

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

Legislation Details (With Text)

File #: O2015-7178

Type: Ordinance Status: Passed

File created: 9/24/2015 In control: City Council

Final action: 10/14/2015

Title: Budget and service provider agreement for Special Service Area No. 64, Walden Parkway (Year

2016)

Sponsors: Emanuel, Rahm

Indexes: S.S.A. No. 64 (Walden)
Attachments: 1. O2015-7178.pdf

Date	Ver.	Action By	Action	Result
10/16/2015	1	City Council	Published in Special Pamphlet	
10/14/2015	1	City Council	Passed	Pass
10/13/2015	1	Committee on Finance	Recommended to Pass	Pass
9/24/2015	1	City Council	Referred	

OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL MAYOR

September 24, 2015

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing 2016 budgets and service provider agreements for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

ORDINANCE

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(1) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time (the ."Code"); and

WHEREAS, on December 11, 2013, the City Council of the City of Chicago (the "City Council") enacted an ordinance (the "Establishment Ordinance") which established an area known and designated as City of Chicago Special Service Area Number 64 (the "Area") and authorized the levy of an annual tax, for the period beginning in 2013 through and including 2022, not to exceed an annual rate of 2.5 percent the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Special Services"); and

WHEREAS, the Establishment Ordinance established the Area as that territory consisting approximately of Walden Parkway from 100th Street to 99th Street; 99th Street from the alley west of Walden to 1732 W 99th Street on the east; and

WHEREAS, the Special Services authorized in the Establishment Ordinance include, but are not limited to: recruitment of new businesses to the Area, rehabilitation activities, maintenance and beautification activities, new construction, security, promotional and advertising activities, strategic planning for the Area, and other technical assistance activities to promote commercial and economic development (which may include, but are not limited to, streetscape improvements, strategic transit/parking improvement including parking management studies, and enhanced land use oversight and control initiatives); and

WHEREAS, the Establishment Ordinance provided for the appointment of the Walden Parkway Special Service Area Commission (the "Commission") to advise the City Council regarding the amount ofthe Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has been duly appointed and qualified and has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as Exhibit A and hereby made a part hereof; now, therefore

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of-Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

2015SSA64-ORD.doc

SECTION 2. Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

WALDEN PARKWAY SPECIAL SERVICE AREA COMMISSION SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2016 and ending December 31, 2016.

EXPENDITURES

Service Provider Agreement for the provision of Special Services \$9,650

TOTAL BUDGET REQUEST \$9,650

SOURCE OF FUNDING Tax levy at an annual rate not to exceed 2.5 percent of the equalized assessed value, of the taxable property within Special Service Area Number 64

\$9,150

Carryover funds currently available from prior tax years \$0

Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any. \$500

SECTION 3. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6 (a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of the Establishment Ordinance, the sum of \$9,150 as the amount of the Services Tax for the tax year 2015.

SECTION 4. Filing. The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 29, 2015, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2015 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and

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extended against ail taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with Morgan Park Beverly Hills Business Association, an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 6. Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

SECTION 7. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

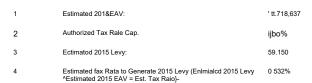
SECTION 8. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy ofthis ordinance.

SECTION 9. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

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2016 Budget and Ser	vices Summary Chica	ago Department	of Planning and D	Development				
Name:	SSA 64	SSA Chairpe	erson:	0		Aqancv Contact.	0	
Service Provider AQoncy:	Morqan Park Btfvejfy Hito Busine	ess Phone Numb	per:	0		Phone Number;	0	
SSA Tax Authority Term:	Jon. 1.2015-Dcc. 31.2015	Email:		0		Email:	0	
Date:	\ '; ••'•^4/i5'v >							
2016 BUDGET SUMMARY			2015 Levy	+ Carry Over	+	Lai* Coliocifora and Inter Mt Incotn* Th«rwn		2016 Budget
category								
1.00 Customer Attraction			»	++ 5 ++	+++++	* 500 _		S 500
				++				
2.00 Public Way Aesthetics			t 7,040	•++ S		5		\$ 7.040
3.00 Susi&Inability and Public Place	ces		5	5		5		
4.00 Eccnorruc/Ovsiriosa Develop	oment		t	«		»		*
5.00 Safety Programs			\$	S		\$		%
5-00 SSA Management			* 700	«		1		\$ 700
TJX) Personnel			S	s		\$		J
9.00 Loss Collection: 15AX			S MtO			%		S 1.410
GRAND TOTAL			S 9,150	*		\$ 500		\$ 9,650
2016 Budget ■• . LEVY ANA	ALYSIS							



2016 BUDGET & SERVICES - SIGNATURE PAGE

S5A64 SSA Chairperson Printed Name

January 1, 2016 to DocomberSI, 201\$ rpprgvod by ihe SSA Commission.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

File #: O2015-7178, Version: 1	
A. Legal name of the Disclosing Party submitting this EDS.	Include d/b/a/ if applicable:
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS i 1. the Applicant OR 2. [] a legal entity holding a direct or indirect interest in Applicant in which the Disclosing Party holds an interest OR 3. [] a legal entity with a right of control (see Section II. which the Disclosing Party holds a right of control:	the Applicant. State the legal name of the:
B. Business address of the Disclosing Party: $(0 \pounds \pounds^{\wedge} h)$	//^fWh AW ■
 C. <u>Telephone:</u>^) 114- V> ?0 Fax: D. Name of contact person: €^0^6 kOftfttrfj 	Email: (nfo & m pfcjl 1? <l, ^<="" td=""></l,>
E. Federal Employer Identification No. (if you have one):.	[
F. Brief description of contract, transaction or other undertal	king (referred to below as the "Matter") to 4 . (A
G. Which City agency or department is requesting this EDS?	\?£&CtVhfVt0 f V\cl4l/KiWj j-^fU
If the Matter is a contract being handled by the City's Dep	artment of Procurement Services, please complete the

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

File #: O2015-7178, Version: 1	
I. Indicate the nature of the Disclosing Par	ty:
[] Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	"pd^ Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	£ <jyes []="" no<="" td=""></jyes>
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign co	untry) of incorporation or organization, if applicable: fill Ay
15	
3. For legal entities not organized in the State of Illinois as a foreign entity?	tate of Illinois: Has the organization registered to do business
[] Yes [] No $Kf^{N/A}$	
B. IF THE DISCLOSING PARTY IS A LEGAL	L ENTITY:
-for-profit corporations, also list below all mem members, write "no members." For trusts, estate If the entity is a general partnership, limited partnership or joint venture, list below the name	executive officers and all directors of the entity. NOTE: For not bers, if any, which are legal entities. If there are no such as or other similar entities, list below the legal titleholder(s). Coartnership, limited liability company, limited liability and title of each general partner, managing member, manager y-to-day management of the Disclosing Party. NOTE: Each a its own behalf.
Name Title $4 \log (XA \setminus CIC \{' \mid \land 1 \mid \land t.$	
No r $^{\text{tmb&f*-}}$;;	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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morgan park beverly hills BUSINESS ASSOCIATION

Morgan Park Beverly Hills Business Association Board of Directors

Tom Gibbons, President Julie Harrigan, Vice President Megan Parrilli, Secretary George Herzog, Treasurer Beverly Lynch Joe Esselman Marcia Blake, O.D. Margie O'Connell Joan Each Rowan Donna Somerville Caroline Connors, Executive Director

10827 S. Western Ave. . Chicago, IL 60643 • (773) 779-2530 . info@mpbhba www.mpbhba.org http://www.mpbhba.org>

interest of a member or manager in a limited liability company, or interest 01 a ucucLiciai_y ui a nusi, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

File #:	$O20^{\circ}$	15-7178.	Version:	1
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Disclosing Party

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate retained or anti NOTE:		Business Address		ship to Disclo	sing f any ree		vueuier or estimate	d.)
to be	retaine	ed)	lobbyist,	etc.)	"hourly	rate"	or	"t.b.d."
is (Au	ıca^l ii	izh				not an a	acceptable 1	response.
(Add sheets if r	necessary)							
[] Check here	e if the Di	isclosing	Party has n	ot retained,	nor expects t	to retain, a	ny such p	ersons or
entities. SECTI	ON V CI	ERTIFICA	ATIONS					
A. COURT-OF	RDERED C	HILD SU	PPORT CON	MPLIANCE				
Under Municumust remain in	-		•		s of business en throughout the			n the City
Has any person arrearage on any		•	•		`	•	declared in	1
[] Yes	[]	No	M/f No p		y or indirectly o	owns 10% o	r more ofth	e
If "Yes," has the person in compl	-			oved agreemen	nt for payment	of all suppor	rt owed and	l is the
[] Yes	[]	No						
B. FURTHER	CERTIFIC	ATIONS						

the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever

defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for

been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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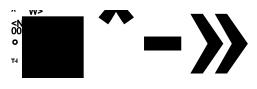
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Office of the City Clerk



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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, an oi mose persons ur eiuiuct, identified in Section II.B.l. ofthis EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date ofthis EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government. -
- 3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Amuatea tnnry oi euuei mc uis^ubmg i-aiiy or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B'(Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to

File #	t: O20)15-7	178. \	Version:	1
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City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

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[] is fecials not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

File #: O2015-7178, V e	ersion: 1	
[]Yes j^No		
NOTE: If you check proceed to Part E.	ed "Yes" to Item D.I., proceed to Item	s D.2. and D.3. If you checked "No" to Item D.L,
official or employees entity in the purchase is sold by virtue of le	shall have a financial interest in his or e of any property that (i) belongs to the gal process at the suit ofthe City (colle ant to the City's eminent domain powe	dding, or otherwise permitted, no City elected her own name or in the name of any other person or City, or (ii) is sold for taxes or assessments, or (iii) ectively, "City Property Sale"). Compensation for r does not constitute a financial interest within the
Does the Matter invo	lve a City Property Sale?	
[]Yes	[] No	
· ·	ed "Yes" to Item D.L, provide the name such interest and identify the nature of	es and business addresses of the City officials f such interest:
Name	Business Address	Nature of Interest
4. The Disclosing acquired by any City		rohibited financial interest in the Matter will be
E. CERTIFICATION	REGARDING SLAVERY ERA BUS	SINESS
	er 1. or 2. below. If the Disclosing F ment to this EDS all information require Page 8 of	

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

z/ 1. The Disclosing Party verifies that the Disclosing Party has searched any and ail records of the Disclosing Party and any and all predecessor entities regarding records of investments Or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. , List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has hot spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in

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paragraphs A.l. and A.2. above.	
<u> </u>	ner: (i) it is not an organization described in section 501(c)(4) of s an organization described in section 501(c)(4) of the Internal and will not engage in "Lobbying Activities".
and substance to paragraphs A.I. through A.4.	at, the Disclosing Party must obtain certifications equal in form above from all subcontractors before it awards any subcontract ch subcontractors' certifications for the duration of the Matter and able to the City upon request.
B. CERTIFICATION REGARDING EQUAL	EMPLOYMENT OPPORTUNITY
, , , , , , , , , , , , , , , , , , ,	ations require the Applicant and all proposed subcontractors bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?	
[] Yes [] No	
If "Yes," answer the three questions below:	
1. Have you developed and do you have federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No	re on file affirmative action programs pursuant to applicable
<u> </u>	g Committee, the Director of the Office of Federal Contract ent Opportunity Commission all reports due under the applicable
[] Yes [] No	
3. Have you participated in any previous opportunity clause?	contracts or subcontracts subject to the equal
[J Yes [] No	
If you checked "No" to question 1. or 2. above	, please provide an explanation:

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that: ~"

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period,

as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.l.. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affdiated Ehlitic"s delinquent in "paying any fine, fee, tax or other charge owed to the CiLy. This includes, but is not limited-to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their .subcontractors to use, any facility listed by the U.S. E.P:A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not:provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix. A (ifapplicable) are true, accurate and complete as of the date furnished to the City.

$$y[irrcj(M \mid >oMl \ deve^riy \mid h) \mid <> St^r/Vss \ M> \$odot)on$$
 (Print or type name of Disclosing Tarty)

$$Cmo \mid (\mid c \ Cov \mid no \mid s >)$$

(Print or type name of person signing) (Print or

type title ofperson signing)

Signed and sworn to before me on (date) at <^>o/<^ County, /LL-id0/5 (stale).

Commission expires: $IB - \frac{0}{f}$

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a hmited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE ST A rEMENT AM) AFFIDAVIT APPENDIX B

BI HJU.M, CODE SCOKFLAVV/PROBLEM LANDLORD CERTIFICATION

I his Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which ha.* a direct, ownership interest in the Applicant exceeding 7.5 percent (an "Owner"), ft is not so be completed by any legal entity which has only an indirect ownership interest in the Applicant.

' Pursuant to Municipal Code Section ?-154-010, is the Applicant or any Owner identified as a budding code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

i Yes

! f rhe Applicant is a legal cntin publicly traded on any exchange, is any officer or director the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 1-92-416 of the Municipal (.."ode?

~. 1 f ytfs to (I) or (1) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violation? apply.

FILLING OCT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT I HAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIA TED EDS, AND THAT THE REPRESENTATIONS MADE I.N THIS APPENDIX B ARE SI 'RIM I TO THE CERTIFICATION MADE UNDER PENALTY OF PFR.fTRY ON PAGE 12 OF THE ASSOCIATED EDS.