

City of Chicago



O2021-5269

Office of the City Clerk

Document Tracking Sheet

Meeting Date:

11/17/2021

Sponsor(s):

Lightfoot (Mayor)

Type:

Ordinance

Title:

Amendment of 2020 Tax Levy, Appropriation, and Service Provider Agreement, and the 2021 Management agreement, authorization of Tax levy, Scope of services, budget and management agreement for 2022 for Special Service Area

No. 73, Chinatown

Committee(s) Assignment:

Committee on Economic, Capital and Technology

Development



OFFICE OF THE MAYOR CITY OF CHICAGO

LORI E. LIGHTFOOT

November 17, 2021

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the term, budget, services and service provider agreements for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly you<u>rs</u>

Mayor

ORDINANCE REGARDING SPECIAL SERVICE AREA #73

WHEREAS, special service areas may be established pursuant to (i) Article VII, Sections 6(I) and 7(6) of the Constitution of the State of Illinois; (ii) the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act"); and (iii) the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, the City Council (the "City Council") of the City of Chicago (the "City") has previously enacted a certain ordinance on the date specified on Exhibit A attached hereto and hereby made a part hereof and published in the Journal of the Proceedings of the City Council of the City (the "Journal") for such date at the pages specified on Exhibit A hereto, and amended on the dates specified on Exhibit A hereto and published in the Journal for such dates as specified on Exhibit A hereto (as amended from time to time, the "Establishment Ordinance"), which established a certain special service area as indicated therein and as identified on Exhibit A hereto (the "Area") and authorized the levy of certain annual taxes, not to exceed the annual rate (the "Levy Cap") indicated therein and as described on Exhibit A hereto, of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City generally as specified in the Establishment Ordinance (the "Special Services"); and

WHEREAS, the Establishment Ordinance provided for the appointment of a certain special service area commission for the Area (the "Commission") to advise the City Council regarding the amount of the Services Tax for the Area to be levied and for the purpose of recommending to the City Council for the Area: (i) a yearly budget based upon the costs of providing the Special Services; (ii) an entity to serve as service provider (the "Service Provider"); (iii) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (iv) a budget to be included in the Service Provider Agreement (the "Budget") (the aforementioned items (i) through (iv) shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission identified on <u>Exhibit A</u> hereto has heretofore prepared and transmitted to the Commissioner of Planning and Development (the "Commissioner") their Recommendations to the City Council, including the Budget attached hereto as <u>Exhibit A</u>;

WHEREAS, on December 16, 2020, the City Council enacted an ordinance (the "2020 Appropriation, Levy, and Agreement Ordinance"), which was published in the Journal for such date at pages 25235 through 25239, and which among other things, appropriated the sums necessary to provide the Special Services in and for the Area for 2021, levied the Services Tax for the year 2020, and authorized an agreement with Chicago Chinatown Chamber of Commerce an Illinois not-for-profit corporation, as the Service Provider (the "2021 Service Provider"), for the provision of the Special Services in 2021; and

WHEREAS, pursuant to the 2020 Appropriation, Levy, and Agreement Ordinance, the City and the 2021 Service Provider entered into a Service Provider Agreement (the "2021 Service Provider Agreement"); and

WHEREAS, the City desires to increase the budget for Special Services in the Area in

2021 by \$6,406; and

WHEREAS, the City therefore desires to amend both the 2020 Appropriation, Levy, and Agreement Ordinance, as set forth below, and the 2021 Service Provider Agreement; now, therefore

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. <u>Incorporation of Preambles</u>. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

SECTION 2. <u>Appropriations</u>. There is hereby appropriated the sum in the amount and for the purposes necessary to provide the Special Services in and for the Area, the estimated amount of miscellaneous income and the amount required to be raised by the levy of the Services Tax indicated on <u>Exhibit A</u> hereto: Collectable Levy, Estimated Loss Collection, Carryover Funds, TIF Rebate Fund, and Estimated Late Collections and Interest.

SECTION 3. <u>2021 Levy of Taxes</u>. There is hereby levied pursuant to the provisions of (i) Article VII, Sections 6(a) and 6(l)(2) of the Constitution of the State of Illinois; (ii) the Act; and (iii) the Establishment Ordinance, the sum of the "Total Requested Levy" indicated on <u>Exhibit A</u> hereto as the amount of the Services Tax for the Area for the tax year 2021.

SECTION 4. Filing. The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 28, 2021, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2021 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with the entity indicated on Exhibit A hereto as the Service Provider, for a one-year term in form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation, the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sum appropriated pursuant to Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement (and any amendments thereto) readily available for public inspection. The Authorized Officer is also authorized to sign amendments to the Service Provider Agreement entered into pursuant to this Section 5 so long as such amendments do not alter the identity of the Service Provider and/or the amounts appropriated and/or levied pursuant to Sections 2 and 3 hereof

SECTION 6. <u>Amendment of 2020 Appropriation, Levy, and Agreement Ordinance.</u> Exhibit A of the 2020 Appropriation, Levy, and Agreement Ordinance is hereby amended by deleting the previously attached budget in its entirety and replacing it with <u>Exhibit A-1</u> as attached hereto.

SECTION 7. Amendment to 2021 Service Provider Agreement. The Commissioner, or a designee of the Commissioner, are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver an amendment to the 2021 Service Provider Agreement consistent with Section 6 above and as authorized herein (the "2021 Service Provider Agreement Amendment"), and such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the 2021 Service Provider Agreement Amendment.

SECTION 8. <u>Enforceability</u>. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

SECTION 9. <u>Conflict</u>. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 10. <u>Publication</u>. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 11. <u>Effective Date</u>. This ordinance shall take effect 10 days after its passage and publication.

EXHIBIT A

SPECIAL SERVICE AREA #73

Area	Levy Cap	Total Requested Levy	Commission	Service Provider
73	0.800%	\$49,168	Chinatown Special Service Area	Chicago Chinatown Chamber of Commerce

Establishment Ordinance

Date: December 13, 2017

Journal pages: pages 62833 through 62845

See attached 2022 Budget.

Exhibit A Budget

Special Service Area # 73

SSA Name:

Chinatown

2022 BUDGET SUMMARY

Budget and Services Period: January 1, 2022 through December 31, 2022

	Γ	2021	_evy]			
(Funded Cate	EGORY egories Comprise of Services)	Collectable Levy	Estimated Loss Collection	Carryover Funds	TIF Rebate Fund #0D32	Estimated Late Collections and Interest	Total All Sources
1.00 Customer Attraction		\$2,168	\$0	\$1,000	\$2,000	\$0	\$5,168
2.00 Public Way Aesthetics		\$30,000	\$0	\$6,700	\$5,000	\$0	\$41,700
3.00 Sustainability and Public Places		\$300	\$0	\$300	\$0	\$0	\$600
4.00 Economic/ Business Development		\$3,500	\$0	\$5,000	\$2,531	\$0	\$11,031
5.00 Public Health and Safety Programs		\$200	\$0	\$1,000	\$0	\$0	\$1,200
6.00 SSA Management		\$13,000	\$0	\$0	\$0	\$0	\$13,000
7.00 Personnel		\$0	\$0	1.5	\$0	\$0	\$0
	Sub-total	\$49,168	\$0	要包含人			
GRAND TOTALS	Levy Total	\$49,1	168	\$14,000	\$9,531	\$0	\$72,699

LEVY ANALYSIS	
Estimated 2021 EAV:	\$42,392,445
Authorized Tax Rate Cap	0.800%
Maximum Potential Levy limited by Rate Cap:	\$339,140
Requested 2021 Levy Amount.	\$49,168
Estimated Tax Rate to Generate 2020 Levy	0.1160%

EXHIBIT A-1

Amended 2021 Budget (attached)

Exhibit A Budget

Special Service Area # 73

SSA Name:

Chinatown

2021 AMENDED BUDGET SUMMARY

Budget and Services Period: January 1, 2021 through December 31, 2021

		2020 1	_evy				
(Funded Categ	GORY gories Comprise Services)	Collectable Levy	Estimated Loss Collection	Carryover Funds	TIF Rebate Fund #OD32	Estimated Late Collections and Interest	Total All Sources
1.00 Customer Attraction		\$100	\$0	\$2,200	\$0	\$0	\$2,300
2.00 Public Way Aesthetics		\$30,000	\$170	\$9,906	\$2,000	\$170	\$42,246
3.00 Sustain Public Place	•	\$300	\$0	\$300	\$0	\$0	\$600
4.00 Economic/ Business Development		\$1,500	\$0	\$150	\$581	\$0	\$2,231
5.00 Public Health and Safety Programs		\$100	\$0	\$100	\$1,000	\$0	\$1,200
6.00 SSA Management		\$12,400	\$0	\$0	\$0	\$0	\$12,400
7.00 Personnel		\$0	\$0		\$0	\$0	\$0
· · · · · · · · · · · · · · · · · · ·	Sub-total	\$44,400	\$170				
GRAND TOTALS	Levy Total	\$44,5	570	\$12,656	\$3,581	\$170	\$60,977

LEVY ANALYSIS	<u>, ja (j. 1805.)</u>
Estimated 2020 EAV	\$42,392,445
Authorized Tax Rate Cap	0.800%
Maximum Potential Levy Ilmited by Rate Cap	\$339,140
Requested 2020 Levy Amount	\$44,570
Estimated Tax Rate to Generate ,2020 Levy	0.1051%

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:					
Chicago Chinatonyn chamber of Commerce					
Check ONE of the following three boxes:					
Indicate whether the Disclosing Party submitting this EDS is: 1.					
3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:					
B. Business address of the Disclosing Party: 2169 B. S. China Place Chicago, 21 60616					
C. Telephone: 312 326 5320 Fax: 312 326 5668 Email: 11/20 chicagochinatoron. org					
D. Name of contact person: Emma Yy					
E. Federal Employer Identification No. (if you have one):					
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable): To allow dirage Chinotown Chamber of Commerce to ender into a contract					
with the City of discage to provide services within SSA #73					
G. Which City agency or department is requesting this EDS? Planking and Dovelopment					
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:					
Specification # and Contract #					
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	
 Indicate the nature of the Disclosing Part Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] Wo [] Other (please specify) 50 (C) (b)
2. For legal entities, the state (or foreign count	ry) of incorporation or organization, if applicable:
Illinoi 5	
3. For legal entities not organized in the State obusiness in the State of Illinois as a foreign entities.	of Illinois: Has the organization registered to do ity?
[] Yes [] No	Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members which similar entities, the trustee, executor, administ limited partnerships, limited liability compa	plicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there h are legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name - See attached " - No members	Title which are legal entities"
	oncerning each person or legal entity having a direct or
ownership) in excess of 7.5% of the Applicant.	onths after City action) beneficial interest (including Examples of such an interest include shares in a p or joint venture, interest of a member or manager in a
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Board of Directors List

Executive Officers for 2021-2022

President 會長						
Patrick McShane	American Metro Bank 匯通銀行					
Vice Presid	ents 副會長					
Jimmy Lee 李建明	nmy Lee 李建明 AM Center Home Supply					
Homan Wong 王灏文	Dearborn Architects					
English Secre	English Secretary 英文秘書					
Ernest Wong 黄安立	site design group, ltd					
	·					
Chinese Secre	etary 中文秘書					
Kai Liang 梁加勁	MCCB, Inc. 時尚食譜					
Treasu	rer 財政					
Jenny Yang 楊國珍	Phoenix Bean LLC 鳳凰豆類食品製造廠					
Chairperson of Boar	rd of Directors 董事長					
Mabel Moy梅施美英	Four Seasons Travel 四季旅遊					
Executive	e Director 行政主任					
	Emma Yu 于乐					

Directors for 2020-2022

Stan Bochnowski	Lakeside Bank 湖濱銀行		
Frank (Jiazhao) Chen 陳嘉釗	Heung Seng Real Estate Investment Corp. 騰昇地產投資公司		
Gary Chen 陳健明	Park to Shop Supermarket Group 百佳超市連鎖		
Raymond Chen 陳子睿	Pacific Global Bank, A Divison of Royal Business Bank 皇佳商業銀行分行,高寶銀行		
Eileen Chin 陳愛玲	R.M. Chin & Associates 陳偉民與合夥人事務所		
Kai Liang 梁加勁	MCCB, Inc. 時尚食譜		
Zhi Dong (Alex) Lin 林志東	Jaslin Hotel 傑林酒店		
Patrick McShane	American Metro Bank 匯通銀行		
Mabel Moy 梅施美英	Four Seasons Travel 四季旅遊		
Spencer Ng 伍戊燊	Triple Crown Restaurant 大三元餐廳		
Andrew Sargis 華安露	Wendella Boat 水上的士		
Ernest Wong 黄安立	site design group, ltd		

Directors for 2021-2023

	
Joyce Chiu 趙美琪	Chiu Quon Bakery & Dim Sum 超群餅家
Chris Huang 黄暉	Athena Design Group 藝城廣告設計公司
Eddie Lau 劉國仕	New Furama Restaurant 富麗華海鮮大酒樓
Jimmy Lee 李建明	AM Center Home Supply
Xiong Hui Liang 梁雄輝	Phoenix Restaurant 萬濠酒家
Gavin Ma 馬捷鵬 (NEW)	GASAMA CAPITAL LLC 天馬投資
Yuk K Mui 梅沃強 (NEW)	Strings and KenKee LLC 強記餐廳
Eddie Ni 倪舉淩	Windfall Group 聯豐集團
Tony Shu, Esq. 徐佐寰律師	Tony T. Shu & Associates 徐佐寰律師事務所
Homan Wong 王灏文	Dearborn Architects
Jenny Yang 楊國珍	Phoenix Bean, L.L.C. 鳳凰豆類食品製造廠

Advisory Board 顧問委員

C. W. Chan 陳增華 (Chairman)	CBCAC 華埠更好團結聯盟
Raymond Chin 陳偉民	R.M. Chin & Associates
Betty Chow 張佩蒂	Betty P Chow, CPA 張佩蒂會計師事務所
Helen Lee 李朱美卿	
Jimmy Lee 李達平	
Raymond Lee 李秉樞	Golden Country 金國食品公司
Ray Spaeth 施偉文	
Ming Ming Tong 梁明銘	
Benjamin Wong 黄永年	

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.					
SECTION III OFFICIALS	INCOME OR COMPENSATIO	N TO, OR OWNERSHIP BY, CITY ELECTED			
	ng Party provided any income or co preceding the date of this EDS?	mpensation to any City elected official during the			
	ing Party reasonably expect to provuring the 12-month period followin	ide any income or compensation to any City g the date of this EDS? [] Yes [] No			
	of the above, please identify below come or compensation:	the name(s) of such City elected official(s) and			
inquiry, any City		Disclosing Party's knowledge after reasonable ic partner, have a financial interest (as defined in MCC")) in the Disclosing Party?			
	dentify below the name(s) of such (escribe the financial interest(s).	City elected official(s) and/or spouse(s)/domestic			
SECTION IV	DISCLOSURE OF SUBCONTR	ACTORS AND OTHER RETAINED PARTIES			
lobbyist (as define whom the Disclotthe nature of the Disclosing Party Party's regular party's	ned in MCC Chapter 2-156), accourtionsing Party has retained or expects the relationship, and the total amount of is not required to disclose employer ayroll. If the Disclosing Party is un	siness address of each subcontractor, attorney, attant, consultant and any other person or entity or retain in connection with the Matter, as well as of the fees paid or estimated to be paid. The es who are paid solely through the Disclosing certain whether a disclosure is required under this y whether disclosure is required or make the			
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•	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)		·	
,	osing Party	has not retained, nor expects to re-	tain, any such persons or entities.
SECTION V CERTIFIC	CATIONS	S .	
A. COURT-ORDERED CI	HILD SUF	PPORT COMPLIANCE	
	•	intial owners of business entities th support obligations throughout the	
- ^	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	•
[]Yes []No []No	o person d	irectly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person ent is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
[] Yes [] No			
B. FURTHER CERTIFICA	ATIONS		
Procurement Services.] In Party nor any Affiliated En performance of any public inspector general, or integri investigative, or other simil activity of specified agency can be considered for agency	the 5-year atity [see do contract, the complication of the complication of the contract of the	he Matter is a contract being handle period preceding the date of this Elefinition in (5) below] has engaged the services of an integrity monitor, ance consultant (i.e., an individual elesignated by a public agency to he as well as help the vendors reform that in the future, or continue with a continu	DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the heir business practices so they contract in progress).
2. The Disclosing Party an	nd its Affil	iated Entities are not delinquent in	the payment of any fine, fee,

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tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Subcontractors and Retained Parties

Name Address

Business Relationship to Disclosing Party

Fees

Retained: Cleanslate, 1540 S. Ashland Ave. Suite 105, Chicago, IL Public Way Sanitation subcontractor estimated \$25,000

Anticipated to retained: Eilts & Associates, 3729 N. Ravenswood, Suite 117, Chicago, IL auditor estimated \$2,400

Anticipated to retained: Christy Webbe Landscapes, 2900 W Ferdinand St, Chicago, IL Landscaping subcontractor estimated \$10,840

Retained: Bluehost, bluehost.com, website/domain vendor

\$162

Anticipated to retained: site design group, 888 S. Michigan Ave Ste PH1, Chicago, IL

\$2031

We do not have scheduled vendors for holiday decorations, shuttle bus, or other items yet.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.
11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one) [] is [] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
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MCC Section 2-32-		ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
•	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be need to the above statements.
D. CERTIFICATION	ON REGARDING FINANCIAL II	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inc	quiry, does any official or employed the name of any other person or en	ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[] Yes	[] No	
•	cked "Yes" to Item $D(1)$, proceed tems $D(2)$ and $D(3)$ and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employe other person or ent taxes or assessmen "City Property Sale	e shall have a financial interest in lity in the purchase of any property its, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[] No	
		mes and business addresses of the City officials ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
	Party further certifies that no proby	nibited financial interest in the Matter will be
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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
ı
SECTION VI — CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
•
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party th		
[] 1 c s	[] 140	
If "Yes," answer the three	e questions bel	ow:
Have you developed a federal regulations? (See [] Yes	•	we on file affirmative action programs pursuant to applicable 60-2.)
Compliance Programs, o applicable filing requirer	r the Equal Em	ting Committee, the Director of the Office of Federal Contract aployment Opportunity Commission all reports due under the
[] Yes	[] No	[] Reports not required
3. Have you participated equal opportunity clause		ous contracts or subcontracts subject to the
[] Yes	[] No	
If you checked "No" to q	uestion (1) or ((2) above, please provide an explanation:
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SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Chicago Chinatown chamber of Commerce
(Print or type exact legal name of Disclosing Party)
By:
(Sign here)
Emma Yu
(Print or type name of person signing)
Executive Director
(Print or type title of person signing)
Signed and sworn to before me on (date) October 28th 2021
at <u>Cove</u> County, <u>IL</u> (state).
Notary Public JINYI RONG Official Seal Notary Public - State of Illinois My Commission Expires Dec 6, 2022
Commission expires: Dec 6, 2022

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

umilial relationship" with a	in elected city official or department head?
[]No	
is connected; (3) the name	and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to and (4) the precise nature of such familial relationship.
	entify below (1) the name is connected; (3) the name

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

tobar office, without the	is omy an manoci	to who to the more than the phoenic	
		10, is the Applicant or any Owner identified as a b t to MCC Section 2-92-416?	uilding code
[] Yes	[]No		
		ablicly traded on any exchange, is any officer or di code scofflaw or problem landlord pursuant to MC	
[]Yes	[] No	[The Applicant is not publicly traded on any	y exchange.
as a building code so the pertinent code vi		m landlord and the address of each building or buil	ldings to which
			:

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No
NA – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.