



Office of the City Clerk

City Hall
121 N. LaSalle St.
Room 107
Chicago, IL 60602
www.chicityclerk.com

Legislation Details (With Text)

File #: O2015-7144
Type: Ordinance **Status:** Passed
File created: 9/24/2015 **In control:** City Council
 Final action: 10/14/2015
Title: Budget and service provider agreement for Special Service Area No. 49, South Shore/Exchange (Year 2016)
Sponsors: Emanuel, Rahm
Indexes: S.S.A. No. 49 (South Shore/Exchange)
Attachments: 1. O2015-7144.pdf

| Date | Ver. | Action By | Action | Result |
|------------|------|----------------------|-------------------------------|--------|
| 10/16/2015 | 1 | City Council | Published in Special Pamphlet | |
| 10/14/2015 | 1 | City Council | Passed | Pass |
| 10/13/2015 | 1 | Committee on Finance | Recommended to Pass | Pass |
| 9/24/2015 | 1 | City Council | Referred | |

OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

September 24, 2015

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY
OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing 2016 budgets and service provider agreements for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

ORDINANCE

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(1) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time (the "Code"); and

WHEREAS, on December 8, 2010, the City Council of the City of Chicago (the "City Council") enacted an ordinance (the "Establishment Ordinance") which established an area known and designated as City of Chicago Special Service Area Number 49 (the "Area") and authorized the levy of an annual tax, for the period beginning in 2010 through and including 2019, not to exceed an annual rate of two percent (2%) of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Special Services"); and

WHEREAS, certain funds in Fund A96 ("Fund A96") in the amount of \$8,554 are available for use in connection with the Area; and

WHEREAS, the Establishment Ordinance established the Area as that territory consisting approximately of Exchange Avenue from the south side of 71st Street to 79th Street; 75th Street from the east side of Paxton Avenue to west side of South Shore Avenue; 79th Street from the east side of Paxton Avenue to the west side of South Shore Avenue; and

WHEREAS, the Special Services authorized in the Establishment Ordinance include, but are not limited to: recruitment of new businesses to the Area, rehabilitation activities, maintenance and beautification activities, security, coordination of promotional and advertising activities, strategic planning for the Area, and other technical assistance activities to promote commercial and economic development (which may include, but are not limited to, streetscape improvements, strategic transit/parking improvement including parking management studies, and enhanced land use oversight and control initiatives); and

WHEREAS, the Establishment Ordinance provided for the appointment of the South Shore/Exchange Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has been duly appointed and qualified and has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development

2015SSA49-Levy Ord.doc

(the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as

Exhibit A and hereby made a part hereof; now, therefore

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

SECTION 2. Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

**SOUTH SHORE/EXCHANGE SPECIAL SERVICE AREA COMMISSION SPECIAL
SERVICE AREA BUDGET**

For the fiscal year commencing January 1, 2016 and ending December 31, 2016.

EXPENDITURES

Service Provider Agreement for the provision of Special Services

TOTAL BUDGET REQUEST

SOURCE OF FUNDING Tax levy at an annual rate not to exceed two percent (2%) of the equalized assessed value, of the taxable property within Special Service Area Number 49

Fund A96

Carryover funds currently available from prior tax years

Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any

2015SSA49-Levy Ord.doc

SECTION 3. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6 (a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of the Establishment Ordinance, the sum of \$449,047 as the amount of the Services Tax for the tax year 2015.

SECTION 4. Filing. The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 29, 2015, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be

extended for collection by the County Clerk for the tax year 2015 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with Far South CDC, an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 6. Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

SECTION 7. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 8. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 9. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

2015SSA49-Levy Ord.doc

EXHIBIT A

Budget

2015SSA49-Levy Ord.doc

il ll n ii iii

Pi Use

5 s

¹⁰
E E
3 CO
CA <D O

CO s TJ "5

5!

Qi £

= |

CQ 3 to o o

CN

-1 UI

II

Ed

\$, \$ S

.a E E o o <

Is

e

8 t \$ -a

S S
lils i

CITY OF CHICAGO ECONOMIC DISCLOSURE
STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

fCv Sot^i QhC.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this,EDS is: Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Parry holds an interest:

OR

3. ☒ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: ,

B. Business address of the Disclosing Party:

B-f-/8^C) 0f-./ktiiG, b

C. Telephone: hLS)^ 'JfB '< '3 Fax:rn3) Qh\ SOS*.

Email: WWlkforSetmdte-Of^

D. Name of contact person: /-fbmIX^wVl b> LCtW[

E. Federal Employer Identification No. (if you have one):,

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains (Include project number and location of property, if applicable): ,

\ULi\i*j0l Ch,cu,n m orxter r^- ' ^'idP; QotyucxA <jba^>atA tOa*i,,t ^firf

G. Which City agency or department is requesting this EDS? <bt^t;£. % PlCinrt.iXyrtncI bpuei^n\^r-b

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification #

and Contract #

Page 1 of 13

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NA'I URI: OK IT IE DISC! OSING P ARTY

1. Indicate the nature of" the Disclosing Party;

- | | |
|---|---|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held busin-esh corporation | <input type="checkbox"/> Joint venture |
| <input checked="" type="checkbox"/> Sole proprietorship | <input type="checkbox"/> vK\$<◇t-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the notrfor-profit corporation also a 501(c)!. V))" |
| <input type="checkbox"/> Limited partnership | as [JNo |
| <input type="checkbox"/> Trust | " Other (please specify) |

2. For legal entitle-;, the state (or foreign country) of incorporation or organization, if applicable-

X^Wvli

.V For legal eniities not organized in the State of Illinois. Has the organization registered to do business in the State of Illinois as a foreign entity"

1. List below the full names and titles of all executive,-officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below ali members,,if any., whiclware Legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s)

Name Title

Js*^\$v^ud,te.< ilSAti- ffr> trrf.^V^ffi

2 Please provide the following information concerning each person or entity having a direct or indirect beneficial interest in, including ownership, of the Disclosing Party's securities, of such an interest in the securities of a corporation, partnership interest in a partnership or joint venture.

Page 2 of 1 -

Chairman

Leon Walker

President

LeRoy Chalmers

Secretary

John Chenier

Treasurer

Dennis O'Vlalley

Director

Jackie Johnson-Sample Director

Major David Harvey Director

Rev. Leonardo Gilbert

Director

Phillip Snorden

Ahciliam D. Laey Executive Director

W>.:1 S I lalstd Street. Suite D ; C'hicngo. IL. 60628 I O: (773) v 11 -48.31 , F: (773) 04 |-5252 ! E

Lilt\"r/T\"arsouiheieioru

raj-NOuthetle^rg ; uuw j)annor>jHvlij!.pre

mreres' i'f\i member or manrieer m a limited liability company, or interest ol a PenefiiTary ol a tuisi. ..estate...ui:oi!ie-i--
^Miu-iar-e-nl-M-y -ll--none,; state"" No it; .!v\" V(\" ITC/PiTisVialif Lo Section 2-1 s-J-O.ifi oi the Municipal Code of
Chicago i \"Municipal Code\"), the City may require any such additional information from any applicant which is
reasonably intended to achie\le full disclosure

| Name | business Address | Percentage Interest in the Disclosing Party | : |
|---------|------------------|--|---|
| j^-GAiL | | | |

SECTION HI - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

**Has the Disclosing Party had a "business relationship;" as defineiFin Chapter !2-156 of the rVlunicipal Code,
with any City elected official in the 12: months before the date this EDS is signed?**

If yes. please identify below the name(s) of such City elected offieial(s) and describe such relationship!*)

SECTION IV' - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

■ "Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page * of 1.1

| Name (individual or entity retained or to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated) NO IF. "hourly rate" or "fixed" is not an acceptable response |
|--|------------------|--|--|
| -ki-^kUji^: | | | |

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under 'Municipal Code Section 2-92-4115, subpart 1 of business entities that contract with the City must remain in compliance with their child support obligations throughout the Contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrears on any

child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☐ No [vTNo"person directly or indirectly owns 1 0% or more of the
Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

H FURTHER CERTIFICATIONS

1 Pursuant to Municipal Code Chapter 1-23, Article 1 ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this FDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows, (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOT! . If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance time frames in certifications 2 and below

R^RSOUT44

Community Development Corporation

SSA#49 List of Retained Parties - 2016

Subcontractor 10,000

'■.'"smss>

: ,\Public Way\MainVrijjnce:
vi;?fi •'.\oj. Customer Attraction

i;5^,WVbiv«^^;C)liago, IL'60639^ .-.-.r • -^Subcontractor 10,000 |Fpf6?Bo*43i^

li

<mailto:info@farsouthcdc.org> | www.farsouthcdc.org | www.ssa45.org | www.ssa49.org | www.partnersportal.org

2.1 The Disclosing Party and, if the Disclosing Party is a legal entity, all its officers, directors, and entities

idcjljj.Cfi :.n .Set 1.0.11_1] .ii ...i O.I- till* i IDS, - - - ~"

- a. not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government.
- b. **have not, within a five-year period preceding the date of this EDS* been convicted of a criminal offense, adjudged-guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or**
contract under a public transaction, a violation of federal or state antitrust statutes, fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records, making false statements; or receiving stolen property;
- c. are not presently indicted for, or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B-2.b, of this Section V:
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. **have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions**
concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3.4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly, controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity.
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authority of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any (i) officer, nor, any Affiliated Entity of either the Disclosing Party

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-12-2(f)(K) (Living Wage Ordinance).

A, Neither the Disclosing Party. Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local (government as a result of engaging iii or being convicted of (I) bid-rigging in violation of 710 ILCS 5/33B-3; (2) bid-rotating in violation of 720 ILCS 5/0-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5 Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6, The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7 If the Disclosing Parly is unable to certify to any of the abene statements in this Part B (Further Certifications), the Disclosing Paily must explain below.

Pai.v 6 "f 1 -

the tellers- the lines above it were. The conclusion is presumed that the Disclosing Party certified to the above statements.

To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None, 1 -

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None - i. .. :

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one) [] is

It is not

a "financial institution" as defined in Section 2-32-4(b) of the Municipal Code.

2. If the Disclosing Party is a financial institution, then the Disclosing Party pledges

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-V2 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-2-455 (b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-12 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. *In accordance with Section 2-15b-1 10 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? ^.*

I 1 Yes [v^fo

NOTE: If you checked "Yes" to Item D.1., proceed to Items D 2. and D 3. If you checked "No" to Item D.1., proceed to Part E.

2. **Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (fyhelorvgs to the .City, or (ii.) is sold for taxes or assessments, or (iii) is sold by virtue of legal process afihe suit oT.fhe City (collectively. "City Property Sale"). Compensation for property tak;en pursuant tp?the: City's eminent domain power does not constitute afinancial interest within" the meaning of tfris 1*a.rtF&.**

Does the Matter involve a City Property Sale.'

[I Yes

I) No

3 If you checked "Yes" to Item D I ., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

| Name | Business Address | Nature oi'Interest |
|------|------------------|--------------------|
|------|------------------|--------------------|

4. The Disclosing Party fuither certifies that no prohibited financial interest m the Matter will be acquired by any City official or employee

I- CER I IMC VI ION REGARDING SI A>. FRY ERA BUSINFSS

Please cheek eithct I or 2 bc!o-.\ It 'he Disclosing Party checks 2 . the Disclosing Patty nuis: disclose heiou or in a:i a't.iehiri.eni :o liiix I DS all information required In paragraph 2 Failure lo

PaueHol H

comply with these diselo-unc ivo,i ore meets may make any contract entered into ye.ttli iheXity in - ■ coiVneetun\ \vVtiY
<file:///vVtiY> ;IVe Vlittei voidable hy die (.uy.

V I. The Disclosing I'ariy verifies that the Disclosing Paily has searched any and .ill recouis ol' the Disclosing I'ariy and any and

all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

7. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE. If the Matter is federally funded, complete this. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax-credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter- (Add sheets if necessary).

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A 1 above for influence or to pay any person or entity to influence an officer or employee of, my agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into, modifying, operative agreement, or to extend, continue, renew, amend or modify any federal contract, loan or cooperative agreement.

Page 16 of 21

3. The Disclosing Party certifies that either: (i) it is not an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986

but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party, in form and substance to paragraphs A.1. through A.4. above from all subcontract and the Disclosing Party must maintain for the duration of the Matter and must make such certifications promptly available to the City upon request.

B CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below;

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 C.F.R. Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation.

Page 10 of 11

SECTION 1. THE CITY OF LOS ANGELES KNOWS IF YOU ARE AN INDIVIDUAL, COMPANY OR OTHER ENTITY, DISCLOSE

The Disclosing Party is, and gives that

A.- The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement

between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

R. The City's Governmental Ethics and Campaign Financing Ordinances. Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.ciiofchicago.org/Ethics <<http://www.ciiofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics, 740 N,

Sedgwick St., Suite 201, Chicago IL 60610, (312.) 744-9660. The Disclosing Party must comply fully with the applicable ordinances

(If the City determines that any information provided in this FDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement or material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this FDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this FDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-2.1 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-2.1 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that

Page 11 of 16

■ Even if the Disclosing Party is not delinquent in the payment of any tax-administered "by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1.. F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

By:

(Print or type name of person signing) (Print or type title of person signing)

Signed and sworn to before me on (date) <-^Xep/f~ IO^ <^mY^
at C<QO^T County, IL-CLi*j>;~± (state).
otary Public.

ILL:

Commission expires:

OFFICIAL SEAL SHARONE R JOHNSON Notary Public - State of Illinois My Commission Expires Oct 5, 2017

△+u-u

■VVVV

Page 12 of 13

CITY OF C 11TCACO" ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL R E L AT IONSIII PS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section f. B. I. a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or tiny " Applicable Parly"" or any Spottse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

L | Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected, (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Panel of {}

CUT OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX 13

HITTING CODE SCOFFLAH/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only-by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). IfTs not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

- 1 Pursuant to Municipal Code Section 2-154-010, is the above a building code
 2 a building code or problem for the city?

Code"

MThe Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-116 of the Municipal Code "

- 3 If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and; the address, of the butk buildings to which the pertinent code violations apply

FILLING OF TMS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.