

# City of Chicago



O2021-2122

# Office of the City Clerk

# **Document Tracking Sheet**

Meeting Date:

5/26/2021

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 5-I at 1945 N California Ave

- App No. 20732T1

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

#20732-11 INTRO DATE MAY 26, 2021

## **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning

Ordinance is hereby amended by changing all of the B3-1 Community Shopping

District symbols as shown on Map No. 5-I

in the area bounded by:

The public alley next south of and parallel to West Armitage Avenue; a line 106.83 feet east of and parallel to North California Avenue; a line 163.57 feet south of and parallel to West Armitage Avenue; and North California Avenue.

To those of a B2-3 Neighborhood Mixed- Use District

SECTION 2. This Ordinance takes effect after its passage and due publication.

Common address of property: 1945 North California Avenue, Chicago

# A NARRATIVE AND PLANS FOR A TYPE 1 ZONING CHANGE FOR 1945 NORTH CALIFORNIA AVE., CHICAGO

The subject property is currently improved with an existing 2-story residential building with 2 original dwelling units. The Applicant needs a zoning change to comply with the minimum lot area per unit requirements of the Ordinance in order to convert the existing building from 2 dwelling units to 3 dwelling units. No additional floor area is being proposed. The existing building will remain as is.

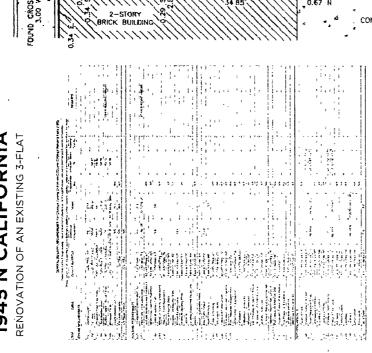
Project Description:	Zoning Change from a B3-1 Community Shopping District to a B2-3 Neighborhood Mixed-Use District
Use:	Residential building with 3 dwelling units
Existing Floor Area Ratio:	1.3
Lot Area:	2,991 Square Feet
Existing Building Floor Area:	3,700 Square Feet
Existing Density:	997 Square Feet per Dwelling Unit
Existing Off- Street Parking:	Zero
Existing Setbacks:	Existing Front Setback: 9.93' Existing Side Setbacks: North: 0' / South: 3' Rear Setback: 24.94'
Existing Building Height:	30 Feet

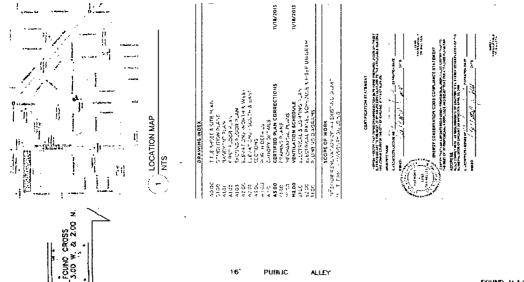
APPROVED STANDARD OF STANDARDS

1945 N CALIFORNIA

CALIFORNIA AVENUE

28.00° =





106.83

TITLE SHEET & SITE PLAN

FOUND MAG. 2.00 N.

WQQD FENCE 0.73 N & 0.49 E. SET IRON PIPE AT PROP, CORNER

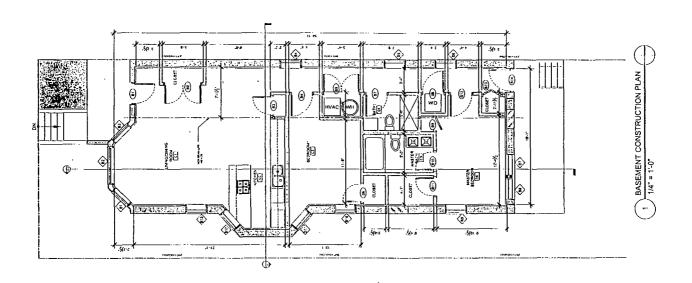
2 SITE PLAN

28.00

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IN PROGRESS NOT FOR CONSTRUCTION	1945 N CALIFORNIA CHICAGO, IL 60647 SASEMENT CONSTRUCTION FI AN	A1.01
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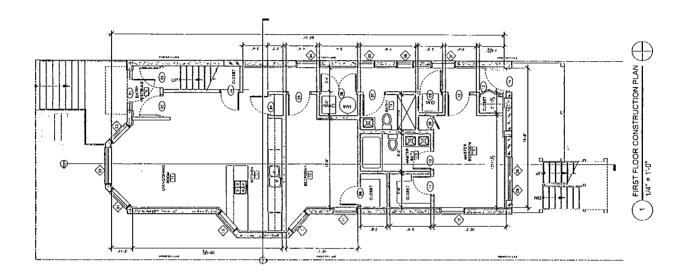


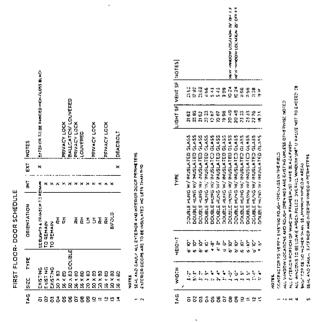
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BASEMENT DOOR SCHEDULE

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# NOT FOR CONSTRUCTION





# IN PROGRESS ONLY FOR CONSTRUCTION ONLY FOR CONSTRUCTION



SECOND FLOOR
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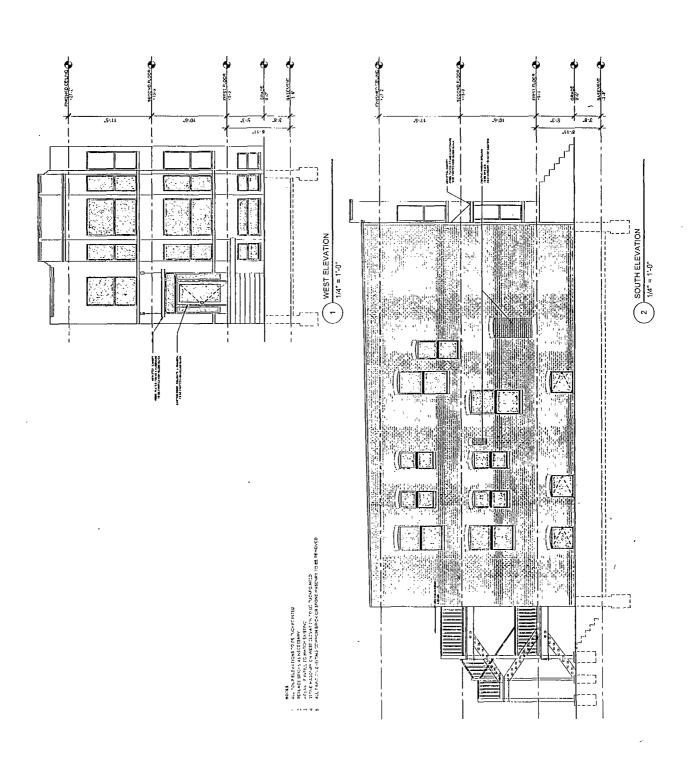
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SECOND FLOOR- DOOR SCHEDULE

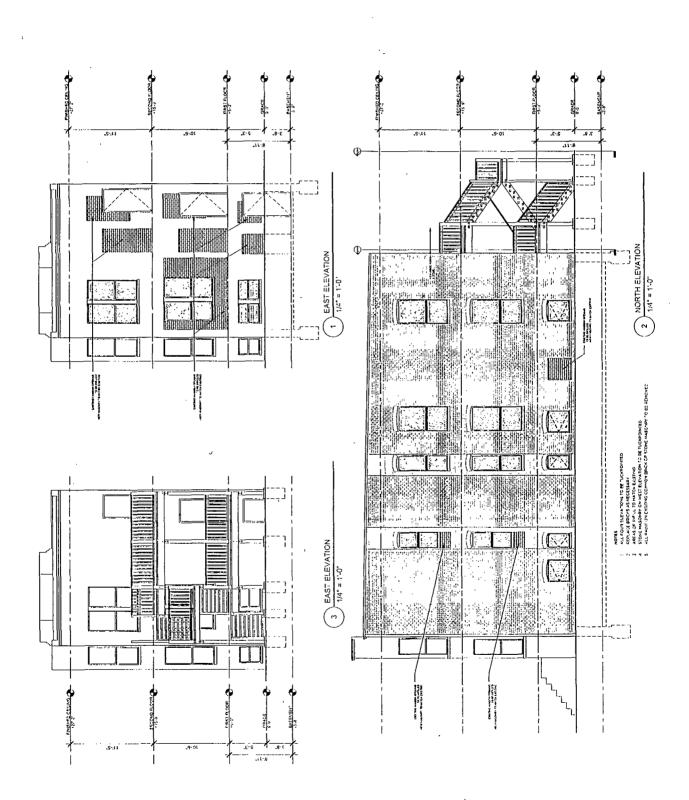
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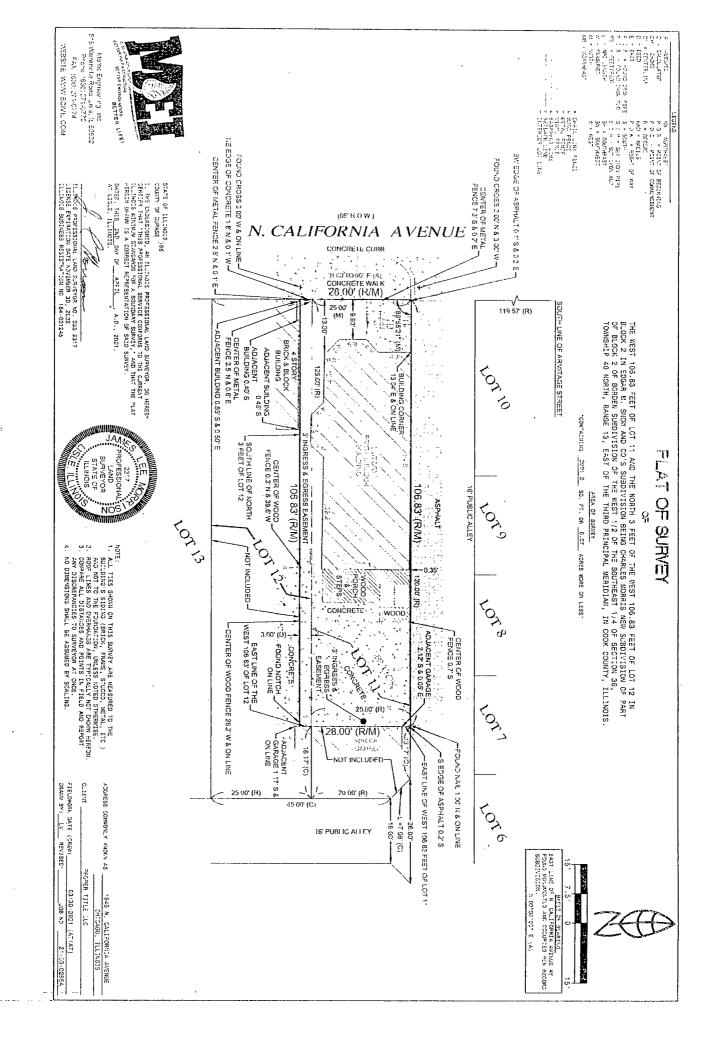
746 SIZE | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476 | 7476

IN PROGRESS	1   OWNER REWEN SECTION   1945 N CALIFORNIA CHICAGO, IL 80647   WEST & SOUTH ELEVATIONS	A 2.00
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IN PROGRESS NOT FOR CONSTRUCTION	1945 N CALIFORNIA CHICAGO, IL 60647	EAST & NORTH ELEVATIONS THE ADDITIONS THE AD
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#20732-T1 INTRODATE MAY 26, 2021

# CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seek	ang to rezone:
1945 North California Ave., Chi	cago
2. Ward Number that property is located in:	1st Ward
3. APPLICANT Andrew J. Maxwell	
ADDRESS	CITY
STATE ZIP CODE	PHONE PHONE
	CONTACT PERSON Andrew J. Maxwell
4. Is the Applicant the owner of the property?	YES X NO
	erty, please provide the following information
regarding the owner and attach written autho	orization from the owner allowing the applicant to
proceed.	
ADDRESS ————	CITY—Chieago—
	PHONE
	ACT PERSON Andrew J. Maxwell
5. If the Applicant/Owner of the property has a rezoning, please provide the following informations of the property has a rezoning, please provide the following information of the property has a rezoning, please provide the following information of the property has a rezoning, please provide the following information of the property has a rezoning, please provide the following information of the property has a rezoning, please provide the following information of the property has a rezoning the property has a resonance has a	obtained a lawyer as their representative for the ation:
ATTORNEY Law Office of Mark J. Kupie	ec & Assoc.
ADDRESS 77 West Washington St. Ste.	. 1801
	Illinois ZIP CODE 60602
PHONE 312-541-1878 FAX 313	2-641-1745 EMAIL aplecka@kupicclaw:

6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners disclosed on the Economic Disclosure Statements.
NA
7. On what date did the owner acquire legal title to the subject property? 12/30/14
8. Has the present owner previously rezoned this property? If yes, when?  No
\
9. Present Zoning District B3-1 Proposed Zoning District B2-3
10. Lot size in square feet (or dimensions) 2,991 square feet
11. Current Use of the property 2-story residential building with 2 original dwelling units
12. Reason for rezoning the property To comply with the minimum lot area per unit requirements of the ordinance, in order to allow conversion from 2 dwelling units to 3 dwelling units
13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)
To comply with the MLA reqs. In order to allow conversion of the existing building from 2 dwelling units to 3 dwelling units; no changes to the existing height (2-story); no additional floor area is being added; existing building remains as is; no existing parking.
14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit <a href="https://www.cityofchicago.org/ARO">www.cityofchicago.org/ARO</a> for more information). Is this project subject to the ARO?
YESNOX

COUNTY OF COOK	
STATE OF ILLINOIS	
Andrew J. Maxwell statements and the statements contained	being first duly sworn on oath, states that all of the about the documents submitted herewith are true and consequents.
	Signature of Applicant
Subscribed and Sworn to before me this day of, 2021.  Notary Public	OFFICIAL SEAL ANN E MAXWELL NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES 09/23/24
	For Office Use Only
Date of Introduction:	
File Number:	
Ward:	

# AFFIDAVIT (Section 17-13-0107)

	Date:	May <b>&gt;</b> , 2021	
Honorable Thomas Tunney Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602			
The undersigned, Andrew on oath, deposes and states the follow		, bein	g first duly sworn
The undersigned certifies that the Chicago Zoning Ordinance, by so the owners of the property within the owners of all property within 250 fee exclusive of public roads, streets, allo Said written notice was sent by First application	nding written notice to s subject area not solely of t in each direction of the ys, and other public way	such property owners vowned by the applicant le lot line of the subject ys, or a total distance li	who appear to be , and to the property, imited to 400 (cet
The undersigned certifies that rezoned; a statement of the intended name and address of the owner; and a change in zoning on approximately _	use of the property; the raistance statement that the appl	name and address of th	e applicant; the
The undersigned certifies that addresses of the parities to be notified and that the accompanying list of nar feet of the subject site is a complete be served.	d under Section 17-13-0 nes and addresses of sur	107 of the Chicago Zo rounding property own	ning Ordinance. ners within 250
	Andrew J	Maxwell W/WW	`
Subscribed and Sworn to before me this day of	·	OFFICIAL SEAL ANN E MAXWELL TARY PUBLIC - STATE OF ILLINOIS OMMISSION EXPIRES:09/23/24	

# LAW OFFICES MARK J. KUPIEC & ASSOCIATES

# SUITE 1801 77 WEST WASHINGTON STREET CHICAGO, ILLINOIS 60602

TELEPHONE (312) 541-1878

FACSIMILE (312) 641-1745

May 14, 2021

Re: 1945 North California Ave., Chicago

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically, Section 17-13-0107, please be informed that on or about May 17, 2021, the undersigned will file an Application for a change in zoning from a B3-1 Community Shopping District to a B2-3 Neighborhood Mixed-Use District, on behalf of the Applicant, Andrew J. Maxwell, for the property located at 1945 North California Ave., Chicago.

The subject property is currently improved with a 2-story residential building with 2 original dwelling units. The Applicant needs a zoning change to comply with the minimum lot area per unit requirements of the Ordinance, in order to allow conversion of the existing building from 2 dwelling units to 3 dwelling units. No additional floor area is being proposed. The existing building will remain as is.

The Applicant is the owner of the subject property and his business address is

I am the Attorney for the Applicant and the contact person for this Application. My address is 77 West Washington Street, Chicago, Illinois, and my telephone number is (312) 541-1878.

Please note that the Applicant is not seeking to rezone or purchase your property. The Applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Sincerely,

Mark J. Kupiec

MJK/ap

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing	ng Party submitting th	nis EDS. Include d/b/a/ if applicable:
Andrew J. Maxwell		
Check ONE of the following	three boxes:	
the contract, transaction or othe "Matter"), a direct or indirect in name:  OR  3. \[ a \] a legal entity with a	tly holding, or anticiper undertaking to whinterest in excess of 7.	pated to hold within six months after City action on this EDS pertains (referred to below as the 5% in the Applicant. State the Applicant's legal
B. Business address of the Dis	sclosing Party:	•
C. Telephone:	Fax:	Email:
D. Name of contact person: A	ndrew J. Maxwell	· <del></del>
E. Federal Employer Identific	ation No. (if you hav	e one):
F. Brief description of the Maproperty, if applicable):	atter to which this ED	S pertains. (Include project number and location of
Zoning Change at 1945 North	n California Ave., Chic	ago
G. Which City agency or depart	artment is requesting	this EDS? Dept. of Planning and Development
If the Matter is a contract bein complete the following:	g handled by the City	's Department of Procurement Services, please
Specification # n/a	an	d Contract # n/a
Ver.2018-1	Page 1	•

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: ✓ Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership Yes No Other (please specify) Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: NA 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of 1. the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures. each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Title Name NA 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None." NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name **Business Address** Percentage Interest in the Applicant SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? ☐ Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Yes No No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Kupiec & Assoc, (retaine	d attorneys	) 77 W. Washington St. # 1801, Ch	
(Add sheets if necessary)			
Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities the disupport obligations throughout the	· · · · · · · · · · · · · · · · · · ·
• •	•	rectly owns 10% or more of the Disc ations by any Illinois court of comp	
☐ Yes 🗸 No 🗌	No person	directly or indirectly owns 10% or r	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay tagreement?	yment of all support owed and
Yes No	·		
x principo dencito	C + 07T C 7 TC		

### B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Ver.2018-1

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining. attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  NA
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-32-		ecause it or any of its affiliates (as defined in the meaning of MCC Chapter 2-32, expand
	the word "None," or no response a ned that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL IN	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part 12.
after reasonable inc		ne best of the Disclosing Party's knowledge to of the City have a financial interest in his or natity in the Matter?
Yes	√ No	
	ecked "Yes" to Item D(1), proceed to tems D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" Part E.
official or employed other person or ent taxes or assessment "City Property Sale	the shall have a financial interest in latery in the purchase of any property its, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	(
Yes	<b>✓</b> No	
<del>-</del>	· · · · · · · · · · · · · · · · · · ·	mes and business addresses of the City officials ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
N/A
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entition registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Ap Yes	pplicant? ] No
If "Yes," answer the three que	estions below:
1. Have you developed and d federal regulations? (See 41 C	lo you have on file affirmative action programs pursuant to applicable CFR Part 60-2.)  No
•	int Reporting Committee, the Director of the Office of Federal Contract Equal Employment Opportunity Commission all reports due under the s?  No Reports not required
3. Have you participated in a equal opportunity clause?  Yes	nny previous contracts or subcontracts subject to the
If you checked "No" to question	on (1) or (2) above, please provide an explanation:
	·

### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, II. 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Andrew J. Maxwell	
(Print or type exact legal name of Disclosing Party)	
By: (WWW)	
(Sign here)	
Andrew J. Maxwell	
(Print or type name of person signing)	
Owner - Applicant	
(Print or type title of person signing)	
Signed and sworn to before me on (date) \$\int \frac{4}{4}\$	21
at Cook County, IL (state).	•
CE Elbriell	<b></b>
Notary Public	OFFICIAL SEAL ANN E MAXWELL
Commission expires: $9/23/24$	NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:09/23/24
Commission expires.	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entit

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity a which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
Yes	<b>№</b> No	
		blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	<b>₽</b> No	The Applicant is not publicly traded on any exchange.
* ' '	cofflaw or problen	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<a href="www.en.iogo">www.en.iogo</a> (www.en.iogo</a> (www.en.iogo</a> (with a property of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services) or (ii) pay the City money for a license, grant or concession allowing them to conduct a business or City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
□No
$\[ \[ \] \]$ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.