

DAY REQUEST FORM AND ROUTE SHEET

PERMIT NUMBER: 2018-02
GROUP NAME: American Society for the Preventions of Cruelty to Animals (ASPCA)
ADDRESS: 424 E. 92nd Street New York, NY, 10128
TELEPHONE NUMBER: 212-876-7700
CONTACT PERSON:
DATE WRITTEN REQUEST WAS RECEIVED:
SOLICITATION DATE: January 1, 2018 through December 31, 2018

CITY COUNCIL DATE: December 13, 2017
COMPLETION OF FILE DATE:
STATEMENT OF RECEIPT _ AND DISTRIBUTION RECEIVED: TS
DATE PERMIT LETTER WAS SENT TO ORGANIZATION: December 13, 2017

VIOLATION (S)
COMMITTEE LETTER SENT:
COMPLY RECEIVED:

COMMENTS:

City of Chicago Committee on Finance
City Hall • Room 302
www.chgofinancecomm.chi.il.us
<<http://www.chgofinancecomm.chi.il.us>>

Telephone 312-744-3380

Alderman Edward M. Burke Chairman

December 13, 2017

Matthew Bershadker President
American Society for the Preventions of Cruelty to Animals (ASPCA) 424 E. 92nd Street New York, NY, 10128

Dear Mr. Bershadker:

The City of Chicago has granted your organization a "Tag Day" permit for charitable solicitation on the

public way. Pursuant to Chapter 10-8-150 of the Municipal Code of the City of Chicago, each organization must file with the Committee on Finance, a statement of all receipts and disbursements made from such charitable solicitation. This report is to be sent to the Committee on Finance no later than sixty (60) days after your organization has solicited funds.

The Municipal Code provides for a fine up to \$500.00 per day for any organization which violates the Charitable Solicitation Ordinance.

Please include permit number 2018-02 on all correspondence directed to the Committee on Finance regarding your "Tag Day". Be certain to reference permit number 2018-02 on the statement of receipts and disbursements that your organization will file with the Committee.

Very truly yours,

Edward M. Burke
Chairman
Committee on Finance

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APPLICATION FOR CITY OF CHICAGO CHARITABLE SOLICITATION PERMIT

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(Please neatly print or type. In necessary in answering any question, please attach additional sheets.)

1. Name of organization: The American Society For The Prevention Of Cruelty to Animals (ASPCA)
Address*
424 E. 92nd Street, New York, New York 1-128 Telephone Number:
212-876-7700
2. Use the space below to list names, current positions, residence addresses and telephone nufabers ofthe officers in the organization:
PLEASE SEE ATTACHMENT # 1
3. List the date and approximate location(s) of solicitation:
January 1, 2018- December 31, 2018
4. Approximately how many persons will be engaged in the solicitation?
10-12 s
5. Explain the methods your organization will use to solicit funds:
Face to Face Fundraising campaign on the street. Our agent, DialogueDirect will generate commitments and increase the public awareness of he charitable efforts of The ASPCA.
6. Has your organization ever been allowed to solicit funds in prior years in the City of Chicago? If so, when? January 1,2017-December 31,2017
7. Include the following with your application:

- A. * A copy of the registration statement filed with the Attorney General of the State of Illinois; or exemption issued by the Attorney General of the State of Illinois.
- B. A copy of the tag, badge, emblem or other token (if any) which will be distributed as part of the solicitation, or which will be used by your organization in its solicitation.

8. Please include any other relevant information which would assist the Committee on Finance in reviewing this application.

Please see attachment # 5 - Code of Practice for DialogueDirect Fundraisers

Hum of M^nbattoWTHE American Society For The Prevention Of Cruelty to Animate (ASPCA) Addmr. 424 E.
92nd Street, New York, New York 10128

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PLEASE SEE ATTACHMENT II

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January 12018 - Decernbor31,2018

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Face to face Fundrafefng campaign an the street Our agent, DialogueDirect wfll generate corrnrfntments and hcrease the public awareness ofthe charitable efforts of The ASPCA.

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January, 2017- December 31,2017

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- B. A agry ofthe ojfcbodj^ emblem or otter tetaB{tfaqy)wfciteh

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Code of Practice tor DialogueDirect Fundraisers

APPLICATIONS MUST BE RECEIVED BY THE COMMITTEE ON FINANCE NO LATE THAN 30 DAYS PRIOR TO THE COMMENCEMENT OF THE SOLICITATION.

I/WE, THE OFFICER(S) OF THE ABOVE NAMED ORGANIZATION, CERTIFY THAT THE INFORMATION FURNISHED ON THE ATTACHED SHEETS IS TRUE AND CORRECT TO THE BEST OF MY/OUR KNOWLEDGE. (NOTE: AT LEAST ONE OFFICER OF THE ORGANIZATION MUST SIGN AND VERIFY THIS APPLICATION.)

THk Date

Signature

Title

Dete_

HOLD HARMLESS AGREEMENT

1. The undersigned officer on behalf of the subject organization agrees to defend, indemnify, save and hold harmless the City of Chicago for any loss, liability, damage or cost which the City may incur due to the presence of volunteers of the subject organization on City premises for the purpose of charitable solicitations.
2. The subject organization assumes full responsibility for risk of bodily injury, death or property damage due to the negligence of the subject organization or otherwise resulting from conduct or activity related to the participation in charitable solicitation on the public way.
3. The officer of the subject organization has read and voluntarily signs the hold harmless agreement and waiver of liability and indemnity agreement.

Date

WE ARE THEIR VOICE?."

ASPCA BOARD OF DIRECTORS AND OFFICERS (list current as of September 6, 2017)

Salaried Executive Officers & Key Employees:

Matthew Bershader, President & Chief Executive Officer Sarah Levin Goods tine, Senior Vice President of Operations Peter Accinno, mterirn Senior Vice President & Chief Financial Officer

Officers of the Board (Not Compensated):

Frederick Tanne, Chairperson Sally Spooner, Vice Chairperson Jane W. Parver, Treasurer Cathy Wallach, Secretary

Members of the Board of Directors (Not Compensated):

Matthew Bershader Arriaha Boardman Linda Lloyd Lambert Tracy V.Maitland C. Allen Parker Jeffrey A. Pfeifle Martin Puris Scott Thiel Mary Jo White TimF.Wray

All Officers and Directors may be reached at:

424 E. 92nd St.
New York, NY 10128
212-876-7700

1 of 1

RE: RE: Statu* of AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS under xhs Illinois C saritable Laws CO*

Dear Registrant:

This tetter is pursuant to your isquesi that the Attorney General confirm the siama of AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS under the Cto&blc Organization Laws.

Thu onpnua^oa b curtendy ie<<^ Solicitations Bureau as CO* It is current in the filing of its financial reports, having filed its report for die period ended Eecember 31,2014. Please let us know if you require further infhrrnRtion,

Siverely,

**Ti Jdyah Mardn Barnes,Cojnpliance Of&cer
CIDsritable Trusts Bureau
If 0 Vest Randolph. Sneer, Ilia Floor
a ricago. Illinois 60601
Telephone: (312)814-2595**

PERMIT NO. 2018-03

**COMMITTEE ON FINANCE CHARITABLE SOLICITATION TAG
DAY REQUEST FORM AND ROUTE SHEET**

PERMIT NUMBER: 2018-03

GROUP NAME: Greenpeace, Inc.

ADDRESS: 702 H Street, >

JW, Suite 300,
Washington, DC
20001
202-462-1177

TELEPHONE NUMBER:

CONTACT PERSON: Annie Leonard, Executive Director

DATE WRITTEN REQUEST WAS RECEIVED: November 10,2017

SOLICITATION DATE: January 2, 5, 9, 10, 12, 16, 17, 19, 23, 24, 26, 30, and 31, 2018
February 2, 5, 7, 9, 13, 14, 16, 20, 21, 23, 27, and 28, 2018

CITY COUNCIL DATE: December 13, 2017

COMPLETION OF FILE DATE:

STATEMENT OF RECEIPT AND DISTRIBUTION RECEIVED: RS

DATE PERMIT LETTER WAS SENT TO ORGANIZATION: December 13, 2017

VIOLATION (S)

COMMITTEE LETTER SENT:

COMPLY RECEIVED:

COMMENTS:

City of Chicago Committee on Finance
City Hall • Room 302
www.chgofinancecomm.chi.il.us
<<http://www.chgofinancecomm.chi.il.us>>

Alderman Edward M. Burke Chairman

Telephone 312-744-3380

December 13, 2017

Annie Leonard Executive Director
Greenpeace, Inc. 702 H Street, NW,
Suite 300 Washington, DC 20001

Dear Ms. Leonard:

The City of Chicago has granted your organization a "Tag Day" permit for charitable solicitation on the public way. Pursuant to Chapter 10-8-150 of the Municipal Code of the City of Chicago, each organization must file with the Committee on Finance, a statement of all receipts and disbursements made from such charitable solicitation. This report is to be sent to the Committee on Finance no later than sixty (60) days after your organization has solicited funds.

The Municipal Code provides for a fine up to \$500.00 per day for any organization which violates the Charitable Solicitation Ordinance.

Please include permit number 2018-03 on all correspondence directed to the Committee on Finance regarding your "Tag Day". Be certain to reference permit number 2018-03 on the statement of receipts and disbursements that your organization will file with the Committee.

Very truly yours,

Edward M. Burke
Chairman
Committee on Finance

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APPLICATION FOR CITY OF CHICAGO CHARITABLE SOLICITATION PERMIT

(Please neatly print or type. In necessary in answering any question, please attach additional sheets.)

1. Name of organization: Greenpeace Inc.

Address: 702 H Street NW Suite 300 Washington, DC 20001 Telephone

Number: (720)209-5892

2. Use the space below to list names, current positions, residence addresses and telephone numbers of the officers in the organization:

Annie Leonard - Executive Director - 702 H Street NW Ste 300 Washington DC 20001 (202)462-1177 Chad Stein - COO - 702 H Street NW Ste 300 Washington DC 20001 (202)462-1177 Tom Wetterer - General Counsel and Deputy COO - 702 H Street NW Ste 300 Washington DC 20001 (202)462-1177 Ebony Martin - Chief Officer of People and Culture - 702 H Street NW Ste 300 Washington DC 20001 (202)462-1177 Brian Anderson - CDO - 702 H Street NW Ste 300 Washington DC 20001 (202)462-1177 Tom Camerlinck - CIO - 702 H Street NW Ste 300 Washington DC 20001 (202)462-1177

3. List the date and approximate location(s) of solicitation:

January 1, 2018 - March 1, 2018 January 2,5,9,10,12,16,17,19,23,24,26,30,31
Street and door to door citywide February 2,6,7,9,13,14,16,20,21,23,27,28

4. Approximately how many persons will be engaged in the solicitation?

5-30

5. Explain the methods your organization will use to solicit funds:

Greenpeace face to face fundraising model is based on monthly giving using street and door interactions. When a member signs up with a face to face fundraiser, they are agreeing to ongoing monthly deductions from their bank account, debit card, or credit card

6. Has your organization ever been allowed to solicit funds in prior years in the City of Chicago? If so, when?

Greenpeace has been permitted for several consecutive years to solicit within the City of Chicago, most recently in calendar year 2017 via permit 2017-01

7. Include the following with your application:

A. A copy of the registration statement filed with the Attorney General of the

State of Illinois; or exemption issued by the Attorney General of the State of Illinois.

- B. A copy of the tag, badge, emblem or other token (if any) which will be distributed as part of the solicitation, or which will be used by your organization in its solicitation.

- 8. Please include any other relevant information which would assist the Committee on Finance in reviewing this application.

HOLD HARMLESS AGREEMENT

- 1. The undersigned officer on behalf of the subject organization agrees to defend, indemnify, save and hold harmless the City of Chicago for any loss, liability, damage or cost which the City may incur due to the presence of volunteers of the subject organization on City premises for the purpose of charitable solicitations.
- 2. The subject organization assumes full responsibility for risk of bodily injury, death or property damage due to the negligence of the subject organization or otherwise resulting from conduct or activity related to the participation in charitable solicitation on the public way.
- 3. The officer of the subject organization has read and voluntarily signs the hold harmless agreement and waiver of liability and indemnity agreement.

Signature of organization officer

11/6/2017

Date

Greenpeace Inc Name of organization

PERMIT NO. 2017-27

**COMMITTEE ON FINANCE CHARITABLE SOLICITATION TAG
DAY REQUEST FORM AND ROUTE SHEET**

PERMIT NUMBER: 2017-27
GROUP NAME: Southern Poverty Law Center

ADDRESS: 400 Washington Avenue, Montgomery, AL 36104
TELEPHONE NUMBER: 334-296-0735
CONTACT PERSON: J. Richard Cohen, President
DATE WRITTEN REQUEST WAS RECEIVED: December 4, 2017
SOLICITATION DATE: December 14, 2017 through May 15, 2018

CITY COUNCIL DATE: December 13, 2017
COMPLETION OF FILE DATE:
STATEMENT OF RECEIPTS AND DISTRIBUTION RECEIVED:
DATE PERMIT LETTER WAS SENT TO ORGANIZATION: December 13, 2017

VIOLATION (S)
COMMITTEE LETTER SENT:
COMPLY RECEIVED:

COMMENTS:

AFFIDAVIT FOR CITY OF MONTGOMERY CHARITABLE SOLICITATION PERMIT

(Please neatly print or type. If necessary in answering any question, please attach other sheets.)

1. Name of organization: Southern Poverty Law Center
400 Washington Avenue
Address: Montgomery, AL 36104
Telephone Number:

2. Use the space below to list names, current positions, residence addresses and telephone numbers of the officers in the organization:

~Hershey, Hirtchi - Southern Poverty Law Center
o i i

3. list the date and approximate location (s) of solicitation? 0
12.14.17-5.15.18

The Loop, Wicker Park, near South Side, Andersonville, the Magnificent Mile, Oak Park, Logan Square.

4. **approximat-PiTy how many persons will be engaged in the solicitation? Ten to twenty.**
5. **Explain the methods your organization will use to solicit funds: We will speak with people who choose to stop and engage on the issues SPLC works on and how someone can become a donor to SPLC.**
6. **Was your organization ever allowed to solicit funds in prior years in the City of Chicago? if so, when? Canvassing in 2013. Telemarketing since 2008.**
7. **Include the following with your application:**
 - A. **A copy of the registration statement filed with the Attorney General of the State of Illinois; or exemption issued by the Attorney General of the state of Illinois.**
 - B. **A facsimile of the tag, badge, emblem or other token (if any) which will be distributed as part of the solicitation, or which will be used by your organization in its solicitation.**
8. **Please include any other relevant information which would assist the Committee on Finance in reviewing this application.**

AEELECKTrCHS MET BE RECEIVED BY THE COMMITTEE ON FINANCE NO LATER THAN 30 DAYS ERIGR TO THE COMMENCEMENT OF THE SOUCITATION.

I/WE, OFFICER(S) OF THE ABOVE NAMED ORGANISATION, CERTIFY THAT THE TINFOEMATION FURNISHED IN THIS STATEMENT AND ALL ATTACHED SHEETS IS TRUE AND CORRECT TO THE BEST OF MY/OTJR KNOWLEDGE. (NOTE: AT LEAST ONE OFFICER OF THE ORGANIZATION MUST SIGN AND VERIFY THIS APPLICATION.)

Signature

City of Chicago Committee on Finance
City Hall • Room 302
www.chgofinancecomm.chi.il.us
<<http://www.chgofinancecomm.chi.il.us>>

Alderman Edward M. Burke Chairman

Telephone 312-744-3380

December 13, 2017

J. Richard Cohen
President
Southern Poverty Law Center 400
Washington Avenue Montgomery, AL 3
6104

Dear Mr. Cohen:

The City of Chicago has granted your organization a "Tag Day" permit for charitable solicitation on the

public way. Pursuant to Chapter 10-8-150 of the Municipal Code of the City of Chicago, each organization must file with the Committee on Finance, a statement of all receipts and disbursements made from such charitable solicitation. This report is to be sent to the Committee on Finance no later than sixty (60) days after your organization has solicited funds.

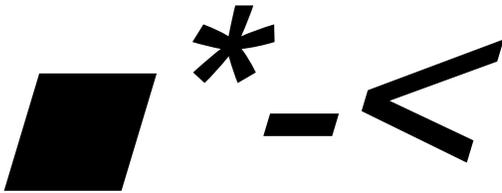
The Municipal Code provides for a fine up to \$500.00 per day for any organization which violates the Charitable Solicitation Ordinance.

Please include permit number 2017-27 on all correspondence directed to the Committee on Finance regarding your "Tag Day". Be certain to reference permit number 2017-27 on the statement of receipts and disbursements that your organization will file with the Committee.

Very truly yours,

Edward M. Burke¹ Chairman
Committee on Finance

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HOLD HARMLESS AGREEMENT

The undersigned officer on behalf of the subject organization agrees to defend, indemnify, save and hold harmless the City of Chicago for any loss, liability, damage or cost which the City may incur due to the presence of volunteers of the subject organization on City premises for the purpose of charitable solicitations.

The subject organization assumes full responsibility- for risk of bodily injury, death or property damage due to the negligence of the subject organization or otherwise resulting from conduct or activity related to the participation in charitable solicitation on the public way.

The officer of the subject organization has read and voluntarily signs the hold harmless agreement and waiver of liability and indemnity agreement.

Name of organization (j Signature of organization officer

lilKln

Date^{1 1}

CHICAGO December 13, 2017

To the President and Members of the City Council:

Your Committee on Finance having had under consideration

One (1) amended order authorizing three (3) applications for City of Chicago Charitable Solicitation (Tag Day) permits.

A. American Society for the Prevention of Cruelty to Animals
(ASPCA)
January 1, 2018 through December 31, 2018 Citywide

B. Greenpeace, Inc.
January 2, 5, 9, 10, 12, 16, 17, 19, 23,24, 26, 30, & 31, 2018 February 2, 6,
7, 9, 13, 14, 16, 20, 21, 23, 27 & 28, 2018 Citywide

Southern Poverty Law Center
December 14, 2017 through May 15, 2018
Citywide

Having had the same under advisement, begs leave to report and recommend that your Honorable Body pass the proposed amended Order Transmitted Herewith.

**This recommendation was concurred in by (a (viva voce vote"))
of members of the committee with dissenting vote(s)T**

(signed'

**Respectfully submitted
Chairman**

Document No.

REPORT OF THE COMMITTEE ON FINANCE TO THE CITY COUNCIL CITY OF CHICAGO