

### Office of the City Clerk

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### **Legislation Text**

File #: O2021-4580, Version: 1

OFFICE OF THE MAYOR
CITY OF CHICAGO

LORI I-. LIGHTFOOT MAYOR

October 14,2021

## TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

Al the request of the Commissioner of Planning and Development, 1 transmit herewith ordinances authorizing the budget, scope of services and service provider agreements for various Special Service Areas.

Your favorable, consideration of these ordinances will be appreciated.

Very truly your:

# Mayor ORDINANCE REGARDING SPECIAL SERVICE AREA #21-2016

WHEREAS, special service areas may be established pursuant to: (i) Article VII, Sections 6(I) and 7(6) of the Constitution of the State of Illinois; (ii) the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act"); and (iii) the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, the City Council (the "City Council") of the City of Chicago (the "City") has previously enacted a certain ordinance on the date specified on Exhibit A attached hereto and hereby made a part hereof and published in the Journal of Council Proceedings (the "Journal") for such date at the pages specified on Exhibit A hereto, and amended on the date(s) specified on Exhibit A hereto and published in the Journal for such date(s) as specified on Exhibit A hereto (as

amended from time to time, the "Establishment Ordinance") which established a certain special service area as indicated therein and as identified on Exhibit A hereto (the "Area") and authorized the levy of certain annual taxes, not to exceed the annual rate (the "Levy Cap") indicated therein and as described on Exhibit A hereto, of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City generally as specified in the Establishment Ordinance (the "Special Services"); and

WHEREAS, the Establishment Ordinance provided for the appointment of a certain special service area commission for the Area (the "Commission") to advise the City Council regarding the amount of the Services Tax for the Area to be levied and for the purpose of recommending to the City Council for the Area: (i) a yearly budget based upon the costs of providing the Special Services; (ii) an entity to serve as service provider (the "Service Provider"); (iii) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (iv) a budget to be included in the Service Provider Agreement (the "Budget") (the aforementioned items (i) through (iv) shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission identified on Exhibit A hereto has heretofore prepared and transmitted to the Commissioner of Planning and Development (the "Commissioner") their Recommendations to the City Council, including the Budget attached hereto as Exhibit A; now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO AS FOLLOWS:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

SECTION 2. Appropriations. There is hereby appropriated the sum in the amount and for the purposes necessary to provide the Special Services in and for the Area , the estimated amount of miscellaneous income and the amount required to be raised by. the levy of the Services Tax indicated on Exhibit A hereto: Collectable Levy, Estimated Loss Collection, Carryover Funds, TIF Rebate Fund, and Estimated Late Collections and Interest.

SECTION 3. Levy of Taxes. There is hereby levied pursuant to the provisions of (i) Article VII, Sections 6(a) and 6(I)(2) of the Constitution of the State of Illinois; (ii) the Act; and (iii) the Establishment Ordinance, the sum of the Total Requested Levy" indicated on Exhibit A

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hereto as the amount of the Services Tax for the Area for the tax year 2021.

SECTION 4. Filing. The City Clerk ofthe City (the "City Clerk") is hereby ordered and directed to file in the Office ofthe County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 28, 2021, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2021 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with the entity indicated on Exhibit A hereto as the Service Provider, for a one-year term in form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation, the Authorized Officer and the City Comptroller are

each hereby authorized to disburse the sum appropriated pursuant to Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement (and any amendments thereto) readily available for public inspection. The Authorized Officer is also authorized to sign amendments to the Service Provider Agreement entered into pursuant to this Section 5 so long as such amendments do not alter the identity of the Service Provider and/or the amounts appropriated and/or levied pursuant to Sections 2 and 3 hereof.

SECTION 6. Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any ofthe remaining provisions of this ordinance.

SECTION 7. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 8. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 9. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

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#### EXHIBIT A SPECIAL SERVICE AREA #21-2016

Area Levy Cap Total Requested Levy Commission Service Provider

21-2016 0.400% \$297,857 Lincoln Square Special Lincoln Square Ravenswood Service Area Chamber of Commerce

Commission

Establishment Ordinance Date: December 14, 2016 Journal pages: pages. 39230-39251

Amendment(s) to Establishment Ordinance None

See attached Budget.

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# Exhibit

Α

### Special Service Area #

21-2016

SSA Name: Lincoln Square

### 2022 BUDGET SUMMARY

Budget and Services Period: January 1, 2022 through December 31, 2022

|   | 2021 Levy           |                              |               |                            |   |           |
|---|---------------------|------------------------------|---------------|----------------------------|---|-----------|
| CATEGORY (Funded<br>Categories Comprise<br>Scope of Services) | Collectable<br>Levy | Estimated Loss<br>Collection | Carryover Fur | ndTIF Rebate<br>Fund #0D27 | Estimated Lat<br>Collections an<br>Interest |           |
| 1.00 Customer Attraction                                      | \$66,777            | \$10,932                     | \$0           | \$0                        | \$10,932                                    | \$88,641  |
| 2.00 Public Way Aesthetics                                    | \$130,475           | \$0                          | \$10,000      | \$0                        | \$0   | \$140,475 |
| 3.00 Sustainability and Public Places                         | \$1,680             | \$0                          | \$25,000      | \$30,603                   | \$0   | \$57,283  |
| 4.00 Economic/ Business<br>Development                        | \$200               | \$0                          | \$35,000      | \$0                        | \$0   | \$35,200  |

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|-------------------------------|-------------------------|-----------|----------|----------|---------------|----------|-----------|
| 5.00 Public H<br>Safety Progr |                         | \$0       | \$0      | / \$0    | \$0           | \$0      | \$0       |
| 6.00 SSA Ma                   |                         | \$17,793  | \$0      | \$0      | \$0           | \$0      | \$17,793  |
| 7.00 Personi                  | nel                     | \$70,000  | \$0      | ■Hŀ      | <b>- </b> \$0 | \$0      | \$70,000  |
|                               |                         |           |          | Р        |               |          |           |
|                               | Sub-total               | \$286,925 | \$10,932 |          |               |          |           |
| GRAND<br>TOTALS               | Levy Total              | \$297,857 |          | \$70,000 | \$30,603      | \$10,932 | \$409,392 |

#### LEVY ANALYSIS

Estimated 2021 EAV: \$89,748,903 Authorized Tax Rate Cap. 0.400% Maximum Potential Levy lin5358,996 Rate Cap: Requested 2021 Levy Amo\$297,857

Requested 2021 Levy Amo\$297,857 Estimated Tax Rate to Gen0.3319%

Levy

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this FDS. Include d/b/a/ if applicable:

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Parly submitting this EDS is: T. f\^fthe

#### Applicant OR

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking lo which this EDS pertains (referred to below as the
- 2. "Waiter"), a direct or indirect inleresl in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR

- 3. [ ] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Parly holds a right of control:
- B. Business address of the Disclosing Parly: Ztoll LA^MgfMCjE AVSHVJE-CWT<ACj£!, IL (pCHjr/ZS"

| C. Telephone: 77%-728^feF  | H.QFax: 872-20e>-SS               | S^7 Email: IxJFQ£LX^. <x^w^.ogq< td=""></x^w^.ogq<>   |
|--|-----------------------------------|---|
| D. Name of contact person:   | r^pDOLFo PP^esi                   | S   |
| fi. Federal Employer Identifi  | cation No. (if you ha             | ve one): _ ;  |
| F. Brief description of the M  | Matter to which this F            | DS pertains. (Include project number and location of  |
| F. property, if applicable):   | Го faccCjv-j Ti-Vt. U             | JjLkICOvj^ SQ^ej? £Av/£-^6WOOt> CUAMBT.k oF   |
| F. eoMMe^£. lo tvl7f;52.   | xmtd $\land$ ceMTZ-A $^{\land}$ w | vxta ivvt cr:H CUicAJoo to  |
| F. p£ ovarii «>cgvJJCJ£: S   | S_ F&e. SfA 4t 21 - 2             | Q I UN' L-l> JC. Ql_M SOU &.gg ■  |
| G. Which City agency or de   | partment is requestin             | g this EDS? JJ^^X^G . An£> f>:vLtOt-'M£^7   |
| If the Matter i.s a contract be following:   | ing handled by the C              | ity's Department of Procurement Services, please complete the   |
| Specification H Ver 201 8-1<br>and Contract U Page 1 oi 1  | 5                                 |   |
| SECTION II DISCLOSU  |                                   | P INTERESTS   |
| A. NATURE OF THE DISC  | CLOSING PARTY                     |   |
| I. Indicate the nature ol't f ] Person  [ ] Publicly registered business cof ] Sole proprietorship  [ J General partnership  [ ] Limited partnership  [ ITrust | ess corporation I]                | [   Limited liability company Limited liability partnership   ) Joint venture [)<] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))'? [] Yes Kl No [] Other (please specify)  S 61 |
| 2. For legal entities, the star  | te (or foreign country            | e) of incorporation or organization, if applicable:   |
| <ol> <li>For legal entities not org of Illinois as a foreign entity</li> <li>Yes</li> </ol>  |                                   | Illinois: Has the organization registered to do business in the State jx^TOrganized in Illinois   |
|  |                                   |   |

#### B. IF THE DISCLOSING PAR TY IS A LEGAL ENTITY:

1. List below the Tull names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2. Please provide the following infonnation concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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Lincoln Square Ravenswood Chamber of Commerce - Board of Directors as of 6/04/2021

Ed Kaczmarek Anne Merritt

Scott Friedland, Board Treasurer David Camp, Board President Sean Cooper Hagen

Dost

Adam Garcia, Board Vice President Amy Hansen Tim Joyce Carly Katz

Marissa Vanden Bout Aaron Zacharias

Rodolfo P. Flores, Jr., Executive Director, LSRCC

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|                                 |   |  |                          |
|                                 |   |  |                          |
|                                 |   |  |                          |
|                                 | incoln Square RavL'ribwoocJ Chamber of Commerce-2611 w. Lawi<br>uarenavenswooo org   e info(S)lincolnsquare org | ence Avenue. Chicago M. 60625 I p- 77 3 728 3390 | )   t. 773 769. www I    |
|                                 |   |  |                          |
|                                 |   |  |                          |
| limited liability company       | , or interest of a beneficiary of a trust, es   | tate or other similar entity. If no              | one, state "None."       |
| NOTE: Each legal entity         | listed below may be required to submit a  | an LDS on its own behalf.                        |                          |
| Name                            | Business Address  | Percentage Interest in the App                   | olicant                  |
|                                 |   |  |                          |
|                                 |   |  |                          |
| SECTION III INCOM               | ME OR COMPENSATION TO, OR O   | WNERSHIP BY, CITY ELE                            | CTED OFFICIALS           |
|                                 | y provided any income or compensation ding the date of this L <sup>:</sup> DS?                                  | on to any City elected official of f ] Yes f/^No | -                        |
| <b>Does the Disclosing Part</b> | ty reasonably expecl to provide any inc   | come or compensation to any                      | City                     |
| elected official during th      | ne 12-month period following the date   | of this EDS? [j Yes                              | p <l\ no<="" td=""></l\> |
| If "yes" to either ofthe abo    | ove, please identify below the name(s) of   | f such City elected official(s) ar               | nd describe such income  |
|                                 |   |  |                          |

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [JYes  $iX^{\circ}$ 0

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-1 56), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection vvith the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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(Add sheets if necessary)

| | Check here if" the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V ~ CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract viith the City must remain'in compliance-with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 1 0% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No £<No person directly or indirectly owns 10% or more ofthe Disclosing Party.

If "Y'es," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with-that agreement?

[]Yes [JNo

#### B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.) In the 5-year period preceding the date of this EDS. neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection vvith the performance of any public conlract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor

the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated F.ntities are not delinquent in the payment of any fine, fee, tax or other source ol' indebtedness owed to the City of Chicago, including, but nol limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any lax administered by the Illinois Department of Revenue.

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#### LINCOLN SQUARE

Lincoln Square Special Service Area H21

The following parties are expected to be retained by the Lincoln Square Special Service Area in 2022.

|                                    | . ■ Address                                     | Scope of Work                                       | Est.iSSA Cost | Notes  |
|------------------------------------|---|---|---------------|--|
| Patch Landscaping                  | 6107 N Ravenswood<br>Chicago, IL 60660          | Landscaping, Holiday<br>Decor                       | \$100,778     |  |
| Cleanslate                         | 237 S Desplaines Ave<br>Chicago, IL 60661       | Litter Abatement                                    | \$45,022      |  |
| TBD                                |   | Giddings Plaza & Ainslie<br>Arts Plaza Snow Removal | \$10,000      |  |
| TBD                                |   | SSA Audit   | \$2,900       |  |
| iFoster Ravenswood<br>Self Storage | l 1800 W Foster Ave<br>Chicago, IL 60640        | Storage of Holiday Decor                            | \$2,760       |  |
| Honore Storage                     | 5147 N Clark St Chicago, I<br>60640             | LStorage of Street Parklet                          | \$3,764       |  |
| Ripson<br>Communications           | 220 N Green Street<br>Chicago, IL 60607         | Public Relations                                    | \$17,757      | Additional cost paid by service provider     |
| Lawrence<br>Investment Partners    | 1000 N Halsted St Unit 102<br>Chicago, IL 60642 | 2 Office Rent                                       | \$6,336       | Additional cost paid by service provider     |
| Chamber Master /<br>GrowthZone     | 24400 Smiley Rd Suite 4<br>Nisswa, MN 56468     | Website Management                                  | \$1,126.50    | Additional cost paid by service provider     |
| [Artist TBD]                       | N/A   | Community Murals / Public Art Projects              | \$15,000      | Additional costs paid for with crowd funding |
| TFA Signs (Simone Martin-Newberry) | 5500 N Kedzie Ave,<br>Chicago IL 60625          | SSA Annual Report                                   | \$900         | Additional cost paid by service provider     |
| CoStar                             | 1331 L Street, NW<br>Washington, DC 20005       | Real Estate Multiple Listing<br>Service             | \$1,525       | Additional cost paid by service provider     |

- ?>. The Disclosing Part) and. if the Disclosing Parly is a legal entity, all ot'those persons or entities identified in Section 11(B)(1) of this LDS.
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit ol'government;
- b. have not, during the 5 years before the dale of this LDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any oflhe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection vvith the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"):
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control ol'another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity:
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Parly, nor any Contractor, nor any Affiliated Hntity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of his EDS, or, wilh respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the elate of such Contractor's or Aliiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competit ion by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5) (Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILGS 5/33E-3; (2) bid-rotating in violation of 72.Q ILCS-5/33E-4';.or (3) any similar offense of any state or ol'the United States of America that contains (he same elements as the offense of bid-rigging or bid-rotatiiig.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [EOR APPLICANT" ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms J ofthe Applicant is currently indicted or charged vvith, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies lo the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT' ONLY) The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT' ONLY| The Applicani will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certilications equal in form and substance to those in Certifications (2) and (9) above and will not. without the prior written consent of the City, use any such

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conlraetor'subcontractor that does not provide such certifications or that the Applicant has reason lo believe has not provided or cannot provide truthful certilications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (further Certifications), the Disclosing Party must explain below:

#### NO N> E.

- ' If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively-presumed that the Disclosing Party certified to the above statements.
- 12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employe.es <a href="http://employe.es">http://employe.es</a> ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none").

none:

13..To the best of the Disclosing Party's knowledge after reasonable inquiry;, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the Cily. of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is JX] is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is. and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business vith the City."

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|  |  | because it or any of its affiliates (as defined in MCC Seciion 2-32-of MCC Chapter 2-32. explain here (attach additional pages if   |
|  |  |   |
| If the letters "NA," the wor<br>presumed lhat the Disclosin  |  | ppears on the lines above, it will be conclusively eve statements.  |
| D. CERTIFICATION REG   | SARDING FINANCIAL. II  | NTEREST IN CITY BUSINESS  |
| Any words or terms defined   | ៅ in MCC Chapter 2-156 ha  | ave the same meanings if used in this Part D.   |
|  | ee of the City have a financ   | e best ofthe Disclosing Party's knowledge after reasonable inquiry, ial interest in his other Own name or in the name of any other  |
| [ 1 Yes J^No   |  |   |
| NOTE: If you checked "Ye Items D(2) and D(3) and pr  | , , ,  | o Items D(2) and D(3). If you checked "No" to Item D(1), skip   |
| shall have a financial interest<br>property that (i) belongs to t<br>suit of the City (collectively, | st in his or her own name on<br>the City, or (ii) is sold for to<br>"City Property Sale"). Cor | dding, or otherwise permitted, no City elected official or employee in the name of any other person or entity in the purchase of any axes or assessments, or (iii) is sold by virtue of legal process at the impensation for property taken pursuant to the City's eminent within the meaning of this Part D. |
| Does the Matter involve a C  | City Property Sale?  |   |
| MYes   | [ ) No   |   |
| 3. If you checked "Yes" to such financial interest and id  |  | es and business addresses ofthe City officials or employees having ancial interest:   |
| Name   | Business Address   | Nature of Financial Interest  |
|  |  |   |

4. The Disclosing Party further certifies thai no prohibited fmancial interest in the Mailer will be acquired by any City

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| official or employee.          |  |
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#### 1'.. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither (I) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply vvith these disclosure requirements may make any contract entered into with the City in connection vvith the Matter voidable by the City.

- I. The Disclosing Parly verifies that the Disclosing Party has searched any and all records of the Disclosing Parly and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage lo or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party vvith respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or il" the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity

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| inlluence an officer or                                    | T) above for his or her lobbying activities or to pay any person or entity to inlluence or attempt to employee of any agency, as defined by applicable federal law. a member of Congress, an officer or or an employee Vcr 20 I 8-1 !'agf9o!'15   |
| grant or loan, entering                                    | ess, in connection with the award of any federally funded contract, making any federally funded into any cooperative agreement, or to extend, continue, renew, amend, or modify any ract, grant, loan, or cooperative agreement.  |
|  | g Party will submit an updated certification at the end of each calendar quarter in which there materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A  |
| Revenue Code of 198  | g Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal 6; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act           |
| substance to paragraph<br>Disclosing Party must            | ng Party is the Applicant, the Disclosing Party must obtain certifications equal in form and as A(l) through A(4) above from all subcontractors before it awards any subcontract and the maintain all such subcontractors' certifications for the duration of the Matter and must make such available to the City upon request. |
| B. CERTIFICATION   | REGARDING EQUAL EMPLOYMENT OPPORTUNITY  |
|  | federally funded, federal regulations require the Applicant and all proposed subcontractors to information with their bids or in writing at the outset of negotiations.   |
| Is the Disclosing Party [ J Yes                            | the Applicant? [   No   |
| If "Yes," answer the th                                    | ree questions below:  |
| 1. Flave you develope<br>(See 4.1 CFR Part 60-2<br>f J Yes | ed and do you have on file affirmative action programs pursuant to applicable federal regulations?  [ JNo   |
| •  | h the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Employment Opportunity Commission all reports due under the applicable filing requirements?  f I No [   Reports not required   |
| 3. Have you participa clause? [ j Yes                      | ted in any previous contracts or subcontracts subject to the equal opportunity  [ ] No  |

If you checked "No" to question (1) or (2) above, please provide an explanation:



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#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certilications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.citvofchicago.org/Ethics <a href="http://www.citvofchicago.org/Ethics">http://www.citvofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (3 12) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if nol rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow, the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and ah award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS..
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires'. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein-regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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| Page 11 of 15 CERTIFICATION  |
| Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS. and all applicable Appendices, on behalfofthe Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City. |
| (Print or type exact legal nainejoED4j»closing Party)  |
| Ву.  |
| (Print or type, name of person signing)  |
| (Print or type title of person signing)  |
| Signed and sworn to before me on (date)  |
|  |
|  |

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT ${\bf APPENDIX} \ {\bf A}$

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "Familial relationship" with any ejected cily official or department head. A "familial relationship" exists if. as of the .date this ED'S, is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ lYes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head lo whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

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|-----------------------------|---|--|---|---|---|--|--|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|---|--|---|---|---|---|---|---|---|---|---|--|---|--|---|---|---|---|---|---|---|---|---|---|---|---|---|---|--|---|---|---|---|--|--|----|---|--|---|---|---|---|---|--|---|---|--|---|--|---|---|---|---|--|--|--|--|--|---|---|---|---|---|--|--|--|
|-----------------------------|---|--|---|---|---|--|--|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|---|--|---|---|---|---|---|---|---|---|---|--|---|--|---|---|---|---|---|---|---|---|---|---|---|---|---|---|--|---|---|---|---|--|--|----|---|--|---|---|---|---|---|--|---|---|--|---|--|---|---|---|---|--|--|--|--|--|---|---|---|---|---|--|--|--|

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[ JYes

2. If the App.licant.is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofllaw or problem landlord pursuant to MCC Section 2-92-416?

[] Yes [] No y<3 The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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### AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.comL <a href="http://www.amlegal.comL">http://www.amlegal.comL</a>>generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1 j and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. 1 also certify that the Applicant has adopted a policy that includes those prohibitions.

| 1*3; Yes   |
|--|
| [ ]No  |
| N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This            |
| certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). If you checked "no" |
| to the above, please explain.  |

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