

## Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

### Legislation Details (With Text)

File #: 02015-5367

Type: Ordinance Status: Passed

File created: 7/29/2015 In control: City Council

**Final action:** 9/24/2015

Title: Zoning Reclassification Map No. 11-M at 4111-4119 N Narragansett Ave - App No. 18467

Sponsors: Misc. Transmittal
Indexes: Map No. 11-M

**Attachments:** 1. O2015-5367.pdf

Date	Ver.	Action By	Action	Result
9/24/2015	1	City Council	Passed	Pass
9/14/2015	1	Committee on Zoning, Landmarks and Building Standards	Recommended to Pass	Pass
7/29/2015	1	City Council	Referred	

### **ORDINANCE**

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the RS2 Residential Single-Unit (Detached House) District symbols and indications as shown on Map No.1 1-M in the area bounded by

a line 385.37 feet south of and parallel to West Berteau Avenue; -a line 299.49 feet east of and parallel to North Narragansett Avenue; a line 505.87 feet south of and parallel to West Berteau Avenue; and North Narragansett Avenue,

to those of a RT4 Residential Multi-Unit District and a corresponding uses district is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

File #: O2015-5367, Version: 1
4111-4119 North Narragansett Avenue
Written Notice, Form of Affidavit: Section 17-13-0107
July 15,2015
Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304 - City Hall Chicago, Illinois 60602
To Whom It May Concern:
The undersigned, Nicholas Ftikas, being first duly sworn on oath, deposes and says the following:
That the undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was or will be sent by USPS First Class Mail no more than 30 days before filing the application.
The undersigned certifies that the notice contained the address of the property sought to be rezoned as 4111-4119 N. Narragansett, Chicago, Illinois; a statement of intended use of said property; the name and address of the Applicant; the name and address of the owner; and a statement that the applicant intends to file an application for a change in zoning on approximately July 15, 2015.
The Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago; that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.
Subscribed and Sworn to before me
Notary
OFFICIAL SEAL DENAMANTON-
Via LISPS First Class Mail July 15 2015

Office of the City Clerk Page 2 of 35 Printed on 4/26/2024

Dear Sir or Madam:

In accordance with Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107-A, please, be informed that on or about July 15, 2015, I, the undersigned, filed an application for a change in zoning from the RS-2 Residential Single Unit (Detached House) District to the RT-4 Residential Two-Flat, Townhouse and Multi-Unit District, on behalf of the Applicant, Noble Development Group, LLC, for the property located at 4111-4119 N. Narragansett, Chicago, Illinois.

The Applicant seeks a zoning change to permit a residential development containing a total of 29 dwelling units. There is no proposed retail component. The proposed building will be masonry construction. The building will vary between three and five stories in height. No portion of the building will exceed 47 ft. in height. Onsite parking for 40 cars will be provided.

The Applicant, Noble Development Group, LLC, is located at 1332 N. Halsted, Unit 3, Chicago, IL 60642.

The current property owner, SA Loan Fund 901, LLC, is located at 7370 N. Cicero, Lincolnwood, IL 60712.

I am the contact person for this application. My address is 221 N. LaSalle Street, Chicago, IL 60601. My telephone number is 312-782-1983.

Very truly yours,

LAW OFFICES OF SAMUEL V.P. BANKS

Nicholas Ftikas

\*The Applicant is required by law to send this notice because you are shown to own property located within 250 feet of the property subject to the proposed Zoning Amendment.
-FORM OF AFFIDAVIT-

Chairman Solis Committee on Zoning City Hall, room 304 Chicago, IL 60602

To Whom It May Concern:

I, Scott Sinar, as Manager of Noble Development Group LLC, understand that the Law Offices of Samuel V.P. Banks has filed a sworn affidavit identifying Noble Development Group LLC, as a contract purchaser holding interest in land subject to the proposed zoning amendment for the property identified as 4111

<sup>\*</sup>Please note that the Applicant is NOT seeking to purchase or rezone your property.

-4119 North Narragansett Avenue, Chicago, Illinois.

I, Scott Sinar, as Manager of Noble Development Group LLC, being first duly sworn under oath, depose and say that Noble Development Group LLC, holds that interest for itself and for no other person, association, or shareholder.

as Manager of Noble Development Group LLC

Subscribed and sworn to before me

this Yb th\ day of

### **Notary Public**

To Whom It May Concern:

I, Scott Sinar, as Manager of Noble Development Group LLC, the contract purchaser of the property located at 4111-4119 North Narragansett Avenue, Chicago, Illinois, authorize the Law Offices of Samuel V.P. Banks, to file a zoning amendment application before the City of Chicago for that property.

Scott Sinar as Manager of Noble Development Group.LLC

To Whom It May Concern:

as Manager of SA Loan Fund 901, LLC

\_, as Manager of SA Loan Fund 901, LLC, the owner and contract seller of the property located at 4111-4119 North Narragansett Avenue, Chicago, Illinois, authorize the Law Offices of Samuel V.P. Banks, to file a zoning amendment application before the City of Chicago for that property.

PARCEL 1THE NORTH 35 FEET OF LOT 58 IN FREDERICK H BARTLETT'S SUBDIVISION OF THE NORTH SEVEN EIGHTH OF THE WEST HALF OF THE SOUTHWEST QUARTER OF SECTION 17. TOWNSHIP 40 NORTH. RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

THE SOUTH HALF OF LOT 59 IN FREDERICK H. BARTLETT'S SUBDIVISION OF THE NORTH SEVEN EIGHTH OF THE WEST HALF OF THE SOUTHWEST QUARTER OF SECTION 17. TOWNSHIP 40 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS PARCEL 3?

THE SOUTH 28 FEET OF THE NORTH HALF OF LOT 59 IN FREDERICK H BARTLETT'S SUBDIVISION OF THE NORTH SEVEN EIGHTH OF THE WEST HALF OF THE SOUTHWEST QUARTER OF SECTION 17. TOWNSHIP 40 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS. PREPAREO FOR. BUPO LAW

Urchell and Associates, Inc. Land Surveying Services

roan h'sim
NO IMPROVEMENTS SHOULD BE CONSTRUCTED OH THE BASIS OF THS PLAT NJONE. FELO UONUWNTATION OF CHTTICAI. POINTS SHOULD BE ESTABLISHED PBOR TO COMMENCEMENT OF CONSTRUCTION. FOR BUILDING LIME AND OTHER RESTRICTIONS NOT SHOWN HEREON REFER TO YOUR DEED. ABSTRACT, TITLE POLICY, CONTRACTS AND LOCAL BUILDING AND ZONNG ORDINANCES

FIELD WORK COMPLETED Off/OB/IS

ROBERT J URCHELL LIPLS, No. 3\*39 LICENSE RENEWAL DATE" NOVEMBER 30, 2015 SURVEY No. 15-06-024

### CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING **ORDINANCE**

- 1. ADDRESS of the property Applicant is seeking to rezone:
  - 4111-4119 N. Narragansett, Chicago, IL
- Ward Number that property is located in: 38 2.
- APPLICANT: Noble Development Group, LLC 3.

ADDRESS: 1332 N. Halsted. Unit 100

CITY: Chicago ZIP CODE: 60642 STATE: JL

PHONE: (3121 782-1983 CONTACT PERSON: Nicholas Ftikas. Esq.

**Attorney for Applicant** 

4. Is the Applicant the owner of the property YES NO x
If the Applicant is not the owner ofthe property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER SA Loan Fund 901. LLC ADDRESS 7370 N. Cicero CITY Lincolnwood STATE IL ZIP CODE 60712 PHONE(312) 782-1983 CONTACT PERSON Avi Banker

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Law Offices of Samuel VP Banks

ADDRESS 221 N. LaSalle St.. 38<sup>th</sup> Floor

CITY Chicago STATE: IL ZIP CODE 60601

PHONE: (312) 782-1983 FAX: (312) 782-2433

- 6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements
  SA Loan Fund 90 L LLC Avi Banker and Ari Shulman
  Noble Development Group, LLC Scott Sinar
- 7. On what date did the owner acquire legal title to the subject property?
- 7. 2012
- 8. Has the present owner previously rezoned this property? If Yes, when? No
- 9. <u>Present Zoning: RS-2 Residential Single Unit (Detached House) District Proposed</u>

Zoning: RT-4 Residential Two-Flat, Townhouse and Multi-Unit District

10. Lot size in square feet (or dimensions?): 36,087.34 sq. ft.

Current Use of the Property: The property is currently improved with three (3) single family homes.

- 12. Reason for rezoning the property: To permit a residential development containing a total of 29 dwelling units.
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

The Applicant seeks a zoning change to permit a residential development containing a total of 29 dwelling units. The existing buildings will be razed. The proposed building will be masonry construction. The building will vary between three and five stories in height. No portion of the building will exceed 47 ft. in height. Onsite parking for a total of 40 cars will be provided. There is no proposed

File #:	O2015-5367, Versio	n: 1			
	retail component.				
14.	Ordinance (ARO) if residential housi on the lot size of the	that requires on-sing projects receivne project in quest	Council passed the Affordable te affordable housing units of e a zoning change under certion and the proposed zoning quirements Ordinance? (See	or a financial contribution tain circumstances. Based classification, is this	ation)
	YES X NO				
COU		OF	COOK	STATE	OF
			opment Group LLC, being ntained in the documents su		
Subs	cribed and sworn to	before me this			
	Notary Public				
Date	of Introduction:				
File N	Number:				
Ward	:		CITY OF CHICAGO ECO STATEMENT A		
SECT	ION I - GENERAL	INFORMATION			
A. Le	gal name ofthe Discl	osing Party submitti	ing this EDS. Include d/b/a/ if a	applicable:	
Noble	e Development Group	LLC			

**Check ONE of the following three boxes:** 

File #: O2015-5367, Version: 1				
Indicate whether the Disclosing Party s  1. [X] the Applicant OR  2. [] a legal entity holding a direct of the Disclosing OR  3. [] a legal entity with a right of content of the Disclosing Party holds a second or	or indirect interents or party holds and ontrol (see Section	est in the Appl n interest: ion II.B.l.) Sta		
B. Business address of the Disclosing	•	N. Halsted St., Chicago, IL 6		
C. Telephone: 312	2-560-1242	Fax:	Email:	scott@nobledevelopment.net
<mailto:scott@nobledevelopment.net></mailto:scott@nobledevelopment.net>	>			
D. Name of contact person: Scott Sin	ar			
E. Federal Employer Identification No	o. (if you have o	ne): ^		
F. Brief description of contract, transapertains. (Include project number and l				s the "Matter") to which this EDS
Zoning change application for the pro	perties located a	at 4111 -4119	N. Narragansett Av	ve.
G. Which City agency or department	is requesting thi	is EDS?	City Council / D	PD
If the Matter is a contract being I following:	handled by the	City's Depart	tment of Procurem	ent Services, please complete the
Specification #		and Contrac	et#	
Page 1 of 13 SECTION II - DISCLOSURE OF O	WNERSHIP I	NTERESTS		
A. NATURE OF THE DISCLOSING	PARTY			
1. Indicate the nature of the Disclos Publicly registered business corporation Privately held business corporation		on P		

Publicly registered business corporation [
Privately held business corporation [
Sole proprietorship [
General partnership (
Limited partnership Trust

[X] Limited liability company [ ] Limited liability partnership [ ]

File #: 02015 536	S7 Vareian: 1		
File #: O2015-536	or, version:		
		oint venture [] Not-for-profit coorporation also a 501(c)(3))?	orporation (Is the not-for-profit
	[] Other (please specify)	[] Yes	[ ] No
	[] o uner (preuse speens)		
2. For legal er	ntities, the state (or foreign country) of	of incorporation or organization,	, if applicable:
Illinois			
3. For legal en Illinois as a foreig	tities not organized in the State of Illi n entity?	nois: Has the organization regis	stered to do business in the State of
X] N/A			
B. IF THE DISCI	OSING PARTY IS A LEGAL ENT	TTY:	
corporations, also members." For tru If the entity is a venture, list below	the full names and titles of all execut list below all members, if any, which asts, estates or other similar entities, la a general partnership, limited partners to the name and title of each general partnership, lay-to-day management of the Disclosehalf.	n are legal entities. If there are n ist below the legal titleholder(s) ship, limited liability company, artner, managing member, mana	no such members, write "no ). limited liability partnership or joint ager or any other person or entity
Name Title			
Scott Sinar		LLC Manager	
interest (including	vide the following information concerg g ownership) in excess of 7.5% of the tership interest in a partnership or join	Disclosing Party. Examples of	_
	Pag	e 2 of 13	
similar entity. If n	per or manager in a limited liability coone, state "None." NOTE: Pursuant to nay require any such additional inform	o Section 2-154-030 ofthe Mun	icipal Code of Chicago ("Municipal
Name	Business Address	Percentage Intere	est in the

Disclosing Party

Scott Sinar 1332 N. Halsted St., #100 100%

Chicago, IL 60642

### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes KI No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Name (indicate whether **Business** retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE:

"hourly rate" or "t.b.d." is not an acceptable response.

Law Offices of Samuel V.P. Banks Attorney \$8,500.00 221N. LaSalle Street, Suite 3800

(estimated)

File #: O2015-5367, Version: 1
Chicago, IL 60601
(Add sheets if necessary)
[ ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities
SECTION V - CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [JO No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[]Yes []No

### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

### Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense,

adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of

any state or local government in the United States of America, in that officer's or employee's official capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of U.S. Department of the Treasury or the Bureau of Industry and Security of U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

### Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

File #: O2015-5367, Version:	1
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9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

None

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is |X] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

### Page 7 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1.	In accordance with S	Section 2-156-11	0 of the Municipal	Code: Does	any official or	employee ofth	e City
have a	a financial interest in	his or her own na	ame or in the name	of any other	person or entit	ty in the Matter	?
[]	Yes	W No					

NOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to Item D.L, proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes |X]No

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

. Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
  - 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the

Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made.lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above. .
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

File #: O2015-5367, Version: 1			
B. CERTIFICATION REGARD	ING EQUAL EMPLOYMENT OPPOR	TUNITY	
	federal regulations require the Applican bids or in writing at the outset of negotic		ontractors to submit the
Is the Disclosing Party the Applic	cant?		
[]Yes []	] No		
If "Yes," answer the three question	ons below:		
regulations? (See 41 CFR Part 60	d do you have on file affirmative a 0-2.) ] No	ction programs pursua	nt to applicable federa
Programs, or the Equal Employm	oint Reporting Committee, the Director nent Opportunity Commission all reports No		-
opportunity clause?	any previous contracts or subcontracts s	subject to the equal	
If you checked "No" to question	1. or 2. above, please provide an explan	ation: /s^A	A
	Page 10 of 13		
SECTION VII - COMPLIANCE, PENALTIES,	ACKNOWLEDGMENTS, , DISCLOSURE	CONTRACT	INCORPORATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon, request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

### Page 11 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, ah explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Noble Development Group, LLC
(Print or type name of Disclosing Party)

By:
/(Sign here)

Scott Sinar
(Print or type name of person signing)

LLC Manager

(Print or type title of person signing) Signed.and

sworn to before me on (date)
(state).

Notary Public.

Page 12 of 13

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section H.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes |X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 13

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

File #:	O2015-5367	. Version:	1
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1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Yes [V] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[JYes []No [V| Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

*ILIa* 

FILLING OUT THIS APPENDLX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPEND FX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing-Party submitting this EDS. Include d/b/a/ if applicable: SA Loan

Fund 901, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [X] -tho-Applicant- Property Owner

File #: O2015-5367, Version: 1		
OR		
2. [] a legal entity holding a direct or indi-	rect interest in the	Applicant. State the legal name of the
2. Applicant in which the Disclosing Party OR		
3. [] a legal entity with a right of control which the Disclosing Party holds a right of	,	L). State the legal name of the entity in
B. Business address of the Disclosing Party:	7370 N. Cicero Lincolnwood	
C. Telephone: S'V^ttl-il V ~\ Fax: 1 li-^Sl	-^S "\	Email: $aS1 \le JL(£) s \le *\sim -\$9 \bullet$
D. Name of contact person: t^POf- ^T^>cpc\-	· ifrVTfrW^l Q-g*	*_ tst^^
E. Federal Employer Identification No. (if yo	u have one):	
F. Brief description of contract, transaction of this EDS pertains. (Include project number ar		ng (referred to below as the "Matter") to which berty, if applicable):
Zoning change application for the property lo	ocated at 4111-411	9 N. Narragansett Ave.
G. Which City agency or department is reque	sting this EDS?	DPD. / City Council
If the Matter is a contract being hand complete the following:	led by the City's	Department of Procurement Services, please
Specification #	and Contra	act#
Page 1 of 13		
SECTION II - DISCLOSURE OF OWNERSH	IIP INTERESTS	
A. NATURE OF THE DISCLOSI	NG PARTY 1. Indi	icate the nature of the Disclosing Party:
Person	pq	,
Publicly registered business corporation	[]	
Privately held business corporation	[]	
Sole proprietorship	[]	
General partnership	(Is	
Limited partnership		

[]

Trust

File #: O2015-5367	, Version: 1		
Not-for-profit corporate not-for-profit co	mpany Limited liability partrepration orporation also a 501(c)(3))? er (please specify)	nership Joint venture	
2. For legal enti	ties, the state (or foreign cour	ntry) of incorporation-or orga	nization, if applicable: Illinois
3. For legal ent State of Illinois as a		te ofIllinois: Has the organizat	tion registered to do business in the
[] Yes	[ ] No	KI <sup>N/A</sup>	
B. IF THE DISCLO	OSING PARTY IS A LEGAL	LENTITY:	
corporations, also le members." For trus  If the entity is a venture, list below	ist below all members, ifiany, its, estatesor.other similar en general partnership, limited puthe name and title of each general y-to-day management of the l	, which are legal entities. If the stities, list below the legal title partnership, limited liability concral partner, managing membership	ectors of the entity. NOTE: For not-for-profit here are no such members, write "no cholder(s).  company, limited liability partnership or joint her, manager or any other person or entity hegal entity listed below must submit an
Ari Shulman		LLC Manager	
Avi Banker.		LLC Manager	
interest (including	_	of the Disclosing Party. Exam	atity having a direct or indirect beneficial mples of such an interest include shares in a
		Page 2 of 13	
	_		est of a beneficiary of a trust, estate or 2-154-030 ofthe Municipal Code of

Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the Name **Business Address** Disclosing Party

$$A < itC$$
  $Sh^{\prime} - ^{\wedge}kJ$ 

$$Lj(y c^{\wedge}w_{=g}^{}CL_(^{J}Lk^{r}$$

LOv

3TL. led") L~L

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes |X] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

### SECTION IV -- DISCLOSURE OF. SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action. ~

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3'of 13

Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, paid or estimated lobbyist, etc.) "hourly rate" or "

(indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is riot an acceptable.response.

File #: O2015-5367,	Version: 1	
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(Add sheets if nece	ssary)	
Dfl Check here if	the Disclosin	g Party has not retained, nor expects to retain, any such persons or entities.
SECTION V CE	RTIFICATIO	NS
A. COURT-ORDE	ERED CHILD	SUPPORT COMPLIANCE
-		n 2-92-415, substantial owners of business entities that contract with the City their child support obligations throughout the contract's term.
• 1	•	ndirectly owns 10% or more of the Disclosing Party been declared in arrearage by any Illinois court of competent jurisdiction?
[] Yes	IX] No	[] No. person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the pe person in complian		into a court-approved agreement for payment of all support owed and is the greement?
[] Yes	[] No	
B. FURTHER CE	RTIFICATIO	NS
1. Pursuant to	Municipal Co	de Chapter 1-23, Article I ("Article I")(which the Applicant should consult for

defined terms (e.-g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with:the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to corivmit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the-Gity, or any sister agency; and.(ii) the Applicant understands and acknowledges that compliance with Artiple;! is a continuing requirement for doing business with the City. NOTE': If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes.some five-year compliance timeframes in certification's 2 and 3 below.

Page 4 of 13

The Disclosing Party and, if the Disclosing Party is a legal, entity, all of those persons or entities

### identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarrnent^declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of of a convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal,; state or local) transaction or contract under a public transaction; a violation of federal—state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged^by, a governmental entity (federal, state or local) with committing any of the offenses set forth=-in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of;this,EBS, had one or more public transactions (federal, state or local) terminated for cause^or.default; and
- e. have not, within a five-year period preceding the date of-Jhis EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any.contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly, or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is,-with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to.do business with federal or state or local government, including the City,, using substantially the same management, ownership, or principals as the ineligible, entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with; the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Party, any Contractor or, any Affiliated Entity or any other official, agent or employee ofthe Disclosing Party, anyvContractor or any Affiliated Entity, acting pursuant to the direction or. authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agentshave, during the five years before the date this EDS is signed, or, with respect to a, Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the: five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or-local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, onbeen a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (l).bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3.),any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following Jists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security ofthe.U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with-.fhe applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-5,6 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B. (Further Certifications), the Disclosing Party must explain below:

N/A

File #	#: O	2015-	5367, \	√ersi	on:	1
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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who. were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or, (ii) food or drink provided in the course of official City business and having a retail value of lessjman,\$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the; name ofthe City recipient.

" N/A

### C. CERTIFICATION OF STATUS.AS FINANCIAL INSTITUTION?

- 1. The Disclosing Party certifies4hat the Disclosing Party (check one)
- 1. [] is [X] is not
- a "financial institution" as defined in. Section 2-32-455(b) of the, Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of bur affiliates is, and none,of them will become, a predatory lender as defined in Chapter 2-32 jof the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege bL'dping business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

File #: O2015-5367, Version: ^	File	#:	0201	15-5367.	Version:	1
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If the letters "NA," the word "None," or no response appears on the jhnes above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156<sub>T</sub>l 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the, name of any other person or entity in the Matter?

[] Yes |XJ No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.,2.-andjD.3. If you checked "No" to Item D.L, proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall, hav, e a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the, suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant-to. the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter-involve a City Property Sale?

[] Yes LX] No

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature.pf such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below of in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no. such records.
- 2. The Disclosing Party verifies that, as a result of conducting, the search in step 1 above, the Disclosing Party has found records of investments or profits from-; slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders, described in those records:

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally Tunded, complete this Section.VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheetsjf necessary):

(If no explanation appears or begins on the lines above, or if the letters, "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds, to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay-any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member pf Qongress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally, funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code pf 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- If the Disclosing Party is the Applicant, the Disclosing Party-must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party..must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the -Applicant and all proposed

subcontractors to submit the following negotiations. $[J^*]$	ng information with their bids or in writing at the outset of
Is the Disclosing Party the Applicant	?
[] Yes [] No	
If "Yes," answer the three questions	below:
1. Have you developed and do y regulations? (See 41 CFR Part 60-2.  [] Yes  [] No	ou have on file affirmative^ct-ipn programs pursuant to applicable federal )
•	Reporting Committee, the Director of the Office of Federal Contract Employment Opportunity Commission all reports due under the applicable
[] Yes [] No	
3. Have you "participated in any opportunity clause? []Yes []No	previous contracts or subcpntracts; subject to the equal
If you checked "No" to question 1. o	r 2. above, please provide an explanation:

Page 10 of 13

VII ACKNOWLEDGMENTS, **CONTRACT SECTION** INCORPORATION,

### COMPLIANCE, PENALTIES, DIS.CLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures,, and acknowledgments contained in this EDS will become part of any contract or other agreement-between-the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are materia] inducements to the City's execution of any contract or taking other actionr-with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on, which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing.Qjdinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is, available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, lLj,60610, (312) 744-9660. The; Disclosing Party must c'omply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS. is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or 'be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party Vparticipation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an awjard to the City of treble damages.
- D. It is the City's policy to make this document available to the; public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EQ.S may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. Inthe event of changes, the Disclosing Party must supplement this EDS up to. the time the City takes, action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing party must update this EDS as the contract.requires. NOTE: With respectuo Matters subject to Article fof Chapter 1-23 ofthe Municipal Co^de (imposing PERMANENT', INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge

owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

SA Loan Fund 901, LLC (Print or type name of Disclosing Party)

By:

(Sign here)



(Print or type name of person signing)

(Print or type title of person signing) Notary Public.

Page 12 of 13

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND; AFFIDAVIT

### **APPENDIX A**

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not, to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must.disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof-currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists; if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or -Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent?, .child, brother or sister, auntor uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-inrlaw,...sonrin-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the. Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having-more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers">means the president, chief operating officer, executive director,,.chief financial officer, treasurer, are secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any."Applicable Party" or any Spouse or Dqmestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes ,.|X] No

If yes, please identify below (1) the name, and title of such person, (2),the,-name of the legal entity-to. which such person is connected; (3) the name and title of the elected city official or department head tp whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

yolA

Page 13 of 13

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed

File #: O2015-5367, Version: 1	
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### by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal. Code?

### []Yes MNo

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[] Yes [] No [xj Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

\*J k

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.