  [ ] is [ [ ] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32	-455(b)) is a predatory lender with	because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
here (attach addition	nal pages if necessary):	
	NA	
	the word "None," or no response a ned that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATION	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inc	uiry, does any official or employe the name of any other person or e	he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[ ] Yes	[VNo	
	cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employed other person or enti- taxes or assessment "City Property Sale	e shall have a financial interest in laty in the purchase of any property s, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter inv	olve a City Property Sale?	
[ ] Yes	[ ] No	
-	• • •	mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
		N. Carlotte and Car
		PRO NOTE A STATE OF THE PROPERTY OF THE PROPER
	Party further certifies that no proh y official or employee.	ibited financial interest in the Matter will be

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## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
1
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
NIA
\
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party t  Yes	he Applicant? [ ] No	
If "Yes," answer the three	e questions be	elow:
<ol> <li>Have you developed federal regulations? (Se [VYes]</li> </ol>		ave on file affirmative action programs pursuant to applicable t 60-2.)
Compliance Programs, o	or the Equal Er	orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the [] Reports not required
	d in any previ	ous contracts or subcontracts subject to the
If you checked "No" to q	uestion (1) or	(2) above, please provide an explanation:

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Hope Manor Village Housing Limited Partnership (Print or type exact legal name of Disclosing Party)	
By: Mull (Sign horse)	
Nancy Hughes Mayer (Print or type name of person signing)	,
(Print or type name of person signing)  (Print or type title of person signing)  (Print or type title of person signing)	rer
Signed and sworn to before me on (date) Avgust 19, 2019	
at Cock County, IL (state).  Notary Public	
Commission expires: $3/25/23$	
OFFICIAL SEAL DOUGLAS W. DE JONG NOTARY PUBLIC, STATE OF ILLINOIS NOTARY PUBLIC STATE OF ILLINOIS OFFICIAL SEAL NOTARY PUBLIC STATE OF ILLINOIS NOTARY PUBLIC STATE OF ILLINOIS NOTARY PUBLIC STATE OF ILLINOIS	

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ <b>N</b> No		
which such perso	n is connected; (3) the na	ame and title of such person, (2) the name of the legal entity ame and title of the elected city official or department head ship, and (4) the precise nature of such familial relationship	to
		NIA	
		W	

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		-	is the Applicant or any Owner identified as a building code MCC Section 2-92-416?
[ ]	Yes	[V] No	·
	pplicant identified as	• •	cly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section
[]	Yes	[ ] No	[V The Applicant is not publicly traded on any exchange.
as a bu		or problem la	tify below the name of each person or legal entity identified and the address of each building or buildings to which
			JIA
			×1.H

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

## PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[] No
[ ] No [V/N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.
, <u> </u>

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
HOPE MANOR VILLAGE VOA HOUSING, LLC (baneval Partner, O.11. of applica
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [ ] the Applicant OR 2. [ ] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:
3. [V] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: HOPE MANOR VIII AGE HOUSING, LIMITED VANTABOSHIP
B. Business address of the Disclosing Party: Whole 47 W lole Street, Juile 250-2  [Ni(aqo, N. le0le 0 5]
C. Telephone (31) 7/04-2300 Fax: (312) 5/04-2301 Email: nhughes @ Voqil. org
D. Name of contact person: Nancy Hughes Moyer
E. Federal Employer Identification No. (if you have one):
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):
) Sale of chyowned Parcels (see Mthichment A for list of properties); and 2) up to \$3,150,000 in multifamiliations
G. Which City agency or department is requesting this EDS? DEPARTMENT OF HOUSING
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # NIA and Contract # NIA
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# Hope Manor Village 07.16.19

## Attachment A WJW #16779

## PROPERTY SUMMARY

Building Number	New Address (per CDOT House No. Certs)	Old (Survey) Address	PIN	
1	5922 S. Green Street	5922 S. Green Street	2017406032	
2	5930 S. Green Street	5930 S. Green Street	2017406035	
3	5950 S. Green Street	5944 S. Green Street	2017406043	
4	5958 S. Green Street	5950 S. Green Street	2017406046	
5	6033 S. Green Street	6031 S. Green Street	2017415007	
6	6035 S. Green Street	6033 S. Green Street	2017415008	
7	6037 S. Green Street	6035 S. Green Street	2017415009	
	ood of order order	6037 S. Green Street	2017415035	
8	6050 S. Green Street	6050 S. Green Street	2017414042	
9	5930 S. Peoria Street	5930 S. Peoria Street	2017405035	
10	5932 S. Peoria Street	5932 S. Peoria Street	.2017405036	
11	5944 S. Peoria Street	5946 S. Peoria Street	2017405041	
12	5947 S. Peoria Street	5947 S. Peoria Street	2017406018	
13	5956 S. Peoría Street	5958 S. Peoria Street	2017405046	
14	6100 S. Peoria Street	CARC C. Doorio Charat	2047404000	
15	6102 S. Peoria Street	6106 S. Peoria Street	2017421022	
16	6101 S. Sangamon	6101 S. Sangamon	2017421001	

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Limited liability company Person [ ] Publicly registered business corporation [ ] Limited liability partnership Privately held business corporation [ ] Joint venture [ ] Sole proprietorship Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes [ ] No [ ] Trust [ ] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Minois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois [ ] Yes []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Volunkers of america of Th Volunters of america Notural Services limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Perc	entage Interest	in the App	licant 🛴
Hope Manor Village	VIA Housing LLC-	47 WBIKSt Chicago	IL 60605	0.01%	(6P)
lolunkers of america	VOA HOUSING LLC- WOFFLINDLS, NFP_4	7 WRIKST, Chicago	The 60005	99:1:1	LP)
Voluntum of amenic	a ot Illibois, NFP - L i National Servius, 1	NW Blkst. Chros	WI-60605	(00%	of 6P
Voluntees ot america	i Natural Servius, 1	640 Duke St, Mexan	dnaVA 22311	1 40%	of 6P
	COME OR COMPEN	SATION TO, OR OW	VNERSHIP BY	, CITY E	LECTE
OFFICIALS					
	arty provided any inconceding the date of this E		any City elected		uring the
				_	
<del>-</del>	Party reasonably expect g the 12-month period f	~ *	•	-	No No
If "yes" to either of the describe such income	ne above, please identify or compensation:	y below the name(s) of	such City electe	ed official(	s) and
	NIA				
inquiry, any City elec	d official or, to the best sted official's spouse or Municipal Code of Chic [V] No	domestic partner, have	a financial inter	rest (as de	
	fy below the name(s) or the financial interest(		cial(s) and/or sp	ouse(s)/do	mestic
	NIA				
SECTION IV - DIS	CLOSUDE OF SURC	ONTRACTORS AND	ATTED DET	PAINEDI	OADTIE

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
NIA			
(Add sheets if necessary)			
Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	$\mathbf{s}$	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
		antial owners of business entities th I support obligations throughout the	
arrearage on any child su	pport obliga	ectly owns 10% or more of the Disc tions by any Illinois court of compe	etent jurisdiction?
[]Yes []No [V]	, No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person earlier the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes			
B. FURTHER CERTIFIC	CATIONS		

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
NIA
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS  Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D  1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  [] Yes  [] No  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "Not to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [] Yes  [] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officior employees having such financial interest and identify the nature of the financial interest:  Name  Business Address  Nature of Financial Interest		MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):				
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS  Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D  1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his cher own name or in the name of any other person or entity in the Matter?  [] Yes  [No  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "Not to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [] Yes  [] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officior employees having such financial interest and identify the nature of the financial interest:		AIA				
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D  1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his cher own name or in the name of any other person or entity in the Matter?  [] Yes  NO  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [] Yes  [] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officior employees having such financial interest and identify the nature of the financial interest:		•	• •			
1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his content own name or in the name of any other person or entity in the Matter?  [] Yes  [No  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [] Yes  [] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officior employees having such financial interest and identify the nature of the financial interest:	D. CERTIFICATI	ON REGARDING FINANCIAL	NTEREST IN CITY BUSINESS			
after reasonable inquiry, does any official or employee of the City have a financial interest in his of her own name or in the name of any other person or entity in the Matter?  [] Yes [No]  NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City electrofficial or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [] Yes [] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officior employees having such financial interest and identify the nature of the financial interest:	Any words or term	as defined in MCC Chapter 2-156 l	have the same meanings if used in this Part D.			
NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [] Yes [] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officior employees having such financial interest and identify the nature of the financial interest:	after reasonable in	quiry, does any official or employe	ee of the City have a financial interest in his or			
to Item D(1), skip Items D(2) and D(3) and proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [ ] Yes [ ] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officior employees having such financial interest and identify the nature of the financial interest:	[ ] Yes	[No				
official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [ ] Yes [ ] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officion employees having such financial interest and identify the nature of the financial interest:						
[] Yes [] No  3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officion employees having such financial interest and identify the nature of the financial interest:	official or employed other person or ent taxes or assessment "City Property Sale	te shall have a financial interest in ity in the purchase of any property its, or (iii) is sold by virtue of legale"). Compensation for property tale.	this or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain			
3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officion employees having such financial interest and identify the nature of the financial interest:	Does the Matter in	volve a City Property Sale?				
or employees having such financial interest and identify the nature of the financial interest:	[ ] Yes	[ ] No				
Name Business Address Nature of Financial Interest	-	, , , , , , , , , , , , , , , , , , ,	•			
	Name	Business Address	Nature of Financial Interest			
		,				

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
NIA
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts or behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Bowt, has not agent and will not assent during federally assessment of fundate me

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the [ ] Yes	Applicant? [ No	•
If "Yes," answer the three	questions be	low:
Have you developed ar federal regulations? (See 4)     [ ] Yes	-	ve on file affirmative action programs pursuant to applicable 60-2.)
Compliance Programs, or applicable filing requirement	the Equal Enents?	rting Committee, the Director of the Office of Federal Contract inployment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[] Reports not required
<ol> <li>Have you participated i equal opportunity clause?</li> <li>Yes</li> </ol>	in any previo	ous contracts or subcontracts subject to the
If you checked "No" to que	estion (1) or	(2) above, please provide an explanation:

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

HOPEMANORVIIAGE VOAHOUSING, LLC
(Print or type exact legal name of Disclosing Party)
By: Muybuekes Mayor
Nancy Hughes Myer
(Print or type name of person signing)
Print or type title of person signing) Village VOA HOUSING, LLC GP. tapplicant
(Print or type title of person signing) VI llace VOA HOUSING, LLC (6P. + applicant
,
Signed and sworn to before me on (date) $8/29/19$ ,
at Ook County, IL (state).
Jahr Ja My
Notary Public
Commission expires: $\frac{3}{25/23}$

OFFICIAL SEAL
DOUGLAS W. DE JONG
NOTARY PUBLIC, STATE OF ILLINOIS

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes

[ No

	identify below (1) then is connected; (3) the		,	_ ,
-	on has a familial relat	•	,	

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

					), is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
	[	] <b>Y</b> e	es	No	
the 2-9	A 22-	ppli 416'	cant identified a	s a building co	licly traded on any exchange, is any officer or director of ode scofflaw or problem landlord pursuant to MCC Section
	[	] Ye	es ×	[ ] No	The Applicant is not publicly traded on any exchange.
as	a b	uild		w or problem	ntify below the name of each person or legal entity identified landlord and the address of each building or buildings to which
				Ŋ	IA

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ]·Yes
[ ] No [ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
VOLUNTEERS OF AMERICA OF THINOIS (MEMBEROFGP)
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [V a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: HOPE MANNIC VILLAGE HOUSING, MANNIC VILLAGE HOUSING, MANNICOURTED PARTNERS HOUSING.
3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: WASTA: 47 W BIK Street, Juit 250-2  [thurbo, The leoloos
C. Telephone: (3/2) 5/64-2300 Fax (3/2) 5/64-2301 Email: Nughts @ Vail. org  D. Name of contact person: Nancy Hughes Moyer
E. Federal Employer Identification No. (if you have one):
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):
1) Sale of Cityounal Parcels (se Assum A for list of Parals); 2) up to \$13,150,000 in moltifamily fund
G. Which City agency or department is requesting this EDS? DEPARTMENT OF HOUSING
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # NA and Contract # NIA
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### **PROPERTY SUMMARY**

Building Number	New Address (per CDOT House No. Certs)	Old (Survey) Address	PIN	
1	5922 S. Green Street	5922 S. Green Street	2017406032	
2	5930 S. Green Street	5930 S. Green Street	2017406035	
3	5950 S. Green Street	5944 S. Green Street	2017406043	
4	5958 S. Green Street	5950 S. Green Street	2017406046	
5	6033 S. Green Street	6031 S. Green Street	2017415007	
6	6035 S. Green Street	6033 S. Green Street	2017415008	
7	6037 S. Green Street	6035 S. Green Street	2017415009	
	3007 3. 3.0011 3.1001	6037 S. Green Street	2017415035	
8	6050 S. Green Street	6050 S. Green Street	2017414042	
9	5930 S. Peoria Street	5930 S. Peoria Street	2017405035	
10	5932 S. Peoria Street	5932 S. Peoria Street	2017405036	
11	5944 S. Peoria Street	5946 S. Peoria Street	2017405041	
12	5947 S. Peoria Street	5947 S. Peoria Street	2017406018	
13	5956 S. Peoria Street	5958 S. Peoria Street	2017405046	
14	6100 S. Peoria Street	6106 S. Peoria Street	2047424022	
15	6102 S. Peoria Street	o ioo a. Peoria Street	2017421022	
16	6101 S. Sangamon	6101 S. Sangamon	2017421001	

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE	E DISCLOSING PART	Y
1. Indicate the nat [ ] Person [ ] Publicly registered [ ] Privately held busin [ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust	ness corporation	[ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ M Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ M Yes [ ] No [ ] Other (please specify)
2. For legal entities, the		ntry) of incorporation or organization, if applicable:
	ot organized in the State Illinois as a foreign en	of Illinois: Has the organization registered to do tity?
[ ] Yes	[ ] No	Organized in Illinois
B. IF THE DISCLOSI	NG PARTY IS A LEG	AL ENTITY:
the entity; (ii) for not- are no such members, v similar entities, the tru limited partnerships, each general partner, m	for-profit corporations write "no members which istee, executor, adminis limited liability compa	plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or enies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or to of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

NOMEMBERS WHICH ARE LEGAL ENTINES / BOARD OF DIRECTORS
LIST ATTACHED POL REFERENCE BY ATTACHMENT B

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

# **Volunteers of America of Illinois Board of Directors**

Nancy Hughes Moyer - President/CEO

Dan Kardatzke- Board Chair / Executive / Governance Committee Chair

Calvin Gin - Vice Chair/ Risk Management/ Program Committee Chair

Carlos Arroyo - Treasurer

**Brian Spang-Secretary** 

**Robert Minetz** 

Carlos Garcia

Kari Blankenship-Finance Committee Chair

**Pauline Ozols** 

Michael McMahon-Fundraising Committee Chair

Jack Thurston - Board Development Committee Chair

Eric Lazar

# Other Executive Officers not serving on the board

David Savage - Chief Financial Officer

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name  Business Address  Percentage In  OF MANNOLVILLAGE VOA HOUSING LUC- 47 W BOLK ST. (Micago Pr. Un  O JUNKER OT GMENZA OF PILINUS-47 W POLK ST. Chicago Pr. Un  O JUNKUM OT GMENZA OT PILINUS - 47 W POLK ST. Chicago Pr. LIDLE  (OLUMBUM OT GMENZA NOUTINES - 1400 QUEST, MOXIMANA V I SECTION III - INCOME OR COMPENSATION TO, OR OWNERSH  OFFICIALS	99:/. ( 105- (00: A 22314 4	01% (61) W) 00% of 6 10.00% of
Has the Disclosing Party provided any income or compensation to any City of 12-month period preceding the date of this EDS?	elected official	during the
Does the Disclosing Party reasonably expect to provide any income or compelected official during the 12-month period following the date of this EDS?	-	y City [\forall No
If "yes" to either of the above, please identify below the name(s) of such City describe such income or compensation:	/ elected offici	al(s) and
NIA		
Does any City elected official or, to the best of the Disclosing Party's knowled inquiry, any City elected official's spouse or domestic partner, have a financial Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing [ ] Yes [ ] No	ial interest (as	
If "yes," please identify below the name(s) of such City elected official(s) and partner(s) and describe the financial interest(s).	d/or spouse(s)/	domestic

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
NIA			
(Add sheets if necessary)	\		
[V Check here if the Disc	losing Party	y has not retained, nor expects to ret	ain, any such persons or entities.
SECTION V CERTIF	ICATION	S	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
		antial owners of business entities that I support obligations throughout the	<b>▼</b>
arrearage on any child sur	port obliga	ectly owns 10% or more of the Disc tions by any Illinois court of compe	tent jurisdiction?
[]Yes []No [ <b>V</b> ]1	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person er is the person in compliance		a court-approved agreement for payagreement?	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] In Party nor any Affiliated Enperformance of any public inspector general, or integrinvestigative, or other similars.	n the 5-year ntity <u>[see</u> de contract, th rity complia ilar skills, d	he Matter is a contract being handle period preceding the date of this EI efinition in (5) below] has engaged, he services of an integrity monitor, it ance consultant (i.e., an individual of esignated by a public agency to help is well as help the vendors reform the	OS, neither the Disclosing in connection with the ndependent private sector r entity with legal, auditing, p the agency monitor the

tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee,

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
NIA
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)  [ ] is [V is not]
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

	NIA	
	"," the word "None," or no response sumed that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.
D. CERTIFICAT	TION REGARDING FINANCIAL	INTEREST IN CITY BUSINESS
Any words or ter	ms defined in MCC Chapter 2-156 l	have the same meanings if used in this Part D.
after reasonable i		the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter?
[ ] Yes	No	
•	hecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" to Part E.
official or employ other person or er taxes or assessme "City Property Sa	yee shall have a financial interest in ntity in the purchase of any property ents, or (iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain the meaning of this Part D.
Does the Matter i	nvolve a City Property Sale?	
[ ] Yes	[ ] No	
•	` * * * <b>-</b>	imes and business addresses of the City officials ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest

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# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
NIA
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1  Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Part	y the Applicant?	,
[ ] Yes	[V No	•
If "Yes," answer the t	hree questions be	elow:
Have you develop federal regulations? (		ave on file affirmative action programs pursuant to applicable t 60-2.)
<del>-</del>	s, or the Equal Entrements?	orting Committee, the Director of the Office of Federal Contractions and Proportion of the Office of Federal Contractions and Proports due under the [ ] Reports not required
equal opportunity clas	ıse?	ous contracts or subcontracts subject to the
[ ] Yes	[ ] No	•
If you checked "No" t	o question (1) or	(2) above, please provide an explanation:
		The second contract of

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

<b>f</b> .
Volunteurs of america of Minois
(Print or type exact legal name of Disclosing Party)
By: Manustuckes Mayer
(Sign here)
Nancy Huahes Mayer
(Print or type name of person signing)
President : CEO
(Print or type title of person signing)
Signed and sworn to before me on (date) $8/29/j9$ ,
at <u>Cook</u> County, <u>IL</u> (state).
The fell
Notary Public
Commission expires: $\frac{3}{25}$
and the same of th
OFFICIAL SEAL OUGLAS W. DE JONG DOUGLAS W. DE JUNION NOTARY PUBLIC, STATE OF ILLINOIS
Commission

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ <b>V</b> ] No	
which such persor	is connected; (3) the nai	ame and title of such person, (2) the name of the legal entity tame and title of the elected city official or department head to ship, and (4) the precise nature of such familial relationship.
\		

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

scofflaw or probl	em landlord pursuant	0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[VNo	·
	ntified as a building c	blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[VThe Applicant is not publicly traded on any exchange.
as a building code		entify below the name of each person or legal entity identified landlord and the address of each building or buildings to which
	NIA	

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[√N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing	g Party submitting this EDS. Include d/b/a/ if applicable:
<u> </u>	F AMERICA NATIONAL SERVICES
Check ONE of the following th	iree boxes:
the contract, transaction or other	Party submitting this EDS is:  y holding, or anticipated to hold within six months after City action on undertaking to which this EDS pertains (referred to below as the erest in excess of 7.5% in the Applicant. State the Applicant's legal
State the legal name of the entity	irect or indirect right of control of the Applicant (see Section II(B)(1)) in which the Disclosing Party holds a right of control:
B. Business address of the Discl	osing Party: 1660 DUKE STREET  ALEXANDRIA, UA 22314
C. Telephone: 703- 341-50	© Fax: 7υ3-341-7ου, Email: JA 60 R10~ 0 UOA, 0,26
D. Name of contact person:	TAGE GORNON
E. Federal Employer Identification	ion No. (if you have one):
property, if applicable):	PLEASE SEE ATTACKMENT A;  NOTELTY CUTY FULLS FOR AFFORMATICE GOLDING DEVELOPMENT
	ment is requesting this EDS? Dest of was we
	nandled by the City's Department of Procurement Services, please
Specification #	and Contract # WA
Ver.2018-1	Page 1 of 15

WJW #16779

Hope Manor Village 07.16.19

# PROPERTY SUMMARY

Building Number	New Address (per CDOT House No. Certs)	Old (Survey) Address	PIN	
1	5922 S. Green Street	5922 S. Green Street	2017406032	
2	5930 S. Green Street	5930 S. Green Street	2017406035	
3	5950 S. Green Street	5944 S. Green Street	2017406043	
4	5958 S. Green Street	5950 S. Green Street	2017406046	
5	6033 S. Green Street	6031 S. Green Street	2017415007	
6	6035 S. Green Street	6033 S. Green Street	2017415008	
7	6037 S. Green Street	6035 S. Green Street	2017415009	
<u> </u>		6037 S. Green Street	2017415035	
8	6050 S. Green Street	6050 S. Green Street	2017414042	
9	5930 S. Peoria Streét	5930 S. Peoria Street	2017405035	
10	5932 S. Peoria Street	5932 S. Peoria Street	2017405036	
11	5944 S. Peoria Street	5946 S. Peoria Street	2017405041	
12	5947 S. Peoria Street	5947 S. Peoria Street	2017406018	
13	5956 S. Peoria Street	5958 S. Peoria Street	2017405046	
14	6100 S. Peoria Street	6106 S. Peoria Street	2017421022	
15	6102 S. Peoria Street	O FOO S. FEODIA SUPER	201742   022	
16	6101 S. Sangamon	6101 S. Sangamon	2017421001	

# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PART	Y
1. Indicate the nature of the Disclosing Pa [ ] Person [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust	[ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ Yes [ ] No [ ] Other (please specify)
2. For legal entities, the state (or foreign cour	ntry) of incorporation or organization, if applicable:
3. For legal entities not organized in the State business in the State of Illinois as a foreign en	e of Illinois: Has the organization registered to do tity?
✓Yes []No	[ ] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compared."	oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant.
NOTE: Each legal entity listed below must su	bmit an EDS on its own behalf.
Name SEE ATTALLES LIST.	Title
No WELL BERS WHILL ALE LE	EGAL ENTITIES
	1
indirect, current or prospective (i.e. within 6 m ownership) in excess of 7.5% of the Applicant	oncerning each person or legal entity having a direct or nonths after City action) beneficial interest (including a Examples of such an interest include shares in a ip or joint venture, interest of a member or manager in a

# Volunteers of America National Services Board of Directors and Officers July 2019

Mr. Michael King (ex-officio)
President
President/CEO, Volunteers of America, Inc.
1660 Duke Street
Alexandria, VA 22314

Ms. Jane W. Burks Board Chair (07/01/17 - 06/30/20) 2<sup>nd</sup> Term Retired

Mr. C. David Kikumoto (07/01/17 – 06/30/20) 3<sup>rd</sup> Term Board Vice Chair President – Product Development & Risk Division MEDNAX Health Solutions Partner 5445 DTC Parkway Greenwood Village, CO 80111

Ms. Edwina Carrington
Board Secretary
(07/01/18 - 06/30/21) 2<sup>nd</sup> Term
President
CHK Enterprises, LLC
404 Ccdar Oak Drive
West Lake Hills, TX 78746

Ms. Patti Andreini Arnold Board Treasurer (07/01/19 - 06/30/22) 2<sup>nd</sup> Term Retired

Mr. Derrick N. Perkins
Director
(07/01/19 – 06/30/22) 1st Term
SVP; Market Executive
Bank of America Merrill Lynch
1800 K St NW 6th Floor
Washington, DC 20006

Director (7/01/18 - 06/30/21) 3<sup>rd</sup> Term Market President AmeriHealth Caritas District of Columbia 1250 Maryland Avenue S.W., Suite 500 Washington, DC 20024

Mr. Steve Wakefield Director (07/01/19 - 06/30/22) 3<sup>rd</sup> Term Buckner International 700 N. Pearl Street Suite 1200 Dallas, TX 75201

Mr. James LeBlanc
Director
(07/01/19 - 06/30/22) 3<sup>rd</sup> Term
President/CEO
VOA Greater New Orleans
4152 Canal Street
New Orleans, LA 70119

Mr. Andy Edeburn
Director
(07/01/18 - 06/30/21) 2<sup>nd</sup> Term
Principal - Performance Partners
Premier, Inc.
4121 Maple Hurst Dr S
Rockford MN 55373

Ms. Nancy Rase Director (07/01/19 - 06/30/22) 2<sup>nd</sup> Term Retired

Mr. Keith Knapp, Ph.D.
Director
(07/01/19 - 06/30/22) 2<sup>nd</sup> Term
Associate Professor
Bellarmine University
2001 Newburg Rd - M320
Louisville, KY 40205

Ms. Katen M. Dale, R.N., MSN

# Volunteers of America National Services Board of Directors and Officers July 2019

Ms. Robin Keller Assistant Secretary VP, Workforce Housing Development 1660 Duke Street Alexandria, VA 22314

Ms. Nancy Gavin
Assistant Secretary/ Treasurer
VP, Financial Services
Volunteers of America National Services
7530 Market Place Drive
Eden Prairie, MN 55344

Mr. Joe Budzynski
Assistant Secretary/ Treasurer
EVP & CFO of Organizational Services
Volunteers of America, Inc.
1660 Duke Street
Alexandria, VA 22314

Ms. Sharon Wilson Geno Assistant Secretary/Treasurer EVP, Chief Operating Officer, National Services Volunteers of America, Inc. 1660 Duke Street Alexandria, VA 22314

Patrick Sheridan Assistant Secretary EVP, Housing Volunteers of America, Inc. 1660 Duke Street Alexandria, VA 22314

Mr. Robert Gibson
Assistant Secretary/ Treasurer
EVP, Chief of Staff.
Volunteers of America, Inc.
1660 Duke Street
Alexandria, VA 22314

Ms. Paith Nutz
Assistant Secretary/Treasurer
Healthcare Controller
Volunteers of America National Services
7530 Market Place Drive
Eden Prairie, MN 55344

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf.

	Name !	Business Address	Percentage In	terest in the A	pplicant			
でで	MANON JULAGE	JOA WOUSING CEC 47 W. MOLKS	T. #150 C416460	0.01 %	(GP)			
ساص	MOOK OF ALERLY	OFTUNOIS, 47 W. NOUL ST #25	o cucheo bobot	91.99 %	(UP)			
حسناه	resus of pushic	A OF THUMS YOU MOULT \$250	Chicago 60605	60,00 20	F 61			
صننان	teneds of America	A OF THUMIS YOU POLL ST, #2550 A NATURAL SOURCES, 1640 DIMES	ST, MEXAMALIA, UM	40.00 %				
	SECTION III OFFICIALS	INCOME OR COMPENSATION	TO, OR OWNERSH	TP BY, CITY	ELECTEI			
		g Party provided any income or com preceding the date of this EDS?	pensation to any City $\epsilon$	elected official	during the			
	_		•					
		ng Party reasonably expect to providuring the 12-month period following		-	City No			
	If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:							
	Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?  [] Yes  [] No							
	•	entify below the name(s) of such City cribe the financial interest(s).	y elected official(s) and	d/or spouse(s)/	domestic			
		) <sub>A</sub>	, 					
	· ·		† !					

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Ver.2018-1

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IT IS ANTILITATED THAT NATIONAL EQUATY FUND, LOCATED AT 500 S. GAMES AND,

STE. LJOU LOS AND ETES, LA. 90071, WILL ACQUITE FO. 99 TO HANTED PARTIENT INTEREST
IN THE APPLICANT WAN LLOSIAGE OF PROTECT'S FINANCE, WHICH CLOSIAGE IS EXPORTED
TO OCCUP LITTUR 6 HONTES OF CITY COUNTIL'S CONSTITUTATION OF AUTUMNIQUE ONTOLUME.

retained or anticipated to be retained)	Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.	
(Add sheets if necessary)	)			
[ Check here if the Dis	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.	
SECTION V CERTIF	FICATION	S		
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE				
		antial owners of business entities th I support obligations throughout the	<del>-</del>	
· •	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	~ .	
[]Yes []No [4]	No person d	lirectly or indirectly owns 10% or m	nore of the Disclosing Party.	
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and	
[.] Yes [] No				
B. FURTHER CERTIFIC	CATIONS			
Procurement Services.] I Party nor any Affiliated I performance of any publi inspector general, or integ	In the 5-year Entity <u>[see</u> d ic contract, t grity compli	the Matter is a contract being handle period preceding the date of this E efinition in (5) below] has engaged, he services of an integrity monitor, ance consultant (i.e., an individual designated by a public agency to he	DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing,	

2. The Disclosing Party and its Affiliated Entitics are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared incligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is  is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-3	, ,	because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	,	
·	" the word "None," or no response a	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	ION REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[ ] Yes	[/] No	
	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employ other person or en taxes or assessment "City Property Sal	ee shall have a financial interest in latify in the purchase of any property ats, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	nvolve a City Property Sale?	
[ ] Yes	[ ] No	
		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
		·
	g Party further certifies that no proh ity official or employee.	ibited financial interest in the Matter will be

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"
appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts or behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pa

any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?	
[ ] Yes [YNo	
If "Yes," answer the three questions belo	ow:
Have you developed and do you have federal regulations? (See 41 CFR Part 6     No     No	e on file affirmative action programs pursuant to applicable 0-2.)
	ing Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the
[] Yes [] No	[] Reports not required
3. Have you participated in any previou equal opportunity clause?	s contracts or subcontracts subject to the
[] Yes [] No	
If you checked "No" to question (1) or (2	2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[JNo	
which such person	is connected; (3) the nam	e and title of such person, (2) the name of the legal entity to e and title of the elected city official or department head to p, and (4) the precise nature of such familial relationship.
	-/ A	

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[YNo	
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	The Applicant is not publicly traded on any exchange.
	offlaw or problem	lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which
	<sub>م</sub> کر	

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -- GENERAL INFORMATION

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A. Legal name of the Disclosing Party submitti NEF Assignment Corporation, as nomine	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submittin  1. [ ] the Applicant OR 2. [X] a legal entity currently holding, or an the contract, transaction or other undertaking to	nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal
3. [ ] a legal entity with a direct or indirect State the legal name of the entity in which the D	right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:	10 S. Riverside Plaza, Suite 1700
	Chicago, Illinois 60606
C. Telephone: 312-697-6474 Fax: none	Email: pnorum@nefinc.org
D. Name of contact person: Patricia O'Brien	Norum, Esq.
E. Federal Employer Identification No. (if you	have one):
·	EDS pertains. (Include project number and location of
Sale of City owned Parcels. See Attachment A for a list	of addresses of properties.
2. Up to \$3,150,000 in Multi-Family Funds	
G. Which City agency or department is request	ing this EDS? Department of Housing
If the Matter is a contract being handled by the complete the following:	City's Department of Procurement Services, please
Specification # NA	and Contract # NA

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [ ] Limited liability company [ ] Limited liability partnership [ ] Publicly registered business corporation Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [X] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes [X] No [ ] Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [X] Organized in Illinois []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of 1. the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title (i) See attached List of Officers and Directors. (ii) National Equity Fund, Inc., an Illinois not-for-profit corporation, is the Disclosing Party's Sole Voting Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Name None	Business Address	Percentage Interest in the A	Applicant
SECTION III - OFFICIALS	- INCOME OR COMPENSATION	N TO, OR OWNERSHIP BY, CITY	Y EĻECTĒT
	ing Party provided any income or conditional preceding the date of this EDS?	npensation to any City elected officia	nl during the [X] No
	sing Party reasonably expect to providuring the 12-month period following	de any income or compensation to an the date of this EDS? [ ] Yes	y City [X] No
	of the above, please identify below to	he name(s) of such City elected offic	cial(s) and
inquiry, any City Chapter 2-156 o [ ] Yes If "yes," please	y elected official's spouse or domestic f the Municipal Code of Chicago ("M [X] No	isclosing Party's knowledge after reast partner, have a financial interest (as ICC")) in the Disclosing Party?	defined in

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response
(Add sheets if necessary)			
[X] Check here if the Disc	closing Party	y has not retained, nor expects to re	tain, any such persons or entities
SECTION V CERTII	FICATION	S	·
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
	•	antial owners of business entities th I support obligations throughout the	
	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	<del>-</del> •
[] Yes [X] No []]	No person d	lirectly or indirectly owns 10% or m	nore of the Disclosing Party.
If "Yes," has the person e is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] I Party nor any Affiliated E performance of any publi inspector general, or integinvestigative, or other sin	n the 5-year Entity [see d c contract, t grity compli nilar skills, o	the Matter is a contract being handled period preceding the date of this E efinition in (5) below] has engaged he services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to he as well as help the vendors reform to	DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the

2 The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3 The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  NA
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  NA
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)  [ ] is [X] is not
a "financial institution" as defined in MCC Section 2-32-455(b)
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32	-455(b)) is a predatory lender withi	because it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
here (attach addition NA	onal pages if necessary):	•
· ·	the word "None," or no response a	ppears on the lines above, it will be fied to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL II	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inc		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[ ] Yes	[X] No	
	ccked "Yes" to Item D(1), proceed to tems D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No," o Part E.
official or employe other person or ent taxes or assessmen "City Property Sale	e shall have a financial interest in lity in the purchase of any property ts, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	<b>,</b>
[ ] Yes	[-] No	
		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
	•	
4. The Disclosing	· · · · · · · · · · · · · · · · · · ·	ibited financial interest in the Matter will be
acquired by any Ci	ty official or employee.	

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#### E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2) Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  NA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2 The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver 2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the [ ] Yes	Applicant? [X] No
If "Yes," answer the three of	uestions below:
Have you developed an federal regulations? (See 4	d do you have on file affirmative action programs pursuant to applicable  1 CFR Part 60-2.)  [ ] No
Compliance Programs, or tapplicable filing requireme	Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the ints?  [] No [] Reports not required
equal opportunity clause?	any previous contracts or subcontracts subject to the
If you checked "No" to que	stion (1) or (2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

NEF Assignment Corporation, as nominee an Illinois not-for-profit corporation

Commission expires: 0110312023

an filmois not-for-profit corporation
(Print or type exact legal name of Disclosing Party)
By: (6):
(Sign here) $\angle$
Michael Rogers
(Print or type name of person signing)
Senior Vice President - General Counsel
(Print or type title of person signing)
Signed and sworn to before me on (date) O8/27/2019
at Cook County, Illinois (state).
Notary Public Official Seal Anthony Falcone

My Commission Expires 01/03/2023

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[X No

	<del>-</del> -		1
which such pers	e identify below (1) the name son is connected; (3) the name son has a familial relationship	and title of the elected city of	official or department head to
		At modern and	

[]Yes

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[X] No	3
		olicly traded on any exchange, is any officer or director of ode scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
as a building code	· ·	ntify below the name of each person or legal entity identified landlord and the address of each building or buildings to which
	100	·

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<a href="www.amlegal.com">www.amlegal.com</a>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

# Exhibit A NEF ASSIGNMENT CORPORATION LIST OF OFFICERS, DIRECTORS AND MEMBERS CHICAGO ECONOMIC DISCLOSURE STATEMENT

Names and Addresses	Title
Directors:	
Peter Harrison	Director
National Equity Fund, Inc.	Director
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Michael A. Jacobs	Director
National Equity Fund, Inc.	Pincolor
10 South Riverside Plaza, Suite 1700	
Chicago, IL 60606-3908	
Judy Schneider	Director
National Equity Fund, Inc.	Director
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Officers:	
Matthew R. Reilein	President and Chief Executive Officer
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Kevin D. Boes	Senior Vice President
New Markets Support Company	1
168 North Clinton Street, 4th Floor	
Chicago, IL 60661	
Reena Bramblett	Senior Vice President
National Equity Fund, Inc.	
1000 SW Broadway, Suite #1000	
Portland, OR 97205	
Alex Denja	Senior Vice President, Chief Financial Officer
National Equity Fund, Inc.	and Chief Operating Officer
10 South Riverside Plaza, Suite 1700	
Chicago, IL 60606-3908	
Peter Harrison	Semor Vice President
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Chicago, IL 60606-3908	
Michael A. Jacobs	Senior Vice President
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Chicago, II. 60606-3908	
Judy Schneider	Senior Vice President and Assistant Secretary
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Michael Rogers	Senior Vice President, General Counsel and
National Equity Fund, Inc.	Secretary
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	0 : 11: 1
Mark Stranovic	Senior Vice President
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Ch:cago. Illinois 60606-3908	
Member:	
National Equity Fund, Inc.	Sole Voting Member
10 South Riverside Plaza, Suite 1700	
Chicago Illinois 60606-3908	

### ATTACHMENT A LIST OF PROPERTIES

5	92	7	C	Gr	66	n	S+	r۵	٥t
3	JZ		э.	UI.	בכ	11	ЭL	ı	cι

5930 S. Green Street

5950 S. Green Street

5958 S. Green Street

6033 S. Green Street

6035 S. Green Street

6037 S. Green Street

6050 S. Green Street

5930 S. Peoria Street

5932 S. Peoria Street

5944 S. Peoria Street

5947 S. Peoria Street

5956 S. Peoria Street

6100 S. Peoria Street

6102 S. Peoria Street

6101 S. Sangamon Street

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
NEF Community Investments, Inc. *
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [ ] the Applicant OR
2. [X] a legal entity currently holding, or anticipated to hold within six months after City action or the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:  Hope Manor Village Housing Limited Partnership
OR 3. [ ] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 10 S. Riverside Plaza, Suite 1700
Chicago, Illinois 60606
C. Telephone: 312-697-6474 Fax: none Email: pnorum@nefinc.org
D. Name of contact person: Patricia O'Brien Norum, Esq.
E. Federal Employer Identification No. (if you have one):
<ul> <li>F. Brief description of the Matter to which this EDS pertains. (Include project number and location o property, if applicable):</li> <li>1. Sale of City Owned Parcels - See Attachment A for list of properties</li> <li>2. Up to \$3,150,000 in Multi-Family Funds.</li> </ul>
G. Which City agency or department is requesting this EDS? Department of Housing
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # NA and Contract # NA
Von 2019 1

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\* At Closing, NEF Assignment Corporation ("NEFAC"), as nominee, will acquire a 99.99% limited partnership interest in the Applicant Sometime in the future, National Equity Fund ("NEF") will obtain approval from an investor, or various investors, for acceptance of Project Partnership into an investment fund ("Fund") managed by NEF Community Investments Corporation ("NEFCI")

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosin [ ] Person [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust	[ ] Limited liability company
2. For legal entities, the state (or foreign Illinois	country) of incorporation or organization, if applicable:
3. For legal entities not organized in the business in the State of Illinois as a foreign	State of Illinois: Has the organization registered to do on entity?
[ ] Yes [ ] No	[X] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A	LEGAL ENTITY:
the entity; (ii) for not-for-profit corpora are no such members, write "no members similar entities, the trustee, executor, adm limited partnerships, limited liability co	if applicable, of: (i) all executive officers and all directors of ations, all members, if any, which are legal entities (if there which are legal entities"); (iii) for trusts, estates or other ministrator, or similarly situated party; (iv) for general or ompanies, limited liability partnerships or joint ventures, manager or any other person or legal entity that directly or ement of the Applicant.
NOTE: Each legal entity listed below mu	st submit an EDS on its own behalf.
Name (i) See attached List of Officers and Dire	Title ectors.
(ii) National Equity Fund, Inc. is the Sole	e Voting member of the Disclosing Party
indirect, current or prospective (i.e. within	ion concerning each person or legal entity having a direct or n 6 months after City action) beneficial interest (including icant. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

	legal en	tity listed below may be required			
Name None	i,	Business Address	Percentage 1	Interest in the	Applicant
SECTION II OFFICIALS		OME OR COMPENSATION	TO, OR OWNERS	НІР ВУ, СІТ	Y ELECTED
		rty provided any income or comeding the date of this EDS?	pensation to any City	elected offici	al during the [X] No
		arty reasonably expect to provid the 12-month period following			ny City [X] No
•		e above, please identify below the or compensation:	ne name(s) of such Ci	ty elected offi	cial(s) and
inquiry, any ( Chapter 2-156 [ ] Yes	City elect 6 of the N	official or, to the best of the Disted official's spouse or domestic Municipal Code of Chicago ("Mo [X] No	partner, have a finan CC")) in the Disclosing	cial interest (a ng Party?	s defined in
		e the financial interest(s).			
		1			

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	IS .	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
	•	antial owners of business entities the support obligations throughout the	· · · · · · · · · · · · · · · · · · ·
2 1	•	ectly owns 10% or more of the Disc ations by any Illinois court of compo	•
[ ] Yes [X] No [ ]	No person o	directly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
[]Yes []No			
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] I	n the 5-yea	the Matter is a contract being handl r period preceding the date of this E definition in (5) belowl has engaged	DS, neither the Disclosing

- Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  NA
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  NA
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  NA
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):  NA
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.
1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
[ ] Yes [X] No
NOTE: If you checked "Yes" to Item $D(1)$ , proceed to Items $D(2)$ and $D(3)$ . If you checked "No" to Item $D(1)$ , skip Items $D(2)$ and $D(3)$ and proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City electe official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?
[ ] Yes [ ] No
3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest:
Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  NA
<u> </u>
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the A	Applicant?  XI No	
If "Yes," answer the three q	estions below:	
federal regulations? (See 4	do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.)  ] No	
•	oint Reporting Committee, the Director of the Office of Federal Contra e Equal Employment Opportunity Commission all reports due under the ts?	
[ ] Yes	[] No [] Reports not required	
equal opportunity clause?	any previous contracts or subcontracts subject to the	
[ ] Yes	] No	
If you checked "No" to ques	tion (1) or (2) above, please provide an explanation:	
		_

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### CERTIFICATION

Under penalty of perjury, the person signing below (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

NEF Community Investments, Inc.,

an Illinois not-for-profit corporation	
(Print or type exact legal name of Disclosing Par	ty)
By. / 21	·
(Sign here)	
Michael Rogers	
(Print or type name of person signing)	
Senior Vice President - General Counsel	
(Print or type title of person signing)	
Signed and sworn to before me on (date)	27/2019
at Cook County, Illinois (st.	ate).
Sall &	<u>.</u>
Notary Public	Official Seal Anthony Falcone Notary Public State of Illinois My Commission Expires 01/03/2023
Commission expires: 01/03/2023	£

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ ] No	
which such person	entify below (1) the name and title of such person is connected; (3) the name and title of the elected has a familial relationship, and (4) the precise natural	city official or department head to

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The Disclosing Party, NEF Community Investments, Inc., does not, and will not, have a direct ownership interest in the Applicant.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

			, is the Applicant or any Owner identified as a building code o MCC Section 2-92-416?
[	] Yes	[ ] No	
he A			icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section
[	] Yes	[ ] No	[ .] The Applicant is not publicly traded on any exchange.
as a b	• • • • • • • • • • • • • • • • • • • •	v or problem l	ntify below the name of each person or legal entity identified landlord and the address of each building or buildings to which
,	· ·		·
	The Disclosing Par ownership interest	_	munity Investments, Inc., does not, and will not, have a direct ant.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] Yes
[ ] No
[X] $N/A - I$ am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.
·

#### Exhibit A

#### NEF COMMUNITY INVESTMENTS, INC. LIST OF OFFICERS, DIRECTORS AND MEMBERS CHICAGO ECONOMIC DISCLOSURE STATEMENT

Names and Addresses	Title
Directors:	
Matthew R. Reilein	Director
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	\
Judy Schneider	Director
National Equity Fund, Inc.	Birector
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Mark Siranovic	Director
National Equity Fund, Inc.	Bricetor
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Officers:	
Matthew R. Reilein	President and Chief Executive Officer
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Kevin D. Boes	Senior Vice President
National Equity Fund, Inc.	, in the second
c/o New Markets Support Company	
168 North Clinton Street, 4th Floor	
Chicago, IL 60661	
Reena Bramblett	Senior Vice President
National Equity Fund, Inc.	
1000 SW Broadway, Suite #1000	
Portland, OR 97205	
Alex Denja	Senior Vice President, Chief Financial Officer
National Equity Fund, Inc.	and Chief Operating Officer
10 South Riverside Plaza, Suite 1700	
Chicago, IL 60606-3908	
Peter Harrison	Senior Vice President
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Chicago, IL 60606-3908	
Judy Schneider	Senior Vice President and Assistant Secretary
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Michael Rogers	Senior Vice President, General Counsel and
National Equity Fund, Inc.	Secretary
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Mark Siranovic	Senior Vice President
National Equity Fund, Inc.	
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	
Member:	
National Equity Fund, Inc.	Sole Voting Member
10 South Riverside Plaza, Suite 1700	
Chicago, Illinois 60606-3908	

## ATTACHMENT A LIST OF PROPERTIES

ς	92	2	ς	Gr	ee:	n S	tr	e	ρį	t

5930 S. Green Street

5950 S. Green Street

5958 S. Green Street

6033 S. Green Street

6035 S. Green Street

6037 S. Green Street

6050 S. Green Street

5930 S. Peoria Street

5932 S. Peoria Street

5944 S. Peoria Street

5947 S. Peoria Street

5956 S. Peoria Street

6100 S. Peoria Street

6102 S. Peoria Street

6101 S. Sangamon Street