

City of Chicago



O2019-7959

Office of the City Clerk

Document Tracking Sheet

Meeting Date: 10/16/2019

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 15-H at 5600 N Ashland

Ave - App No. 20218T1

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

#20218-T1 INTRODATE OCT 16,2019

<u>ORDINANCE</u>

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the RS3 Residential Single-Unit (Detached House) District symbols and indications as shown on Map No. 15-H in the area bounded by

A line 29.10 feet north of and parallel to West Bryn Mawr Avenue; North Ashland Avenue; West Bryn Mawr Avenue; and the alley next west of and parallel to North Ashland Avenue,

to those of a B1-2 Neighborhood Shopping District and a corresponding uses district is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Common address of property:

5600 North Ashland Avenue

NARRATIVE AND PLANS FOR PROPOSED REZONING FOR A TYPE 1 ZONING AMENDMENT - 5600 N. ASHLAND AVENUE

The current zoning of the parcel is RS-3 Residential Single-Unit (Detached House) District. The zoning amendment is required to change the zoning of the parcel to B1-2 Neighborhood Shopping District. The zoning map amendment is needed to decrease the required non-residential parking spaces from 2 parking spaces to -0- zero spaces and provide 1 off-street parking space for the residential dwelling unit at the 2nd floor

Lot Area: 3,446.5 sq. ft.

FAR: 1.05

Building Area: 3,500 sq. ft.

Density/Dwelling Unit: 3,500 sq.ft (1 Resdiential Dwelling Unit)

Off Street Parking Spaces: * 1 space

Front Setback: .-0- (existing)

Rear Setback: .44.7 ft. (existing)

Sideyard (North): 5.1ft.(existing)

Sideyard (South): -0- (existing)

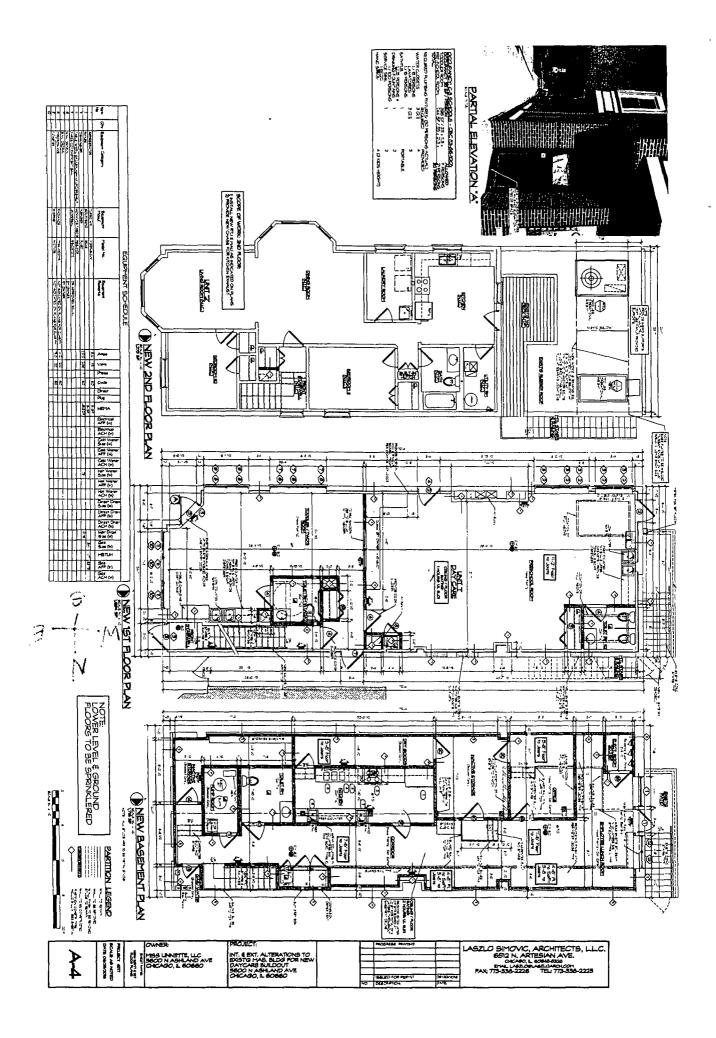
Building Height: 26 feet 5 ½ inches (2 story bldg..).,

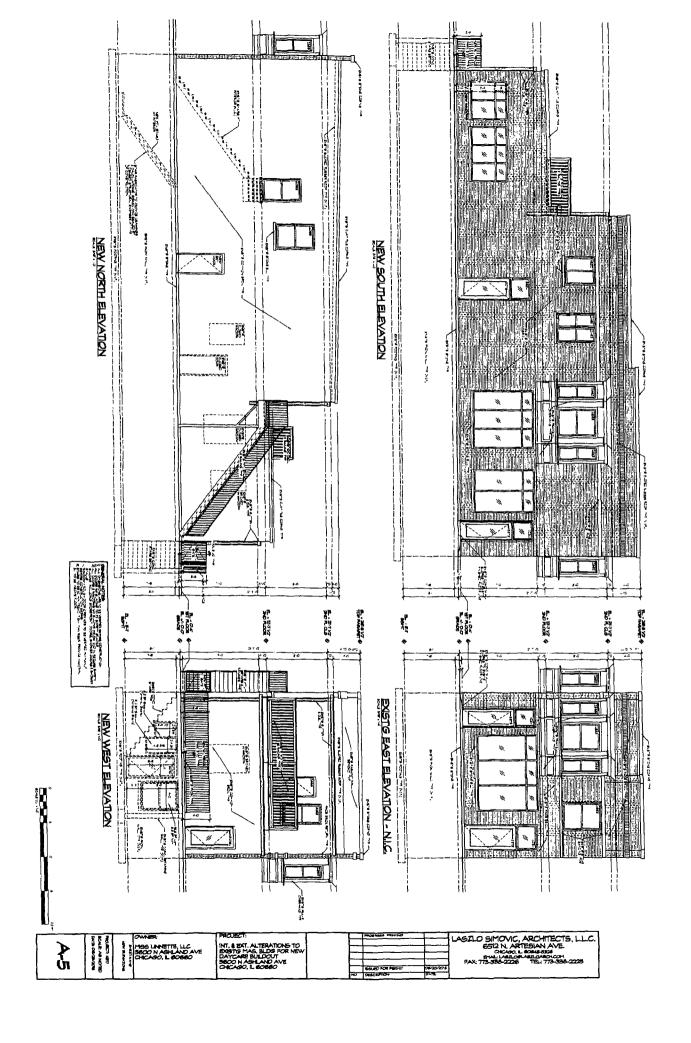
After rezoning, the property shall be used for a daycare center with the required playground area.

^{*} The Applicant is seeking a Transit Served Location parking exemption.

^{**} The applicant shall seek an Administrative Adjustment to reduce the required parking spaces to one residential parking space

THE CONTROL CO THESE DIAMANG ARE SUPPLATED LACES FOR EXCEPTIONALS AND 10 THE BEST OF FOR INCOMING A RELEASE PLATE LACES WITH THE CITY OF CHECKED BILLIANG SOORS. PROJECT TO COMPLY WITH CHICAGO BUILDING CODE 2018 ELECTRICAL CODE 9.00 SCHALLCHAN CHOURS OTESA: (3)
18.500 HA SONETH HAM NESSEC THYORESIGNS
18.500 HA CHARLES HAM NESSEC THYORESIGNS LINOS JONGE NU BER CONCURS INT & EXT ALTERATIONS TO EXISTING MASONRY BUILDING BAY CHATHEY N A AGHLAND AVE FRANCISCO NEL ALAPLEMENTO DE POSTO DE LA POSTO DE 19T FLOOR & BASEMENT TO BE PULLY SPRINCERED TOYOU DE LOCALITA 100 Per 100 Pe LOCATION: 5000 N AGHLAND AVE CHICAGO, IL 60660 OWNER: MISS LINNETTE, LLC 5600 N AGHLAND AVE CHICAGO, IL 60660 EXISTO SITE PLAN NEW SITE PLAN ARCHITECT: LASZLO SIMOVIC, ARCHITECTS, L.L.C. 6513 N. ARTESIAN AVE CHCAGOI, EGGALCOM ENAL, OFFICE ASIGNACH.COM 1 - ISSUED FOR PERMIT. SEPT. 20, 2018 2 - REVISED. NOV. 3, 2018 3 - REVISED. NOV. 30, 2018 15.00 BRTN MAWR AVE BRYN MAWR AVE The state of the s The state of the s 71.0 100 i. 01.62 0:60 100 P APTRY CNOC CORG ACTEL ELEV. of Viny Plantic Force ELEV. of Trush Endowin





LOT 9 IN BLOCK 6 IN ASHLAND AVENUE AND CLARK STREET ADDITION TO EDGEWATER IN THE SOUTHEAST 1/4 OF THE SOUTHEAST 1/4 OF SECTION 8, TOWNSHIP 40 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL WENIDIAN, IN COOK COUNTY, ILLINOIS

MAST LINE OF BEARING:
MANUBENTED AND OCCUPIED PER PECARD
SURDIVISION

PLAT OF SURVEY

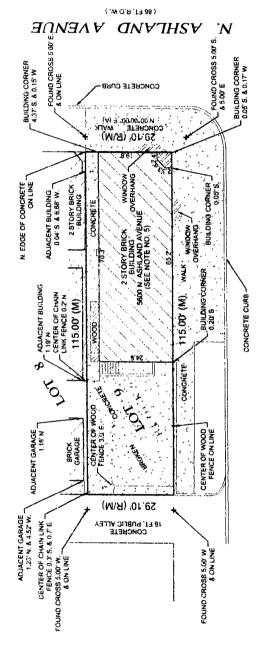
* POINT OF BEGINNING * POINT OF COMMENCEMENT

HECOND D = RADIUS O.W. - HIGHT OF NAY

8 = \$0.17H 6.1 P. w. SET 18GN PLPE 6.1 B. w. SET 18GN POD 8E > GOUTH-EAT 9M = \$00TH-MEST W = MEST

CHAIN LINK FENCE
MENAL FENCE
HENAL FENCE
VINVL FENCE
SETSACK LINE
SETSACK LINE
SETSACK LINE

80. FT. OR 0.08 ACRES MORE OR LESS* AREA OF GURVEY CONTAINING 3,346



BRYN MAWR AVENUE



STATE OF ILLIMOIS '88 COUNTY OF BUPAGE '88 I, THE UNDERSIGNED, AN ILLIMOIS GERIFY THAT 'THIS PROFESSIONAL

Morrs Engineering, inc 515 Warrenville Road, Lisle, IL 60532 Phone. (630) 271-0770 FAX (630) 271-0774 MIPRACTURE DE MODERNIS LIFE

DATED, THIS 19TH DAY OF CONDER AT LISLE, ILLINOIS.

ILLIMOIS WINIMUM STANDARDS HEREON DRAWN IS A CORRECT A

ILLINDIS PROFESSIONAL L'AND SUR LICENSE EXPIRATION DATE NOVENS ILLINDIS BUSINESS REGISTRATION

WEBSITE, WWW ECIVIL.COM

1

NAL LAND SURVEYOR, DO HEREBY OMFORMS TO THE CURRENT WINVEY. AND THAT THE PLAT OF SAID SURVEY. 035-3253

HOTE.

ALLICIACE SHOWN OF INTELS SHOWS AN EMBASSHOOT OF THE BUILDING'S STORM (BRICK, FRAME, STROCK) WETAL, ETC.)
AND MOTTO THE CAMBOLATION WEIGS SHOTED OTHERWISE.
2. CAMPARE ALL DISTANCES AND POINTS IN FIELD AND REPORT AND DISTANCES AND POINTS IN FIELD AND REPORT AND DISTANCES AND POINTS IN FIELD AND REPORT AND DISTANCES AND PRESENT OF SHOWING A TORICE.
5. MURRELAL ADDRESS MOT PRESENT OF BUILDING AT TIME OF SHOWEY, MURBER SHOWN IS FIND CLIENT INFORMATION.

5600 N. ASHLAND AVENUE CHICAGO, ILLINOIS ADDRESS COMBONLY KNOWN AS

DORDEK & RUSENBURG & ASSOCIATES CLIENT

15.13.0090 10-18-18 (ND/VN) FIELDMORK DATE (CREW) 10-18-18
ORAWN 6Y JB REVISED 10-20-16 JOS NO.

"WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

September 23, 2019

Honorable Thomas Tunney, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Dean T. Maragos, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately September 23, 2019.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Signature

Subscribed and Sworn to before me this

De T. Mari

KENNETH ALAN HENRY Official Seal Notary Public - State of Illinois My Commission Expires Sep 15, 2020

Maragos & Maragos I, Chtd.

ATTORNEYS AND COUNSELORS AT LAW

1 North LaSalle Street • Suite 2200 Chicago, Illinois 60602 Phone: 312.578.1012 • Fax: 312.578.1016 E-Mail: dtm@maragoslaw.com

HON. SAMUEL C. MARAGOS (1922-2005) DEAN T. MARAGOS* *ALSO ADMITTED IN FLORIDA

OF COUNSEL
FRANCIS X. RILEY
(1912 - 2006)

September 23, 2019

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about September 23, 2019 the undersigned will file an application for a change in zoning from RS-3 to B1-2 on behalf of Miss Linnette, LLC for the property located at 5600 North Ashland Avenue, Chicago, Illinois 60660.

The applicant seeks a parking exemption to serve an existing daycare center by way of a transit served location and will maintain 1 apartment and 1 residential parking space.

Miss Linnette, LLC is located at 5600 North Ashland Avenue, Chicago, Illinois 60660. The contact person for this application is Dean T. Maragos, Attorney. Address: 1 North LaSalle Street, Suite 2200, Chicago, Illinois 60602. Phone number: (312) 578-1012.

Please note that the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Very truly yours,

Dean T. Maragos

#20218-TI INTRO DATE OCT 16, 2019

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| 1. | ADDRESS of the property Applicant is seeking to rezone: | | | |
|----|--|--|--|--|
| | 5600 N. Ashland Ave. | | | |
| 2. | Ward Number that property is located in: 40th Ward | | | |
| 3. | APPLICANT MISS LININETTE, LLC. | | | |
| | ADDRESS 5600 N. Ashland Ave. CITY Chicago | | | |
| | STATE TL ZIP CODE 60660 PHONE 60660 | | | |
| | EMAILCONTACT PERSON DEAN MARAGOS | | | |
| 4. | Is the applicant the owner of the property? YES NO | | | |
| | OWNER MISS LINETTE, LLC | | | |
| | ADDRESS 5600 N. ASHLAND AVENUE CITY CHICAGO | | | |
| | STATE ILLINOIS ZIP CODE 6060 PHONE | | | |
| | EMAILCONTACT PERSON_ DEAL MARAGOS | | | |
| 5. | If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information: | | | |
| | ATTORNEY Dean T. Maragos | | | |
| | ADDRESS . 1 N. LaSalle St., Suite 2200 | | | |
| | CITY Chicago STATE TL ZIP CODE 60602 | | | |
| | PHONE (312) 578-1012 FAX (312) 578-1016 EMAIL DTM & MARAGOS LAW. O | | | |
| | | | | |

| _ | LINNETTE NIEVES, SOLE MEMBER |
|------------------|--|
| | |
| Or | n what date did the owner acquire legal title to the subject property? MAY 4, 2018 |
| Ha | as the present owner previously rezoned this property? If yes, when? |
| | No |
| | |
| Pre | esent Zoning District R5-3 Proposed Zoning District B1-2 |
| Lo | et size in square feet (or dimensions) 3, 346. 5 Sq. ft. |
| Cu | urrent Use of the property DAYCANE CENTER. |
| Re | eason for rezoning the property To SEEK A PARKING EXEMPTION TO SERVE AN |
| | HISTING DAYCARE COUTER BY WAY OF A TRANSIT SERVED LOCATION, WILL AINTHIN 1 APARTMIGHT AND 1 RESIDENTIAL PARKING SPACE. |
| De uni hei | escribe the proposed use of the property after the rezoning. Indicate the number of dwelling its; number of parking spaces; approximate square footage of any commercial space; and ight of the proposed building. (BE SPECIFIC) |
| 5V | THE PROPERTY WILL BE USED AS A 1,750 soft. DAYCHLE CENTER WITH 1 DWELLING UND THE WING SPACE, A 25 PACE DROP OFF (LOADING) ZONIE, A 6 BICYCLE RACK AND HEIGHT OF |
| | |
| Gri | GAPTION FOR THE REQUIRED 2 CN-SITE PAYRING SPACES SERVING THE DAY CARE USE. |
| The a furchard | e Affordable Requrements Ordinance (ARO) requires on-site affordable housing units and/or nancial contribution for residential housing projects with ten or more units that receive a zoning ange which, among other triggers, increases the allowable floor area, or, for existing Planned velopments, increases the number of units (see attached fact sheet or visit w.cityofchicago.org/ARO for more information). Is this project subject to the ARO? |
| | $_{ m S}$ $_{ m NO}$ $_{ m Z}$ $^{\chi}$ |

| statements and the statements conti | being first duly sworn on oath, states that all or | COTTECT |
|-------------------------------------|---|------------------|
| | | WHOUL |
| | (Who | |
| | Signature of Applicant | |
| Subsailed and Sussain 1.0 | • | |
| Subscribed and Sworn to before me | 20 19 | ~~~ ₂ |
| Den T. Merz | OFFICIAL SEAL DEAN T MARAGOS NOTARY PUBLIC - STATE OF ILLIN | 40IS |
| Notary Public | MY COMMISSION EXPIRES.07/11 | 1/21 |
| • | | |
| | | |
| | For Office Lies Only | ···· |
| | For Office Use Only | |
| Date of Introduction: | For Office Use Only | |
| | For Office Use Only | |
| | For Office Use Only | |
| Date of Introduction: File Number: | For Office Use Only | |
| File Number: | For Office Use Only | |
| File Number: | For Office Use Only | |
| ile Number: | For Office Use Only | |
| File Number: | For Office Use Only | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the D | isclosing Party submitt | ing this EDS. Include d/b/a/ if applicable: |
|--|---|---|
| MISS LINNETT | i, LLC | |
| Check ONE of the foll | owing three boxes: | |
| the contract, transaction "Matter"), a direct or inc | t currently holding, or a or other undertaking to | nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
| 3. a legal entity | | et right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control: |
| B. Business address of | the Disclosing Party: | SGOV N. APHLAND AVENUE CHICAGO, ILLINOIS: GOGGO |
| C. Telephone: (32) \$7 | B-1012 Fax: (312) |) 578-1016 Email: DTM & MARAGOS LAW. Cor |
| | | BAGOS, ATTORNEY |
| F. Brief description of property, if applicable): | the Matter to which this | s EDS pertains. (Include project number and location of |
| | · · · · · · · · · · · · · · · · · · · | ting this EDS? ZONING DEPARTMENT |
| If the Matter is a contraction complete the following: | - | City's Department of Procurement Services, please |
| Specification # | NIA | and Contract # |
| Ver.2018-1 | Pa | age 1 of 15 |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
|---|---|
| | atry) of incorporation or organization, if applicable: |
| וניואפ | 0\S. |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign ent | of Illinois: Has the organization registered to do tity? |
| Yes No | ☑ Organized in Illinois |
| B. IF THE DISCLOSING PARTY IS A LEG. | AL ENTITY: |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compa | plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or to of the Applicant. |
| NOTE: Each legal entity listed below must sul | bmit an EDS on its own behalf. |
| Name LINNETTE C. NIEVES, SOLE ME | Title SMBER AND MANAGING MEMBER |
| | |
| 2 Please provide the following information of | oncerning each nerson or legal entity having a direct or |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name | Business Address | Percentage Interest in the Applicant |
|---|--|---|
| | EVES 5600 N. APHLANDA | <u> </u> |
| | CHICAGO, K | |
| | OME OR COMPENSATION | TO, OR OWNERSHIP BY, CITY ELECTI |
| OFFICIALS | | |
| | ty provided any income or comeding the date of this EDS? | npensation to any City elected official during the Yes No |
| _ | arty reasonably expect to provious the 12-month period following | de any income or compensation to any City the date of this EDS? Yes No |
| If "yes" to either of the describe such income of | | he name(s) of such City elected official(s) and |
| | | |
| inquiry, any City electe | | sclosing Party's knowledge after reasonable partner, have a financial interest (as defined in ICC")) in the Disclosing Party? |
| | | ty elected official(s) and/or spouse(s)/domestic |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is |
|--|---------------------|--|--|
| DEAN T. MARAGES | 1 N. LASAL | LÉ ATTOKNÉY | not an acceptable response. |
| (RETAINGD) | CHLACO, iL | 606cz. | |
| (Add sheets if necessary) |) | | |
| Check here if the Dis | closing Party | has not retained, nor expects to re | etain, any such persons or entities. |
| SECTION V CERTI | FICATIONS | 3 . | |
| A. COURT-ORDERED | CHILD SUP | PORT COMPLIANCE | |
| | • | ntial owners of business entities the support obligations throughout the | • |
| • • | • | ctly owns 10% or more of the Discions by any Illinois court of comp | • |
| □Yes □No 🔀 | No person di | rectly or indirectly owns 10% or n | nore of the Disclosing Party. |
| If "Yes," has the person e is the person in complian | | court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | نم | ·/A· | |
| B. FURTHER CERTIFI | CATIONS | | |
| | • | ne Matter is a contract being handl | • • |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| believe has not provided or cannot provide truthful certifications. | | | |
|--|--|--|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: | | | |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be con | nclusively | | |
| presumed that the Disclosing Party certified to the above statements. | | | |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the month period preceding the date of this EDS, an employee, or elected or appointed official, of of Chicago (if none, indicate with "N/A" or "none"). | ne 12- | | |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a | a | | |
| complete list of all gifts that the Disclosing Party has given or caused to be given, at any time the 12-month period preceding the execution date of this EDS, to an employee, or elected or a official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) a made generally available to City employees or to the general public, or (ii) food or drink prov the course of official City business and having a retail value of less than \$25 per recipient, or political contribution otherwise duly reported as required by law (if none, indicate with "N/A" "none"). As to any gift listed below, please also list the name of the City recipient. | during appointed anything rided in (iii) a | | |
| | | | |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION | | | |
| The Disclosing Party certifies that the Disclosing Party (check one) is | | | |
| a "financial institution" as defined in MCC Section 2-32-455(b). | | | |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: | | | |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We fur pledge that none of our affiliates is, and none of them will become, a predatory lender as defined MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate predatory lender may result in the loss of the privilege of doing business with the City." | ned in | | |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain | | | | |
|---|---|--|--|--|
| here (attach addition | onal pages if necessary): | | | |
| | - | se appears on the lines above, it will be ertified to the above statements. | | |
| D. CERTIFICATI | ON REGARDING FINANCIA | L INTEREST IN CITY BUSINESS | | |
| Any words or term | s defined in MCC Chapter 2-15 | 6 have the same meanings if used in this Part D. | | |
| after reasonable inc | | To the best of the Disclosing Party's knowledge byee of the City have a financial interest in his or or entity in the Matter? | | |
| Yes | 🔀 No | | | |
| | ecked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed | ed to Items D(2) and D(3). If you checked "No" d to Part E. | | |
| official or employe other person or entitaxes or assessment "City Property Sale | e shall have a financial interest ity in the purchase of any prope ts, or (iii) is sold by virtue of le | re bidding, or otherwise permitted, no City elected in his or her own name or in the name of any rty that (i) belongs to the City, or (ii) is sold for gal process at the suit of the City (collectively, taken pursuant to the City's eminent domain in the meaning of this Part D. | | |
| Does the Matter inv | volve a City Property Sale? | | | |
| Yes | No | | | |
| | · · · · · · · · · · · · · · · · · · · | names and business addresses of the City officials entify the nature of the financial interest: | | |
| Name | Business Address | Nature of Financial Interest | | |
| | | | | |
| | | | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. | | | | |
|---|--|--|--|--|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. | | | | |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: | | | | |
| | | | | |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS | | | | |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. | | | | |
| A. CERTIFICATION REGARDING LOBBYING | | | | |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): | | | | |
| | | | | |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) | | | | |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any | | | | |

person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Page 9 of 15

Ver.2018-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. Is the Disclosing Party the Applicant? If "Yes," answer the three questions below: 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? ∃Yes No If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| LIMMETTE C. MIEVES. | |
|---|--|
| (Print or type exact legal name of Disclosing Party) | |
| By: Wirs | |
| (Sign here) | |
| LIMMETTE C. HIEVES. | |
| (Print or type name of person signing) | OFFICIAL SEAL DEAN T MARAGOS DEAN T STATE OF ILLINOIS |
| MANAGING MEMBER. | DEAN T MARAGUS NOTARY PUBLIC - STATE OF ILLINOIS NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES 07/11/21 MY COMMISSION EXPIRES 07/11/21 |
| (Print or type title of person signing) | ********** |
| Signed and sworn to before me on (date) Musch / at Cook County, Llines (state). Dean T. Margo Notary Public | <u>, 2019</u> , |
| Commission expires: $\frac{7/u}{2}$ | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship. μ/ρ

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | | , is the Applicant or any Owner identified as a building code o MCC Section 2-92-416? |
|-----|-----|----------------|---|
| | Yes | No No | |
| the | | | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section |
| | Yes | No | The Applicant is not publicly traded on any exchange. |
| as | • | v or problem l | atify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |
| | | | N/A. |
| | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A − I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| W(A. |
| |
| |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

Ver.2018-1

| A. Legal name of the Di | sclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|--|---|
| LIANETTE NIEV | <i>ES</i> |
| Check ONE of the follo | wing three boxes: |
| Indicate whether the Disc 1. the Applicant OR | closing Party submitting this EDS is: |
| 2. a legal entity of the contract, transaction of "Matter"), a direct or ind name: | currently holding, or anticipated to hold within six months after City action on or other undertaking to which this EDS pertains (referred to below as the irect interest in excess of 7.5% in the Applicant. State the Applicant's legal |
| | with a direct or indirect right of control of the Applicant (see Section II(B)(1)) ne entity in which the Disclosing Party holds a right of control: |
| B. Business address of the | ne Disclosing Party: 5600 N. ASHLAND AVENUE CHICAGO, IL 60660 |
| | CHICAGO, IL 60660 |
| C. Telephone:(ろに) 5つ | 18-1012 Fax: (312) 578-1016 Email: DTMC MARAGOSLAW, com. |
| D. Name of contact person | on: DEAN T. MARAGOS, ATTORNEY |
| E. Federal Employer Ide | ntification No. (if you have one): |
| F. Brief description of the property, if applicable): | ne Matter to which this EDS pertains. (Include project number and location of |
| 20 NING AMENOI | NENT From RS-3 to Bi-2. |
| G. Which City agency or | department is requesting this EDS? ZONING OFFARTMENT |
| complete the following: | being handled by the City's Department of Procurement Services, please |
| Specification # | A/A· and Contract # |
| | Page 1 of 15 |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature Person Publicly registered bus Privately held business Sole proprietorship General partnership Limited partnership Trust | siness corporation | rty: Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
|--|---|--|
| 2. For legal entities, the s | _ | try) of incorporation or organization, if applicable: |
| 3. For legal entities not or business in the State of Illi | _ | of Illinois: Has the organization registered to do ity? |
| Yes | □No | Organized in Illinois |
| B. IF THE DISCLOSING | PARTY IS A LEGA | AL ENTITY: |
| the entity; (ii) for not-for- are no such members, write similar entities, the trustee limited partnerships, limi | profit corporations, e "no members which e, executor, administrated liability companging member, managers | olicable, of: (i) all executive officers and all directors of, all members, if any, which are legal entities (if there have legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures ger or any other person or legal entity that directly or of the Applicant. |
| NOTE: Each legal entity li | isted below must sub | omit an EDS on its own behalf. |
| Name | NIA. | Title |
| | | · |
| | | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| limited liability of state "None." | company, or interest of a beneficiary | of a trust, estate or oth | her similar enti | ty. If none, |
|---|--|--|--------------------------------|-----------------|
| NOTE: Each leg | al entity listed below may be require | d to submit an EDS of | n its own beha | lf. |
| Name | Business Address | Percentage I | Interest in the | Applicant |
| | | | | |
| SECTION III OFFICIALS | INCOME OR COMPENSATION | TO, OR OWNERS | HIP BY, CIT | Y ELECTEI |
| | g Party provided any income or compreceding the date of this EDS? | pensation to any City | elected official | al during the |
| | ng Party reasonably expect to providuring the 12-month period following | - | | ny City 💢 No |
| | of the above, please identify below thome or compensation: | ne name(s) of such Cit | | cial(s) and |
| inquiry, any City of Chapter 2-156 of the Yes If "yes," please ide | cted official or, to the best of the Discelected official's spouse or domestic the Municipal Code of Chicago ("Mo No | partner, have a finance (CC")) in the Disclosin y elected official(s) ar | cial interest (as ag Party? | defined in |
| yan and (a) and door | | NIA. | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to (subcontractor, lobbyist, etc.) | Disclosing Party attorney, | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|----------------------------|---|
| DEAN T. MARAGOS | 1 N. LAS | alle statet | ATTORNEY | *1, ovo. PAio |
| (RETAINED) | CHICAGO | n 60602 | | |
| | | | | |
| (Add sheets if necessary) | | | | • |
| Check here if the Disc | losing Party | has not retained | , nor expects to ret | ain, any such persons or entities. |
| SECTION V CERTIF | ICATIONS | 3 . | | |
| A. COURT-ORDERED | CHILD SUP | PORT COMPLI | ANCE | |
| Under MCC Section 2-92 remain in compliance with | | | | t contract with the City must contract's term. |
| Has any person who direc arrearage on any child sup | • | • | | osing Party been declared in entire jurisdiction? |
| Yes No X | No person di | rectly or indirect | ly owns 10% or mo | ore of the Disclosing Party. |
| If "Yes," has the person en is the person in complianc | | | ngreement for payn | nent of all support owed and |
| Yes No | NA | | | |
| B. FURTHER CERTIFIC | ATIONS | | | |
| 1. [This paragraph 1 apple Procurement Services.] In | the 5-year | period preceding | the date of this EL | • |

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Fu Certifications), the Disclosing Party must explain below: | rther |
|---|-----------------------------------|
| | |
| | |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be concluded that the Disclosing Party certified to the above statements. | usively |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the month period preceding the date of this EDS, an employee, or elected or appointed official, of the of Chicago (if none, indicate with "N/A" or "none"). | |
| | |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time duthe 12-month period preceding the execution date of this EDS, to an employee, or elected or approficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) any made generally available to City employees or to the general public, or (ii) food or drink provide the course of official City business and having a retail value of less than \$25 per recipient, or (iii political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. | oointed thing ed in i) a |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION | |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) ☐ is ☐ is not | |
| a "financial institution" as defined in MCC Section 2-32-455(b). | |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: | |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of predatory lender may result in the loss of the privilege of doing business with the City." | in |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

| MCC Section 2-32 | Party is unable to make this ple 2-455(b)) is a predatory lender onal pages if necessary): | lge because it or within the meani | any of its affiliates (as defined in ng of MCC Chapter 2-32, explain |
|--|--|---|---|
| | the word "None," or no respo | | |
| D. CERTIFICATION | ON REGARDING FINANCIA | L INTEREST I | N CITY BUSINESS |
| Any words or term | s defined in MCC Chapter 2-1 | 56 have the same | e meanings if used in this Part D. |
| after reasonable inc | | oyee of the City | e Disclosing Party's knowledge have a financial interest in his or Matter? |
| Yes | ☑ No | | |
| | cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed | | and D(3). If you checked "No" |
| official or employed other person or entitaxes or assessment "City Property Sale | e shall have a financial interes | in his or her own erty that (i) belong gal process at the taken pursuant t | ngs to the City, or (ii) is sold for e suit of the City (collectively, to the City's eminent domain |
| Does the Matter inv | olve a City Property Sale? | | |
| Yes | ⊠No | | |
| | Yes" to Item D(1), provide the such financial interest and in | | ness addresses of the City officials of the financial interest: |
| Name | Business Address | Natu V/A | are of Financial Interest |
| | | | |
| | | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay |

any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Page 9 of 15

Ver.2018-1

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

| If the Matter is federally funded, federal regulations require the Applicant and all proposed |
|---|
| subcontractors to submit the following information with their bids or in writing at the outset of negotiations. |
| NIA |
| Is the Disclosing Party the Applicant? Yes No |
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No |
| f you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Print or type exact legal name of Disclosing Party) |
|--|
| By: (Sign here) |
| LINMETTE C. NIEVES |
| (Print or type name of person signing) |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date), |
| at Cook County, Illinon (state). |
| Notary Public OFFICIAL SEAL OF |
| Notary Public Notary Public DEAN T MARAGOS DEAN T MARAGOS DEAN T MARAGOS NOTARY PUBLIC - STATE OF ILLINOIS NOTARY P |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|---|-------------------|---|
| Yes | No | |
| | | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | □No | The Applicant is not publicly traded on any exchange. |
| • | offlaw or problem | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
| | | N/A |
| | | · · · · · · · · · · · · · · · · · · · |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| ∐ Yes |
|---|
| □No |
| N/A − I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| N/A. |
| |
| |
| |