

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

Legislation Details (With Text)

File #: SO2015-50

Type: Ordinance Status: Passed

File created: 1/21/2015 In control: City Council

Final action: 5/18/2016

Title: Zoning Reclassification Map No. 9-K at 3911-3985 N Milwaukee Ave and 4671-4777 W Irving Park Rd

- App No. 18266

Sponsors: Misc. Transmittal

Indexes: Map No. 9-K

Attachments: 1. O2015-50.pdf, 2. SO2015-50.pdf

Date	Ver.	Action By	Action	Result
5/18/2016		City Council	Passed as Substitute	Pass
4/26/2016	1	Committee on Zoning, Landmarks and Building Standards	Substituted in Committee	Pass
3/12/2015	1	Committee on Zoning, Landmarks and Building Standards	Held in Committee	Pass
1/21/2015	1	City Council	Referred	

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SUBSTITUTE

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of tie Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the B1-1 Neighborhood Shopping District symbols and indications as shown on Map No. 9-K in the area bounded by

West Irving Park Road; A line 95.0 feet west of and parallel to North Kilpatrick Avenue; the east west public alley south of and parallel to West Irving Park Road; North Kilpatrick Avenue; North Milwaukee Avenue;

SECTION 2: To those of a B3-2 Community Shopping District;

SECTION 3: Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the B3-2 Community Shopping District symbols and indications as shown on Map No 9-K in the area bounded by

West Irving Park Road; A line 95.0 feet west of and parallel to North Kilpatrick Avenue; the east west public alley south of and parallel to West Irving Park Road; North Kilpatrick Avenue; North Milwaukee Avenue;

SECTION 4: To those of a Business Planned Development.

SECTION 5: This ordinance takes effect after its passage and approval;

Common Address of Property: 3911-3985 North Milwaukee Avenue

4671-4777 West Irving Park Road

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BUSINESS PLANNED DEVELOPMENT NO. PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Business Planned Development Number , ("Planned Development") consists of approximately 139,594 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is owned or controlled by the Applicant, CSD Six Comers LLC.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development

are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.

3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assigns or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.

4. This Plan of Development consists of 15 Statements: a Bulk Regulations Table; an Existing Zoning and Land Use Map; a Planned Development Boundary, Sub-Area and Property Line Map; Site/Right of Way Adjustment Map; Landscape Plan; a Green Roof Plan; Building Elevations (North, South, East and West); and Floor Plans prepared by OKW Architects and

Applicant. CSD Six Coiners LLC Address 3985-3911 North Milw

3985-3911 North Milwaukee Avenue -1671-4777 West living Park Road

Introduced- January 21, 7.015

Plan Commission. March 17.2016

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dated February 26, 2016, submitted herein. Full-sized copies of the Site Plan, Landscape Plan and Building Elevations are on file with the Department of Planning and Development. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

5. The following uses are allowed in the area delineated herein as a Business Planned Development; Sub Area A will allow the following uses permitted under the B3 Zoning District: Postal Service; Animal Services; Sales and Grooming (Shelter/Boarding Kennel only allowed as accessory use to pet store); Veterinary (Not including Shelter/Boarding Kennel); Construction Sales and Service including

Building Material Sales (Excluding contractor/construction storage yard); Eating and Drinking Establishments including Restaurant, Limited; Restaurant, General; Outdoor Patio(Permitted if located at grade level and on rooftop); Food Catering allowed as accessory use to General or Limited Restaurant; Financial Services; including Automated Teller Machine Facility (no drive-thru permitted); Food and Beverage Retail including Liquor Sales (package goods) and Liquor Sales (as accessory use); Medical Service; Office; Personal Service; Repair or Laundry Service, Consumer including Dry cleaning drop-off or pick-up (No on-premise plant) and Coin-operated laundromat; Retail Sales, General; Entertainment Cabaret; Children's Play Center; Vehicle Sales including Auto Supply/Accessory Sales but no vehicle service allowed. Sub Area B will allow the use of a financial institution; Eating Drinking Establishments including Restaurant Limited; Restaurant, General; Outdoor Patio (located at grade level); Retail Sales, General and Office.

- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off^JPremise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ('FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations Table has been determined using a Net Site Area of 139,594 square feet.
- 9. Upon review and determination. "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and

Applicant CSD Six Corners LLC Address:

3985-3911 North Milwaukee Avenue

4671-4777 West Irving Park Road Introduced January 21, 2015

Plan Commission: March 17,2016

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Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.

- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles

promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.

- 12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. Specifically, the Applicant shall be allowed to eliminate the second story retail and replace with parking spaces administratively by the Zoning Administrator upon written request.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. At the time of a hearing before the Chicago Plan Commission, all developments must be in substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Department of Planning and Development. The Applicant shall meet and exceed the minimum required areas of interior landscaping at the roof top parking per Chicago Landscape Ordinance with a goal of 100% of area not used for pedestrian pathways, vehicular use or mechanical space to be vegetated green space. Proof of a 5-year maintenance agreement to care for the vegetation on the roof shall be supplied and approved at time of Part II review. Planting of trees at the rooftop parking area will not be required. Applicant will exceed ASITRAE 90.1 2004 standards by 14%.

Applicant¹ CSD Six Corners LLC

Address 3985-3911 North Milwaukee Avenue

-'1671-4777 West Irving Park Road Introduced. January 21. 2015

Plan Commission. March 17.2016

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15. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the Department of Planning and Development shall initiate a Zoning Map Amendment to rezone

the property to B3-2 Community Shopping District



Applicant Address

CSD Six Coiners LLC 3985-391 I North Milwaukee Avenue 4671-1777 West Irving Park Road

Introduced January 21, 2015

Plan Commission March 17.2016

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BUSINESS PLANNED DEVELOPMENT NO._ BULK REGULATIONS AND DATA TABLE

Gross Site Area: Area in	Right of Ways: Net Site Areas
Sub Area A:	

Sub Area B: Maximum floor Area Ratio:

Max. FAR Sub Area A:

Max. FAR Sub Area B: Setbacks:

Maximum Building Height:

Minimum Parking Spaces:

Min. Parking Spaces in Sub Area A: Min. Parking Spaces in Sub Area B:

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208,779 sq. ft.
69,185 sq. ft. 139,594 sq. ft. 119,644 sq. ft.
19,950 sq. ft.
0.9
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In accordance with Site Plan 52 feet

272 245

27

Bicycle Parking Spaces:

Loading Spaces (Min. 10'x 50' per Code):

50 4

Applicant: CSD Six Corners LLC

3911-3985 North Milwaukee Avenue Property:

4747 West Irving Park Road Revised:

February 26, 2016

LXIS IING ZONING MAP NTS

SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

APPLICANT - CSD SIX CORNERS LLC ADDRESS 3911 -3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD



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EXISTING ZONING AND STREET MAP

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SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

APPLICANT - CSD SIX CORNERS LLC ADDRESS 3911 -3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

EXISTING LAND USE AREA MAP

NORTH KILPATRICK AVENUE

PLANNED DEVELOPMENT BOUNDARY AND SUB AREA PLAN

0 25' 50' 100' SCALE: 1" = 100'

SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS APPLICANT - CSD SIX CORNERS LLC ADDRESS: 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

P.D. BOUNDARY, PROPERTY LINE/RIGHT OF WAY

DATE I CPC DATE 03 15.2016

SHEET NO 3

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NORTH: KILPATRICK AVENUE

RIGHT-OF-WAY ADJUSTMENT

SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

APPLICANT - CSD SIX CORNERS LLC ADDRESS: 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

RIGHT OF WAY ADJUSTMENT

| CPC DATI: | 03.17.2016

LANDSCAPE PLAN

SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

APPLICANT - CSD SIX CORNERS LLC ADDRESS 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS APPLICANT - CSD SIX CORNERS LLC ADDRESS- 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

GROUND LEVEL PLAN

SHEET NO 7

DATE

■ CPC DATE 0:i10 2C1G

SECOND LEVEL PLAN

SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

APPLICANT - CSD SIX CORNERS LLC ADDRESS 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

GREEN ROOF PLAN

SIX CORNERS - PLANNED DEVELOPMENT EXHIBI I S

APPLICANT - CSD SIX CORNERS LLC ADDRESS 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

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APPLICANT - CSD SIX CORNERS LLC ADDRESS 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

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SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS APPLICANT - CSD SIX CORNERS LLC ADDRESS: 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD

BUILDING ELEVATIONS

SHEET NO

12

DATE 03 15 2016 CPC DATE 03 17 2016

DIPARTMENT OF PLANNING AND DEVELOPMENT

CITY OF CHICAGO

MEMORANDUM

Alderman Daniel S. Solis Chairman, City Council Committee on Zoning

David L. Reifman Secretary Chicago Plan Commission

March 18, 2016

RE: Proposed Planned Development for property generally located at 3911 North Milwaukee Avenue.

On March 17, 2016, the Chicago Plan Commission recommended approval of the proposed planned development submitted by CSD Six Corners LLC. A copy of the proposed planned development is attached. I would very much appreciate your assistance in having this introduced at the next possible City Council Committee on Zoning hearing.

Also enclosed is a copy of the staff report to the Plan Commission which includes the Department of Planning and Development, bureau of Planning and Zoning recommendation and a copy of the Resolution. If you have any questions in this regard, please do not hesitate to contact me at 744-5777.

cc: Steve Valenziano

PD Master File (Original PD, copy of memo)

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FORTH LASALLE STREET. ROOM 1000. CHICAGO, ILLINOIS









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REPORT to the CHICAGO PLAN COMMISSION from the DEPARTMENT OF PLANNING AND DEVELOPMENT

MARCH 17, 2016

PROPOSED PLANNED DEVELOPMENT (APPLICATION NO. 18266)

CSD SIX CORNERS, LLC

3911 NORTH MILWAUKEE AVENUE

Pursuant to the provisions of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, the Department of Planning and Development hereby submits this report and recommendation on a proposed Planned Development for your review and recommendation to the Chicago City Council. The application was introduced to the Chicago City Council on January 21, 2015. Notice of this public hearing was published in the Chicago Sun-Times on March 2, 2016. The Applicant was separately notified of this public hearing.

The property is identified as 3911 N. Milwaukee Avenue and is located in an area known as Six Corners. The applicant, CSD Six Corners" LLC, proposes to create a planned development consisting of two sub-areas. Sub-area A will be improved with a two-story commercial building comprised of approximately 101,000 square feet of retail space. Sub-Area B would contain an existing one-story drive through bank facility.

This application has been submitted as a mandatory planned development pursuant to section 17-8-0510-A of the Chicago Zoning Ordinance which states that planned development review and approval is required for any building in a B or C zoning district which is to be occupied by retail sales-related uses with a gross floor area of 75,000 square feet or more.

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SITE AND AREA DESCRIPTION

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PROJECT BACKGROUND AND DESCRIPTION

The subject site consists of approximately 139,594 square feet and is comprised of two parcels each which will become its own distinct sub-area. Sub-Area B is 19,950 square feet and is currently improved with a one story bank facility, no changes are proposed to this sub-area as part of the proposed planned development. Sub-Area A is 117,732 square feet and is currently improved with one and two story office buildings which will be demolished to make way for a two-story commercial building containing approximately 100,000 square feet of retail space and approximately 245 parking stalls on the roof of the structure.

DESIGN, ACCESS AND CIRCULATION

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Department of Planning and Development city of chicago

3911 NORTH MILWAUKEE AVENUE PROPOSED BUSINESS PLANNED DEVELOPMENT

RESOLUTION

- WHEREAS, the Applicant, CSD Six Corners LLC, filed this application requesting to establish a Business Planned Development for the property generally located at 3911 North Milwaukee Avenue to aiiow for the construction of a one-story commercial building; and,
- WHEREAS, pursuant to the Chicago Zoning Ordinance, Section 17-8-0510-A, Plan Commission review and recommendation is required due to the proposed development including more than 75,000 square feet of gross floor area dedicated to retail use; and,
- WHEREAS, the Applicant's request to establish this Business Planned Development was introduced to the City Council on January 21, 2015; and,
- WHEREAS, proper legal notice of the hearing before the Plan Commission was published in the Chicago Sun-Times on March 2, 2016. The Applicant was separately notified of this hearing and the proposed zoning application was considered at a public hearing by this Plan Commission on March 17, 2016; and,

- WHEREAS, the Plan Commission has reviewed the application with respect to the provisions of the Zoning Ordinance and finds that the proposal will be consistent with said provisions; and,
- WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the written report dated March 17, 2016, a copy of which is attached hereto and lade a part hereof; and,
- WHEREAS, the Plan Commission has fully reviewed the application and all informational submissions associated with the proposed Business Planned Development, the report and recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held on March 17, 2016, giving consideration to the Zoning

121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602

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Ordinance.

NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 1. THAT the final application dated March 17, 2016 be approved as being in conformance with the provisions, terms and conditions of the corresponding zoning application; and,
- 2. THAT this Plan Commission recommends approval to the City Council Committee on Zoning, Landmarks and Building Standards of the final zoning application dated March 17, 2016; and,
- 3. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Plan Commission regarding this application.

BPD#

Approved: March 17, 2016

121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602

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The existing bank facility is finished in face brick and the front facade includes a large transparent aluminum and glass storefront system. The proposed retail facility project has been designed to make sure the long street frontages do not read as a large monolithic slab but instead a series of more intimate retail experiences. The facades make use of a number of different building material types such as precast panels, glass and aluminum storefront systems, exterior rain screens, fiber cement panel systems, and cast stone. The parking located on the roof is screened by parapet walls that extend up at varying heights to ensure that the headlights and most of the vehicles are screened from view to pedestrians. The proposed color palette for the project is a series of whites and grays accented by the cast stone and wood looking rain screen system. Irving Park Road and Milwaukee Avenue are designated as Pedestrian Streets thus the parking and loading will be accessed from Kilpatrick Avenue. A two-way traffic ramp will take vehicles up to the roof top and also back down from the rooftop at this location. In addition once users have reached the rooftop there will be access to three elevators and staircases that lead back down to the retail areas. Accommodations for 50 bicycle parking spaces will also be made available on site. The developer is also in negotiations to re-locate a divvy bike parking station to the city parkway adjacent to this development.

LANDSCAPING AND SUSTAINABILITY

The entire planned development will be compliant with the requirements of the Chicago Landscape Ordinance specifically in terms of parkway trees. The parking located on the roof top will meet an equivalent amount of green spaces as would be required if this were a surface parking lot, however, due to the rooftop location parking lot trees will not be required.

The subject site will be designed to be in compliance with the current City of Chicago Sustainable Development Policy. The Applicant shall meet and exceed the minimum required areas of interior landscaping at the roof top parking per Chicago Landscape Ordinance with a goal of 100% of area not used for pedestrian pathways, vehicular use or mechanical space to be vegetated green space. Proof of a 5-year maintenance agreement to care for the vegetation on the roof will be submitted to the department at the time of Part II review. Planting of trees at the rooftop parking area will not be required. Applicant will exceed ASHRAE 90.1 2004 standards by 14%.

BULK/USE/DENSITY

The proposed retail project as previously described has a current maximum allowable Floor Area Ratio (FAR) for the proposed underlying zoning district (B3-2) of 2.2; the proposed planned development will be limited to a maximum of 0.9 FAR. The proposed project will have a maximum building height of 52'-0". The proposed planned development will maintain setbacks that are in substantial compliance with the requirements of the B3-2 zoning designation. All remaining

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bulk, use and density items will be in substantial compliance with the stipulations of Section 17-3-0400 for B3-2 zoning districts.

RECOMMENDATION

The Department of Planning and Development has reviewed the project materials submitted by the Applicant and compared this proposal to the requirements of the Chicago Zoning Ordinance and existing development in the community. The area around this project is composed of a mixture of various uses including commercial, retail, and residential uses and is accessible from public transit. Based on that analysis, DPD has concluded that this proposal is appropriate for this site and supports this development for the following reasons:

- 1. Promotes economically beneficial development patterns that are compatible with the character of existing neighborhood (per 17-8-0103), as evidenced by the design of the project, and the fact that the proposed uses are in context with the character of the adjacent properties and also meet the needs of the immediate community;
- 2. Promotes transit, pedestrian and bicycle use, ensures accessibility for persons with disabilities and minimizes conflicts with existing traffic patterns in the vicinity (per 17-8-0904-1-2, 3 & 4), as evidenced by the site's close proximity to public transit in the form of various CTA bus lines, CTA Transit Lines and various Metra stations which are located in close proximity to the proposed development; the accessibility accommodations made on-site for the building users; pedestrian and bicycle ingress and egress options provided to the building users in the form of 50 bicycle parking stalls, and lastly, ensuring that the design of the site works within the confines of the current existing traffic patterns at the site and creates little or no adverse impact to those patterns;
- 3. All sides and areas of the buildings that are visible to the public should be treated with materials, finishes, and architectural details that are of high-quality and appropriate for use on primary public-right-of-way-facing fagade (per 17-8-0907-A-4), as evidenced through the information contained within this report, as shown on the elevations in the exhibits for this planned development, and the corresponding proposal renderings;
- 4. The proposed development is consistent with the guiding principles listed in the Six Corners Economic Development Master plan, specifically the proposal seeks to attract more retail stores and business to the six corners area that will appeal to the diversity of households in the area, the new construction will be an upgrade in building facades and storefronts over the previously vacant office building located on site, this site was indicated to be a key opportunity site for redevelopment in the area, and lastly that the proposed development seeks to enhance the pedestrian environment by creating plaza

spaces that can be used as sitting areas and gathering spots for pedestrians and by providing a centrally located area to park

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vehicles, while a shopper can then safely circulate around the shopping district and then later return to their vehicles.

The project meets the purpose and criteria set forth in the Chicago Zoning Ordinance and its adoption would not have any adverse impact on the public's health, safety or welfare:

- 1. Per 17-13-0308-C, the proposed development is compatible with surrounding developments in terms of land use, as well as, the density and scale of the physical structure.
- 2. Per 17-13-0308-D, the proposed underlying zoning for this planned development is B3-2 and is similar to other business and commercial zoning districts, both adjacent to this site and in the immediate area.
- 3. Per 17-13-0308-E, the public infrastructure facilities and City services will be adequate to serve the proposed development at the time of occupancy and the project will comply with the requirements for access in case of fire and other emergencies. The proposed project has been reviewed by the Mayor's Office for People with Disabilities and the Department of Transportation and all requested changes have been made. Copies of this application have been circulated to other City departments and agencies and no comments have been received which have hot been addressed in the application.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this application for the establishment of a Planned Development be approved and that the recommendation to the City Council Committee on Zoning Landmarks and Building Standards be "Passage Recommended."

Bureau of Planning and Zoning Department of Planning and Development

RECEIVED MAR 1 7 2016

Initial: Lp

AMENDED CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:

3911-3985 North Milwaukee Avenue/4671-4777 West Irving Park Road

File	#: SO2015-50, Version : 1								
2.	Ward Number that property is located in:	45							
3.	APPLICANT CSD Six Corners LLC								

ADDRESS 980	North Michigan,	Suite 1280	CITY Chicago
STATE IL	ZIP CODE	60611	PHONE 312-377-9111

EMAIL peisenberg(5)clarkstreet.corrCONTACT PERSON Peter Eisenberg

4. Is the applicant the owner of the property? YES X NO
If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER Same as Applicant

ADDRESS CITY !

STATE ZIP CODE : PHONE

EMAIL CONTACT PERSON

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Kevin Wolfberg, Schain Banks

ADDRESS 70 West Madison, Suite 5300

CITY Chicago STATE __IL ZIP CODE 60602

PHONE 312-345-5700 FAX 312-345-5701 EMAIL kwolfberg(5)schainbanks.com

If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

CSD Six Corners Member, LLC Peter Eisenberg Perry Weinstein, Trustee

Fritz Duda, Jr

Bixby Bridge Fund II LLC Blackfriar Corp Carol Grigor

Collins Family Limited Partnership JEC Investments, LLC J^mes Kurszweil

Hulina Family Limited Partnership Andrew Stein

Clark Street Development LLC E. Thomas Collins. Jr

Flatiron Investments Company LLC Sylvia Doyne Collins

JMK Interests. LLC John Collins

Keith Colburn Richard Colburn

On what date did the owner acquire legal title to the subject property? June 3, 2014

Has the present owner previously rezoned this property? If yes, when? No

Present Zoning District B1-1 Proposed Zoning District B3-2 then to Business Planned

139,594 square feet Development

Lot size in square feet (or dimensions)

Current Use of the property Vacant and listing financial institution

Reason for rezoning the property Retail Center with parking and financial institution

Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

The proposed use is a ? story vertical rptail r-pntpr containing aprny nnn gfliiaro fppt nf retail with 245 parking spaces. The Planned Development will also include a 5,000 square foot financial inslitution containing 27 parking spaces

On May 14th, 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the Jot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information)

NO X COUNTY

ILLINOIS

OF

COOK

STATE

OF

I. Peter Eisenberg

being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

Signature of Applicant

Subscribed and Sworn to before me this

IU day of M-c^r-ftU

20, 1 (/,

File #: SO2015-50, Version: 1	
Notary Public	
Date of Introduction:.	
File Number:	
Ward:.	
	CJTV oi< ci(icac;o ECONOMIC DISCLOSURE STAT EM ENT AND AFEI DAVIT
SECTION 1 GENERAL INFORMATION	ON
A. Legal name of the. Disclosing l	Party submitting this EDS. Include, d/b/n/ if applicable: JMK Interests,
Check ONE of (he following three boxes	:
2. Applicant in which the Disclosing I OR	ndirect interest in the Applicant. State the legal name of the Party holds an interest: CSD Six Corners LLC rol (see Section II. B.l.) Slate the legal name of the entity in
B. Business address of the Disclosing Par	rty: 980 North Michigan, Suite 1280 Chicago, IL 60611
C. <u>Telephone: (312) 377-9111</u> <u>Fax:</u>	Email: Jcollins@clarkstreet.com
<mailto:jcollins@clarkstreet.com></mailto:jcollins@clarkstreet.com>	
D. Name of contact person: John Collins	
E. Federal Employer Identification No. (i	f you have one):
pertains. (Include project number and loc	ion or other undertaking (referred to below as'the "Matter") to which this EDS ation of property, if applicable): 911-3985 North Milwaukee Avenuo; 4671-4777 West Irving Park Road.
G. Which City agency or department is re	equesting this EDS? DPP

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If the Matter is following:	a contract being handle	d by the City's Department of Procurement Services, please complete the
Specification #		and Contract // _
Page I of 13		
SECTION II DIS	SCLOSURE OF OWNER	SHIP INTERESTS
Limited liability Not-for-profit corp		y partnership Joint venture
£ j Other (please sp	business corporation Priv	rately held business corporation Sole proprietorship General partnership
2. For legal ent	tities, the state (or foreign	country) of incorporation or organization, if applicable:
Illinois		
_	tities.not organized in the as a foreign entity?	State .of Illinois: Has the organization registered to do business in
[]Ycs	[]No •	J/J N/A
B. IF THE DISCLO	OSING PARTY IS A LEC	BAL ENTITY:
for-profit corporati write "no members If the entity is a	ons, also list below all me b." For trusts, estates'or oth general partnership, limite	Fall executive officers and all directors of the entityNOTE: For not- embers, if any, which are legal entities. If there are no such members, her similar entities, list belo w the legal titleholder(s). ed partnership, limited liability company; limited liability have and title of each general partner, managing member, manager or

If the entity is a general partnership, limited partnership, limited liability company; limited liability partnership or joint venture, list below the name and title of each general partner, managing member, mahager.or any other perspn.or entity tthat controls the day?to-day.management of the Disclosing^Pnrty. NOTE: Each legal entity.listed below must submit an EDS on its own behalf.

Name Title

James Kurtzwell

Manager and sola member

2.. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-1 54-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

James Kurtzweil 980 N. Michigan, #1280, Chicago, IL 60611 100%

SECTION III -- BUSINESS RELATIONSHIPS WI TH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Ycs 0No.

If yes, please identify below the namc(s) of such City elected official(s) and describe such relationship (s):

SECTION IV ~ DISCLOSURE .OF.SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well ns the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, oh an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section. the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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(Add sheets if necessary)

y\ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly br indirectly owns 10% or more of the Disclosing Parly been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [/[No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," iiaHhe person 'entered into a court-approved agreement for payment of all'support owed and is the person in compliance with that agreement?

[] Yes ■ pW ' ',. 1

B. FURTHER 'CERTIFICATION S'

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(v/liich the Applicant should consult for'defihed "doing business") and legal requirements), if the'pisclpsiiig.Party submitting 'this EDS' is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision "for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deccit'against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies

to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section H.B.l. of this EDS:
 - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - I), have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause 13.2.b. of this Section V;
 - d. have not, within a Five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - c. havc.not, within a five-year period preceding the date of this EDS, .been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by .the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any .contractor or .subcontractor used by the Disclosing Party in connectipnyyi.th the MattQr^inpludihgJbjit not ..limited.to all persons or.le'gal entities disclosed under. Section IV, "Disclosure of .Subcontractors and Other. Retained Parties");
 - any "Affiliated Entity"-{meaning a^person or entily.that, directly oriindirectly: contrpls the ,. Disclosing Party, is controlled by the Disclosing.Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking manageincut or ownership; identity of interests among family members, 'Shared facilities and equipment; common use of employees; or organization of a business entity following.the; ineligibility of a business entity to do business with federal or state or local government; including, the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the terra Aiffilialcd Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Parly, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, tim ing the five years before the date, this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before, the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Ma tier:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party'to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective-bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; of
- c. made an admission of-such-conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. NeitheY the Disclosing Party, Affiliated Entity or Contractor, or any "of their employees, 'officials, agents or partners, is barred from contracting with any unit of state or local governmentas a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
 - 5. Ncith5|r.^Ke^iselo*sirif>Pkrry nor", any'Affiliated Entity is listed dn^aajTbfthc fblloHymglisfs
 - 5. maintained by" the Office of Foreign 'Assets Control of the U.S. Department of the Treasury or faic
 - 5. Bureau of Industry and Security bf;th vc^ft the" Specially
 - 5. Designatedttfatio'hals Lustj^tie^efiiea* -Persons L'isi, the Unverified Listi' thV Entity' L'isiEn'd^fc
 - 5. DcbarrcdTiistV •* •••'•'•*' :- ' ;'
- 6. The UDi's closing 'Pafty Xiri'd pf Start Chanters 2-55 (Legislative In's 'ectdr Geneml); 2^56 (Inspector General) and 2-156 (Govern'menta Tethics j^f the Municipa TGode;
- 7. If the Disclosing Party-is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

 N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 1 2-month period preceding the execution date of this P.DS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given; at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of loss than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is $[\land is not]$
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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Jl" the letters "NA," the won! "None," or no response appears on the lines above, it will be conclusively

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presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-1 56-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes f/No'

NOTE; If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Docs the Matter involve a City Property Sale?

[]Ycs f/jNo

3. If you checked "Yes" to Item"D.l., provide the names and business addresses of the City officials or employees having sudi, interest and identify, the nature of such interest:

4. The Disclosing Tarty, farther certifies that no prohibited financial interest in the Matter will be acquired by any City official or. employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of L3

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

_1. The Disclosing Party verifies that the Disclosing, Parly has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the

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slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party.has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter Is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the "Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the world '."None!! appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity lo influence or attempt lo influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.!, and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
 - 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance

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to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must m ake such certifications promptly available lo the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the. Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations..., ...

Is the Disclosing'Party the Applic	ant?
[]Ycs []No	

If "Yes," answer the three questions below:

re		Have you developed tions? (See 41 CFR Pa	•	have on	file a	affirmative	action	programs	pursuant	to	applicable	federal
	[]	Yes	[] No									
	2.	Have you filed with the	he Joint Report	ing Comn	nittee,	the Directo	or of the	Office of I	Federal			
	2.	Contract-CompH	or the Equ	ıal Employ	yment	Opportuni	ty Com	mission all	reports du	e		
	2. []	undertfi^ppTicSbfe'iF fcs ^U []No	Hiing -requiren	ents?'								

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

■[*\Yes " [] No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII-- ACKNOWLEDGMEN TS, CONTRACT INCORPORATION, COM PLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based."

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13. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at w w w. cityo fc h ic ago ■ org/ E th ics. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in-this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded br'be'void or voidable, and the City may pursue any remedies under the contract or agreement (if not'res'cin^de'd or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matt'cr and/or declining to allow the Disclosing Party to participate in other transactions with the City.;-Remedies at law for a false statement of material fact may include incarceration and an award to lhe.City .of;trcble damages,
- D. It is the City's policy to make this document available to the public on its Iuterriet's itci'and/or upon
- D. request. Some or all of the information provided on this EDS and any attachments to this EDS may be
- D. made available to the public on the Internet, in response to a Freedom of Information A^ctsreq^
- D. otherwise. By completing and signing this EDS, the Disclosing Party waives and releases.any properties.
- D. rights or claims which it may have against the City in connection with the public r,elcase,of.information
- D. contained in this EDS and also authorizes the City to verify the accuracy of any oinfbMg'tib'n?SjipiHitted
- D. in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract, being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to.Matters subject to ArticleT; of Chapter 1-23 of the Muhi'cip; ah\$:pde (imposing PERMANENT INELIGIBILITY for certain specified offenses), the informatioiv'prov'ided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2 -154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license foes, parking tickets, property taxes 01 sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their-subcontractors to use/any facility listed by the U.S. E.P.'A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Early will ,obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and. substance .to.those in F.l. and F.2. above and wilt not, without

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the prior written consent-of the City/us'c any such contractor/subcontractor that docs'not provide such certifications or that the Disclosing Parly has reason to believe has not provided or cannot provide truthful certifications.

• NOTE:"If the Disclosing Parly cantiot certify as to any of the items in F. 1., F.2. 6r-F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

^U^adejrjpe.nalt^.pf(perjury, the person-signing below: (1) warrants-that he/she is authorized to execute . ;t1iis,£iDS, and authorized . ;t1iis,£iDS, authorized . ;t1iis,£iDS, authorized . ;t1iis,£iDS

(Pfint; or type name of Disclosing Party)

- -■■ ^&gri?iiere) ' JarhesjKurtzvvel ,,
- ■'Manager and sole member (Print of type title of person signing)

Sigh'&d arid sworn to before mc.on (dato) CH 1 t*)^ ([c. ■ICounty, ^-\\ ,'.^-^Jstatc).

r\ Notary Public

Commission expires: QCj t'l ^L(j) *1

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vm. OFFICIAI'.SEAI ' Notaiy Public. StolVoflltIrtoU -My Corhmlt'il6h;Explt«t Ap'fll 14.-2017. мм. им п вы вышения ин пп вымун

CITY 01" CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (n) the Applicant, and (b) any legal entity which lias a direct ownership Interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Patty or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or

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any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1.a., if the Disclosing Party is a Corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Ycs J/] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOPFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is (o be completed only by (n) (lie Applicant, and (b) any legal entity which lias 11 direct ownership interest in (lie Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an Indirect ownership Interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[3 Yes txTNo

2. -If the Applicant is legal entity publicly traded on any exchange, is any officer of the Applicant identified as a building "code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Ycs []No "b/] Not Applicable

3. If yes to (I) or (2) above, please identify below the name of the person or legal entity identified as q-building code scofflaw or problem landlord and the address of the building or buildings to which the

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pertinent.code violations apply.

JELLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, ANTJ THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTTFIGATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 3911-3985 N MHwaukee/4671-4777' W Irving Park Road [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the dale furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

JMKInterests, LLC Date: c3 f I^IT-OIIp

(Print or type legal name of Disclosing Party)

Print or type name of signatory:

James Kurtzwoil

Title of signatory: Manager and Sola Member

Signed and sworn to before me on [date] $- \ ft < \ Lt$, by County, ~|~L-

'ZVCix*. p J^ir4-t.^//. at__JQJ!j£uc.

 $Q_iAx^iJl C^M* s/i ^<3> Notary Public.$

Commission expires:

• / ^/ « 1 *"7

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STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal	name of Ihc	Disclosing 1	Party	submitting	this EDS.	Include	d/b/a/	if applical	ble:

C. Thomas Collins, Jr. lirevocable Children's Trust dated 04/10/96 on bohslf o! Klmboily Anno

Check ONE of the following three boxes.

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

- 2. W a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: CSD Six Corners LLC

OR

- 3. [] a legal entity with a right of control (sec Section II.D.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 960 North Michigan, Suite 1280 Chicago, IL 60611

C. Telephone: (312) 377-9111 Fax: Email: JcolHns@clarkstreet.com

<mailto:JcolHns@clarkstreet.com>

- D. Name of contact person: John Collins
- E. Federal Employer Identificationi No. (if you have one):
- F. Brief description of contract,transaction or other undertaking (referred to below as the "Matier") to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment for property located at 3911-3985 North Milwaukee Avenue; 4G71-4777 West Irving Park Road

G. Which City agency or department is requesting this EDS? DPP

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification U

and Contract it

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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

Limited liability company Limited liability partnership Joint venture

Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?

1] Yes , ... []Nfo [~~| Other (plea sc specify)

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: N/A
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[]Ycs [JNo ,J/]N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ErTfITY:

I. List below the full names and titles of all executive officers and all directors of the entity, NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates <or other similar entities, list below the legal titlehe-lder(s).

If the entity is a general partnership, limited parhiership. Jimited liability .company, limited liability partnership or joint venture, list below the name and title of each generarpartner, managing member, manager.or; ahy, odier person or entity that controls the dayrtor.day.manager.net of the Disclosing Party. NOTE: Each legal entity, listed below must submit an JsDS on its d\vn; behalf.

Name Title Perry Wolnsteln Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, stale "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended lo achieve full disclosure. ¹

Name Business Address

Percentage Interest in the Disclosing Party Kimberly

Anne Collins 980 N Michigan Avenue, #1280, Chicago IL C0611

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SECTION 111-- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

□ Yes E/jNo

If yes,;please identify below the name(s)of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must cither ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

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\fi Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

:.

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations 'throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party •been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes L/^No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," lias tie person entered into a court-approved agreement for p'aymcnlof^'aii'su'^pbM'bwcd and is the person in compliance with that agreement?

[]Yes" . []No , -•->∎'∎"

B. FURTHER CERTIFICATIONS

V '''

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g.-,"doihjg'busincss") and legal rcqmrcmehts)y tfihcJbisj^|!stng I*arty submitting this ED.S is'theApplicanVand is doing business wim me'City, itien"iHc' pfscYo'siri'g Party certifies as follows: (i) neither the Applicant nor any controlling pcrsbn'is currently inclicted or charged with, or has admitted guilt of, or'has ever been convicted of, 6 rp laced under supervisiofiTor, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; ahd (ii) the Applicant understands and acknowledges that compliance with Article I is a fedhtinmngVequirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the-Disclosing Parly is a legal cniiiy, all of those persons or entities identified in Section U.R.I, of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not. within a five-year period preceding the dale of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, slate or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local)

with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, stale or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3,4 and 5 concern:
- ' the Disclosing Party;
- any "Gontractor v(meaning any contractor or subcontractor used by the Disclosing Party in connection with, the Matter, including but not limited to all perspns.or.legal entities disclosed under Section JY^-'iDisclosure of Subcontractors and O.ther.Rbtained .Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: .controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking Tnanagement or ownership; identity of interests among
- ■family members, shared facilities and equipment; common use of employees; or organization of aibusiness entity.foilowing.the ineligibility of a business entity to do business with federal or state or local government, including the City, using-substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Part)-, no; any Contractor, nor any Affiliated Entity of cither the Disclosing Pany or any Contractor nor any Agents have, during the five years before the date this F.DS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the Stale of Illinois, or any agency of the federal government or of any state or local government in the United Slates of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraiul of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such'conduct; or

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither'the Disclosing Party, ^{!f}A {filiated Entity or Contractor, or any of their criplbyees, officials, agents or partners, is barred from contracting with any unit of state or local, government's a-result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 TLCS 5/33E-4; or (3) any similar offense of any state or of the United States of. America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nbr'any Affiliated Entity is listed on any of the following lists maintained by the Office o"f>For6ign?A'sscfs' Control of-the U.S. Department the nYe'asury or the Bureau of Industry and Security>p^

Designated Nationals List, thc>D'ciiibd Pcrsbns iList, the Unverified List; thc^htityList arid the Debarred List: " - -K:'- - ----

- 6. 'The Disclosing-Party understands and shall comply with the applicable requirerrients of Chapters 2-55 (Legislative inspector General)',- ²-5'6 (Inspector General) and 2-156 (Governmeri'taPEthics)-of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

 N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- ft. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official,
- of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this P.DS, to an employee, or elected or appointed official, of the City of Chicago, for purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A".or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A ~

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is (/] is not
- a "financial justitution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party'is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the loiters "NA." the word "None," or no response appears on vhc lines above, it will be-conclusively presumed that the Disclosing Parly certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that arc defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Ycs L/]No

NOTE: If you.cb.eckcd "Yes" to Item D.l., proceed to Items D.2. and D.3. Tf you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected officialor employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power docs not constitute a financial interest within flhe meaning of this Part D.

Does the Matter involve a City Property Sale?

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[] Yes ■ f/j No

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name . Business Address Nature of Interest

4. .The./Disclosing Party further certifies that no.prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with those disclosure requirements may make any contract entered into with the City in connection with the Mailer voidable by the City.

- ^ I. The Disclosing Party verifies that the Disclosing Parly has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders thai provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies thai, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of

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1995 who, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter:' (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" of if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO p'cfsdns'bf entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Pany will submit an updated certification at the end of each calendar quarter in
- " which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1 986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance.to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

13. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Ycs []No If "Yes," answor^thc three questions below:

1. Have you developed and do you have on file affirmative 'action programs; pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.)

[] Yes [] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract

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Compliance. Programs, or'the Equal Employment Opportunity Commission all reports due under, the ap'piicable'filing requirements?

[;] Ycs; ":" ' [] No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[] Yes []No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, CO M I'LI AN CE, PEN A L IIES, I) ISC LOS IIR E

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain ditties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances atid a training program is available on line at www.cityofchicaRo.org/Ethics http://www.cityofchicaRo.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 M.

Sedgwick St., Suite 500, Chicago, IL 606.10, (3 12) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue aiiyremedics under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing-Party to-participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration'and ah aWafd to the City of treble damages.
- D. It is the City's policy to make this document available to die public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this, EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of >any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must

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supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E:P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractprs/subepjU hired or to be hired in connection with the .'Matter..certifications equal in form and jsubstance to those in F.1. and F.2. above and will not, wilhou Hbc pnor, writlen consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provid'e 'trat U'ral'tiertifications.

NOTE: If the Disclosing Party cannot certify as'to any of the items in F.L, F.2. Or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

. Under penalty of:pcrjiiry,-the person signing below: (1) warrants that he/she is authorized to execute certifications and;statements contamed.in.rthis EDS and Appendix A (inapplicable) are true, accurate and complete.fls.^;the,date,fornishe<I.to-the City. .. «

$$\begin{split} &\text{E. TtaTui CoU-.I,Jr. trMUtt' Cli^MVi TrxItctt [Wivn en twMMiaT.Sii<r A.-.-U} \\ &(Print^f^tojJ'.nanje^of \ p|sclosing \ Party) \end{split}$$

•(SI^ilbfr Eerry.Welristaln.

(Pfiril'olr^e harric'of persoh'sighirig): Trustee (Print or type title .of person signing)

Signed and,JT\vprh to before me,oh (date) ^ at ^-<S4fc*L County, 3 S^- (state).

^IWHn^-Commission" express:

fZn f'l //Hi_{////} Notary Public

J NOTAIWPUBLIC;;;StATE6FT, U i; My Ckimmicsidh, Expires07/09, Wwmwrfmw/wmTM/

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the dale diis EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-ih-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent Ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar audiority.

Docs the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or departmenthead?

[]Ycs L/]No

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX II

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is lo be completed only by (a) Hie Applicant, and (b) any legal entity which has » direct ownership Interest in the Applicant exceeding 7.5 percent, (nn "Owner"). It Is not lo be completed by nny legal entity which has only an indirect ownership Interest in the Applicant.

1.	rursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner, identified as a building code scofflaw of	r
	roblem landlord pursuant to Section 2-92-416 of the Municipal Code?	

[JYcs t>fNo

2.	If the	Applicant	t is a l	egal	ent ity	publicly	traded	on	any	exchange,	is any	officer	or	director	of the	Applicant	identified	d as.a
	buildi	ng bode s	cofflav	vor p	roblem	landlord	pursua	nt to	Se	ction 2-92-	416 of	the Mur	nici	pal Code	?			

[JYcs

[]No

^J] Not Applicable

3. If yes to-(1) or (2) above, please identify below the name of-the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply,

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDTX B IS INCORPORATED BY REFERENCE UNTO, AND MADE A PART'OF, THE ASSOCIATED EDS, AND THAT THE REPIUSSENTATIOI'S MADE IN THIS APPENDIX B ARE • SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you lo recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

DECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Tin's recertification is being submitted in connection with 3911 -3985 N MllwoukooMB7-1 -4777 W Irving Perk Road [identify the Matter]. Under penalty of perjury, the person signing below: (I) warrants-thai he/she is audiorized to execute du's EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of this recertification, and (3) reaffirms its acknowledgments.

E. Thomas Collins, Jr. Irrevocable Children's Trust dated 04/10/06 on behalf of Klmborly Anne (Print or type legal name of Disclosing Party)

(sign hire) Print or type name of signatory:

Pony Welnstoln

Tide of signatory;

Tmsloo

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Ver. 11-01-05

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5'percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is sighed, the Disclosing Party or any "Applicable Party or anyiSpouse or Domestic Partner thereof is related to the mayor, any alderman, the city cleric, the city treasurer or any city department head as spouse or domestic partner or as aiiy of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, .grandparent, grandchild, father-in-law, mother-in-law, sofiMh-iaw, daiighler-=in-iaw, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners-and; Iinuted partners ofme. Disclosing Party, himited particiship; all managers, managingmenthers and members of the Disclosing Party, he Disclosing Party is a Ufhlted liability company; (2) all principal officers of the Disclosing Party. and 'Ch' any person having more than a 7.5/percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief op 'ting officer, executive director, chief financial officer, 'fi'br^^rcretaiy of a legal entity pr-ariy person exetclsing. similar authority.

TJfocs the DisciosingParly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "farmlial relationship." with, an elected city official 6>.4^ar^iat*hea^?

[] 'Yes F/]*No

If ycSi please identify below (il)'the name and title of such person/.'\ cfitty to which such person is connected; (3) the name and title of the elected city official of d^aftmerif head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM-LANDLORD CERTIFICATION

Tills Appendix is to be completed only by (n) the Applicant, and (b) any legal, cnflfy which has a direct p.n;jjprsjyin/Iptcrcs.t in the App)iic\u20e4nt;Cxc^\u20e4ttig\u20e47.\u22e4 pc.cccnt^na-."0.tyh'fctf\u20e4).-It lfnbEto.be http://lfnbEto.be cdmplctcd^by, nny legal entity which lias'.o.nly au/Indirect ownership interest-in the -Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any 0\\mcr identified as,a building'code scof\\$awbY.pfdb'Icm landlof&ffrrsuaril to Section 2-92741(5 ofthe'Munclphi Code?

[]Ycs r>TNo

- 2. If the Applicant js a legal entity publicly traded on any exchange, .ts.any officer.qr director of
- 2. iHcApplic[^] code scofflaw or problem .landlord pursuartt tq Section
- 2. 2-924f 6 pTtlic Maiiidpil C^>dc?

[]Yes []Np W] Not Applicable

3. IFiyes tp:(1\(^\) pej\(^\)pri or legal-entity

- 3. idqrtt.fe orproGiyrMlandldril .iari'd the'address of-the building or
- 3. buildings to which JhCiP^

JTLIJuN'G OUT THIS APPENDIX B GONSMf UTOS ACKNOWLEDGMENT! AND AGREEMENT THA^f jTHIS APPINDD^\$ IS Ir^e^IpORATteD B Y

AND THAT THE J^PRESENT^ THTS^E^^ Sj^jE^ PERJTj&Y ON PAGE li'OF TfJE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being subtracted in connection with 3911-3985'N MltvvwkoW487i1-4^777 W Irving Park Road [ideatiSy the Matter]. Undchpeh^

lie/she is authorized (d-exjfcut&'titis ED/S recertification on behalf of the Disclosing Party, (2) wamnils that

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aifcertificutidns^aiid statements contairied.m the	e Disclosing	g Party's origin	al EDS are tru	ie,-accurate an	d complete as
of the, date furnished to the City aiid continue	to. be. true,	accuratelah'd	'^^plete%.^u>	e.iliatcoftliis°	rccertification
and (3) reaffirms its acknowledgments.			•		

E. Thomas Collins, Jr. Irrevocable Children's Trust

dated 04/10/98 on behalf'.of kimberly Anne

(Print or type legal name of Disclosing Party)

(sign Irfro)

Print or type name of signatory:

Ponry Welnsteln ...

Tide of signatory:

-Trustee .__..

SjftntSl'd and sworn to before me bri'[elate] .'^.-i? £•« | [j \rightarrow by

 $C-dQX^{\land G6unt} > I''!-. Estate$].

Q^^A. ^i^Q. ; Notary Public.

Commission expires: • / *■/ .« /*"}• .

Vcr. n-oi-os

WefAj[? ANEL MEDINA

CI TY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A . Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Collins Family

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: 1. [] the

Applicant OR

Limited Partnership

2- f/1 a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Parly holds an interest: CSD Six Corners LLC

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OR 3. [] a legal entity with a right of control (sec Section II. which the Disclosing Party holds a right of control:	B.l.) State the legal name of the entity in
Ç ,	Michigan, Suite-4280 o, IL 60611
C. <u>Telephone: (312)377-9111</u> <u>Fax:</u>	Email: JcolHns@clarkstreet.com
<mailto:jcolhns@clarkstreet.com></mailto:jcolhns@clarkstreet.com>	
D. Name of contact person: John Collins	^_
E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other under pertains. (Include project number and location of property, Zoing Amendment for properly located at 3911-3985 Nod	
G. Which City agency or department is requesting this ED	S? DPD
If the Matter is a contract being handled by the City following:	's Department of Procurement Services, please complete the
Specification U and C	Contract #
Page 1 of 13	
SECTION" II - DISCLOSURE OK O WMiUSHIP INTERESTS	
A. NATURE OF THE DISCLOSING PARTY Limited liability company Limited liability partnership Joint v	venture
Not- fo r- pro fit corpo ratio n	
(Is the not-for-profit corporation also a 501(c)(3))?	
[]Ycs . []No	
1. Indicate the nature of the Disclosing Party: Person ∼ Other (please specify)	
Publicly registered business corporation Privately held business of partnership Trust	corporation Sole proprietorship General partnership Limited
2. For legal entities, the state (or foreign country) of incorpo	ration or organization, if applicable: Illinois

3. For legal entities not organized in the State of Illinois: Has;the organization registered, to do business in the State of Illinois as a foreign entity?

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[]Yes	[]No f/]N/A	

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

I. List below the full names and titles of all executive officers and all directors of thecntity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates of 6'tn.er similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day-management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS ou its own behalf.

Name

E. Thomas Collins, Jr. **Title**General Partner

Sylvia Doyne Collins

General Partner

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership iniercst in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in n limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any npplicam which is reasonably intended to achieve full disclosure.

Name Business Address Percentage interest in the Disclosing Party

Please see Exhibit A

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes {/[No

If yes, please identify below the name(s) of such City elected official(s) and describe such

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relationship(s):

SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 1.3

Name (indicate whether retained or anticipated Addicss to be retained)

Business Addicss

Relationship to Disclosing Parly (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NO'FLi:
"hourly rate" or "l.b.d." is
" not an acceptable response.

(Add sheets if necessary)

\f\ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities SECTION V --

CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Partybeen declared in arrearage on any

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child support obli	gations by any	/ Illinois court of competent jurisdiction?
[] Yes	Df No	[] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the properties of the state of		l into a court-approved/agreement for payment of all support owed and is the person in t?

B. FURTHER CERTIFICATIONS

[JYes []No

1. Pursuant to Municipal Code Chapter 1 -23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g.; "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

Page A of 13

- 2. The Disclosing Parly and. if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 1I.B.1. of this EDS.
 - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or
 had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public
 (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes;
 fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving
 stolen property;
 - c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or stale or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the DiseluMiig 1'auy, no: any Coniracloi, nor any Affiliated Hiilily of either the Disclosing Party 01 any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of:such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents orpartners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. .Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following list6 maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

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- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Parly must explain below: N/A

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If the leuers "NA," the word "None," or no response appears on the lines above, il will be conclusively presumed that the Disclosing Paity certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").' N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Parly (check one)
- 1. [] is (/] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code.. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA." the word "None," or no response appeais on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the M unicipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-1 56-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes ■ f/|No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for tax.es http://tax.es or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Docs the Matter involve a City Property Sale?

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an

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attachment to this EDS all information required by paragraph 2. Failure to

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comply wiili these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- ^ 1. The Disclosing Parly verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits front slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Parly verifies that, as a result of conducting the search in step I above, the Disclosing Parly has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that Ihc following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing. Party will submit an updated certification at the end of each, calendar quarter m which there occurs any

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event that materially affects the accuracy of the statements and infoi minion set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that cither: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
.5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through. A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[]Yes L]No If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.) [] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [] No
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [] No
If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Parly understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become purl of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

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D. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cilvofchicago.orp/Ethics http://www.cilvofchicago.orp/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- I-M. The Disclosing Fatty is not delinquent in the payment of any tax administered by ilic Illinois Ocpaitmciu of Revenue, nor are the Disclosing Parly or its Affiliated Untitles delinquent in paying any fine, fee, lax or other charge owed to the City. This includes, hut is not limited to, nil water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities v/ill not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Panics List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Parly will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and v/ill not, without the prior written consent of die City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide tnilhful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are tnic, accurate and complete as of the date furnished to the City.

Collins Family Limited Partnership (Print or type natat^of Disclosing

Party)

File #: SO2015-50, Version: 1		
(Print or type name of person signing)		
Genaral Partner		
(Print or type title of person signing)		

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity nhich has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to he completed by any legal entity which lias only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section IT.B.1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [/] No

If yes, please identify below (I) the name and title of such person, (2) the name of (he legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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EXHIBIT A

COLLINS FAMILY LIMITED PARTNERSHIP

E. Thomas Collins, Jr. %		
Sylvia Doync Collins	/ ~J%	
John Collins	<i>j</i> %	
Perry Weinstein, as Trustee of the 04/10/96, for the benefit of.	e E. Thomas Collins, Jr. Irrevocable Children's Tru	ust Oated
i*	; Limited Partner	= :%
Perry Weinstein, as Trustee of the 04/10/96, for the benefit of.'	e E. Thomas Collins, Jr. Irrevocable Children's Тru	ust Dated
	, Limited Partner	! / ,%
Perry Weinstein, as Trustee of the 04/10/96, for the benefit of	e E. Thomas Collins, Jr. Irrevocable Children's Tru	st . Dated
	; Limited Partner	, Ya
CITY OF CH	HICAGO ECONOMIC DISCLOSURE STATEMENT A	AND AFFIDAVIT APPENDIX 13
BUILDING CODE SCOFFLA	AYY/PROBLEM LANDLORD CERTIFICATION	
This Appendix is to be comple	eted only by (n) the Applicant, and (1)) any legnl entity	which has a direct ownership interes

This Appendix is to be completed only by (n) the Applicant, and (1)) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an Indirect ownership Interest in the Applicant.

I. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw o'r'problem landlord pursuant to Section 2-92-416 of the Municipal Code?

tXTNo

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Ycs

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3. If yes to (I) or (2) above, please identify below (he name of the person or legal entity Identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply,

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDED B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you lo recertify your EDS prior lo submission to City Council or on the dale of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

.This recertification is being suhmitted in connection with 3911-3985 M Milwaukoo/487 H777 W Irving Park Road [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Print or type name of signatory:	
" John E Collins	
"by	<u>Title of signatory: General Partner</u>
Signed and sworn to before mc on [date] O. ^TbVm C/aljUyy^ , at. Cexsp	County, X7~

Notary Public.

Commission expires:

OFFICIAL SEAL AN EL MEDINA NOTARY PUBLIC, STATE Of ILLINOIS MyConimls\$lonnxn!rosAprilu 2017

CITY OF CHICAGO I0CO NO M1C DISC L OS UK E S T A T K ME NT AND AFFIDAVIT

SECTION 1 - OENEttAI. 1NFOUMAT10N

File #: SO2015-50, Version: 1
A. Legal name of the Disclosing Parly submitting this EDS. Include d/b/a/ if applicable: JEC Investments, LLC
Check ONE of the following (luce boxes:
Indicate whether the Disclosing Parly submitting this EDS is: 1. [] the Applicant OR
 a legal entity holding a direct or indirect interest in the Applicant. Stale the legal name of the Applicant in which the Disclosing Parly holds an interest: CSD Six Corners LLC OR
3. [] a legal entity with a right of control (see Section 11.13.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address or the Disclosing Party: 980 North Michigan, Suite 1280 Chicago, IL 60611
C. Telephone: (?!?). ³ _TZl ⁹ _¹.ll
D. Name of contact person: John Collins
E. Federal Employer Identification No. (if you have one): • . •' .
F. Brief description of contract, transactions other undertaking"(referred to below as the "Matter") to which this EDS pertains. (Include project number and location" of property, if applicable): Zoning Amendment for property located at 3911-3985 North Milwaukee Avenue; 4671-4777 West Irving Park Road
G. Which City agency or department is requesting this EDS?
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification ft _ and Contract It
Page 1 of 13

SECTION I) DISCLOSURE OK OWNERSHIP INTERESTS

A NATURE 01" THE DISCLOSING PARTY

Limited liability company Limited liability partnership Joint venture

Not-for-profit corporation

File #: SO2015-50,	Version: 1		
(Is the not-for-profit of I] Yes	corporation also a 501(c)(3 ■ []No)))?	
	of the Disclosing Party Pe usiness corporation Private	erson ely held business corporation Sole proprietorship General pa	artnership Limited
2. For legal entitie	s, the state (or foreign cou	ntry) of incorporation or organization, if applicable Illinois	
3. For legal entition of Illinois as a foreign		ate of Illinois: Has the organization registered to do business	s in the State
[]Yes	I]No .	f/1 N/A	
13. IF THE DISCLO	SING PARTY IS A LEGA	AL ENTITY:	
corporations, also list For trusts, estates oi" If the entity is a go venture, list below th	t below all members, if any other similar entities, list< eneral partnership, limited e name and title of each go day-to-day management,	Il executive officers and all directors of the entity. NOTE: ly, which are legal entities. If there are no such members, wrobclow the legal titleholder(s). partnership, limited liability.company, limited, liability part eneral partner, managing member, manager or any other perof, the Disclosing Party. NOTE: Each legal entity listed below.	ite "no members." tnership or joint rson or
Name Title John E. Collins		Manager and sole member	
(including ownership	•	n concerning each person or entity having a direct or indirect Disclosing Party. Examples of such an interest include sharnture, Page 2 of 13	
similar entity. If nor	ne, state "None." NOTE , the City may require a	d liability company, or interest of a beneficiary of n transcription: Pursuant lo Section 2-154-030 of the Municipal Codiny such additional information from any applicant wh	le of Chicago

Name **Business Address** Percentage Interest in the Disclosing Parly 100% "

John E. Collins 980 N. Michigan, #1280, Chicago, IL 60611

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SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-1.56 of the Municipal Code, with any City elected official in the 12 months before the dale this EDS is signed?

[]Ycs (/(No

If yes, please identify below the namc(s) of such City elected official(s) and describe such relationship

SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who arc paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative .or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationslip to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTH: "hourly rate"" or "t.b.d." is

not an acceptable response.

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(Add sheets if necessary)
$y\$ Chech here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities SECTION V
CERTIFICATIONS
-

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court^approved agreement for payment of all support owed and ' is the person in compliance with that agreement?

[]~:Yes[]No

B. FURTHER CERTIFICATIONS - ■'

1. Pursuant to Municipal Code Chapter .1-23, Article 1 ("Article.I")(which the Applicant should consult for defined terms (e.g., "doing .Business") arid' legal requirements), if the Disclosing Party submitting this:EDS is the Applicant-anci is doing'busifiess with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of ihc City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE:.If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of ihose persons or entities identified in Section 11.0.1. of this EDS:
 - n. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, stale or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records;

making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental.entity (federal, stale or local) with committing any of the offenses set forth in clause 13.2.b. of this Section V:
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, stale or local) terminated for cause or default; and , •
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- ⁴ any "Contract6f""(iheahihg any 'contractor Or subcontractor used by thc<DisclosingsParty<in connection with the Matter,' including but not limited to all persons or legal entities disclosed.under Section IV, "Disclosure of Subcontractors and-OtherRetained Parties"); >?« > •! '
- any "Affiliated'Eritity" (meanings person or entity that, directly or indirectly: controls the -; Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking. Thanagement or or organization of a business among family membefsi's hared facilities and equipmerit person on use of employees; or organization of a business entity. following; the Tineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor; is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity, or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Conn actor, nor any Affiliated En lily of cither the Disclosmg Parly or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Coniractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five- years before the date of such Contractor's or Affiliated Entity's contract 01 engagement in connection with the Matter:

- a. bribed or attempted 10 bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that, officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective.bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or . •

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither-the Disclosing Party, Affiliated Entity ^or'Gontractor,<or. any of their,employees, officials, agents or partners, is barred from contracting with any unitjfofistate:or.local government as a result of engaging in or-bcing convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offcr>se.bf,any*state-or..of!ith6;Unitc.d--States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither-thc'Disclosing Party nor any AffiliatedfEottty is listed on any.p'f ihctfollpwing lists maihtairied-pyrthb Office of Foreign Assets C^ntroLofcthe^ the Bureau of.Industry and Security of the UyS. "Depart Specially Designated Nationals List, .the Denied Persons List;ffie.Unyerifi^

Debarred-IsistC.: .;>n ^!:/;;,^-..'i.f; _i.«;>.-.->j.-s.-j:, :••

- 6. »The Disclosing Party understands<and shali-compJyiNvith the;appficable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and (2-156 .(Governmental Ethics) of the Municipal Code. •••• <>> ■?,
- 7. If the Disclosing Party is unable to certify to any of the above statements, in this Part B (Further Certifications), the Disclosing Party must explain below:

 N/A

 ;;...

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11" the letters "NA." ihe word "None," or no response appears on the lines above, it will be conclusively p:e.-,umcd that the Disclosing Parly certified to the above statements.

- S. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all cut rent employees of the Disclosing Parly who were, at any time during the 12-moulh period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, all any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

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C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is f/1 is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then die Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make thispledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, ii will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in che; Matter?

[]Yes (/I No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3.. if you checked "No" to

Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for;property taken,p,ursuant;tq the Cityjs eminent domain power does not constitute a financial interest within the meaning of this Part D.

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Docs the Matter involve a City Property Sale?

[•] Yes (/J No

3. If you checked "Yes" to hem D.l., provide the names and business addresses of the City officials or employees having.s.uch,interest and identify the nature of. 1such interest:.

Name Business Address Nature of Interest ..

4. The "Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply wnii ihese disclosure requirements may make any conuact entered into with the City in connection with the Matter voidable by the City.

- "/ I. The Disclosine. Party verifies that the. Disclosing Party has searched any and all recoids of Ihe Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and Ihe Disclosing Parly has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VIE For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federaPLobbying Disclosure Act

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of .1995 who have made losheets if necessary):	obbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add
will be conclusively presur	or begins on the lines above, or if the letters "N&." or if the word "None" appear, it med that the Disclosing Party means that NO persons or entities registered under the of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the
or entity listed in Paragrap or attempt to influence an Congress, an officer or em award of any federally fun agreement, or to extend, co	y has not spent and will not expend any federally appropriated funds to pay any person h A.l. above for his or her lobbying activities or to pay any person or entity lo influence officer or employee of any agency, as defined by applicable federal law, a member of ployee of Congress, or an employee of a member of Congress, in connection with the ded contract, making any federally funded grant or loan, entering into any cooperative ontinue, renew, amend, or modify any federally funded contract, grant, loan, or
cooperative agreement.	Page 9 of 13
	will subniil. an tipdaicd certification a I the cud of each calendar quarter in which there occui s any event uracy of the statements and information set forth in paragraphs A.l. and A.2. above.
	certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue rganization described in section 501(c)(4) of the Internal Re venue Code of 1986 but has not engaged ving Activities".
paragraphs A. P. through A ,4	v is the Applicant, the Disclosing" Party must obtain certifications equal in form and substance to V above'from all subcontractors before it awards any subcontract and the Disclosing~Party must ors' certifications for the duration of the M atter and must make such certifications promptly available to
B. CERTIFICATION REGA	RDING EQUAL EMPLOYMENT OPPORTUNITY
	led, federal regulations <re.quirc.:the.applicant and,all,proposed="" at="" bids="" eir="" in="" negotiations;<="" of="" or="" outset="" subcontractors="" submit="" td="" the="" to="" writing=""></re.quirc.:the.applicant>
Is the Disclosing Party the Ap	oplicant? , .
[]Ycs []No If "Yes," answ	er the three questions below;
1. Have you developed a 41 CFR Part 60-2.)	nd do you have on file affifmaUve.action programsipursuanL^to.applicable federal regulations? (Sec
[] Yes	[] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programis, or the 'Equal Employment Opportunity Commission all reports due under the applicable filing requirements?" . i

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[] Yes	[] No ' ": ' . '				
3. Have you participated t] Yes	d in any previous contracts or	subcontracts subject	et to the equal opportunity	clause?	
If you checked "No" lo ques	tion 1. or 2. above, please pro	vide an explanation	1:		
	Page I	Oof 13			
SECTION VII - A EN A LTIES, DISCLOSU	CKNOWLEDGMENTS, RE	CONTRACT	INCORPORATION,	COM	PLIANCE, I
The Disclosing Party unde	rstands and agrees that:				
A. The certifications, disc agreement between the Ap other City action, and arc r to the. Matter. The Disclos which this EDS is based.	plicant and the City in com naterial inducements to the	nection with the N City's execution	Matter, whether procurent of any contract or taking	nent, City gother act	assistance, or ion with respect
B. The City's Governmen Code, impose certain dutie The full text of these of http://www.cityofchicaijo	s and obligations on person ordinances and a training	ns or entities seek program is av	king City contracts, worl ailable on line at ww	x, busines w.cityofc	s, or transactions hicaijo.org/Ethics
Sedgwick St., Suite 500, Cordinances.	Chicago, IL 60610, (312) 74	14-9660. The 'Disc	closing Party must comp	ly fully w	ith the applicable
C. If the City determines t	hat any information provid	ed in this EDS is	false, incomplete or inac	ccurate,	
C. any contract or other ag	greement in connection with	h which it is subn	niited may be rescinded	or be'void	or
C. voidable, arid the City	may pursue any remedies u	under the contract	or agreement (if not.'-re	scinded or	r
C. void), at law, or in equi	ty, including terminating th	ne Disclosing.Par	ty's participation in the M	Matter and	/or
C. declining to allow the I	Disclosing Party to participation	ate in other transa	actions with the City. Re	medies at	
C. law for a false statemen	nt of material fact may inclu	ude incarceration	and an award to the City	of treble	
C. damages.			, ··· : ···		
D. It is the City's policy to	make this document availa	able to the public	on itsTntemct-site arid/o	or'up on	
D request Some or all of	the information provided o	n this EDS and a	ny attachments to this Fi	DS may h	a

- D. made available to the public on the Internet, in response to a Freedom of Information Act request, pr
- D. otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any-possible
- D. rights or claims which it may have against the City in connection with the public fclcase, ofm fonnation
- D. contained in this EDS and also authorizes the City to verify the accuracy of any information submitted
- D. in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article:Lof Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept-current for a longer period, as required by Chapter 1 -23.:and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. 'Flic Disclosing Parly is not delinquent in the payment of nny tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated F.ntitics delinquent in paying any line, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, properly taxes or sales taxes.
- F.2. If the Disclosing Parly is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E:P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is Ihc Applicant, the Disclosing Party'will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such-contractor/subcontractor that does not provide such certifications or that the Disclosing Parly has reason lo believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.,

CERTIFICATION

JEC'Investments, LLC

Jojjiq^-ggjyrjs'.-^ ■;

■(Pfinror'fyp'c¹'-name"of person signing)

Manager and sole member (Print or type title of person signing)

AN EI MEDINA OFFICIAt SE-AI

Noioiy Public. Sloio ol Illinois My Commission Expires April .14. 2017

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership Interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city cterk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, cliicf financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?"

I] Yes f/] No

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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John E. Collins

Title of signatory:

CITY 01" CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAVY/PROBLEM LANDLORD CERTIFICATION

Tins Appendix is to be completed only by (n) the Applicant, and (b) any legal entity which has a direct ownership Interest in fl nip in

ic Appli	icant exceeding 7.5 perceiiC(nn "Cn the Applicant.				
	rsuant to Municipal Code Section oblem landlord pursuant to Section			tified as a building code scofflaw	or
[]	Ycs t>tfNo				
	he Applicant is a legnl entity pubilding code scofflaw or problem la				tified as a
[]	Ycs []No	-fV] Not Applicable		
	yes to (1) or (2) above; please iden oblem landlord-ahd the address of				offlaw or
AP TH	LLING OUT THIS APPENDDX I PENDIX B IS INCORPORATED IAT THE REPRESENTATIONS N	BY REFERENCE MADE IN THIS AP	INTO, AND MADE A PAI PEI^DIX B ARE SUBJEC	RT-OF, THE ASSOCIATED EDS T TO THE CERTD7ICATION	S, AND
	ADEUNDER PENALTY OF PER. NOT SUBMIT THIS PAGE WITH				
prior	to submission lo City Council or o complete a new EDS will) correct	on the date of closing	g. If unable, to recertify tnjt		
	RI	ECERTIFICATION			
Gener	rally, for use with City Council ma	atters. Not for City p	procurements unless request	ed.	
[ident he/she warra arc tru accura	recertification is being submitted in tify the Matter]. Under penalty of penis authorized to execute this EDS ants that all certifications and stater ue, accurate and complete as of die ate and complete as of the date of a powledgments.	perjury, the person s recertification on be ments contained in t e date furnished to the	signing below: (1) warrants behalf of the Disclosing Parthe Disclosing Party's origing the City and continue to be to	that ry, (2) al EDS	
	<u>estments, LLC</u> type legal name of Disclosing Parl	ly)			

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Manager-end Sole Member	
Signed and-sworn-to before mc on [dat	te] 2 . I g » } U by
-^h*\ f´lp-Uyyy,Ca ^j£jDajZ.	County, .Yr L
Commission expires:	
OFFICIAL SEAL ANEL MEDINA NOTARY F	PUBLIC, STATE OF ILLINOIS My Commission Expires April 14,2017
	CITY OF CHICAGO ECONOMIC: DISO,OSURE
	STATEMENT AND AFFIDAVIT
SECTION I - GENERAL INFORMATION	
A. Legal name offlic Disclosing Party	submitting this EDS. Include d/b/a/ if applicable: E. Thomas ColWis, Ja
Irrovocatlo Children's Trus; doled CK/10/96 on behalf of j	
Check ONE of (he following three boxes:	
Indicate whether the Disclosing Party submi 1. [] the Applicant OR	itting this EDS is:
	direct interest in the Applicant. State the legal name of the ty holds an interest: CSD Six Cornors LLC
3. [] a legal entity with a right of contro which the Disclosing Parly holds a right of	of control:
B. Business address of the Disclosing Party:	: 900 North Michigan. Suite 1260
	-Chicago, IL 60611 .'
C. Telephone: (312) 377-9111 Fax:	' Email: jcollins@clarkstreet.com

B. Business address of the Disclosing Party:
900 North Michigan. Suite 1260
-Chicago, IL 60611 .'

C. Telephone: (312) 377-9111
Fax:

'Email: jcollins@clarkstreet.com

C. Name of cdntact person: John Collins

E. Federal Employer Identification'No. (if you have one): \^'

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pertains. (Include	project number and location of	other undertaking (referred to below as the "Matter") to which this EDS property, if applicable): 1-3985 North Milwaukee Avenue; 4671-4777 West Irving Park Road
G. Which City age	ency or department is requesting	ng this EDS7 DPD
If the Matter i following:	s a contract being handled by	y the City's Department of Procurement Services, please complete the
Specification #		and Contract # ,
Page 1 of 13		
	CLOSURE OF OWNERSHIP INT	TERESTS
Limited liability Not-for-profit corpo	E DISCLOSING PARTY company Limited, liability partneration corporation also a 501(c)(3))? [] No	ership Joint venture
		d business corporation Sole proprietorship General partnership Limited Other (please specify)
2. For legal entiti	es, the state (or foreign country) o	of incorporation or organization, if applicable: N/A
3. For legal enti Illinois as a foreign	•	Illinois: Has the organization registered to do business in the State of
[]Ycs	[]No	.[/I N/A
B. IF THE DISCLO	SING PARTY IS A LEGAL ENT	ГІТҮ:
		utive officers.and all directors of the entity. NOTE: For not-for-profit ch arc legal entities. If there are no such members, write "no members."

For trusts, cstatcs'br other similar entities, list below the legal titlcholdcr(s).

If the entity is a general partnership limited partnership, limited-liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or.any other person or, entity; that of the DisctosingiParty. rcppjLrpls 1th

NOTE: Each legal entity listed below<must submifan oh<its own behalf

Name-Perry Weinstein Title Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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Name

interest of a nicmbei or manager in limited liability company, or interest of a beneficiary of a trust, estate or other similar entity- If none, state "None." NOTE: Pursuant to Section 2-1 5-1-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Business Address Percentage Interest in the

Disclosing Party iCollins

980 N Michigan Avenue, #1280, Chicago IL 60511

SECTION HI -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Ycs f/No-

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship (s):

SECTION IV - DISCLOSUR1E.pEiSUBCONTRACTORS AND OTHJLRRETAINED 1,PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is .uot required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

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"Lobbyist", means; any person or entity who.undertakes to influence any legislative or adminisirative. action on behalf of any person or entity other.than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself.

"Lobbyist" also means any person; or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must cither ask the City whether disclosure is required or make the disclosure.

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Name, (indicate whether Business Relationship to Disclosing Parly Fees (indicate whether retained or anticipated to be retained)

Relationship to Disclosing Parly Fees (indicate whether paid or estimated.) NOTE:

| be retained or anticipated to be retained or estimated.) NOTE:
| be retained or estimated or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

y\ Check here if the Disclosing Parly has not retained, nor expects to retain, any such persons or entities, SECTION V --

CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10%-or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [/\$ No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

1 1.1.

If "YesV has the person in compliance with that agreement?

payment-of all support owed and

[fYes " [pNo

D. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined

terms (e.g., "doing'business") arrd le'gal fequircThents), if the Disclosing Party submitting this EDS is" the Applicant and is doing business with the City, then the Disclosing Parly certifies asTollows: (i) neither the Applicant nbr'any'controlling p'ersoti is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of; or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance'with Article I is a continuing requirement for doing business with the City. NOTE: If Article 1 applies lo the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- ?.. The Disclosing Party and, if the Disclosing Parly is a legal entity, all of those persons or entities identified in Section Il.B.l. of this HDS:
 - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding Ihc date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing<a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false . statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section -V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged
 - e. guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions
 - e. concerning environmental violations, instituted by the City or by the federal government, any
 - e. state, or any other unit of local government.
 - 3. The certifications in subparts 3,4 and 5.concern:
 - « the Disclosing Party;
 - any "Contractor" (meaning, any contractor or subcontractor used by die Disclosing*Party..in«
 - connection with the Matter, including but hot limited to all persons or legal entities disclosed under
 - Section IV, "Disclosure of Subcontractors and Other-Retained Parties"); j '...
 - any "Affiliated Entity?; (meaning a person pr entity that, directly or indirectly: controls the^.;,... Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under-. common control of another person or entity. Indicia of control include, without limitation: interlocking management or pwnefshipjddentity.of interests among family members, shared facilities and equipment; common .use of employees; ;or organization of a business entity following the ineligibility of a business entity to do business

.. ^[

with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity.means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the .Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Untily of cither the Disclosing Parly or any Contractor nor any Agents have, during the five years before the daic this EDS is signed, or. with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of Ihc federal government or of any slate or local government in the United Stales of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party lo any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a- or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

A. Neitherthe Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit bf state or-local government as a result of engaging in or being convicted of (1) bidrigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

- 5. Neithc'rHhc^Disclosiii Party nor any Affiliated Entity is listed on any of the 'fo llowing l ists
- 5. maintained%;the'Offi^^ Control of the'U.S.-'D.cpartm or'the
- 5. Bureau of Industry and Security of the-'U.S. Department of Commerce or their successors': the Specially
- 5. Designated (Nationals 'List.'ithe^b'ehied-Person's List; the Unverified LisC-the Entity^isf:an'3H'he
- 5. Debarred ^jL'ist: ••• • • v.v.,<;,>
- 6. The Disclosing Partyxinderstands and shall comply with the applicable requirements of Chapters
- 6. 2-55 (Legisla.tivcTnsp'cctor '5enefa'l)r2-56 (Inspector General) arid 12-156 (Governmental £thics) of the

- 6. Municipal Code.
- . .
- 7. If the Disclosing-Parfy is unable to certify to any of the above statements in this Part B (Further Certificatiofis); 'the Disclosing Party must explain below:

 N/A

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If ihc letters "NA," the word "None." onto iespouse appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To (he best of the Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any lime during the 12-nionth period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is (/] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the-Disclo'sirig Party pledges:

"We are not and will not become a predatory lender as defined iivOha'pter 2-32 of die Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lenderwithin the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
Page 7 of 13
Irihc loners "NA," the word "None," or no response appears on illc lines above, it will be conclusively presumed that the Disclosing Party certified to tlie above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that arc defined in Chapter 2-1 56 of the Municipal Code have the same meanings when used in this Part D.
1. In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes \(\setminus No
NOTE: If you checked "Yes" to Item D. 1, proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation, fqr. property taken

pursuant to the City's eminent domain power docs not constitute a financial interest within the meaning of this Part D.

Docs the Matter involve a City Property Sale?

I/lNo • ' []Ycs

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employee^ haying suc^jnjergs.t and identify &e nature of such interest^

•Name . Business .Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply' wivh these disclosure recpiiremenis may make any contract entered into with the City in connection with the Matter voidable by the City.

- 1. The Disclosing Party verifies that Ihc Disclosing Party has searched any and all records of ihc Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Parly verifies that, as a result of conducting the search in step I above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying .contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears of begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or atlempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of

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Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The. Disclosing Parly will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.I. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations, require the, A.pplicant and a|l, proposed . , subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is	the Disclosing Pa	rty the Applicant?	••••
	[]Ycs	[]No	

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirrhatiye action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[]Ycs []No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs^ o'r^fc^Equal%mplo'ymc'nt.Opportunity Commission all reports due under the applicable filbg-rcqu^reitfejitS? '•

[] Yds" ['] NO"

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[] Yes' [] No '

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII - ACKNOWLEDGMENTS, CON TRACT INCORPORATION, COM PLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's GovernmentalEthics and Campaign Financing Ordinances, Chapters 2-1 56 and 2-1 64 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or trans'a'ctiohs. The full text of these ordinances and a training.program is available on line at www.cityofchicrigo".org/Ethics http://www.cityofchicrigo%22.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL.60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies uriderflic contract or agreement (if notVesfcinded or '< void), at law, or in equity, including terminating the Disclosing Party's participation'ih^tlielMatter.ajid/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to-tliis EpS;rnay be made available to the public on the Internet, in response to a Freedom of Information Actirequest, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of inf^'mafipn contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes', the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to. Article I of Chapter J -23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain'specified offenses), the information provided, herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2rl 54-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, noi are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes,

but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S.- E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be http://to.be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City) use any such'contxactor/subcoritractor that does not provide such certifications or that the Disclosing Party lias reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items'in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Unforpcnalty^o^^ below:.(1) warrantstthat he/she is authorized to execute tiiis'-EDS warrants that all periifipajioaV^ and Appendix.^ (if applicable) arc true/accurate and complete as.of ihe .date fofnisiicd to the City.

LIMnCAn *. bmxaUa CUAjift Tumi tint WlCWai Wmtt<Cii!y Hasnt

(Print or twit name. Of Disclosing Party)

T^ign^ercJ Perry^Welnstein (Prij&or,typ^ .

Trustee

(Print or type title of person signing)

Signed wid.s^orii'.to^-beforeme OiL(dato) /vdV-' 'o/ at ./>yd^^∎v^Cdutffew .,.A: t-> (state).

Commission expires:

NADA POPOVIC

-WOTARV PUO.LIC, STATE OF JLI JN'OIS ■ Vvv^&S^^s 07/00/2017

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CITY 0I: CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appcudix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Parly" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the dale this EDS is signed, the Disclosing Party or any "Applicable Part)" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ELB.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does die Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAWPROBLEM LANDLORD CERTIFICATION

This Appendix is (o be completed only by (u) (lie Applicant, and (b) any legal entity which has u diced ownership interest in the Applicant exceeding 7.S percent (an "Owner"). It Is not to be completed by any legal entitywhich has only au indirect ownership interest in the Applicant.

1. Pursuentto Municipal Code Section 2-154-010, is the Applicant or any Owner identified as building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[JYes []No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building bode scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

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[]Ycs

3. If ycs'-lp (1) or (2) above; please identify below the name of the person or legal entity identified as h building 'codescofflaworproblerh landlord and the address of the building or buildings to whichlie; pertinent, code violations apply,

JHLLING OUT THIS APPENDIX P. CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX Q IS INCORPORATED BY INFERENCE INTO, AND MADE A PART-OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The puipose of ihis page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected infonnation)

RECERTIFICATION Generally, for use with City Council matters. Not for City

procurements unless requested.

This recertification is being submitted in connection with 3911-3985 N MilwaukeeM871-4777 W Irving Park Road [identify the Matter], Under penalty of perjury, the person .'signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete us of the date of this recertification, and (3) reaffirms its acknowledgments.

```
E. Thomas Collins, Jr. Irrevocable Children'3 Trust
datod 04/10/96 on behalf of Cariy Elizabeth
                                                           Date- @|
(Print or type legal name of Disclosing Party)
(sign hero)/
Print or type name of signatory:
Perry Weinstein
Tide of signatory.
 <u>Trustee</u>
Signed and sworn to before mc on [dale] J?/(f)
                                                                 , by
ptjrr-u^lQe.in*!*'* ,« Qnorr^
                                                  County, I£Z ^
                                                                           (state).
                                            Notaf_{\mathbf{V}}PubHc_{\mathbf{I}}
       UjV* CIJ/cJ'**
Commission expires: ^ • / Lj
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OFFICIAL SEAL
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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of (he Disclosing Parly submitting this EDS. Include d/b/a/ if applicable: Clark Street Development LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [) the Applicant
 - OR
- 2. Wa legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: CSD Six Corners LLC

OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 980 North Michigan, Suits 1280
 Chicago, IL 60611
- C. Telephone: (312) 377-9111 Fax: Email: jcollins@clarkstreet.com

<mailto:jcollins@clarkstreet.com>

- D. Name of contact person: -John Collins
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, 'transactibn or other undertaking (referred to below" as the "Matter") to which this EDS pertains. (Include project number and location of properly, if applicable):

Zoning Amendment for property located at 3911-3985 North Milwaukee Avonuo; 4671-4777 West Irving Park Road

G. Which City agency or department is requesting this EDS? DID

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the

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following:	
Specification it	^ and Contract //
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SECTION II DISCLOSURE O	F OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOS	ING PARTY
Person Publicly registered business corporation Sole proprietorship General partnership Limited partnership Trust y/J Limited liability company Lin Not-for-profit corporation (Is the not-for-profit corporation [] Yes j] Other (please specify)	mited liability partnership Joint venture also a $501(c)(3)$?
2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois
3. For legal entities not organ Slate of Illinois as a foreign entity	ized in the State of Illinois: Has the organization registered to do business in the 7?
[]Yes I] No	o (/N/A
B. IF THE DISCLOSING PART	Y IS A LEG A L ENTITY :
corporations, also list below all members." For trusts, estates or of the entity is a general partner venture, list below the name and	and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit nembers, if any, which are legal entities. If there are no such members, write "no ther similar entities, list below the legal titleholder(s). ership, limited partnership, limited liability company, limited liability partnership or joint title of each general partner, managing member, manager,pr any other person or ay management of the Disclosing Party. N6TE: Each legal, entity listed bc.lpw.must
Name E. Thomas Collins, Jr. Title Mnnagor	
Richard E. Hulina	

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2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of;; member or manager m a limited liability company, cm" interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-1 54-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve lull disclosure.

Name

Business Address

Percentage Interest in the Disclosing Party

Please see attached Exhibit A

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156. of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes .[/j No .

If yes, please identify below-the.namc(s) of such City elected.official(s) and describe such. relationship(s): »

SECTION IV ~ DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to .disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist", means any person or entity -who undertakes to influence any legislative pr .administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid, basis, or (2) himself. "Lobbyist" also, means any person or. entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

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If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether business Relationship to Disclosing Party Fees (indicate whether retained or anticipated to be retained) ' Subcontractor, attorney, paid or estimated.) NOTE: lobbyist, etc) "hourly rale" or "l.b.d." is not an acceptable response.

(Add sheets if necessary)

[/ Check here if the Disclosing Party has not retained, nor expects to-retain, any such persons or eniiiics. SECTION V --

CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more-of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [/I No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person in compliance with that agreement? .

H Yes ■ ¹ []'No '

B. FURTHER'CERTIFIC'ATIONS

" r i-.

Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult fo^ and legal requirementsj,'if the^Disclosihg'Party
 suDniittingmi.s ElJS is the Applibarifahd is doing business with tlie'City, Ihen'the'DiscIdsing'Party certifies as follows:
 (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy

to commit bribery, theft, fraud, forgery, perjury, disHonestybr deceit against'aii officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Parly anil if the Disclosing Parly is a legal entity, all of those persons or entities identified m Section M.B.I, of this EDS:
 - a arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, slate or local) transaction or contract under a public transaction; a violation of federal or stale antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making, false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, stale or local) terminated for cause or default; and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City, or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" .(meaning any contractor or ^subcontractor used by the,Disclosing Party in connection; with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other.Retained Parties");
 - any "! Affiliated.Entity" (meaning a person .or .entity .that; directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity, of interests, antong family members, shared facilities and equipment; common use of cmplpyecs^pr organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity, means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or

authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing. Party, nor any Contractor, nor any Affiliated Entity ol" either the Disclosing Parly or any Contractor nor any Agents have, during the live years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated F.iuity of a Contractor during the five years before the date of such Contractors or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or tiny agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective "bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; of
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated mciprbvisiohs of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither tiic^isclosiifig'Party/Affiliated Entity or Contractor, or any of their'cmployces; officials, agents or partners, is barred from contracting with any unit of state or local governirient as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense bf any state or of the "Unit&PStates Of America that contains the same elements as the offense of bid-rigging or bid-rotating.
 - 5. Neither the ^'KcibVirfgJP,arlty-n8f afiy Affiliated Entity is listed on any' of^^bjl^ih^lists
 - 5. maintained'by this Office \$\$&%ig&£s\$et&:Cdntj?bl' 6f the U.S. Department ofHotc^rei'S'ttry'of'tfec '
 - 5. Bureau of Industry and Sccurity 'bf th'c tj;S. Department of Commerce Or tlieif successors: the Specially
 - 5. Designated'Natib'ffaisTli'st:- tho"D^MeThleii'P'ersbns^List;- the'Unverified List, the EntityC'istiarid'the'

 - 6. The 'D'iselbsli' and shall comply with the applicable r'uiifenient8' 'Oi" f Chapters
 - 6. 2-55 (Legislative Ins^ General) and 2-156 (GovenifneritarEthic's) of the
 - 6. Municipal Code. "■' '■
- 1. If the Disclosing Party is Tunable to-ccrtify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A • .'

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If the letters "NA," the v/ord "None." or no response appears on the lines above-, ii will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge, after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS. an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none").. As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is (/] is not
- a "financial institution" as.dcfined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party'pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as" defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes

L/No.

NOTE;, If you checked "Yes" to Item D.I., proceed to Items D.2. and D.3. If you checked "No" to ItemD.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Docs the Matter involve a City Property Sale?

[] Yes . f/1 No

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify .the nature of such interest:

Name Business. Address Nature of Interest

4. The 'Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by. any City.official or employee.,

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Parly must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- 1. The Disclosing Party verifies that the Disclosing Parly has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting Ihc search in step I above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Parly verifies that ihc following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI « CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter Is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995. who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word. "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of die Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Parly will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) il is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to':paragraplis A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certification's for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federaUy^funded, federal regulations require.^^thc. Applicanta^d all proposed
subcontractors to submit the fallowing information with their bids or in writing'at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Yes []No

If "Yes," answer;thc vthxce questions below:

1.' Have you developed and do you have on file affirrriative action prbgrams pursuant to applicable

federal regulations? (See 41 CFR Part 60-2.) []Yes []No

- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal
- 2. Conifacl\C^ Programs, or the Equal Empioynii\\$'nt'Op^oituiuty'Commiss'jon ail reports due
- 2. undcr4Ke7appiicab'l6 flli'h'g:'rcqu'ircments? 1'

 [[Yes' '• "';"[J-No '"];•=>===
- 3. Have you participated in any previous contracts or subcbritfacts subject lb the equal opportunity clause?

UYcs' " []No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII - ACKNOWLEIXIMENTS, CONTRACT 'INCORPORATION, COMPLIANCE, PENALTIES, D1SCLOSUKE

The Disclosing Parly understands and agrees that;

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part, of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements lo the City's execution of any contract, or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2M64 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citvofchicago.orR/Ethics http://www.citvofchicago.orR/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contractor other agreement in 'connection, with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if riot rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public oh-its Internet site and/or upon
- D. request. Some or all of the information provided on this EDS and any attachments to this EDS jrnay be
- D. made available to the public on the Internet, in response to a Freedom of Information Act.request, or
- D. otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any;p,qssible
- D. rights or claims which it may have against the City in connection with the public rcTea's'eidf information
- D. contained in this EDS and also authorizes the City to verify the accuracy of any information submitted
- D. in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Tarty must supplement this EDS up to the time the City takes action on the Matter. If the Matter, is a . contract being handled by the City's Department of Procurement Services, die Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

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The Disclosing Party represents and warrants thai:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited lo, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, norpeiniit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded . Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter^certifications equal in form afili substance to those in F.i. and F.2. above and will not, without'tlic"prior'Written, consent of the City, use any such contractor/subcontractor that does not provide sucK-certifications of that the 'Discldsing'Party'has reason to believe has not provided or cannot provide truthfufecrtifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.l., F.2. or F.3. above, an explanatory statement mbst-be attached to this EDS.

CERTIFICATION

Und6r;p^ below: (1) warrants that he/she is;authorized.to execute this'ED,,S 'anil Appendix A .(if applicable) on behalf of the Disclosing Party, arid (2) warrants'that'all .certifications'and statements contained in this EDS and Appendix A (if applicable)'are true, accurate and cpmplete as of the date Furnished to the City.

-Glark'StrBetDeyelqprnen (Prmtb^^ Anrtp pf pisclo^ng Party)

Manager:' ":."'.:':' ' \blacksquare r ;' \blacksquare \blacksquare • (Print er type title of person signing)

Signed and sworn to before nitron (date) $Q \setminus 1 f \sim I^{\wedge}$

at ■Q&fi£.L'-' County,' < -' (state).'

fefed'A \blacksquare Q'\$&TM -Notary Public.

Commission'expires: f^SM 1 I <- {)-l-*"}

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT AIT'ENDIX A

FAMILIAL RELATIONS HITS WITH ELECTED CJTV OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, tlie city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section U.B.1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [/] No

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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EXHIBIT A CLARK STREET DEVELOPMENT LLC

File #: SO2015-50, Version: 1		
I-lulina Family.Limited Partnership		- %
Collins Family Limited Partnership	•	, ':%
Peter Eisenberg	i .%	0
Andy Stein		\ i%
James Kurtzweil		1%
Fritz DudaJr,		%
John Collins CITY OF CH	ICAGO ECONOMIC I	,% DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B
BUILDING CODE SCOFFLA	VY/PROHLEM LAND	DLORD CERTIFICATION
		blicant, and (b) any legal entity which has a direct ownership Interest in be completed by any legal entity which has only au Indirect ownership
Pursuant to Municipal Code Se problem landlord pursuant to Se		Applicant or any, Owner identified as a building code scofflaw or funicipal Code?
[)Ycs tMNo		
		ny exchange, is any officer or director of the Applicant identified as Section 2-92-416 ofthe Municipal Code?
[]Ycs	[]No	-^A Not Applicable
		c oftlic person or legal entity" identified as a building code scofflaw or ildings to which tile pertinentpCode violations apply,
APPENDIX B IS INCORPORA THAT THE REPRESEOTATIO PENALTY OF PERJTJRY ON TO (DO NOT SUBMIT THIS PAGE W	TED BY Rii^l^d^iDNT NS MADE IN TJnS/^E PAGE 12 OF THE ASS ITH YOUR EDS. The p the dale of closing. If ur	ACKNOWLEDGMENT AJND AGREEMENT THAT THIS TO, AND MADE A PART OF ¹ , THE ASSOCUTED EDS, AND EXAMPLE ASSOCIATED EDS, AND COLATED EDS. Sourpose of this page is for you to recertify your EDS prior hable to recertify truthfully, ihe Disclosing Party must
	UECERTIFI CATION	Generally, for use with City Council matters. Not for
City procurements unless requested		

he/she'is authorized to execute this EDS recertification on behalf of the Disclosing Party,"(2) warrants that all certifications and statements contained in the Disclosing Party's original EDS arc true, accurate and complete as of the date furnished to the City and continue to be true,

This recertification is being submitted in connection with 3911-3905 N Milwaukeo/4071-4777 w Irving Park Road

accurate and complete as of the dale of Ihis recertification, and (3) reaffirms its

[identify Uic&ftttdr].. Under, penalty' of perjury the person'signing below :'t(i)^a'r/antsrfliat

Office of the City Clerk Page 115 of 222 Printed on 4/19/2024

File #: SO2015-50, Version: 1
acknowl edgmenls.
Clark Street Development LLC (Print or type legal name of Disclosing Party)
(sign-here)
Print or type name of signatory:
RIchard.Hulina,
Title of signatory:
Manager
Signcd;and swpfivt6 before me oh^date] - j&/r t:n lk'ta» fvj^iiby ' ^{7> ilil} ^' ^,'(vrv,ai ■ #^V*/' 'County.*-rp/L Notary Public.
Commission expires:
OFFICIAL SEAL ANEL MEDINA NOT/WPUBUC.»P ILLItWIS My commissionExpires April. 1.4,20u
CITY OF CHICAGO ECONOMIC I) ISCLO.SU RE STAT EM ENT AND AFFIDAVIT
SECTION I - GENERAL INFORMATION
A. Legal name ofthe Disclosing Patty submitting this EDS. Include d/b/a/ if applicable: The Hulina Family Limite
Partnership
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. L/j a legal entity holding a direct or indirect interest in the Applicant. Stale the legal name of the 2. Applicant in which the Disclosing Party holds an interest: CSD Six Comers LLC OR
3. [] a legal entity with a right of control (see Section II.B.l.) Stale the legal name of the entity in which the Disclosing Party holds a right of control:

Chicago, IL 60611

B. Business address of the Disclosing Party: 980 North Michigan, Suite 1280

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C.	Telephone:	(312)377-9111	Fax:	Email:	jcollins@clarkstroet.com
<mailto< td=""><td>e:jcollins@clarkstroet.com></td><td>></td><td></td><td></td><td></td></mailto<>	e:jcollins@clarkstroet.com>	>			
D. Nan	ne of contact person: John (Collins			
E. Fede	eral Employer Identification	n No. (if you have one):			
(Include	e project number and locati	transaction or other undertak ion of property, if applicable) ocated at 3911-3985 North Mi	:		er") to which this EDS pertains
G. Whi	ch City agency or departm	ent is requesting this EDS?_F	PJ_P_		
If th	ne Mailer is a contract being	g handled by the City's Depar	tment of Procure	ement Services, please	e complete the following:
Spe	cification U	and	Contract #		
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- DISCLOSURE OF OWNERSHLr INTERESTS
- A. NATURE OF THE DISCLOSING PARTY

Person

Publicly registered business corporation Privately held business corporation

Sole proprietorship

General partnership

Limited partnership

Trust

Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?

I] Yes []No (| Other (pi case specify)

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the Stale of Illinois as a foreign entity?

[]Ycs [INo [/IN/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the cntily. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Richard Hulina

Edward Hulina James Kurtzweil
Title Gonaral Partner

General Partner General Partner

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% ofthe Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager m a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None.' NOTE: Pursuant to Section 2-1 .vl-030 ofthe Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which, is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

Disclosine. Party

Edv/ard Hulina 980 N. Michigan, #1280 Chicago, IL 60611 j%

Holly Hulina Gange 980 N. Michigan, #-1280 Chicago, IL 60611] " %

Elizabeth Hulina Kurtzweil 980 N. Michigan. #1280 Chicago, IL 60611 ; %

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes |/J No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

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SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. <

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If (he Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whet bet lousiness Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: to be retained) lobbyist, etc.) "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

Jfl Check, here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities SECTION V -

CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person svho directly or indirectly owns 10% or more of the Disclosing Parly been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [/f No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

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If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

13. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article 1 ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Parly certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance, timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below

- 2. The Disclosing Party and, if Die Disclosing Paily is,a legal entity, all of those persons or entities entified in Section II.H.I. of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed tinder Section IV, "Disclosure of Subcontractors and Other Retained Parties"):
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include,

without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principal as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the directioti or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Paity or any Contractor nor any Agents have, during the five years before the date litis EDS is signed, or, \vi;h respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such. Coniaclor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency ofthe federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (I) bidrigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

 N/A

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If the letters "NA," tin; word "None," or no i espouse appeal's on the lines above, it will be conclusively presumed that tlie Disclosing Party ccriified to the above statements.

- 8. To the best ofthe Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is (/] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within (he meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word 'None," 01 no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that arc defined in Chapter 2-156 ofthc Municipal Code have the same meanings when used in this Part D.
 In accordance with Section 2-156-1 10 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? Yes t/] No
NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Docs the Matter involve a City Property Sale?
[] Yes [/J No
3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:
Name Business Address Nature of Interest
4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any Cit official or employee.
E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS
Please check cither I. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in a

attachment to this EDS all information required by paragraph 2. Failure to

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comply wit!) these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- ^ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Patty and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Patty has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations ofthe City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Pany with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Pariy will submit .in updated certification at the end of each calendar quarter in winch ;here occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

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5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certification paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract maintain all such subcontractors' certifications for the duration of the Matter and must make available lo the City upon request.	and the Disclosing Party must

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	rty the Applicant?						
(]Yes	[] No						
If "Yes," answer the	three questions below:						
1. Have you de (See 41 CFR Part 6	veloped and do you have 0-2.)	on file affirmative	action programs	pursuant	to applicable	federal	regulations
[] Yes	[] No						
Programs, or the Eq	led with the Joint Reporting	•				-	
[] Yes	[] No						
3. Have you paclause?	articipated in any previous	ontracts or subcontra	acts subject to the	equal oppo	ortunity		
[] Yes	[] No						
If you checked "No	" lo question I. or 2. above,	please provide an ex	planation:				

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SECTION VIr -- ackno\vlei.k;ments, contract incorporation, C0MPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Paviy understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions.

The full text of these ordinances and a training program is available on line at www.citYofchicago.orR/Ethics http://www.citYofchicago.orR/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also auth0ri7.es http://auth0ri7.es the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this KDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to ihe time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-1 54-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Parly is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Knlilies delinquent in paying uny fine, fee, tax. or other charge owed to the City. This includes, but is not limited lo, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use. nor permit their subcontractors lo use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Parly will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent ofthe City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of die items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on

behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) arc true, accurate and complete as of the date furnished to the City.

Hulina Family Limited Partnership (Printpjutype name ofDisclloS>ihg Party)

Richard Hulina

(Print or type name of person signing) General Partner (Print or type title of person signing) (state).

Signed and sworn to before me on (date) (Q I I Qg) |^

»< Pjfft&iH County, Xi

Notary Public.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CI TY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not lo be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Parly or any "Applicable Parly" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all genera! partners and limited partners ofthe Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial

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officer, treasure	r or secretary of a leg	al entity or any	person exerc	ising similar au	thority.		
	closing Party or any nship" with an electe				stic Partner there	eof currently have a	
[] Yes		[/] No					
person is conne	e identify below (1) the cted; (3) the name and aship, and (4) the pred	d title of the el	ected city offi	icial or departm			
		Pag	ge 13 of 13				
			,				
	CITY OF CHIC	AGO ECONOM	IIC DISCLOSU	JRE STATEMEN	NT AN!) AFFID <i>a</i>	AVIT APPENDIX B	
BUILDIN	G CODE SCOFFLAW						
This. Appet the Applicant exce Interest in the App	ccdiug 7.5 percent (nn '	d only by (n) the 'Owner''). II Is n	-Applicant, and not to be completed	l fb) any legal ent eted by any legal	tity which has a d entity which has	irect ownership interest only an Indirect owners	in hip
	Municipal Code Sectional				ntified as a buildi	ng code scofflaw or	
[]Yes f>fN	No						
	licant is a legal entity de scofflaw or problem					he Applicant identified	as
[]Yes	de scorilaw or problem	[]No		Applicable	rumerpar code.		
	or (2) above; please id landlord arid the addre					building code scd'tfR.a	W
	OUT THIS APPENDIX (B IS INCORPORATI					NT THAT THIS SSOCIATED EDS, ANI)

APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PARTOF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPEND!* B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 3911-3985 N Milwaukee/4871-4777 W Irving Park Road [identify die Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the dale furnished to the City and continue lo be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

The Hulina Family Limited Partnership (Print or type legal name of Disclosing Party)

By:

(sign here)

Print or type name of signatory: Richard Hulina

Title of signatory: General Partner

^-Signod and sworn to .before mc on [date] Q. [\(_& 1 I I\), ■foj^VvOjcd)4o\< AQcat Cao tr. County, \\,, - 6 /f.o rl x vJ/^ Notary Public.

**Commission expires: ^({["J]}

OFFICIAL SEAL'A ANEL MEDINA fJOWm'.PUBUC. STATE 0F1LUN0IS JAMAOAOS April M. 2017

V ij mm n

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name ofthe Disclosing Party submitting this EDS. Include d/b/n/ if applicable: Flatiron Investment Company LLC

Check ONE of Hie following three boxes:

Indicate whether the Disclosing Party submitting this EDS is;

- 1. [J the Applicant
 - OR
- 2. \f\ a legal entity holding a direct or indirect interest in the Applicant. State the legal name ofthc
- 2. Applicant in which the Disclosing Party holds an interest: CSD Six Corners LLC OR
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in

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wh	ich the Disclosing Party h	olds a right of control:			
B. Bu	siness address of the Disc	• •	th Michigan, Suit go, ILO 60611	te. 1280	
C.	Telephone:	(312)377-9111	Fax:	Email:	jcollins@clarkstreet.com
<mail< td=""><td>to:jcollins@clarkstreet.co</td><td>m></td><td></td><td></td><td></td></mail<>	to:jcollins@clarkstreet.co	m>			
D. Na	me of contact person: Jonn	Collins			
E. Fee	deral Employer Identificat	ion No. (if you have one	e): (^		•_• _
pertai	ef'description of contract ns. (Include project numbe g Amondment for property lo	er and location of proper	ty, if applicable)	:	e "Matter") to which this EDS
G. W	hich City agency or depart	tment is requesting this I	EDS?		
	ihc Matter is a contract lowing:	being handled by the C	City's Departmen	t of Procurement	Services, please complete the
Sp	ecification#	aı	nd Contract ii		
Page 1	of 13				
.SEC T	TION II - DISCLOSURE OF	OWNERSHIP INTERES	ΓS		
Lir	ATURE OF THH DISCLOS nited liability company Limi r-profit corporation (Is the no	ted liability partnership Joi		Ycs []No	
Public	cate the nature of the Disclor ly registered business corpor rship Trust	ration Privately held busine	ess corporation Sol	e proprietorship Ger	neral partnership Limited
			• • •		
2. F	for legal entities, the state (or	foreign country) of incorp	ooration or organiz	ation, if applicable:	Illinois
3.	For legal entities not organiz	zed in the State of Illinois:	Has the organization	on registered to do b	ousiness in the State of

Illinois as a foreign entity?

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[]Ycs	No	[/j N/A
B. IF THE DISCLOS	ING PARTY IS A LEGAL ENTIT	Y:
NOTE: For not-fo there are no such the legal titleholde If the entity is a ge	r-profit corporations, also list below members, writc'''no members." For er(s). eneral partnership, limited partnersh ^i.a^dlitle of eabh•jgenpralt^artn^r,	e officers and all directors ofthc entity. v all mcmbers;-if arty, which are legal entities. If trusts, estates or other similar entities, list below ,, < , , iip, limited liability company, limited liability partnership.vpr joiiit venture, managing member, manager or any Other person or. entity (that controls ^
Name Friltz L. Duda. Jr. Title Manager		
(including ownership	_	ing each person or entity having a direct or indirect beneficial interest g Party. Examples of such an interest include shares in a corporation,
		Page 2 of 13
similar entity. If nor	ne, state "None." NOTE: Pursua), the City may require any such	y company, or interest of a beneficiary of a trust, estate or other nt to Section 2-154-030 of the Municipal Code of Chicago additional information from any applicant which is reasonably
Name	Business Address	Percentage Interest in the
Fritz L. Duda, Jr.	980 N Michigan, Suite 1280	Disclosing Party ";%
Elise A. Gibson	Chicago, IL G0611	L ;%
Duda Children's Trus	t No. 1	i %
Has the Disclos	sing Party had a "business re	ITH CITY ELECTED OFFICIALS lationship," as defined in Chapter 2-156 of the Municipal Code,
	ed official in the 12 months befo	ore the date this EDS is signed?
[]Yes	-{/I No	

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s): $. \, \bullet$

SECTION IV.- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount ofthe fees paid or estimated to be paid. The Disclosing Farlyjs no.t required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist", means any person or entity who undertakes, to influence, any legislative or administrative action on behalf of any, person or entity other than: .(1) a not-for-rprofit entity, on an unpaid basis, or (2) himself. "Lobbyist" also, means any person or entity.any.part of whose duties as an employee of another includes undertaking .to influence any legislative.or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether	Business	Relationship to Disclosing Party	Fees (indicate, whether
retained or anticipated	Address	(subcontractor, attorney,	paid or estimated.) NOTE:
to be retained)		lobbyist, etc.)	"hourly rate" or "t.b.d." is
			not an acceptable response.

(Add sheets if necessary)

D^ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities SECTION V -

CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

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[] Yes	[/\ No	[] No person di Disclosing Pa	rectly or indirectly owns 10% or more of the rty.					
	lie person entere compliance with	d h that agreement?	for payment of all support owed and					
[•] Yes	" []Nb ';'*	aru liri						
B. FURTHER	CERTIFICATIO	ONS • >, - : j ;						

I. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.gv"dbiri'g'b^ if the Disclosing Parly submitting this EDS is the Applicane is doing bus'ihess'with the City, then the Disclosing Parly certifies as follows: (i) neither the Applicant rior any'cohlrolling person is currently indicted Or charged with, or has admitted guilt of; or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) ihe Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, (he permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

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- 2 The Disclosing Party and. if the Disclosing Patty is a legal entity, all of those persons or entities identified in Section I.l.B.l. of this EDS:
 - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntary excluded from any transactions by any federal, slate 01 local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently-indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause 13.2.b. of this Section V;
 - d. have not, within a five,-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a fivcTyear period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3j.4 and 5 concern:
 - « the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in-connection

with;theMatter,"%^udirig b.ut not limited to all persons or-legal entities disclosed.under. Section IV, "Disclosurc;of.Sub,cpntractors and Other Retained Parties");

- any "Affiliated £ntity.^(mea^^ or entity that, directly or indirectly: controls the
- Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under
- common control of another person or entity. Indicia of control include, without limitation:
- interlocking management or ownership; identity of interests among family -members^ shared facilities
- and equipment; commonuscof employees; or organization of a business entity following the
- ineligibility of a business entity to do business with federal or state or local government, including
- the City, using substantially the same management, ownership, or principals as the ineligible entity);
- with respect to Contractors, the term Affiliated Entity means a person or entity that directly or
- indirectly controls the Contractor, is controlled by it, or, with the Contractor; is under common
- control of another person or entity;

« any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee ofthe Disclosing Parly, any Contractor or any Affiliated Entity, act ing pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party 01 any Contractor nor any Agents have, during the five years before the date this EDS is signed, 01, with lesped to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the Five years before ilic date of such Contractor's or A ffiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the Slate of Illinois, or any agency of the federal government or of any state or local government in the United Slates of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom'of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct describedin a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or-
- d. violated .-the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with anyunifof state or-local .government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar, offens'e of-any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither'the Disclosing Party nor any Affilfated'Entily. is listed on any of the following lists maihtaiiicd.fby.the http://maihtaiiicd.fby.the Office of Foreign 'Assefs'Go"ritrdl^6f:the ;UVSV.E^ep^rtment-.pf-.the Treasury or the Bureau of Industry and Security of 1he"U.'S. Dc'paftib;crif of .G.oi^mXrCc'^r. th'eir-successors: the Specially Dcsignatc&NationalsrList; the Denied-Persons'IrfsV^

Debarred'L-ist."

.v '•" "'^

6. • The Disclosing Party understands and shalf coihilly witlfithe applicable requirements of Chapters

2-55 (Lcg^slativclrispector General), 2-56 (Insp;cctor.'Generai)and'2-i 56 (Governmental Ethics) of the Municipal Code. •'

7. If the Disclosing Party is unable to certify to any ofthe above statements in (his Part B (Further Certifications), the Disclosing Party must explain below: N/A

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If the letters "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 1 2-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, lo an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A "

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b)

File #: SO2015-50,		
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of the Municipal Co (attach additional pa	· · · · · · · · · · · · · · · · · · ·	he meaning of Chapter 2-32 of the Municipal Code, explain here
	Page 7	of 13
	e word "None," or no response appear eitified to the above statements.	rs on the lines above, it will be conclusively presumed that
D. CERTIFICATION	REGARDING INTEREST IN CITY	BUSINESS
Any words or terms th D.	nat are defined in Chapter 2-156 oftho	Municipal Code have the same meanings when used in this Part
	with Section 2-156-1 10 ofthe Munic wn name or in the name of any other [/j No	cipal Code: Docs any official or employee ofthe City,have a financial person or entity in the Matter?
NOTE: If you checke	ed "Yes" to Item D.l., proceed to Item	as D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.
have a financial interest belongs to the City, or	est in his or her own name or in "the name of in in the name of in it is sold for taxes or assessments, Compensation for property taken ptu	dding, or otherwise permitted, no City elected official or employee shall name of any other person or entity in the purchase of any property that (i) or (iii) is sold by virtue of legal process at the suit of the City (collectively ursuant to the City's eminent domain power does not constitute a financial
Docs the Matter invol	lve a City Property Sale?	
[]Ycs	(/I No	
	d "Yes ¹ ' to Itcrh'D.l., provide the'dam tify the nature of such interest:	e's and business addresses of the City officials or employees having
such interest and iden		

4. The Disclosing. Earty.-further certifies that no. prohibited financial interest in the Matter will be acquired by any City; official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- 'be Disclosing Party verifies that the Disclosing Parly has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage lo or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Parly verifies that, as a result of conducting the search in step I above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that-thc.following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FErp'ERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI, If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence, anofficer or employee of any agency, as defined applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar <.ju.-iii.cr http://ju.-iii.cr in which there occurs any event that materially affects the accuracy of the statements and information set forth, in paragraphs A.l. and A.2. above.

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing-Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.L through A:4. above from all subcontractors before it awards jiiiy subcontract and the Disclosing-Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally.funded, federal regulations require the Applicant and all proposed subcontractors to submittie"following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Ycs []No If "Yes," answer the three guestipns. ^elpw:

1. Have you dovelo^^ahd'd^ydii"have-on'file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.)

[JYcs []No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the 'ap'p'Ucable 'fiiing' requirements?'*1' *

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

If you checked "No" to question 1. of 2. above, please provide an explanation:

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Parly understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection.with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Parly understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citYofchicago.orR/Ethics http://www.citYofchicago.orR/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (3 12) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City .determines that any information provided in this EDS is false, incompleteor inaccurate,
- C. any contract or-other agreement in connection with which'it is submitted may be .rescinded or be void or
- C. voidable, and the City may pursue any remedies under the cohtract or agreement (if not rescinded or
- C. void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or
- C. declining to allow the Disclosing Party to participate in other transactions .with the:City. Remedies at
- C. law for a false statement of material fact may include incarceration and an award to the City of treble
- C. damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom:of InTqrmatipn.'Act request) Or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection-with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kepi cUrrent. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by-lhc City's Department of Procurement Services, ther Disclosing Party must update ihts EDS as the .contract requires. NOTE: With respect to Matters subject to Article I of Chapter' 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the ..information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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-)•".!. The Disclosing Party is not delinquent in the payment of any lax administered by the Illino is Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, lax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, properly iaxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Parly and its Affiliated Entities will not use, nor permit their sitbcontractors'.io use, any facility listed by the U.S. C.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be. "hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the

Disclosing Party has reason to believe¹" lias'hot provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify, as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this.EDS.and Appendix A (ifapplicaJblejrOnibehalf of the Discldsing:Party, and (2) warrants -that all oertiGcatiptos-and statements•contaifie'd .|iji-&is.EDS and Appendix A (if applicable) arc true, accurate and complete.as:of the date furnished;to.the;.City.

Elgi'FPQJllVgstment Company LLC^... (Prin(- or', type 'name of bisclds^g^arlty'j' (Sign here)

'FrlfeE/'&ida, Jr. - . . ;;i

(Print pntype name of,>pcrsomsigning)

Manager' ..

(Print or type title of.person signing)

S^gncd-and swom to before mc on (date) <S)\. jo s\\JE at: O rib V- County, ."T~7 'fstatei.

 $'Q'-QilL>\Ji..Q\ QjH*<4\ t$

Notary Public.

Commission expires: C' $\sim |i f *-t'| = 1$.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) Che Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not lo be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Parly must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

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"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.l.a., if the Disclosing Party is a corporation; ad partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Docs the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" withan electe^'dty official or department head?

[] Yes .t/]*Io

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX 1?

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

Tliis Appendix is to be completed only by (n) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (nn "Owner"). It is no to be completed by the legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant.to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[3 Yes . tyrNo

2. -If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Yes []No "fT] Not Applicable

3. If yes to (I) Or (2) above, please identify bejow (he-name of the person or legal entity Identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violation apply,

.FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS

APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART'OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTDFTCATTON MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your KDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new BDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 3911-3985 N Milwoukeo/4871-4777 W Irving Park Road [identify the Matter]. Under penalty of perjury, the person signing below: (l) warrants that he/she is authorized to execute this EDS recertification on behalf of Uic Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of this recertification, and (3) reaffinns its acknowledgments.

Flatiron Investment Compancy LLC (Print or type legal name of Disclosing Party)	
By:	
(sign here) Print or type name of signatory:	
Frltz L. Duda, Jr.	
Title of signatory:	
Manager	
Signed and swom^to-before mc on [date] Q. \ 1 fl 1 1 Lt , by \ \sim -v\?7L-"TX^ckcv."JV, at £ e,,£>tCL County, T:l	

Notaiy Public.

Commission expires: Lj , $|\{H \setminus .\}|$

ANEL-MEDINA NOTARY PUBLIC, STATE Of ILLINOIS My Commission Expires April 14,2017

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/n/ if applicable: CSD Six Corners Member

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LLC
Check ONE ofthe following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. L/5 a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the 2. Applicant in which the Disclosing Party holds an interest: CSD Six Corners LLC OR
3. [] a legal entity with a right of control (see Section II.B.l.) Slate'ihe legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 980 North Michigan, Suito 1280 Chicago, IL 60611 Email: jcollins@clarkstreot.com <mailto:jcollins@clarkstreot.com></mailto:jcollins@clarkstreot.com>
C. Telephone':-(312)377-9111 Fax:
D. Name of contact person: John Collins
E. Federal Employer Identification No. (if you have 'oric):
F. Brief description of contract, transaction or otherunderliiking-(refer'rdd id below as'the "Matter") to which this EDS pertains (Include project number and location'of property, if applicable): Zoning Amendment for property located at 3911 -3985 North Milwaukee Avenuo; 4671-4777 Wost Irving Park Road
G. Which City agency or department is requesting-this EDS?£f]Pi
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification Ii ^ :and Contract.// , , •
Page 1 of 13
SECTION 11 - DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY
Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [■] No Other (please specify)

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_	not organized in the S	ountry) of incorporation	-	applicable: Illinois ered to do business in the State of	f
[] Yes	[]No,	.J/J N/A			
F3. IF THE DISCLOSI	NG PARTY IS A LE	GAL ENTITY:			
corporations, alsoTist be trusts, estates or other si If the entity is a gene partnership or joint v manager or any plher NOTE: EachlegaUcntity Name E. Thomas Collins, Jr.	elow all members, if a milar.entities, list beloral partnership.'dimite enture, 4isfbelow' the perso^	any, which are legal ent	tities. If there are no a f(s). liability company, li general partner, mar		
Title Manager					
_	n excess of 7.5% of th	ne Disclosing Party. Exa	_	a direct or indirect beneficial inte terest include shares in a corporat	

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE; Pursuant to Section 2-154-030 ofthe Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Please see Exhibit A

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes ■-• ■<-■■.. ,f/j No

If yes, please identify'bclowitheinamc(s) of such City elected officials) and describe such . relationship(s): >

SECTION IV -- DISCLOSURE O'SUBCONTRACTORS AND OTHER RETAIN ED P ARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection .with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to. be paid. The Disclosing Party is, not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person pr.:ciUity..w.ho. undertakes .to influen dr admihistrative action.on behalfof any-person.'or.'entity other than: (I) a not-for-profit entity, on an'.unpaidi.basis, or (2) himself. "Lobbyist" also means any; pcrsoh or entity any.part of...whb.se http://whb.se>duties as an employee of another includes **undertaking**-, to influence; any legislative or administrative action.

If the Disclosing Party is uncertain/whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

(subcontractor, attorney, lobbyist, etc.)

Relationship lo Disclosing Party Fees (indicate whether paid or estimated.) NOTE: "hourly rale" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

CERTIFICATIONS:

A: COURT-ORDERED CHILD SUPPORTCOMPLIANCE •

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout tlie contract's term.

Has any person who directly or indirectly owns 10% or more of the; Disclosing Party been declared in arrearage on any child support

obligations by any Illinois court of competent jurisdiction?

[] Yes . No ^v^d person directly or indirectly owns 10% or more of the Disclosing Parly.

If "Yes;" has'thc.pcrsori entered into a'co'uffo is the person.in compliance with that agreement?

support owed and "

[J Yes UNo """ ' : ■

B. FURTHER CERTIFICATIONS

1. PursuanUo.Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should cons.uUTbrTae'fi^ea terms;-(c'.g:,""d6ihg'b"u^

submittihg'ihis' Eps is the Applicantaiid is doing"business with the 'Gity, then "the 'Disclosing 'Party' certifies as': f6iiows: (iyh'cither th indicted'o"r charged•

 $\wedge \; \boldsymbol{\cdot} \; ; \quad V$

with, or has admitted guilt of; or has ever been convicted of, or placed under supervision for, any 'criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjtiry, dishonesty or deceit/against aifb'fficer or 'employee" of the 'City or any sister agency;-arid(ii) the Applicant'understands and acknowledges' that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities entitled in Section 11.B.I. of this EDS:
- a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. arc not presently indicted for or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year.period.preceding the date of this EDS, had one or more public, transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a Tive-year: period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning on Vironmental Violations, instituted by the City or by the federal-government, <any state, or any other unit of local government.
- 3, The certifications in subparts'3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any .contractor or subcontractor used by thc;Disclosing Party in .y connection with the CfMaVter including but not limited:to,,all persons or legal entities. disclosed under -Section IV, "Disclosure of Subcontractors"

and Other. Retained Parties");

- any "Affiliated Entity?; (meaning' aperson or entity-that; directly or indirectly: controls the ..., .
- Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under
- common control of anotherperson or. entity. Indicia of control include, without limitation:
- interlocking.inanageinentvordwriersh among family, members, shared facilities
- and equipment; common:use of employees; or organization of a business entity fpllowing the ,,
- ineligibility of a bu6incs6 entity to do business with federal or state or local govcmm'erit,-including.
- the City, using substantially the same management, ownership, or principals as the ineligible entity);
- with respect to Contractor^. the term Affiliated < Entity.means a person or entity that directly or.
- indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common
- control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Parly, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract orengagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe; a "public Officer of employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in lhc United States of America, in that officers or employe's official capacity;
- b. agreed or colluded with other bidders or prospective bidders; or-been a party to any such 'agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders; in restraint of freedom of competidqn.by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record; but have not been prosecuted for such conduct; or
- d. violated;tHc'lprovisiqn's--6f Municipal'-Code Section-2-92-610'(Living Wage Ordinance).
- A. Neither.-the D'isclosiftg'Party', Affiliated Entity or Contractor'; prVariy of.thcir,employees;officials, agents or partners, is barred from contracting with any unit of slate or local government us a result of engaging in or being convicted of (1) bid-rigging in violation of .720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any.state or of the United:States df America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neijtlick^ Affiliated-Entity is'ilist^ mainfainediby the Office of Foreign Assets Control of ihe U;S: Pepaftrnc'nt of the Treasury or the Bureau of Industry and Security. of the U.S. Department of Commerce or Atheir successors: the Specially Designated Industry and Security. Department of Commerce or Atheir successors: the Specially Designated Industry Indus

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7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), theibisclosirig Party-must explain below: N/A
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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none"). N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees -or to 'the 'genera 1 public" of (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate' with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.
• N/A • " " = ""- ~ ~ ~ ~ ~
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Purty (check one)
1. [] is f/\ is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS ¹ a financial institution, then the Disclosing Parly pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender.or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If illc letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that arc defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D;
1. In accordance with Section 2-156-110 ofthe Municipal Code: Does any official or employee of the City have a.financiaPinterest:in:his or her own name or in the name of any other person or entity in.the Matter? [] Yes'. Vj No. •'.
NOTE: If you checked "Yes" to: Item D- L, proceed to Items D.2. and D.3. If you checked "No" to Item D.1:, proceed; to Part.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in'lhe name of any other person or entity. When purchase of any property that (i) belongs to the City, or (ii) is sold for taxesor assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation Torproperty taken pursuant to the City's'e.mment.dqmain:pqw,er does not constitute a financial interest within the meaning of this Part D.
Docs the Matter involve a City'Property Sale?
I] Yes f/j No
3. If you checked "Yes" to Item'D.l., provide the names and business addresses of the City
3. officials or employees; hay ^ identify'the nature of-such interest:
Name ; BusinessAddress . Nature of Interest , ,.
4. The .Disclosing PartyTurther certifies .that no prohibited financial interest in the Matter will
be acquired'by any Ci.ty.d/ficialb.r.^mplbycc
E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS
Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Parly must disclose below or in ar

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Parly must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter

voidable by the City.

- J 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the,Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder .insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such . records, including the names of any und all slaves or slaveholders described in those records:

SECTION VI -- fIERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying

Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with

respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins of the lines above, or if the letters "N A" or. if :the'..word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has.not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence pr.attcmptto influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in.connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section . 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not erigaged'and will not engage in "Lobbying Activities".

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5. If the 'Di'scldsing Pahy is the Applicant, the Discldsing 'Pa'rty musi obtain certifications equal in form and substance to parag>aph'^A:;lv4h>bu'gh A.A\ above from all subcontractors before it awards any subcontract and 'tlie' bis'clos' irigParty-must-maintain all such-subcontractors' certifications for the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally-funded; federalTcguU and all proposed subcontractors to submit the following information with their bids of in writing at the outset of negotiations • ,.t ,.
Is the Disclosing Party the Applicant?
[) Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file 'a'ffirma'liv'e.kctioh programs pursuant'tb applieabie federal regulations? (See 41 CER Part 60-2.) [] Yes [J No-'•
2. Have you filed'with the <joint;repbrting.€om.mi.ttee,-the-director.of.the [']="" all="" applicable="" commission="" contract="" cpmpliance="" due="" employment="" equal="" f^="" federal="" of="" office="" opportunity="" or="" ptqgrams,="" reports="" the="" under="" v"*".<sup="" yes',="">M'!*' f]M C^f "!""; "'';</joint;repbrting.€om.mi.ttee,-the-director.of.the>
3. Have you participated in any previous contracts or subcontracts'subject to the equal opp.ortunity clause? ['] Yes 'V- [jNo' '1
If you checked "No" to question 1 . or 2. above, please provide an explanation:

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SECTION Vir- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental .Ethics and 'Campaign'-Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, prtransactions. The full text of these ordinances and a training program is available on line at www.citvofchicaRo.orti/Ethics http://www.citvofchicaRo.orti/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that,any:information provided in this EDS is false, incomplete or.inaccurate, any contractor.ptndragreerticnt in connection with.which it is submitted may be rescinded or'bejyoid or voidable, knd'&e^Ciry-7m'ay pursue-any remedies under the contract or "agreement "(if not rescinded pr void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award tq'.the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site.and/pr-upon request. Some or all of the information provided on this EDS and any attachments to this EDS, may be made available to the public on the Internet, in response to a Freedom'-bf Information Act'request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any-possible rights-or.claims which it may have against the City in connection with the pubUc^rclea^efpf information contained in this EDS and also authorizes the City to verify the accuracy of tiny information submitted in this EDS.
- E. The information provided in this EDS must.be http://must.be keptcurrent. In the event of changes; the-Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the, City's Department of Procurement Services, the Disclosing Party must update this EDS^^as-the conU-act/requires. NOTE: With respect to Matters subject to Article Lof Chapter F-23 of the Miihicipl"Gpdc (imposing PERMANENT INELIGIBILITY for certain specified offenses), the!information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter l-23 fahd! Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, lax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales (fixes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use 'iior permit their subcontractors to use, any facility ilisted by the U.S. E.P.-A. on the federal Excluded Parties List System ("-EPLS") maintained by the U.S. Gc'ripral Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain ,from any contractors/subcontractors hired or to be hired in connection with Ihc Matter certifications equal in form and substance to those in F,l. and F.2. above and will not, without the prior written consent of Ihe City. jUsc any such contractor/subcontractor that does not provide such certifications' or that the Disclosing Party treason to believe has not provided or cannot provide truthful certifications:

NOTEiilf the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F;3; above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Wnd, er penalty, o.fpc^ ih'>s}EDS'aiid AppenHixA on behalf ofthe Disclosing Party, and (2j warranis tnaia]l certifications and statements contained in this EDS and Appendix A (if-applicable) are true, accurate and and and appendix A (if-applicable) are true, accurate and appendix A (if-applicabl

■■•CSjDiSl'x;Gqme'rs;Mem^ :.'' ; ''
(Print oj^yr^'ntt/nc'bf Disclosing jjuriy)'

:Mahagor';pf GSbsix'CornWsM (Print dr type title of person signing)

■■ Sigrictfah^'Sw'itrnitfbefore me on (dale) f'')\\Q£>) 1^

 $Ch^{\wedge 0} t \sim County.$ $TX \sim j$ (state).

Public;

Commission expires: £)W//*J//~7

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) (he Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not (o be completed by any legal entity which has only an indirect ownership Interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date tins. EDS is signed, the Disclosing Party or any "Applicable Party" or any. Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether-by blood or adoption: parent, child, brother or sister; aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaugliter. steptirother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a,, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Docs the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the nairi'e and title ofthe elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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EXHIBIT A CSD SIX CORNERS MEMBER, LLC

Collins; Family Limited Partnership	:%
Hulina Family Limited Partnership	1%
Clark Street Deyelppment LLC	<%
FlatIron Investments Company LLC	; %
jMKinterestSi-LLC ,	%
Peter Elsenbe'rg ,.	%
JEC Investments, LLC ,:[,,	%
AndrewStelh ''.j	% .,

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCO FIT, AW/PR 0 B LEM LANDLORD CERTIFICATION

Tills Appendix is (o be completed only by (n) the Applicant, and (b) any Icgn.l entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (nn "Owner"). It is not to be completed by any legal entity which lins only an Indirect ownership interest in the Applicant.

I. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?'

[JYes

2. -If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified ns a building code scofflaw or problem landlord pursuant to Section 2-92416 of the Municipat Code?

I]Ycs

3. If yes to (1) or (2) above, please identify below the name ofthe person or legal entity identified as n building code scofflaw or problem landlord and the address of the building or buildings to which (he pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDDC B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADETN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City.procurements unless requested.

This recertification is being submitted in connection with 3911-3905 N Milwaukee/4071-4777 W Irving Park Road [identify the Matter]. Under penalty of perjury, the person signing below: (I) warrants diat he/she is authorized (o execute this EDS recertification on behalf of the Disclosing Parry, (2) warrants-that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

CSD Six Corners Member LLC (Print or type legal name of Disclosing Party)

Print or type name of signatory:

Richard Hulina

Title of signatory:

Manager

.Signed and sworn to before mc on [date] jff/i/ (a| I ftiCikacA \A-vA <file:///A-vA> uxjty. at jQ^cx\C~.

, by

County, j^j Lstoto]-

i jj f∖

Notary Public.

Commission expires: £f j/*//l *7

OFFICIAL SEAL iinr ANEL MEDINA NOTARY PUBLIC. STATE 0FIUJN0IS Wwmton's IK 2017:

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SEC TION 1 -- GENERAL INFORMATION

Fil	e #: SO2	2015-50	, Ver	sion:	1									
	Legal orporatio		of	Ihc	Disclosing	Party	submitting	this	EDS.	Include	d/b/a/	if	applicable:	Blackfriars
Ch	eck ON	E ofthe	follov	wing	three boxes:									
	1. [] the O 2. D/j a 2. Appl O 3. [] a	e Applic PR legal en icant in PR legal en	eant etity l whice tity v	noldin h the vith a	ng a direct of Disclosing	r indirect Party ho	this EDS is: t interest in (lds an interest) Section 11.	st: CS	D Six C	Comers LL	.C			
13	. Busine	ss addre	ss of	the D	Disclosing Pa	arty. 5	555 Skokie B Northbrpok,	,		5				
C.	Т	Telephor	ne:	((847)313-64	54	Fax:	(847	')498-78	393	Email:		mbattln@	eblxby.com'
<n< td=""><td>nailto:ml</td><td>battln@e</td><td>eblxb</td><td>y.cor</td><td>n'></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></n<>	nailto:ml	battln@e	eblxb	y.cor	n'>									
D.	Name o	f contac	t pers	son: l	Michael Baf	fin								
E.	Federal	Empioy	erlde	entific	catioh No. (i	f you ha	ve one):,							
pe	rtains. (I	nclude p	roje	ct nur	nber and loc	ation of	other underta property, if North Milwau	applic	able):	-			,	ich this EDS
G.	Which	City age	ncy o	or dep	partment is r	equestin	g this EDS?	P_£P_	_					
	If the M		sac	ontra	ct being har	ndled by	y the City's	Depar	tment o	of Procure	ement S	Servi	ces, please of	complete the
	Specific	cation tt					and Con	tract f	t	•				

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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF Till: DISC LOS I NO I'ARTY

File #: SO2015-50, Version: 1	
1. Indicate; the nature ofthe Discl [] Publicly registered business corporartnership [] Limited partnership [ration [X] Privately held business corporation (] Sole proprietorship [] General
(J Limited liability company] Lim corporation (Is the not-for-profit corporation also I J Yes [JNo [] Other (please	
2. For legal entities, the state (or Delaware	foreign country) of incorporation or organization, 'if applicable:
3. For legal entities not organize business in ihc Stale of Illinois as	I in the State of Illinois: lias the organization registered to do foreign entity?
M Yes []No	(' J N/A
13. IF THE DISCLOSING PARTY	S A LEGAL ENTITY:
profit corporations, also list'below al members." For trusts, estates or othe If the entity is a general partnersh joint venture, list below the hame an	citles of all executive officers and all directors of the entity. NOTE: For not-for-rhembers, if any, which are legal entities. If there are no such members, write "no similar entities, list below the legal titleliolder(s). 5, limited partnership, limited liability company, limitedliability partnership or title of each general partner, managing m'chiber, manager or any other person, or nagement of the Disclosing Party. NOTE: Each legal entity, listed below must
Name Title Keith W. (Colburn President	
Richard W. Colburn	Vice {'resident

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

Assistant Secretary

Sceictai y

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David Bradford

David Verbeck

Kuril a-her

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. Jf none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

Disclosing Parly

Keith W. Colburn 555 Skokie Blvd, #555 __33__"

Richard W. Colburn Northbrook, IL 60062

Carpi C. Grigor 33

SECTION I'll -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156,of the Municipal Code, with any City elected official in the 1 2 months before the date this EDS is signed?

UYcs f/j.No.

If yes, please identify below the.namc(s) of such City elected official(s) and describe.such relationship(s):

SECT!QN 1V -- D1SCLOSURE.OF, SJUBCONTRACTORS AND OTHER REGAINED F ARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount ofthe fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Parly's regular payroll.

"Lobbyist" mean's any person or entity .who undertakes lo influence any legislative or administrative action on behalf of any .person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Parly must either ask the City .whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE: "hourly rale" or "f.b.d." is not an acceptable response.

File #: SO2015-50, Version: 1	
(Add sheets if necessary)	
L/ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.	SECTION V -
CERTIFICATIONS	
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE	
Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must recompliance with their child support obligations throughout the contract' term.	main in
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any obligations by any Illinois court of competent jurisdiction?	child support
[] Yes f^5 No [] No person directly or indirectly owns 10% or more ofthe Disclosing Party.	
If "Yes," has'the person entered into a court-approved agreement for payment of all support owed and is the. person in with that agreement?	compliance
B. FURTHER CERTIFICATIONS '■'■	
1. Pursuant to Municipal Code Chapter 1-23, Article 1 ("Article I")(which the Applicant should	
consult-for fo^ "doing business") arid legal requirements), if the DiscTp'si'rig'. Party submitting this/EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: Applicant nor any controlling person is 'currently ihdic'tcd'or charged with, or has admitted guilt of, or has ever been concluded under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, perjury, dishonesty or de'ceit'against an officer or employee of the City or any sister agency; and (ii) the Applicant und acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If A to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in 2 and 3 below.	onvicted of, or fraud, forgery, lerstands and article 1 applies
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- 2. The Disclosing 1-'any and. if the Disclosing Party is a legal entity, all ofiho.se http://ofiho.se persons or entities identified in Section 11 B 1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, slate or local unit of government;

- b. have not, within a five-year period preceding the dale of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing n public (federal, stale or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement: theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with commilling any ofthe offenses set forth in clause B.2.b. of this Section V;
- d. have not. within a five-year period preceding the dale of this.EDS, had one or more public transactions (federal, slate or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the dale of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern: .
- the Disclosing Party;
- any "Contractor" (meaning any.contractor or.subcontractor used by the Disclosing Party in connection with the Matter,, includirigout not limited to all persons or legal entities disclosed under
- . .Section: V,."Disclosure of Subcontractors.and,Other, Retained .Parlies**);
- any "Affiliated. Entity" (meaning a person or entity thai, directly or indirectly, controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under : common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or slate or local government, including, the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity; means a person or entity that directly or indirectly controls the Contractor, is controlled by it, 'or,-wilh the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee ofthe Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents').

Page 5 of 13

Neither Ihe Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated P.ntiiy, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's conlraci or engagement in connection with Ihe Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency ofthe federal government or of any stale or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been'a party lo any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by

agreement lo bid a fixed price or otherwise; or

- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated Ihe.provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of stale or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists
- 5. maintained'byithe Office' bfForeign Assets Control of the U.S. IJcpartmehrbf the Treasury or'the.
- 5. Bureau of Industry and Security bftlic-'U.S/Departmeiit of Commerce "or .'their successorsiTlic^Specially
- 5. Designated Nation^ Persons List, the Unverified(List, the Entity List and the
- 6. The blsclbsihg'Party-uhdc'rsiahds"aiKl'sliall comply with The applicable-requirements of Chapters
- 6. 2-55 (Legislative Ihspector Geheral)'. 2-56 (Inspector General)'arid '2-1 56 (Governmental Ethics)' of the
- 6. MuriicipaPCodc. ':'**='**
- 7. Ifthe Disclosing' Parly is unable to certify to any of the above statements in this Part 13 (Further Certifications); the/Disclosing-Partymust explain below:

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If the Idlers "NA," the wo id "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Parly who were, at any time during the 1 2-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

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9. To the best of ihc Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any lime during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago, for purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or 10 the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

None '

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [X] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of The Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the. Municipal Code) is a predatory lender withinthe meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If llic letters "NA," the word "None," or no response appears on the lines above, ii will be conclusively presumed thai the Disclosing Party certified to ihe above siavemens.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his. or her own name .or in the name of any other person or entity in the Matter?

[] Yes . p<] No

NOTE:, If you checked "Yes" to Item D. I ...proceed to Items D.2. and D.3. If you checked "No" to

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Item D I., proceed lb. Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any properly that (i) belongs (o the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Properly Sale"). Compensation-for property-taken pursuant to the City's eminent domain, power does not constitute a financial interest within the meaning of this Part D.
Docs the Matter involve a City Property Sale?
[] Yes IX] No
3. If you checked "Yes" to Item D.l., provide the names and business addresses ofthe City officials or employees having such interest, and identify the nature of such interest:
Name Business Address . Nature of Interest
4. The Disclosing Party further certifies that no prohibited financial interest in-the Matter will be acquired by any-City official or employee.
E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS
Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in a attachment to ibis EDS all information required by paragraph 2. Failure lo Page 8 of 13
comply wild these disclosure requirements may make any conlract entered into with the City in connection with the Matter voidable by the City
¹ ■ The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued lo slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Parly has found no such records.

constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in .those-records:

_2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder ..insurance policies. The Disclosing Party verifies that the following

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

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NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations ofthe City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that ihe Disclosing Party means that NO persons orcntitics registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with-icspect to the:Matler.)

2. The Disclosing Party has not spent and will not expend any federally apbrop'riated.funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law. a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Parly will submit an updated certification at the end of each -calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501 (c)(4)'of the Internal Revenue Code of 19S6; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Parly is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before ii awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duralion of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Mailer is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following infomVatioh; with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[] Yes (1 No

If "Yes," answer the three questions below:

1. "Have you developed and do you have on file-affirmative action programs pursuant lo applicable

federal regulations? (Sec 41 CFR Part 60-2.)
[] Yes []No

- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal
- 2. Contract Compliance-Programs, or the Equal Employment'Opportunity Commission all reports clue
- 2. under the applicable filing requirements? , . . . iVcs'*';""-'' | No .
- 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[] Yes '**=**' [] No

If you; checke'd "No" to question I. or 2. above, please provide an explanation:

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SEC TION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENAL TIES, DISCLOSURE

The Disclosing Parly understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect 10 the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental'Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Elhics http://www.cityofchicago.org/Elhics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Parly must comply fully with the applicable ordinances.

- C. If the Cily determines that any information provided in this EDS is false, incomplete or inaccurate, any 'contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under (he contract or agreement (if not rescinded or void j; at law, or in equity, including terminating the Disclosing Party's participation in the M-itter and/or declining to allow the Disclosing Parly to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the Cily of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information AcLrcquesl, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases

any.pqssible rights or claims which it may have against the Cily in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information 'submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the Cily takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires." NOTE: With respect to Matters subject'lo Article I'-of-. Chapter 1-23 ofthe Municipal Code (imposing PERM ANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-1 54-020 of the Municipal Code.

The Disclosing Party represents and warrants ibat:

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- I'.l The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If Uie Disclosing Parly is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit. Their subcontractors to use. any facility listed by the U.S. E.-P.A. on the federal Excluded Parties List. System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain .from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and-substance to those in F.1. and F.2. above and will not, without the prior, written consent ofthe City, use-any-such"contractor/subcontractor that does not provide such certifications or that the Disclosing Party.has.reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.1., F.2. or F.3. above, an explanatory .statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person.signing below: (1) warrants.thatjic/shc .is..authori7.cd to execute ihis-EDS and Appendix A (if applicable) on behalf of thc. Disclosing/Party, and (2) warrants that all certifications^^ contained in this EDS and Appendix A (if.applicable) arc triic,.accurate and.complete as of the date furnished to the City.

Blackfriars Corporation

(Print or type name of Disclosing Party)



KoidfVV; Colburn', (Prinf'or.typcmamc ofperson signing) President

(Print ordypc title o.f.person signing)

Signed and sworn to-.before mc.on (date)^/i>jerxh0s±jc£^.t^J!6L.i

at JooJL..,.., ...County, jn,4^aii_.. (state). .

<-^^_jlW'JM~. /7)\-CjX-~*WJ. Notary Public. { ...OFFICIAL SEAL | KIMBERLY M BANKS | > | Notary Public - Slate of Illinois> | I | My Commission Expires Jun 13. 201.6

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as office date this EDS is signed, the Disclosing Party or any "Applicable Parly" or any Spouse or Domestic Partner thereof is related 10 ihc mayor, any alderman, The city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, molher-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section II.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Parly, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) ihe name and title of such person, (2) the name of the legal entity lo which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPEND FX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

Tin's. Appendix is to be completed only by (a) the Applicant, and (b) any. legal entity which has n direct ownership Interest in the Applicant exceeding 7.5 percent (an "Owner"). It-is not to be completed by nny legal entity which has only au Indirect ownership/Interest in the Applicant.

- 1. Pursuant to Municipal Code Section 2-154.010, is the Applicant or anyOwner,identified as a building code scofflaw oivproblem landlord pursuant to Section 2-92-416 ofthe Mtinicypai Code?
 - I JYcs NxTNo
- 2. -If the Applicant is a/legal entity.publiclylra'dcd.oh.any exchange, is any qffice'r.or director of the Applicant identified n's a building code scofflaw or problem' landlord pursuant to .Section 2-92-416 of the Municipal Code?

(]Ycs []No ^J) Not Applicable

- 3. If yes to (I) or (2) above, pJease identify below the name of the person or legal entity,
- 3. identified landlord and the address of the building or
- 3. buildings' to which the pertinent .code violations apply,

FELLING OUT' THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PAJVT OFY THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 3911-3985 N Milwaukee/4871-4777 W Irving Park Road [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

Blackfriars Corporation

Date: fj, ^

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(Print or type legal name of Disclosing Party)	
By: &«	
(sign here)	
Print or type name of signatory: Keith W. Colburn	
Title of signatory:	
<u>President</u>	
Signed and sworn tobefore me on [date] ($^{\}$ QuAC/:. $^{\}$. iua $^{\}$ OJ- Q/mmaJY at Ceo k_{\sim} County, $^{\sim}$ //!> w < $^{\sim}$	Notary Public.
Commission expires:	1 (0) 1
V»r. II-01-flS	
USA M TOMASEttO OFFICIALSEAL Notary Public. Slate of Illinois My Commission Expires November 12, 2018	
CITY OF CHICAGO ECONOMIC DISCLOSURE STATKMENT AND AFFIDAVIT	
SECTION I GENERAL INFORMATION	
A. Legal name of the Disclosing Parly submitting this EDS. Include d/b/iW if applicable:. The Duda No 1	Children Trust
Charl ONE of the following the same	

Chech ONE of the following (lu ce boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. |] the Applicant

OR

2. ^ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: CSD Six Corners LLC

OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 980 North Michigan, Suite 1280

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Chicago, IL 60611 ■

C. Telephone: (312) 377-9111

Fax: hmmil: icollins@clarkstreet.com

Smailto:icollins@clarkstreet.com>

D. Name of contact person: John Collins'

E. Federal Employer Identification No. (if you have one):

F. Brief description of contract,'transaction or other undertaking (referred lo below. thif "Mattel) tpJ

which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment for property located at 3985-3911 North Milwaukee Avenue; 4671-4777 West Irving Park Road

G. Which Cily agency or department is requesting this EDS?

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification #_______ and Contract #______:

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

Person

Publicly registered business corporation

Privately held business corporation

Sole proprietorship

General partnership

Limited partnership

Trust

Limited liability company Limited liability partnership Joint venture

Not-for-profit corporation

(Is the not-for-profit corporation also a 501(c)(3))?

[]Yes L] No

Other (please specify)

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: N/A
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of

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Illinois as a foreign e	ntity?		
[]Ycs	[JNo	. J/N/A	
B. IF THE DISCLOS	SING PARTY IS A LE	GAL ENTITY:	
profit corporations, a members." For trusts If the entity is a ge venture, list below th	lso list below all members, estates prother similal eneral partnership, limite name and title of eact Orday management o	f all executive officers, and all directors of the entity. NOT pers, if any, which are legal entities. If there are no such more entities, list below the legal titleholder(s). Ited partnership, limited liability company, limited liability in general'.partner, managing rn ember, manager or any other the Disclosing Party. NOTE: Each legal entity iisted below	embers, write "no partnership or joint ter person or entity
Name Fritz L. Duda, Jr. Title Trustee			
interest (including ov		tion concerning each person or entity having a direct or inc 7.5% ofthe Disclosing Party. Examples of such an interest ship or joint venture, Page 2 of 13	
similar entity. Jf none	e, stale "None." NOTE the City may require a	I liability company, or interest of a beneficiary of a trust, e Pursuant to Section 2-1 54-030 of the Municipal Code of my such additional information from any applicant which i	Chicago
Name	Business Addr	Percentage Interest in the Disclosing Party	
Virginia Marie Duda	980 N Michigan, #1280	1 1%	
Elizabeth Grace Duda	Chicago, IL 60611]%		
Fritz Lee Duda III		■ %	
SECTION III BUS	SINESS RELATIONS	HIPS WITH CITY ELECTED OFFICIALS	

[] Yes ' f/No. Page 171 of 222

with any City elected official in the 12 months before the date this EDS is signed?

Office of the City Clerk

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 ofthc Municipal Code,

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If yes, please identify below the namc(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party. is not required. to disclose., employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or cntity..who undertakes to influence any legislative or administrative action on bchalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid, basis, or (2) himself. "Lobbyist" also means any. person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name- (indicate whether Business retained or anticipated Address to be retained)
Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

D/j Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V--

CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-41 5, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations'throughout the contract's term.

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Has any person who directly or indirectly owns 10% or more of the Disclosing Party becrt'declared iri arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [/I No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement? ■

[) Yes ;;"[] No ' :"" .'.";"

B. FURTHER CERTIITCATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g.; "doing business") and legal requirements), if the Disclosing Party submittingj'tlnpEDS is the Applicant and is doing business with the City, then ilk.DisclosingVParty certifies as follows: (i) ncithcr'the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or'has ever been convicted of, or placed under supervision" for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud,- forgery,, perjury, dishonesty or deceit against ah officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If A rticle 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, it'the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.13.1, of this EDS:

- arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or stale antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated.for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by. the City. or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern::

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not .limited to all persons.or legal entities disclosed under . Section ry, "Disclosure of Subcontractors and Other.Retained Parties");
- any "Affiliated Entity":(ineaning a person or entity that, directly or indirectly: controls.the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation:. interlocking.management or ownership; identity of interests among -family mcinbers,--shared facilities and equipment; common use of employees; or organization of a business entity fo.ljowing the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or. wilh the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated lintity, "acting pursuant to the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Patty, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or. with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe Ciiy. the State of Illinois, or any agency of the federal government or of any slate or local government in the. United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a: or b. above ihat is a matter of record, but. have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party,"Affiliated Entity or Contractor; or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (I.) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Partymor any Affiliated. Entity: is listed on any of<thc following iists a maintained by the Office of Foreign Assets' Control of the tl.S.: Department? of the Treasury'-or; the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persdhs. List, thei Uhverified List. 'the Entity'. Debarred List.
 - ■6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector

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General); 2-56.(In	nspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
	7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), th	ne Disclosing Party must explain below: N/A

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)f the letters "NA," the word "None," or no response appears on the linos above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or'(ii) food or drink provided in the course of official City business and having a retail value of less than \$20pcr recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION '

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is (/] is not ^

a "financial institution" as defined in Section 2-32-455(b) of,the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them .will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-3 2-45 5(b) of the Municipal Code) is a predatory lender within the meaning of Chapter' 2-32 of the Municipal Code, explain here (attach additional

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pages if necessary):		
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	ne word "None." or no response ap sclosing Party certified to the abov	pears on the lines above, it will be conclusively be statements.
D. CERTIFICATION	N REGARDING INTEREST IN C	TTY BUSINESS
Any words or terms to this Part D.	that arc defined in Chapter 2-156 c	of the Municipal Code have the same meanings when used
		unicipal Code: Does any official or employee of the City have a of any other person or entity in the Matter?
NOTE:' If yqu check Part E.	red "Yes" to Item D.l., proceed lb I	Items D.2. and D.3. If you checked "No" to Item D.1 proceed to
employee shall have purchase of any prop legal process at the s	a financial interest in his or her ownerty that (i) belongs to the City, or uit of the City (collectively, "City"	e bidding, or otherwise permitted, no City elected official or vn name Or in the name of any other person or entity in the (ii) is sold for taxes or assessments, or (iii) is sold by virtue of Property Sale"). Compensation for property taken pursuant to the ncial inlerest within the meaning of this Part D.
Does the Matter invo	olve a City Property Sale?	
[] Yes	(/] No	
_	d "Yes" to item D.l., provide the n and identify .the nature of such int	ames and business addresses of the City officials or employees erest:
Name ,	Business Address	Nature of Interest
		prohibited financial interest.in the Matter will be acquired by any
Cilve official on amount	27722	

Cily official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment, to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by ihe Cily.

- ^ 1. The Disclosing Party verifies that the Disclosing Party has searched-any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery eta (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, (he Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies, The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: II" the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if .the woid. "None", appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect.to the Matter.)

2, The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, riiaking any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which then: occurs any

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event that	t materially affects	the accuracy of the stat	ements and infor	rmation set forth	in paragraphs A	.l. and A.2. above	2.
Code of 1		certifies that cither: (i) organization described Activities".	_				
paragraph	ns A.T.'through A.4 ubcontractors' certi	ty is the Applicant, the above from all subconfications for the duration	ntractors before i	t awards any sub	contract and the	Disclosing Party	must-maintain
B. CERT	IFICATION REGA	ARDING EQUAL EMI	PLOYMENT OP	PORTUNITY			
	actors to submit" the	nded, federal regulation e'following information			-		
Is the Dis	sclosing Parly the A	applicant?					
[] Yes	:	I] No		, .			
If "Yes,"	answer the three qu	uestions below:					
	Part 60-2.)	andVdo you have on	file 'affirmative	action programs	pursuant to app	plicable federal r	egulations? (Sec
2. H	Have you filed with	the Joint Reporting Co.	mmittee, the Dir	ector of the Offic	ce of Federal		
2. <i>C</i>	Contract Compliance	e Programs, or the Equ	al Employment (Opportunity Con	nmission all repo	orts due	
2. <i>un</i> [.] Yes		fiiing requirement's?		■ -, ■ ■>-			
3. H		ed in any previous control [] No	racts or subcontra	acts "subject to t ■ - '; ■	he equal opportu	nnity clause?	

If y'oii checked "No" to question 1. or 2. above, please provide an explanation:'

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SECTION V11 -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part cut'any contract or other agreement between the Applicant and the City.in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based..
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaKo.orR/Ethics http://www.cityofchicaKo.orR/Ethics, and may also be obtained from the City's Board of Ethics. 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with .which it is submitted may be rescinded or be void or voidable, and flic-City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or'in equity, including terminating the Disclosing-Party's., participation the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City.' Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS arid any attachments to this EDS.may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's DepartmentofProcurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters .subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the. payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Parly or its Affiliated Entities delinquent in paying any fine, fee, lax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Parly is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. oh the federal Excluded Parlies List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Parly will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and-F.2. above and will not, without the prior written consent of the City; use any such contractor/subcontractor that does not provide such'ccrtifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person; signing bclow: (1) warrants thathc/shc is authorized to execute this EDS.and.Appendix. A (if applicable) on-behalf of the Disclosing Party; and!(2) warrants that all... certifications and statements contained; in this EDS and Appendix A (if applicable) are true, accurate and completers of the .dale furnishedMoThc City.

The Duda Children trust No. 1
(Print or type name of Disclosing Party)

. (Sign ;h ere) Fritz L Duda, Jr.

(Print or type name of person signing) Trustee
(Print or type title of person .signing)

Signed and sworn to before me on (date) (f>5\\ Qi=> 1 I

at <-> d> C County;...____; (state).

Commission expires: «-i |] *-/) ^ ^-i

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of die Disclosing Party listed in Section U.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

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Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Ycs r/]No

If yes, please identify below (1) the name and title of such person, (2) the name ofthe legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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crry of Chicago ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX 13

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix, is to be completed only b.y.(ti)'(he Applicant, and (b) any.legal entity which has n direct ownership interest in the Applicant. The Applicant (tin "Owner"). It is not to be completed by any legal entity which I ins pnly aujndirect ownership Interest in the Applicant.

- 1. Pursuant to Municipal Code Section 2-154,bl0, is the Applicant or any Owner identified as a
- 1. building code scoffluworproblem landlord pursuant to Section 2-92-416 ofthe Municipal
- 1. Code? ...

[JYes i^No

2. If the Applicant is a legal entity publicly tra'dc'd.p.n any exchange;-is any officer.or director of the Applicant 'identified as'; abuilding code scofflaw or problem landlord pursuant toScction 2-92-416 ofthe Municipal Code?

3. If yes to (1) or (2) above, please identify below the riainc of the person or legal entity identified as a bujldmg'.cc^e. scofflaw. or.probleml&'nttlb;d and 'the address of the building or buildings to v/hich tiie.r^rtinent cqdc violations apply.

FffjLING OUT TDTS APPENDIX B CONSTITUTES ACKNOWLEDGMENT' AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE RETRESENTATIONS^MAD^ ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 3911-3965N Miiwfiukoe-/4871-4777 W Irving Park Road [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized lo execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

The Duda Children Trusl No 1 (Prinl or type legal name of Disclosing Pa	<i>Date:</i> rty)	. Ik.	
By:			
(sign here)			
Print or type name of signatory:			
Fritz L. Duda, Jr.			
Title of signatory:			
Trustee			
Signed and swom.to before mc on {date} l^t^yT^v.Aq v7J> at Oc&iZ-\\uAjbjfj^J^juU^	_	, by , TT I [state].	
Commission expires: $C \gg / *-] > /\sim 7$	·		
v _{er} . 1,-0.45 J OFFICIA	AL SEAL ANE ILLI	EL MEDINA NOTARY PUBLIC,.S' NOIS My Commission Expires April	TATE OF 14,2017

CITY OF CHICAGO I'C C O N O MIC DIS C: I, O SUR E ST AT E M E NT AND AFFIDAVIT

SEC TION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: E. Thomas Collins, Jr.

Irrevocable) Children';; Trust dated 0-1/10/96 on behalf of Lisa Marie
Check ONE of the. following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. \J\ a legal entity holding a direct or indirect interest in the Applicant. State the legal name ofthe
2. Applicant in which the Disclosing Party holds an interest: CSD Six Corners LLC OR
3. [] a legal entity with a right of control (see Section 11.13.1.) Slate the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 980 North Michigan, Suite 1280 Chicago, IL 60611
C. Telephone: (312)377-911 Fax: ^ Email: jcollins@clarkstreet.com <mailto:jcollins@clarkstreet.com></mailto:jcollins@clarkstreet.com>
D. Name of contact person: John Collins ;•
E. Federal Employer Identification in the state of the st
F. Brief description of contract, trahsaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project .number and location of property, if applicable): Zoning Amendment for property located at 3911-3985 North Milwaukee Avenue; 4671-4777 West Irving Park Road
G. Which City agency or department is requesting this EDS? P.OD
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification // and Contract #
Page I of 13
SECTION II DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY I.imited Iiability compnny Limited liability partnership Joint venture Not-Ibr-pro fil eo rporatiol 1 (Is the not-for-profit corporation also a 50 I (c [] Yes I.J No Other (please specify)

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I. Indicate the nature of the Disclosing Party Person
Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust.

- 2. for legal entities, the state (or foreign country) of incorporation or organization, if applicable: N/A
- 3. For legal entities not organized in the State of Illinois; Has the organization registered to do business in the State of Illinois as a foreign entity?

I] Yes [JNo . [/I N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below, the fullnames and-tilles of all executive officers and all directors ofthe entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates of other similar entities, list below the legal titlcholder(s).

If the entity is a general partnership, limited partnership, limited liability.company, limited; liability partnership or joint venture, list below the name and title of each general partner, managing member, manager; or any other., persbn or entity that controls the day-to-day; managenvent of the Disclosing. Party: NOTE: Each legal.entity.li.sted; bel6; W must .submit an EDS; on ite, o.wh? behaif.

Name Perry Weinstein Title Trustee-

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% ofthe Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

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interest of a member or mimager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, slate "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Lisa Marie Collins 980 N Michigan Avenue. #1280, Chicago IL 60G11 100%

SECTION 111 - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 ofthe Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

(] Yes t/] No

If yes, please identify:below the namc(s) of such City elected.official(s) and describe such relationship (s):

SECTION IV r- PISCLPSURE OF SUB C O.NTJRACTORS VAND;0T.HER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regujar payroll.

"Lobbyist" means any person or entity who undertakes. to. influence any legislative or administrative action on behalf of any .person or entity other than: (1) a,not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of-whose duties as an employee of another includes .undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure's required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)
Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)
Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "i.b.d." is not an acceptable response

(Add sheets if necessary)

CERTIFICATIONS

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A. COURT-ORDERED CHILD'SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party'been 'declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

f] Yes $[\land$ No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes;" has the person eritered' into-a eburt-approved'agreehienf for payment of all support owed and is the person in compliance with that agreement?

[] Yes []'No! '***■**;

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defihed'terms (c-g.y "dbing business") ahd'iegal'requiirements), if the bisblbsi'ng' Pafty submitting this EDS is the Applicant and is doing business with'the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt'of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actua), attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.D. 1. of this EDS: I
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of-this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within n five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged . guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any stale, .or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- ♦ Ihe Disclosing Parly;
- * any "Contractor" {meaning-any contractor or subcontractor used by the Disclosing Party in connection \vith.we\Matter; fi.nc^.uding.:but not limited to all persons-or.legalentitic.s disclosed-under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the . Disclosing Partyvis controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking manageinent, or 'p,\Y'nw.ship.; identity of interests amongifamny.rnembe shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including ihe City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that "directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

 any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employed of the Disclosing Parly, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, tier any Contractor, nor any Affiliated Entity of cither the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Malicr:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the Stale of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity; 1
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record; but have not been prosecuted for such conduct; or • > . ■
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party,-Affiliated Entity or Contractor, or any of their employees/officials, agents or partners, is barred from contracting with any unit of.'.state or local government as a result of engaging in or being convicted of (I) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of-any-state Oribf the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

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5.	Neither the Disclosing Party hbf any	y Affiliated Ent	ity isiistbd bivany (Of the following lists'
5.	inaiiitained-by the Office of Foreign	n'As'seis;^	U-^DepaHrnchf-	o' f-the'Treasury or-the
5.	Bureau of Industry and Security of	the	the Specially	
5.	Designated;;Natibnals List, tlie Der	nied Persons jjis	stft^^	List and the ;-
5.	Debarred List.	>	,.	%
6. (Legis	The Disclosing Pany understands a slative Inspector General), 2-56 (Insp			le r'equircments of Chapters 2-55 mental Ethics) ofthe Municipal Code.
7. Disclo	If the Disclosing Party is unable to osing Party must explain below:	certify tp'any o	f the above statemen	nts' in this Part B (Further Certifications), the
		Page 6 of 1	13	
		C		
	letters "NA." the word "None," or n sing Party certified to the above stateme		ears on the lines ab	ove, it will be conclusively presumed ihnt the
emplo	To the best ofthe Disclosing Party's knowers of the Disclosing Party who were, a yee, or elected or appointed official, ofthe	nt any lime during	g the 1 2-month perio	d preceding the execution date of this EDS, an
Discloto an eanythic City b	sing Party has given or caused to be given employee, or elected or appointed officiang made generally available to City emp	en, at any time del, ofthe City of Colovees or to the stan \$20 per reci	uring the 1 2-month p Chicago. For purposes general public, or (ii)	following is a complete list of all gifts that the period preceding the execution date of this EDS, sof this statement, a "gift" docs not include: (i) food or drink, provided in the course of official the with "N/A" or "none"). As to any gift listed
N/A				
C CE	RTIFICATION OF STATUS AS FINAL	NCIAI INSTITI	ITION	

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1. The Disclosing Party certifies that the Disclosing Party (check one)

 \bigvee is not

1. [] is

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a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Parly IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge-that hone of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the Cily."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Page 7 of .13

If ihe letters "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that arc defined in Chapter 2-1 56 of the Municipal Code have the same; meanings when used in this Part D.

1. In accordance with Section 2-156-1 10 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

NOTE: If you checked "Yes" to Hem D.l., proceed to Items D.2. and D.3. If you checked "No" to item D.l., proceed to .Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for-taxes or assessments, or (iii) is sold by virtue of legal process-at the suit of the City (collectively, "City Property Sale"). Compensation for property taken ^pursuant to the City's eminent domain po wet-docs not constitute a financial interest within the meaiirig of this Part D.

Docs the Matter involve a City Property Sale?

i] Yes I/I No

3. If you checked "Yes"- to Item D; 1., provide the names and b'usiness addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING' SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- ^ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Parly has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in slcp 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, lax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

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2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay-any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of n member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or 10 extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set for iIt in paragraphs A.l. and A.2. above.
- A. The Disclosing Party certifies that either: (i) it is not an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal'in form and substance'to paragraphs A. l.'through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party mtist maintain all such subcontractors' certifications for the duration of the Matter'and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal-regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. r

[] Yes [] No ... -.

if "Yes," answer .the.,thre.e.:questions:belq\y: ...

1. Have you developed and do you'haveph file affirmative action;prpgr.ajnis,pursuantjo.applicable federal regulations? (Sec 41 CFR Part 60-2.)

[] Yes [J-Nb

Is the Disclosing Party the Applicant? - . -

- 2. Have, you filed with the Joint Reporting Committee, the Director ofthe Office of Federal
- 2. Contract Compliance Programs, or the Equai Employment Opportunity Commission all reports due
- 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [:]Np

If yoti checked "No" to question 1. or'2. above, please provide an explanation:

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SUCTION VII - ACKNOVVLEDGMKNTS, CONTRACT INCORPORATION, C O M P LIA N C E, PI: NALTIE S, D JSCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking-other action with respect to the Matter.' The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaKO.orR/Ethics http://www.cityofchicaKO.orR/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) .744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete orinaccur'atc,
- C. any contract or other agreement in connection with which it is submitted may be rescinded or be void or
- C. voidable, and fhe Cily may pursue any remedies under the contract or agreement (if not res'cinded or
- C. void), at law, or iri equity, including tenninatin'g'^ncDisclosihg.P

- in '**■**'the' Matte r[:]'ahd/or
- C. declining to allow the Disclosing'Party to participate in other transactions with the City. Remedies at
- C. law for a false statement of material fact may include incarceration and an award'to the City of treble
- C. damages.
- D. Iris the City's policy to makethis document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments'to. this EDS may be made available to the public on the Internet, in response to a Freedorh of Information Act-request, or otherwise. By.compleling and signing this EDS, the Disclosing Party waives and releases ^any possible rights or claims which it may have against the City in connection with the public release.of ^nio Ymatibh contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to. Article I of . Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter .1-23 and Section 2-1 54-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

E. TluMta Cdlr.i, Jr. Umnatb CM4ran'« Tnnld<tal GJ/1C/58 oo 1*hili el Liu V.vU

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- F.l. The Disclosing Parly is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are Ihe Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed lo the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property, taxes or sales taxes.
- P.2 If the Disclosing Party istheApplicant, the Disclosing .Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility-listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing. Party .will obtain from any contractors/subcontractors hired .or'to b'c hired in cohnection'witb. the Matter certifications equal in form and'substance to those in F.1. and F.2. above and will hot, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing-Parly cannot certify as to any of theitenis in F.L," F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A-(if applicable^ warrants that ail certifications-and statements cpnWined}in;'^s.;Ei>S ;'an'd Appendix; A (if applicable) are true, accurate and complete as ofthe.date furnished tp;thc City.'

(Print ofDisclosing By: (?X</t^ Party) type name $|PAM^{\uparrow}| \sim P|A^{\uparrow}$ (Sign here) Perry Weinstein, -r-.i..'..'..... '.v...... (Print:br.;typc:nanieofperson.signing); : ■, .. >:.!•■ Trustee (Print or type title of person signing) Sigiiod/aiid swom to before me mi (date). "'f).0ty' I ^ / ojO] L-^liSL-County, C ^. (state). i)o&@jrfP Nolan' Public: Commission expires: STATE OP ILLINOIS f: .My Commission Expires 07/09/2017 i

CTTY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to hi- completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. U is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Patty" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable rarty" means (1) all executive officers of die Disclosing Party listed in Section II.B.I.a., if the Disclosing Party is a corporation; all partners ofthe Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person. exercising similar authority..

Docs the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[J Yes f/J.No

If yes, please identify below (1) the name and title of such person, (2) the name ofthe legal entity to which such person is connected; (3) the name and title ofthe elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT' AND A FFJDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix' is (o he cohiplefed only by (u) the Applicant, and (b) any Icynl entity which hasn direct ownership interest in tiic Applicant exceeding 7.5 percent (nn "Owner"). It Is not to be completed by nny legal entity which lias only an indirect ownership Interest in the Applicant.

File #: SO2015-50, Versio	n: 1	
	Code Section 2-154-010, is ant to Section 2-92-416 of the section 2-92-416 of	the Applicant or any Owner identified as a building code scofflaw or the Municipal GodeV
[]Ves tyTNo		
2. If the Applicant is a le building code scofflaw	gal entity publiclytraded or problem landlord pursua	on any exchange, is any officerpr director of rhc.'AppIjcanf identified as a ant to Section 2-92-416 of the Mun icipal Code?
[]Yes	[]No	"f^] Not Applicable
		.n'amc ofthe person or legal entity identified as n building code scoffiaw ng or buildings to Which the pertinent;code violations apply,
APPENDIX B IS INCO	RPORATED BY REFERE	TES ACKNOWLEDGMENT AND AGREEMENT THAT THIS ENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND
		IS APPENDIX B ARE SUBJECT TO THE CERTHTGATTON MADE OF THE ASSOCIATED EDS.
	City Council or on the	R EDS. The purpose of this page is for you to recertify your EDS date of closing. If unable to recertify truthfully, the Disclosing Party aformation)
	RECERTIFICATIO	ON .
Generally, for use with City	y Council matters. Not for (City procurements unless requested.
[identify tlie Mutter]. Unde he/she is authorized to exec warrants that all certification	er penalty of perjury; the per cute tin's EDS recertification cons and statements container polete as of the date furnished	with 3911-39B5 N Mllwaukee/4B71-4777 W Irving Park Road erson signing below: (1) warrants that n on behalf of the Disclosing Parry, (2) and in the Disclosing Party's original EDS d to the City and continue to be true, tion, and (3) reaffirms its
E. Thomas Collins, Jr. Irredated 04/10/96 on behalf of		
(Print or type legal name of	Disclosing Party)	
Print or type name of signar	cory:	
Perry Weinstein		
Title of signatory:		
Trustee		

^g^=rjjL_U)fin ~ib<A \blacksquare^{at} &p^= County,_ Notary Public.

-Signed and swom to before mc on [date]

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OFFICIAL SEAL ANEL MEDINA NOTARY PUDUC. STATE OF ILLINOIS Commission Explros April 14,2017

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A.	Legal	name	of	the	Disclosing	Party	submitting	this	EDS.	Include	d/b/a/	if	applicable:	CSD	Six	Corners
LL	С															

Check ONE of the following three boxes:

Indicate whether the Disclosing Party sul	bmitting this EDS is:
---	-----------------------

- 1. ∨ the Applicant
 - OR
- 2. [] a legal entity holding a direct or indirect interest in the Applicant. Stale the legal name ofthc
- 2. Applicant in which the Disclosing Party holds an interest:

 $\cap \mathbb{R}$

- 3. [] a legal entity with a right of control (sec Section II.13.1.) Slate the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 980 North Michigan, Suite 1280

 Chicago, IL 60611
- C. Telephone: (312) 377-9111 Fax. Email: jcolllns@clarkslreet.com <mailto:jcolllns@clarkslreet.com>
- D. Name of contact person: John Collins
- E. Federal Employer Identification No. (ifyou have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amondment for property located at 3911-3985 North Milwaukee Avenue; 4671-4777 West Irving Park Road

G. Which City agency or department is requesting this EDS? DPP

If the Matter is a conlract being handled by the City's Department of Procurement Services, please complete the following:

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Specification //		_ and Contract //	
Page 1 of 13			
SECTION I) DISCI	LOSURE OF OWNERSE	HIP INTERESTS	
Person		I. Indicate (he nature of Lite Disclosing Party vately held business corporation Sole proprietorship	
Limited liability comp Not-for-profit	oany Limited liability part corpora 1. i o 11	nership Joint venture	
2. For legal entities	s, the state (or foreign cou	untry) of incorporation or organization, if applicable:	Illinois
3. For legal entition. Illinois as a foreign en	_	ate of Illinois: Has the organization registered to do b	ousiness in the State of
[] Yes	[] No	{/j N/A.	
B. IE THE DISCLOS	ING PARTY IS A LEGA	L ENTITY:	
corporations, also list trusts, estates or other If the entity is a ge list below the name an	below all members, if and similar entities, list below eneral partnership, limited and title of each general pa	Il executive officers and all directors of the entity. Not y, which are legal entities. If there are no such member with the legal titlchoider(s). I partnership, limited liability company, limited liability transfer, managing member, manager or any other person of the legal entity listed below must submit an Executive of the entity listed below must submit an Executive of the entity listed below must submit an Executive of the entity listed below must submit an Executive of the entity.	ers, write "no members." For ity partnership or joint venture, on or entity that controls the day
Name Title CSD Six Cor	mers Member LLC Manager		
(including ownership	•	on concerning each person or entity having a direct or Disclosing Party. Examples of such an interest inclu- nture,	

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-1 54-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

CSD Six Corners Member LLC 980 N. Michigan Avenue, #1280, Chicago, IL 60611 50% Bixby Bridge Fund II LLC 50%

SECTION HI -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes \(\lambda \ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the lees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action,

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained) Attorney, Schain Banks,	Business Address 70 W Madiso	(subcontractor lobbyis	, attorney,	-	
			J		
(Add sheets if necessary)					
[] Check here if the I	Disclosing Pa	arty has not re	etained, nor expects	to retain, any such perso	ns or entities. SECTION V -
CERTIFICATIONS					
A. COURT-ORDERED	CHILD SUP	PORT COMPI	LIANCE		
Under Municipal Cod compliance with their chi				ss entities that contract with erm.	the City must remain in
Has any person who dire obligations by any Illinoi	•	•		osing Party been declared in	arrearage on any child support
[] Yes f/	j No	f] No person Disclosing I		owns 10% or more ofthe	
If "Yes," has the person with that agreement?	entered into a	court-approve	d agreement for pay	nent of all support owed and	l is the person in compliance
[] Yes [] No				
B. FURTHER CERTIFI	CATIONS				

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Parly certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Parly is a legal entity, all of those persons or entities enlifted in Section If.B.l. of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have, not, within a five-year period preceding the date of litis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen properly;
- c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, stale or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a live-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (nteaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly cotytrols the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant, to Ihc direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency ofthe federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or .the Bureau of Industry and Security of the U.S. Department of Commerce of their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of ail current employees ofthe Disclosing Party who were, at any lime during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to

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an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available ro City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. $\lceil \rceil$ is $\forall \land$ is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Page 7 of 1.3

If the letters "NA,". the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Parly certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-1 56 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Ycs 1/1 No

NOTE: Ifyou checked "Yes" to Item D.1., proceed to Hems D.2. and D.3. Ifyou checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i)

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belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power docs not constitute a financial interest within the meaning of litis Part D.

Docs the Matter involve a City Property Sale?

3. Ifyou checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below, if the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- _f 1. The Disclosing Party verifies that the Disclosing Party-has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step I above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

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A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the "Mailer: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that die Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend., or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing I¹ arty will sub-mil an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party'is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through.A.4. above from all subcontractors before it awards.any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	arty the Applicant?
[] Yes	[] No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable, federal regulations? (See 41 CFR Part 60-2.)

[]Yes []No

2.	Have you filed with tlie	Joint Reporting Committee, the D	irector ofthe Office of Federal Contract Compliance Programs, or
the Eq	jual Employment Opporti	unity Commission alt reports due un	nder the applicable filing requirements?
[]	Yes	[] No	
3.	Have you participated in	n any previous contracts or subcont	tracts subject lo the equal opportunity clause?
ſJ	Yes '	[] No	

Ifyou checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaKQ.orR/Ethics http://www.cityofchicaKQ.orR/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any. possible rights or claims which it may have against the Cily in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event, of changes, the Disclosing Parly must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-

1 54-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. Die Disclosing Party is not delinquent in the payment of any lax administered by (he Illinois Department of Revenue, nor are the Disclosing Party or ils Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the Cily. This' includes, but is not limited lo, all water charges, sewer charges, license fees, parking tickets, properly taxes or sales taxes.
- F.2 If the Disclosing Parly is the Applicant, the Disclosing Parly and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or (o be hired in connection with the Matter certifications equal in form and substance lo those in F.l. and F.2. above and will not, without the prior written consent of the Cily, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the E)isclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the Cily.

CSD Six Corners, LLC

(Sign here) (Print or type name of person signing)

Manager of CSD Six Corners Member LLC

(Print or type title of person signing)

Signed and sworn to before me on (d

"•■ jCc^jCL- County, "XlL,

Notary Public.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMEN T HEADS

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Tin's Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Patty or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if. as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section H.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Patty or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes I/) No

If yes, please identify below (1) the name and title of such person, (2) the name ofthe legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT ANT) AFFIDAVIT APPENDIX Ii

BUILDING CODE SCOFFLAW/FROBLEM LANDLORD CERTIFICATION

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has n direct 'ownership Interest in the Applicant exceeding.7.S percent (nn "Owner"). It is not to be completed by any legal entity which has only an Indirect ownership interest in the Applicant.

1. Pursuantto Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Sectloiv2-92-416 of the Municipal Code?

[]Yes (VfNo

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2.	If the	Applicant	t is a	legal	entity	publicly	traded	on	any	exchange,	is a	any	officer.or	director	of the	Applicant	identified	as
	a.build	ling 'code	scoff	law or	proble	em landlo	rd purs	uant	t to S	Section 2-92	2-41	6 of	f the Munic	cipal Coc	le?			

[]Yes []No ^J] Not Applicable

3. If yes tO;(I) or (2) above, please identify below the name of the person or legal entity •identified as a building code scofflaw or problem landlord and theaddress ofthe building or buildings to which the pertinent code violations upply,

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you 10 recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTHTCATION

Generally, for use with City Council matters. Not for City procurements unless requested.

'litis recertification is being submitted in connection with 3911-3985 N Milwaukee/4871-4777 W Irving Park Road [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue lo be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

CSD Six Corners, LLC

(Print or type legal name of Disclosing Party) By:

(sign here) Print or type name of signatory:

Richard Hulina

Title of signatory:

Manager

Signed and sworn to before mc on [dale] 2)22! IL

A^AVVoV.rN/V

, at Q^£UC

, by County' -33

tsla,c]

/ A '''/> ^/_{t...}>,

Notary Public.

Commission expires: \(^i\text{q/}, 3\) . j

NOTARY PUBLIC. STATE OF ILLINOIS My Commission Expires April 14,2017 '

v *y V v *o> mv *tr^p-*v>-nr v o

File #: SO2015-50, Version: 1				
SECTION II DISCLOSURE OF OWNERSHIP INTERESTS				
A. NATURE OF Till- DISCLOSING PARTY				
1. Indicate die nature of ihc Disclosing Ps] Person] Publicly registered business corporation] Privately held business corporation] Sole proprietorship] General partnership] Limited partnership] Trust				
2. For legal entities, the state (or foreign c Illinois				
[X] Limited liability-company [] Limited liability partnership [] Joinl venture [] Not-for-profit corporation (Is the not-for-profit corporation also n 501(c)(3))'? () Yes [] No f] Other (plcascspecify)				
mtry) of incorporation or organization, if applicable;				
3. For legal entities not organized in the State of Illinois: Mas the organization registered lb do business in 'the'-State of Illinois as a foreign entity?				
[] Yes f] No [X] N/A				
B. IF THE DISCLOSING PARTY ISVAILEGALENTITY:				
I. List below the Tull names and titles of all executive, officers, and all directors of the entity. NOTE: For rioFfbr-pfofil corporatioiis, also list below'all'membe'rs, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or .other similar entities, list below the legal titleholder(s). If the entity is a general partnership.diinited partnership, limited liability company, limited liability partnership or joint venture;' list beibw-the'nhme and'title^of, eacliigeherai partner, maVa^ing-ine'mber,' manager or any other persomqr entity thai controls the di-to-day'management of the Disclosing.Party, NOTE: Each legal entity listed below thust s^ ' Name Title David D. Colburn Manager				

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership)'in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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imcresi of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the Cily may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in (he
Disclosing Party

Blackfriars Corp 555 Skokie Blvd., Northbrook, IL 70%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes " fXj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship's):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RE TAINED PARTIES

The Disclosing Party must disclose the name and business address of cacli"subcontractor,' attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Parly has retained or expects to retain in .connection with the Matter, as well as the nature of the relationship, and'tlic total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose, employees who are paid solely through the Disclosing Party's regular .payroll.'

"Lobbyist'-'-'mcan's ahypersbh or entity who undertakes to influence any lcgislative"bf^administrative action on-behalfof any person .o« not-for-profit cntity,foiV an unpairJ basis, 6r (2) himself. "Lobbyisf'also means any person or entity any part of whose duties as an employee of another includes uriderfakihg"toMnfluche'e'any legislative or adnu^ ' »■-■■.■.■■●

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.'

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Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: to be retained) lobbyist, etc.) "hourly rate.'* or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

tX) Check here if the Disclosing Parly has not retained, nor expects to retain, any such persons or entities. SECTION V -

CERTIFICATIONS

A, COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing; Party-been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes :\$?\No No person directly or indirectly owns 10% or more ofthe Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and

is the person in compliance with that agreement?

W\$£*^ iO:No" ^ ''' [,,;Vr.-' VZ^J'JS,'^',^ ,

..,.,=;••.-!•' V.,

B. FURTHER CERTIFICATIONS

.L,; ,Pur.su>iif to,Municjpal.Code Chapter 1 -23,, A.rlic|c,I.("Article^.(whjch,thc Appficant should

coitsuit;,fq'r^ business") andi, 1 cgal;rcquircnicnts), if ilhc/Disclbsing.Rarty., siibmitdngTh.is';ED and is.dping business with .the.City,- lncn..thc.piscjp,sjn .Party certifies as follows: (i) neither the Applicant nor Hny.^c.o.ntrolling.person is current.ly.jndicied:or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense inyolving;nctiial, attempted, or conspiracy to .comniit bribery,; theft,Traud, forgery, perjury, dishonesty.or.deceit agtiinst an officer or employee.o'f tlfe.City oi' any;sisterjagency; and (ii)-the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some live-year compliance timeframes in certifications 2 and 3 below.

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2. The Disclosing 1'nrly and. if the Disclosing Party is a legal cmily, all of those persons or entities identified in Section 11.13.1. of this HDS:

- '«: are not presently .debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or hud a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing n public (federal, state or local) transaction or contract under a public transaction; a violation of federal or slate antitrust.statutes; fraud: embezzlement: theft; forgery: bribery: falsification or destruction of records: making false statements; or receiving stolen.property;
 - c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, slate or local) with committing any ofthe offenses set forth in clause B.2.b. of this Sect ion V;
 - d. have not, within a five-year period preceding ihc.dale.of this EDS, had onc.or more public transactions (federal, slate or local) terminated for cause or default; and ,
- e. have not, within a five-year, period preceding the date of.ihis.EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by ihe City or by.ihe federal.gov.ernment, any statCj or any other unit of local government.
- 3. The certifications in subpartsi3;,4.and.5 concern: ; ;-
- the Disclosing Party;
- any '>Cohtrac,tor" (meaning any «co.ntractbr or s.ubc;ohl.ractor..iisc.d;by thc.pisclpsing Party in .
- connection! with-the Matter, including but hoi limited to, ail persons or legal cntitic%s'di,s.clpsc-;under-,
- Section IV, -'Disclosure of Subcontractors.and Other. Retained Parlies");
- any "Affiliated Entity" (meaning>^ers.on:or••cn.tity.•thal,^directly•.or indirectly: cpntrpls the Disclosing Party, is controlled by the Disclosing Party, of is, with the Disclosing Party, under .-common control of another person or entity. Indicia of conlrpl include, without limitation:
- 'interlocking management among family members'
 and equipmehlj common use of cnipioyces' prUjrganizati.on'
 ineligibility .of a business entity to do business with federal or state or local government, ;ih,cluding . the City, using substantially the same management, ownership, or principals as the ineligible eniityj; with respect to Contractors, the term Affiliated Entity.mcans:a.person or entity that (Erectly or indirectly controls the Contractor, is controlled by it, or, with, the Contractor, is under common control of another.person or entity;
- « any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Parly or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated fin lily, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe', a public officer of

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employee of the Cily, the Slatc^of Illinois, or any agency of the federal government of of any state or local government in the United Statesof America, 'iirthat officer's or-employee's' official -capacity: '•

- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or 'prospective bidders, in restraint of freedom of competition'-by agreement to bid a fixed-price or otherwise; or
- c. made an'admission of such conduct described in a. or b; above .that 'is 'a matter -d f record, bin
- c. have not been prosecuted for such conduct; or
- d. 'violatedi'iiie.;'prp\dsio'ns'of'Mitnicipal-Code Section 2-92-610 (Living Wage Ordinance).
- 4. "Neither the-Disclbsing-Tarty, Affiliated-Entity or Cohtracfori-orvahy Of their cniployces,- officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any stale or of the United Stales of! America that contains the some elements as the offense of bid-rigging or bid-rotating.
- 5, Ncither'tbcTjiscl^ Affiliated EntityjSilisted^pri anyrof the follo>yirig;listS:.

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 Bureau.of.Industjy;and;Se.cunty'p^ of Cpmriicfce'bW

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v'''6'.";""T'lfc-Discl6siiig"-'P.afty^un'dcrstands' and shall comply with the applicable requirenients-of Chapters 2-55 (Legislative Ins'pecior'Geh'erai). 2-56 (Inspector General) aiid 2-1 56 (Governmen.tal;iEtliics)-of:the MuiiicipalCode. '■"

7. If the Disclosing Party is Tillable to certify to any ofthe abovesiatements in this Part, -13 •(•Further Certifications), the Disclosing Party niust explain below:

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If the letters "NA," the word" "None," oj no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any tiipc during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none"). None

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9. To the best of ihc Disclosing Party's knowledge after reasonable inquiry, the following is a complete lisi of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of ibis EDS, lo an employee, or elected or appointed official, of ihc City of Chicago, For purposes of this statement, a "gift" does not include: (i) anything made generally available to Cily employees or to ihc general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Parly certifies that the Disclosing Party (check one)
- 1. [] is Ik.] is not
- a "financial institution" as defined in Section 2-32-455(b) of ihc Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code, We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of ihe Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Parly certified to ihe above statements.

D. CERTIFICATION -REGARDING INTEREST IN CITY. BUSINESS

Any words or terms that are defined in Chapter 2-156 of Ihc Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City hav,c, a financial interest in his or her own name or in the name of any other person or entity in the Matter?.....

[] Yes . |X)No

NOTE: If you checked "Yes" to Item D. 1., proceed to Items D.1 and D.3. If you: 'checked" No "to item.b. 1.. proceed to Part.E. '-

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no Cily elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or. (ii) is sold for faxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation.fpr property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Docs the Matter involve a City Property Sale?

[] Yes pq No

3. Ifyou checked "Yes" to Item D.l., provide the "names' and business addressesi of the City officials or employees haying: Siich -interest .andjidentiry the nature of such interest:

4. The. Disclosing-PartyTurther certifies that.no http://that.no prohibited financial interest in th.c-Matier will be acquired by any City official.or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Parly checks 2., the Disclosing Party must disclose below or in an attachment to this EDS nil information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X I. The Disclosing Party verifies that the Disclosing Parly has searched any and all records of ihe Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery eta (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of ilheir.slaves), and the Disclosing:Parly.has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from shivery or slaveholder insurance policies. The pisclosing P. arly. verifies that the following constitutes full disclosure of all such . . records including .the names of any and all slaves or slaveholders described, in :lhose records:

SECTION VI *CERtIF1!tXfr6.NS'FOR FEDERALLY FUNDED MATTERS

NOTE: If the-Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For

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purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of .1995 who have m ade lobbying contacts on behalf of the Disclosing Party with respect to the "Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "N A" or if ihe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party.has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying:acrivitics or lo pay any person or entity lo influence or attenipt to jnfluence on officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan/entering inio any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Patty certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is nn organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Parly is the Applicant, the .Disclosing Party must obtain certification's equal in form and substance to paragraphs A.l. through A.4. above from albsubconiractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to ihe City upon request.
13. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations requirethc. Applicant and .: all, proposed

If "Yes," answ.crjhc three questions, below:.

1. Have you' developed and do you have on file affirmative action programs pursuant to applicable

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1. federal regulations? (See 41 CFR Pari 60-2.) , . ";
[J'Yes (] No
```

- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal
- Contract .'Compliance Programs, or the Equal :lmiployment Opportiihity Commission all reports due
- 2. under the applicable filing requirements? \blacksquare "'•' \blacksquare ' \blacksquare ''" ""' V' e !ycs; " !';" [i No ; • ' \blacksquare ":";', •" \blacksquare !'V""^!;;;"""Z'V".' '
- 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? f 'Ycs "' [] No:

Ifyou checked "No" to question I. or 2. above, please provide aivexplanatidn':

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Parly understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the Cily in connection.with the Matter, .whether, procurement, Cily assistance, or-other City action, and are material inducements to ihe City's execution of any contract or taking other action with respect to the Matter. The Disclosing Parly understands that it musl comply with all statutes.-ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164,of the Municipal Code, impose certain duties and obligations; on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citvofchicni'O.oi http://www.citvofchicni'O.oi u/l. ithics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660.. The-Disclosing Party must complyTully, with the applicable ordinances.

C. If the Cily determines that any information provided in this EDS is false, incomplete or inaccurate, any contractor other agreemohl -in-counection with which -it-.is submitted may be rescinded,or..;b.c,;,vpidupr voidable, and the CTty nVay pursue any'remedies under the.conlracl.qr agreement (if not. r.csciridc.diqr , . void), at law, or in equily.-'iricliiding'terminaling theiDisclpsing'Parly's.participation: m

declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at . law for a false statement of material fact may include incarceration and an award lo the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet-site jiu^/or-upon request. Some or all of the information provided on this EDS and any attachments;^;!^

made available to the public on the Internet, in response to a Freedom of Information Actfr'c^u^st} or otherwise. By completing and signing this EDS, the Disclosing Parly waives and releases any possible rights or claims which it may have against the City in connection with the public reJeasc-^f%XP,ffllM!j?n' contained in this EDS and also authorizes the City to verify the accuracy of any information submitted, in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the .Disclosing Party musl supplement this EDS up to the time the Cily takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Parly must update this EDS as the contract requires. -NOTE: With respect to Matters subject to Article l.of, ... Chapter 1-23 ofthe Municipal Code (imposing PERMX'NEN offenses), the .infornvalipn.pro.yided,hercin.regarding.eligibility must be kept current for a longer-period,, as required by Chapter ?1 -23; and Section 2-1 54-020 of the Municipal Code:

The Disclosing Party-represents and warrants that:

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- FT. This Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to ihe Cily. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F;2 Ifthe Disclosing Party is the Applicant, (he Disclosing Party and its Affiliated Entities will not use, "nof permit their subcontractors to use, any facility listed by the U\S. E,P:A.₁on:the federal Excluded Parties -List System ("EPLS") maintained by ihe U. S. General Services .Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing-Paily will obtait from Imy ... contractors/subcontractors hired or to be hired in connection wilh the Matter certifications equal in fdrm-and-substance to those in F.l. and F.2. above and will,not; without th'c'pnqr.vwr CityVusc any-such contractor/subcontractor thai-docs not provide such; certificis tipns or that the:. Disclosing Party has reason to believe has not provided or cannot provide .truthful certifications.

NOT E: If the Disclosing Party cannot certify as to any of the items in F-1,,T'.2. or F.3. above, an explanatory statement must be: attached lo litis EDS.

CERTIFICATION

(PniiV-brtype titleVp'fperson'signing)

Uridcfi.pchally-of pe^
this~*EliS^arid^ on b'cBajf-of-the-pjselosin^
e'efti fixations' and stYicrii^ accurate
and^cbmplete as ofthe date furnished to the City. v ••• j:..':. ./.

Bixby Bridge.Fund II, LLC
(Print or type name of Disclosing Pany)

Sighed and swom to before me on -(date) ..-/^^ttl6t.Klif?4'-'^-/-'" at ;^.6QKi

County,

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo be .completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. II is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Parly nuist disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head us spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew; grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section II.D.l. a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3)'any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal bfficers" means the "president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the DiscIosing.Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently lmve a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) thenamcand titlcofsuch person, (2) the name ofthe legal entity to which such person is connected; (3) the name and title ofthe elected city official or department head lo whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX U

BUILDING CODE SCOFFLAW/PRORLEM LANDLORD CERTIFICATION

Tills Appendix is to he completed only by (n) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only au indirect ownership Interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-0IO, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 ofthe Municipal Code? .
	[]Yes NxfNo

2. Ifthe Applicant Is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Yes []No ^7] Not Applicable

3. If yes to (I) or (2) above, please identify below the name ofthe person or legal entity identified as a building code scofflaw or problem landlord and the address ofthe building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF.THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 3911-3985'N Milwaukee/4671 ^4777 W Irving Park Road [identify the Matter]. Under penalty of perjury, the person signing below; (!) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Bixby Bridge Fund II. LLC Date: ^ckk2^M^2t2^b ^ (Print or type legal name of Disclosing Party) "

(sign here)

Print or type name of signatory:

David D. Coblurn

Title of signatory:

Manager.

County, "37f/f's\6t.S [state].

Signed and swom to before me on [date] faJjQu4/?sy ". by

iWrl 0. Colburn . at G>ak

Notary Public.

Commission expires: fycn&tx&cn-, \$0/. Y

Vci. 11-01-05