

### Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

#### Legislation Details (With Text)

File #: 02014-5088

Type: Ordinance Status: Passed

File created: 6/25/2014 In control: City Council

**Final action:** 7/30/2014

Title: First amendment to redevelopment agreement for land sale regarding De La Salle Institute

Sponsors: Emanuel, Rahm
Indexes: Redevelopment
Attachments: 1. O2014-5088.pdf

Date	Ver.	Action By	Action	Result
7/30/2014	1	City Council	Passed	Pass
7/23/2014	1	Committee on Housing and Real Estate	Recommended to Pass	Pass
6/25/2014	1	City Council	Referred	

OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL MAYOR

June 25,2014

## TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

#### Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, 1 transmit herewith an ordinance authorizing an amendment of a previously passed land sale regarding the De La Salle Institute.

Your favorable consideration of this ordinance will be appreciated.

Mayor

#### Very truly yours,

#### AMENDMENT TO ORDINANCE

WHEREAS, pursuant to an ordinance adopted by the City Council (the "City Council") of the City of Chicago (the "City") on May 9, 2007, and published at pages 104793 through 104825 in the Journal of the Proceedings of the City Council of such date, the City and De La Salle Institute, an Illinois not-for-profit corporation (the "Developer") entered into that certain Agreement for the Sale and Redevelopment of Land dated as of December 19, 2007, and recorded in the Office of the Recorder of Deeds of Cook County, Illinois (the "Recorder's Office"), on February 15, 2008, as Document No. 0804631094 (the "Original Agreement"); and

WHEREAS, pursuant to the Original Agreement, the City conveyed the real property legally described on Exhibit A attached to the First Amendment (as hereinafter defined) (the "City Land") to Developer for the expansion of its Bronzeville high school campus; and

WHEREAS, the City transferred the City Land by quitclaim deed recorded in the Recorder's Office as Document No. 0804631095 on February 15, 2008; and

WHEREAS, the City Land was improved with a vacant two-story office building and the surviving structure of the historic Pickford Theater; and

WHEREAS, the Developer paid \$250,000 forthe City Land, a write-down of \$750,000 (the "Land Write -Down") from its then-appraised fair market value of \$1,000,000 (the "Fair Market Value"); and

WHEREAS, the Land Write-Down was based on the Developer's agreement to preserve and renovate the Pickford Theater and other restrictions on use of the City Land, as more fully described in Section 14.3 ofthe Original Agreement; and

WHEREAS, the Original Agreement permitted the Developer to construct the Project in two phases ("Phase I" and "Phase M"); and

WHEREAS, Phase I involved the renovation of the Pickford Theater and construction of a new performing arts center within the existing theater space, and the construction of ground floor retail space along 35<sup>th</sup> Street; and

WHEREAS, Phase II involved the construction of a three-story, 100,000 square foot academic building on the City Land and certain adjacent Developer-owned land, as legally described on Exhibit B attached to the First Amendment (as hereinafter defined) (the "Adjacent Parking Lot"): and

WHEREAS, the Original Agreement required the Developer to complete Phase I no later than July 1,2009, and commence and complete Phase II no later than March 1, 2010 and March 1, 2013, respectively; and

WHEREAS, the Developer intended to raise funds forthe expansion ofthe school through a capital campaign, but the capital campaign coincided with the financial crisis and ensuing recession and, as a result, the Developer could not raise enough money to commence construction of either phase of the Project; and

WHEREAS, the Pickford Theater (already in poor condition in 2007) continued to

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deteriorate after the sale of the City Land to Developer; and

WHEREAS, in early 2011, after the Developer contacted the City about the condition of the building, the City determined that the building was dangerous and should be demolished; and

WHEREAS, the Developer paid forthe demolition of the building and, at the City's request, salvaged artifacts from the theater, landscaped the site and installed a wrought iron fence on the ■ 35<sup>th</sup> Street and Michigan Avenue sides of the property; and

WHEREAS, the Developer is still interested in expanding its Bronzeville campus and has submitted a proposal to the Department of Planning and Development ("Department") to construct a revised, scaled-down project consisting of a retail and office component, and a fine arts component; and

WHEREAS, the retail and office component includes approximately 6,500 square feet of ground floor retail space along 35<sup>th</sup> Street and approximately 7,700 square feet of leaseable office space (collectively, the "Retail/Office Component"); and

WHEREAS, the fine arts component includes an approximately 299 seat main theater, an approximately 49 seat black box theater, dressing rooms, set storage rooms, a technical booth, approximately 26,500 square feet of fine arts rehearsal space and common areas (collectively, the "Fine Arts Center"); and

WHEREAS, the Developer has agreed to display the items salvaged from the Pickford Theatre in the lobby of the Fine Arts Center; and

WHEREAS, the Developer may also add classroom space, if financially feasible, after the completion of the Retail/Office Component and the Fine Arts Center; and

WHEREAS, the Developer has agreed to pay the balance of the Fair Market Value for the City Land in the amount of \$750,000, minus certain credits against such balance for itemized costs incurred at the City's request, as set forth in Exhibit D to the First Amendment (as hereinafter defined"), or \$637,121 (the "Additional Consideration"); and

WHEREAS, the Developer has filed or intends to file an application to amend Planned Development No. 1021 to permit construction of the Retail/Office Component and Fine Arts Center; and

WHEREAS, the City and the Developer desire to modify the terms ofthe Original Agreement to approve the revised proposal, to grant the Developer an extension of time in which to perform its obligations, and for other purposes as more fully set forth in the First Amendment (as hereinafter defined) (collectively, the "Updated Redevelopment Obligations"); and

WHEREAS, the City is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and as such, may exercise any power and perform any function pertaining to its government and affairs; now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The foregoing recitals are hereby adopted as the findings of the City Council.

SECTION 2. The Updated Redevelopment Obligations are hereby approved. This approval is expressly conditioned upon (a) the Developer's payment of the Additional Consideration to the City within 90 days after the City Council's passage and approval of this ordinance, and (b) the City and the Developer entering into a First Amendment to Agreement for the Sale and Redevelopment of Land in substantially the form attached hereto as Exhibit A (the "First Amendment"). The Commissioner of the Department (the "Commissioner") or a designee of the Commissioner is each hereby authorized, with the approval of the City's Corporation Counsel as to form and legality, to negotiate, execute and deliver the First Amendment and such other supporting documents as may be necessary or appropriate to carry out and comply with the provisions ofthe First Amendment, with such changes, deletions, insertions, terms and provisions as the Commissioner deems appropriate.

SECTION 3. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 4. All ordinances, resolutions, motions or orders in conflict with this ordinance are hereby repealed to the extent of such conflict.

SECTION 5. This ordinance shall take effect immediately upon its passage and approval.

Attachments: Exhibit A - First Amendment to Redevelopment Agreement

#### **EXHIBIT A**

#### FIRST AMENDMENT TO REDEVELOPMENT AGREEMENT

(ATTACHED)

# FIRST AMENDMENT TO AGREEMENT FOR THE SALE AND REDEVELOPMENT OF LAND

(Above Space For Recorder's Use Only)

#### This FIRST AMENDMENT TO AGREEMENT FOR THE SALE AND

REDEVELOPMENT OF LAND ("First Amendment") is entered into on or as of the day of , 2014, by and between the CITY OF CHICAGO, an Illinois municipal corporation ("City"), acting by and through its Department of Planning and Development ("DPD"), having its principal offices at City Hall, 121 North LaSalle Street, Chicago, Illinois 60602, and DE LA SALLE INSTITUTE, an Illinois not-for-profit corporation ("Developer"), whose offices are located at 3455 South Wabash Avenue, Chicago, Illinois 60616.

#### **RECITALS**

WHEREAS, pursuant to an ordinance adopted by the City Council of the City ("City Council") on May 9, 2007, and published at pages 104793 through 104825 in the Journal of the Proceedings of the City Council ("Journal") of such date, the City and the Developer entered into that certain Agreement for the Sale and Redevelopment of Land dated as of December 19, 2007, and recorded in the Office of the Recorder of Deeds of Cook County, Illinois (the "Recorder's Office"), on February 15, 2008, as Document No. 0804631094 (the "Original Agreement" and, as amended by this First Amendment, the "Agreement"); and

WHEREAS, pursuant to the Original Agreement, the City conveyed the real property legally described on Exhibit A attached hereto (the "City Land") to Developer for the expansion of its Bronzeville high school campus; and

WHEREAS, the City transferred the City Land by quitclaim deed recorded in the Recorder's Office as Document No. 0804631095 on February 15, 2008 (the "Deed"); and

WHEREAS, the City Land was improved with a vacant two-story office building and the surviving structure of the historic Pickford Theater; and

WHEREAS, the Developer paid \$250,000 for the City Land, a write-down of \$750,000 (the "Land Write -Down") from its then-appraised fair market value of \$1,000,000 (the "Fair Market Value"); and

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WHEREAS, the Land Write-Down was based on the Developer's agreement to preserve and renovate

the Pickford Theater and other restrictions on use of the City Land, as more fully described in Section 14.3 ofthe Original Agreement; and

WHEREAS, the Original Agreement permitted the Developer to construct the Project in two phases ("Phase I" and "Phase II"); and

WHEREAS, Phase I involved the renovation of the Pickford Theater and construction of a new performing arts center within the existing theater space, and the construction of ground floor retail space along 35<sup>th</sup> Street; and

WHEREAS, Phase II involved the construction of a three-story, 100,000 square foot academic building on the City Land and certain adjacent Developer-owned land, as legally described in Exhibit B attached hereto (the "Adjacent Parking Lot" and, together with the City Land, the "Project Site"); and

WHEREAS, the Original Agreement required the Developer to complete Phase I no later than July 1, 2009, and commence and complete Phase II no later than March 1, 2010 and March 1, 2013, respectively; and

WHEREAS, the Developer intended to raise funds for the expansion of the school through a capital campaign, but the capital campaign coincided with the financial crisis and ensuing recession and, as a result, the Developer could not raise enough money to commence construction of either phase of the Project; and

WHEREAS, the Pickford Theater (already in poor condition in 2007) continued to deteriorate after the sale of the City Land to Developer; and

WHEREAS, in early 2011, after the Developer contacted the City about the condition of the building, the City determined that the building was dangerous and should be demolished; and

WHEREAS, the Developer paid for the demolition of the building and, at the City's request, salvaged artifacts from the theater, landscaped the site and installed a wrought iron fence on the 35<sup>th</sup> Street and Michigan Avenue sides of the property; and

WHEREAS, the Developer is still interested in expanding its Bronzeville campus and has proposed a revised, scaled-down project consisting of a retail and office component, and a fine arts component; and

WHEREAS, the retail and office component includes approximately 6,500 square feet of ground floor retail space along 35<sup>th</sup> Street and approximately 7,700 square feet of leaseable office space (collectively, the "Retail/Office Component"); and

WHEREAS, the fine arts component includes an approximately 299 seat main theater, an approximately 49 seat black box theater, dressing rooms, set storage rooms, a technical booth, approximately 26,500 square feet of fine arts rehearsal space and common areas (collectively, the "Fine Arts Center"); and

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WHEREAS, the Developer is interested in adding approximately 2,950 square feet of classroom space (the "Academic Space") if financially feasible after the completion of the Retail/Office Component and the Fine Arts Center, but this component of the development is aspirational and is not subject to the terms hereof; and

WHEREAS, the Developer has agreed to display the items salvaged from the Pickford Theatre

(specifically, a wooden arch and ten (10) terra cotta stones) in the lobby ofthe Fine Arts Center; and

WHEREAS, the Developer has agreed to pay the balance of the Fair Market Value for the City Land in the amount of \$750,000, and the City has agreed to give the Developer certain credits against the balance for itemized costs incurred at the City's request, as set forth in Exhibit D attached hereto (collectively, the "Credits"); and

WHEREAS, the Developer has filed or intends to file an application to amend Planned Development No. 1021 to permit construction of the Retail/Office Component and Fine Arts Center; and

WHEREAS, the City and the Developer desire to modify the terms of the Original Agreement to approve the revised proposal, to grant the Developer an extension of time in which to perform its obligations, and for other purposes as more fully set forth below; and

WHEREAS, the City Council, pursuant to an ordinance adopted on , 2014, and published at pages through in the Journal of such date (the "Authorizing Ordinance"), authorized the execution of this First Amendment.

NOW, THEREFORE, in consideration of the mutual covenants and agreements contained herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

- 1. Incorporation of Recitals; Defined Terms. The foregoing recitals constitute an integral part of this Agreement and are incorporated herein by this reference with the same force and effect as if set forth herein as agreements of the parties. All capitalized terms used but not otherwise defined herein shall have the same meanings given to said terms in the Original Agreement.
- 2. Payment of Balance of Fair Market Value. Within ninety (90) days after the passage and approval of the Authorizing Ordinance, the Developer shall pay to the City the sum of \$637,121 (the "Revised Purchase Price"), representing (a) the balance of the Fair Market Value of the City Land, minus (b) the Credits.
- 3. Definition of Project. The Original Agreement is hereby amended to define the term "Project" to mean construction of the Retail/Office Component, the Fine Arts Center and associated parking. The parties acknowledge and agree that the Academic Space is not included in the Project.
- 4. Phasing and Partial Certificates of Completion. The Original Agreement contemplated that the expansion would be completed in two phases, and that the City would issue a Partial Certificate of Completion for each Phase. The revised proposal also contemplates two phases and Partial Certificates of Completion, but the expansion has been reduced in size and the construction for each phase has been revised accordingly. In

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accordance with the revised proposal, the Original Agreement is hereby amended to define: (a) "Phase I" to mean the construction of the Retail/Office Component, and (b) "Phase II" to mean the construction of the Fine Arts Center. The parties acknowledge and agree that the Developer may build the Academic Space, if financially feasible, following construction of the Project, but the Academic Space is optional and does not constitute a third "Phase" of the Project.

5. Building Permits and Other Governmental Approvals. Section 7 of the Original Agreement is hereby amended by deleting the section in its entirety and replacing it with the following:

The Developer shall deliver to the City all building permits and governmental approvals

necessary for each Phase of the Project prior to commencing construction of such Phase.

6. Project Budget for Phase I and II and Proof of Financing. Section 8 of the Original Agreement is hereby amended by deleting the section in its entirety and replacing it with the following:

The total budget for Phase I and Phase II of the Project is currently estimated to be Ten Million One Hundred Thousand Seventy and 00/100 Dollars (\$10,100,070), as set forth in Exhibit E (the "Preliminary Project Budget"). Prior to commencing construction of the Project, the Developer shall submit to DPD for approval a final project budget ("Budget") and evidence of funds adequate to finance the construction of the Project ("Proof of Financing").

7. Construction Plans. Section 10.1 of the Original Agreement is hereby amended by deleting the section in its entirety and replacing it with the following:

The Developer shall construct the Project on the Project Site in accordance with Planned Development No. 1021, as amended, and the final plans and specifications approved by DPD in connection with its Part II review of each Phase (collectively, the "Plans"). Prior to applying for a building permit for Phase II, the Developer shall submit, and DPD shall approve, a plan showing how the Pickford Theater artifacts will be displayed in the lobby of the Fine Arts Center.

8. Commencement and Completion of Project. Section 12 of the Original Agreement is hereby amended by deleting the section in its entirety and replacing it with the following:

The Developer shall commence construction of Phase I of the Project no later than three (3) years from the passage and approval of the Authorizing Ordinance, and shall complete Phase I (as evidenced by the issuance of a Partial Certificate of Completion) no later than two (2) years from the date of commencement of construction. The Developer shall commence Phase II of the Project no later than five (5) years from the passage and approval of the Authorizing Ordinance, and shall complete Phase II (as evidenced by the issuance of a Partial Certificate of Completion) no later than two (2) years from the date of commencement of construction; provided, however, DPD, in its sole discretion, may extend the construction commencement and completion dates by twelve months in the aggregate (for each Phase). The City acknowledges and agrees that the Developer shall have no obligation to commence and complete the Academic Space. The Developer shall give written notice to the City within five (5) days after it

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commences construction of each Phase. The Developer shall construct the Project in accordance with the Plans, Planned Development No. 894, as amended, and all Laws and covenants and restrictions of record.

- 9. Restrictions on Use. In consideration of the Developer's agreement to pay the Revised Purchase Price, the Original Agreement is hereby amended by deleting Section 14.3, subsections (a)-(f), inclusive, in their entirety.
- 10. Notices. The notice address table in Section 25 of the Original Agreement is hereby deleted in its entirety and the following substituted in lieu thereof:

If to the City: City of Chicago

Department of Planning and Development 121 North LaSalle Street, Room 1000 Chicago, Illinois 60602 Attn:

Commissioner

With a copy to: City of Chicago

Department of Law

121 North LaSalle Street, Suite 600

Chicago, Illinois 60602

Attn: Lisa Misher

If to the Developer: De La Salle Institute

3455 South Wabash Avenue

Chicago, Illinois 60616

Attn: Rev. Paul E. Novak, OSM

With a copy to: Mara Georges

Daley & Georges, Ltd.

20 S. Clark Street, Suite 400

Chicago, II 60603

- 11. Exhibits. Exhibit C to the Original Agreement is hereby amended by deleting the exhibit in its entirety and replacing it with Exhibit C attached hereto.
- 12. Ratification. Except as provided in this First Amendment, the terms of the Original Agreement are hereby ratified and confirmed and the parties agree that the provisions contained therein are in full force and effect, as amended hereby, as of the date hereof. Any reference to the "Agreement" shall mean the Original Agreement, as modified by this First Amendment.
- 13. Amendment to Covenants Running with the Land. Concurrently with the payment of the Revised Purchase Price, the City shall deliver an agreement amending the covenants in the Deed in the form of Exhibit F attached hereto.
  - 14. Conflict. In case of a conflict between the terms and conditions of the Original Agreement and this First Amendment, the terms and conditions of this First Amendment shall govern and control.
    - 15. Counterparts. This First Amendment may be executed in two or more counterparts,

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each of which shall be deemed an original and all of which together shall constitute one and the same instrument.

(Signature Page Follows)

File #: O2014-5088, Version: 1	
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IN WITNESS WHEREOF, the parties have executed this First Amendment effective as of the day a year first set forth above.	nd
CITY OF CHICAGO, an Illinois municipal corporation and home unit of government	rule
By: Andrew J. Mooney Commissioner Department of Planning and Development	

Ву:

DE LA SALLE INSTITUTE, an Illinois not-for-profit corporation

Rev. Paul E. Novak, OSM Its President

THIS INSTRUMENT WAS PREPARED BY, AND AFTER RECORDING, PLEASE RETURN TO:

Lisa Misher City of Chicago Department of Law 121 North LaSalle Street, Suite 600 Chicago, Illinois 60602 (312) 742-3932

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STATE OF ILLINOIS )
) SS
COUNTY OF COOK )

I, the undersigned, a notary public in and for the County and State aforesaid, DO HEREBY CERTIFY THAT Andrew Mooney, personally known to me to be the Commissioner of the Department of Planning and Development of the City of Chicago, Illinois (the "City"), and personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that as such Commissioner he signed and delivered the said instrument pursuant to authority given him on behalf of the City, for the uses and purposes therein set forth.

Given under my hand and notarial seal on

, 2014

**Notary Public** 

STATE OF ILLINOIS )
)SS.
COUNTY OF COOK )

I, the undersigned, a notary public in and for the County and State aforesaid, DO HEREBY CERTIFY THAT Rev. Paul E. Novak, personally known to me to be the President of De La Salle Institute, an Illinois not-for-profit corporation (the "Corporation"), and personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that as such President he signed and delivered the said instrument pursuant to authority given him on behalf of the Corporation, for the uses and purposes therein set forth.

Given under my hand and notarial seal on

, 2014

**Notary Public** 

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#### **EXHIBIT A**

#### LEGAL DESCRIPTION OF CITY LAND

LOTS 25, 26, 27, 28, 29 AND 30 IN BLOCK 7 IN WENTWORTH'S SUBDIVISION OF THE SOUTH 60 ACRES OF THE WEST  $^{1}/_{2}$  OF THE NORTHWEST Va OF SECTION 34, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN IN COOK COUNTY, ILLINOIS.

COMMONLY KNOWN AS: 3445-59 SOUTH MICHIGAN AVENUE

100-114 EAST 35<sup>th</sup> STREET CHICAGO,

**ILLINOIS 60616** 

PERMANENT INDEX NO.

#### **EXHIBIT B**

#### LEGAL DESCRIPTION OF ADJACENT PARKING LOT

LOTS 31 TO 34 IN BLOCK 7 IN WENTWORTH'S SUBDIVISION OF THE SOUTH 60 ACRES OF THE WEST 1/2 OF THE NORTHWEST Va OF SECTION 34, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

COMMONLY KNOWN AS: 3437-43 SOUTH MICHIGAN AVENUE

CHICAGO, ILLINOIS 60616

PERMANENT INDEX NO. 17-34-119-015-0000

17-34-119-047-0000 0000 17-34-119-046-0000 17-34-119-041-

**EXHIBIT C** 

#### PRELIMINARY SITE PLAN. ROOF PLAN AND ELEVATIONS

(TO BE ADDED)

#### **EXHIBIT D**

#### CREDITS AGAINST PAYMENT OF BALANCE

	CREDIT	AMOUNT	DESCRIPTION
1.	Demolition & Salvage:	\$72,363	Cost of demolishing the Pickford Theater and salvaging certain historic elements from the theater, including the steel-truss roof, proscenium arch and interior and exterior terra cotta details.
2.	Temporary fencing during demolition	\$1,191	This cost is related to the demolition costs above, and are part of DLS' actual costs as compared with the estimated costs used in the appraisal.
3.	Wrought-iron fencing	\$15,075.00	At Alderman Dowell's request, Developer installed wrought iron fencing on two sides of the site (Michigan Avenue and 35 <sup>th</sup> Street).
4.	Landscaping	\$14,250.00	At Alderman Dowell's request, Developer installed a walking path and 4" trees along 35 <sup>th</sup> Street.
5.	Memorial obelisk	\$10,000.00	At Alderman Dowell's request, Developer has agreed to commission and install an obelisk memorializing the site of the Pickford Theater (similar to other obelisks in Bronzeville).
	TOTAL	\$112,879	

#### **EXHIBIT E PRELIMINARY BUDGET**

(TO BE ADDED)

#### **EXHIBIT F FIRST AMENDMENT TO COVENANTS**

(TO BE ADDED)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a7 ifapplicable: ~ChrIstian

File #: O2014-5	088, <b>Version:</b> 1					
Brothers of the	MidWest, Inc.					
Check ONE of	the following three	boxes:				
1. the ApOR 2. a legal en Applicant in OR 3. X a kg.3*	pplicant  tity holding a direct which the Disclosine	ng Party holds an interest of control (see Section	the Appli	cant. State the legal name		
which the D	isclosing Party hold	C	C . C	too I fan Doo 1		
				ty Line Road	D.	
			Ridge, II	ldress of the Disclosing L 60527	Party:	
C. Telephone:	630-323-3725	630-323-3779 Fax:	jsaurbier@	cbmidwest.org <mailto:jsaur Email:</mailto:jsaur 	bier@cbmidwest.org>	
D. Name of cor		ther Joseph Saurbier				
E. Federal Emp	oloyer Identification	No. (if you have one);	.'			
		ansaction or other und nd location of property	_	`	e "Matter") to which this EI	S
RDA Amendm	ent for property loc	ated at 100 E. 35th Str	reet			
			Ι	Dept. of Planning & Dev	⁄i.	

G. Which City agency or department is requesting

this EDS?

If the Matter is a contract being handled by the City's Department of Procurement .Services, please complete the following:

Specification # and Contract #

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#### SECTION II ~ DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par Person Publicly registered business corporation

Privately held business corporation Sole proprietorship General partnership Limited partnership Trust

Limited liability company
Limited liability partnership
Joint venture X Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?

XYes No [] Other (please specify)

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes No  $X^{N/A}$ 

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title Please see attached

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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Cily of Chicago Economic Disclosure Statement and Affidavit

Attachment to page 2 of 13

#### Name

Brother Larry Schatz Brother Mark Snodgrass Brother Joseph Saurbier Brother Konrad Diebold Brother Chris Englert Brother Michael French Brother James Gaffhey Brother Michael O'Hem Brother Michael Quirk

Title

President and Director

Secretary and Director

Treasurer and Director

Director

Director

Director

Director

Director

Director

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

N/A

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes XNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit, entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

#### Page 3 of 13

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, to be retained)

Address (subcontractor, attorney, paid or estimated.) NOTE:

| "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[ ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V - CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in. compliance with their child support obligations fhroughout contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No Person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all. support owed and is the person in compliance with that agreement?

Yes No

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting, this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Part)' and, if the Disclosing Part}" is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, slate or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to

Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3.; (2) bid-rotating in violation, of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Parly nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Bureau of Industry and Security of U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, die Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code,
- 7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Parly certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date-of this EDS. to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Parly certifies that the Disclosing Party (check one)

is X is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Parly is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section  $2_r$  156-110 of the Municipal Code: Does any official or employee of the City have a financial-interest in his or her own name or in the name of any other person or entity in the Matter?

Yes  $X^{No}$ 

NOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to Item D.L, proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

**Business Address** 

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \* 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VIJ. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbjang Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt lo influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3- The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes No If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes No

- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No
- 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of arty contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect

to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citvofchicago.org/Ethics <a href="http://www.citvofchicago.org/Ethics">http://www.citvofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, 1.L 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS arid any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

#### Page 11 of 13

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that, the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to, execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as ofthe date furnished to the City.

Christian Brothers of the Midwest (Sign here) Brother Larry Schatz, FSC

(Print orjjype name of Disclosing Party) By:

(Print or type name of person signing)

President

(Print or type title of person signing)

Signed and sworn to before me on (date) yyfx^^ at QsLAfa^SL- County, \Jlt^j<^jJL> (state).

()~∨ Notary

Commission, expires:  $/'0-\^-c> \land \{$ 

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe dale this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1.) all executive officers of the Disclosing Party listed in Section TLB. La., if the

Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all, managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes X<sup>No</sup>

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

N/A

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION 1 - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: De La Salle Institute

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. X me Applicant

OR

2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:

OR

3. a legal entity with a right of control (see Section 1I.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:

3455 S. Wabash Ave.

B. Business address of the Disclosing Party:

Chicago, IL 60616

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312-842-7355

312-842-4142 novakp@dls.org <mailto:novakp@dls.org>

C. Telephone:

Fax:

Email:

Fr. Paul Novak

- D. Name of contact person:
- E. Federal Employer Identification No. (if you have one):

../

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, ifapplicable):

RDA Amendment for property located at 100 E. 3 5th Street

Dept. of Planning & Devi.

G. Which City agency or department is requesting this EDS?

If the Matter is a contract being handled by the City's Department of Procurement Services, please . complete the following:

Specification #

and Contract #

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#### SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par' Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust

Limited liability company

Limited liability partnership

Joint venture X Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?

XYes No [] Other (please specify)

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the

	, Version: 1	
State of Illinois as a	foreign entity?	
Yes	No N/A	
B. IF THE DISCLO	OSING PARTY IS A LEGAL ENTITY	<b>7</b> :
corporations, also li members." For trust If the entity is a g venture, list below t	st below all members, if any, which are its, estates or other similar entities, list be general partnership, limited partnership, he name and title of each general partney-to-day management of the Disclosing	officers and all directors of the entity. NOTE: For not-for-profite legal entities. If there are no such members, write "no below the legal titleholder(s).  o, limited liability company, limited liability partnership or joint her, managing member, manager or any other person or entity a Party. NOTE: Each legal entity listed below must submit an
Name Title		
Please see attached		
and		
Christian Bro	thers of the Midwest, Inc	c. (member)
interest (including o	_	g each person or entity having a direct or indirect beneficial closing Party. Examples of such an interest include shares in a enture,
	Page 2 or	f 13
	one, state "None." NOTE: Pursuant to S	npany, or interest of a beneficiary of a trust, estate or other Section 2-154-030 of the Municipal Code of Chicago onal information from any applicant which is reasonably
· · · · · · · · · · · · · · · · · · ·	e full disclosure.	

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes $X^{No}$	)
--------------	---

If yes, please identify below the namc(s) of such City elected official(s) and describe such relationship(s): N/A

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)

Mara S. Georges

Business Relationship to Disclosing Party Fees (indicate whether

Address (subcontractor, attorney, paid or estimated.) NOTE:

lobbyist, etc.) "hourly rale" or "l.b.d." is not an acceptable response.

2 0 S. Clark Attorney Est. \$10,000

Chicago, IL 60603

(Add sheets if necessary)

[ ] Check here if the Disclosing Parly has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS** 

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No Person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

#### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, slate or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not. within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Part)', nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect lo a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General). 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable lo certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is  $X'^{s \text{ not}}$ 

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-1 56 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

NOTE: If you checked "Yes" lo Item D.L, proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

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3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \_\_\_\_\_1. The Disclosing Parly verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage lo or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if

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necessary):	

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Parly with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that cither: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes No If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the Cily of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing

Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (ifapplicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (ifapplicable) are true, accurate and complete as of the date furnished to the City.

De La Salle Institute (Print or type name of Disclosing Party)

(Sign here) Father

Novak

(Print or type name of person signing) President (Print or type title of person signing)

Signed and sworn to before me on (date)

Cieofc

County,

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(state).

Notary Public.

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" "PATRICIA A ALCAIA

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the cily treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmodier, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes X<sup>No</sup>

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

N/A

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#### **Be La Salle Institute Executive Officers**

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President Diane Brown, Vice President

# De La Salle Institute Board of Directors 2013 - 2014

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