

## Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

## Legislation Details (With Text)

File #: O2015-2623

Type: Ordinance Status: Passed

File created: 4/15/2015 In control: City Council

**Final action:** 6/17/2015

Title: Zoning Reclassification Map No. 7-H at 1945-1947 W Diversey Ave - App No. 18355T1

Sponsors: Misc. Transmittal Indexes: Map No. 7-H

**Attachments:** 1. O2015-2623.pdf

Date	Ver.	Action By	Action	Result
6/17/2015	1	City Council	Passed	Pass
6/3/2015	1	Committee on Zoning, Landmarks and Building Standards	Recommended to Pass	Pass
4/15/2015	1	City Council	Referred	

\*

### **ORDINANCE**

## BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the RT4 Residential Two-Flat. Townhouse and Multi-Unit District and Ml-2 Limited Manufacturing/ Business Park District symbols and indications as shown on Map No.7-H in the area bounded by

West Diversey Parkway; a line 75 east of the alley next east of North Clybourn Avenue, as measured along the south right-of-way line of West Diversey Parkway and perpendicular thereto; the alley next northeast of and parallel to North Clybourn Avenue; and the alley next east of North Clybourn Avenue,

to those of a B2-3 Neighborhood Mixed-Use District and a corresponding uses district is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

1945-47 West Diversey Parkway

## 17-13-0303-C (1) Narrative Zoning Analysis

Proposed Zoning: B2-3 Neighborhood Mixed-Use District Property

Address: 1945-1947 W. Diversey Lot Area: 5,195.39 sq. ft.

Proposed Land Use: The subject property will be redeveloped with a new four-story residential building

containing seven (7) dwelling units. Seven (7) onsite parking spaces will be located in an attached garage that will be accessed via the public alley that runs along the western side of the subject lot. There will be no commercial or retail units. The height of the new

building will be 48'-6".

- a) The Project's floor area ratio: 2.49 FAR
- b) The project's density (Lot Area Per Dwelling Unit): 742 sq. ft. Seven (7) Dwelling units proposed.
- c) The amount of off-street parking: Seven (7) off-street parking spaces.
- d) Setbacks:
  - a. Front Setbacks: 0<sup>?</sup>
  - b. Rear Setbacks: 61 "4"
  - c. Side Setbacks: (T east / 0' west
  - d. Rear Yard Open Space:. None
- (e) Building Height: 48'-6"

Written Notice, Form of Affidavit: Section 17-13-0107

March 20, 2015

Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room

<sup>\* 17-10-0207-</sup>A

<sup>\*17-13-0303-</sup>C(2) Plans Attached.

304 - City Hall Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Nicholas Ftikas, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was or will be sent by USPS First Class Mail no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned as 1945-1947 W. Diversey, Chicago, IL; a statement of intended use of said property; the name and address of the Applicant/Owner; and a statement that the Applicant intends to file an application for a change in zoning on approximately March 20, 2015.

The Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago; that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

Law Office of Samuel V.P. Banks

By: Nicholas Ftikas, Attorney

DANIELLE SANDS OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires Ociober 29, 2018

Subscribed and sworn to before me

## **PUBLIC NOTICE**

Via USPS First Class Mail March 20,

2015

Dear Sir or Madam:

In accordance with the Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107tA, please be informed that on or about March 20, 2015,1, the undersigned, will file an application for a change in zoning from the Ml-2 Limited Manufacturing/Business Park District and RT-4 Residential Two-Flat, Townhouse and Multi-Unit District to the B2-3 Neighborhood Mixed-Use District, on behalf of Applicant, 1945 Diversey, LLC, for the property located at 1945-1947 W. Diversey, Chicago,

IL.

The subject property will be redeveloped with a new four-story residential building containing seven (7) dwelling units. Seven (7) onsite parking spaces will be located in an attached garage that will be accessed via the public alley that runs along the western side of the subject lot. There will be no commercial or retail units. The height of the new building will be 48'-6".

1945 Diversey. LLC, the Contract Purchaser and Applicant, is currently located at 3009 W. Montrose, Chicago, IL 60618.

2751 N. Clybourn, LLC, the current property owner, is located at 1245 W. Washington, Chicago, IL 60607.

The contact person for this application is Nicholas Ftikas. My address is 221 N. LaSalle Street, 38<sup>th</sup> Floor, Chicago, IL 60601. My telephone number is 312-782-1983.

Very truly yours,

LAW OFFICES OF SAMUEL V.P. BANKS

\*The Applicant is required by law to send this notice because you own property located within 250 feet of the property subject to the proposed Zoning Amendment.

### FORM OF AFFIDAVIT

Chairman, Committee on Zoning Room 304 - City Hall Chicago, IL 60602

To Whom It May Concern:

I, Bart Przyjemski, as Managing Member and on behalf of 1945 Diversey, LLC, understand that the Law Offices of Samuel V.P. Banks has filed a sworn affidavit identifying 1945 Diversey, LLC, as the Applicant and Contract Purchaser holding interest in land subject to the proposed zoning amendment for the property

<sup>\*</sup>Please note that the Applicant is not seeking to purchase or rezone your property.

identified as 1945-1947 W. Diversey, Chicago, IL.

Bart Przyjemski Date

I, Bart Przyjemski, in my capacity as Managing Member of 1945 Diversey, LLC, being first duly sworn

under oath, depose and say that 1945 Diversey, LLC, holds that interest for itself and no other person,

association, or shareholder.

Subscribed March, 2015

and

Sworn

to

before

me

This

 $20^{th}\,$ 

day

of

To whom it may concern:

I, Bart Przyjemski, as Managing Member and on behalf of 1945 Diversey, LLC, the Contract Purchaser and

Applicant concerning the subject property located at 1945-1947 W. Diversey, Chicago, IL, authorize the Law

Offices of Samuel V.P. Banks to file a Zoning Amendment application with the City of Chicago for that

property.

Bart Przyjemski Managing Member 1945

Diversey, LLC

/•

To whom it may concern:

I, Michael Faron, as Managing Member and on behalf of 2751 N Clybourn, LLC, an Illinois limited liability

company, Owner of property located at 1945-1947 W. Diversey, Chicago, IL, authorize the Law Offices of

Samuel V.P. Banks to file a Zoning Amendment application on behalf of the Applicant, 1945 Diversey, LLC, an

Illinois limited liability company, with the City of Chicagofjor that property.

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## CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:

1945-1947 W. Diversey. Chicago, IL

2. Ward Number that property is located in: 32

3. APPLICANT: 1945 Diversey, LLC ADDRESS: 3009 W. Montrose

CITY: Chicago STATE: IL, ZIP CODE: 60618
PHONE: (312) 782-1983 CONTACT PERSON: Nicholas Ftikas, Esq.
Attorney for Applicant

4. Is the Applicant the owner of the property? YES NO X

If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER 2751 N. Clybourn. LLC ADDRESS 1245 W. Washington

CITY: Chicago STATE: IL ZIP CODE: 60607

PHONE (847) 236-0492 CONTACT PERSON Kevin Sterling

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Law Office of Samuel V.P. Banks

ADDRESS 221 North LaSalle Street, 38th Floor

CITY Chicago STATE: IL ZIP CODE: 60601

PHONE: (312) 782-1983 FAX: (312) 782-2433

- 6. If the Applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements

  Bart Przyjeniski. Manager. 1945 Diversey, LLC
- 7. On what date did the owner acquire legal title to the subject property?
- 7. 1995
- 8. Has the present owner previously rezoned this property? If Yes, when?
- 9. <u>Present Zoning: M1-2 Limited Manufacturing/Business Park District and RT-4 Residential Two-Flat, Townhouse and Multi-Unit District</u>

Proposed Zoning: B2-3 Neighborhood Mixed-Use District

- 10. Lot size in square feet (or dimensions?): 5,195.39 sq. ft.
- 11. Current Use of the Property: The subject property is currently vacant land.
- 12. Reason for rezoning the property: The Applicant is seeking to amend the zoning at the subject property in order develop the property with a new four-story building that will contain seven (7) dwelling units and onsite parking for seven (7) cars.
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

The subject property will be redeveloped with a new four-story residential building containing seven (7) dwelling units. Seven (7) onsite parking spaces will be located in an attached garage that will be accessed via the public alley that runs along the western side of the subject lot. There will be no

	commercial	or retail	units. The hei	ght of the	e new buildin	g will be 4	18'-6".			
14.	requires on- a zoning cha	site afford inge unde ning class	lable housing r certain circustification, is the	units or a	passed the A financial costs. Based on the subject to the	ntribution ne lot size	if residents of the proje	ial housing ect in quest	projects re	eceive e
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oa	Bart Przyjems ath, state that a re true and cor	all of the a								
					Signatuı	re of Appli	icant			
	ubscribed Iarch, 2015.	and	Sworn	to	before	me	this	20 <sup>th</sup>	day	of
Date	of Introduction	on:								
File 1	Number:									
Ward	d:									

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

File #: O2015-2623, Version: 1

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

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Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. M\* the Applicant

OR

- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in

which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:

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- C. Telephone: 3/?-7g"Z-/903 Fax: 311 -7€Z-Z<f 25 Email: ^4 'fjL/£? Sa^Sa^/^C f\*w -came
- D. Name of contact person: AJ'PCUc P'\*P7CJkS. ^/fy7~Y.
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
- G. Which City agency or department is requesting this EDS?

«/) P& i CO "2.

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification #

and Contract #

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## SECTION II DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the

nature of the Disclosing Party:

Person [^f Limited liability company
Publicly registered business corporation [] Limited liability partnership

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Privately held bu Sole proprietorsl General partners Limited partners Trust	hip	[] Joint venture [] Not-for-profit corporation (is the not-for-profit corporation also a 501 (c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entit	ies, the state (or foreign co	ountry) of incorporation or organization, if applicable:
,	7TL-C -CNQ^^	
3. For legal entire State of Illinois as a	_	tate of Illinois: Has the organization registered to do business in the
[] Yes	[ ] No [*fN/A	
B. IF THE DISCLO	SING PARTY IS A LEGA	AL ENTITY:
profit corporations, a members." For trusts If the entity is a go venture, list below the	also list below all member s, estates or other similar eneral partnership, limited the name and title of each geto-day management of the	all executive officers and all directors of the entity. NOTE: For not-for- rs, if any, which are legal entities. If there are no such members, write "no entities, list below the legal titleholder(s).  I partnership, limited liability company, limited liability partnership or joint general partner, managing member, manager or any other person or entity the Disclosing Party. NOTE: Each legal entity listed below must submit an
interest (including o	_	on concerning each person or entity having a direct or indirect beneficial 5% of the Disclosing Party. Examples of such an interest include shares in a hip or joint venture,  Page 2 of 13
similar entity. If non	ne, state "None." NOTE: P , the City may require any	iability company, or interest of a beneficiary of a trust, estate or other cursuant to Section 2-154-030 of the Municipal Code of Chicago such additional information from any applicant which is reasonably
Name	Business Address	Percentage Interest in the Disclosing Party

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#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[JYes [«fNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

tlffe

## SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.) paid or estimated.)

"hourly rate" or "t.b"

paid or estimated.) NOTE:

"hourly rate" or "t.b.d." is

not an acceptable response.

Jmo (yfCzvei cJ? Sa^ 22/aj. US^& 36\*

/faw^ \*1,Sto-" esr.

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(Add sheets if necessary	)
•	Disclosing Party has not retained, nor expects to retain, any such persons or entitie
SECTION V CERTI	FICATIONS
A. COURT-ORDERED	CHILD SUPPORT COMPLIANCE
•	de Section 2-92-415, substantial owners of business entities that contract with the City must ith their child support obligations throughout the contract's term.
* *	ectly or indirectly owns 1 0% or more of the Disclosing Party been declared in arrearage on any s by any Illinois court of competent jurisdiction?
[] Yes	[] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person compliance with that ag	entered into a court-approved agreement for payment of all support owed and is the person in reement?

### **B. FURTHER CERTIFICATIONS**

[]Yes []No

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of. or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.I. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or

performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

## Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

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- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

ajlA

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

: : jOJLA,

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none,

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indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
1. [] is [^fis not a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. Ifthe Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
. si l A-
Page 7 of 13
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.
1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?,  [] Yes ft No
NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.L, proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of

legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the

City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

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Does the Matter involve a City Property Sale?

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

**Business Address** 

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- J1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

ALIA.

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

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1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

## N/A-

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded<sub>1</sub> federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations, JQ

Is the Disclosing Party the Applicant?

[] No.

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

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[]	Yes	[ ] No	
_		e Joint Reporting Committee, the Director of the Office of Federal Contract Compliance yment Opportunity Commission all reports due under the applicable filing requirements?  [] No	
3.	Have you participated rtunity clause?	in any previous contracts or subcontracts subject to the equal	
[]	Yes	[ ] No	
If you	u checked "No" to quest	on 1. or 2. above, please provide an explanation:  fcL/jft -	

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## SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any

information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.-
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

(Sign here)

(Print or type name of person signing)

(Print or typenitle of person signing)

Signed and sworn to before me on

DANIELLE SANDS OFFICIAL SEAL Notary Public, Stata of Illinois My Commission Expires October 29, 2018

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person, exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

*hUA* 

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT**

APPENDIX B							
BUILDING CODE SC	BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION						
This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct enership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal tity which has only an indirect ownership interest in the Applicant.							
	Code Section 2-154-010, is the Adlord pursuant to Section 2-92-4	Applicant or any Owner identified as a b 416 of the Municipal Code?	ouilding code				
[ ]Yes [XfNo							
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?							
[ ] Yes	[ ] No	[> <not applicable<="" th=""><th></th></not>					
3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.							
THAT THIS APPEND THE ASSOCIATED E	IX B IS INCORPORATED B DS, AND THAT THE REPRI HE CERTIFICATION MADE	ES ACKNOWLEDGMENT AND AG BY REFERENCE INTO, AND MADE ESENTATIONS MADE IN THIS AP E UNDER PENALTY OF PERJURY	E A PART OF, PPENDIX B				

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: 2751 N Clybourn, LLC

## **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

1. ^ the Applicant

OR

- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3. [] a legal entity with a right of control (see Section II.B. 1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 1245 West Washington Blvd Chicago, IL 60607
- C. Telephone: 773-755-1611 p<sub>ax:</sub> 773-327-4784 Email: mfaron@weoneil.com

<mailto:mfaron@weoneil.com>

- D. Name of contact person: Michael Faron ...
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

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\l&uw€of 194	15-47 West Diversey Parkw	ray, Chicago, IL
G. Which City agen	cy or department is request	ing this EDS? Department of Zoning
If the Matter is complete the foll		d by the City's Department of Procurement Services, please
Specification #		and Contract #
Page 1 of 13		
SECTION II DIS	SCLOSURE OF OWNER	SHIP INTERESTS
A. NATURE OF TH	HE DISCLOSING PARTY	
Person Publicly register	ship	J^Cimited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ ] Yes [ ] No [ ] Other (please specify)
2. For legal enti	ities, the state (or foreign co	ountry) of incorporation or organization, if applicable:
3. For legal entithe State of Illinois	•	ate of Illinois: Has the organization registered to do business in
[]Yes	[ ] No	[ ] N/A
B. IF THE DISCLO	SING PARTY IS A LEGA	L ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each

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legal entity listed below	w must submit an EDS on its o	wn behalf.	
Name Title			
2 Diamana 14	d 6.11		
beneficial interest (inc	the following information conceluding ownership) in excess of	7.5% of the Disclosing Pa	arty. Examples of such an
interest include shares	in a corporation, partnership in	iterest in a partnership or	joint venture,
	Page	e 2 of 13	
other similar entity. If the Chicago ("Municipal Chicago")	r manager in a limited liability none, state "None." NOTE: Pur Code"), the City may require an achieve full disclosure.	rsuant to Section 2-154-03	•
Name	Business Address	_	Interest in the
		Disclosing l	Party
SECTION III - BUSI	INESS RELATIONSHIPS W	ITH CITY ELECTED (	OFFICIALS
_	Party had a "business relations official in the 12 months before	-	ter 2-156 of the Municipal Code, aed?
[] Yes			
If yes, please idented relationship(s):	ntify below the name(s) o	f such City elected	official(s) and describe such

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist,

accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: to be retained) lobbyist, etc.) "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

J>£check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS** 

## A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[ ] Yes j><No

person directly or indirectly owns 10% or more of the Disclosing Party.

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If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

## **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

## Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or 9 prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or

being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of the Disclosing Party was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

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employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. -

## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is "J^is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

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2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

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meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes

NOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes>£rNo

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3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

>s///\. ^The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally <sup>5</sup> funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure

Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying"

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Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

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[] Yes	[ ] No	
If "Yes," answer th	three questions below:	
•	veloped and do you have on file affirmative action programs pursuant to applicabl (See 41 CFR Part 60-2.)	
[] Yes	[ ] No	
	ed with the Joint Reporting Committee, the Director of the Office of Federal Contract ns, or the Equal Employment Opportunity Commission all reports due under the applicable	
[] Yes	[ ] No	
3. Have you pa	ticipated in any previous contracts or subcontracts subject to the equal	
[] Yes	[j No	
If you checked "No	to question 1. or 2. above, please provide an explanation:	

## SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain, duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRO.orR/Ethics <a href="http://www.cityofchicaRO.orR/Ethics">http://www.cityofchicaRO.orR/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable,

and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

## Page 11 of 13

use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City. 2751 N Clybourn, LLC

(Print or type i\ame of\person signing) Manager

(Print or type title of person signing)

Signed and sworn to before me on (date) at County. ~27L

County,  $\sim 27L$  (state).

TAMELA AUGUSTA

NOTARY PUBLIC, STATE OF ILUNOI8
My Commission Expires 12/09/2018

 $C^<V?uA$  ( $L\%U^U^U2\&$ 

*Commission expires:* ^^/t?

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity

## which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, ifthe Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected cjiy official or department head?

[ JYes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

-	de Section 2-154-010, is the Audiord pursuant to Section 2-92-4	pplicant or any Owner identified as a buil 116 of the Municipal Code?	ding code
'[]Yes	[yf No		
	· ·	by exchange, is any officer or director of director of pursuant to Section 2-92-416 of the Mu	
[]Yes	[ JNo	[> <not applicable<="" td=""><td></td></not>	
		of the person or legal entity identified as ding or buildings to which the pertinent c	_
		tdJL	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

O'Neil General, Inc.

File #: O2015-2623, Version: 1

#### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

File #: O2015-2623, Version: 1	
<ol> <li>[] the Applicant         OR</li> <li>a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: 2751 North Clybourn, LLC         OR</li> <li>[] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:</li> </ol>	
B. Business address of the Disclosing Party:  Chiago, IL 60607	
C. <u>Telephone</u> : 773-755-1611 <u>Fax:</u> 773-327-4784 <u>Email</u> . <u>mfaron@weoneil.com&gt;</u>	om
D. Name of contact person: Michael Faron	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to what this EDS pertains. (Include project number and location of property, if applicable):	nich
TZexone of 1945-47 West Diversey Parkway, Chicago, IL	
G. Which City agency or department is requesting this EDS? Department of Zoning	
If the Matter is a contract being handled by the City's Department of Procurement Services, ple complete the following:	ease
Specification # " and Contract # """ "	
Page 1 of 13	
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS	
A. NATURE OF THE DISCLOSING PARTY	
1. Indicate the nature of the Disclosing Party:  [ ] Person	

File #: O2015-2623, Versio	n: 1		
[] Limited partnership [] Trust	[]		
Limited liability company Not-for-profit corporation the not-for-profit corpora [] Yes Other (please specify)		Joint venture	
2. For legal entities,	the state (or foreign country)	of incorporation or organ	nization, if applicable:
3. For legal entities r in the State of Illinois as	_	Illinois: Has the organi	zation registered to do business
[] Yes	[ ] No [V^N/A		
B. IF THE DISCLOSING	G PARTY IS A LEGAL ENT	TY:	
not-for-profit corporation members, write "no mem If the entity is a general partnership or joint ventu or any other person or en	s, also list below all members bers." For trusts, estates or oth al partnership, limited partners	, if any, which are legal ner similar entities, list b ship, limited liability cor le of each general partne by management of the Di	elow the legal titleholder(s). mpany, limited liability er, managing member, manager
Name .		Title K	
uJAUo.0* E	. Q'^ei\ Tv£	<u>Njice SV</u> o	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which

is reasonably intended to achieve full disclosure.

Name

O'Neil Industries, Inc.

Business Address 1245 West Washington Blvd
Percentage Interest in the Disclosing Party 100%
Chicago, IL 60607

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes >fNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

File #: O2015-2023, Version: 1			
		Page 3 of 13	
Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether  paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is  not an acceptable response.
(Add sheets if necessary)	)		
<b>∨</b> Check here if the Dis	sclosing Par	ty has not retained, nor expects t	o retain, any such persons or entities.
SECTION V - CERTIF	FICATIONS	\$	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
•		92-415, substantial owners of busing child support obligations through	ess entities that contract with the City out the contract's term.
~ -	•	ectly owns 10% or more of the Disc ny Illinois court of competent jurisc	closing Party been declared in arrearage diction?
[] Yes ]J	] No	[] No person directly or indirectly Disclosing Party.	owns 10% or more of the
If "Yes," has the person of person in compliance with			ment of all support owed and is the
[] Yes []	No		
B. FURTHER CERTIF	ICATIONS		
1 December 1 1	-:1 C- 4- C	1	

F!|- #- 00045 0000 \/---!--- 4

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTETIf Article^

timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

#### Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period, preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any-Affiliated Entity, acting pursuant

to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or ofany state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of the Disclosing Party was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
  - 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not

given or caused to be given a gift, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

# Page 6 of 13

employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is \J\ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

File #: O2015-2623, Version: 1
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS '
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same
Page 7 of 13
meanings when used in this Part D.
1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  [] Yes  [J\ No
NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?
[] Yes [] No
3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

/ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party

from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

# Page 8 of 13

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

•	Party will submit an updated certification at the end of each calendar quarter in event that materially affects the accuracy of the statements and information set I A.2. above.
(4) of the Internal Rev	arty certifies that either: (i) it is not an organization described in section 501(c) enue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of ode of 1986 but has not engaged and will not engage in "Lobbying Page 9 of 13
Activities".	
substance to paragraphs A.l. the Disclosing Party must ma	v is the Applicant, the Disclosing Party must obtain certifications equal in form and through A.4. above from all subcontractors before it awards any subcontract and aintain all such subcontractors' certifications for the duration of the Matter and s promptly available to the City upon request.
B. CERTIFICATION REGA	RDING EQUAL EMPLOYMENT OPPORTUNITY
	ded, federal regulations require the Applicant and all proposed subcontractors to ation with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the A	pplicant?
[] Yes	[] No
If "Yes," answer the three qu	estions below:
1. Have you developed federal regulations? (See 41 [] Yes	and do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.) [] No
-	he Joint Reporting Committee, the Director of the Office of Federal Contract Equal Employment Opportunity Commission all reports due under the applicable
[] Yes	[] No
3. Have you participated opportunity clause?	d in any previous contracts or subcontracts subject to the equal
[] Yes	[ ] No
If you checked "No" to quest	ion 1. or 2. above, please provide an explanation:

SECTION VII-- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS maybe made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information

provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F. l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any --fine; fee^tax-or other charge owed to the-Gityr -This includesy but-is not-limited^to\_rall-water-charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
  - F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

O'Neil Genral, Inc.

(Print or type na President

(Print or type title of person signing)

(state).

Notary Public.

Signed and sworn to before me on  $\frac{date}{O^{/n}tO/S}$ 

at (Loot County,

### "OFFICIAL SEAL"

TAMELA AUGUSTA < NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 12/09/201f

Commission expires:

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- A

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section JJ.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general

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partnership; all general partners and limited partners of the Disclosing Party, ifthe Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, ifthe Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010. is the Applicant or any Owner identified as a building code
	scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[ ]Yes [XfNo

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[] Yes [] No [><Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity

identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply..

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: O'Neil Industries, Inc.

#### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR

a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: 2751 N Clybourn, LLC OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 1245 West Washington Blvd
   Chicago, IL 60607

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C. Telephone: 773-755-1611 Fax. 773 <mailto:mfaron@weoneil.com></mailto:mfaron@weoneil.com>	3-327-4784	Email: mfaron@weoneil.com
D. Name of contact person: Michael Faron		_
E. Federal Employer Identification No. (if you l	have one): _	
F. Brief description of contract, transaction or this EDS pertains. (Include project number and tyeieHe of 1945-47 West Diversey Parkway	location of prope	ng (referred to below as the "Matter") to which perty, if applicable):
G. Which City agency or department is requesti	ng this EDS? Do	Department of Zoning
If the Matter is a contract being handled complete the following:	by the City's	Department of Procurement Services, please
Specification #	and Con	ntract #
Page 1 of 13		
SECTION XI - DISCLOSURE OF OWNERSH	IIP INTERESTS	S
A. NATURE OF THE DISCLOSING PARTY		
<ol> <li>Indicate the nature of the Disclosing Part</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>∀ Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[] Limited [] Limited [] Joint ver [] Not-for- (Is the not-for-	r-profit corporation for-profit corporation also a 501(c)(3))?
2. For legal entities, the state (or foreign co	untry) of incorpc	oration or organization, if applicable:

# J-\Wol5

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

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[JYes

[JNo]/(N/A)

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

5e&. o^ToLt-Vgel

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

O'NEIL INDUSTRIES, INC.
EXECUTIVE OFFICERS AND DIRECTORS

NAME TITLE

WILLIAM E. O'NEIL RICHARD J. ERICKSON ROBERT R. DUKES PAULV. ROUNDY IV DEAN J. ARNOLD MICHAEL J. FARON JOHN S. HOBBS MARY B. O'NEIL JOHNT. HICKEY, JR. TRACEY L CANTARUTTI DENNIS L. KESSLER ROBIN J. CERO

CHAIRMAN & DIRECTOR PRESIDENT, CEO & DIRECTOR

VICE PRESIDENT-FINANCE, CFO, SECRETARY, TREASURER & DIRECTOR VICE PRESIDENT-INFORMATION SERVICES VICE PRESIDENT-COST CONTROL

DIRECTOR & CHAIRMAN OF W.E. O'NEIL CONSTRUCTION CO.

DIRECTOR & PRESIDENT OF W.E. O'NEIL CONSTRUCTION COMPANY, INC.

**DIRECTOR** 

**DIRECTOR** 

**DIRECTOR** 

**DIRECTOR** 

**DIRECTOR** 

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

**Business Address** 

Percentage Interest in the Disclosing Party

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes y{ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	r Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	y)		
y(Check here if the Di	sclosing Par	ty has not retained, nor expects to	o retain, any such persons or entities
SECTION V - CERTI	FICATIONS	S	
A. COURT-ORDEREI	D CHILD SU	PPORT COMPLIANCE	
-		92-415, substantial owners of busing child support obligations througho	ess entities that contract with the City out the contract's term.
• •	•	ectly owns 10% or more of the Disc any Illinois court of competent jurisc	closing Party been declared in arrearage diction?
[]Yes [	vfNo	[]No person directly or indirectly of Disclosing Party.	owns 10% or more of the
If "Yes," has the person person in compliance w		a court-approved agreement for pay ment?	rment of all support owed and is the
[] Yes [	] No		
B. FURTHER CERTIF	FICATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the

Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and,, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a
  public officer or employee of the City, the State of Illinois, or any agency of the federal government or
  of any state or local government in the United States of America, in that officer's or employee's official
  capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of the Disclosing Party was, at any time during the 12-month period preceding the execution date of this EDS, an

employee, or elected or appointed official, of the City of Chicago.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at any time during the 12-mbnth period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

#### Page 6 of 13

employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [4 is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATION	ON REGARDING INTEREST IN C	CITY BUSINESS
Any words or terms	s that are defined in Chapter 2-156	of the Municipal Code have the same
	Page	7 of 13
meanings when use	ed in this Part D.	
		Sunicipal Code: Does any official or employee of the City he name of any other person or entity in the Matter?
NOTE: If you chec proceed to PartE.	ked "Yes" to Item D.l., proceed to l	Items D.2. and D.3. If you checked "No" to Item D.1.,
official or employe entity in the purcha is sold by virtue of	the shall have a financial interest in hase of any property that (i) belongs the legal process at the suit of the City suant to the City's eminent domain process.	we bidding, or otherwise permitted, no City elected is or her own name or in the name of any other person or to the City, or (ii) is sold for taxes or assessments, or (iii) (collectively, "City Property Sale"). Compensation for power does not constitute a financial interest within the
Does the Matter in	volve a City Property Sale?	
[] Yes	[ ] No	
•	ked "Yes" to Item D.l., provide the such interest and identify the nature	names and business addresses of the City officials or of such interest:
Name	Rusiness Address	Nature of Interest

Name

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

>/ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to

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member of Congress, an connection with the awar	fluence an officer or employee of any agency, as defined by applicable federal law, a officer or employee of Congress, or an employee of a member of Congress, in d of any federally funded contract, making any federally funded grant or loan, tive agreement, or to extend, continue, renew, amend, or modify any federally funded cooperative agreement.
_	ty will submit an updated certification at the end of each calendar quarter in which that materially affects the accuracy of the statements and information set forth in above.
the Internal Revenue Co-	rty certifies that either: (i) it is not an organization described in section 501(c)(4) of de of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal at has not engaged and will not engage in "Lobbying  Page 9 of 13
Activities".	
substance to paragraphs A the Disclosing Party mus	Party is the Applicant, the Disclosing Party must obtain certifications equal in form and A.l. through A.4. above from all subcontractors before it awards any subcontract and t maintain all such subcontractors' certifications for the duration of the Matter and tions promptly available to the City upon request.
B. CERTIFICATION RE	GARDING EQUAL EMPLOYMENT OPPORTUNITY
-	funded, federal regulations require the Applicant and all proposed subcontractors to ermation with their bids or in writing at the outset of negotiations.
Is the Disclosing Party th	e Applicant?
[] Yes	[ ] No
If "Yes," answer the three	e questions below:
1. Have you develop federal regulations? (See [] Yes	ped and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)  [] No
•	ith the Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the applicable
E 3	

3.	Have you participated in any previous contracts or subcontracts subject to the equa
opport	unity clause?
[]	Yes [] No

If you checked "No" to question 1. or 2. above, please provide an explanation:

# SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

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A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C.If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D.It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

O'Neil Industries, Inc. (Print or type

name of Disclosing Party)

(Print or type name of person signing)

Signa at L

Page 12 of 13

% '<=:,= -ji .== ";•,•>;.?,,

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such

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person has a familial relationship, and (4) the precise nature of such familial relationship.
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rage 13 01 13
CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B
BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION
This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.
1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?
[JYes [yfNo

ıııy	which has only an municet owners.	mp meerest m en	a Applicant.
1.	Pursuant to Municipal Code Section scofflaw or problem landlord pursua		ne Applicant or any Owner identified as a building code 92-416 of the Municipal Code?
	[JYes [yfNo		
2.			n any exchange, is any officer or director of the Applicandlord pursuant to Section 2-92-416 of the Municipal Code?
	[ ] Yes <b>■</b>	[ ] No	[> <not applicable<="" td=""></not>
3.	If yes to (1) or (2) above, please iden identified as a building code scoffl the pertinent code violations apply.	aw or problem la	me of the person or legal entity andlord and the address of the building or buildings to whice tdk-

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

William E. O'Neil II

#### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

- 2. "P^a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: O Neil Industries, Inc.

OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 1245 West Washington Blvd. Chicago, IL 60607

C. Telephone : Email:

D. Name of contact person: William E. O'Neil II

- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

|2ei<9H£ of 1945-47 West Diversey Parkway, Chicago, IL

File #: O2015-2623, Version: 1			
G Which City agency or	denartment is requesting	g this EDS? Department of Zoning	
, ,		by the City's Department of Procurement Services, please	se
complete the following	•		
Specification #		and Contract #	
Page 1 of 13			
SECTION II DISC	LOSURE OF OWNE	RSHIP INTERESTS	
L NATURE OF THE I	DISCLOSING PARTY		
	re of the Disclosing Par	ty:	
jj Person	:		
<ul><li>Publicly registered but</li><li>Privately held busine</li></ul>	•	[]	
Sole proprietorship	33 corporation		
] General partnership		(Is	
] Limited partnership			
] Trust			
Limited liability compa	• •	ertnership Joint venture	
Not-for-profit corporate		0.0	
the not-for-profit corpo	ration also a 501(c)(3)	) !	
Other (please specify)	[]140		
2. For legal entities,	the state (or foreign c	ountry) of incorporation or organization, if applicable:	
_	~	rate of Illinois: Has the organization registered to do	
msiness in the State of	immois as a foleign en	ary.	
[] Yes	[ ] No	[ ] N/A	
i. IF THE DISCLOSIN	G PARTY IS A LEGA	L ENTITY:	

1. List below the full names and titles of all executive officers and all directors of the entity. ^OTE:

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For not-for-profit corporations, also list below all members, if any, which are legal entities. If here are no such members, write "no members." For trusts, estates or other similar entities, list below he legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability >artnership or joint venture, list below the name and title of each general partner, managing member, nanager or any other person or entity that controls the day-to-day management of the Disclosing Party. «fOTE: Each legal entity listed below must submit an EDS on its own behalf.

"Jame Title

2. Please provide the following information concerning each person or entity having a direct or ndirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples »f such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

## Page 2 of 13

. 'merest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, state or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information rom any applicant which is reasonably intended to achieve full disclosure.

Jame Business Address Percentage Interest in the

**Disclosing Party** 

William O'Neil above 11.82%

# ECTION in - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal "ode, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes [2 No

f yes, please identify below the name(s) of such City elected official(s) and describe such slationship(s):

**MIA** 

#### ECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, Dbbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained r expects to retain in connection with the Matter, as well as the nature of the relationship, and the total mount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose mployees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative ction on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) imself. "Lobbyist" also means any person or entity any part of whose duties as an employee of nother includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the >isclosing Party must either ask the City whether disclosure is required or make the disclosure.

#### Page 3 of 13

'ame (indicate whether stained or anticipated	Business Address	Relationship to Disclosing Party (subcontractor, attorney,	Fees (indicate whether paid or estimated.)
NOTE: > be retained) is		lobbyist, etc.)	"hourly rate" or "t.b.d."
			not an acceptable response.

File #: O2015-2623, V	ersion: 1	
\dd sheets if necess	sary)	
j Check here if the	Disclosing Par	ty has not retained, nor expects to retain, any such persons or entities.
ECTION V - CER	RTIFICATION	NS
*		2-92-415, substantial owners of business entities that contract with le with their child support obligations throughout the contract's term.
		rectly owns 10% or more of the Disclosing Party been declared in gations by any Illinois court of competent jurisdiction?
[ ] Yes	[ Jj No	[] No person directly or indirectly owns 10% or more of the Disclosing Party.
? "Yes," has the per the person in comp		to a court-approved agreement for payment of all support owed and : t agreement?
[] Yes	[ ] No	
. FURTHER CERT	ΓΙΓΙCATIONS	

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should ansult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party lbmitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party 3rtifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged ith, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any riminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, erjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the pplicant understands and acknowledges that compliance with Article I is a continuing requirement for oing business with the City. NOTE: If Article I applies to the Applicant; the permanent compliance meframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- ' 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities ientified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section TV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

(either the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party r any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with sspect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years efore the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the latter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, gents or partners, is barred from contracting with any unit of state or local government as a result of ngaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in iolation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of Linerica that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists laintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the lureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Jesignated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the )ebarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters -55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the lunicipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of le Disclosing Party was, at any time during the 12-month period preceding the execution date of this ,DS, an employee, or elected or appointed official, of the City of Chicago.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party as not given or caused to be given a gift, at any time during the 12-month period preceding the xecution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago, or purposes of this statement, a "gift" does not include: (i) anything made generally available to City

#### Page 6 of 13

'mployees or to the general public, or (ii) food or drink provided in the course of official City business rid having a retail value of less than \$ 10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

dd-h

fthe letters "NA," the word "None," or no response appears on the lines above, it will be conclusively resumed that the Disclosing Party certified to the above statements.

#### :. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check

one)

1. [] is is not

"financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal lode. We further pledge that none of our affiliates is, and none of them will become, a predatory; nder as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory; nder or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing usiness with the City."

F the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in ection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of

Chapter 1-32 of the Municipal Code, explain here (attach additional pages if necessary):

hH&

fthe letters "NA," the word "None," or no response appears on the lines above, it will be onclusively presumed that the Disclosing Party certified to the above statements.

#### >. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

v.ny words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

**Page 7 of 13** 

'leanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee f the City have a financial interest in his or her own name or in the name of any other person or Qtity in the Matter?

[]Yes [JNo

fOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to em D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City lected official or employee shall have a financial interest in his or her own name or in the name of ny other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold Dr taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power oes not constitute a financial interest within the meaning of this Part D.

>oes the Matter involve a City Property Sale?

[]Yes [xNo

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City fficials or employees having such interest and identify the nature of such interest:

fame Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will e acquired by any City official or employee.

#### ). CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must isclose below or in an attachment to this EDS all information required by paragraph 2. Failure to omply with these disclosure requirements may make any contract entered into with the City in onnection with the Matter voidable by the City.

x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of le Disclosing Party and any and all predecessor entities regarding records of investments or profits rom slavery or slaveholder insurance policies during the slavery era (including insurance policies .sued to slaveholders that provided coverage for damage to or injury or death of their slaves), and le Disclosing Party has found no such records.

#### **Page 8 of 13**

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the >isclosing Party has found records of investments or profits from slavery or slaveholder insurance olicies. The Disclosing Party verifies that the following constitutes full disclosure of all such jcords, including the names of any and all slaves or slaveholders described in those records:

#### ECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

fOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally unded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City nd proceeds of debt obligations of the City are not federal funding.

#### I. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying) isclosure Act of 1995 who have made lobbying contacts on behalf Of the Disclosing Party with sspect to the Matter: (Add sheets if necessary):

6UA

If no explanation appears or begins on the lines above, or ifthe letters "NA" or if the word "None" ppear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities sgistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the )isclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay ny person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any erson or entity to influence or attempt to influence an officer or employee of any agency, as defined by pplicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a lember of Congress, in connection with the award of any federally funded contract, making any ederally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, mend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in /hich there occurs any event that materially affects the accuracy of the statements and information set orth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 01(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 01(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying"

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activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in ) rm and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any nbcontract and the Disclosing Party must maintain all such subcontractors' certifications for the uration of the Matter and must make such certifications promptly available to the City upon request.

#### i. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Fthe Matter is federally funded, federal regulations require the Applicant and all proposed iibcontractors to submit the following information with their bids or in writing at the outset of egotiations. f4 (Ar

5 the Disclosing Party the Applicant?

: 1	
[ ] No	
e questions below:	
oed and do you have on file affirmative actions? (See 41 CFR Part 60-2.)  [] No	ion programs pursuant to
h the Joint Reporting Committee, the Director of the Ograms, or the Equal Employment Opportunity Congrequirements?  [] No	
ted in any previous contracts or subcontracts subject	ct to the
[ ] No	
vestion 1. or 2. above, please provide an explanation	n:
,1 1	fAlA
	[] No e questions below:  bed and do you have on file affirmative actions? (See 41 CFR Part 60-2.)  [] No  the Joint Reporting Committee, the Director of the ograms, or the Equal Employment Opportunity Cores requirements?  [] No  ted in any previous contracts or subcontracts subjective.

# ECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, .OMPLIANCE, PENALTIES, DISCLOSURE

he Disclosing Party understands and agrees that:

The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

bntract or other agreement between the Applicant and the City in connection with the Matter, whether rocurement, City assistance, or other City action, and are material inducements to the City's execution f any contract or taking other action with respect to the Matter. The Disclosing Party understands that: must comply with all statutes, ordinances, and regulations on which this EDS is based.

>. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of le Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, rork, business, or transactions. The full text of these ordinances and a training program is available on ne at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

edgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully

nth the applicable ordinances.

- ). If the City determines that any information provided in this EDS is false, incomplete or inaccurate, ny contract or other agreement in connection with which it is submitted may be rescinded or be void or oidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or oid), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or eclining to allow the Disclosing Party to participate in other transactions with the City. Remedies at tw for a false statement of material fact may include incarceration and an award to the City of treble amages.
- ). It is the City's policy to make this document available to the public on its Internet site and/or upon squest. Some or all of the information provided on this EDS and any attachments to this EDS may be tade available to the public on the Internet, in response to a Freedom of Information Act request, or therwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible ights or claims which it may have against the City in connection with the public release of information ontained in this EDS and also authorizes the City to verify the accuracy of any information submitted ithis EDS.
- -. The information provided in this EDS must be kept current. In the event of changes, the Disclosing arty must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a ontract being handled by the City's Department of Procurement Services, the Disclosing Party must pdate this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified ffenses), the information provided herein regarding eligibility must be kept current for a longer period, s required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

he Disclosing Party represents and warrants that:

- . 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois •epartment of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any ne, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, swer charges, license fees, parking tickets, property taxes or sales taxes.
- .2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

#### Page 11 of 13

use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to

believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

William E. O'Neil II

(Print or type name of Disclosing Party)

lere)

William E. O'Neil II

(Print or type name of person signing)

Chairman of the Board of O'Neil Industries, Inc.

(Print or type title of person signing)

#### "OFFICIAL SEAL"

TAMELA AUGUSTA NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 12/09/2018

ned and s

## COOK

at

Signed and sworn to before me on (date)

County, TIC

(state).

Notary Public.

Commission expires:

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct wnership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity rtiich has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party r any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a farnilial relationship" with try elected city official or department head. A "familial relationship" exists if, as of the date this EDS is igned, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to le mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic artner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, iece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather r stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section TLB.

La., if the ▶ isclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general artnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited artnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a mited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief perating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person <ercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently ave a "familial relationship" with an elected city official or department head?

[] Yes [jj No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which ich person is connected; (3) the name and title of the elected city official or department head to whom such srson has a familial relationship, and (4) the precise nature of such familial relationship.

aj/A

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT** APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ow ent

ship interest in the Applicant exceeding which has only an indirect ownership	ng 7.5 percent (an "O	vner"). It is not to be co	~
Pursuant to Municipal Code Section 2-scofflaw or problem landlord pursuant		· ·	ed as a building code
[ JYes [xTNo			
If the Applicant is a legal entity public identified as a building code scofflaw of	-		
[ ] Yes	[ ] No	[> <not applicable<="" td=""><td></td></not>	
If yes to (1) or (2) above, please identify identified as a building code scofflaw the pertinent code violations apply.			lding or buildings to which
			tdL

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

> **CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND**

#### **AFFIDAVIT**

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: William E.

O'Neil II, Trustee fz\*u l»  $t > O^cjpv S^H^-J^C -rwjx %$ 

#### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is: 1. []

the Applicant OR

a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: W.E.O'Neil Beneficial Trust Bl OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- o o aa f.u ™ i t. 1245 West Washington Blvd.
- B. Business address of the Disclosing Party:

Chicago, IL 60607

- C. Telephone rax:
- D. Name of contact person: William E\_Oneiln
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

1Leit>ne of 1945-47 West Diversey Parkway, Chicago, IL

G. Which City agency or department is requesting this EDS? Department of Zoning

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

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File #	02015-26	23 Version: 1
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## Action ii - disclosure of ownership interests

#### a. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Part	ty:
] Person	
] Publicly registered business corporation	[]
] Privately held business corporation	
] Sole proprietorship	
] General partnership	(Is
Limited partnership	
i Trust	[]
Limited liability company Limited liability particle. Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))  [] Yes [] No Other (please specify)  2. For legal entities, the state (or foreign contents)	•
3. For legal entities not organized in the State of Illinois as a foreign en	tate of Illinois: Has the organization registered to do atity?
[] Yes [] No	[] N/A
3 IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. VOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If here are no such members, write "no members." For trusts, estates or other similar entities, list below he legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability jartnership or joint venture, list below the name and title of each general partner, managing member, nanager or any other person or entity that controls the day-to-day management of the Disclosing Party. VOTE: Each legal entity listed below must submit an EDS on its own behalf.

Vame Title

File	#•	0201	15-2623	Version:	1
	** -	()/()	1:)=/()/.)	VEISIUII.	- 1

2. Please provide the following mformation concerning each person or entity having a direct or ndirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples } f such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

iterest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, <sup>s</sup> >tate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the funicipal Code of Chicago ("Municipal Code"), the City may require any such additional information om any applicant which is reasonably intended to achieve full disclosure.

ame

**Business Address** 

Percentage Interest in the Disclosing Party

William E. O'Neil, Trustee above 11.75%

#### ECTION in ~ BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal lode, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes [2 No

f yes, please identify below the name(s) of such City elected official(s) and describe such 3lationship(s):

£LLA

#### ECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, Dbbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained r expects to retain in connection with the Matter, as well as the nature of the relationship, and the total

mount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose mployees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative ction on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) iimself. "Lobbyist" also means any person or entity any part of whose duties as an employee of nother includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Msclosing Party must either ask the City whether disclosure is required or make the disclosure.

#### Page 3 of 13

ame (indicate whether stained or anticipated	Business Address	Relationship to Disclosing Party (subcontractor, attorney,	Fees (indicate whether paid or estimated.)
NOTE:  .» be retained)		lobbyist, etc.)	"hourly rate" or "t.b.d."
18			not an acceptable response.

\dd sheets if necessary)

^jfCheck here if the Disclosing Party has not retained, nor expects to retain, any such persons or

entities. ECTION V - CERTIFICATIONS COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with le City must remain in compliance with their child support obligations throughout the contract's term.

[as any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in rrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [# No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

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f "Yes," has the person entered into a court-approved agreement for payment of all support owed and > the person in compliance with that agreement?

[] Yes [] No

#### I. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should onsult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party ubmitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party ertifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged /ith, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any riminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, erjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the applicant understands and acknowledges that compliance with Article I is a continuing requirement for oing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance imeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

#### Page 4 of 13

- 2. The Disclosing Party and, ifthe Disclosing Party is a legal entity, all of those persons or entities lentified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### **Page 5 of 13**

leither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party r any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with espect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years efore the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the latter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders

or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, gents or partners, is barred from contracting with any unit of state or local government as a result of ngaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in iolation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists an aintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Sureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially ) esignated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters '.-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the /lunicipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of he Disclosing Party was, at any time during the 12-month period preceding the execution date of this sDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party las not given or caused to be given a gift, at any time during the 12-month period preceding the xecution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. ror purposes of this statement, a "gift" does not include: (i) anything made generally available to City

#### Page 6 of 13

mployees or to the general public, or (ii) food or drink provided in the course of official City business ad having a retail value of less than \$10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

Fthe letters "NA," the word "None," or no response appears on the lines above, it will be conclusively resumed that the Disclosing Party certified to the above statements.

#### **J. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION**

1. The Disclosing Party certifies that the Disclosing Party (check

one)

1. [] is [)j is not

"financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal "ode. We further pledge that none of our affiliates is, and none of them will become, a predatory 2nder as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory snder or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing usiness with the City."

f the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in lection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

HJA

f the letters "NA," the word "None," or no response appears on the lines above, it will be onclusively presumed that the Disclosing Party certified to the above statements.

#### ). CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

^ny words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

. eanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee f the City have a financial interest in his or her own name or in the name of any other person or itity in the Matter?

OTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to em D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City lected official or employee shall have a financial interest in his or her own name or in the name of ay other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold >r taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, Zity Property Sale"). Compensation for property taken pursuant to the City's eminent domain power oes not constitute a financial interest within the meaning of this Part D.

»oes the Matter involve a City Property Sale?

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City fficials or employees having such interest and identify the nature of such interest:

fame Business Address Nature of Interest

MA

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will e acquired by any City official or employee.

#### I. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must isclose below or in an attachment to this EDS all information required by paragraph 2. Failure to omply with these disclosure requirements may make any contract entered into with the City in onnection with the Matter voidable by the City.

x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of he Disclosing Party and any and all predecessor entities regarding records of investments or profits irom slavery or slaveholder insurance policies during the slavery era (including

insurance policies ssued to slaveholders that provided coverage for damage to or injury or death of their slaves), and he Disclosing Party has found no such records.

#### **Page 8 of 13**

J 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Hsclosing Party has found records of investments or profits from slavery or slaveholder insurance olicies. The Disclosing Party verifies that the following constitutes full disclosure of all such ;cords, including the names of any and all slaves or slaveholders described in those records:

#### ECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

fOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally unded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City nd proceeds of debt obligations of the City are not federal funding.

#### 1. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying )isclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with sspect to the Matter: (Add sheets if necessary):

If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" ppear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities egistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the ) isclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay ny person or entity listed in Paragraph A. 1. above for his or her lobbying activities or to pay any erson or entity to influence or attempt to influence an officer or employee of any agency, as defined by pplicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a nember of Congress, in connection with the award of any federally funded contract, making any ederally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, mend, or modify any federally funded contract, grant, loan, or cooperative agreement.
  - 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in

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yhich there occurs any event that materially affects the accuracy of the statements and information sebrth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 01(c) (4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 01(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Page 9 of 13
ctivities".
■ 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in mand substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any ibeontract and the Disclosing Party must maintain all such subcontractors' certifications for the uration of the Matter and must make such certifications promptly available to the City upon request.
. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
the Matter is federally funded, federal regulations require the Applicant and all proposed lbcontractors to submit the following information with their bids or in writing at the outset of egotiations. $^{\wedge}/$ (V
; the Disclosing Party the Applicant?
[] Yes [] No
i""Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable jderal regulations? (See 41 CFR Part 60-2.)  [] Yes  [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due nder the applicable filing requirements?  [] Yes  [] No
3. Have you participated in any previous contracts or subcontracts subject to the qual opportunity clause?  [] Yes  [] No

Fyou checked "No" to question 1. or 2. above, please provide an explanation:

tUA

## ECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, :OMPLIANCE, PENALTIES, DISCLOSURE

'he Disclosing Party understands and agrees that:

l. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether Procurement, City assistance, or other City action, and are material inducements to the City's execution ■)f any contract or taking other action with respect to the Matter. The Disclosing Party understands that t must comply with all statutes, ordinances, and regulations on which this EDS is based.

3. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of he Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, vork, business, or transactions. The full text of these ordinances and a training program is available on ine at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully villi the applicable ordinances.

2. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, my contract or other agreement in connection with which it is submitted may be rescinded or be void or /oidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or ieclining to allow the Disclosing Party to participate in other transactions with the City. Remedies at aw for a false statement of material fact may include incarceration and an award to the City of treble damages.

D.It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be nade available to the public on the Internet, in response to a Freedom of Information Act request, or Dtherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E.The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified Dffenses), the information provided herein regarding eligibility must be kept current for a longer period, is required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

#### Page 11 of 13

use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

William E. O'Neil II

(Print or type name of person signing)

Trustee

(Print or type title of person signing)

Signed and

at COdl

sworn to before me on (d£

County,

Page 12 of 13

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct wnership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity rtiich has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party >r any "Applicable Party" or any Spouse or Domestic Parmer thereof currently has a "familial relationship" with ny elected city official or department head. A "familial relationship" exists if, as of the date this EDS is igned, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Parmer thereof is related to be mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic lartner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, riece or nephew, grandparent, grandchild, father -in-law, mother-in-law, son-in-law, daughter-in-law, stepfather >r stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing

Party is a general (artnership; all general partners and limited partners of the Disclosing Party, ifthe Disclosing Party is a limited lartnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a imited Uability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than 17.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief tperating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person xercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently lave a "familial relationship" with an elected city official or department head?

[] Yes [)} No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which uch person is connected; (3) the name and title of the elected city official or department head to whom such >erson has a familial relationship, and (4) the precise nature of such familial relationship.

AJ fAr

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Yes [XfNo

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[ ]No [><fNot Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity

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identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

O'Neil Industries, Inc. Employee Stock Ownership Trust

#### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
- 2. "Yi a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: 2751 N Clybourn, LLC OR
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- tJ . ^. , 1245 West Washington Blvd
- B. Business address of the Disclosing Party:

File #: O2015-2623, Version: 1
Chicago, IL 60607
mfaron@weoneil.com <mailto:mfaron@weoneil.com>C. Telephone: 773-755-1611 Fax:</mailto:mfaron@weoneil.com>
Michael J. Faron D. Name of contact person:
E. Federal Employer Identification No. (if you have one)
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
ftiXoM ,f 1945-47 West Diversey Parkway, Chicago, IL -Gt-W-h i GlvCit-y-agen cy-or-d epa ftin en l-is-peq
u est i n g-th is-ED S ■? ^)eP£rl <sup>men</sup> f of Zojiing
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #
Page 1 of 13
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party:  [] Person []  [] Publicly registered business corporation []  [] Privately held business corporation []  [] Sole proprietorship []  [] General partnership (Is  [] Limited partnership  f/j' Trust []
Limited liability company Limited liability partnership Joint venture Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))? [] Yes [] No

Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

yJ (a

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[]Yes []No [\*]N/A

#### **B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

#### .See. d^VkcVej

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

ONEIL INDUSTRIES, INC.
EXECUTIVE OFFICERS AND DIRECTORS

#### **NAME**

WILLIAM E. O'NEIL II BRIAN G. RAMSAY DANIEL G. FEUCHT DEAN J. ARNOLD MICHAEL J. FARON JOHN S. HOBBS MARY B. O'NEIL JOHN T. HICKEY, JR. TRACEY L. CATARUTTI DENNIS L. KESSLER ROBIN J. CERO RICHARD ERICKSON WILLIAM E. O'NEIL III

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CHAIRMAN & DIRECTOR CHIEF EXECUTIVE OFFICER & DIRECTOR CHIEF FINANCIAL

OFFICER, SECRETARY VICE PRESIDENT - COST CONTROL

DIRECTOR & CHAIRMAN OF W.E. O'NEIL CONSTRUCTION CO.

DIRECTOR & PRESIDENT OF W.E.O'NEIL COSNTRUCTION, INC.

**DIRECTOR** 

**DIRECTOR** 

**DIRECTOR** 

**DIRECTOR** 

**DIRECTOR** 

**DIRECTOR** 

#### DIRECTOR & VICE PRESIDENT OF W.E. O'NEIL OF ARIZONA

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[.]Yes [v^No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney,

lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of ano.therJncludes-underUiking-to-infl

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether **Business** retained or anticipated **Address** to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[</f Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or</p> entities. SECTION V - CERTIFICATIONS

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [ ] No y\ No person directly or indirectly owns 10% or more of the **Disclosing Party.** 

If "Yes," has the person entered into a court-approved agreement-for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party

submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged w-ithror-has-admitted^

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

## Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more.public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3, The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common

control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family, members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other.official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, .acting.purs.uant <a href="http://acting.purs.uant">http://acting.purs.uant</a> to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. .agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau

of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of "the'Disclosing Karty was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at. any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

**Page 6.of 13** 

employees, or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$ 10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [4 is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code.

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We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
Ifthe Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same
Page 7 of 13
meanings when used in this Part D.
1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes fr^No
NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City " elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes [] No

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City

officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

j/\_l. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

#### Page 8 of 13

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure

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Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c) (4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Page 9 of 13
Activities'-'.
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?

[] No

[]Yes

File #: O2015-2623, Version: 1		
If "Yes," answer the	three questions below:	
1. Have you devergulations? (See 41	•	file affirmative action programs pursuant to applicable federal
[] Yes	[] No	
•	ns, or the Equal Employmen	Committee, the Director of the Office of Federal Contract t Opportunity Commission all reports due under the applicable
3. Have you par opportunity clause?	rticipated in any previous co	ntracts or subcontracts subject to the equal
[] Yes	[ ] No	
If you checked "No"	to question 1. or 2. above, p	please provide an explanation:

# SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable,

and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D.It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain-specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any finej fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

# Page 11 of 13

use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS

and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

O'Neil Industries, Inc. Employee Stock Ownership Trust (Print or type name of Disclosing Party)

(Sign here)

t,vWfli A. .^l¹

(Print or typg name of person signing) It type title of person signing)

Signed and sworn to before me on (date) \_ at hiciasY^S County, JTKzxjA.

L7Lk«?

# 3 lib] 15

(state). -Notary-PublicT-

MM<sup>1</sup>

"OFFICIAL SEAL" I MARILYN J. CRIM £ Notary Public, State of Winer, MyCommtosion Expires 07/26/17:

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such. Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this .EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerks the city treasurer or any city department head as spouse or domestic

partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section H.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, ifthe Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, ifthe Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, ifthe Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected/city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Yes [XfNo

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

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[] Yes	[ J No	iXNot Applicable	

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
  - OR
- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

3. a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in

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which the Disclosing Party holds a right of control:	Trust A2 created under the P.A. O'Neil declaration of Trust #1 dated" 3-11-1994	
B. Business address of the Disclosing Party:	1245 West Washington Blvd	
	Chicago, IL 60607	
C. Telephone: 773-755-1611 Fax:	Email:	
D. Name of contact person: Michael J. Faron		
E. Federal Employer Identification No. (if you have or	ne):	
F. Brief description of contract, transaction or other which this EDS pertains. (Include project number and I	er undertaking (referred to below as the "Matter") to location of property, if applicable):	
\$2£0rlC 1945-47 West Diversey Parkway, Chicago, I	L	
G. Which City agency or department is requesting this	EDS? Department of Zoning	
If the Matter is a contract being handled by the complete the following:	ne City's Department of Procurement Services, please	
Specification #	and Contract #	
Page 1 of 13		
SECTION II - DISCLOSURE OF OWNERSHI	P INTERESTS	
A. NATURE OF THE DISCLOSING PARTY		
1. Indicate the nature of the Disclosing Party:		
W^Pa Person	[] Limited liability company	
[ ] Publicly registered business corporation	[] Limited liability partnership	
[ ] Privately held business corporation [ ] Sole proprietorship	[ ] Joint venture [ ] Not-for-profit corporation	
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?	
[] Limited partnership	[] Yes [] No	
Trust	[] Other (please specify)	

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

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3	For legal entities not organized in the State of Illinois: Has the organization registered to do business

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[] Yes [] No  $^{v}$ fc $^{\wedge}$ N/A

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Per

Percentage Interest in the Disclosing Party

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

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Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes M^No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

A1/-A

#### SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether	Business	Relationship to Disclosing Party	Fees (indicate whether
retained or anticipated	Address	(subcontractor, attorney,	paid or estimated.) NOTE:
to be retained)		lobbyist, etc.)	"hourly rate" or "t.b.d." is
			not an acceptable response.

(Add sheets if necessary)

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[ ] Check here ifthe Disclosing Party has not retained, nor expects to retain, any such persons or entitie		
SECTION V - CERTIFICATIONS		
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE		
Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.		
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearag on any child support obligations by any Illinois court of competent jurisdiction?		
[] Yes [] No person directly or indirectly owns 10% or more of the Disclosing Party.		
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?		
[] Yes [] No		
B. FURTHER CERTIFICATIONS		
1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense,

adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a

public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of the Disclosing Party was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

#### Page 6 of 13

employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$ 10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[]is

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

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meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? /

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[] Yes ^No

NOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[JYes

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and ail predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance

policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

M4t

(If no explanation appears or begins on the lines above, or if the, letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying"

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Activities".	
substance to paragraphs the Disclosing Party mus	Party is the Applicant, the Disclosing Party must obtain certifications equal in form and A.l. through A.4. above from all subcontractors before it awards any subcontract and st maintain all such subcontractors' certifications for the duration of the Matter and ations promptly available to the City upon request.
B. CERTIFICATION RI	EGARDING EQUAL EMPLOYMENT OPPORTUNITY
	funded, federal regulations require the Applicant and all proposed subcontractors nformation with their bids or in writing at the outset of negotiations. $y \mid f^{\wedge} \cap f$
Is the Disclosing Party th	ne Applicant?
[] Yes	
If "Yes," answer the thre	ee questions below:
Have you develo federal regulations? (See     [ ] Yes	oped and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)  [] No
•	with the Joint Reporting Committee, the Director of the Office of Federal Contract or the Equal Employment Opportunity Commission all reports due under the applicable  [] No
3. Have you partici opportunity clause?	pated in any previous contracts or subcontracts subject to the equal
	question 1. or 2. above, please provide an explanation:
	/Y/A

# SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any

# Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F. l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

MaryB. O'Neil  $f^{-1}$ - TO $^{-1}$ CXT A \*2\_ C $^{-1}$ CTCD Lt $^{-1}$ TDnL -p $^{-1}$ P-A . OUefL Oet-cTtKriX) $^{-1}$ e> f- tkjc $^{-1}$ Tt -it v t> $^{-1}$ CTTP-3-u-iS $^{-1}$ ?

(Print or type name of Disclosing Party) By:

(Signjiere) Mary B. O'Neil

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me onjdate) at COOK- County, J22^

(state).

"OfnCi&SEAL"
TAMELA AUGUSTA

NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 12/09/2018;

Commission expires:

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section JXB.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT** APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ow ent

mership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal tity which has only an indirect ownership interest in the Applicant.			
1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?		
	[ ]Yes [yfNo		
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Apidentified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Co			
	[ ]Yes	[ ]No	[> <not applicable<="" td=""></not>
3.	If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.  FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.		

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