



Office of the City Clerk

City Hall
121 N. LaSalle St.
Room 107
Chicago, IL 60602
www.chicityclerk.com

Legislation Details (With Text)

File #: SO2014-5820
Type: Ordinance
Status: Passed
File created: 7/30/2014
In control: City Council
Final action: 6/22/2016
Title: Zoning Reclassification Map No. 4-F at 1344-1360 S Union Ave, 700-714 W 14th St and 701-713 W Liberty - App No. 18133
Sponsors: Misc. Transmittal
Indexes: Map No. 4-F
Attachments: 1. SO2014-5820.pdf, 2. O2014-5820.pdf

Date	Ver.	Action By	Action	Result
6/22/2016		City Council	Passed as Substitute	Pass
6/2/2016	1	Committee on Zoning, Landmarks and Building Standards	Recommended to Pass	
6/2/2016	1	Committee on Zoning, Landmarks and Building Standards	Substituted in Committee	Pass
7/30/2014	1	City Council	Referred	

**HNAL FOR
PUBLICATION**

SUBSTITUTE ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That the Chicago Zoning Ordinance be, and is hereby amended by changing all the M1-3 Light Manufacturing / Business Park District symbols and indications as shown on Map No. 4-F in the area bounded by:

West Liberty Street; South Union Avenue; West 14th Street; and a line 149.96 feet west of and parallel to South Union Avenue

to those of a B2-5 Neighborhood Mixed Use District.

SECTION 2. That the Chicago Zoning Ordinance be, and is hereby amended by changing

all the B2-5 Neighborhood Mixed Use District symbols and indications as shown on Map No. 4-F

in the area bounded by:

West Liberty Street; South Union Avenue; West 14th Street; and a line 149.96 feet west
of and parallel to South Union Avenue

to those of a Residential Planned Development No. _____, which is hereby established in the area
above described, subject to such Use and Bulk Regulations as set forth in the Plan of Development
herewith attached and made a part hereof and to no others.

SECTION 3. This ordinance shall be in force and effect from and after its passage.

Common Address of Property. 1344-60 S. Union Avenue; 700-14 W. 14th Street;
701-13 W. Liberty Street

FINAL FOR PUBLICATION

RESIDENTIAL PLANNED DEVELOPMENT NO. _____

PLANNED DEVELOPMENT STATEMENTS

1. The area delineated herein as Residential Planned Development Number _____, ("Planned Development") consists of approximately 25,926 net square feet of property (.59 acres) which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is controlled by the Applicant, PMG UV Investments, LLC, (the "Applicant").
2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assign or grantees.

Any requests for grants of privilege, or any. items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the

Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.

4. ' This Plan of Development consists of 16 Statements: a Bulk Regulations Table; an Existing Zoning Map; a General Land-Use Map; a Planned Development Boundary and

APPLICANT: PMG UV Investments, LLC
ADDRESS: 1344-60 S. Union Avenue; 700-14 W. 14th Street; 701-13 W. Liberty Street
FILING DATE: July 30, 2014
PLAN COMMISSION DATE: May 19, 2016

cop PHD* if

Property Line Map; Site and Landscape Plan; General Roof Plan; and Building Elevations (North, South, East and West) dated May 19, 2016, submitted herein. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

5. The following uses are allowed in the area delineated herein: residential, accessory parking and related accessory uses.
6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations Table has been determined using a Net Site Area of 25,926 square feet.
9. The Applicant acknowledges and agrees that the rezoning of the Property from the M1-3 designation to a B2-5 designation and then to Residential Planned Development No. ' for construction of this Planned Development triggers the requirements of Section 2-45-110 of the Municipal Code (the "Affordable

Housing Ordinance"). Any developer of a "residential housing project" within the meaning of the Affordable Housing Ordinance ("Residential Project") must: (i) develop affordable housing units as part of the Residential Project; (ii) pay a fee in lieu of the development of affordable housing units; or (iii) any combination of (i) and (ii). In accordance with these requirements and the Affordable Housing Profile Form attached hereto as Exhibit A, the Applicant has agreed to provide 10 affordable housing units in the Residential Project for households earning up to 60% of the Chicago Primary Metropolitan Statistical Area median income (the "Affordable Units"), or make a cash payment to the Affordable Housing Opportunity Fund in the amount of \$100,000 per unit ("Cash Payment"). At the time of each Part II review for the Residential Project, Applicant may update and resubmit the Affordable Housing Profile Form to the Department of Planning and Development ("DPD") for review and approval. If the Applicant subsequently reduces the number of dwelling units in the Residential Project, DPD may adjust the requirements of this Statement 9 (i.e.,

APPLICANT: PMG UV Investments, LLC
ADDRESS: 1344-60 S. Union Avenue; 700-14 W. 14th Street; 701-13 W. Liberty Street
FILING DATE: July 30, 2014
PLAN COMMISSION DATE: May 19, 2016

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number of Affordable Units and/or amount of Cash Payment) accordingly without amending the Planned Development. Prior to the issuance of any building permits for the Residential Project, including, without limitation, excavation or foundation permits, the Applicant must either make the required Cash Payment, or execute an Affordable Housing Agreement in accordance with Section 2-45-110(i)(2). The terms of the Affordable Housing Agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the Affordable Housing Agreement will be recorded against the Residential Project and will constitute a lien against each Affordable Unit. The City shall execute partial releases of the Affordable Housing Agreement prior to or at the time of the sale of each Affordable Unit to an income-eligible buyer at an affordable price, subject to the simultaneous execution and recording of a mortgage, restrictive covenant or similar instrument against such Affordable Unit. The Commissioner of DPD may enforce remedies for breach of the Affordable Housing Agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the Planned Development. If this Planned Development does not receive city council approval by July 13, 2016, the project will be subject to Section 2-45-115 of the Municipal Code (the "2015 ARO")- In such event, the Applicant shall meet with the Department of Planning and Development to determine the application of the 2015 ARO to the project, and the 2015 ARO requirements will replace and supersede the affordability requirements set forth in this Section

10. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
11. The Site and Landscape Plan shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
12. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated

by the Commissioners of the Departments of Streets and Sanitation, Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.

13. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.

APPLICANT: PMG UV Investments, LLC
ADDRESS: ,1344-60 S. Union Avenue; 700-14 W. 14th Street; 701-13 W. Liberty Street
FILING DATE: July 30, 2014
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14. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
15. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. In accordance with the City of Chicago Sustainability Matrix, the project shall incorporate photovoltaic cells totaling approximately 2,624 square feet on the roof (or 25% of the otherwise required Green Roof) and the building will achieve LEED Certification.
16. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the Department of Planning and Development shall initiate a Zoning Map Amendment to rezone the property to the B2-5 district.

APPLICANT: PMG UV Investments, LLC
ADDRESS: 1344-60 S: Union Avenue; 700-14 W. 14th Street; 701-13 W. Liberty Street
FILING DATE: July 30, 2014
PLAN COMMISSION DATE: May 19, 2016

RESIDENTIAL PLANNED DEVELOPMENT NO. BULK
REGULATIONS

Gross Site Area:	40,406 SF
Area in the R-O-W:	14,488 SF
Net Site Area:	25,926 SF
Maximum Floor Area Ratio:	5.0
Minimum Number of Off-Street Loading Spaces:	1
Minimum Number of Off-Street (accessory)	
Parking Spaces:	99
Minimum Number of Bike Parking Spaces:	99
Maximum Building Height:	80-0"
Minimum Required Setback:	Per Site Plan

APPLICANT: PMG UV Investments, LLC
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2007 Affordable Housing Profile Form (Rental)

Submit this form to the Department of Planning & Development (DPD) for projects that are subject to the 2007 ARO. Projects submitted after October 13, 2015 - or that do not receive City Council approval by July 13, 2016 - will be subject to the 2015 ARO. More information is online at www.cityofchicago.org/ARO <<http://www.cityofchicago.org/ARO>>.

This completed form should be returned to: Kara Breems, DPD, 121 N. LaSalle Street, Chicago, IL 60602. E-mail: kara.breems@cityofchicago.org <<mailto:kara.breems@cityofchicago.org>> Telephone: (312) 744-6476

Date: 4/12/2016

SECTION 1: DEVELOPMENT INFORMATION

Development Name: 700 W 14th Street Development Address: 700

W 14th Street Ward: HthWard

If you are working with a Planner at the City, what is his/her name? Dan Klaiber

Type of City involvement: ☐ City Land

(check all that apply) i-i Financial Assistance (If receiving TIF assistance, will tif funds be

☐ used for housing construction? J ☐ if yes, please provide copy
of the TIF Eligible Expenses ☐ 1

☒ [V] Zoning increase and/or PD

SECTION 2: DEVELOPER INFORMATION

Developer Name: PMG UV Investments, LLC Developer Contact (Project Coordinator):

Benjamin Brichta Developer Address: 935 W Chestnut St, Suite 430, 60645 Email

address: bbrichta@proptymq.com Telephone Number: ^3^2) 919-7642

SECTION 3: DEVELOPMENT INFORMATION

a) Affordable units required

For ARO projects: 99 x 10% = Jio (always round up)
 Total units total affordable units required
 *20% if TIF assistance is provided

For Density Bonus projects: X 25% =
 Bonus Square Footage* Affordable sq. footage required

*Note that the maximum allowed bonus is 20% of base FAR in dash-5; 25% in dash-7 or -10; and 30% of base FAR in dash-12 or -16 (www.cityofchicago.org/zoning <<http://www.cityofchicago.org/zoning>> for zoning info).

b) building details

In addition to water, which of the following utilities will be included in the rent (circle applicable):

0 ☐ LJ ☐ ☐

Cooking gas electric gas heat electric heat ☐ 0 ☐ [] describe on back
 units? yes no ■ market-rate units? yes no
 If parking is not included, what is the monthly cost per space? \$200

Estimated date for the commencement of marketing: 3/1/2018

Estimated date for completion of construction of the affordable units: 5/1/2018

	Unit Type*	Number of Units	Number of Bedrooms/Unit	Total Square Footage/Unit	Expected Market Rent	Proposed Affordable Rent*	Proposed Level of Affordability (60% or less of AMI)	Unit Mix OK to proceed?
Example	1 bed/1 bath	4	1	800	\$1000	759	60%	
Affordable Units								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
Market Rate Units	1RD/1BA	53	1	AAA - 720	\$1,700	N/A	N/A	<input type="checkbox"/>
	2BD/2BA	39	2	975-1082	\$2,600	N/A	N/A	<input type="checkbox"/>
	3BD/3BA+	7	3+	1221 - 1850	\$4,500	N/A	N/A	<input type="checkbox"/>

For ARQ projects, use the following formula to calculate payment owed:

$$\frac{99}{\text{(round up to nearest whole number)}} \times 10\% = J \frac{9}{\text{Amount owed}} \times \$100,000 = \$1,000,000$$

For Density Bonus projects, use the following formula to calculate payment owed:

$$\text{Bonus Floor Area (sq ft)} \times 80\% \times \$ \text{median price per base FAR foot} = \text{Amount owed}$$

Submarket (Table for use with the Density Bonus fees-in-lieu calculations)

Loop: Chicago River on north/west; Congress on south; Lake Shore Dr on east

Median Land Price per Base FAR Foot
 \$31

North: Division on north; Chicago River on south/west; Lake Shore Dr. on east \$43
South: Congress on north; Stevenson on south; Chicago River on west; Lake Shore Dr. \$22
on east
West: Lake on north; Congress on south; Chicago River on east; Racine on west S29

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Kara Breems, DPD

Authorization to Proceed (to be completed by Developer & DPD)
Date

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4/1/2016

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Developer/Project Manager
Date

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1350 SOUTH UNION AVE. Applicant: PMG UV Investments, LLC Address: 935 W. Chestnut St. Suite 430
Chicago, IL 60642 Introduced: July 30, 2014 Plan Commission: May 19, 2016

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1350 SOUTH UNION AVE. Applicant: PMG UV Investments, LLC Address: 935 W. Chestnut St. Suite 430
Chicago, IL 60642 Introduced: July 30, 2014 Plan Commission: May 19, 2016

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1350 SOUTH UNION AVE. Applicant: PMG UV Investments LLC Address: 935 W. Chestnut St. Suite 430
Chicago, IL 60642 ntroduced: July 30, 2014 lan Commission: May 19, 2016

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architects - engineTrs :

residential commercial
PARKING PUBLIC PARK PROPERTY LINE

GENERAL LAND USE MAP A 2.3

RELOCATE EXIST. LIGHT POLES /r=^NEW DRIVEWAYS - / \ EMERGENCY EXIT
NEW STRIPING FOR MID-BLOCK
-UNSIGNALIZED CROSSWALK
CATCH BASIN. TYP.
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1350 SOUTH UNION AVE. Applicant: PMG UV Investments. LLC Address: 935 W. Chestnut St. Suite 430
Chicago. IL 60642 Introduced: July 30, 2014 Plan Commission: May 19. 2016

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SITE AND LANDSCAPE PLAN

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Chicago, IL 60642 Introduced: July 30, 2014 Plan Commission: May 19, 2016

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1350 SOUTH UNION AVE. Applicant: PMG UV Investments, LLC Address: 935 W. Chestnut SL Suite 430
Chicago, IL 60642 Introduced: July 30, 2014 Plan Commission: May 19, 2016

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Chicago, IL 60642 Introduced: July 30, 2014 Plan Commission: May 19, 2016

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1350 SOUTH UNION AVE.
Applicant: PMG UV Investments, LLC
Address: 935 W. Chestnut St. Suite 430
Chicago, IL 60642 ntroduced: July 30, 2014 -"lan Commission: May 19, 2016

:ORDOGAN CLARK & ASSOCIATES, INC. ARCHITECTS • ENGINEERS

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SECOND FLOOR TERRACE

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OVERALL ROOF AREA: 20.990 SF
GREEN REQ AREA@ 50%- 10.495 SF
PHOTOVOTAIC AREA @ 25%: 2.624 SF
AMENITY AREA: 9.500 SF

W. LIBERTY STREET

(2-WAY TRAFFIC)

-LINES OF SOLAR-PANEL ARRAY
ftXACI LOCATION OF HAMrLS
TO BE OETEPf/INEDI Photovoltaic Area Min of 2 624 sf

GARAGE ROOF BELOW

7th FLOOR ROOF INCLUDES MECI-f. PENTHOUSE AREA

6th FLOOR ROOF. AMENITY AREA

W.14th STREET

(2-WAY TRAFFIC)

1350 SOUTH UNION AVE
Applicant: PMG UV Investments, LLC
Address: 935 W. Chestnut St. Suite 430
Chicago, IL 60642 Introduced: July 30, 2014 Plan Commission: May 19, 2016

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GENERAL ROOF PLAN

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Department of Planning and Development
CITY OF CHICAGO

MEMORANDUM

Alderman Daniel S. Solis Chairman, City Council Committee on Zoning

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May 20, 2016

RE: Proposed Planned Development for property generally located at 1344 South Union Street,
700-14 West 14th Street and 701-13 West Liberty Street.

On May 19, 2016, the Chicago Plan Commission recommended approval of the proposed planned development submitted by PMG UV Investments, LLC. A copy of the proposed planned development is attached. I would very much appreciate your assistance in having this introduced at the next possible City Council Committee on Zoning hearing.

Also enclosed is a copy of the staff report to the Plan Commission which includes the Department of Planning and Development, bureau of Planning and Zoning recommendation and a copy of the Resolution. If you have any questions in this regard, please do not hesitate to contact me at 744-5777.

cc: Steve Valenziano
PD Master File (Original PD, copy of memo)

121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602

Chicago Plan Commission

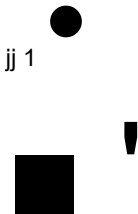
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May 19, 2016

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BUILDING ELEVATIONS | A.2.S



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**REPORT to the
CHICAGO PLAN COMMISSION from the
DEPARTMENT OF PLANNING AND DEVELOPMENT
MAY 19, 2016**

APPLICANT: PMG UV INVESTMENTS, LLC

**LOCATION: 1344-1360 SOUTH UNION STREET, 700-714 WEST 14th STREET
and 701-713 WEST LIBERTY STREET**

**FOR APPROVAL: 1) REZONING FROM M1-3 (LIMITED
MANUFACTURING/BUSINESS PARK DISTRICT) to B2-5 (NEIGHBORHOOD
MIXED USE DISTRICT); and 2) ESTABLISHMENT of a**

RESIDENTIAL PLANNED DEVELOPMENT

INTRO DATE: JULY 30, 2014

PD THRESHOLD: MANDATORY PLANNED DEVELOPMENT - THE PROJECT
EXCEEDS THE HEIGHT THRESHOLD OF 75' (80') IN A B2-5 ZONING
DISTRICT (SECTION 17-8-0512-A)

PROJECT SUMMARY

The project consists of the development of a 80' residential building (6 stories plus partial 7th) with 99 dwelling units and 99 enclosed parking spaces on an approximately 25,926 square foot site. The project will provide 2,624 square feet of photovoltaic cells on the roof and will achieve LEED certification. The dwelling units will consist of a mix of efficiencies, one, two and three bedroom units. The enclosed parking will be accessed via West Liberty Street and South Union Street. The main pedestrian entrance to the building will be from West 14th Street. The site would be rezoned from M1-3 to B2-5 prior to establishing the proposed Residential Planned Development. (See Exhibits)

BULK/USES/DENSITY/ARO

The PD will cap the Floor Area Ratio for the project at 5.00. The PD will allow for residential units, accessory parking and accessory uses. The Minimum Lot Area (MLA) for the project is 261 and the percentage of efficiencies will be less than 30%. The Applicant filed the project within the deadline established under the City of Chicago's 2007 ARO (Affordable Requirements Ordinance). The Applicant will comply with the ARO by paying \$1,000,000 (\$100,000 x 10 units) into the Affordable Housing Fund.

1

ZONING CONTEXT (site in green):

2

EXISTING AERIAL (site in red, building since demolished):

RECOMMENDATION

The Department of Planning and Development has reviewed the project materials submitted by the Applicant and compared this proposal to existing development in the community. The area around this project consists of a large mixed use/residential planned development surrounding the site while the Dan Ryan expressway (1-90/1-94) is immediately east of the site. The project represents an opportunity to construct a compatible residential infill project along South Union Street, West Liberty Street and West 14th Street in Chicago's Near West Side Community Area.

Based on that analysis, the Department of Planning and Development has concluded that this proposal is appropriate for this site and supports this development for the following reasons:

1. The proposed rezoning of the subject property is appropriate under Chapter 17-13 of the Zoning Ordinance. Specifically, the proposed B2-5 underlying zoning classification and or the project is 1) consistent with plans for the area, including the Roosevelt Union Tax Increment Financing Area (17-13-0308-A); 2) appropriate because of growth and development trends (17-13-0308-B); 3) compatible with the character of the surrounding area in terms of uses, density and building scale (17-13-0308-C); 4) compatible with surrounding zoning districts (17-13-0308-D) and, 5) the public infrastructure facilities and city services will be adequate to serve the

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proposed development at the time of occupancy (17-13-0308-E).

2. The project meets the purpose and criteria set forth in Chapter 17-8 of the Zoning Ordinance and its adoption would not have any adverse impact on the public's health, safety or welfare. Specifically, this project meets the following provisions of Chapter 17-8:
 - a. Promotes economically beneficial development patterns that are compatible with the character of existing neighborhoods (per 17-8-0103), as evidenced through the project's design and massing remaining within the context of adjacent structures;
 - b. Promotes that primary pedestrian entrances be located at sidewalk level and help to provide building identity and presence on the street (per 17-8-0905-B) as evidenced
 - c. Promotes green design by conserving non-renewable energy and scarce materials (per 17-8-0908-A) as evidenced by the proposed photovoltaic cells incorporated into, and LEED certification sought, as part of the project; and,
 - d. All sides and areas of the buildings that are visible to the public are treated with

materials, finishes and architectural details that are of high-quality and appropriate for use on primary street-facing facade (17-8-0907-A-4), as evidenced through the material callouts in this report and on the elevations.

3. The project has been reviewed and approved by the Chicago Department of Transportation, Mayor's Office for People with Disabilities and the Fire Department.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this application for a Residential Planned Development be approved and that the recommendation to the City Council Committee on Zoning, Landmarks and Building Standards be "Passage Recommended".

Bureau of Zoning and Land Use Department of
Planning and Development

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FINAL

DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF
CHICAGO

**RESIDENTIAL PLANNED DEVELOPMENT 1344-60 SOUTH UNION
AVENUE; 700-714 WEST 14th STREET; 701-713 WEST LIBERTY STREET**

RESOLUTION

WHEREAS, the Applicant, PMG UV Investments, LLC, has submitted an application seeking approval for a Residential Planned Development on a 25,926 square foot property

currently zoned M1-3 (Limited Manufacturing/Business Park District); and,

WHEREAS, the Applicant intends to rezone the property from M1-3 (Limited Manufacturing/Business Park District) to B2-5 (Neighborhood Mixed-Use District) prior to establishing the proposed Residential Planned Development; and,

WHEREAS, the Applicant proposes to construct an 80' building with 99 dwelling units and accessory parking on the subject site; and,

WHEREAS, the Applicant's request to rezone the property was introduced to the City Council on July 30, 2014; and,

WHEREAS, proper legal notice of the hearing before the Plan Commission was published in the Chicago Sun-Times on May 4, 2016. The Applicant was separately notified of this hearing and the proposed zoning application was considered at a public hearing by this Plan Commission on May 19, 2016; and,

WHEREAS, the Plan Commission has reviewed the application with respect to the provisions of the Zoning Ordinance and «finds that the proposal will be consistent with said provisions; and,

WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the written report dated May 19, 2016, a copy of which is attached hereto and made a part hereof; and,

121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602

FINAL

WHEREAS, the Plan Commission has fully reviewed the application and all informational submissions associated with the proposed amendment, the report and recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held on May 19, 2016, giving consideration to the Zoning Ordinance;

NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

1. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Plan Commission regarding this zoning map amendment application.
2. THAT this Plan Commission recommends approval to the City Council Committee on Zoning,

Landmarks and Building Standards of the final zoning application dated May 19, 2016.

Chairman
Chicago Plan Commission

RPD No.
Approved: May 19, 2016

121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602

Introduced- 7/30/2014
Plan Commission: 5/19/2016
Applicant* 18133

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

RECEIVED

MAY 19 2016

2. 3.

ADDRESS of the property Applicant is seeking to rezone: 1344-60 South Union Avenue; 700-14 West 14th Street; 701-13 West Liberty
Street

Ward Number that property is located in: 11th Ward

APPLICANT PIA Investments, LLC

ADDRESS 935 West Chestnut Street, Suite 430

STATE Illinois ZIP CODE 60642

EMAIL c/o sborstein@nealandleroy.com <mailto:sborstein@nealandleroy.com> CONTACT PERSON c/o Scott Borslein

NO

Is the applicant the owner of the property? YES

If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER Liberty Union, LLC

ADDRESS 111 West Washington, Suite 1300

PHONE 312/263-7100

CONTACT PERSON Richard Ginsburg

If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Scott R. Borstein ADDRESS 120 North LaSalle Street, Suite 2600

ZIP CODE 60602

EMAIL sborstein@nealandleroy.com <mailto:sborstein@nealandleroy.com>

If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

NLG Chicago Investments, LLS -100%

7. On what date did the owner acquire legal title to the subject property?_

8. Has the present owner previously rezoned this property? If yes, when? NO

9. Present Zoning District ^{M1-3} Proposed Zoning District Planned Development B2-5 then to Residential

10. Lot size in square feet (or dimensions) 25,926 sf

11. *Current Use of the property* Vacant

12. Reason for rezoning the property Develop a mixed use building with 99 parking spaces, 99 bike spaces; and 99 Residential Units

13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

Applicant intends to develop a mixed use building with 99 parking spaces, 99 bike spaces and 99 units in an 80 foot structure.

14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO <<http://www.cityofchicago.org/ARO>> for more information). Is this project subject to the ARO?

YES X

COUNTY OF COOK STATE OF ILLINOIS

_____, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct-PMC) UV Investments, LLC By GNP Investments, Inc.

Signature of Applicant

Subscribed and Sworn to before me on _____ day of _____
Notary Public

_____, Notary Public

HILLIE M. SEMPRIT OFFICIAL SEAL Notary Public - State of Illinois My Commission Expires _____

1

1

Date of Introduction:.

File Number:

Ward:.

CITY or CM If A CO
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AND A I- II DAVIT

SECTION I --GENERAL INI ORMAHON

A. I ..cgai name of the Disclosing Party submitting this LDS. Include d/b/a/ ii*applicable:

NLG Chicago Investments, LLC _

Check ONE of the following llu ce boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. |" | the Applicant

OR

2. |>:] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the

2. Applicant in which the Disclosing Party holds an interest: PMG UV Investments, LLC

OR

3. [] a legal entity with a right of control (see Section II.B. I.) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 935 W Chestnut St, Ste 430

Chicago, IL 60642

C. Telephone: 630-273-1260

Eax:

Email: ngottlieb@propertymg.com

<mailto:ngottlieb@propertymg.com>

D. Name of contact person: Noah Gottlieb

II. federal Employer Identification No. (if you have one): | .{.\ .

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Planned Development application for property at 1350 S Union Ave

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification 11 _ . and Contract //

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

Indicate the nature of the disclosing party:

☐ Person

☐ Publicly registered business corporation

☐ Privately held business corporation

☐ Sole proprietorship

☐ General partnership

☐ Limited partnership

☐ Trust

☐ Limited liability company ☐ Limited liability partnership ☐ Joint venture ☐ Not-for-profit corporation

(Is the not-for-profit corporation also a 501(c)(3))?

☐ Yes ☐ No ☐ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Was the organization registered to do business in the State of Illinois as a foreign entity?

☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Noah Gottlieb

Manager

Page 2 of 13

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOIL: Pursuant to Section 2-154-0.10 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which, is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
No. 1234 N. Dearborn St., Chicago, IL 60642	1234 N. Dearborn St. Ste 430, Chicago, IL 60642	100%

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

f. ☐ Yes b. ☐ No

If "yes," please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)

Business Address

Relationship to Disclosing Party Fees (indicate whether
(subcontractor, attorney, paid or estimated.) NO TE:
lobbyist, etc.) "hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

>:J Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. ■SECTION V

- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the
Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

B. FURTHER CERTIFICATIONS

I. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery,

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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2. The Disclosing Party and the Disclosing Party is a legal entity, all of those persons or entities identified in Section I of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible

official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price, or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (further Certifications), the Disclosing Party must explain below:

N/A _ _

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

•S. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this LDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A

v. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this LDS, to an employee, or elected or appointed official, of the City of Chicago, for purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A _____

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check, one)

☐ is ☐ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): N/A

If the "osiers "NA." the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-15d-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?'

1.1 Yes [x] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Properly Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Properly Sale?

[.] Yes

[x] No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
------	------------------	--------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with the disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

2. The Disclosing Party verifies that, as a result of conducting the search in step I above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the Following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CEK 11EICA HONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Mailer is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING n/a

I. List below the names of all persons or entities registered under the Federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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i. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event, which materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4 The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. n/A

Is the Disclosing Party the Applicant?

☐ Yes ☒ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☒ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☒ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☒ No

If you checked "No" to question 1. or 2. above, please provide an explanation: '

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

13. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics <<http://www.cityofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics. 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page II of 13

E.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

E.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in E. 1. and E.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in E. 1., E.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

NLG Chicago Investments, LLC

Noah Gottlieb (Print or type name of person signing)

Manager
(Print or type title of person signing)

Signed and sworn to before me on (date) *At* *Chicago* County, *Illinois* (state). *Notary Public*

ANDREW KERB

OFFICIAL SEAL

Notary Public, State of Illinois

My Commission Expires

On 11/11/2017

■ ■ . . ' .1

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle,

niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section 11.13.La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☐ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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cn v or Chicago
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX II

BUILDING CODE SCO FEE A W/PRO BI, EM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of The Municipal Code? "

☐ Yes

☐ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of The Municipal Code?

☐ Yes--

☐ No "" " " - [X] Not Applicable

3. lives to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

/v

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I --GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/-if applicable:

PMG UV Holdings, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the

2. Applicant in which the Disclosing Party holds an interest: __

OR

3. ☐ a legal entity with a right of control (see Section II.13.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:

13. Business address of the Disclosing Party: 935 W Chestnut St, Sta 430

Chicago, IL 60642

^

C. Telephone: 312-30-2731260

Fax:

Email: ngottlieb@properlymg.com

<mailto:ngottlieb@properlymg.com>

D. Name of contact person: Noah Gottlieb

E. Federal Employer Identification No. (if you have one.)

E. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Planned Development application for property at 1350 S Union Ave

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification // and Contract U

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i... \./> • \i*. v j r \ j w is \ f. S ri 11' 1 f \ I i', K r, ; "i I N

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

<input type="checkbox"/> Person	<input type="checkbox"/> Limited liability company
<input type="checkbox"/> Publicly registered business corporation	<input type="checkbox"/> Limited liability partnership
<input type="checkbox"/> Privately held business corporation	<input type="checkbox"/> Joint venture
<input type="checkbox"/> Sole proprietorship	<input type="checkbox"/> Non-profit corporation
<input type="checkbox"/> General partnership	(Is the not-for-profit corporation also a 501(c)(3)):
<input type="checkbox"/> Limited partnership	<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Trust	<input type="checkbox"/> Other (please specify)

2. For legal entities, (the state (or foreign country) of incorporation or organization, if applicable:

«

Illinois

3. For legal entities not organized in the State of Illinois; has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For non-profit organizations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal holder(s).

If the entity is a general partnership limited, partnership, limited liability company, limited liability partnership or joint venture-, list below the name and title of each general partner; managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

NLG Chicago Investments, LLC

Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples (if such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
NLG Chicago Investments, LLC	9315 VV Chesnut St. Ste 430. Chicago, IL 60642	100%

SECTION 111 - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the

Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a non-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Whether retained or anticipated to be retained.	Address	Relationship (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "flat fee" is not an acceptable response. If flat fee, specify amount.
Chicago, IL 60601 Attorney			203 N LaSalle St. Chicago, IL 60601 \$40,000 estimated
Cordogan Clark & Associates, Inc	716 N Wells St. Chicago, IL 60654	Architect	5(400,000) estimated

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V --

CERTIFICATIONS

A. CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in

arrears on any child support?

☐ Yes

☒ No

(If No person directly or indirectly owns 10% or more of the Disclosing Party.

If ("Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

Ii, ITjRTHlRCERfPICAtl()NS

I. Pursuant to Municipal Code Chapter I-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements); if the Applicant is submitting this EDS as the Applicant and is doing business with the City, the Applicant certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deception; and (ii) the Applicant acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance requirement in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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3. I, the Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.I.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction: a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly, owns or controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of

control include, without limitation: inter.look.ing <http://inter.look.ing> inanagcme.nl <http://inanagcme.nl>;pr ownership; identity of interests anipng family members;;, shared facilities arid .equipment;coimnon usc-of employees; or organization of a.business entity following tlie ineligibility of a business entity to do business with federal or state or local government, including the City; using substantially the same management, ownership, or principals as the ineligible entity); wiith-respect to Contractors, the term A ffiliated Entity means a person or entity thiiil directly or indirectly controls the Contractor, is controlled by it. or, with the Contractor, is under common control of another person or entity:

- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent: or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to lhc direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the-Disclosing i'llii iy. nor any Contractor, nor any A.l'l'iialccJ Euiuy of eiihei liic i'Mseiosini.'. Parly or any Contractor nor any Agents have, during lhc. five years heroic ihe date this EDS is signed, or. x*. ii 1 respeeel in a Contiaetoi, an Affiliated Emily. Oi: an Affiliated Entity of a Contractor during the five years' hefote lhc dan: of such Contractor's or Affiliated Entity's contract or engagement in connection with the Manor:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee, of th'c:Giyy. the State of Illinois, or any agency of thc federal government or of any state or local govcriihicut'in-the United States of America, in thai.officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint-ofTrocdoin of competition by agreement In hid a fixed price or otherwise: or
- c. made an admission, of such eoiidupt:dcsci:ibed:iu a. or b. above that is a matter of rocord.-bul have not been pmsceuted Pot such cohduei- or
- d. violated (he provisions of Municipal Godc'Section 2-92-61.0 (Living Wage Ordinance`):

4. Neither the Disclosing Early. ATfiliatedl.Utli'ity or Contractor, or-any of their employee's, officials.

- a geirts o r-p a rt iKM\sv i s-ba ira

engaging in or'being convicted of (l i bid-rigging in violation of 7.20 ILCS 5/33E-3." (2)'bid-rotaiing- in violation of 720 ILCS 5/33E-4; or. (3).any similar offense of any state or of the United States of America that contains the same elements as the offense of bid^rigging or bid-rotating.

5. Neither the Disclosing Party ijof any A-ffiliatedcd Entity is listed on any of the following lists maintained by llic Office of Forei^n/A^set^ of (lieTreasury'p"r--thc Bureiiu of Industry and SecitritYofth'c 'UiSr■■R^p^rfmc.nt'b'ffGsin'n'iefCc' of their successors: ilic^Specialiy Designated. Naionajs-'Listyithc""DcKidd^erSpns-'Ui'isi, the-Unverified List, die 'Entity"L?isL^aiid?ili6-Dchaned List. >:

(r. Tlie Disclosiieg 'Party■ u;h.diiVstaTids'fsiifyfflilfil 1 c'dmpjyw'it.ti>tLii.j j:iplicjihle recjifii;eilVehths■ f>f-CHliapfcrs 2-5:5■(■Legislative. Inspector General);^ (Govefuinculilil,Elhics)'oj';(hc

Muii'icipai'Code

■ ■ ■ ■ " ' " ■ r

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B -(Further Certifications), the Disclosing Party must "explain below:

, N/A _____ J... _____ , _____ .

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If the Disclosing Party enters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

M. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this liDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this liDS, to an employee, or elected or appointed official, of the City of Chicago, for purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A _____ :

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

1. _____ is _____ y is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand

that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): N/A

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If the Disclosing Party enters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party is not a predatory lender.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

1.1 Yes ☐ 1k) No ☒

NOTE: If you checked "Yes" to Item D. 1., proceed to Items D. 2. and D. 3. If you checked "No" to Item D. 1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise-permitted, no City official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City* or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

1J Yes ☐ [x] No ☒

3. If you checked "Yes" to Item D. 1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
------	------------------	--------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee?

F.. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please Check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must 'disclose below or in tin
rmnchrftm so this EDS rd! iiMonivatiGii required by paragraph 2. failure to

Page .Sof 13

comply with these disclosure rec.piirc-mcnls may make any contract entered into with the City in coitneeiiion Willi the
Matter voidable, by the Cily.

x 1. The Disclosing Party verifies that the Disclosing Party has searched any and till records of the Disclosing Party and any
and all predecessor entities regarding records of investments oi profits from slavery or slaveholder insurance policies during the
slavery era (including insurance policies issued lo slaveholders lhal provided coverage for damage to or injury or death of their
slaves), and the Disclosing Party has found no such records.

_ 2. The Disclosing Party verifies thai, as a result of conducting the search in step I above, the Disclosing Party has found
records,of in vestments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following
constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those
records:

SECTION VI -- CE.RTIFICAT.i.ONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is nol federally funded, proceed to Section VII. For
purposes of this Section. VI. tax credits allocated by the Cily and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING N/A

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995.who have
made lobbying contacts on behalf of the Disclosing Party with respect to the Matter; (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" .or.-if the, word "None" appear, it will be conclusively
presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have
made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.).

2. The Disclosing Parly has nol spent and will .not expend any federally appropriated funds to pay any person or enlily listed in
Paragraph A.L above for his or her lobbying activities or lo pay any person or enlily to influence or attempt to ini1.uen.ee
<http://i1.uen.ee> an officer or employee.of any. agency, as defined by applicable federal law, a member of Congress, an officer or
employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract,
making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify
any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

•. The Disclosing Party certifies that, either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 511(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter, and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (41)

Is the Disclosing Party the Applicant? --- --

[] Yes [] No If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable, federal regulations? (See, 41 CFR Part 60-2.)

- [] Yes [] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

[] Yes [] No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[] Yes [] No

If you checked "No" to question 1 or 2. above, please provide an explanation:

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I. TIES, IS C I, O SURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

13. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and training program is available online at www.cityofchicago.org/Ethics <<http://www.cityofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500. Chicago. IL 60610. (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information, submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing IMMEDIATE ELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required, by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. IZ.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or (that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications).

NOTE: If the Disclosing Party cannot certify as to any of the items in I-1, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing, below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

PMG UV Investments, LLC

Noah Gottlieb

(Print or type name of person signing)

Manager

U-C ; &-> rVJ^y-r (' TMC

, IM-X

(Print or type title of person signing)
(state).

Signed and sworn to before me on (date) - fry. A 3% TcAte
County.

Notary Public.

^ OiVoiOSSiOi't OXpifO.S*. 0./ < i .-• _~<!:^_

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited

partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all 'managers; managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes ☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected, (3) the title and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship:

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX II

BUILDING CODE VIOLATION/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (1) the Applicant, and (2) any legal entity which has a direct or indirect ownership interest in the Applicant. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. In accordance with Municipal Code 2-92-010, is the Applicant or any Owner identified as a building code violation or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes ☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code violation or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes ☒ No ☐ Not Applicable

3. If yes, list the name and address of the building or buildings to which the pertinent-code violations apply.

FILLING OUT THIS ATTACHMENT B CONSTITUTES ACKNOWLEDGMENT
AND AGREEMENT

Attachment H

SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE
ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a, if applicable:

Li'tier Tin. Mis&C/ LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: ' • J^i^h*-' Applicant OR

2. [] a legal entity holding a direct or indirect interest in the Applicant. State (the legal name of the
Applicant in which the Disclosing Party holds an interest: ...

OR

3. [] a legal entity with a right of control (see Section 11.13.1.) State the legal name of the entity in
which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: /// JL', IL%*fJ: / <••/•t)? A C//T-*_-f

C. Telephone: ^j).-IL^~)l&O Fax: \Q. Ok^Q/o? .Email of j, &

D. Name of contact person: j/V^c; hat/ (~P /A' < ^/t^

E. Federal Employer Identification No. (if you have one):|

- • -- j

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains.
(Include project number and location of property, if applicable) .

Planned Development application for property at 1350 S Union Ave

G. Which City agency or department is requesting this EDS?

Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification tr

and Contract #

SECTION II DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

- ☐ Person
☐ Publicly registered business corporation
☐ Publicly registered business corporation -
☐ Sole proprietorship
☐ General partnership
☒ Limited partnership
☐ Trust
☐ Limited liability company
☐ Limited liability partnership
☐ Joint venture
☐ Not-for-profit corporation
(Is the not-for-profit corporation also a 501(c)(3))?
☐ Yes ☐ No
☐ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

3. For legal entities not organized in the State of Illinois: Was the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

■ If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
------	------------------	----------------------------

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-1 56 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

I J Yes j\No

If yes, please identify below the name(s) of such City elected officials and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are on the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity, whether or not a lobbyist, to influence any legislative or administrative action on behalf of (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also includes any person who is an employee of another person or entity and who is engaged in lobbying or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must consult the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "i.b.d." is not an acceptable response.

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained: nonexpensis to retain, any such¹ persons or entities.

SECTION V CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain ^

Has any person who directly, or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

f) No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

I, Pursuant to 1.-23; Article I ("Article T"). (which the Applicant should consult to determine if it is "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of; or placed under supervision for, any criminal offense; or actual attempted; or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty, or deception; or employment of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance requirement in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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2. If the Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default: and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
3. The certifications in subparts 3, 4 and 5 concern;
- the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking directorates; overlapping interests among family members, shared facilities and common use of space; or the organization of a business entity following the principles of a business entity to develop, sell, or use federal or state or local government, including the City, using substantially the same management; ownership, or principles as the eligible entity; with respect to Contractors, the term "Affiliated Entity" means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date of this EDS, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor* or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement. Or been convicted or adjudged guilty of agreement or collusion among bidders or restraint of freedom of competition by agreement to bid a fixed price or otherwise: or
 - c. made, an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct: or
 - d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials,

4. agcpts or' p^rlnersV;i^:barred-ffom ^ont^-gtingAVtm' any unit of state or local-government as a result of
4. engaging. ui br:^ violation of 720 ILCS 5/33E-3; (2) bid-rotating in
4. violation of"720"iL"CS 5/33E-4; or (o)^any,siniilar offeiise of any state oi-of the United States of
4. America lhuVcpn.tain>Vih'c.;i:amc elements as the offense of bid-rigging or bid-rotating.
5. ^Vith^lf;tK&:pji.^Jc^in.^piiriy nprany A ffiliated Entity is ijsted ondiiy of t'h^fdll^i m&iniaine^ Bureau of Industry and Security •iifetiiff.Ui'Si Department of-Gomin^rc^^ .ihe.;S^cjally Designated Naiioh'alJ;^ UnvcnfiffrList,^ and .life Debaned List.
6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (LegislativeInspector.General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal.C.bde.
7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Ccrtificaiions). the Disclosing Party must explain below:
- il llic letters "NA," lhe wore! "None." or no response appears on the lines above, it will be eonclusivch piesumcd that the Disclosing Patty certified to the above statements.
8. To ihe best of ihe Disclosing Parly's knowledge after reasonable inquiry, thc following is a complete list of all current employees ofthe Disclosing Party who were, at any lime during lhe 12-month period preceding lhc execution date of this LDS, an employee, or elected or appointed official, of the Cily of Chicago (if none, indicate with "N-A" or "none").
9. To ihe best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall gifts that the Disclosing Party has given or caused to be given, at any time, during the 12-month period preceding thc execution dale of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to (he general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list thc name of the City recipient.
- zzzzizz^g^zz: zzz:zzzzzzzzzzzz

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

1. f.] is <p^is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here—attach additional pages if necessary):

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If the Disclosing Party certifies "NA," "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-15.6 of the Municipal Code have the same meaning, when used in this Part-D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

f. 1 Yes])(No

NOTE:: If you checked "Yes" to Item D. 1., proceed to Items D.2. and D.3. If you checked "No" to Item D. 1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise, permitted, no City
2. elected official or employee shall have a financial interest in his or her own name or in the name of
2. any other person or entity in which the City has an interest that (i) belongs to the City, or (ii) is sold
2. for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively.
2. "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power
2. does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.L. provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest-

Name	Business Address	Nature, of Interest
------	------------------	---------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING: SLA VERY ERA BUSINESS

Please check either 1. or 2. below., [f the Disclosing Party checks 2.. the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. f ailure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

2\ I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this: Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bid? or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.)

☒ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance: "Progratfi?" or the Equal Employment Opportunity Commission all reports due under the applicable federal regulations?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question I. or 2. above, please provide an explanation:

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SECTION NINE -- KNOWLEDGE OF THE CONTRACTOR. COMPLIANCE, PENALTIES. DISCLOSURE

The Disclosing Party understands and agrees that:

A. The representations, disclosures, and acknowledgment contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

13. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-15 and 2-14 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full-text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610. (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE:- With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are

the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, properly taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPES") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors, hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2- above and will not, Without the prior written consent of the City, use any such contractor/subcontractor who does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in 1.1., F.2. or F.3. above, an explanatory statement must be attached to this F.DS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute

this VEDS and Appendix A and (2), warrants that all cch7llc's information and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as furnished to the City.

Lj^/jru tic

■{■P)m!t;0^y^

(Print party)

(Print or type title of person -signing)

Sign'd and sworn to before me on, (date) Ujfhj^ jJt^ '&A.fa
Notary Public. i ,,,; Oubik^^.pi:

/Corrni::yipn E^pirtis- -j

" OpnCIAL.P/EAL { ..v.-^srsf.NE-P.'tvilSKEL1 1
Xj: . . . I ft c , V Mv Cwnmissipn*
Commission tvxpircs:^^,^, S^ggHp. | ... ^Jfif&&~< *!*

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT A.M.) AI II DAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in ilic.AppHcani exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in

the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following: whether by blood or adoption; parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners, and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

I, the undersigned, certify that the information provided herein is true and correct to the best of my knowledge and belief, and that I am not aware of any other person who is or has been involved in the same or a similar transaction. I understand that providing false information is a violation of the City of Chicago's laws and regulations, and I agree to be held accountable for my actions.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-41.6 of the Municipal Code?

[X] Yes [] No

If the Applicant is a general partner, limited partner, managing member, or member of a partnership, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-41.6 of the Municipal Code?

[X] Yes [] No

2-9^40'pfiHeM

[JYes

I)No

jAf^01 Applicable

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violations'aprl>\

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