

# Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

# Legislation Details (With Text)

File #: 02015-3686

Type: Ordinance Status: Passed

File created: 5/6/2015 In control: City Council

**Final action:** 6/17/2015

Title: Zoning Reclassification Map No. 5-I at 1754 N Whipple Ave - App No. 18360

Sponsors: Misc. Transmittal

Indexes: Map No. 5-I

**Attachments:** 1. O2015-3686.pdf

Date	Ver.	Action By	Action	Result
6/17/2015	1	City Council	Passed	Pass
6/3/2015	1	Committee on Zoning, Landmarks and Building Standards	Recommended to Pass	Pass
5/6/2015	1	City Council	Referred	

MAY oLt'Lotf

# **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO: SECTION 1.

Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance is hereby amended by changing all of the RS3 Residential Single-Unit (Detached House) District symbols as shown on Map No. 5-1 in the area bounded by:

A line 37.5 feet South of and parallel to West Bloomingdale Avenue; North Whipple Street; a line 75 feet South of and parallel to West Bloomingdale Avenue; the public alley next West of and parallel to North Whipple Street.

To those of an RT4, Residential Two-Flat, Townhouse and Multi-Unit District

SECTION 2. This Ordinance takes effect after its passage and approval. Common address of property: 1754 North Whipple Street, Chicago IL.

AFFIDAVIT (Section 17-13 -0107)

Date: April 29, 2015

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Zofia Zon deposes and states the following:

, being first duly sworn on oath,

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners ofthe property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys, and other public ways, or a total distance limited to 400 feet. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filling the application

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately May 6, 2015.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parities to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Subscribed and Sworn to before me this 29th day of

LAW OFFICES MARK J. KUPIEC & ASSOCIATES
SUITE 1801 77 WEST WASHINGTON
STREET CHICAGO, ILLINOIS 60602 -

TELEPHONE (312) 541-1878

FACSIMILE (312) 641-1745

April 29, 2015 Re: 1754

North Whipple Street, Chicago, IL Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about May 6, 2015 the undersigned will file an Application for a change in zoning from an RS3 Residential Single-Unit (Detached House) Zoning District to an RT4 Residential Two-Flat, Townhouse and Multi-Unit Zoning District on behalf of the Applicant, MIDWEST ADVISORS LLC for the property located at 1754 North Whipple Street, Chicago, Illinois.

The subject property is currently improved with a residential building, which will be demolished. The Applicant needs a zoning change to comply with the minimum lot area and maximum floor area requirements ofthe zoning ordinance in order to build a new three-story 3 dwelling unit residential building.

The Applicant is the owner of the subject property. Its business address is 3610 Maple Avenue, Northbrook, IL 60062.1 am the Attorney for the Applicant and the contact person for this Application. My address is 77 West Washington Street, Chicago, Illinois, and my telephone number is (312) 541-1878.

Please note that the Applicant is not seeking to rezone or purchase your property. The Applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Sincerely,

# $\underset{\tiny \text{n m in }\square}{Mark} \ J. \ \underset{\tiny \text{pi}}{Kupiec} \ MJK/ap$

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SS52+ 37.50' DEED/37.52' MEAS +g§§

# WHIPPLE STREET

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CITY OF CHICAGO

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# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS ofthe property Applicant is seeking to rezone:

1754 North Whipple Avenue, Chicago

- 2. Ward Number that property is located in: 26<sup>th</sup> Ward
- 3. APPLICANT MIDWEST ADVISORS LLC

ADDRESS 3610 Maple Ave

CITY Northbrook STATE IL ZIP CODE 60062

PHONE 847-668-3000 CONTACT PERSON Gary Levitas

4. Is the Applicant the owner of the property? YES X NO
If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the Applicant to proceed.

OWNER MIDWEST ADVISORS LLC

ADDRESS 3610 Maple Ave ...

CITY Northbrook STATE IL ZIP CODE 60062

PHONE 847-668-3000 CONTACT PERSON Gary Levitas

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Law Office of Mark J. Kupiec & Assoc.

ADDRESS 77 West Washington St. Ste. 1801

Chicago STATE Illinois ZIP CODE 60602

312-541-1878 FAX 312-641-1745

6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners disclosed on the Economic Disclosure Statements.

GARY LEVITAS - 50% GRZEGORZ BABINSK1, - 50%

- 7. On what date did the owner acquire legal title to the subject property? 2015
- 8. Has the present owner previously rezoned this property? If yes, when? NO
- 9. Present Zoning District RS3 Proposed Zoning District RT4
- 10.Lot size in square feet (or dimensions) 4,425 square feet
- 11. Current Use of the property Residential building
- 12. Reason for rezoning the property To comply with the minimum lot area and maximum floor area of the zoning ordinance in order to build a new 3 story, 3 dwelling unit residential building.,
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

To demolish the existing building and build a new 3 story, 3 dwelling unit residential building;

3 parking spaces; no commercial space; 3 story, height 38'

14. On May 14th, 2007, the Chicago City Council passes the Affordable Requirements Ordinance (ARO)

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that requires on-site affordable receive a zoning change under of the proposed zoning classification (See Fact Sheet for more inform	ertain circumstan	ices. Based on the lot size of	the project in question and
NO X			
COUNTY STATE OF ILLINOIS		OF	COOK
For Office Use Onl	y		
Date of Introduction:			
File Number:			
Ward:			
	CITY OI	F CHICAGO ECONOMIC STATEMENT AND AFFI	
SECTION I - GENERAL INFO	RMATION		
A. Legal name of Disclosing Part	y submitting this E	DS. Include d/b/a/ ifapplicab	le:
N	MIDWEST ADVIS	ORS LLC	
Check ONE of the following three	e boxes:		
Indicate whether Disclosing Party 1. [] the Applicant OR	submitting this EI	OS is:	
2. [x] a legal entity holding a Applicant in which Disclosin OR			the legal name of the
3. [] a legal entity with a right the Disclosing Party holds a rig	`	etion II.B. 1.) State the legal 1	name of the entity in which
B. Business address of Disclosing	Party: 3610 MA	APLE AVENUE, NORTHBR	ROOK, II 6006?
C. Telephone: 847-668-3000	Fax:	Email:	
D. Name of contact person:	Gary Levitas		

E. Federal Employer Identification No. (if you have one): N/A

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	·	action or other undertaking (referred to below as the Matter) to which the nber and location of property, if applicable):
Zoning Cha	ange at 1754 North	Whipple Street, Chicago
G. Which City agend	cy or department is	requesting this EDS? Dept. of Planning and Development
Ifthe Matter is complete the following		handled by the City's Department of Procurement Services, please
Specification #	N/A	and Contract # N/A
Page 1 of 13 SECTION II - DIS A. NATURE OF DI		WNERSHIP INTERESTS
] Person ] Publicly registered partnership ] Limite [x ] Limited liability [ ] Limited liability [ ] Joint venture [ ] Not-for-profit co (Is the not-for-profit [ ] Yes [ ] Other (please specially approximately profit of the pr	d partnership ] Tru company partnership rporation corporation also a [] No	
2. For legal entities	es, the state (or fore	ign country) of incorporation or organization, ifapplicable:
3. For legal entities in the State of Illino	_	the State of Illinois: Has the organization registered to do business y?
[] Yes	[] No	[X] N/A
B. IF THE DISCLO	SING PARTY IS	A LEGAL ENTITY:
		les of all executive officers and all directors of the entity. NOTE: For not all members, if any, which are legal entities. If there are no such

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members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability

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or any other person		of each general partner, managing member, manager nanagement of the Disclosing Party. NOTE: Each ehalf.
Name		CADVI FUTAC
GRZEGORZ BAB	INSKI,	GARY LEVITAS
beneficial interest (	including ownership) in excess of 7.5% corporation, partnership interest in a pa	
	Page 2 of 1:	3
other similar entity Chicago ("Municip	. If none, state "None." NOTE: Pursuar	pany, or interest of a beneficiary of a trust, estate or at to Section 2-154-030 of the Municipal Code of the additional information from any applicant which is
Name	Business Address	Percentage Interest in the
		Disclosing Party
GARY LEVITAS	3610 MAPLE AVENUE, NORTHB	ROOK, IL - 60062 50%
GRZEGORZ BAB	1NSKI, 103 PROSPECT DRIVE, PRO	SPECT HEIGHTS, IL - 60070 50%
SECTION III I	BUSINESS RELATIONSHIPS WITI	I CITY ELECTED OFFICIALS
	sing Party had a "business relationship ed official in the 12 months before the	" as defined in Chapter 2-156 of the Municipal Code, date this EDS is signed?
[] Yes	[X] No	
If yes, please identirelationship(s):	fy below the name(s) of such City elec	ted official(s) and describe such

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N/A

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#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)

Relationship to Disclosing Party retained or anticipated to be retained)

Relationship to Disclosing Party retained or anticipated (subcontractor, attorney, lobbyist, etc.)

Relationship to Disclosing Party retained or estimated.) NOTE:

"hourly rate" or "t.b.d" is not an acceptable response.

Kupiec & Assoc. 77 West Washington St. Ste. 1801 Attorneys

\$3.500 (estimated)

Chicago IL 60602

(Add sheets if necessary)

[ ] Check here if the Disclosing party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V - CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes [JNo

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party Submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party Certified as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged With, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty, or deceit against an officer or employee ofthe City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification; or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in Connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a
  public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or
  of any state or local government in the United States of America, in that officer's or employee's official
  capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same

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elements as the offense of bid-rigging or bid-rotating.

- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of U.S. Department of the Treasury or the Bureau of Industry and Security of U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with (1) the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

. N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient. N/A

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

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- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [ ] is [X] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [X] No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D. 1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Docs the Matter involve a City Property Sale?

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[] Yes	[X] No			
•	sked "Yes" to Item D.l., provide the such interest and identify the nature	names and business addresses of the City officials of such interest:	or	
Name	Business Address	Nature of Interest		
4. The Disclosiby any City official		phibited financial interest in the Matter will be acqu	iired	
E. CERTIFICATION	ON REGARDING SLAVERY ERA	BUSINESS		
	her 1. or 2. below. Ifthe Disclosing t to this EDS all information require	Party checks 2., the Disclosing Party must disclose ed by paragraph 2. Failure to	below	
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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery, or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt

obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A. 1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy ofthe statements and information set forth in paragraphs A. 1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A. 1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

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[] Yes	[ ] No	
If "Yes," answer th	e three questions below:	
1. Have you de	veloped and do you have on	file affirmative action programs pursuant to applicable
federal regulation	ns? (See 41 CFR Part 60-2.)	
[] Yes	[ ] No	
•	ams, or the Equal Employm	g Committee, the Director of the Office of Federal Contract ent Opportunity Commission all reports due under the applicable
[] Yes	[ ] No	
3. Have you p		contracts or subcontracts subject to the equal
[] Yes	[ ] No	
If you checked "No	o" to question 1. or 2. above	, please provide an explanation:

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# SECTION VII - - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Contract or other agreement between the Applicant and the City in connection with the Matte, whether Procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citvofchicago.orR/Ethics <a href="http://www.citvofchicago.orR/Ethics">http://www.citvofchicago.orR/Ethics</a>. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1 -23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (ifapplicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (ifapplicable) are true, accurate and complete as ofthe date furnished to the City.

<u>Manager</u> (Print or type title of person signing)

Signed and sworn to before me on (date)  $OH\{JI\sim\}$ 

# CITY OF CHICAGO ECEONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHPS WITH ELETED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is

signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to

the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section Il.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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	[] Yes	[x] No		
which s	such person is conn	ected; (3) the name and title	of such person, (2) the name of the legal entity to e of the elected city official or department head to the precise nature of such familial relationship.	
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	(		ONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT ENDIX B	
	BUILDING	CODE SCOFFLAW/PRO	OBLEM LANDLORD CERTIFICATION	
	ownership interest	in the Applicant exceeding	a) the Applicant, and (b) any legal entity which has ng 7.5 percent (an "Owner"). It is not to be comple ership-interest in the Applicant.	
1.			10, is the Applicant or any Owner identified as a build so Section 2-92-416 of the Municipal Code?	ding
	[] Yes	[x ] No		
2.	* *	ed as a building code scoffl	ed on any exchange, is any officer or director of the aw or problem landlord pursuant to Section 2-92-416	of
	[] Yes	[ ] No	[x ] Not Applicable	
3.	•	•	ow the name of the person or legal entity identified as a	

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE

ASSOCIATED EDS.