

# City of Chicago

# Office of the City Clerk Document Tracking Sheet



O2021-3924

# Meeting Date:

Sponsor(s):

Type:

Title:

**Committee(s) Assignment:** 

# 9/14/2021

Misc. Transmittal

Ordinance

Zoning Reclassification Map No. 4-G at 2004 S Throop St -App No. 20812T1 Committee on Zoning, Landmarks and Building Standards

#20812-TI INTRO DATE SEPT 14,2021

### ORDINANCE

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RT-4, Residential Two-Flat, Townhouse and Multi-Unit District symbols and indications as shown on Map No. 4-G in the area bounded by:

A line 50 feet south of and parallel to West Cullerton Street; South Throop Street; a line 75 feet south of and parallel to West Cullerton Street; and the alley next west of and parallel to South Throop Street.

To those of a RM-5, Residential Multi-Unit District.

**SECTION 2.** This ordinance shall be in force and effect from and after its passage and due publication.

Common Address of Property: 2004 South Throop Chicago IL, 60608

# Project Address:

# 2004 South Throop St. Assessors PIN 1720324028

# Applicant:

Joel Putnam Owner and Architect

# Narrative and Plans:

Zoning Map amendment for 2004 S. Throop St. from RT4 to RM5.

# A. Project Description:

The project seeks to rezone 2004 South Throop from RT-4 to that of RM5 to allow for a new 45'-0" ft tall, four story, four unit residential building. With the new floor area ratio of 2 0 and an existing lot area of 2,731 sq ft the total buildable area of the proposed building would be 5,462 sq ft. The lot area per dwelling (density) for the proposed building would be 1,365.5 sq ft and will provide the required 4 off street parking stalls.

# B. Required Setbacks:

Front. We proposed to average the adjacent lots for a total setback of 3'-0"

Side: The side setbacks will meet the required 20% setback of 2'-6" each for a total of 5'-0".

Rear: The rear setback will meet the required setback of 122% of the lot depth for a total of 32'-9" The Rear Open Space requirement of 144 sq ft will be met.

# Summary of Relevant Facts:

Zoning Change requested from RT4 to RM5

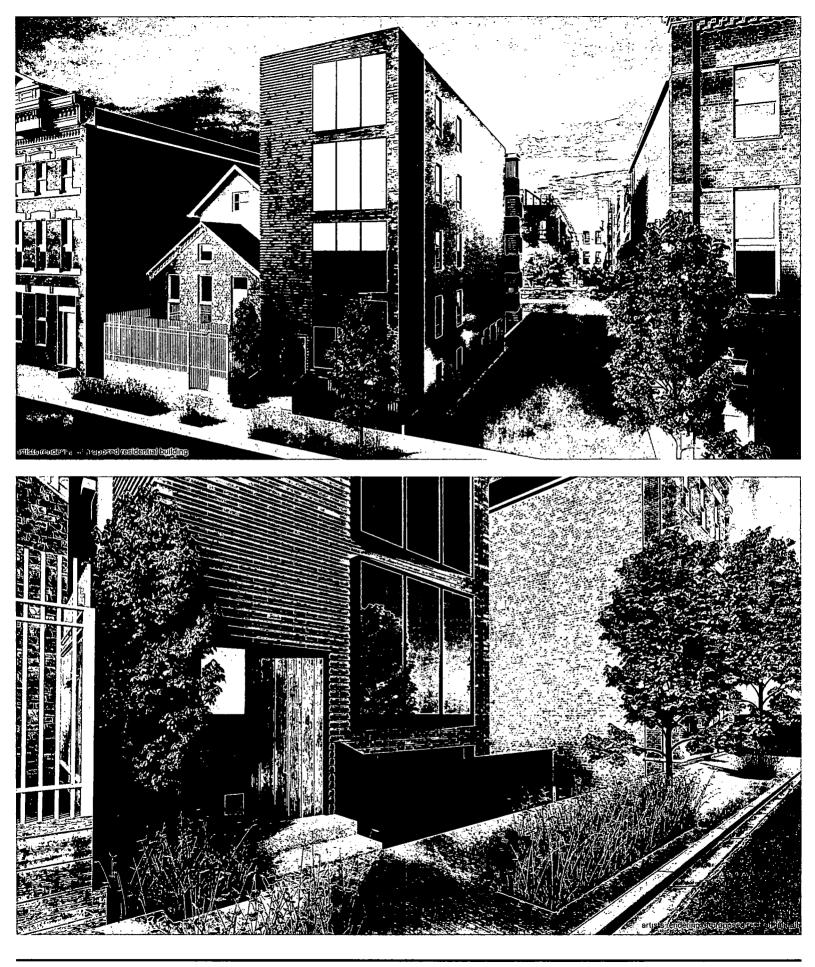
New Four Unit Residential Building

Lot Area of 2,721.25 sq ft

- C. Total FAR of 2.0 or 5,442.5 sq ft
- D. Building Height of 45'-0"
- E. Density of MLA minimum lot area per dwelling unit, lot area / 4 DU = 680.31 sq ft

All setback requirments met.

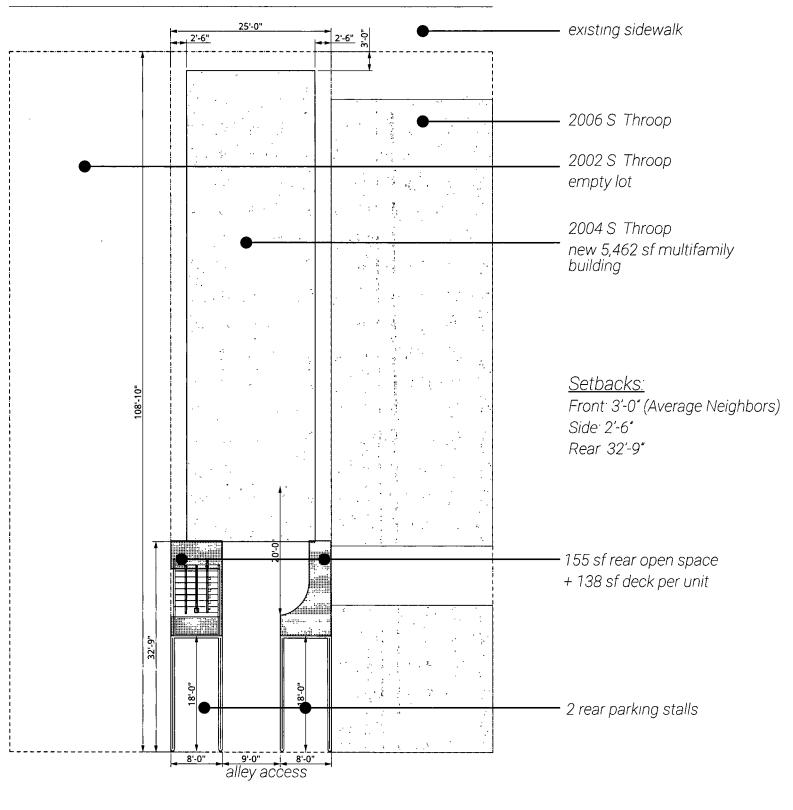
F. Parking: 4 spaces



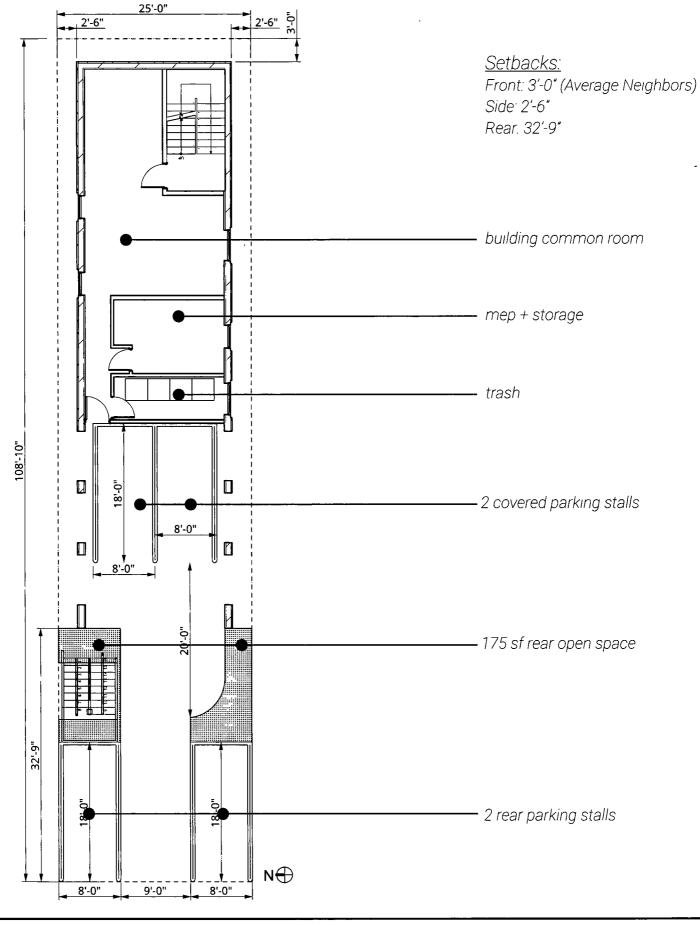
2004 SOUTH THROOP

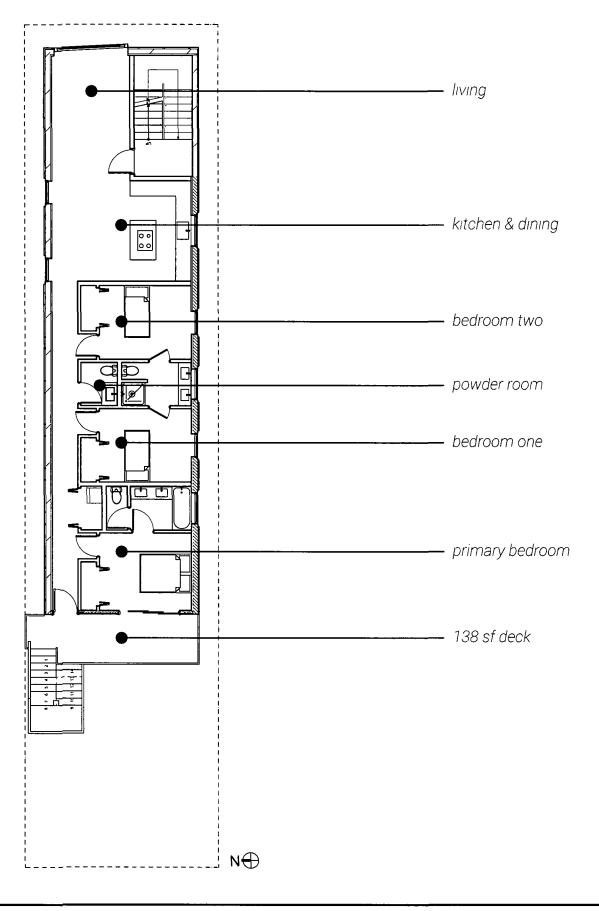
August 30th, 2021

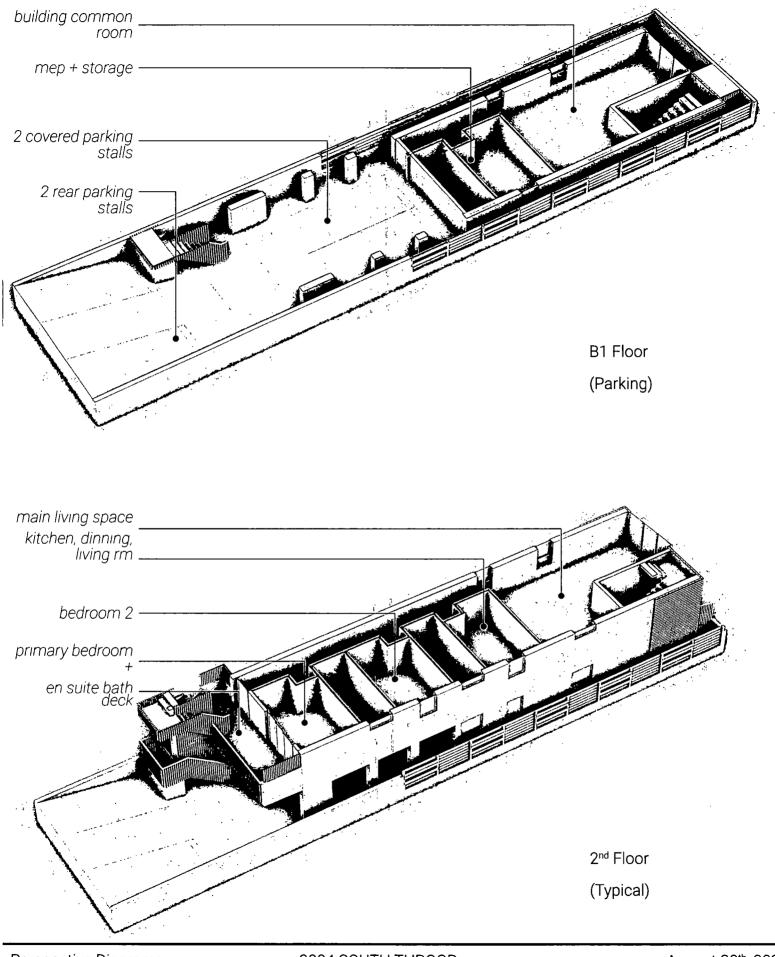
South Throop Street



N⊕



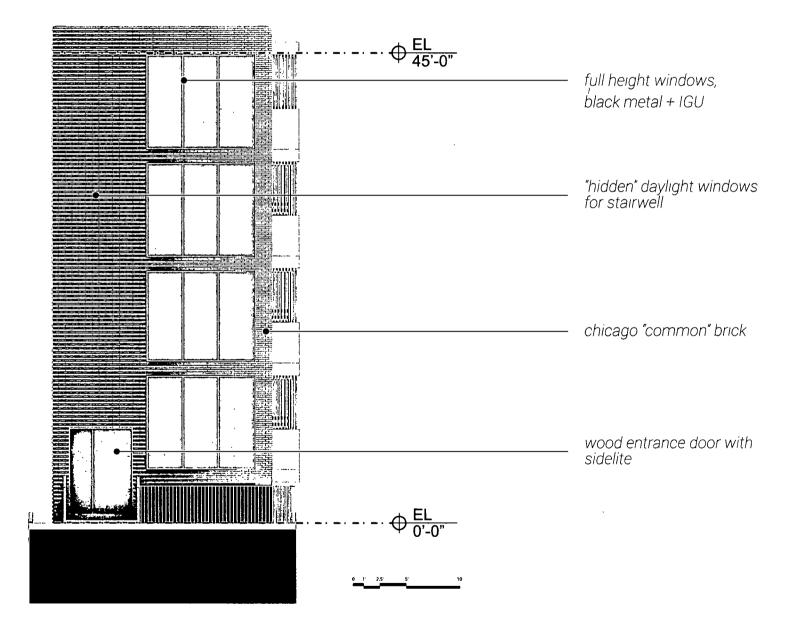


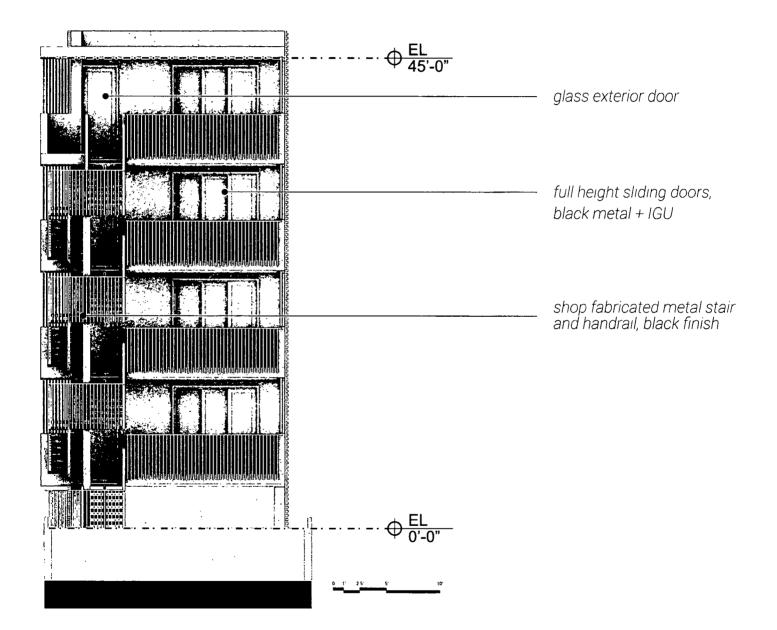


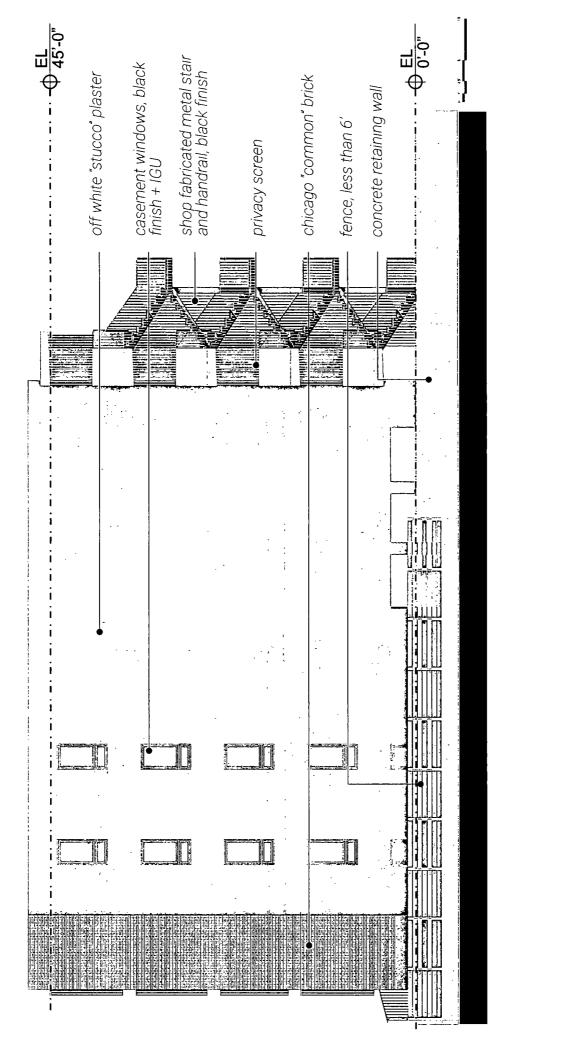
Perspective Diagrams

2004 SOUTH THROOP

August 30th, 2021



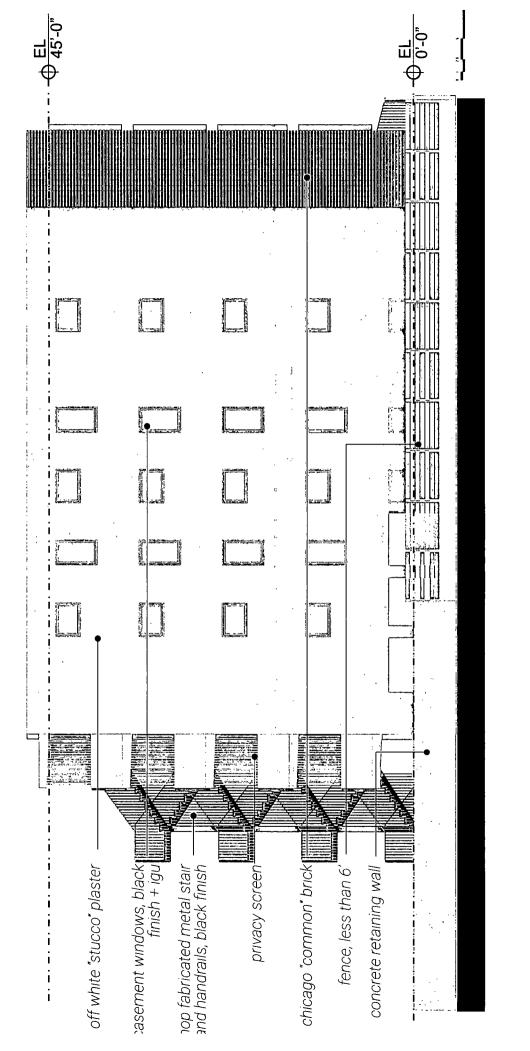




# 2004 SOUTH THROOP ZONING MAP AMENDMENT APPLICATION

Juły 20<sup>th</sup>, 2021

North Elevation



2004 SOUTH THROOP

July 20th, 2021

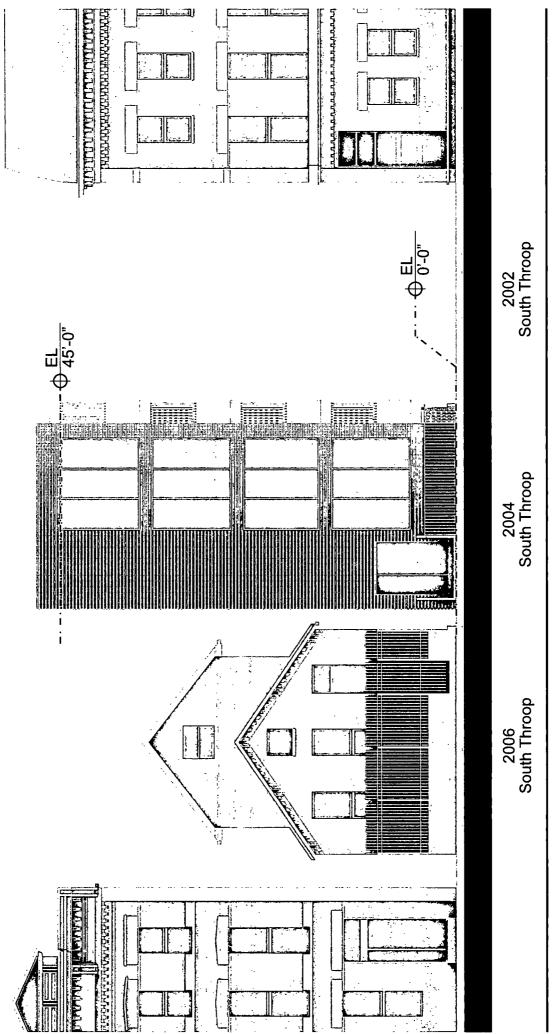
South Elevation

# ZONING MAP AMENDMENT APPLICATION

2004 SOUTH THROOP

July 20<sup>th</sup>, 2021

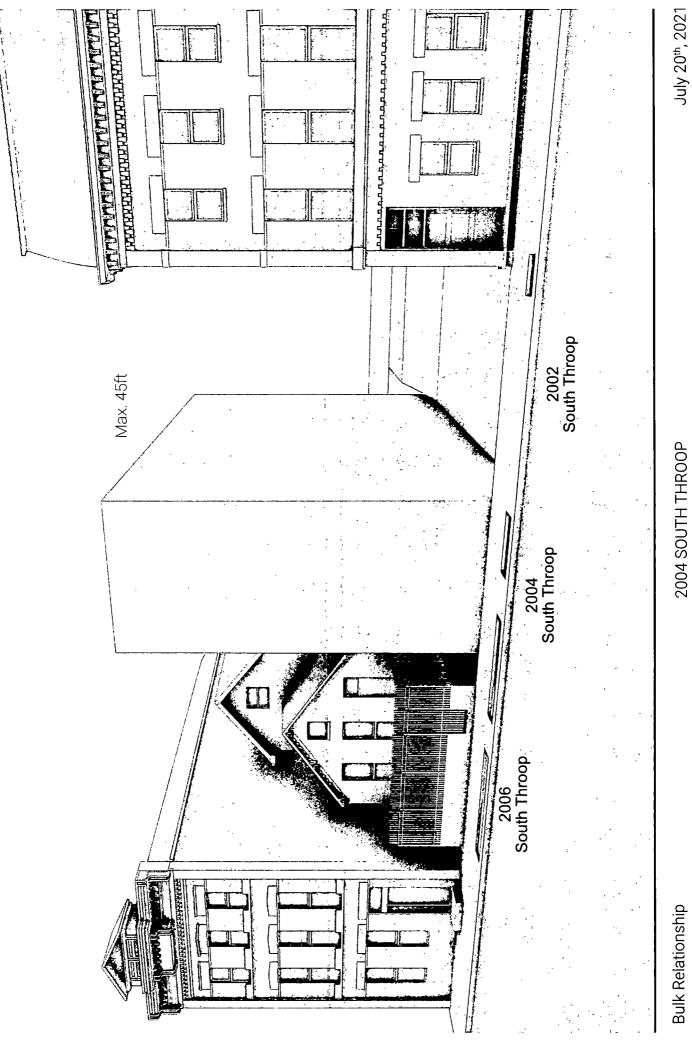
**Bulk Relationship** 





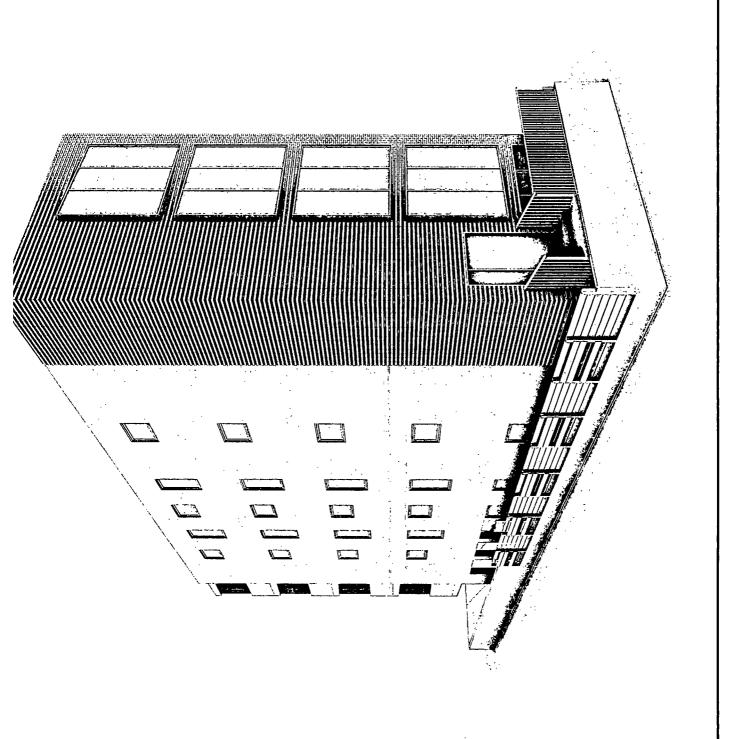
July 20<sup>th</sup>, 2021

**Bulk Relationship** 





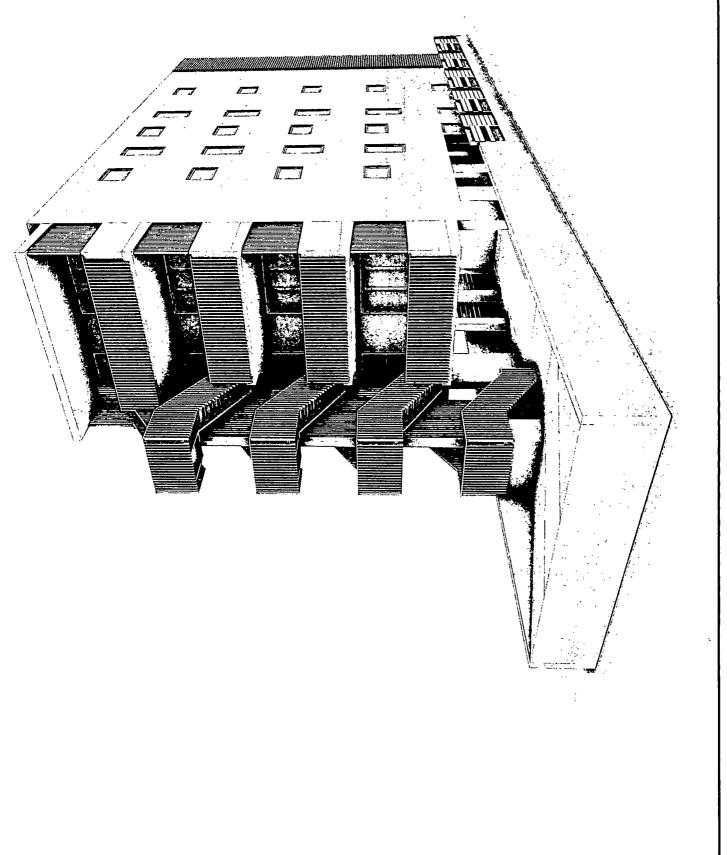
July 20<sup>th</sup>, 2021

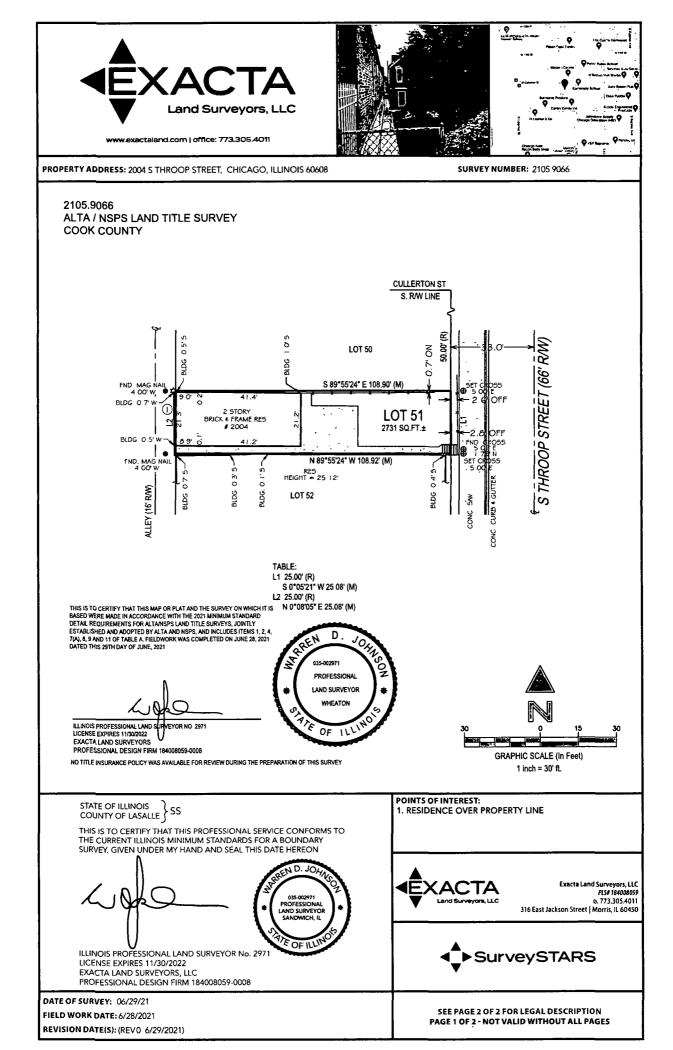


ZONING MAP AMENDMENT APPLICATION

2004 SOUTH THROOP

July 20th, 2021





Exacta Land Surveyors, L	LV	-SAX≦⊳⊦	I FILE NO:	СПЕИ	TLE COMMITMENT:
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MA - MIEL AJAE					<u></u>
WM - Water Meter/Valve Box	triog sulbs 8 - 98	00 - 00 Ground	FIP - Found Iron Pipe & Cap FIPC - Found Iron Pipe & Cap	- Center Line - Covered Porch	
WF - Wood Fence	Insmess3 pristrovO tooA - BOA	Vertical Datum 1929 Vertical Datum 1929	FF - Finished Floor	jurve Lirve	"
W/F • Water Filter	RGE - Range	BBBE muted Isolatev	Monument	T-Basement	· · · · · · · · · · · · · · · · · · ·
W/C - Witness Corner	RES - Residential	nshim And - 880VAN	FCM - Found Concrete	- Building Restriction Line	
VF - Vinyl Fence	YeW to theig - W/A	ALS - Not to Scale	F/DH - Found Drill Hole	asnateleR prinea	nani —
UR - Utility Riser	Redius or Radial	Isiba9-nov - AN	EUB - Electric Utility Box	Benchmark	we have a second
uP - Utility Pole	PUE - Public Utility Easement	elorineM - HM	Insmered - TM23	- Block	
unospisbadu - Du	PT - Point of Tangency	MF • Metal Fence	EOW - Edge of Water	e - Buibling - ک	
UE - Utility Easement	PSM - Professional Surveyor & Mapper	MES - Mitered End Section	FOP - Edge of Pavement	- Backflow Preventer	
leoiqyT - 9YT	Wonument	Inomoze3 ocnonotnieM - 3M	entrance	Block Corner	- DS Rood BC
TX - Transformer	PRM - Permanent Reference	Aood qeM - BM	ENCL - Enclosure	wobniW xo8\ys8 •	
dinterwoT - 9WT	Curvature	LS# - License No (Surveyor)	EM - Electric Meter	- Accessory Setback Line	
<b>TUE -</b> Technological Utility Easement	PRC - Point of Reverse	<b>Jite - Lake, Landscape</b> Maintenance Easement	ELEV - Elevation	tnemeze∃ rodanA - i	
108 - Top of Bank	POB - Point of Beginning POC - Point of Commencement	10amase3 agessbne 1 - 3J	DUE - Drainage & Utility Duent	Access Easement	
TEL - Telephone Facilities	PLT - Planter	Last - Limited Buffer Essement	DH - Dtill Hole	eninoitibno 11A -	18udsw V / / / / /
heM doneB vieroqmeT - MBT	Surveyor	LB# - License No. (Business)	DI - DI SI - 10	Survey	67 JUL 75 JUL 96
SWE - Sidewalk Easement	bral lenoisesonal - 219	Inemeses execcess betimin - BAJ	Jnemeze3 egenisia - 30	Plat	- 107
SVI-Sewer Valve	Pt - Point of Intersection	yıbuən - n	D/W - Driveway	- Measured	
STY - Story	PCP - Permanent Control Point	tnemeze3 noitegnil - 38Al	CVG - Concrete Valley Gutter	Deed	
<b>5TL</b> - Survey Tie Line	Curvature	1011 - Intersection	CUE - Control Utility Easement	Calculated	
30FT - Square Feet	PC - Point of Curvature PCC - Point of Compound	Inemution - TRNI	CS/W - Concrete Sidewalk	SNOILVIAB	
osiO bris lisu jac - Ganz	PB - Plat Book	ILL - Illegible	COR - Comer		
<b>SMWE</b> - Storm Water Management Easement	P/E - Pool Equipment	16/EE - Ingress/Egress Easement	CONC - Concrete		
ges & bos non 192 - 2812	ON - Inside Subject Property	ID - Identification	CO - Clean Out		
SEW - Sewer	OHL - Overhead Utility Lines	<b>GAR - Garage</b> <b>GM - Gas Meter</b>	CME - Canal Maintenance Easement	50 Tree	
Anst othes - 982	DIE OVERAND	Spike	CLF - Chain Link Fence	elorine 🖉	Easement
SEC - Section	OFF - Outside Subject Property	bsof ilsA bruot - JIQ2974	CHIM - Chimney	Cuywire or Anchor	Eence
SCR - Screen	192HO - 2\O	Part C & liek brund - CANT	CH - Chord Bearing	Find or Set	
SCL - Survey Closure Line	IlsiavO - A/O	lisV bruoi - Mi	<b>CB</b> - Concrete Block	Eind or Set	aun Arepunog
<b>- Sidewalk</b> SW - Sidewalk	<b>ORB -</b> Official Records Book ORV - Official Record Volume	<b>FIR -</b> Found Iron Rod & Cap FIR <b>C -</b> Found Iron Rod & Cap	C/S - Concrete Slab CATV - Cable TV Riser	Elevation	, INNELADES

This survey is exclusively for a pending financial transaction inspection No excavation was performed to determine its location excavation

location depicted hereon was either shown to the surveyor by a third party or it was estimated by visual above ground If there is a septic tank or drain field shown on this survey, the 3'

Builder of future planning the lands described by the legal description provided and purpose and interpret provided by the legal description interpret the stubile improvements from for the non loss served inter, including root eave eventangs, well nee to ito calculate a part of this survey. Unless specifically stated otherwise the extinction of this survey is not for any construction and the state of this survey is not for any construction activities of the survey is not for any construction activities of the survey is not for any construction activities of the survey is not for any construction activities of the survey is not for any construction activities of the survey is not for any construction activities of the survey is not activities and the activities of the survey is not for any construction activities of the survey is not activities and activities and activities activities activities activities and activities and activities activitities activitities activities activities activitie The purpose of this survey is to establish the boundary of

z The Legal Description used to perform this survey was upper provide the inner of the perform the survey man ownership of the lands to ray fences that proper the which instruments, if any an enforcing this property, instruments and the survey of the performance instruments and the survey of the performance of the survey of the survey of the performance of the survey of the survey of the performance of the survey of the survey of the performance of the survey of L

GENERAL SURVEYOR NOTES:

Utilitles shown on the subject property may or may not indicate the existence of recorded or unrecorded utility ш

improvements, which may appear in conflict with boundary, pulling activate or assement that as a defined by the pulling activate or the seven that the sa defined activation and all terms of interest of the seven there any be additional postmeter at any any or called-out as POIs, or which are otherwise unknown to the surveyor 10 Points of Interest (POI's) are select above-ground

and may not represent the actual shape or size of the feature The symbols reflected in the legend and on this surves may been enlarged or reduced for clarity. The symbols have been plotted at the approximate center of the figld noticed at the approximate center of the symbol. 6

8 Unless otherwise noted "SET" indicates a set iron rebar, 5/8 (nch in diameter and eighteen inches long

X. Any ERMA flood zone date contained on this survey is for informational purposes only Research to obtain said data was performed at www fema gov and may not reflect the most recent information.

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Construction or planing (a. Surveyor bearingt are used for angular reference and are used to show angular relationships of lines only and are not related or other addoming and used for angular referencing those on the addoming of the addoming and use only and upon programsion of the determination of the construction as the determination of a notif or deteration more securate as the determination of a notif or determing on the source of the source of this survey is the addoming and upon programsion of this survey is the addoming and upon programsion of this survey is the addoming of the addoming as storem on the survey is the addoming and of this survey is the addoming of the addoming as of the det and equicate of the or other addoming and addoming and the addoming and upon programsion of the det and equication and the addoming and the addoming and upon programsion of the det and equication and addoming and the addoming and upon program addoming and addoming and the addoming and addoming addoming and addoming and addoming addoming and addoming and addoming and addoming and addoming addoming and 1270 56, Paragraph 8, Sub-Paragraph 6, Rem k.

13 Due to varying construction standards, house dimensions are approximate and are not intended to be used for new construction or planning.

LOT 51 IN WILLIAMS SUBDIVISION OF BLOCK 10 IN JOHNSTON AND LEES SUBDIVISION OF THE SOUTHWEST 1/4 OF SECTION 20, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COUNTY, ILLINOIS. LEGAL DESCRIPTION:

JOB SPECIFIC SURVEYOR NOTES:

PROPERTY ADDRESS: 2004 S THROOP STREET, CHICAGO, ILLINOIS 60608

9906 SOLZ :338WUN YEVR

# CITY OF CHICAGO

#20812-TI INTRO DATE SEPT 14,2021

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:

2004 S. THROOP ST. CHICAGO, IL 60608

Ward Number that property is located in: <sup>25</sup> 2.

APPLICANT JOEL PUTNAM & ARCHITECT 3.

ADDRESS 2004 S. THROOP ST. CITY CHICAGO

------STATE IL ZIP CODE 60608 PHONE

EMAIL \_\_\_\_\_\_\_ CONTACT PERSON\_JOEL PUTNAM

4. Is the applicant the owner of the property? YES X NO If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER			
ADDRESS		CITY	
STATE	ZIP CODE	PHONE	

EMAIL	CONTACT PERSON	

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY		
ADDRESS		
CITY	_STATE	ZIP CODE
PHONE	_FAX	EMAIL

On what date did	the owner acquire le	gal title to the subject property? <u>11/22/2017</u>
-	wner previously rezo	oned this property? If yes, when?
NO		
Present Zoning D	istrict_RT4	Proposed Zoning District_RM5
Lot size in square	e feet (or dimensions)	25' X 108.85' RECORDED MEASUREMENT = 2721.25
Current Use of the	e property RESIDE	NTIAL
Reason for rezoni	ng the property TO N	MEET THE BULK AND DENSITY OF THE RM5 TO ALLOW THE CONSTRUCTION OF A NEW 4 DWELLING UNIT DING.

TO ALLOW THE CONSTRUCTION OF A NEW 4 STORY 4 UNIT MULTIFAMILY BUILDING WITH 4, 3 BEDROOM UNITS. 4 PARKING SPACES PROVIDED AS REQUIRED BUILDING HEIGHT OF 45'-0" PER ZONING CODE; NO COMMERCIAL.

14. The Affordable Requrements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?

YES\_\_\_\_\_ NO\_X

COUNTY OF COOK STATE OF ILLINOIS

statements and the statements contained in the documents submitted herewith are true and correct.

. . . . ture of Applicant

		••	
Subscribed and Sworn to	before me this		
day of	<u>July</u> , 20 <u>21</u> .		
55 Shah	"OFFICIAL SEAL"		
Notary Public	NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 7/16/2023		

For Office Use Only

Date of Introduction:

File Number:\_\_\_\_\_

Ward:\_\_\_\_\_

•••

# "WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

Date September 1st, 2021

Honorable Thomas M. Tunney Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

Potra , being first duly sworn on oath deposes The undersigned, and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately SEPTEMBER 14, 2021.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Subscribed and Sworn to before me this \_\_\_\_\_\_, 20 2

JAMES E SMART Official Seal Notary Public - State of Illinois My Commission Expires May 18, 2024

Notary Public

September 1<sup>st</sup>, 2021

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about September 14<sup>th</sup>, 2021, the undersigned will file an application for a change in zoning from RT 4, Residential Two-Flat, Townhouse and Multi-Unit District to the RM 5, Residential Multi-Unit District on behalf of Joel and Katherine Putnam for the property located at 2004 S. Throop St. Chicago, IL 60608.

The applicant intends to use the subject property for a new 4 story 4 dwelling unit Residential Building,45 foot tall, 5,442.5 square foot, four unit rental residential dwelling unit building with four parking spaces.

I, the applicant and owner, Joel and Katherine Putnam are located at 2004 S. Throop St. Chicago, IL 60608. The contact person for this application is Joel Putnam, 2004 S. Throop St. Chicago, IL 60608, phone number is

Please note that the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Very, Truly Yours,

Joel Putnam

Katherine Putnam

# **CITY OF CHICAGO** ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

JOEL PUTNAM

# Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  $\checkmark$  the Applicant

OR

OR

a legal entity currently holding, or anticipated to hold within six months after City action on 2. 1 the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

3.  $\square$  a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:	2004 S. THROOP ST.		
	CHICAGO, IL 60608		
C. Telephone: Fax:	Email:		
D. Name of contact person:			
E. Federal Employer Identification No. (if you	a have one): <u>N/A</u>		
F. Brief description of the Matter to which thi property, if applicable):	s EDS pertains. (Include project number and location of		
REZONING APPLICATION FOR 2004 S. THROOP ST. S	SEEKING A ZONING MAP CHANGE FROM RT4 TO RM5.		
G. Which City agency or department is reques	ting this EDS?		
If the Matter is a contract being handled by the complete the following:	city's Department of Procurement Services, please		

complete the following:

Specification # \_\_\_\_\_\_ and Contract # \_\_\_\_\_

Ver.2018-1

# **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing P	arty:
Person	Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	$\overline{\text{(Is the not-for-profit corporation also a 501(c)(3))}?$
Limited partnership	Yes No
Trust	Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

N/A

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes

🗌 No

Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant		

# SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

Does the Disclosing Party reasonably expect to provide any income or compet	nsatio	on to any C	ity	,
elected official during the 12-month period following the date of this EDS?	ΠY	es	<ul> <li></li> </ul>	No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable
inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in
Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?
Yes No
If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

# **SECTION V -- CERTIFICATIONS**

# A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

Yes

# B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that the Disclosing Party (check one)
 is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

# D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?



NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

	2.	The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the
I	Disclos	ing Party has found records of investments or profits from slavery or slaveholder insurance
p	olicies	. The Disclosing Party verifies that the following constitutes full disclosure of all such
r	ecords	, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

# A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party th	ne Applicant?
✓ Yes	🗌 No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No N/A

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes
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No No

If you checked "No" to question (1) or (2) above, please provide an explanation: WE ARE NOT A BUSINESS.

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Joel Putnam	Katherine Putnam
(Print or type exact ]	egal name of Disclosing Party)
By: (Sign here)	By: Put
(Print or type name of	of person signing)
Owner (Print or type title of	Owner
Signed and sworn to	before me on (date) $9 - 7 - 2021$ ,
at Notary Public	County, <u> </u>
Commission expires	: <u>June 12</u> , 2023
	ALICIA S WILLIAMS Official Seal Notary Public - State of Illinois My Commission Expires Jun 12, 2023

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

# This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?





If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# **BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

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2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No	

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

N/A

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# **PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

] Yes

No

 $\checkmark$  N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.