



# Office of the City Clerk

City Hall  
121 N. LaSalle St.  
Room 107  
Chicago, IL 60602  
www.chicityclerk.com

## Legislation Details (With Text)

**File #:** SO2015-8040  
**Type:** Ordinance **Status:** Passed  
**File created:** 11/18/2015 **In control:** City Council  
**Final action:** 1/13/2016  
**Title:** Zoning Reclassification Map No. 5-I at 2500-2520 W Cortland St, 1900-1924 N Campbell Ave and 2501-2531 W Homer St - App No. 18580  
**Sponsors:** Misc. Transmittal  
**Indexes:** Map No. 5-I  
**Attachments:** 1. SO2015-8040.pdf, 2. O2015-8040.pdf

Date	Ver.	Action By	Action	Result
1/13/2016	1	City Council	Passed as Substitute	Pass
1/5/2016	1	Committee on Zoning, Landmarks and Building Standards	Amended in Committee	Pass
11/18/2015	1	City Council	Referred	

**FINAL FOR  
PUBLICATION**

### ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the current CI-1 Neighborhood Commercial District and RS3 Residential Single-Unit (Detach House) District symbols and indications as shown on Map No. 5-J in the area bounded by:

West Homer Street; North Campbell Street; West Cortland Street; a line 215.50 feet west of North Campbell Street; the public alley next north of West Cortland Street; and a line 311.96 feet west of North Campbell Street

to those of a RM4.5 Residential Two-Flat, Townhouse and Multi-Unit District.

SECTION 2. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the current RM4.5 Residential Two-Flat, Townhouse and Multi-Unit District symbols and indications as shown on Map No. 5-1 in the area bounded by:

West Homer Street; North Campbell Street; West Cortland Street; a line 215.50 feet west of North Campbell Street; the public alley next north of West Cortland Street; and a line 311.96 feet west of North Campbell Street

to those of a Residential Planned Development which is hereby established in the area described above.

SECTION 3. This Ordinance shall be in force and effect from and after its passage and due publication.

Common Address: 2500 - 20 W. Cortland St./1900 Homer St.

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### RESIDENTIAL PLANNED DEVELOPMENT STATEMENTS

1. The area delineated herein as Planned Development Number \_\_\_\_\_, ("Planned Development") consists of approximately 65,536 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is owned or controlled by the Applicant, Guardian Properties, LLC.
2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assign or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

*All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part 11 approval, the submitted plans must be approved by the Department of Transportation.*

4. This Plan of Development consists of sixteen (16) Statements: a Bulk Regulations Table; an

Existing. Zoning Map; a Planned Development Boundary and Property Line Map; a Site Plan; a Landscape Plan; and Building Elevations submitted herein. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

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5. The following uses are allowed/permitted in the area delineated herein as a Residential Planned Development:

Residential Dwelling Units; parking and accessory uses.

6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted Floor Area Ratio identified in the Bulk Regulations Table has been determined using a Net Site Area of 65,536 square feet and a base FAR of 1.7.
9. The Applicant acknowledges and agrees that the rezoning of the Property from C1-1 and RS-3 to RM-4.5, and then to this Planned Development, triggers the requirements of Section 2-45-115 of the Municipal Code (the "Affordable Requirements Ordinance" or "ARO"). Any developer of a "residential housing project" within the meaning of the ARO must: (i) set aside 10% of the housing units in the residential housing project as affordable units (the "Affordable Units"), or provide the Affordable Units in an approved off-site location; (ii) pay a fee in lieu of the development of the Affordable Units; or (iii) any combination of (i) and (ii); provided, however, in higher income areas, residential

housing projects with 20 or more units must provide a minimum of 25% of the Affordable Units on-site or off-site (the "Required Units"). If the developer elects to provide Affordable Units off-site, the off-site Affordable Units must be located within a two-mile radius from the residential housing project and in the same or a different higher income area or downtown district. This Planned Development is located in a "higher income area" within the meaning of the ARO and permits the construction of 49 housing units. As a result, the number of Affordable Units is five (5) and the number of Required Units is one (1), calculated as follows:  $49 \text{ housing units} \times 10\% = 5 \text{ Affordable Units}$   $\times 25\% = 1.25$ , which is rounded down to one (1) Required Unit pursuant to Section 2-45-115(R). The Applicant has submitted, and the Department of Planning and Development ("DPD") has approved, a proposal to build the Required Unit and the other four (4) Affordable Units off-site, as set forth in the Affordable Housing Profile Form attached hereto as an Exhibit. The Applicant agrees that the Affordable Units must be affordable to households earning no more than 60% of the median household income for the Chicago Primary Metropolitan Statistical Area ("AMI") in the case of rental units, and 100% of the AMI in the case of owner-occupied units. If the Applicant subsequently reduces (or increases) the number of housing units in the Planned Development, the

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Applicant shall update and resubmit the Affordable Housing Profile Form to DPD for review and approval, and DPD may adjust the number of required Affordable Units without amending the Planned Development. Prior to the issuance of any building permits for any residential building in the Planned Development, including, without limitation, excavation or foundation permits, the Applicant must execute and record an affordable housing agreement in accordance with Section 2-45-115(K)(2). The terms of the affordable housing agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the affordable housing agreement will be recorded against the off-site location(s), or the applicable portions thereof, and will constitute a lien against such property. The Commissioner of DPD may enforce remedies for any breach of this Statement [9], including any breach of any affordable housing agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the Planned Development.

10. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
11. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and

any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.

12. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
13. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
14. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.

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15. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The applicant has agreed to secure building certification to comply with the City of Chicago's Sustainable Development Policy.

16. This Planned Development shall be governed by Section 17-13-0612 of the Zoning

16. Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the

16. Department of Planning and Development shall initiate a Zoning Map Amendment to rezone

16. the property to a RM4.5 Residential Multi-Unit District.

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RESIDENTIAL PLANNED DEVELOPMENT  
PLAN OF DEVELOPMENT BULK

## REGULATIONS AND DATA TABLE

GROSS SITE AREA = Net Site Area + Area remaining in the public right-of-way 97,931 sq. ft.

(2.24 acres) = 65,536 sq. ft. (1.50 acres) + 32,395 sq. ft. (0.74 acres) FAR 1.7

SETBACKS FROM PROPERTY LINE: In conformance

with the Site Plan

### MAXIMUM PERCENTAGE OF SITE COVERAGE/COMMON OPEN SPACE

In conformance with the Site Plan

MAXIMUM NUMBER OF DWELLING UNITS:

49

MINIMUM NUMBER OF OFF-STREET PARKING: Two per

dwelling unit

MINIMUM NUMBER OF OFF-STREET LOADING None

BICYCLY PARKING: One per unit

MAXIMUM BUILDING HEIGHT 44'

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Applicant: Guardian Properties, LLC

Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave/2501 - 31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date: December 17, 2015

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Existing Land Use Map  
Scale 1" = 100'

Applicant: Guardian Properties, LLC  
Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave./2501 -31 W. Homer St.  
Intro Date: November 18, 2015  
Plan Commission Date: December 17, 2015

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Existing 3-Story -j  
S-^ommercial,;...: 1 -. 'X Building 'fJ ."!; \_]  
Existing'2-Story , > ;?Cbmmercjal '- ."Building

West Homer Street

311.59'

Existing 2-Story ■ '. Commercial  
Building

Project Site

~ . 33.00' .. ■ centerline'  
of R.O.W



Publ]c Alley

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.Existing 3-Story 'Residential Building o-gjj'  
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Property  
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^Planned Development "Boundary .■'5 .

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Gross Site Area: 97,800sf \_Area in Right-ofrWay: 32,500sf '■■■A:?-- Net Site AreafeS.Mdsf

centerline of RO W



Existing 4-Story Residential . Buildings] |i>  
Existing 3-Story| j Residential . • Buildings j  
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"Buildings  
Existing 3 . i-h|isi<le'ntia! P. ^.;rB,u'lldings

pappagorgehaymcs partners  
architect

640N LaSalle, Suite 400  
Chicago, IL 60654  
312.337.3344 FAX 204.8988  
Property Line and PD Boundary Map  
Scale 1" =50'

Applicant: Guardian Properties, LLC  
Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave/2501 - 31 W. Homer St.  
Intro Date: November 18, 2015  
Plan Commission Date: December 17, 2015

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West Cortland Street

215.36'-  
-  
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'■;i4", ' '■'\*\*.' ■

pappageorgehaymes partners  
architect

640 N. USnile, Suite 400 Ctrtaso. tL 60654 312.337.3344 <http://312.337.3344> FAX 204.8908  
Site Plan  
Scale: v =50'.

Applicant: Guardian Properties, LLC  
Address: 2500 - 20 VV. Cortland St/l 900 - 24 N. Campbell Ave./2501 -31 W. Homer Si.  
Intro Date: November 18, 2015  
Plan Commission Date: December 17, 2015

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B^BflKjBJBJ| pappageorgehaymes  
partners ^^^^^^H architect ■BBjUill^H  
^Qf4 LgSAtic. Suito 400 ^K^E^S Chicago;  
IL 60654 ., . PJBjBSjpfipji 312.337.3344 FAX  
204.9988

Landscape Plan :  
Scale: 1" =50



Applicant: Guardian Properties, LLC Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave/2501 -  
Intro Date: November 18, 2015 Plan Commission Date: December 17, 2015

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Applicant: Guardian Properties, LLC  
Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave/2501-31 W. Homer St.  
Intro Date: November 18, 2015  
Plan Commission Date: December 17, 2015

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Address: 2500 - 20 W. Cortland St./1900 - 24 N Campbell Ave./2501 - 31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date: December 17, 2015

Department of Planning and Development  
CITY OF CHICAGO

MEMORANDUM

Alderman Daniel S. Solis  
Chairman, City Council Committee on Zoning

David L. Reifman Secretary  
Chicago Plan Commission

December 18, 2015

RE: Proposed Residential Planned Development for property generally located at 2500-20 West Cortland Street. 1900-24 North Campbell Avenue and 2501-31 West Homer Street.

On December 17, 2015, the Chicago Plan Commission recommended approval of the proposed planned development submitted by Guardian Properties, LLC. A copy of the proposed planned development is attached. I would very much appreciate your assistance in having this introduced at the next possible City Council Committee on Zoning hearing.

Also enclosed is a copy of the staff report to the Plan Commission which includes the Department of Planning and Development, bureau of Planning and Zoning recommendation and a copy of the Resolution. If you have any questions in this regard, please do not hesitate to contact me at 744-5777.

cc: Steve Valenziano  
PD Master File (Original PD, copy of memo)

121 NORTH LASALLE STREET, ROOM 1 000. CHICAGO. ILLINOIS 60602

## Chicago Plan Commission

Proposed Residential Planned Development 2500 W. Cortland Street

December 17, 2015

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A redacted signature consisting of a solid black square.

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12/17/2015

**REPORT to the  
CHICAGO PLAN COMMISSION from the  
DEPARTMENT OF PLANNING AND DEVELOPMENT  
DECEMBER 17, 2015**

**LOCATION: 2500-20 WEST CORTLAND STREET; 1900-24 NORTH CAMPBELL AVENUE; 2501-31 WEST HOMER STREET**

The Applicant, Guardian Properties, LLC is seeking approval for a Residential Planned Development in order to construct a 49 unit townhome project with accessory parking. The property is currently zoned RS-3 and C1-1 and is proposed to be rezoned to RM-4.5, prior to establishing the proposed planned development. This development is being submitted by the Applicant as an elective Planned Development, pursuant to Section 17-8-0600 of the Chicago Zoning Ordinance, because the proposed project includes at least 50% of the number of dwelling units that trigger a mandatory planned development.

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The site formerly housed an industrial facility known as the Phoenix Fastener Company. The developer has a contract to purchase the subject property.

### **SITE AND AREA DESCRIPTION**

The subject site is approximately 65,536 square feet and is bounded by West Cortland Street on the south, North Campbell Avenue on the east, West Homer Street on the north and private (residential) property on the west. An east-west public alley runs through the middle of the site between West Cortland Street and West Homer Street. The site is generally level.

The site is currently zoned RS-3(Residential Single Unit (Detached House) District) on the southern half and C1 -1 (Neighborhood Commercial District) on the northern half. The area surrounding the site to the east, south and west consists of a mix of generally residential

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zoning districts, predominantly RS-3, as well as RM-5 (Residential Multi-Unit District), RM-4.5 (Residential Multi-Unit District) and RT-4 (Residential Two-Flat, Townhouse and Multi-Unit District). To the north, the area is zoned C1-1, C2-2 (Motor Vehicle-Related Commercial District) and PD #1247. The residential land uses in the area consists of a mix of single family homes, two and three flats and multi-unit residential buildings. To the north, the land uses include commercial/light industrial buildings that once formed a small cluster of such uses adjacent to Armitage Avenue and the nearby railroad tracks.

The site is not located within either the Lake Michigan and Chicago Lakefront Protection District or a Chicago Landmark District, nor does it contain a designated local or national landmark structure or site. The project does lie within the Fullerton/Milwaukee Tax Increment Financing District and within the Logan Square Community Area.

The site is very well served by the Chicago Transit Authority's Blue Line station at Western Avenue, less than 1/2 mile from the subject site. In addition, the site is nearby to the CTA's Western Avenue (#49), Armitage Avenue (#73) and Milwaukee Avenue (#56) buses. Finally, North Milwaukee Avenue is a bike route as well.

### **PROJECT DESCRIPTION**

The project consists of the creation of four rows of townhomes separated into eight buildings, for a total of 49 dwelling units. The site plan consists of two new car courts to be located parallel and to the north and south of the public alley. This will provide for half of the townhomes to front onto West Cortland Street and West Homer Street while the other half of the units will front onto the car courts.

The units will include private outdoor space that includes 10' front yard setbacks on all of the units and individual unit roof decks, totaling approximately 22,000 square feet of private outdoor space. In addition, a 1,283 square foot common open space will be provided in between the two buildings that will front on West Homer Street. Moreover, the car courts themselves will be improved with permeable pavers and will function as an additional common open space, totaling approximately

12,000 additional square feet.

The units themselves are planned to consist predominantly of three bedroom floor plans and are planned to average approximately 2,200 square feet.

### **DESIGN/LANDSCAPING**

The townhomes are planned to be three stories each and approximately 44' in height. The materials on all elevations include fibre cement panels, brick, glass. Wooden pergolas are planned for the roof decks.

10' front setbacks are planned for all of the units either along West Homer Street, West Cortland Avenue or the internal car courts. Side setbacks of 3' are planned along the west side of the site while 5' setbacks are planned along North Campbell Avenue. Parkway trees are planned for West Homer Street, North Campbell Avenue and West Cortland Avenue in compliance with the Chicago Landscape Ordinance. Internal landscaping and trees are also planned for the units that face the internal car courts in accordance with the

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site plan.

### **ACCESS/CIRCULATION**

Vehicular access to the site is planned via the east-west public alley in the middle of the site as well as the planned car courts. The 20' wide car courts will be accessed via North Campbell Avenue via new curb cuts. Attached garage spaces will be provided for all of the units, totaling approximately 98 accessory parking spaces.

### **SUSTAINABILITY**

The project will achieve Building Certification in accordance with the City of Chicago's Sustainable Development Policy. The project will also comply with the Storm Water Management Ordinance, implementing best practices in all storm water management.

### **BULK/USE/DENSITY**

The overall Floor Area Ratio for the project is not to exceed 1.7, which is the maximum allowed under the proposed RM-4.5 (Residential Multi-Unit District) Zoning District. The Minimum Lot Area for the project is 1334, which is well above the minimum required under the RM-4.5 of 700. The planned development will allow for residential dwelling units and accessory parking. The project will trigger the City of Chicago's Affordable Requirements Ordinance and will meet the obligation of the ordinance by providing the five affordable units at off-site locations.

### **RECOMMENDATION**

The Department of Planning and Development has reviewed the project materials submitted by the Applicant and compared this proposal to existing development in the community. The area around this project consists of a mix of generally residential zoning districts and the project represents an opportunity to construct an appropriate residential infill development along West Cortland Street and North Campbell Avenue in Chicago's Logan Square Community Area. In addition, pursuant to Chapter 17-13 of the Chicago Zoning Ordinance, the proposed rezoning from RS-3/C1-1 to RM4.5 is appropriate. Specifically, the proposed development is compatible with the character of the surrounding area in terms of uses, density and building scale (17-13-0308-C) and the proposed zoning classification is compatible with surrounding zoning (17-13-0308-C).

Based on that analysis, the Department of Planning and Development has concluded that this proposal is appropriate for this site and supports this development for the following reasons:

1. The project meets the purpose and criteria set forth in Chapter 17-8 of the Zoning Ordinance and its adoption would not have any adverse impact on the public's health, safety or welfare. Specifically, this project meets the following provisions of

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Chapter 17-8:

- a. Promotes economically beneficial development patterns that are compatible with the character of existing neighborhoods (per 17-8-0103), as evidenced through the project's design and massing remaining within the context of adjacent structures; and,
  - b. Provides that adequate, inviting, usable and accessible open spaces for residents (per 17-8-0909-A-1), as evidenced by the private yards and decks as well as common open space to be located on site; and,
  - c. Promotes green design by conserving non-renewable energy and scarce materials (per 17-8-0908-A) as evidenced by the project achieving building certification for the project; and,
  - d. All sides and areas of the buildings that are visible to the public are treated with materials, finishes and architectural details that are of high-quality and appropriate for use on primary street-facing facade (17-8-0907-A-4), as evidenced through the material callouts in this report and on the elevations.
2. The project lies within the boundaries of the Fullerton/Milwaukee TIF District which was approved by the Chicago Plan Commission in 2000 and is consistent with the land use plan associated with that TIF District.
  3. The public infrastructure facilities and city services will be adequate to serve the proposed development at the time of occupancy. The proposed project has been reviewed by the Mayor's Office for People with Disabilities and the Department of Transportation and all



requested changes have been made. Copies of this application have been circulated to other City departments and agencies and no comments have been received which have not been addressed in the application.

4. The project will comply with the requirements for access in case of fire and other emergencies.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this application for a Residential Business Planned Development be approved and that the recommendation to the City Council Committee on Zoning, Landmarks and Building Standards be "Passage Recommended".

Bureau of Zoning and Land Use Department of Planning  
and Development

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DEPARTMENT OF PLANNING AND DEVELOPMENT CITY  
OF CHICAGO

**RESIDENTIAL PLANNED DEVELOPMENT 2500 WEST CORTLAND STREET; 1900-24  
NORTH CAMPBELL AVENUE; 2501-31  
WEST HOMER STREET**

### **RESOLUTION**

WHEREAS, the Applicant Guardian Properties, LLC, has submitted an application seeking approval for a Residential Planned Development; and,

WHEREAS, the Applicant intends to construct 49 dwelling unit/townhomes and accessory parking on an approximately 65,536 square foot site; and,

WHEREAS, the Applicant's request to rezone the property was introduced to the City Council on November 18, 2015; and,

WHEREAS, proper legal notice of the hearing before the Plan Commission was published in the Chicago Sun-Times on December 2, 2015. The Applicant was separately notified of

this hearing and the proposed zoning application was considered at a public hearing by this Plan Commission on December 17, 2015; and,

WHEREAS, the Plan Commission has reviewed the application with respect to the provisions of the Zoning Ordinance and finds that the proposal will be consistent with said provisions; and

WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the written report dated December 17, 2015, a copy of which is attached hereto and made a part hereof; and,

WHEREAS, the Plan Commission has fully reviewed the application and all informational submissions associated with the proposed amendment, the report and recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held on December 17, 2015, giving consideration to the Zoning Ordinance;

121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602

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**NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:**

1. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Plan Commission regarding this zoning map amendment application; and,
2. THAT this Plan Commission recommends approval to the City Council Committee on Zoning, Landmarks and Building Standards of the final zoning application dated December 17, 2015, and,

Chicago Plan Commission

RPD No.

Approved: December 17, 2015

121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602  
CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CITY OF CHICAGO ZONING ORDINANCE

ADDRESS of the property Applicant is seeking to rezone:

2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave/2501 - 31 W. Homer St.

Ward Number that property is located in:

APPLICANT Guardian Properties. LLC

ADDRESS 17 E. Monroe St., Suite 158 CITY Chicago

STATE IL ZIP CODE 60607 PHONE 312-636-6937

EMAIL [rolando@acostaegur.com](mailto:rolando@acostaegur.com) CONTACT PERSON Rolando R. Acosta

Is the applicant the owner of the property? YES NO

If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER Harris Homer, LLC

ADDRESS 2204 Iroquois Road CITY Wilmette

STATE IL ZIP CODE 60091 PHONE 312-636-6937

EMAIL [rolando@acostaegur.com](mailto:rolando@acostaegur.com) <<mailto:rolando@acostaegur.com>> CONTACT PERSON Rolando R. Acosta

If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the

following information:

ATTORNEY Rolando R. Acosta

ADDRESS Chicago Ave.. 3rd FL

CITY Chicago STATE IL< ' ZIP CODE 60642

PHONE 312-636-6937 fax EMAIL rolando@acostaegur.com  
<mailto:rolando@acostaegur.com>

If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

Brian Duggan, Stewart A. Harris Living Trust dated 12/4/2002 and Susan Harris Living Trust dated 12/4/2002

On what date did the owner acquire legal title to the subject property?\_

Has the present owner previously rezoned this property? If yes, when?

NO

Present Zoning District C1-1/RS3

Proposed Zoning District RM4.5 then to RPD

Lot size in square feet (or dimensions)\_ 65,405 sq. ft.

Current Use of the property One story vacant industrial buildings and vacant land

Reason for rezoning the property Development of three-story townhomes with rooftop enclosure and accessory parking

Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

Fifty three-story townhomes with rooftop enclosure and two parking spaces per unit and no loading berths.

On May 14", 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information)

YES

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT

SUCTION 1 - CENTRAL, INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Swirt A. Harris Uung Tnjsl  
dated

Check ONE of the following three, how;

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR

Own

2. If a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the

2. Applicant in which the Disclosing Party holds an interest: Harris Homer LLC

OR

3. If a legal entity with a right of control (see Section U.U.I.) State the legal name of the entity in  
which the Disclosing Party holds a right of control;

B. Business address of the Disclosing Party: 22FW Iroquois Road  
wamcse n, sxxi

C. Telephone: 312-635-6937 Fax: Email: robndo@3coslarjzgur.com

<mailto:robndo@3coslarjzgur.com>

D. Name of contact person: Rolfe,tlg ^ . A. co&la

II. Federal Employer Identification No. (if you have one):

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains.  
(Include project number and location of property, if applicable):

ftcgpnng anS Fteind fj&veiopment\_ for 2500 - 20 W. Cortfondl St/19P0-24 «, CsmPW AvoJ25OT\_-31 W Hxn& St.

O. Which City agency or department is requesting this EDS? DPP

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification U \_ and Contract W J

Page f of 13

ui.'oncaUur,j j

## RECERTIFICATION

GenoaJy, far t-ts wir.li <http://wir.li> City Council srwsrr!! . >V<n lixr Cily psxccrsmtuis unless lajasaoi

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SKCMON II DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURAL OF RILEY- DISCLOSING PARTY

I. Indicate the nature of the Disclosing Party;

tl Person	ll Limited liability company
[ ] Publicly registered business corporation	[ ] Limited liability partnership
i J Privately held business corporation	( ) Joi.oi venture
[ ] Sole proprietorship	{ } Not-for-profit corporation
f ] General partnership	(Is the not-for-prnfl corporation also a 501(c)(3))?
(J Limited partnership	(J Yes [ J No
W Trust	f ] Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organisation, if applicable:

ISinote

3. For legal entities not organized in the State of Illinois: Has the organization re'isicred to do business in the State of Illinois or a foreign entity?

(1 Yes ( ) No fc] N/A

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

I. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholders.

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EOS on its own behalf.

Name Title

Stewart A Harris Trustoe  
Sioan Karris Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

Page 2 of 13

interest of: \* member or manager of a partnership or interest of a beneficiary of a trust, CSV: 1c or other similar entity. If none, file "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City unity requires any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
------	------------------	---

Stewart A. Hajffe	2294 Iroquois Road, Waukegan, IL 60087	100%
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#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship.

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person

or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (!) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either advise the City whether disclosure is required or make a disclosure.

Page-3 of 13

Nature: (indicate whether retained or anticipated)	business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response <http://response>.
--	------------------	--	---

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities SECTION V -

#### CERTIFICATIONS

##### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-4 f 5, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes      No      ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☒ Yes      ☐ No

##### B. FURTHER CERTIFICATIONS

I. Pursuant to Municipal Code Chapter I -23, Article I ("Article I" (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or



charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

Page 4 of 13

2. The Disclosing Party and, if the Disclosing Party is a legal entity, nil of those persons or entities identified in Section 11.H.I. of this CDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or otherwise prohibited from any transaction by any federal, state or local government;
- b. have not, within a five-year period preceding the date of this RDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this RDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this UDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3,4 and 5 concern,

- the Disclosing Party;

\* any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members. shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

♦ any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this LIDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33IS-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

complete list of all current employees of (be Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EOS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EOS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, including food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

"

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

1. ☐ is ☐ M is not

a "financial institution" as defined in Section 2-32-455(r) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meaning's when used in this Part D.

1. In accordance with Section 2-156-1 of the Municipal Code Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

11 Yes                      fc] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items 2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[JYes (JNo

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
------	------------------	--------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may in any contract entered into with the City in connection with the Matter voidable by the City.

X t. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, taxes credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification on the last of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 301(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A. 1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below;

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE,  
PENALTIES, DISCLOSURE

-The-Disclosing-Party iindcretands airdagrecjribTic "

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with this Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution or any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this COS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at [www.cityofchicago.org/Rights](http://www.cityofchicago.org/Rights) and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

F. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes,

but is not limited to, all winter charges, Si-wer charge;, license fees, parking tickets, properly taxes or sides uxct.

I2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System (EPES) maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided truthful certification\*.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS,

#### CERTIFICATION

Under penalty of perjury, the person, signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Stewart A. Harris Living Trust dated 2/4/2002 (Print or type name of Disclosing Party)

Stewart A. Harris  
(Print or type name of person signing)

Trustee  
(Print or type title of person signing)  
Commission expires:

Page 12 of 1.1

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND  
DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-G15, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse of Domestic Partner thereof has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the



mayor, any nldcrrjun, the city clerk, the cily treasurer or any city deparuncat bead as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or v-ncic. niece or nephew, grsudparent, yrandduld, father-in-law, moibcr-in-law, son-tn-law, daitghtcr-in-law. stepfather or stepmother, stepson or stepda ughtcr, stepbrother or stepsister or lraLT-brothcr or bat f-scstcr.

^Applicable Party" oKans (1) all executive officers of flic Disclosing Party listed in Section 11.B- 1.a., if the Diselosiiyj Party is a corporation; all partners ofthe Disclosing Party, if the Dbelosin^ Party is a general partnership; ol! general partners and limited partners of tins Disclosing Party, ifthe Disclosing Parry is a litmted partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing, Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having marc thai\* n 7.5 percent ownership interest in the Disclosing Party. "Principal officers" <sup>3</sup> means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legai entity or nny person exercising similar: authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with on elected cily official or department bead?

( J Yes

DO No

If yes. please identify bdow (I) the name and title of such person, (2) the name ofthe legal entity to which such person is connected; (3) the name and title of the elected city ofOcia! or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 13

CIT Y OP CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX B

BUILDING CODE SCOFHAW/PR08LEM LANDLORD CERTIFICATION

Tin's Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in Ihc Applicant exceeding 7.5 percent («a "Owntrr"). It is oof to be completed by any legal cn tit)'which has only an indirect ownership interest in the Applicant.

1. Pursuant lo Municipal Code Section 2-154-010, is (lie Applicant or any Owner sderiufied us a building cod>': scofflaw or problem landlord pursuant io Section 2-92-416 of th« Municipal Code? '

[ | Yes

[XI No

2. If die Applicant is a Icgpl entiJy publicly traded on any exchange, is any officer or diicclor of the Applicant identified as a building code sonfflaw or problem landlord pursuant to Section 2-92-416 ofthe Municipal Code?

1 | Yes

f J No

rXJ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS. AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

envoi Chicago

ECONOMIC DISCLOSURE - STATEMENT AND AFFIDAVIT

SECTION I - CLINICAL INFORMATION

A. Legal name of the Disclosing Party submitting this CDS. Include title/b/a/ if applicable: *fuir&i-j Propnlscs. 1.1* ■<

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. X \*»<sup>c</sup> Applicant

OR

2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:

OR

3. a legal entity with a right of control (see Section 11.8.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:

ii. Business address of the Disclosing Party: 17 Iv KUmrogSuSirtic 138

C^«^IL«060?

C. Telephone: 312-636-6937

Fax:

Email: fola:idn@BO05tae^urxain

D. Name of contact person:

Rotoriq R. Acosta

li. Federal Employer Identification No. (if you have one):

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to

which this FDS pertains. (Include project number and location of property, if applicable):

PfennODj^OfsnemnHW-20\_W. CortlandStJl'iX)- 24 N. CampbellA\rs./2S01 -31 W. Homer St

G. Which City agency or department is requesting this FDS?

P<sub>pl</sub>

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification g and Contract» \_

Cage 1 of 13

## SECTION II --DISCLOSURE OP OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

L. Indicate the nature of the disclosing party:

Person	<input checked="" type="checkbox"/> Limited liability company
Publicly registered business corporation	<input type="checkbox"/> Limited liability partnership
Privately held business corporation	<input type="checkbox"/> Joint venture
Sole-proprietorship	<input type="checkbox"/> Not-for-profit corporation
Central partnership	(Is the not-for-profit corporation also a 501 (c)(3))?
Limited partnership	Yes No
Trust	Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois?

3. For legal entities not organized in the State of Illinois: Is the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

### B. II- THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal beneficiaries.

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity (but controls the day-to-day management of the Disclosing Party). NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

ntcn ftrfian Nfact\*g

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state, "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure,

Name	Business Address	Percentage Interest in the Disclosing Party
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Uuiin IJa£3Ki 171-1. Monroe St.. Suits 15S. Chicago. IL 60607		
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#### SECTION III ~ BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes ☐ No ☒

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained -or anticipated to be regained I      Russness      Address      Relationship to Disclosing Party (subcontractor., attorney, lobbyist. etc.)      Fees (ndicstcAvjvtioer-" paid or estimated.) NOTE: "hourly rale" or "t.fo.il." is not an acceptable response.-.

l«gaffif>P.. AiwS'f foO.XV. t%ieac»Are.. 3nj WU(TiicPffl. It60642      Aiiy.      ^.fiOOfcit

(Add shee-is if necessary)

Check hero if tbe Disclosing Party bas not retained, nor expects lo retain, any such persons or entities. SECTION V -

## CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415. substantial owners of business entitles that contract with the City must remain in compliance with their child support obligations througlioul the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes      x J\*\*'<>      No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into n conn-approved agreement for payment of all support owed and is the person in compliance with that agreement?

j Yes No

### B. FURTHER CERTIFICATIONS

I. Pursuant to Municipal Code Chapter I -23, Article I ("Article D(which Iho. Applicant should consult for deflated terms (c,£..., "doing business") and legal requirements), if the Disclosing Party submitting tbi EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies ns follows: (») neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of. or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or arty sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with- the City. NOTE; If Article I applies to the Applicant the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications ?, and 3 below.

2. The Disclosing Party ana. if iltc Disclosing Party is ft legal entity, all of those persons or entities identified in Seciium ll.fi <http://ll.fi>. I. of this P.DS:
- i. arc no; presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, sttic or local unit of government:
  - ii. have not, within a five-year period preceding the date of this EDS. been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract undent public transaction: a violation of federal ot stale antitrust statutes; fraud: embezzlement; theft; forgery; bribery; falsification or destruction of records; making false .statements; or receiving stolen properly;
  - c. arc: not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause 13.2 .b. of this Section V;
  - d. have not. within a five-year period preceding the date of this F.OS, hod one or more public transactions (federal. Slate or local) terminated for cause or default: and
  - c. have not. within a five-year period preceding lite dale of this F.DS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, arty State, or any other unit of local government.
3. The certifications \n subparts 3.4 and 5 concern:
- the Disclosing Party;
  - any "Contractor\*" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with lthe Manor, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is. with the Disclosing Paiiy, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership: identity of Interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially tbe same management ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it. or. with the Contractor, is under common control of another person or entity;
  - any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee ofthe Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant lo the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated-Entity of either the Disclosing Party or any Contractor nor any Agency, have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or any Affiliated Entity of a Contractor during the five year.\* before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or corruption; or a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidder or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employee's, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part (Further Certifications'), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the line above, it will be conclusively presumed that the Disclosing Party certified to the above statements,

S. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this LIDS, an employee, or elected or appointed official, of the City of Chicago. If none, indicate with "N/A" or "none".

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this LIDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include; (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

1. ☐ is ☒ is not

a "financial institution" as defined in Section 2-32-45(b) of the Municipal Code.

2. If the Disclosing Party is a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-45(b) of the Municipal Code) is a predator lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### OTC WITH REGARD TO INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part 1).



1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes                      X No   " " "

NOTE: If you checked "Yes" to Item D. E, proceed to Items 0.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (a) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

" Yes                      \* No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
------	------------------	--------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### C. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page \* of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records,

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter. (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, (ii) modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statement and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1954; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1954 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain and submit subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the AppHcn.nl <<http://AppHcn.nl>> and all proposed subcontractors to submit the following information will) their bids or in writing on the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

"" No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CER Part 60-2.)

Yes No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

•{Yes No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes \*;No

If you checked "No" to question 1- or 2. above, please provide an explanation:

Page 10 of 13

## SECTION VII ACKNOWLEDGEMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

i. The certifications, disclosures, and acknowledgments contained in this CDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

ii. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-150 and 2-154 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>. and may also be obtained from the City's Board of Ethics. 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contractor agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false

statement of material fact may include incarceration and an award to the City of treble damages,

D. It is the City's policy to make this document available to the public on its Internet site And/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page II of 13

1. The Disclosing Party is not delinquent in the payment of any taxes administered by the Department of Revenue, nor is the Disclosing Party or its Affiliated Entities delinquent in paying any taxes owed in the City, and it includes, but is not limited to, all water charges, sewer charges, and other charges, and it is not a party to any pending litigation.

2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. F.B.I. on the Federal Excluded Parties List System ("LEADS") maintained by the U.S. Office of the Inspector General.

3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any subcontractors hired or who he hired in connection with the Matter certifications equal in form and substance to those in Form IK-2 above and will not, without the prior written consent of the City, allow any such subcontractor to provide such certifications if the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party certifies to any of the items in 1, 2, or 3 above, an explanatory statement must be attached in this EDS.

Certification

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Ciarclijn Properties, LLC

(Sign here)

Urinic or lypciftic of Disclosin- Party)

Sarin Dur/s:n

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date)

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154\*015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1 j), if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO  
ECONOMIC DISCLOSURE  
APPENDIX U

BUILDING CODE VIOLATION AFFECTING THE PUBLIC HEALTH, SAFETY OR WELFARE

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant, exceeding 15 percent (an "Owner"). It is not to be completed by any legal entity which has an indirect ownership interest in the Applicant.

1. Pursuant to Chicago Code Section 2-154-010, is the Applicant or any Owner identified as a building code violator or problem landlord pursuant to Section 2-92-416 of the Municipal Code?
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code violator or problem landlord pursuant to Section 2-92-416 of the Municipal Code?
3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code violator or problem landlord and the address of the building or buildings to which the pertinent code violation applies.

BY SIGNING THIS APPENDIX B, THE APPLICANT HEREBY ACKNOWLEDGES AND AGREES THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

IN WITNESS WHEREOF,

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT

SECTION I --GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/V if applicable: Susan Harrfe living Trust

dated 12M/2002

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR ^

Owner

2. ☐ a legal entity holding a direct or indirect interest in the 'Applicant. State the legal name of the

2. Applicant in which the Disclosing Party holds an interest: ifcg-feHorr.gr <http://ifcg-feHorr.gr>\XC

OR

X ☐ a legal entity with a right of control (see Section ILfJ.L.) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 2204 Iroquois Road  
Wmear, IL 65)091

C. Telephone: 312-638-6SQ7 Pax: Email: rol5f@do@acoi.l2e2gur.co:n

D. Name of contact person: RotanboR. Acosta

E. Federal Employer Identification No. (if you have one): ,

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Joining end Planned Development for 2300 - 20 W. Cortland St 190Q~ 24 M. Campbell Ave.g501 - 3t W. rfomar St

G. Which City agency or department is requesting this EDS? DPD

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification 8 and Contract #

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SECTION II ~ DISCLOSURE OF OWNERSHIP INTERESTS A. NATURE OF THE  
DISCLOSING PARTY

1. What is the legal nature of the disclosing party?

- |   |  |
|---|--|
| <input type="checkbox"/> Person                                   | <input type="checkbox"/> Limited liability company       |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership   |
| <input type="checkbox"/> Privately held business corporation      | <input type="checkbox"/> Joint venture:                  |
| <input type="checkbox"/> Sole proprietorship                      | <input type="checkbox"/> Not-for-profit corporation      |
| <input type="checkbox"/> General partnership                      | (is the not-for-profit corporation, also a 501(c)(3))?   |
| <input type="checkbox"/> Limited partnership                      | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust                                    | <input type="checkbox"/> Other (please specify)          |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois

3. For legal entities not organized in the State of Illinois: has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☐ N/A

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Stewart A. Karp Trustee  
Sossn karris Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 1.5% of the Disclosing Party. Examples of Such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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in case of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-151-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
------	------------------	---



Susan Harris    2204 Iroquois: Ktiftrt, WihriWC?. IL 603S1

SECTION lit - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Ha\*- the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

11 Yes                      W No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

^  
"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required Or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: 'hourly rate' or 't.b.d.' is not an acceptable response.
--	------------------	--	---

(Add sheets if necessary)

1\$ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V -  
CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations, throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes      ☒ No      ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes" has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☒ Yes ☐ No

B. FURTHER CERTIFICATIONS

I. Pursuant to Municipal Code Chapter 1-23, Article 1 ("Article I") (v/h/r/h the Applicant should consult for defined ICrt nS (c.g\_ "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (I) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision, for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against no officer or employee of the City or any sister agency; and (if) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EOS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EOS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government or any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern\*:

◆ the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EOS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:-

- n. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

A. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted Of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-routing in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-routing.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Dirty List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code,

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below;

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

S. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during, the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink, provided in the course of official City business and having a retail value of less than \$25 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient

None ~

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

1. It is ☐ DtJ is not ☐

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the Disclosing Party tells "NA.." the word "None," Or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms (that are defined in Chapter 2-156 of the Municipal Code) have the same meanings when used in this Part D.

1. In accordance with Section 2-156-1 JO of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☐ No

NOTE: If you checked "Yes" to Item D. 1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials Or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
------	------------------	--------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Mailer will be acquired by any City official or employee.

fi. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose the following in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X-J- The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to Slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI \_ CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the tenors "NA" or if the word "None\*" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 19° 5 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which (here occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B, CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

**SECTION VII - ACKNOWLEDGMENTS, CONTRACT INFORMATION, COMPLIANCE, PENALTIES, DISCLOSURE**

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at [www.ciyofofchicago.org/Ethics](http://www.ciyofofchicago.org/Ethics) and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610. (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:



F. ( The Disclosing Party is not delinquent in the payment of any tax administered by the Highway Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any -fmc.Tee,-rax-o^oibcr-Ghargc-owe scwcrcharg.es <<http://scwcrcharg.es>>, license fees, parking, tickets, property taxes or sales taxes.

P.?. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliate\*! entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. On the federal Excluded Parties List System (EPLS) maintained by the U. S. General Services Administration.

PJ If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Master certification: equal in form and substance to those in P.I. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in p. I., F.2. or F.3. above, an explanatory statement must be attached to this BDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and Statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Susan Harris Uvltfi Trust dated 12/4/2052 (Print or type name, of Disclosing Party)

\*r--^r fffiW  
(Signature)

StsvmrA. Hsmc =  
(Print or type name of person signing)

Trestee  
(Print or type title of person signing)

Signed and sworn to before me on (date) ( 1W>^ - "County. Tt( iftai S

Commission expires: Sf S

, (state). Notary Public.

GAFUCAKDSA WOTWW TOELtt. STtATEOF UliKXS

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, mother-in-law, father-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1-a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a familial relationship with an elected city official or department head?

☐ Yes

☐ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

Tin's Appendix: is to be completed only by (a) the Applicant, and (b) any legal entity having ownership in, or control of, the Applicant exceeding 7.5 percent (ah "CKvner^>. If is not to be completed\* by any legal entity which has only an indirect ownership, interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Chicago Municipal Code?

☐ Yes ☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a, if applicable: Karris Momer LLC

Check ONE of the following boxes:

Indicate whether the Disclosing Party submitting this EDS is: 1. ☒ the Applicant;  
Owner OR

- 2- (1 a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest;  
OR

3. ☐ a legal entity with a right of control (see Section 11.0.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:

**I). Business address of the Disclosing Party:** 22(W Iroquois Rpgd  
VWlrneae, IL 60091

C. **Telephone: 317.-e38-Sfl37** **Fax:** **Email: re^ndp^j3taeagur.cc>n**

D. Name of contact person: Rot3<fo R. Accsto

E. Federal Employer Identification No. (if you have one):

F. Brief description of contract, transaction or other undertaking, (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Ratonifta.and PtanneaOovctonrrientfor 2500 - 20 W Cortland\$171900-7A NL CampbellAvc.r25T>1 -31W. Homer St

**O. Which City agency or department is requesting this EDS? DPP**

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

*Specification & Contract U*

Page I of 13

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS A. NATURE OF THE  
DISCLOSING PARTIES

-I.-tad ica te-the-on lure-o f-lhe-Disc I usingParty:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Person                        | <input checked="" type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership        |
| <input type="checkbox"/> Privately held business corporation      | <input type="checkbox"/> Joint venture                        |
| <input type="checkbox"/> Sole proprietorship                      | <input type="checkbox"/> Not-for-profit corporation           |
| <input type="checkbox"/> General partnership                      | (Is the not-for-profit corporation also a 501(c)(3))?         |
| <input type="checkbox"/> Limited partnership                      | <input type="checkbox"/> Yes <input type="checkbox"/> No      |
| <input type="checkbox"/> Trust                                    | <input type="checkbox"/> Other (please specify)               |

2. For foreign entities, list State (or foreign country) of incorporation or organization, if applicable:

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of

Illinois as a foreign entity?

UY«s

NNo foJN/A

**B, IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

■ Stewart A Harris

Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve, full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Stewart A Harris	Uvinj Trust dalad 12W2002 2204 Iroquois Rd., Wilmette, IL 60091	50% Susan Harris
Living Trust of	12/4/2002 2204 Iroquois Rd., Wilmette, IL 60091	50%

**SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS**

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

I 1 Yes

M No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV-DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

*Page 3 of 13*

Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add Sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### SECTION V - CERTIFICATIONS

##### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-4 J 5, substantial owners of business entities that contact with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrears on any child support obligations by any Illinois court of competent jurisdiction?

Yes

No

No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Ycs.V has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[JYes            f JNo

## B. FURTHER CERTIFICATIONS

1. Pursuant to .Municipal Code Chapter 1 -23, Article I ("Article r^whjch the Applicaat should consult for defined tarns (e.g., "doing business") and legal requirements), if the Disclosing Party submitting ibis EDS is the Applicant and is doing business with the City, then rite Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is'currently indicted or charged with, or has admitted guilt of, or has ever been convicted of. or placed under supervision for, any criminal offense involving, actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty of deceit against an oftreer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article f applies to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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2. The Disclosing Party and. il\* the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.I. of this liO.S:

- a. arc not presently debarred, suspended, proposed for debarment, declared ineligible-or voluntarily excluded from any transactions by any federal, slate, or local unit of government:
- b. have not, v/ithin a five-year period preceding ihc dale of diis EDS. been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection -with: obtaining, attempting to obtain, or performing a public (federal. Slate or local) transaction or contract under a public transaction: a violation of federal or state antitrust statutes: fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records: making false statements: or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, stale or local) with committing any of the offenses set forth in clause B.2.b. of this Section V:
- d. have not, within a five-year period preceding the date of this BPS, had one or more public transactions (federal, state or local) terminated for cause or default: and
- c. have not, within a five-year period preceding the date of this BOS. been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3,4 and 5 concern;

\* the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with lire Matter, including

but not limited to all persons or legal entities disclosed under Section IV. "Disclosure of Subcontractors and Other Retained Parties");

- ♦ any 'Affiliated Entity' (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation; interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity:
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Counselor, nor any Affiliated Entity of either the Disclosing Party or any Contractor or any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the -Matter: " ; 1 ,

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-5)2-610 (Living Wage Ordinance).'

A. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specialty Designated Nationals List, the Denied Persons List; the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.



7. If the Disclosing Party is unable to certify to any of the above statements in this Part 13 (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a Complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

Mono

"~" ~ ~"~ ~

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this LIDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

1. [ ] is                      Dfl is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional

pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

**J ■ In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?**

[JYes {<]No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

(JYes [JNo

3. If you checked "Yes" to Item D.1 provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	business Address	Nature of Interest
------	------------------	--------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may rescind any contract entered into with the City in connection with the Matter voidable by the City.

\* I- The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records;

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

L List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A. 1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement. Or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the following questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII - ACKNOWLEDGMENTS. CONTRACT INCORPORATION. COMPLIANCE.  
PENALTIES. DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances. Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1, F.2. or F.3. above, an explanatory statement must be attached to this EDS-CERTIFICATION"

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that the certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Hams Keener LLC

(Print or type name of disclosing Party)

By:           /            
(Signature)

Stewart A. Hams, Jr.      Notary Public

(Print or type name of person signing)

Manager, H&K, LLC  
(Print or type title of person signing)

Signed and sworn to before me on (date) 11/11/2015 at QJ&GJL County.  
Notary Public

Notary Public.      GALLC1NDE1A  
NOTARY PU33JC - SWTE OF JHDJS

Commission expires: 12/31/2016

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**City of Chicago**  
**ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this BDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1 a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☐ No

If yes, please identify below (1) the name and title of such person. (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX B

BUILDING CODE VIOLATION COMPLIANCE CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any entity which has a direct ownership interest in the Applicant exceeding 75 percent; (fin. "0\vrjcr\*L it is not fo.be <http://fo.be> completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code violator or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer, director, or officer of the Applicant identified as a building code violator or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

Yes

No

Not Applicable.

3. If the Applicant or any Owner identified as a building code violator or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

KILLING OVERTTBS APPKPDJQt II CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF THE ASSOCIATED BUS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.