

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

Legislation Details (With Text)

File #: O2015-777

Type: Ordinance Status: Passed

File created: 1/21/2015 In control: City Council

Final action: 3/18/2015

Title: Multi-Family Program Funds loan to PP Family LLC for construction of affordable housing at 5001 S

Lawndale Ave

Sponsors: Emanuel, Rahm

Indexes: Loan & Security, Multi-Family Program Funds

Attachments: 1. O2015-777.pdf

Date	Ver.	Action By	Action	Result
3/18/2015	1	City Council	Passed	Pass
3/16/2015	1	Committee on Finance	Recommended to Pass	Pass
1/21/2015	1	City Council	Referred	

OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL MAYOR

January 21, 2015

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the execution of Multi-Family Loan agreements.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

O R DI NANCE

WHEREAS, the City of Chicago (the "City"), a home rule unit of government under Section 6(a), Article VII of the 1970 Constitution of the State of Illinois, has heretofore found and does hereby find that there exists within the City a serious shortage of decent, safe and sanitary rental housing available to persons of low and moderate income; and

WHEREAS, the City has determined that the continuance of a shortage of affordable rental housing is harmful to the health, prosperity, economic stability and general welfare ofthe City; and

WHEREAS, the City has certain funds available from a variety of funding sources ("Multi-Family Program Funds") to make loans and grants for the development of multi-family residential housing to increase the number of families served with decent, safe, sanitary and affordable housing and to expand the long-term supply of affordable housing, and such Multi-Family Program Funds are administered by the City's Department of Planning and Development ("DPD"); and

WHEREAS, DPD has preliminarily reviewed and approved the making of a loan to PP Family, LLC, an Illinois limited liability company (the "Borrower"), the managing member of which is PP Family Manager, LLC, an Illinois limited liability company (the "Managing Member"), in an amount not to exceed \$6,689,009 (the "Loan"), to be funded from Multi-Family Program Funds pursuant to the terms and conditions set forth in Exhibit A attached hereto and made a part hereof; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO

SECTION 1. The above recitals are expressly incorporated in and made a part of this ordinance as though fully set forth herein.

SECTION 2. Upon the approval and availability of the Additional Financing as shown in Exhibit A hereto, the Commissioner of DPD (the "Commissioner") and a designee of the

Commissioner (collectively, the "Authorized Officer") are each hereby authorized, subject to approval by the Corporation Counsel, to enter into and execute such agreements and instruments, and perform any and all acts as shall be necessary or advisable in connection with the implementation of the Loan The Authorized Officer is hereby authorized, subject to the approval of the Corporation Counsel to negotiate any and all terms and provisions in connection with the Loan which do not substantially modify the terms described in Exhibit A hereto Upon the execution and receipt of proper documentation, the Authorized Officer is hereby authorized to disburse the proceeds of the Loan to the Borrower.

SECTION 3. The Project (as described on Exhibit A hereto) shall be deemed to qualify as "Affordable Housing" for purposes of Chapter 16-18 of the Municipal Code of Chicago Section 2-45-110 of the Municipal Code of Chicago shall not apply to the Project or the Property (as defined on Exhibit A hereto)

SECTION 4 To the extent that any ordinance, resolution, rule, order or provision of the Municipal Code of Chicago, or part thereof, is in conflict with the provisions of this ordinance, the provisions of this ordinance shall control If any section, paragraph, clause or provision of this ordinance shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the other provisions of this ordinance

"L

EXHIBIT A

PP Family, LLC, an Illinois limited liability company, the managing member of which is PP Family Manager, LLC, an Illinois limited liability company (the "Managing Member"). BYNC Development LLC - Series Park Place, an Illinois limited liability company, is a member of the Managing Member, the sole member of BYNC Development LLC - Series Park Place is Back of the Yards Neighborhood Council, an Illinois not-for-profit corporation.

Acquisition of real property located at 5001 South Lawndale Avenue (the "Property") and construction thereon of 14 buildings and of approximately 78 dwelling units contained therein as one-, two- and three-bedroom units for low- and moderate-income families and certain shared amenities which may include a parking lot, a rain garden, and a community building with a management office, maintenance facility, community room, computer lab and exercise room

Amount: Not to exceed \$6,689,009

Term: Not to exceed 30 years

Source: Multi-Family Program Funds

Interest: Not to exceed zero percent per annum, or another rate or rates

acceptable to the Authorized Officer Security: Non-recourse loan; mortgage on the Property

(the "City Mortgage")

Amount: Approximately \$13,000,000 (the "Bridge Loan"), or such other

amount acceptable to the Authorized Officer

Term: Not to exceed 30 months, or another term acceptable to the

Authorized Officer

Source' Bank of America, NA, or another entity acceptable to the Authorized

Officer

Interest A variable rate of interest equal to one month LIBOR plus a

margin of 250 basis points, with a maximum interest rate not to exceed that which may be paid on the Bridge Loan under Illinois State Law, or another rate or rates acceptable to the Authorized

Officer

Security: A mortgage on the Property senior to the City Mortgage, or other security acceptable to the Authorized

Officer

Amount Approximately \$750,000, or such other amount acceptable to

the Authorized Officer

Term Not to exceed 20 years, or another term acceptable to the

Authorized Officer

Source IFF (formerly known as Illinois Facilities Fund), or another entity

acceptable to the Authorized Officer

Interest Not to exceed seven percent per annum, or another rate or rates

acceptable to the Authorized Officer

Security A mortgage on the Property senior to the City Mortgage, or

other security acceptable to the Authorized Officer

Low-Income Housing Tax Credit ("LIHTC")

Proceeds: Approximately \$17,062,929 all or a portion of which may be paid in on a delayed basis and all or a portion of

which may be used to retire all or a portion of the Bridge Loan.

Source: To be derived from the syndication of \$1,688,695 LIHTC allocation by

the City

Amount: Approximately \$875,000, or such other amount acceptable to

the Authorized Officer

Term: Not to exceed 40 years, or another term acceptable to the

Authorized Officer

Source: To be derived from the sale of approximately \$1,871,122 Illinois Affordable Housing Tax Credits allocation by the City which sale proceeds will be either loaned to the Borrower by Back of the

Yards Neighborhood Council or contributed as capital to the Borrower by the Managing Member

Interest: Not to exceed zero percent per annum, or another rate or rates acceptable to the Authorized Officer Security:

A mortgage on the Property junior to the City Mortgage, or other security acceptable to the Authorized

Officer

Amount: Approximately \$1,000,000, or such other amount acceptable

to the Authorized Officer

Term: Not to exceed 18 years, or another term acceptable to the Authorized

Officer

Source: Bank of America, NA, or another entity acceptable to the Authorized Officer Interest Not to exceed zero percent per annum, or another rate or rates

acceptable to the Authorized Officer Security A mortgage on the Property senior to the City

Mortgage, or

other security acceptable to the Authorized Officer

Amount Source S100 Managing Member

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ ifapplicable:

^

Cheek ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. j^. tne Applicant

OR

- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: CP CP iff T mrjtf? 2f Suik (I Si
- C. Telephone: $1SL \mid \sim Q \pounds V \mathcal{S}^* 5Z.Fax$: $\langle k^{\prime J} y i D^{\prime \prime} \sim \langle 0 \rangle$ Email: D > Vud 6Q 5ft flfiWe., Com

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D. Name of contact person: . X&V a .CA ^>f!it~	
FL Federal Employer Identification No. (if you have one	
• •	
1". Brief description of contract, transaction or oiher und pertains. (Include project number and location of property)	lertaking (referred to below as the "Matter") to winch ihis EDS ty, ifapplicable): Ap^roVa/ of
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G. Which Cily agency or department is requesting this E	D^par^ment-o ¹ ? Plan ni no DS? rj)eV&b^ <)3£l^
If the Matter is a contract being handled by the City's following:	Department of Procurement Services, please complete the
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SECTION II DISCLOSURE OF OWNERSHIP IN	TERESTS
1. Indicate the nature of the Disclosing Pc [] Perso	าท
[] Publicly registered business corporation [] Private General partnership [] Limited partnership [] Trust	
P<[Limited liability company	
[] Limited liability partnership	
[] Joint venture	
[] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?	
[] Yes [] No	
[J Other (please specify)	
2. For legal entities, the stale (or foreign country)	of incorporation or organization, ifapplicable:
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3. For legal entities not organized in the State of lllinois: Has the organization registered to do business in the State of lllinois as a foreign entity?

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[] Yes	[] No ^N/A	

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for -profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an l-DS on its own behalf.

2. Please provide the following information concerning each person or entity Slaving a direct or '.r.direct beneficial interest (including ownership) in excess of 7 s% ofihe Disclosing Party. Examples of such an interest include shares in a corpoiaiio;:. pa i triers hip i meres: in a partnership or joint venture,

inieresi of a member or manager in a limited liability company, or mieiesi of a beneficiary; oi a trust, estate 01 olhei similar entity I f none, slate "None." N OT \i. Pui suant to Sec lion 2- i vl -030 of the Municipal (.'ode of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosuie.

Name
j-p^ Business Address Percentage interest m lhe
pisclosuui Party

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SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

lias the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes ^No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Parly must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Business retained or anticipated Address to be retained) Relationship to Disclosing Party Fees (indicate whether

(subcontractor, attorney,

paid or estimated.) NOTE:

lobbyist, etc.)

"hourly rate" or "t.b.d." is

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(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] No f^No person directly or indirectly owns 10% or more of the Disclosing Party.

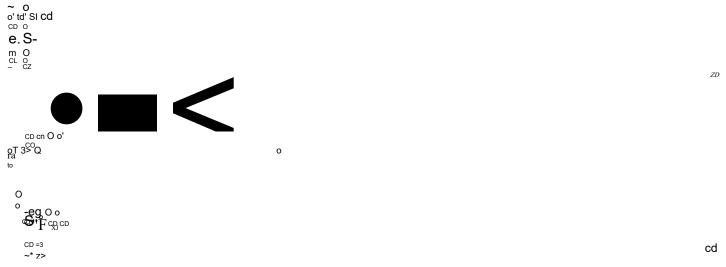
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and

is the person in compliance with that agreement?

[jYes []No

B FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(\vhieh the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), ifthe Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of. or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer oi employee ofthe City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article; is a continuing requirement for doing business with the City. NOTE' If Article I applies to ii; o Applicant, the permanent compliance timeframe m Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.



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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not. within a five-year period preceding the dale of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"); any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is. with the Disclosing Party, under common control of another person or entity. Indicia ofcontrol include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use

of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or stale or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Enlity means a person or entity that directly or indirectly controls the Contractor, is controlled by if or, with lhe Contractor, is under common control of another person or enlity;

• any responsible official of the Disclosing Party, any Conti."ictor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or tiny Affiliated Kntity. acting pursuant to the direction or authorization of a responsible official ol "ihe Disclosing Party, any (.'out: actor or any A ffiliated Enlity (eoiiectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of Contractor during the five years before the date of Such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise: or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-1 56 (Governmental Ethics) of the Municipal Code.

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7. Ifthe Disclosing Party is unable io certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall current employees ofthe Disclosing Party who were, at any time during the 12-inonth period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

tJ/A :

9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

-Ulk

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is £4»is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2 li the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge

that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender oi becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it oi any of its affiliates (as defined in Section 2-32---io5(b) of the Municipal Code) is a predatory lender w.thtn the meaning of Chapter i he Municipal Code, explain here (attach additior.a' pages; i necessary}-

If the letters "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Parly certified to the above statements.

D CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes i^No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.L, proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold

for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

f | Yes |)^No

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the Cily officials or employees having such interest and identify the nature of such interest'

Name Business Address Nature of Interest

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4. The Disclosing Party further certifies that no prohibited financial interest in the Mailer will be acquirer! by any City official or employee

L < 1 H i'iKCA i ION REGARDING SLAVERY LR A B1.SINLSS

Please check either 1 or 2. below. If the Disclosing Parly checks 2 . ihe Disclosing Party must disclose !k:1ow <>r in an attachment to this EDS all m formation required by paragraph 2. Failure io

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comply with these disclosure requirements may make any contract entered into with the City in connection with the iMaiter voidable by the City.

- __1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII For purposes of this Section VI. tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if necessary):

(Jf no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it wiil be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Mtitter.)

2 The Disclosing Party has not spent and wili not expend any federally appropriated funds to pay any pei son oi cnt:ty listed m Paragraph A.l. above for his or her lobbying activities oi to pay any peison oi entity to influence or attempt to influence an officer or employ c-e of ary agency, as defined by applicable ledciai law, a member of Congress, an officer or employee ofCongress, or an employee ofa member ofCongress. in connection with the award ofany federally funded contract, making any federally funded grant or loan, entering into any cooperative agree men:, or :o extend, continue, renew, amend, or modify any federally funded contract, gram, loan, or coopera; ve agreement

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

fc^Yes [] No

If "Yes," answer the three questions below:

- 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
 - ! i Yes I^No
- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission ail reports due under the applicable filing requirements'? [jYes [>4No

3. Have you participated in any previous contracts or subcontracts subject to ihe equal opportunity clause? I i Ves ^Ko
If you checked "No" to question I or 2. above, please provide ;u' explanation
.pLirjic^: enKy •
Pane 1 0ol 13
SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE
The Disclosing Party understands and agrees that:
A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics , and may also be obtained from the City's Board of Ethics, 740 N.
Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.
C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact-may

D. It is the City's policy to make this document available to the public on its internet site and/or upon iequest. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom cd" Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with

include incarceration and an award to the City of treble damages.

E The information provided in this EDS must be kept current In the event of changes, the Disclosing Party must supplement this EDS up to the time ihe City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Piocuiernent Services, the Disclosing Party must ■update this EDS as the contract requires. NOTE: With respect to Matters sub-ect to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERM AN ENT IN EL ICI BIL IT V for certain specified offenses), ine information provided herein regarding eligibility must be hep: current lor a longer period, as lequired by Chapter 1-23 and Section 2-1 54-020 of ihe Municipal Code

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 'If the Disclosing Party is the Applicant, the Disclosing Paity and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor lhat does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (ifapplicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (ifapplicable) are true, accurate and complete as of the date furnished to the City.

(Print orjype name of Disclosing Party)

1)avid 3>Md.

(Print or type name of person si«ninti)

Preafari. Da'eity?waft, rr^r.; Member, Sr.nsvue toebf'mertf, LLC, M^rrPcer

flrmsVwd Wti&ttai, llc, Manque, MemPfr?pram\, uq

XL-

bAecr^x, ?Pffrr%

(Print or type 1:1!e of person signing)

Signed and sworn to before me on (date) J/L, $j/1 *\sim$ (

■u CfccVrC-

Cou.r.y.

(state)

Cornnm.sio- expires. *df 1*6

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-0! 5, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a genera! partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a '•familial relationship" with an elected city official or department head.

I]Yes

[X] No

If yes. please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship

CITY OF CHICAGO ECONOMICDIS C L O S U R E S T A T E M E N T AND AFFIDAVIT

SECTION 1 -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

HC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

- 2. J<J a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: "P^ ^i^lyYljW j L-LC OR
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: (fl jg (j? D(AWldtl iZA (fCf 1 1(9 2-
- C. Telephone: 2HA '9SLl'606l Fax: fM^(s2"^0l Email: \J)avdft(\(\bar{B}\) tiwfofe. CVrT)
- D. Name of contact person: J)aVi'o\ 'BIT'Df

L federal Employer Identification No. (if you have one): .

F Brief description of contract, transaction or other undertaking (referred to below as the "Matter") io which, this EDS pertains. (Include project number and location of property, ifapplicable). AlffCOvO.

jnw-.-ocoroe UoWiA6-mx Credits, DcraHori -rax Ofedite, ar^d Be^r+men^c

Ci. Which City agency or department is requesting tins EDS? (jlfYj, $P(\xi)/\frac{x}{x}$)x I'lf

li ihe Matter is a contract being handled by ihe City's Department of Proeuretr.eut Senices. please complete '.he followi:;g.

S pe::: i h. a; ion ami c ontract

Γ

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SECTION II -			
- DISCLOSURE OF OWNERSHIP INTERESTS			
A. NATURE OF THE DISCLOSING PARTY			
1. Indicate the nature of the Disclosing [] Person			
[] Publicly registered business corporation [] Privately held business	ness corporation [] So	le proprietorship [] General	
partnership [J Limited partnership [] Trust	[]	er Professional [] common	
Party:			
J^f"Limited liability company			
[] Limited liability partnership			
[] Joint venture			
Not-for-profit corporation			
(Is the not-for-profit corporation also a 501(c)(3))?			
(is the not for profit corporation also a 301(c)(3)).	[] Yes	[] No	
[] Other (please specify)	[] 163		
3. For legal entities not organized in the State ofIllinois the State ofIllinois as a foreign entity? []No	: Has the organizati	on registered to do busines	s in
[] Yes			
B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY-;			
1. List below the full names and titles of all executive offic -profit corporations, also list below all members, if any, which write "no members." For trusts, estates or other similar entition the legal titleholder(s).	are legal entities. If	•	-for
If the entity is a general partnership, limited partnership, limited venture, list below the name and title of each general partner, man that controls the day-to-day management of the Disclosing Party. EDS on its own behalf.	naging member, manag	ger or any other person or entit	У
. ■ . //-, ; LLP			
Name Title			

2 ['lease pro\ ide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5° o of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest m a partnership or joint venture.

interest of a member or manager in a limited liability company, or inlerest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Parly must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who tire paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means

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any person or entity any part or administrative action.	of whose duties as an employee of another inclu	des undertaking to influence any legislative
	uncertain whether a disclosure is required under er disclosure is required or make the disclosure	
	Paste 3 of 13	
Name (indicate whether I	Susiness Relationship to Disclosing Party	Fees (indicate whether
· ·	lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)		
£*f Check here if the Disc	losing Party has not retained, nor expects	to retain, any such persons or entitie
SECTION V CERTIFICA	ATIONS	
A. COURT-ORDERED CHI	LD SUPPORT COMPLIANCE	
•	ection 2-92-415, substantial owners of busine with their child support obligations througho	•
· -	or indirectly owns 10% or more of the Disc t obligations by any Illinois court of competent	
[] Yes [] No	.P^No person directly or indirectly of Disclosing Party.	owns 10% or more of the
If "Yes," has the person ent is the person in compliance w	ered into a court-approved agreement for pa ith that agreement?	yment ofall support owed and

i i Ves

[JNo

B FURTHER CERTIFICATIONS

- ! Pursuant to Municipal Code Chapter 1-23, Article 1 ("Article I")(which the Applicant should consult to r defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then ihe Disclosing Party certifies as follows: (1) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any c: iiiMua! offense iuvoK Ing actual, attempted, or conspiracy to commit bribery, theft, iiaud, loigery, pei iii y. a:!isiionestv or deceit against an officer or employee of ihe City or any sister agency, and (ii) ihe Appucai;; understands and acknowledges that compliance with Article 1 is a corP.n.mg requirement io; doiii... business w i:h ihe City NOTE: If Article I applies to the Applicant, the permanent compliance .:mef:an:e m Article I supersedes some five-year compliance iimcnamcs m cert:iicanons 2 and 3 Peiuw
- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction: a violation of federal or state antitrust statutes; fraud: embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section. IV, "'Disclosure of Subcontractors and Other Retained Parties"};
 - any 'Affiliated Entity" (meaning a person or entity that, directly or indirectly controls the Disclosing Party, is controlled by lhe Disclosing Party, or is. with the Disclosing Party, under common control of another person or entity.

Indicia ofcontrol include, without limitation, interlocking management or ownership: identity of interests among family membeis, shaied facilities and equipment, common use of employees; or organization of abusiness entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person oi entity thai directly or indirectly controls the Contractor, is controlled by it. or, with the Contractor, is under common conirol of another person or entity:

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any oine; c: i :csi 1. a gem o: employee of the Disc losing Pai ty. any Con try;" ioi o; any :\ :: il iated Eu;:;y. acting puiMiam to tiic direction or authorization of a responsible official of the Disclosing Paity, any Conirncioi orai:\ Affiliated Eniiiy (collectively "A cents")

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection wilh the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of foreign Assets Control of U.S. Department of the Treasury or the Buieau of industry and Security of U.S. Department of Commerce or their successors the Specially Designated Nationals Eisi, ihe Denied Persons List, the Unverified List, tire Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 ('Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

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7. If the Disclosing Parly is unable to certify io any of the above siaiements in tins Pan B (Further Certifications'!, ihe Disclosing Party must explain below:

Tf the letters "NA." the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicaeo (if none, indicate with "N/A" or "none").

m " -I.

9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the i 2-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [1 is pjNis not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code
 - 2. if the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory 'tender as defined in Chapter 2-32 of the Municipal Code Wti further pledge

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that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory iender may result in the loss of the privilege of doing business u r.h the Citv."

if site Disclosing Pai ty is unable to make this pledge because it or any ol" u- a ffiMales fas defined in Section 2-3?--! 5 C; b) ofthe Municipal Code) is a predatory lender w-uhir the meaning oi Chapter .' oi the Municipal Code, explain here (attach additional pages if necessary r

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

1). CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-1 56-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes ^No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes /pfNo

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest"

Name Business Address Nature of interest

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~i. lhe Disclosing Party further certifies that no prohibited financial interest in the Matter will e acquired by any C i:y official or employee.

E CE R fine. ATION REGARDING SLAVERY ERA BUSINESS

or 2 below. If the Disclosing Party checks 2 ttacliireni to this EDS all information requnei

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tlte Disclosing P;.u iv must by iianutianh 2 Failure u:

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X ¹ 1 he Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- __2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying

Disclosure Act of 1995 who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter - (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if tile word "None" appear, it wd! be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2 The Disclosing Party has not spent and will not expend any federaily appropriated funds to pay any person or entity listed in Paiagraph A 1. above tor his or her lobbying activ ties or :o nay any person or eni it;-, n :r flee nee or attempt to in Hue rice an officer or employee ol any agency, as ..ie: med b .applicable iecieini .aw. a member ofCongress, an officer or employee ol" Congress, or an employee of menibei of Congiess. :n connection with the award of any federally funded contract, making ;.ny federally funded grant or loan, entering into any cooperative agieement, or to extend, conlmue, renew, amen;:, or modi.'- an\ lederally funded contract, giant, loan, or cooperative agreement
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5 If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[] Yes

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations" (See 41 CFR Part 60-2.)

} Yes f } No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission ail reports due under the applicable filing requirements?

[jYes [JNo

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause'.'

I 1 No

or 2. above, please provide an explanation

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SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. Fhe certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some oi ah of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any

information submitted m this EDS.

E 'I'he mfoi maiion provided in this EDS must be kept currenl In the event of changes, lhe Disclosing 'Party must supplement litis EDS up to the time the Cily lakes action on the Matter, if the Matter is a contract being handled by the City's Department of Procurement Seiv ices, ihe Disclosing Party must updaie thi-, EDS as the coniract icq in res NOTE: With respect to Matteis subject to Article 1 of Chapter 1-2? o' the Mmrcipal Code (imposing PERMANENT INELIGIBILITY ioi certain specified offenses;, the information, provided herein regarding eligibility must be kepi cunent lor a longer period, as requiie;.: by Chapier 1 23 and Section 2-154-020 office Municipal Code.

'I'he Disclosing Pa:;v icprescnis and warrants lhat:

Pauc i I oi 13

- F.1. The Disclosing Party is not delinquent in the payment ofany tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 ifthe Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Parly cannot certify as to any of the items in F.I., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1.) warrants that he/she is authorized to execute this EDS and Appendix A (ifapplicable) on behalf ofthe Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (ifapplicable) are true, accurate and complete as of the date furnished to the City. isclosing Party)

(Print or type name of Disc

By: r, LLC dr,

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ft Hoiting, Ilc\; Member, ?P Forney MmaofC,

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(state)

Notary Public

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general

partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Docs tile Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

j j Yes .;X[No

if yes, please identify below! 1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3j tlie name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION 1 - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Bnnsmre holdi^, llc

Check ONE of the following throe boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant OR

- 2. '£Q a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: PPI-Gfll/ly LLQ
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: (\$\(\(9 \) \) Dm rlfll& fc\\ ,S^e 1(02.

Mar+ftbrook, \1 (sOO(a±

C. Telephone: a^LM-ffflT-gftZFax: Email: Tm^O W^fiYlSho/^ Q^m

D. Name of contact person: iDfrVfd f?)^r1f~

i' Federal i n.pfo. .•: identification No. (if you have one):

F - Brief description of contract, tiansaciion or other undertaking (referred to below as the 'Matte:") to which this F.DS pertains. (Include project number and location of properly, if applicable): fKfipfO^'

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flmnl/iij I	ef $TV^{\wedge}M^{\wedge}if$	$M^i'-rV^i$	y FW	V/V?/fy1	· Van	?)ac </td
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(i. Which Cr.y agency	or dep:trtment is reques	sting this EDS'.^\	/X1 DCV'f;	Ci∕l')cyYi		
i f:'.! Scatter is n ^	ontiaet hemiji handled b	ov the Cilv's Den	arlmen; of P	rocurement Serv	ices, nlease	
SECTION II - DISCI	OSURE OF OWNER	SHIP INTERES	TS			
A. NATURE OF THE	DISCLOSING PARTY					
	re ofthe Disclosing Pe [] ousiness corporation [] I	=	siness corpor	ration [] Sole pro	oprietorship f]	General
partnership [] Limited	partnership [] Trust					
Limited liability of corporation	company [] Limited liab	pility partnership	[] Joint vent	ture [] Not-for-p	orofit	
•	corporation also a 501(c))(3))?				
[] Yes []No [] Other (please specify))				
2. For legal entition	es, the state (or foreign	country) of inco	orporation o	r organization,	ifapplicable:	
1 Ll\MQ<	£					
3. For legal entition State of Illinois as a for	es not organized in the reign entity?	State ofIllinois:	Has the org	anization regist	ered to do bus	siness in the
[]Yes	[]No f^N/A					
B. IF THE DISCLOS	ING PARTY IS A LEG	GAL ENTITY:				

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for -profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below

the legal tilleholderf s;

If the entity is a ueneial pat'.nership. limited partnership, limited liability company, limited liability partnership or join; \cuture <file://cuture>, list below the name and title of each genera! parmer. managing member, manager or any oiher person or entity that controls the day-to-day management of the Disclosing Party. NOTE. Each legal eniiiy listed below must submit an EDS on its own behalf

Name Title

y nn\$w/c. De.^.eio f<nr> uuq.,,,.M.?'wbe.r

Please P! o/ue die lo lowing m leu maiion concerning each person or entity hr./mg;: direct or i benei'ienil interest (including ownership) in excess of 7.5% of the Disclosing Parly Example-. : an :n:erc>; inehiee shares in a corporation, partnership interest in a partnership or joint veniuie.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Inteiesi in the Disclosing Party

fyiAShofa uevilofitiaiti LLC &U..Dimci^c M-JtilioSi

.Ncr+M&rctt,

-1^Jj2QD..OlA ±QQJ/u

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes J#Js^To

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship^):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party mast disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and tiny olher person or entity whom the Disclosing Parly has ictamed or expects :o retain :n connection wilh the Matter, as well as the nature of the relationship, and the total amount ofihe fees rut id or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely ihrough the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a nol-for-profit eniity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any pari of whose duties as an employee of another mehides undertaking to influence any legislative or administrative action.

ifthe Disclosing. Party is uncertain whether a disclosure is required under this Section, the Disclosine Par!\
nn.si eiiherask :he<h:y whether disclosure is required or make :he disclosure

Page 3 of 13

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: to he retained) lobbyist, etc.) "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessaiy)

Check here ifthe Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

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[] Yes [] No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and

is the person m compliance with that agreement?

f J Yes "' No

B FUR TH FR CERTIFICATIONS

- I. Pursuant to Municipal (lode Chapter 1-23, Article i ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), ifthe Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows- if; notther the Applicant nor any controlling person is currently indicted or charged with, or Mas admitted gird of. or has ever been convicted of, oi placer! under supervision for. any criminal offense involving aci,ml. attempted, or conspiracy to commit bribery, theft, fiaud. forgery, penury, dishonesty oi deceit against; m officer or employee of the Cny or any sister agency, and ini the Applicant uiKk:'s;; -::d-, and acknowledges that compliance with Article i is a continuing lequirement f-u domg business v. pi; the (;ty NOTE ' i f A i tide I applies to the Applicant, the pe: maueni couiphnnce
- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft: forgery; bribery; falsification or destruction of records; making false statements: or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

• the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Mattel, including but not limited to all persons or legal entities disclosed undei Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "A ffiliated fruity" (meaning a person or entity that, directly or indirectly, eontiols the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia ofcontrol include, without limitation: interlocking management or ownership, identity of interests among family members, shared facilities and equipment, common use of employees, or organization of a business entity lollowing the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contir.etors, the term Affiliated Entity means a person or entity that directly or iiuiueci'y controls the Contractor, is controlled by it. or, with the Contractor, is under common contro. ol another pei.son or enlity.
- any responsible official of the Disc'osing Party, any Contractoi or any A; filiated Entity or any o;he: official, aneni er employee of the Disclosing Party, any Comiacioi or any A ffihaicd Entity. acting pursui-m: to the dire*. Ion or authorization of a responsible official of the Disclosing Pnity. any Contractor or any A f: ilia'ed Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter

- a. bribed or attempted lo bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any stale or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, m restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

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- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Asseis Control of the U.S. Department of the Treasury or the Bureau ot' Industi v and Security of the U.S. Department of Commerce or their successors' ihe Specially Designated Nationals List, the Denied Persons List, the Universified List, the Eintity List and the Debarred List
- 6. The Disclosing P'uiv undersiands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Pmty is unable to certify to any ofihe above statements m ihis Part B (Further Certifications), the Disclosing Party must explain below

Page (• of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that ihe Disclosing Party certified to the above statements.

8. To the best ofihe Disclosing Party's knowledge after reasonable inquiry, the following is a complete iist of al! current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

M/fo __.' ___.

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

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[jis p\$ is not

a "financial institution" as defined m Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Parly pledges:

"We are noi ami will no: become a predatory lender as defined in Chapter 2-32 ofihe Municipal Code. We further pledge ihai none ofour affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 ofihe Municipal Code. We understand that becoming a predatory iender or becoming an affiliate of a piedatory lender may result in the loss ofthe privilege of doing business with the City

if :h: Discios'rg Pure- :- unable io make this pledge because it or any of its affiliates (as define;' m Section 2 32--ori b) of the Municipal Code) is a predatory lender wilhm the meaning of (iiaptci

If the letters "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Parly certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms lhat are defined in Chapter 2-1 56 of the Municipal Code have the same meanings when used in this Part D.

PI No

1. In accordance with Section 2-1 56-1 10 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[1 Yes

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted* no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively,

"City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes

3 If yoti checked "Yes" to Item D.l.. provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Business Address

I'he Discios:;; g)'.-.::\ further certifies that no prohibited financial interest in ihe Matter will be acciuued hy any

xRiCNG SLAVERY ERA BUSINESS

ifthe D isc iosinrr Party checks 2., die D isclosmii Pa:: v must

information r required by paragraph 2 Fai uic to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

I The Disclosing Party verifies that the Disclosing Party has searched any and all records of

the Disclosing Party and any and ai! predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section V11. for purposes of this Section VI, lax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the

Matter. (Add sheets if necessary):

(If no explanation appeals or begins on the lines above, or if the iciters "NA" or if the word "Norte" appear, ii will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Aci of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2 The Disclosing Par.y n.e no! speni and will noi expend any federally appropriated funds ;o pa; any person or e::'i'\h-icu n i'mnuraph .A I rbove tor his or her lobbying nciiviiies or :o pnv anv person ur entity :o : iMuenee o: aiicnn! io milucr.ee http://milucr.ee an officer or employee of any agency, as defined rippiicab.c iederai law. a iverune" oi Congress, an officer or employee of Coiigiess. cn an employee o member oft ongicss. in conucepon w::n ihe award ofany federally funded contract, making any federally funded grani or loan, entering m;o i.nv cooperative agreement, or to extend, conlmue. renew amend, or modi:\air. 'cderaiiv iuncicd conlract. urant. loan, or cooperative aereemeni
- .3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Yes XNo If "Yes," answer the three questions

below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 4 1 CFR Par; 60-2.)

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[] Yes		[1 No					
•			Reporting Committee, the Director opportunity Commission all reports		•		
opportunity cla			evious contracts or subcontracts su	ubject to the equal			
; Y es		i'N e					
SECTION	VII		ACKNOWLEDGMENTS,	CONTRACT	INCORPORATION,		

The Disclosing Party understands and agrees that:

COMPLIANCE, PENALTIES, DISCLOSURE

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or ah of the information provided on this EDS and any attachments to this EDS may be made available to the public ou ihe Interne:, in response to a Freedom of Information Act request, or otherwise By completing and s:gniug tins EDS, the

Disclosing Party waives and releases any possible rights or chums which it may have against the City in connection with the public release of information contained in iii is FDS and also nmborizes ihe City to verily the accuracy of any information submitted in ihis EDS.

E. The information provided m this EDS must he kepi current. In the event of changes, ;he Disclosing Party must supplement tins EDS up to the nine ihe City takes action on the Matter. If the Matter is a contract being handled by ihe City's Department of Piocuicment Services, the Disclosing Party musi updaie this EDS as ihe contrai; reqni-es VOTE: With respect to Mailers subject to Ai tide I of Chapter 1-23 of the Mmi-apc! •: ode rmposmg PERMANENT INELIGIBILITY forceitam specified offense--), the inlo;maiio;; p;o\ -nee herein repardirtg eligibility must be kepi cunent for a Ionucr period, as :equiier: by Chapter 1-23 and Section 2-15- 020 of the Municipal Code.

The Disclosing Party represents and wairants tha:.

Ihute I 1 of 1 ^

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to lhe City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPFS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Parly has reason lo believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (ifapplicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

<u>hrmshore Holding</u> <u>t</u> li?

(Print oirjype name of Disclosing Party)

By: II^Lf.

(Sign here)

DcWid hnnr

(Print or type name of person signing)

Prtsidem, -B^nf u^veiof merit, +y\p. j Member, Bnnjhore T)et/<*hf/nt/ftc MmM..._h frn/Untyc. Ho ioii . LLC

(Print or type title of person sign.tug)

S'gned and sworn

"C. Cooi^-

Votary Public

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) ail executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing. Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" v, ith an elected city official or department head?

i J Ye.- J>No

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such, person is connected: il) the name and title of the elected city of ficial or department head to whom such person has a familial

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relationship, and (4) the pi ease nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSE)RE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of trie Disclosing Party submitting this EDS. Include d/b/a/ ifapplicable:

&..OMh0f.t

Oeveloprr^it, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

- 2. pf a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: rp pQYYli/y LLC.

OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: CoOpU TX»f\d-ee Qd il 0 Z
- C. Telephone: Wj-.?27-##Z Fax: <bUl-6Ul- WOi Email: 1/lti &£>Bhmjkore- C6rr->
- D. Name of contact person:

Tkvld brint

- E. federal Employer Identification No (if you have one). .
- E. Bi icf desc: ipt mm of contract. iransaciion or other undertaking (referred to below as the "Matte:"; to which ;h:s EDS pertains. (Include project number and location of properly. if applicable): -«^W/<>^0_^ Or-_

Iquj-, ocootml hGU^.nti -rexk Cretins, Sbcu-e Vana\icw T6w CYed\u'_t cm\ titpCtrfnMnt at

dMOkll .?!)IW.o^£ń.. MMJir&M'iiy...- Funds/u for fbrK Place. dli^lcfrruni-^pepaA rvne a r of -Pio n n > noj

G. Wltlch City agency or department is lequesimg this EDS'- PtrYjl>rv'€ IcpfDfiyrr

If the M iiiier is a coni raci be, eg handled by tlie C:ly s Department of Procurement Services, please complete the ioi.owin

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Pane : of SECTION II -
- DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY
1. Indicate the nature of the Disclosing P; j] Person [] Publicly registered business corporation f] Privately held business corporation j] Sole proprietorship [] General partnership [] Limited partnership [] Trust
Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: $X LLJ NO IS$
3. For legal entities not organized in the State of llinois: Has the organization registered to do business in the State of lllinois as a foreign entity?
[1 Yes
B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:
1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-procorporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titlehohier(s). Title
Mennur If the entity \s a geneiai partnership, limited partnership, limited liability company, limited liability partnership or joi venture, list below the name and title ol each genera! partner, managing member, manage: or any other person or entity that controls the day-to-day management of the Disclosing Party NOTE: Each legal entity listed below must submit an EDS on its own behalf.
Name

KJS iiioA ts'%(■€. ^hmcoinc-Snnv Ekw \0f min:ţ. rnr-

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2 Encase po'\icic the loihissuig informabon concerning each pe; sen or entity ha\ing a direct o; indirect beneficial interest (including ownership) ir. excess of 7.5% of the Disclosing Par ty. Examples of such an interest include shares m a corporation, partnership interest in a paitneisiiip o: joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or olher similar entity. If none, state "None." NOTE: Pursuant lo Section 2-1 54-030 of the Municipal Code of Chicago ("Municipal Code"), the Cily may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party RJS tel^1 £stoie .SsfUiC.es < http://SsfUiC.es>, Tkic $^.UM.$ $ttjjaifce &),Ma\ 02\ J^Q^pfcc^,... [.1]$

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes L^Jo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any olher person or entity whom lhe Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofihe relalionship, and the total amouni of the ices paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely ihrough the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on

behalf of any person or entity oiher than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing pa ity is uncertain whether a disclosure is required under this Section, the Disc.tn iug P;i;:v mils: either ;.o s the City whether disclosure is required or make the disclosure.

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: to be retained) lobbyist, etc.) "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

yc heck here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[JYes [] No J^No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

f 1 Yes. ; I No

B FURTHER CERTIFIC.ATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consul; for defined terms (e.g., "doing business") and legal requirements), ifthe Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (:) neither the Applicant nor any controlling person is currently indicted or charged with, ot has admitted end: of. or has evei been convicted of. oi placed under supervision for, any criminal offense involving ac'.tiai. attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjure, dishonesty o: ciecei: against an officer or employee of the City or any sister agency, and (si) the Applicant undersiancles ami acknowledges that compliance with Article 1 is a continuing requirement for doing business with trie (:t\ NO fE. If Article i applies to the Applicant, the permanent compliance imeitame m Article I supersedes some five-year compliance timeframes m certifications 2 and 3 below-.

• 4 of

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, allof those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud: embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited lo all persons or legal entities disclosed under Section IV. "Disclosuie of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or enlity that, directly or indirectly: controls the Disclosing Party, is

controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment, common use of employees; or organization of a business entity lollowing ihe ineligibility of a business entity to do business with federal or state or local government, including the City, using substaniially ihe same management, ownership, or principals as the ineligible entity): with respect to Contractors, the term Affiliated Entity means a person or entity thai directly or indirectly eontiols the Contractor, is controlled by it, or. v. ith ihe Contractor, is under common

- ••,,emtio! of another peison o: entity,
- any responsible official ofihe Disclosing Paity. any Contractor oi any A ffiliated Eniiiy or any oiner official, ag.cn http://ag.cn: or employee of the Disclosing Parly, any Coiiiracior or any A ffiliaied Enti;\, acting p'.MSunr.i ie the direction oi autiiori/atiou of responsible official ofihe Disc'osmg Parly, any Contractor o: any Af:iha:ed Entiiy (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Enlity is listed on any ofthe following lists maintained by the Office of Foieign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debancd Eisr.

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- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-1 56 (Governmental Ethics) of the Municipal Code.
- 7. If die Disclosing Paity, s unable to certify to any ofthe above statements m this Pan B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall currenl employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

-W-

9. To the best ofthe Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list ofall gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.



C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is Jfi is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code

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2. If the Disclosing Party IS a financial institution, ihen the Disclosing Party pledges:

"We are no; and will noi become a predatory lender as defined m Chapter 2-32 offihe Municipal Code. We further pledge ihai none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 offihe Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in lhe loss offihe privilege of doing business with lhe City."

If the Disclosing party is unable lo make this pledge because ii or any of its affiliates (as defined in Section 2-32-455(b? of the Municipal Code; is a predatory lender with the meaning of Chapter 2-32 of the Meniepop Code, oxplem here (aitach addiP.onai pages if necessary')-

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes Jft No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively,

"City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes ^No

3. If you cheeked "Yes" to Item D.1, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

sion: 1	
Business Address	Nature of Interest
-	pited financial interest in the Matter will be acquired by any
REGARDING SEA V ER Y ERA	BUSINESS
)S ail information required by parag	ty checks 2., the Disclosing Partv must disclose below o: n graph 2. Failure to * of 13
-	ontract entered into with the City in connection with the
ssor entities regarding records of inv ry era (including insurance policies	ry has searched any and all records of the Disclosing Party restments or profits from slavery or slaveholder insurance issued to slaveholders that provided coverage for damage to has found no such records.
-	ducting the search in step 1 above, the Disclosing Party has cholder insurance policies. The Disclosing Party verifies that
	Punny further certifies that no prohibe REGARDING SEA V ER Y ERA II 1. cu 2 below ifthe Disclosing Part Sail information required by parage Pane Pane Discreptive that the Disclosing Part ssor entities regarding records of invery era (including insurance policies ir slaves), and the Disclosing Party here Party verifies that, as a result of con-

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with resect to the Matter: (Add sheets if necessary)-

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(If no explanation appears or begms on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the l.obhving Disclosure Aci of 1995 tiave made lobbying contacts on behalf of the Disclosing Party with respect to the Matter)

- 2. The Disclosing Paitv ha^ no: spent and will not expend any federally appropriated funds to pay anv pei son or enmy listed in Paragraph A 1 above for his or her lobbying activities or io nay any neison on euiiiv to .loiuence oi attempt to mfiuer.ee http://mfiuer.ee an olfice: or employee ol any agency, as deimed f applicable federal law. a member of Congress, an officer or employee of Congress, or tin employee oi member of Congress, m connection with the award of any feudally funded contract, making any federnHv funded giant or loam entering into any cooperative agreement, or to extend, conlmue, renew.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Parly is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. ihrough A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

 $\[\]$ Yes pfNo If "Yes," answer the three

questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable, federal regulations" iSee-M CFR Pari 60-2.)

[1 Yes i] No

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- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Em ploy mem Opportunity Commission all reports due under the applicable filing requirements' I Yes M No
- 3 Have you participated m any previous contracts or subcontracts subject to the equal opportunity clause'.'

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City, Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or ail ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on ihe Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible-rights or claims which ii may have against the City m connection with

the public release of information contained in this EDS and also authorizes the City to verily the accuracy of any information submitted in tins EDS

E. The information provided in this EDS must be kepi current in the event of changes, the Disclosing Party must supplement this EDS up to the time the Citv takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must uptime this EDS as the contract tequires. NOTE: With resnec: to Matters subject to Article I of (hapter I ••• 2 3 of the Municipal Code (imposing PERMANENT IN ELIGIB1 LI IV foi ccitair. specified oifcuses:. the inloimiMio:-; provided he rem regard m.' c; i :e i E11:'\:nii>i ne kepi cuirenl lor a longer pepod. as required by Chapter 1-23 and Section. 2-1 s4-020 of the Vi i.iucipa! (..'ode.

The Disclosing Parry represents and warrants tha:.

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2 above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.I., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type-name of Disclosing Party)

.1km. e>nnt

(Print or tvne name of person smning)

Pre)-dint bn/if v^/^Wri-h, inc.)

(Print or :\pe title of person sn:nm£>)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent, it is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[J Yes J^No

If yes, please identity below (I) the name and title of such person, (2) the name of the legal entity to which such person is connected: (3) the name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

File #: O2015-777, Version: 1
SECTION I GENERAL INFORMATION
A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ ifapplicable:
U-
Check ONE of the follow ing three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. y[a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the 2. Applicant in which the Disclosing Party holds an interest: ^ p fr/j(fy LjjQ) OR 7 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control: B. Business address of the Disclosing Party: /jfeC? V(J-Y\(\rC Pd-WI/01))
C. <u>Telephone:-22^-^7-5062</u> Fax: PPQ^M- <wof ccni<="" email:="" td="" tx\w%®&tinjfcre=""></wof>
D. Name of contact person- J)($1 \frac{1}{\pm fl}$ ($3\frac{\frac{1}{fi}}{i}$
E Federal Employer Identification No. (if you have one):
E. Brief desci lptton of contract, transaction or other undertaking (referred to below as the "Matier") to which this EDS pertains (include project number and location of property, ifapplicable): ftpprcwj? #f
PW\-{\\m t tevefop/mewMu/fr"-fvi.milv TurAing for Park- Piece

PW\-{\\m t tevefop/mewMu/fr"-fyi.mily... TurAing for Park- Piece 'Vevelopmenl ■

Ci. Which City agency o: department is teuuestmg this EDS?_Qft£l "t)6M-£lQpfYtf?nt" __.

If :he Vs utter is a contiact being handled by the City's Department ofProcireine.nl http://ofProcireine.nl Services,

please ojiecillcat

n !;l-OI-i:

F	ile #: O2015-777.	Version: 1		
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SECTION II DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE I [j Limited liability comprofit corporation also a	pany [] Lii	nited lia		rtners	hip []	Join	t ve	nture	[] Not-f	îor-pr	ofit	corpo	oration	(Is tl	ne not-for-
1. Indicate the	nature	ofthe	Disclo	sing	Part	y:	[]	Person	[[]	Publi	cly	registered
business corporation [
Limited partnership Privately held	business	corn	oration	Г	Γ	1	Ç	Sole	propr	ietors	shin	Г	1	1	General
partnership (] [] Trust	ousmess	согр	oration	L	L	J		JOIC	ргорг	iciors	,iiip	L	1	J	General
											[j	Yes			[] No
			[j Oth	er (pl	ease, s	spec	ify)								

2. For legal entities, the state (or foreign country) of incorporation or organization, ifapplicable:

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

jtfN/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for -profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, hunted partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

DMxi 8(M.H"

2 Please pro⁻, ide ihe io.low me m!o; miiiiou concerning each person or entity having dnee: or muirect beneficial nteiesi (incimEug owreiship] ,;i excess of ⁷ 5% ofihe Disclosing Party. Examples of sac!; an micics; include shares in a

c>∎:po:ation. partnership mteres: m a partnership or joint ventuie.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or oiher similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address

Percentage Interest in the Disclosing Party

lyxtiti .

 a^{fc} % $AA(b)orx^{n\pm J\pm ...m_t^{n}}m\%$

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes JN No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any oiher person or entity whom the Disclosing Party has retained or expects lo retain: n connection with she Matter, as well as the nature ofihe relationship, and the total amount ofthe fees paid or estimated to be paid, lhe Disclosing Party is not required to disclose employees who are paid solely ihrough the Disclosing Parly's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any peison oi entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himseir. "Lobby ist" also means any pei son or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disciosiu:..: Party m miceriam whether v. disclosure is recinred under this Section, the Disclosing

File #: O2015-777, Vers	ion: 1		
Pi.ii:y miisi either nsk ih	ne (I i\ \\ bethe	el disciosmc is ieqinred or make ihe	disclosure.
Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: '•hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary j^Check here if the l	ŕ	arty has not retained, nor expe	cts lo retain, any such persons or entities.
SECTION V CERTI	FICATIONS	S	
A. COURT-ORDERED	CHILD SUP	PORT COMPLIANCE	
•		-92-415, substantial owners of buseir child support obligations throu	siness entities that contract with the City ghout the contract's term.
* <u>-</u>	-	irectly owns 10% or more of the Etions by any Illinois court of compet	•
[] Yes ^	No	[] No person directly or indirect Disclosing Party.	tly owns 10% or more of the
If "Yes," has the perso	n entered int	to a court-approved agreement for	payment ofall support owed and
is the person in complian	nce with that	agreement?	
i j Yes	{ ' No		
B LURTHFRCLRT	Γ1FIC A'I IC	ONS	

I Pursuant to Municipal Code Chapter i .IE Article I ("Article E')(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the (.ity, then ihe Disclosing Party certifies as follows' (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of. or he-, ever been convicted of. or placed under

supervision for. any criminal offense involving actual, aitempted. or conspiracy lo commit bribery, theft, iraud, ioigcry, perpirv. dishonesty or deceit an.mo; an office; or employee of the City o: any sislei agency; and (ii j die Applicant undeisiamls and acknowledges that compliance with .Article I is a continuing requirement lor domm besmess with 'he City NO'!'!- E' Aiiicle I applies ,o fit Applicant, the permanent compliance timeframe m Aiiicle 1 supersedes some f;ve -\ear compliance timelrarries in cer!11 icaiions 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting lo obtain, or performing a public (federal, stale or local) transaction or contract under a public transaction: a violation of federal or state antitrust statutes; fraud; embezzlement; theft: forgery, bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV. "Disclosure of Subcontractors and Other Retained Parties"); 'any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Parly, under common conirol of another person or enlity. Indicia of control include, without limitation: interlocking management or ownership, identity of interests among family members, shared facilities and equipment; common use of employees: or organization of a business entity lollowing the ineligibility of a business entity to do business with federal or state or local government, including the Cily, using substantially the same management, ownership, or principals as the ineligible entity): with respect lo Contractors, ihe iern: Affiliated Entity means a person or enlily that directly or indirectly controls ihe Conlractor. is controlled by ii, or, with the Conlractor, is under common control of

another person or entity,

• any responsible offical offihe Disclosing Party, any Contractor or any Affiliated Entity or any oihei officiai, agent or employee o: die Disclosing Party, any Contractor or any Affiliated Entity, aciing ruirsmin: io the direction oi :o thon/mion o: a responsible official ofthe Disclosing Parly, any Contractor or any Affiliated Entity; coiieci:vely "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of Contractor during the five years before the date of Such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any slate or local government in the United States of America, in that officer's or employee's official capacity,
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of IA>;e:gn Asseis Control of U.S. Department of the Treasury or the Bureau of Industry and Security of U.S. Department of Commerce or their successors: the Specially Designated Nationals fist, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. 'I'he Disclosing Party nude stands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General). 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in tins Part B (Further Certifications), the Disciosini.: !'ar:\ mi.-j explain below*

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). $N/ft \qquad I$
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or lo the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. **JUA*
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)

 $[\]>^s \qquad \qquad j^{\prime}S \text{ not}$

a "financial institution" as defined m Section 2 ■ 3 2 - 4 5 5 (b) of the Municipal Code.

2. If the Disclosing Pasty IS a financial m-iitution, then the Disclosing Party pledges.

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We furthei pledge ti st none of oui affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code Wc understand that becoming a predatory lender or becoming an affiliate of a predatory 'ender may result in the loss of the privilege of doing business with the Cuv "

File #: O2015-777, Version: 1	
If the Disclosing Pariv is unable to n :>kc this pledge because it or of the M unieqed Code) is a predaiory lender within the meaning o	•

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-1 56 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-1 56-1 10 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[JYes DTNo

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]	Yes rXNo	

3. If you checked "Yes" to Item D 1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business. Address. Nature of Interest

4 lhe Disclosing Paiiv i m Uer ce:: i! :es that no prohibited financial interest, in the Matter w id be acquired by any

Ci:y official oi employee.

E CERT IEICATION HI GAEUINC si A Y i ! •: 3 ERA BUSINESS

Please check either I or 2. below i; the Disc losing Party checks 2.. ihe Disclosing Party must disclose nolo v. or m art eiiachmem to this (UTS aii mfoiinaiion required by paiagraph 2 Emm re to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all piedecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Parly has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Parly verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of

debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf ofthe Disclosing Parly with respect to the Matter. (Add sheets if necessary)-

(If no explanation appears or begins on the ! tr.cs above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosuie Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2 The Disclosing Parly has not so em. and will not expend any federally appropriated funds to pay any person oi entity listed in Pioagiaph A: above for ins or her lobbying activities or lo pay any person or entity to in flue rice or ,e temp; to :n flue nee an o ffieer or employee of any a gen eye as dc! in en by applicable ledeiai bus . a member e f eo.m,.; o-g an ofheer or employee of Congress. or an employee of a mcrnbei of Congress, in connection with :1m award of any federally funded contract, making any federally funded gran; or loam entering into any cooperative agreement, or to extend, continue, renew, .'.mend, or modify am. federall funded cm.:: act. giant, loan, or cooperative agreement.

 P.me v i > f i 3
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(e)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.L through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Yes J^No If "Yes," answer the three

questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 4! CFR Part 60-2.!

M Yes [j No

- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Fquai Employment Opportunity Commission all reports due under the applicable filing requiiements" f! Yes ; "j No
- 3. Have you participated m any previous contracts or subcontracts subject to the equal opportunity clause?

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy io make ihis document available to the public on its Internet site and/or upon request. Some or all of ihe infonnation piovided on this EDS and any attachments to this EDS may be made available to the public on the internet, m response to a Freedom of Information Aci request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection wilh the public release of information contained in this EDS and also authorizes the City to verify ihe accuracy of any information submitted in this EDS.

E The information provided in this EDS must be kepi currenl. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contact being handled by the City's Department of Procurement Services, the Disclosing Party must update this E:)\ a-; the contract cquires NOTE:

With respect io Matters subject to Article 1 of Chanter 1-23 of the Municipal < ode 'imposing PERMANENT INELIGIBILITY for eeriam specified offenses), me inuirmaimn provmed herein regarding eligibility must be kept current foi a longer period, as icquired by Clhapie: '-23 am.: Secfon 2-1 5'H)20 of the Municipal Code

The Disclosing Pari¹- represents and warrants thar

Page: 1 of 13

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking, tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or lhat the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (ifapplicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)



(Print or type title of person signing)

Signed and sworn io belore me on (date)

County, XL-

•∎mm:s.s:ou expire

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Parly oi tiny "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[j Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person lias a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

R~3S Zeal ZsYate Series# 3h<2.

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Check ONE of the lollowing three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. X. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the 2. Applicant in which the Disclosing Party holds an interest: 'QP f-CIVYlt/V', LL.C OR 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: jfJifj} Cu/vi^g P^1 - Tr'llO'2.
C. 7e\e»hone:d&L1-4Z1-&53 Fax: 9M1'j&2-QM Email: g/^hS(ftfoii*ffarg Ccs^
D. <u>Name of contact person: ^{(hfJLKcl Sti Offl'(\Q</u>
E. Federal Employer identification No (if you have one):
F. Brief description of eoninici, transaction or oilier undertaking (referred to below as the "Matter") to which tins EDS pertains. (Include project number and location of property, ifapplicable): Ap^CcjrJl erf LoLv£r>cjD <y\e. %fi<-="" ?\q(\\~'bevplopnmii-_pepavf="" c="" cr-?cl'fj,="" exwfiif\(j="" fed'tr,="" fondly="" h'-family="" kr="" mm="" of="" one)="" ponoti'on="" rlocajincj="" s-fcttc="" svevtiopmin-t="" tax="" tcxx="" uefc^menr="" w'="">-j- c-f- Plan rn m G Which City agency or department is requesting this EDS?J5mcT f^VV^\P^CD")~^</y\e.>
If ihe Matter is a conlract being handled by the City's Department of Procurement Services, please complete the following
Specification '•• and Contract
Page I of 13 SECTION II DISCLOSURE OF OWNERSHIP INTERESTS
[] Publicly registered business corporation ffi. Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust
!] Limited liability company [] Limited liability partnership [] Joint venture [j Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?
[] Yes [] No [] Other (please specify)

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2. For legal entities, the state (or foreign country) of incorporation or organization, ifapplicable:

j | |/{16|S

3. For legal entities not organized in the State of llinois: Has the organization registered to do business in the State of lllinois as a foreign entity?

[] Yes

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each genera! partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party NOTE: Each legal entity listed below must submit an EDS on its own behalf.

ilk

Mmi her/ Ouurw

111 Please piovide die following mfoimmiion concerning each nei --on entity him me. dueci oi indirect beneficial mteiest (including owiteiship) in excess of 7.5% of the Disclosing Party Examples of such an interest include shaies in a corporation, partnership inlerest in a partnership or joint venture.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-1 54-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address

Percentage Interest in the

diehard MOrHM, titill Dundee £/j &llo $^{\text{Disclosing Party}}_{L_l}$ Nofrin $Wo0k_f \setminus LQ > Do\{q2, [od]\}_{L_l}$

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes ANo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or enlity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than' (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Parly is uncertain whether a disclosure is required under this Section. lhc Disclosing Paily must either ask ihe City whether disclosure is required or make the disclosure

Page 3 of !3

Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether

(subcontractor, attorney, paid or estimated.) NOTE:

lobbyist, etc.) "hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

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A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[1 Yes

B. FURTHER CERTIFICATIONS

- 1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Paity certifies as follows (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, ot has ever been convicted of. or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an o i ficer or employ ec of the City or an v sisiei agency; and (ii) the Applicant understands and tick now ledges that compliance with Article I is a continuing require mem io; doing business with the City. '\ii : 2 if Amcic I applies to the .Applicant, the peinianeni compliance timeframe m Article I suoeisedc< some five-vear compliance timeframes m certifications 2 and 3 below
- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against (hem in connection with: obtaining, attempting lo obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under

Section IV, "Disclosure of Subcontractors and Other Retained Parties"); any "Affiliated Enlily" (meaning a person or entity that, directly or indirectly, controls the Disclosing Parly, is controlled by the Disclosing Parly, or is, with the Disclosing Patty, under common control of another person or enlity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees: or organization of a business enlity following the ineligibility of a business entity to do business with federal or stale or local government, including the City, using substantially the same management, ownership, or principals as the Ineligible enlily): with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or. with the Contractor, is under common control of another person or enlity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other of i...: a'. agent or employee of the Disclosing Parly, any Contractor or any Affiliated Entity, ;te!:r;g 'pursuant to the direction of anthem; nation of a responsible of 'n'iai of the Dismosm;:: Pari'., any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any slate or local government in the United Stales of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not

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been prosecuted for such conduct; or

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the EES. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred Li si.
- 6. The Disclosing Party understand:- and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2.-1 56 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable ; o certify to any of the above statements in this Part B (Further Certifications), the Disclosing Paity mus: explain below

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Parly certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicaeo (if none, indicate with "N/A" or "none").

-ti/A .

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail

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value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.



C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is
$$J\&$$
} s not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges.

"We are not and will not become a predatory lencie as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a precatory lender may result in the loss of the privilege of doing business with the City"

If the Disclosing Parry is unable to make this pledge because i: or any of its. affiliates (as defined in Section 2-32-453(b) of ihe Municipal (. ode) is a vuedatoiy lender within the meaning of Chaptei

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed lhat the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-1 56 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-1 56-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

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2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter	involve a C	City Property	Sale?
-----------------	-------------	---------------	-------

[] Yes [J(No

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4 The Disclosing Party further cer.ilies tha: no prohibited financial interest in the Matter will be acquired by any Cuy official or euip.oyee

E. CER'i IF iCA fiON REGARDING cjE.-'-.V; -RV I.KA BUSINESS

Please check cither I or 2 below. 'f the DisIomiig Party checks 2., the Disclosing Party must disclose below oi m an attachment to :h.s 1 DS;.!! information returned b\ paragraph 2 Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- /J^_\. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during rhe slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step I above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosuie of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary).

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of i 995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter)

- 2. The Disclosing Party has not srsent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A I alio-, e io: his or her lobbying activities or to pay any person or entity io influence or at ten ioi to in i Lie nee an o i'i icer or employee o f any agency, as defined by applicable federal law, a member oi \'emigre-:--, en office; or employee ofCongress, or an employee oi a member of Contiress. ir: connection with ihe award of anv icderally iunded confract. maknut anv federally funded grant or loan, enieimg into any eooper.;'.: ec agreement, or to extend, continue, renew,
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

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If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Yes \$>£No If "Yes," answer the three

questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[i Yes f] No

2. Have you filed with the Joint Repotting Committee, the Director of the Office of Federal Contract Compliance Programs, or the: Equal Employment Opportunity Commission all reports due under the applicable filing requirements" [1 Yes [i No

3 Have you participated in any previous contracts or subcontracts subject to ihe equal opportunity clause?

; j Yes I 1 No

'f you cheeked "No" to 'question 'o; 2 above please provide an explanapon.

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect io the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citvofchicago.org/Ethics http://www.citvofchicago.org/Ethics. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, lit response to a Freedom oi'Information Act request, or otherwise. By completing and signing this EDS, the D.sclosir.g Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authonzes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement ihis EDS up to the tunc the City takes action or the Matter. If the Matter is a contract belna handled by the City's Depaitment of Procurement Services, ihe Disclosing Party must update this EDS as the coniraci requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offensesi. the information provided herein remdim- e-;ei!ulp\ must be kepi current fore 'onge: penod. as i cci l: i red by Chapter 1-23 and Section 2-153-020 of the Vhmicipal Code

The Disclosing Party represents and warrants thai.

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf ofthe Disclosing Party, and (2) warrants that all

certifications and statements contained in this EDS and Appendix A (ifapplicable) are true, accurate and complete as of the date furnished to the City.

frrs gfagfetefcservi^JYic.

(Print or type name of Disclosing Party)

 $\frac{B^{\wedge}Z - UP^{\wedge}}{(Sign here)}$

XirMrrl Scio^nn

(Print or type name of person signing)

Vmt&hft

(Print or type title of person signing)

Signed and sworn to before me on (dare) $Dt?-\pounds > \blacksquare$ \ (}ff-L[d<rxrK~~ County, $(((fA^{\prime}S _ (state).$ UtKK

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city officiai or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected: (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nattue of .such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ ifapplicable:

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Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
 - OR
- 2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Y P F&flli |y_i L-Lft OR
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party:

17\$/ u) <>+ QnWiO., iu ?

C. Telephone: TixtHh X<t 3tt Email: C£HtO>0 8/^ • °M>

- D. Name of contact person:
- E. Federal Employer Identification No. (if you have one): J
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, ifapplicable): Apff(0<1<2\$ of

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G. Which City agency or department is requesting this EDS? AvVfA Oc?V't'J frp (Y\-€ t% f
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification ~ - DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY
[] Person [j Publicly registered business corporatioi [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust ^ Limited liability company [i Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?
2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:
3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?
[]N/A
B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:
1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal

entity listed below must submit an EDS on its own behalf.

Name < - Lif/Vifcril - Tule

Name <. ,- Uf/Ylfcrll - Tule $fine/ nvM-l/K MfKds KeiC^hrnrtCnu-,$

 $P(V>.U:Cv):r3 - Chao \ rnui^{\ 0}r \ ?:ca\ 't>cc.\ ^cmY)-ejkx ? \ ct...Qisi \{\ I \ t(CV\ CAiiM....; Pff?_Si. d&XtA \ ttO \ OO-VI.QfOc J'mYl \ (\ _]cCu\ \ h, l?ctVfj.c£L.lic.hri-u-iA\ V;c { - "\ cn}-Life/ Cesc\ Do-;ai, na . . .$

2. Please provide the following information cor.ceining each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Parly. Examples of such an interest include shaies m a eorpm aiiun, pai mciskip interest in a partnership or joint venture.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the $^{\wedge}$ i Disclosing Party $fyf^{\wedge}snsdb\&te.$ iJILhtIIIIL /' $_{o}/$ $_{\circ}/h6u\pounds kftr-l > ok> (LoorIUL fUhGHrO it- (00/6))$

SECTION III BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV ~ DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who aie paid solely through the Disclosing Party's regular payroll.

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of any person or entity other	than: (1) a not-for-profit ent	tity, on an unpaid l	legislative or administrative action on behalf pasis, or (2) himself. "Lobbyist" also means cludes undertaking to influence any
Ifthe Disclosing Party is umust either ask the City when		_	er this Section, the Disclosing Party ure.
	Page 3 o	ıf	
	Relationship to ddress (subcontractor, lobbyist, etc.)		Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
here if the Disclosin	ng Party has not retained,	nor expects to ret	tain, any such persons or entities.
SECTION V CERTIFICA	TIONS		
A. COURT-ORDERED CHI	LD SUPPORT COMPLIA	ANCE	
Under Municipal Code Se must remain in compliance w	-		ess entities that contract with the City out the contract's term.
Has any person who directly any child support obligations	•		closing Party been declared in arrearage on tion?
	No person directly or indire isclosing Party.	ectly owns 10% o	r more ofthe

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If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the

person in compliance with that agreement?

[] Yes

[] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 3 -23, Article I ("Article 1")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit againsl; m officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with rhe City. NOTE: if Article 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;

» any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the

Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity Indicia of control include, without limitation interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official ofthe Disclosing Party, any Contractor or arty Affiliated Entity or any other official, agent or employee ofthe Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively 'Agents")

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "N A," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

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9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

:-

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing

Party certifies that the Disclosing Party (check one)

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result m (he loss of the privilege uf doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-4 5 5(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary)

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	the word "None," or no respectoring Party certified to the above	ponse appears on the lines above, it will be conclusively te statements.
D. CERTIFICATION	REGARDING INTEREST IN C	ITY BUSINESS
Any words or terms to in this Part D.	hat are defined in Chapter 2-156 o	fthe Municipal Code have the same meanings when used
	•	Iunicipal Code: Does any official or employee of the City have a me ofany other person or entity in the Matter? /
NOTE: If you check proceed to Part E.	ked "Yes" to Item D.U, proceed	to Items D.2. and D.3. If you checked "No" to Item D.U,
employee shall have purchase of any pro of legal process at th	a financial interest in his or her perty that (i) belongs to the City he suit of the City (collectively, "o	ive bidding, or otherwise permitted, no City elected official or own name or in the name of any other person or entity in the , or (ii) is sold for taxes or assessments, or (iii) is sold by virtue City Property Sale"). Compensation for property taken not constitute a financial interest within the meaning of this
Does the Matter inv	olve a City Property Sale?	
[] Yes [sj/uo		
· · · · · · · · · · · · · · · · · · ·	d "Yes" to Item D.U, provide the n	names and business addresses of the City officials or of such interest:
Name	Business Address	Nature of Interest
4. The Disclosing City official or emplo	•	rohibited financial interest in the Matter will be acquired by any
E CERTIFICATION	REGARDING SLAVERY ERA	BUSINESS

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- y 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.!. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, a.s defined by applicable federal law, a member of Congress, an

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officer or employee ofCongress, or an employee ofa member of Congress, in connection with the award ofany federally funded contract, making any federally funded grant or loam entering into any cooperative agieement, or tu extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agieement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain al! such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Yes

If "Yes," answer the three questions below:

1. Have you developed and do you	have on file	e affirmative	action	programs	pursuant	to applicable	federal
regulations? (See 41 CFR Part 60-2.)							

[] Yes [] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

[] Yes

[] No

3. Have you participated in any previous contracts or .subcontracts subject to the equal opportunity clause?

'[jYes []No

If you checked "No" to question 1. or 2. above, please provide an explanation-

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRO.orR/Ethics http://www.cityofchicaRO.orR/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the tune the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kepi

current for a longer period, as required by Chapter 1 -23 and Section 2-;54-030 of the Municipal Code

'The Disclosing Party represents and warrants that

- F.I. The Disclosing Party is not delinquent in the payment ofany tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P. A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

LHjrMc G.»ioo

(Print or type name of person signing)

fel^f

(Print or type title of person signing)

Signed and sworn to before me on (date) u;Q:VT.!.>ev" H' / "O^l.

at Coo 'f-. _ County, J.\\\>--Q >
$$\blacksquare \blacksquare \blacksquare$$
 (state).

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T " " \sim " " " " - " 7" _ Notary Public.

Commission expires. (;'•/ - i-U '^'Uuq

OFFICIAL SEAL MARIA G HEVAREZ Notary Public - Slate of lilinols

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected: (3) the name and title of the elected city of ficial or department iie ad to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this FDS. Include d/b/a/ if applicable:

cf fhe Yank b/ei/jh\x>rhood Cmmil

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
- 2. J)\(^\) a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: rr PtffYlify l~LC
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party:

$$\frac{^{\wedge}J. \quad ^{\wedge}1"}{I-(syc^{\wedge}>0^{c}l)} \qquad \underline{.A.}$$

441k Fax:

 $3^3S > r$ Email: CilMiCO & ty\$G*OU

- D. Name of contact person:
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction oi other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, ifapplicable): fappccilod cf

Uco-^CG'^ rtcusii^ itxx Ofediis, S-ra+ti fcfvrtfcrt tcvc CceAi^, arid ^par+mert/-

o^piflrini^i & n?\iO\offnen^ Mulh- for^ly Fundiy (vr PflriL-Pfaee Dei/obpmesit.

$$p e v^0 C < r r - t(Ys \pounds, y v c v - p i a. n n i.n a$$

G. Which City agency or department is requesting this EDS?Qry1 T>?V■?\0j

If the Matter is a contract being handled by lhc City's Department of Procurement Services, please complete the following:

Specification # and Contract «

Ver. 01-01-12

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY [] Limited liability company [] Limited liability Not-for-profit corporation (Is the not-for-pro	1 113	
		1. Indicate the nature of the Disclosing Party:
Person	[
Publicly registered business corporation	[
Privately held business corporation	[
Sole proprietorship		
fv^Yes []No [] Other (please specify)		
General partnership	I)	
Limited partnership		
] Trust	[

- 2. For legal entities, the state (or foreign country) of incorporation or organization, ifapplicable:
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[JYes

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

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1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a genera] partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Parly Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 7 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust,-estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the Disclosing Party

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

UYes

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated lo be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll

"Lobbyist" means any person or enlity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, or. an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

(Add sheets if necessary)

[t^'Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

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SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-4 3 5, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No K/No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

i Pursuant to Municipal Code Chapter 1 -23, Article 1 ("Article J")(w!;ich the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Parly submitting this EDS is the Applicant and is doing business with the City, ihen the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article i is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in ceitificattons 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery, bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation, interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of abusiness entity to do business with federal or state or local government, including tile City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible- official offhe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee offhe Disclosing Parly, any Cor.tracioi or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official offhe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents")

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of

freedom of competition by agreement to bid a fixed price or otherwise; or

- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Univerified List, the Entity List and the Debarred List.
- 6 The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. ifthe Disclosing Parly is unable io certify to any ofthe above statements in this Part 8 (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

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"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory 'lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because ii or any of its affiliates (a.s defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender w::n;r. the meaning of Chapter 2 32 of the Municipal Code, explain here* (attach additional pages if necessary)-

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Ycs IVNo

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Ycs [yJiNo

3. If you checked "Yes" to Item D.I., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name "Business Address Nature of Interest

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гие	# .	UZU	137-7-7	/ . V	/ersi	IOH.	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either i or 2. beiov. If the Disclosing Party checks 2, the Disc losing Party mus: c'i.e le..e below ur in aii aitaciuuen: to this EDS all information required by ::eu ni!,; aph 2 Fa J ere ie

rage So! 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- V 1 The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records;

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter Is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

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(If no explanation appears or begins on the lines above, or if the letters "NA" or if rhe word Nonc" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or enlity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of tiny agency, as defined b applicable federal law, a member ofCongress, an officer or employee of Congress, or an employee of member ofCongress, in connection with the award of any federally funded contract, making any federally funded grant or loan, enlenniJ into any cooperative agreement, or ir ouend, coiUmne. renew amend, or modify any federally funded contract, granl. !u«n, or coopeiaiive agieeirenl.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2, above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1 986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

[] Yes	
If "Yes," answer the three questions below:	

Is the Disclosing Party the Applicant?

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
[]Ycs

1] No

2. Have you filed with the Joint Reporting Committee, the. Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes

:ed in any previous contracts or subcontracts subject to the

No

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1 f you checked "No" to question 1. or 2. above, please provide an explanation

SECTION VII-- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.ore/Ethics http://www.cityofchicago.ore/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 offihe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current

for a longer period, as required by Chapter: -23 and Section 2-154-020 of the Municipal Code

The Disclosing Party represents and warrants that'

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will net use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.U and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.U, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

(Print or type name of person signing)

iPnnt or type title of person signing)

Signed and sworn to before me on (date) / C pr)": |")<-'" [/ at '. CO 1/ County. "SJ\, no \'-. fstate).

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest In the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, plense identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SEC110NI--GENERALINFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the lollowing three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

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	 2. [yj a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: PP Family, LLC (expected to hold 99.99% OR interest at closing) 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control: 											
В.	Business address of the Disclosing Party: 1307 Washington Avenue, Suite 300											
	St. Louis, MO 63103											
C.	<u>Telephone: 314-335-2600</u> <u>Fax: 314-335-2601</u> <u>Email: kacey.mahrt(a>usbank.com</u>											
D.	Name of contact person Kacev Mahrl											
L.	Federal Employer Identification No. (Tf you have one): ' "											
	Brief description ol contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS ortains. (Include project number and location of properly, if applicable)											
-Q	uistruction ol 78 nm I s ol allurdahle housing											
Ci	Department ol i. Which City agency or department is requesting this EDS? Planning and Development (DPP)											
	Ifthe Mailer is a contract being handled by the City's Department of Proeureinenl Services, please complete the follow mg											
	Specification •*;' NA and Conlract // NA											
I'a	iee 1 of 13											
SI	ECTION II - DISCLOSURE OF OWNERSHIP INTERESTS											
A	. NATURE OF THE DISCLOSING PARTY											
pa	1. Indicate the nature of the Disclosing] Person Publicly registered business corporation [X] Privately held business corporation [] Sole proprietorship [] General rtnership [] Limited partnership] Trust arty:											
] Limited liability company [] Limited liability partnership [] Joint venture											

[] Not-for-profit corporation

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(Is the not-for-profit corporation also a 501(c)(3))'.'		
(is the not-ior-profit corporation also a $301(0)(3)$).	! I Yes	! I No
[Other (please speeify)		
2. For legal entities, the state (or foreign country	e) of incorporation or organi	zation, ifapplicable:
Minnesota corporation		
3. For legal entities not organized in the State of the State of lllinois as a foreign entity?	Illinois: Has the organization	registered to do business in
[] N/A		

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal tillehokler(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any oilier person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title		
Za.ch.ar.yJk^		-
jnizubeth^Stojir, Prc.sidenl		
J\ri.S_LyJfu.tistcii.scnillKilmi;!iiuaJ.(.).liiceL	_ -	
Kristen Swilzer.J lead ol Assei Management		·_

2. Please provide the following infonnation concerning each person or enlity having a direct or indirect beneficial interest (including ownership) m excess of 7 5"n ofthe Disclosing Parly. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

Pa Lie 2 of I 3

interest of a member or manager in a limited liability company, or interest of a beneficiary -of a 4 ru sty-restate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest m the

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Disclosing Party

U.S. Bank National Association 800 Nicollet Mall, Minneapolis, MN 55402

100%

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes [X] No * To the best of our knowledge, after due inquiry

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTFIER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and tiny other person or entity whom the Disclosing Party has retained or expects to retain m connection with the Matter, as well as the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit eniity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking io influence any legislative or administrative action.

ll'ihe Disclosing Parly is uncertain whether a disclosure is required under lhis Scclion. ihe Disclosing I'artv mum either ask the City whether disclosure is required or make tlie disclosure

Un tic 3 of I 3

Name (indicate-whether----- Business retained or anticipated Address to be retained)

"Relationshipto Disclosing Party Fees (indicate vvhethei (subcontractor, attorney, lobbyist, etc.) paid or es "hourly ra"

paid or estimated.) NOTE:
"hourly rate" or "t.b.d." is

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		not an acceptable response.
(Add sheets if nece	essary)	
(XI Check here	ifthe Disclosing	Party has not retained, nor expects to retain, any such persons or entities
SECTION V C	ERTIFICATION	NS
A. COURT-ORD	ERED CHILD S	UPPORT COMPLIANCE
•	-	2-92-415, substantial owners of business entities that contract with the City heir child support obligations throughout the contract's term.
• •	•	rectly owns 10% or more of the Disclosing Party been declared in arrearage on any nois court of competent jurisdiction?
[] Yes	[] No	xl No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the pe		a court-approved agreement for payment of all support owed and is the person in
Yes	[i No	

B. FURTHER CERTIFICATIONS

1. Pursuant to .Municipal Code Chapter 1-23, Article 1 ("Article I")(\vlnch the Applicant should consult For defined terms (e.g., "doing business") and legal requirements), ifthe Disclosing Party submitting ihis EDS is the Applicant and is doing business wilh the City, then lhe Disclosing Party certilies as Follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt oF. or has ever been convicted of. or placed under super\ ision for. any criminal offense involving actual, attempted, or conspiracy to commit bribery, thefi. fraud, forgery, perjury, dishonesty or deceit against an officer or emplov ee ofthe Cily or any sister agency: and (nl the Applicant indersiaids and acknow ledges that compliance with Article I is a continuing requirement loi doing business with the City NOT E: IF Article I applies to the Applicant, lhe permanent compliance timeframe m Article I supersedes some Five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The-Disclosing Party and. ifthe Disclosing Party is a legal entity, all o'f-those persons or entities identified in Section 11.13.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adpidged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud: embezzlement; theft; forgery; bribery; falsification or destruction of records: making false statements; or receiving stolen property;
 - c. arc not presently indicted for. or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:

• the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV. "Disclosure of Subcontractors and Other Retained Parlies");
- any "Affiliated Entity" (meaning a person or entity thai, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Parly, or is, with the Disclosing Party, under common control of another person or enlity. Indicia of control include, without limitation: interlocking management or ownership: identity of interests among family members, shaied facilities and equipment: common use of employees; or organization erf a business entity following the ineligibility of a business entity to do business with federal or stale or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity): with respect to Coniraciors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Conlractor, is controlled by it, or, with the Conlractor, is under common control of another person or entity,
- any responsible ollicial ofihe Disclosing Party, any Contractor or any Affilialed Emily or any olher official, ageni m employee of the Disclosing Parly, any Contractor or any A ffiliated I: nlity. acting pursuant lo the direction or authorization of a responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity (collectively "Agents")

Neither theid^isclosing" Party, nor any Contractor, nor any Affiliated Entity of-either--the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau ol Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals f ust, the Denied Persons List, the Unverified List, the Entity List anil the Debarred List
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Mu inc i pa I Code.
- 7. If the Disclosing Pariv is unable lo certify to any of the above statements in this Part 13 (Further Certifications), the Disclosing Party must explain below:

Paue 0 oF I 3

.None

None

Disclosing Party certified to the above statements.

- S. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this FDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none").
- 9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [Xj is not

- a "financial institution" a.s defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then lhe Disclosing Parly pledges:

"We are not and will not become a predatory lender a.s defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is. and none of them will become, a predalory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege id'doing business with the Cny."

If the Disclosing Piutv is unable lo make ihis pledge because it or any of its affiliates (as ilefined in Section 2-32-4 5N h) of the Municipal Code) is a predatory lender within ihe meaning ol'Ohnpler 2-32 of the Municipal Code, explain here (attach additional pages i f necessary)

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	e word "None." or no response appears sclosing Party certified to the above state	on the lines above, il will be conclusively tements.
D. CERTIFICATION	N REGARDING INTEREST IN CITY	BUSINESS
Any words or terms to used in this Part 1).	that are defined in Chapter 2-156 of the	Municipal Code have the same meanings when
	is or her own name or in the name ofan	pal Code: Does any official or employee ofthe City have a y other person or entity in the Matter? ur knowledge, after due inquiry
NOTE: If you checoproceed to Part E.	eked "Yes" lo Item D.U, proceed to It	ems D.2. and D.3. If you checked "No" to Item D.l.,
employee shall have purchase of any pro of legal process at th	a financial interest in his or her own perty that (i) belongs to the City, or (he suit of the City (collectively, "City F	oidding, or otherwise permitted, no City elected official or name or in the name of any other person or entity in the ii) is sold for taxes or assessments, or (iii) is sold by virtue Property Sale"). Compensation for property taken enstitute a financial interest within the meaning of this
Does the Matter inv	olve a City Property Sale?	
[] Yes	X] No	
· ·	ed "Yes" lo Item D.U, provide the name ich interest and identify llie nature ofsud	s and business addresses of the City officials or ch interest:
Name	Business Address	Nature of Interest

4. The Disclosing Pariv furiher certifies that no prohibited financial interest m lhc Matter w ill be acquired by any City official or employee

E. C E R F11 1C A I ION R I G A R DIN G S L A V E R Y E R A BUSINESS

Please check cither 1 . or 2 below I F the Disclosing Party checks 2 . the Disclosing Parly must disclose below or in an aline hnieni lo ihis IDS all in formal ion required by paragraph 2 Failure lo

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- _X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter' (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of l¹)95 have made lobbying contacts on behalf of the Disclosing Party with respect to the Mattel'.)

2 The Disclosing Lu rl \ has not spent and will not expend any federally appropriated funds to pav anv person or enlity listed in Paragraph A I . above for his or her lobbying activities or to pay any person or enliiv lo influence or uticinpi to

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influence an officer or employee of any agency, as dehned 1 applicable federal law. a member of Congress. an officer or employee of Congress. or an employee of Congress, m connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any lederal K funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. I'he Disclosing Party certifies that either: (i) it is not an organization described m section 501(c)(4) ofthe Internal Revenue Code of 1986: or (ii) it is an organization described in section 501(c)(4) ofthe Internal Revenue Code of I9S6 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal m form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Yes [vJNo If "Yes," answer the three

questions below:

1.	Have	you	developed	and	do	you	have	on	file	affirmative	action	programs	pursuant	to	applicable	federal
regula	ations ⁰	(See	4 I CFR Par	ı 1)0-	2.)											
	Yes				No											

2. Have you filed with the Joini Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable tiling requirements'.-'

I j Yes | | No

3. Have you pariicipated in any pre\ ions contracts or subcontracts subject lo the equal opportunity clause'.'

| I Yes | | No

11 you checked "No" lo question 1 or 2. above, please provide an explanation

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part ofany contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution ofany contract or taking other action wilh respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at wwvv.cityofch icago.org/E thics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. Il is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on lhe Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, lhe Disclosing Party waives and releases any possible rights or chums which it iimv have against the City in connection with the public release of information contained in this IDS and nFo authorizes the City to verily the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In lie event of changes, the Disclosing Party musi supplement this IDS up to the time lie City bikes action on the M titter. If the Mailer is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NO I E: With respect to Matters subject to Article 1 of

Chapter 1-23 ofihe Municipal ('ode I imposing PERMANENT INELIGIBILITY for certain specified ollcnses). tlie information pro\ u.led herein regarding eligibility must be kepi current for a longer period, as required by Chapter 1-25 and Section 2-154-020 of the Municipal Code.

I'he Disclosing Pnrly represents and warrants that

Puree I I of 13

- F. 1. The Disclosing Parry is not delinquent in the payment of any tax administered by the ITIinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee. tax or other charge owed to lhe City. Phis includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to rise, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPFS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

U.S. Bancorp Community Development Corporation (Print or type name of Disclosing Party)

r (icrei

(Sit K.iecv

Mal.trt (Print or type name of person signing)

.Vice President (Print or type title of person signing) i slate).

Signed and sworn to belore me on iGiueS i-x-i^HAwt

J-u- |
| \$\\$hL\BU S. \pm krV County,

AMANDA G MARTINE Notary Public • Notary Seal Stale of Missouri. Saint Louis City Commission # 11209935 ' My Commission Expires Jul 5, 2015

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "" Applicable Parly" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected cily official or department head?

[J Yes [X J No - 'i c, []_{1C} |_{K·s}t-₀('_{0UI}- knowledge, alter due inquiry

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head lo whom such person has a familial relationship, and (4) the precise nature id'such familial relationship.

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CITY OF CHICAGO F. C O N O M ICDISCLOSURE ST A T E M E N T AND AFFIDAVIT

S EC TION I - G E N E R A L IN FO R VIA I IO N

- A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
- A. U.S. Bank Nationa_ Associution

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. [x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: PP Family, LLC OR
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 800 Nicollet Mali

Minneapolis. MN 55402

C. Telephone: 314-335-2600 Fax: 314-335-2601 Email: kacey.mahrt@usbank.com

<mailto:kacey.mahrt@usbank.com>

- D. Name of contact pei soir KacevjyJaJiil
- E. Federal Employer Identification No. (if you have one): .
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, ifapplicable)-

File #: O2015-777, Version: 1	
Construction ol 78 unit.s.ofalfordaide housing	
G. Which City agency or department is requesting	Department of ng this EDS? Planning and Development (DPI))
If the Mailer is a coniiact being handled by the Ci	itv's Department of Procurement Services, please complete the follow
Specification // NA	and Contract // NA
Facie I of 15	
SECTION II - DISCLOSURE OF OWNERSHIP	PINTERESTS
A. NATURE OF THE DISCLOSING PARTY	
 Indicate the nature of the Disclosing Party: Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	"] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))" [] Yes [] No [Xj Other (please specify) National banking association
	try) of incorporation or organization, ifapplicable: United States e of Illinois: Flas the organization registered to do business in the * U.S. Bank National Association operates
oflllinois under the	$r - j y_{es} [-j vj_0 r- >]$ branches in the state authority of its national bank charier and is
B. IF THE DISCLOSING PARTY IS A LEGAL	ENTITY: therefor! n0t \(\lambda \) lo regiStC1 \(\frac{35 * foreign}{} \) corporation with the state.
1. List below the full names and titles of all exe	ecutive officers and all directors of the entity. NOTE: For not-for-

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or one loint venture, list below the name and tille of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an

EDS on its own behalf.

Name Lille Please see attached

2 Please provide lhe following informanon concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

U.S. Bank National Association Organizational Structure

December 19. 2014

U.S. Bank National Association Board of Directors ..

Carlson, Jennie P. Cecere. Andrew Chosy, James L Collins, Arthur D Jr. Davis, Richard K Dolan, Terrence R. Elmore, John R. Hoesley, Joseph C. Joseph, Pamela A. Parker, P W (Bill) Payne, Richard B Jr. Runkel, Mark G. Schnuck, Craig D. Stone, Kent V. von Gillern, Jeffry H.

U.S. Bank National Association Executive Title :, /m,.: m;/.;m |% i^jWMi&w. 'd:^-"k Officers .

Davis, Richard K. Chairman, President and Chief Executive Officer

Carlson, Jennie P. Executive Vice President

Chosy, James L. Executive Vice President, General Counsel and Secretary

Runkel, Mark G. Executive Vice President and Chief Credit Officer

Cecere, Andrew Vice Chairman and Chief Financial Officer

Dolan. Torrance; R Vice Chairman

Elmore. John R V"..e Chairman. Community Banking and Branch Delivery

Hoesli.'V. Joseph C \'ic>:: Ghaiffrar

Joseph, Pamela A

Parker. P W (Bill) Vii :e Chairman and Chief Risk Officer

Payne, Richard B Jr Vice Chainnan

Stone. Kent V Vice Chairman. Consumer Banking Sales and Support

von Gillern. Jeffry 11 Vice Chairman

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in (he

File #: O2015-777, Version: 1			
	Disclosing Party		
U.S. Bancorp8(XLN±c^	100%		

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes [y-j No * To the best of our knowledge, after due inquiry

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

I lie Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Parly litis retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated lo be paid. The Disclosing Parly is not required lo disclose employees who are paid solely ihrough the Disclosing Party's regular payroll.

"Lobbyist" means any person or enlily who undertakes to influence any legislative or administrative action on behalf of any person or enlily olher lhan (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" iilso means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If lhe Disclosing Party is unceriain whether a disclosure is required under this Section, ihe Disclosing Party inu-u either ask lhe Cily wlieilier disclosure is required or make the disclosure

I hi Lie 3 cd' 1 3

Name (indicate whether	Business '	Relationship to Disclosing Party	Fees (indicate whether
retained or anticipated	Address	(subcontractor, attorney,	paid or estimated.) NOTE:
to be retained)		lobbyist, etc.)	"hourly rate" or "t.b.d." is

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not an acceptable response.

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No [xj No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and

is the person m compliance with that agreement?

IIYes f] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article L")(which the Applicant should consult For defined terms (e.g.. "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Parly certifies as follows (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of. or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, aliempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit againsl an officer or employee of the City or tiny sister agency: and (n) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE IF.Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-vear compliance timeframes m certifications 2 and 3 below

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, stale or local) transaction or contract under a public transaction: a violation of federal or state antitrust statutes; fraud; embezzlement; thefl: forgery: bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal of civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:

• the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection wilh the Matter, including but not limited lo all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Enlity" (meaning a person or entity that, directly or indirectly controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Parly, under common control of another person or enlity. Indicia of control include, without limitation: interlocking management or ownership, identity of interests among family members, shared facilities and equipment; common use of employees, or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the Cily, using substantially the same management, ownership, or principals as the ineligible enlity): with respect to Contractors, lhe term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity:
- any responsible official of the Disclosing Party. any Contractor or any Affilialed Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Atliliated Entity, acting pursuant to the direction or author i/.ai ion of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Auents").

Neither the Disclosing" Party, "nor tfhy Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any stale or local government in the United States of America, in that officer's or employee's official capacity:
- b. agreed or colluded with other bidders or prospective bidders, or been a parly to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Asseis Conirol ofihe U.S. Department of the Treasury or the Bureau of Industry and Security of lhe U.S Department of Commerce or their successors: the Specially Designated Nationals Fist, the Denied Persons List, the Univerified List, the Enlity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements ol'Chaplers 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Pariv is unable to certify to any of the above stalements in this Part 13 (Further Certifications), the Disclosing Party must explain below

 $l^{J}jTli>Jiy_^cc'_AUJCi])Jf^{111}$. P> JBJ'iislimiMMLlJ^^ certification

ilfi^iJKi'.e-N^cptuq.ilJLlo the

Parte 0 of 15

City of Chicago Economic Disclosure Statement and Affidavit

Statement Regarding Further Certifications

U.S. Bank National Association itself is a mortgage lender. U.S. Bank National Association is also one of the largest corporate trustees in the United States and in such capacity is trustee of numerous mortgage-backed securitization trusts that each hold multiple real property mortgages. From time to time, mortgage borrowers in Chicago default on payment of real estate taxes or otherwise fail to comply with City of Chicago's requirements with respect to certain mortgaged properties. This can result in legal action against the borrower by the City of Chicago, which legal action may name U.S. Bank National Association as the mortgage holder (either in its individual capacity or in its capacity as a trustee). As of December 22, 2014, U.S. Bank National Association was aware of outstanding claims against it (individually or in its capacity as trustee) totaling \$154,040.89 wherein the City of Chicago or one of its departments or divisions (including the Department of Buildings and the Department of Streets and Sanitation) is the creditor. The vast majority of this amount is related to properties that U.S. Bank National Association holds in trust and for which it does not do the servicing. U.S. Bank National Association, both in its individual capacity and in its capacity as a trustee meets periodically with various City officials to address these claims.

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- (S. To the best ofthe Disclosing Parly's knowledge after reasonable inquiry, lhe following is a complete list ofall current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 9. To the best ofthe Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list ofall gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. 7	The Disclosing	g Party	certifies	that the	Disclosing	Party ((check one))
------	----------------	---------	-----------	----------	-------------------	---------	-------------	---

[yj is [] is not

a "financial institution" as defined in Section 2-32--155(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined m Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliales is, and none of them will become, a predatory lender as defined m Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of n predatory lender may result in the loss of the privilege of doing business with the City."

II the Disclosing Party is unable lo make this pledge because it or any of its affiliates (as delmet! in Section 2-32-d55(b) of the Municipal Code) a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Pauc 7 of I 3

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined m Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [XJ No * To the best ofour knowledge, after due inquiry

NOTE: If you checked "Yes" to Item D.U, proceed to Items D.2. and D.3. If you checked "No" to Item D.I., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken

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pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[I Yes XI No

3. If you checked "Yes" to Item D.l. provide the names and business addresses of the Cily officials or employees having such mlcresl and identify the nature of such interesl:

Name Business Address Nature of Interest

4. The Disclosing Party Further certifies that no prohibited financial inlerest in the Matter will be acquired by any Cilv official or employee

P.. CER I 11 IC A HON REGARDING SLAVERY ERA BUSINESS

Please check either I or 2 below Ifthe Disclosing Party checks 2, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2 Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- _1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Parly has found no such records.
- _X_2. The Disclosing Party verifies that, as a result of conducting the search in step I above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: Please see Attachment A and the related letter dated April 29. 2004.

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party w ith respect to the Matter: (Add sheets if necessary j-

(If no explanation appears or begins on lhe lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed lhat the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Aci of 19°5 have made lobbying contacts on behalf of the Disclosing Parly with respect to the Vlatler)

2. I he Disclosing Parly has noi spent and will not expend any federally appropriated funds lo pay any person or entity listed in Paragraph A I above for his or her lobbying activities or to pay any person or entity lo influence or attempl to influence an officer or employee ofany agency, as dehned by applicable federal law. a member ofCongress, an officer or employee ofCongress, or an employee ofa member of Congress, m connection with the award ofany federally funded contract, making any federally funded grant or loan, entering mio any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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Attachment A

City of Chicago Economic Disclosure Statement and Affidavit

Statement Regarding Slavery Era Business

U.S. Bank National Association was formed from the following major banks: (1) Star Bank, National Association (Cincinnati, Ohio) changed its name to Firstar Bank, National Association (Cincinnati, Ohio) effective February 1999; (2) Mercantile Bank National Association (St. Louis, Missouri) merged into Firstar Bank, National Association (Cincinnati, Ohio) effective April 2000; (3) United States National Bank of Oregon (Portland, Oregon) merged with First Bank, National Association under the title U.S. Bank National Association effective August 1997; (4) U.S. Bank National Association merged into Firstar Bank, National Association, and the succeeding bank, changed its name to U.S. Bank National Association effective August 20.01. These banks acquired through mergers and acquisitions numerous smaller banks. There are five hundred and forty-two U.S. Bank National Association predecessors. Thirty-five predecessors were founded before the abolition of slavery in December 1865. Thirteen of the pre-1866 predecessors were established in

southern slave-holding states and territories, including Kansas, Kentucky, Missouri, and Tennessee.

In reviewing historical records held in various external repositories in accordance with the research requirements as set forth in the City of Chicago Office of the Corporation Counsel opinion letter dated April 29, 2004 (attached hereto), U.S. Bank National Association has identified external records of its predecessors which necessitate disclosure. The conveyance records, while showing no record of direct ownership of enslaved individuals did contain records of founders and/or directors of predecessor banks owning enslaved individuals, as well as a record where an enslaved individual was the collateral for a loan. Specifically, the first president of predecessor Marion National Bank of Lebanon, Kentucky (founded in 1856), Benedict Spalding, owned two enslaved individuals in 1850 In 1860 someone with a similar name "Benidict Spalding" is also listed as having owned fourteen enslayed individuals. In addition, certain members of the Marion National Bank of Lebanon's board of directors (called "commissioners") owned approximately forty-seven enslaved individuals in total (the records include abbreviated names, which we conclude may be references to commissioners). The first president of predecessor First National Bank of Clarksville, Tennessee (founded in 1865), S.F. Beaumont, owned one enslaved individual in 1860. The first president of predecessor St. Louis Building and Savings Association, Missouri (formed in 1857), Marshall Brotherton, owned ten enslaved individuals in 1850 and four enslaved individuals in 1860. Merchants Bank (founded in 1857) and Bank of St. Louis (founded in 1857), both predecessors, along with a group of other St. Louis firms, issued a mortgage to Charles McLaran that was secured by his property, which included an unspecified number of enslaved individuals However, the 1860 Federal Census Slave Schedule for St. Louis provided that Charles McLaran owned thirteen enslaved individuals.

The above is only a summary U S. Bank National Association has previously provided the City of Chicago with supporting attachments.

U.S. Bancorp, the parent company of U.S. Bank National Association, was founded after the slavery era and has no separate assets or activities that pre-date the 20th century. As such, it has no disclosure separate from that of the bank.

April 29, 2004

City nf Chicago Richard M. Daley, Mayor

Department of Lrnv

M.n:iS. Georges Corporation Counsel

Cily Hall, Room 600 121 North LaSalle Street Chicago, Illinois 60602 (312) 744-6900 (312) 744-8538 (FAX) (312) 744-2963 (TTY)

http://wwiv.ci.clii.il.u5

Hon. Edward M. Burke

Chairman, CityCoune.il http://CityCoune.il Committee on Finance City Hall, Room 302 121 North La Salle Street Chicago Illinois 60602

Re: Question regarding Ticoiiomic Disclosure Statement and Affidavit, Part VI ("Certification Regarding Slavery Era Business") and Resolution pending before the Joint Committee on Finance and Human Relations

Dear Alderman Burke:

In a letter dated Apid 26, 2004, you indicated that a special committee of the City Council, consisting of the combined

Committee on Finance and the Committee on Human Relations, currently has under consideration a resolution that raises certain issues regarding interpretation of Section 2-92-585 of the Chicago Municipal Code, the Business, Corporate and Slavery Era Insurance Ordinance. That ordinance requires every city contractor to "complete an affidavit verifying that the contractor has searched any and all records of the company or any predecessor company regarding records of "investments or profits from slavery or slaveholder insurance policies during the slavery era. The names of any slaves or slaveholder::; described in those records must be disclosed in the affidavit." (bniphasis supplied)

'i he affidavit requirement of Section 2-92-585 has been incorporated into the standaid Economic Disclosure Statement ("LIDS") completed by city contractors as Part Vi, en Ml led ""Slavery Certification." 'I'he LUS requires an entity contracting with ihe city io vei if'y that it has "searched any and all records of the Undersigned and any and all predecessor entities foT records of investments or profits from slavery, Ihe slave, industry, or shareholder insurance policies." (Lmphasis supplied) The LIDS form then requires the contracting entity to disclose Ihe results oi "that search.

You have asked specifically whether it is "reasonable to interpret the language Tecurds of the undersigned and any anil all predecessor entities' to mean | the contractim: entity':: j; et ords and the iceonis of lis predecessor entities." Por the. reasons (hat follow, it is lhe opinion of This otlice lhat the answer is in The

Hon. Edward M. Burke April 29, 2004 Page 2

affirmative. A search required for proper compliance with and disclosure under Section 2-92-585 must include all known records ofthe contracting entity and each of its predecessor entities, to which records the contracting entity has or can obtain access.

Neither the ordinance nor any Illinois decision defines a "predecessor" enlity of a city contractor. Under the general rules of statutory construction, the word should be given its ordinary meaning. Black's Law Dictionary defines "predecessor" as "one who goes or has gone before; the correlative of

'successor' Applied to a body politic or corporate, in the same sense as

'ancestor' is applied to a natural person." This simple analogy indicates that every known antecedent entity of a city contractor - acquired entities, components of earlier mergers, entities acquired by and subsumed into a prior entity that became a predecessor of a contracting entity - should be treated as a predecessor. This is especially appropriate when one considers the preamble to the ordinance adding Section 2-92-385 to the Chicago Municipal Code. The fifth, paragraph of the preamble (found at page 94891 of the Journal of Proceedings of the City Council of October 2, 2002) refers to records located in the archives of current insurance firms, documenting slave insurance policies "issued by a predecessor insurance firm; the sixth paragraph (id.) refers to "insurers and businesses whose successors remain in existence today." Tims the, City Council recognized that, as the American economy lias expanded and become more complex, modern business may include different business disciplines (insurers and oiher businesses).

The appropriate extent of the mandated records search can also be discerned Irom the preamble. The final paragraph of the preamble (p. 91892) contains a finding of the City Council that entities "doing business with the City of Chicago shall take any and all step:; in good faith to disclose any records within their possession or knowh'dge xchxWxx^ to investments or profits from the slave industry including insuiance policies...." (Emphasis supplied) In order to implement this statement of the Council's intent, a contracting entity may not ignore records lhat are aichived outside the entity's possession (u.g. in a museum, university library, historical society or trade association). To ignore deliberately the existence of such known records could not possible constitute a "good faith" effort to take "any and all .sh.-p.s¹' io document lhe contractor's history. The breadth of the City Coimc.irs desired disclosure also led this department, in the revision of the EDS necessary to implement Section 2-92-585, to change the ordinance's disjunctive in die reference lo "any and all records of the [contracting) company or its predecessors" to a conjunctive in the EDS ("any and all records of

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Hon. Edward M. Burke April. 29, 2004 Page 3		

the [contracting enlity] and any and all predecessor entities").

Should you need additional assistance, please do not hesitate to contact

me.

Very truly yours,

MARA S. GEORGES Corporation Counsel

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986: or (ii) it is tin organization described in section 501(e)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[] Yes tyj No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative' action programs pursuant to applicable federal regulations'? (See 41 (PR Pari 60-2.)

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Ĭ l	Yes] No
_	•	Joint Reporting Committee, lhe Director of the Office of Federal Contract Compliance ment Opportunity Commission all reports due under the applicable filing requirements'.' [] No
	Have you participated n tunity clause'.' Yes	j j No

Pace Liol 1

SECTION VII - ACKNOWLEDGMENTS, CON I RACT INCORPORATION, C O \I PIT A N C E, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

11 you checked "No" lo question I. or 2. above. pleu>c provide an explanation:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text ofthese ordinances and a training program is available on line at www.cityofcb.ic ago.org/">http://www.cityofcb.ic>ago.org/ Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is lhe City's policy to make this document available to the public on its Internet site and or upon request. Some or

all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a freedom of Information Act request, or otherwise. 13y completing and signing this I DS. the Disclosing Party waives and releases any possible rights or claims which it may have against the City m connection with the public release of information contained in this EDS and also authorizes the City lo verify the accuracy of any information submitted m this EDS.

li. The in formation provided m this IDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up lo the time tlie City takes action on the Matter Ifthe Matter is a contract being handled by the Oty's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires NOTE: VV itli respect to Mailers subject to Article 1 of Chapter 1-23 of the Municipal Code limposiiig PERMANENT INELIGIBILITY lor certain specified offenses), the informanon provided herein regarding eligibility must be kepi current lor a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

I he Disclosing Pariv represents und warrants lhat'

Piuie I I of 13

- F.1. The Disclosing Parly is not delinquent in the payment of any lax administered by the Illinois Department of Revenue.-nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to ihe City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, properly taxes or sales taxes.
- F.2 If (he Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPFS"; maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, Ihe Disclosing Parly will obtain from any contractors/subcontractors hired or to be hired in connection with lhe Matter certifications equal in form and substance to those in F.J. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.I., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (ifapplicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (ifapplicable) are true, accurate and complete as ofthe date furnished to the City.

U.S. Bank National Association (Print or type name of Disclosing Party)

Bv: rWAL/

File #: O2015-777, Version: 1
(SVgn here)
Kacey Malirt.
(Print or type name of person signing)
V. ice.P resident (Prim or lype Ulle of person signing)
Signed and sworn to belorc rue ou idalci ai ^tJ^OUi'i.C'i'W Cotmly. /VIA (sia'.e)
, _ ■ , Notary Public
f ommission expires AMANOA 6 MARTINEZ Notary Public - Notary Seal State of M.ssouri. Saint Louis City 5,3 Commission #11209 5
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CH Y OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED C IT Y OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) (he Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general

partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

JYes |X.| No "' fo the best of our knowledge, after due inquiry

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head to whom such person litis a familial relationship, and (4) the precise nature of such familial relationship.

Piute I 3 of I.'

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A.Legal name of the Disclosing Party submitting this HDS. Include d/b/a/ ifapplicable:

U.S. Bancorp

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. |] the Applicant
 - OR
- 2. [xl a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- $2. \ \ \textbf{Applicant in which the Disclosing Party holds an interest: PP Family. LLC}$
 - OR
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:

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_				
B. Business address of the	e Disclosing Party: 800 N	Nicollet Mall		
		Minneapolis. MN	N 55402	
C. <u>Telephone: 314-335-26</u> < <u>mailto:kacey.mahrt@usk</u>		<u> 2601</u>	Email: kacey.mahrt@usbank.com	
I). Name of contact persor	: Kacey Mahrt.			
E. Federal Employer Identi	fication No. (if you have one):,		
*	ract, transaction or olher und mber and location ofproperly	•	l lo below as the "Matter") lo which th	is CDS
Construction ol 78 un	its of affordable housing			
G. Which Cily agency or de	partment is requesting this E		epartment ol d Development (DPD)	
If the Mailer is a contract following:	being handled by the City's	Department of Pi	iocuremenl Services, please complete	the
Specification// NA		and Conlract/; _	Ni A	
Page 1 of 13				
SECTION II DISCLOS	SURE OF OWNERSHIP I	NTERESTS		
A. NATURE OK THE DIS	SCLOSING PARTY			
Indicate the nature o Person [] Publicly registered busin partnership [] Limited partnership []	ness corporation [J Privately	held business co	orporation J Sole proprietorship [] Ge	eneral
			2. For legal entities, the state (o	r foreign c
[] Limited liability company corporation	y [] Limited liability partner	ship [Joint vent	ture [] Not-for-profit	
(Is the not-for-profit corpora [J Yes [j Other (please specify)	ation also a 501(c)(3))? [] No			

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ntry) of incorporati	ion or organization, ifapplica	able:			
<u>Delaware</u>					
_	3. For legal entities not organized in the State oflllinois: Has the organization registered to do business in the State oflllinois as a foreign entity?				
[] Yes	ij No	[] N/A			
B. IF THE DISCLO	OSING PARTY IS A LEGA	L ENTITY:			
for-profit corporation there are no such a titleholder(s). If the entity is a governure, list below the	ions, also list below all members members, write "no member eneral partnership, limited partner name and title of each geneto-day management of the Distance ions.	executive officers and all directors of the enters, if any, which are legal entities. If s." For trusts, estates or other similar entition thereship, limited liability company, limited liability ral partner, managing member, manager or any sclosing Party. NOTE: Each legal entity listed by	es, list below Ihe legal wility partuei ship or joint other person or entiiy		
Nam o .Please' see.attached					
2. Please provide	e the following information co	ncerning each person or enlily having a direct of	or indirect beneficial		

2. Please provide the following information concerning each person or enlily having a direct or indirect beneficial interest (including ownership) in excess of 7.5% offihe Disclosing Party Examples of such an microsl include shares in a corporation, partnership interest in a partnership or joint venture,

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U.S. Bancorp Organizational Structure

December 19, 2014

U.S. Bancorp Board of Directors

Davis, Richard K
Baker, Douglas M. Jr
Belton, Y Marc
Buyniski Gluckman, Victoria
Collins, Arthur D Jr
Harris, Kimberly J
Hernandez, Roland A
Johnson, Joel W.

Kirtley, Olivia F Levin, Jerry W. O'Maley, David B. Owens, O'dell M. Schnuck, Craig D. Stokes, Patrick T. ' Wine, Scott W. Woo Ho, Doreen UIS^n^ufx'ecutiv-^ Davis, Richard K. Chairman, President, and CEO

Chief Financial Officer and Vice Chairman

Carlson, Jennie P. Executive Vice President

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Cecere. Andrew

Chosy, James L. Executive Vice President, General Counsel and Secretary

Gifford, Craig E. Executive Vice President and Controller

Oldshue, Paul F. Executive Vice President

Quinn, Katherine Executive Vice President

Runkel. Mark G Executive Vice President and Chief Credil Officer

Seniors, Timothy W Executive Vice President

Stern. John C Executive Vice President and Treasurer

Dolan Terrance R Vice Chairman
Flmore, John R Vice Chairman
Hoesley, Joseph C Vice Chairman
Joseph. Pamela A Vice Chairman

Parker. P W (Bill) Vice Chairman and Chief Risk Officer

Payne. Richard B Jr Vice Chairman
Stone. Kenl V Vice Chairman
von Gillern. Jeffry 11 Vice Chairman

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant lo Section 2-1 54-030 of he Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage interest in the Disclosing Party

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

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[] Yes [yj No * To the best of our knowledge, after due inquiry

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship (s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Parly must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom llie Disclosing Parly has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the tolal amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll

"Lobbyist" means any person or entity who undertakes to influence tiny legislative or administrative action on behalf of any person or entity other than: (1) a uot-foi-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or enlity any part of whose duties a.s an employee of another includes undertaking to influence any legislative or administralive aelion.

If lhe Disclosing Party is uncertain whether a disclosuie is required under this Section, the Disclosing Party must either ask lhe City whether disclosure is required or make lhe disclosuie.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.) paid or estimate "hourly rate" or

paid or estimated.) NOTE:
"hourly rate" or "t.b.d." is
not an acceptable response.

(Add sheets if necessary)

[X] Cheek here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

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A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No [X] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is (he person in compliance with that agreement?

[1 Yes [j No

13. FURTFIFRCERTIFICATIONS

]. Pur.suanl to Municipal Code Chapter 1-23, Article 1 ("Article F')(vvhich the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), ifthe Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor tiny controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, ilieft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of Ihe City or any sister agency; and (ii) Ihe Applicani understands and acknowledges that compliance wilh Article 1 is a continuing requirement for doing business with the City. NOTE: If Article 1 applies lo lhe Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Parly and, if the Disclosing Parly is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against (hem in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or stale antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local)

with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by lhe Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Parly, is controlled by the Disclosing Party, or is, with the Disclosing Parly, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shaied facilities and equipment, common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the Cily, using substantially the same management, ownership, or principals as the ineligible entity): wilh respect to (.ion true tors, the lerm Affiliated Enlity means a person or entity that directly or indirectly eontiols (he Contractor, is controlled by it, or. with lhe Contractor, is under common conirol of another person or entity:
- any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Emily or any oihei official, agent or employee ofthe Disclosing Parly, any Conirnclur or any Affilialed Kntity, acting pursuant to the direction or authorization of a responsible official ofthe Disclosing Paity, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

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- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by lhe Office of Foreign Assets Control ofthe U.S. Department of the Treasury or the bureau of Industry and Security ofthe U.S. Department of Commerce or their successors: the Specially Designated Nationals L ist, the Denied Persons List, the Unverified List, the Entity Lust and the De bailed List.
- b. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General). 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal (."'ode
- 7 If the Disclosing Party is unable to certify to any of the above statements in this Part H (Further Certifications), the Disclosing Party must explain below:

11' the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list ofall current employees ofthe Disclosing Party who were, at any lime during the 12-month period preceding the execution dale of this EDS, an employee, or elected or appointed official, of the Cily of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago.

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or to the general publ	tatement, a "gift" does not include: (i) anything made generally available to City employees ic, or (ii) food or drink provided in the course of official City business and having a retail per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also ty recipient.
C. CERTIFICATION	OF STATUS AS FINANCIAL INSTITUTION 1. The
Disclosing Party ce	ertifies that the Disclosing Party (check one)
[] is	[x] is not
a "financial institution"	as defined in Section 2-32-455(b) of the Municipal Code.
2 Ifthe Disclosing	Parly IS a financial institution, then the Disclosing Party pledges:
that none ofour affiliate Municipal Code. We ur	bet become a predatory lender as defined in Chapter 2-32 of the Municipal Code Wc further pledge is is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the inderstand that becoming a predatory lender or becoming an affiliate of a predatory lender may privilege of doing business with the City."
	is unable to make (his pledge because it oi any of Ms affiliates (as defined in Section 2-32155(b) is a predatory lender wiihm lhe meaning of Chapter 2-32 of the Municipal Code, explain here if necessary) ¹
	Page 7 of i 3
	word "None," or no response appears on the lines above, il will be conclusively closing Party certified to the above statements.
D. CERTIFICATION	REGARDING INTEREST IN CITY BUSINESS
Any words or terms th used in this Part D.	at are defined in Chapter 2-156 ofthe Municipal Code have the same meanings when
financial interest in his	with Section. 2-156-110 of the Municipal Code: Does any official or employee of the City have a or her own name or in the name of any other person or entity in the Matter?
[]Yes	[XJNo * To the best of our knowledge, after due inquiry
NOTE: If you check proceed to Part E.	ed "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to Item D.l.,

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2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe Cily (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

i I Ye., [X]No

3 If you checked "Yes" to Item D.L, provide lhe names and business addresses of the City officials or employees having such inlerest and identify the nature of such interest:

Nanie

Business Address

Nature of Interest

■-! I he Disclosing Party further certifies lhat no prohibited financial interes: in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please cheek either I. or 2. below. If the Disclosing Party cheeks 2.. lhe Disclosing Party niusi disclose below or in an attachment to Ibis EDS all information required by puingraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable hy the City.

- 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Parly has found no such records.
- X 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: The Disclosing Party was founded in 2001, after the slavery era ended, and therefore has no disclosure to make

in this regard. The operating bank that the Disclosing Party holds, U.S. Bank National Association, has disclosed its slavery era business history on its EDS regarding this Matter.

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SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf office Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or if the word "None" appear, it will he conclusively presumed that, the Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Paily with respect to the Matter.)

2 The Disclosing Paiiy has not spent and will not expend any federally appropriated funds to pay any person oi entity listed in Paragraph A.l. above for his or Iter lobbying activities or to pay any person or entity lo influence or aUempt to influence an officer or employee ofany agency, as defined Inapplicable federal law, a member ofCongress, an officer or employee ofCongress, or an employee of a member ofCongress, in connection with (he award ofany federally funded contract, making any federally funded grant or loan, entering inlo any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded conlract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Parly will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (j) it is not an organization described in section 501(c)(4) of the internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

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	•	lations require the Applicant and all proposed nation with their bids or in writing at the outset of	
Is the Disclosing Party the Applicant?			
[] Yes	[fl No		
If "Yes," answer t	he three questions below:		
1. Have you deve	eloped and do you have on file	e aifirmative action programs pursuant io applicable	
federal regulations?	? (See 41 CFR Part 60-2.)		
1]Yes	[] No		
•		ommittee, lhe Director of the Office of Federal Conlract Compliance of Commission all reports due under the applicable filing	
] Yes	[] No		
3 Have you pa clause?	rticipated in any previous con	lracts or snbeonlracls subject to lhe equal opportunity	
Yes] No		
If you checked "No	"' to question I or 2. above, pl	ease provide an explanation:	

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SECTION VII ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Parly understands and agrees thai:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part ofany contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution ofany contract or taking other action with respect to the Matter. The Disclosing Party understands that il must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cjtyofchicago.org/E11iics http://www.cjtyofchicago.org/E11iics, and may also be obtained from the City's Board of Ethics, 740 N.

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Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is (he City's policy to make this document, available Co the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Infoimation Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Paity must supplement this EiDS up to the lime the City lakes action on the Matter. If the Matter is a confract being, handled by Ilie City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect lo Matters subject lo Article I of Chapter 1-23 of Hie Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the infoimation provided herein icgarding eligibility must be kepi current for a longer period, as i cq u i reel by Chapter 1-23 and Section 2-154-020 office Municipal Code.

I he Disclosing Paily represents and warrants that:

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affilialed Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Fixcluded Parlies Eisl System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Pat ty will obtain from any contractors/subcontractors hired or lo be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.I., F.2. or F.3. above, an explanatory

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statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

U.S. Bancorp (Print or lype. name of Disclosing Party)

(SigVs here)

Laura F. iiednarski (Print or type name of person signing)

Senior Vice President (Print or type title

cd'person signing) ;ii Hennepin

before me on (date) January J3, 2015 _i^5^L'NDAE coll,ns

NOTARY PUBLIC MINNESOTA

County. Jvlimiescita (state).

Notary Public

Commission c\pires'

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Parly must disclose whether such Disclosing Party or any "Applicable Parly" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Parly" means (1) all executive officers of the Disclosing Party listed in Section II.B. I.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does "he Disclosing Party or any "Applicable Parly" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head"

[] Yes [Xj No * To the best of our knowledge, after due inquiry

If yes, please identify below (1) the name and title of such person, (2) (he name of the legal entity to which such person is connected; (3) Ihe name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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