

### Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

### Legislation Details (With Text)

File #: SO2015-8490

Type: Ordinance Status: Passed

File created: 12/9/2015 In control: City Council

**Final action:** 4/13/2016

Title: Zoning Reclassification Map No. 1-G at 820-850 W Lake St, 200-208 N Green St and 201-209 N

Green St - App No. 18605

**Sponsors:** Misc. Transmittal

Indexes: Map No. 1-G

**Attachments:** 1. SO2015-8490.pdf, 2. O2015-8490.pdf

Date	Ver.	Action By	Action	Result
4/13/2016	1	Committee on Zoning, Landmarks and Building Standards	Recommended to Pass	
4/13/2016		City Council	Passed as Substitute	Pass
4/5/2016	1	Committee on Zoning, Landmarks and Building Standards	Substituted in Committee	
1/20/2016	1	Committee on Zoning, Landmarks and Building Standards	Held in Committee	Pass
12/9/2015	1	City Council	Referred	

FINAL FOR PUBLICATION

#### **ORDINANCE**

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That the Chicago Zoning Ordinance be amended by changing all of the Cl-1 Neighborhood Commercial District symbols and indications as shown on Map l-G in the area bounded by:

West Lake Street; a line 50.4 feet east of and parallel to North Green Street; the public alley north of and parallel to West Lake Street; North Green Street; a line 100 feet north of and parallel to West Lake Street; a line 125 feet west of and parallel to North Green Street; a line 110.23 feet north of and parallel to West Lake Street; and a line 200 feet west of and parallel to North Green Street;

to those of a C3-5 Commercial, Manufacturing and Employment District.

SECTION 2. That the Chicago Zoning Ordinance be amended by changing all of the C3-5 Commercial, Manufacturing and Employment District symbols and indications as shown on Map I-G in the area bounded by:

West Lake Street; a line 50.4 feet east of and parallel to North Green Street; the public alley north of and parallel to West Lake Street; North Green Street; a line 100 feet north of and

parallel to West Lake Street; a line 125 feet west of and parallel to North Green Street; a line 110.23 feet north of and parallel to West Lake Street; and a line 200 feet west of and parallel to North Green Street;

to those of a Business Planned Development.

SECTION 3. This Ordinance shall be in force and effect from and after its passage and publication.

820-850 West Lake Street, 200-208 North Green Street, 201-209 North Green Street Chicago. IL 60607

# BUSINESS PLANNED DEVELOPMENT NO. PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Planned Development Number TBD, ("Planned Development") consists of approximately 25,619 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property"). 200 Green Developer LLCis the "Applicant" for this planned development pursuant to authorization from the Property owners.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 ofthe Chicago Zoning Ordinance (the "Zoning Ordinance"), the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Chicago Department of Transportation ("CDOT") on behalf of the Applicant or its successors, assigns or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the

Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Department of Planning and Development ("DPD") and CDOT. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of CDOT.

All work proposed in the public way must be designed and constructed in accordance with CDOT Standards for Work in the Public Way and in compliance with the Municipal Code of the. City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by CDOT.

- 4. This Plan of Development consists of these 15 Statements; a Bulk Regulations and Data Table and the following exhibits and plans attached hereto prepared by GREC Architects and dated March 17, 2016 (the "Plans"): an Existing Zoning Map; an Existing Land-Use Map; a Planned Development Property and Boundary Line Map; a Site Plan; a Landscape/Green Roof Plan; Building Elevations (North, South, East and West). In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereof, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.
- 5. The following uses are permitted in the area delineated herein as a Business Planned Development: Hotel/Motel, General and Limited Restaurant, Tavern, Outdoor Patio (including beverage and liquor sales and service at rooftop and at grade), Small and Medium Venues, Banquet or Meeting Halls, Food

Applicant: 200 Green Developer LLC

Address: 820-850 West Lake Street, 200-208 North Green Street, 201 -209 North Green Street

Chicago, IL 60607 Introduced:

December 9, 2015 Plan Commission: March 17,

2016

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and Beverage Retail Sales, Liquor Sales, Medical Service, Office, High Technology Office, Personal Service, Participant Sports and Recreation, incidental and accessory uses and accessory parking.

- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of DPD. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("TAR") for the Property shall be in accordance with the attached Bulk Regulations and Data Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using a Net Site Area of 25,753 square feet and an FAR of 5.0.
- 9. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by DPD. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.

- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by DPD. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13 -32-125 of the Municipal Code, or any olher provision of that Code.
- 12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The Applicant shall seek LEED Silver certification (or equivalent alternative certification, such as Energy Star) and provide a green roof equivalent to an actual total of 52,000 square feet for the building.

Applicant: 200 Green Developer LLC

Address: 820-850 West Lake Street, 200-208 North Green Street, 201 -209. North Green Street

Chicago, IL 60607 Introduced:

December 9, 2015 Plan Commission: March 17, 2016

15. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of DPD shall initiate a Zoning Map Amendment to rezone the property to the C3-5 Commercial, Manufacturing and Employment District.

Applicant: 200 Green Developer LLC

Address: , 820-850 West Lake Street, 200-208 North Green Street, 201 -209 North Green Street

Chicago, IL 60607 Introduced:

December 9, 2015 Plan Commission: March 17,

2016

#### BUSINESS PLANNED DEVELOPMENT NO.

### **BULK REGULATIONS AND DATA TABLE**

Gross Site Area (si): 38,226

Area of Public Rights-of-Way (sf): 12,473

Net Site Area (sf): 25,753

Maximum Floor Area Ratio: 5.0

Maximum Floor Area (sf):

SubareaA: 119,155

SubareaB: 9,610

Minimum Off-Street Parking Spaces: 42

Minimum Off-Street Loading Spaces: 1 (10'x25')

Maximum Hotel Keys: Maximum Building Height: Minimum Setbacks:

190

149"-0"

In conformance with the Plans

Applicant: 200 Green Developer LLC

Address: 820 850 Wesl Lake Street, 200-208 North Green Street, 201 -209 North Green Street

Chicago, IL 60607 I ntrod uced: Decern ber

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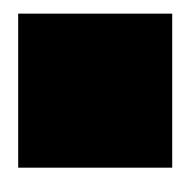
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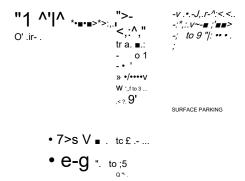
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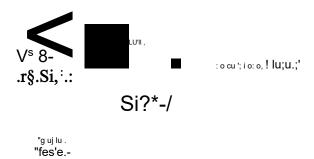
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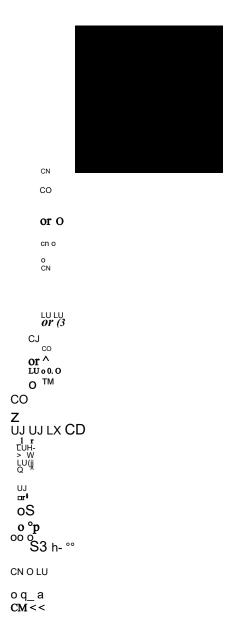
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Department of Planning and Development
CITY OF CHICAGO

TO:

**MEMORANDUM** 

Alderman Daniel S. Solis

FROM:

Chairman, City Council Committee on Zoning David L. Reifman Secretary Chicago Plan Commission

March 18, 2016

RE: Proposed Business Planned Development for property generally located at 820-850 W. Lake Street, 200-208 N.Green Street.

On March 17, 2014, the Chicago Plan Commission recommended approval of the proposed planned development submitted by 200 Green Developer LLC. A copy of the proposed planned development is attached. I would very much appreciate your assistance iii having this introduced at the next possible City Council Committee on Zoning hearing.

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Also enclosed is a copy of the staff report to the Plan Commission which includes the Department of Planning and Development, bureau of Planning and Zoning recommendation and a copy of the Resolution. If you have any questions in this regard, please do not hesitate to contact me at 744-5777.

cc: Steve Valenziano

PD Master File (Original PD, copy of memo)

12] NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602

### City of Chicago Plan Commission

March 17, 2016

Proposed Business Planned Development 200-208 North Green Street

EXISTING ZONING MAP

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200-208 N GREEN ST.

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SITE & CONTEXT PHOTOS

200-208 N GREEN ST.

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	PROPOSED HOTEL SITE					
	200-208 GREEN ST.					N
-   G R E C ARCHITECTS	GREEN ST.					
Oiujg 2 STORY COMMERCIAL	.uSsi   BUILDING I, 'g?    [EXISTING] { I, i	I (GMOSE) I				

" WEST LAKE STREET

SITE PLAN . LANDSCAPE PLAN & GROUND FLOOR PLAN

200-208 N GREEN ST.

WEST ELEVATION

200-208 N GREEN ST.

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ARCHITECTS

PERSPECTIVE RENDERINGS - LOOKING NORTH

200-208 N GREEN ST.

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PERSPECTIVE RENDERINGS - LOOKING SOUTHWEST

200-208 N GREEN ST.

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PERSPECTIVE RENDERINGS - STREETVIEW LOOKING SOUTH

200-208 N GREEN ST.

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REPORT FINAL TO THE CHICAGO PLAN COMMISSION FROM

THE DEPARTMENT OF PLANNING AND DEVELOPMENT

MARCH 17, 2016

FOR APPROVAL: BUSINESS PLANNED DEVELOPMENT

APPLICANT: 200 GREEN DEVELOPER LLC

LOCATION: 820-850 W. LAKE STREET, 200-208 N. GREEN STREET,

**201-209 N. GREEN STREET** 

Pursuant to the provisions of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, the Department of Planning and Development hereby submit this report and recommendation on a proposed Business Planned Development for your review and recommendation to the Chicago City Council. The application for the amendment to the Chicago Zoning Ordinance was introduced into the City Council on December 9, 2015. Proper legal notice ofthe public hearing on the application was published in the Chicago Sun Times on March 2, 2016. The Applicant was separately notified of this hearing.

This application is submitted as a mandatory planned development pursuant to section 17-8-0512-B (Tall Buildings) which requires planned development review and approval for any building in a C3-5 Zoning District that meets or exceeds 80 feet.

### SITE AND AREA DESCRIPTION

EXISTING LAND-USE MAP

The project consists of two rectangular shaped lots containing a total of 25,619 square feet and is bounded on the north by a 3-story commercial building and a public alley, on the east by 3-story office building, on the south by W. Lake Street and on the west by a 2-story masonry building.

### PROJECT DESCRIPTION AND BUILDING DESIGN

The applicant proposes to rezone the site from a C1-1 (Neighborhood Commercial District) to a C3-5 (Commercial, Manufacturing and Employment District) prior to establishing a Business Planned Development. The proposal will establish a multi-story hotel with 190 keys, ground floor retail, restaurant, accessory parking and accessory and related uses. The 12-story hotel building is defined by a three (3) story masonry base accentuated with a steel canopy for the hotel entrance and a storefront at the ground floor of the building. The tower portion of the building sets back at the third floor and is defined by an enlarged architectural windows and metal cladding.

#### Rendering:

#### ACCESS/CIRCULATION

Access for vehicular traffic is provided via West Lake Street and leads to 42-accessory parking spaces. The public alley allows access to (2) 10'x 25' loading berths located at the rear of the building. Access for pedestrians to the hotel occurs through a hotel entry lobby located along North Green Street; entrance for retail patrons is located on West Lake Street and North Green Street.

, LOADING

### LANDSCAPING and SUSTA1NABILITY

The applicant must comply with the City of Chicago's Landscape Ordinance. In addition the proposed building

is required to achieve building certification and provide a 50% green roof as defined by the City of Chicago Sustainable Matrix.

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GREEN ROOF AREA
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PROJECT TO BE LEED SILVER
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GREEN ROOF PLAN

#### **BULK/USE/DENSITY**

#### Reference attached Bulk and Data Table Exhibit.

#### RECOMMENDATION

The Department of Planning and Development has reviewed the project materials submitted by the Applicant and have concluded that the proposed development would be appropriate for the site for the following reasons:

The project complies with the Standards and Guidelines for Planned Developments in the Zoning Ordinance (Section 17-8-0900).

- 17-3-0400 Bulk and Density standards. The proposed Planned Development would not increase the maximum Floor Area Ratio (F.A.R.) stipulated under the C3-5 District but would remain consistent with the surrounding community. This project will have similar uses as the surrounding areas, which include lodging, commercial and retail uses, and accessory parking spaces.
- 17-8-0908 Green Design: The proposed hotel building shall be required to achieve basic L.E.E.D
  certification and provide a 50% Green Roof over the net roof area to satisfy the requirements of the
  City of Chicago's Sustainable Guidelines.
- 3. 17-8-0905 Pedestrian Orientation: Primary pedestrian entrances are located at the sidewalk level and allow unimpeded pedestrian flow along N. Green Street and West Lake Street. Furthermore the entire street frontage ofthe building along N. Green Street and W. Lake Street is enlivened by a storefront system looking directly onto the street.
- 4. 17-8-0904 Transportation, Traffic, Circulation and Parking: Motor vehicle parking would be accessed

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from West Lake Street and an overhead door leading to a ramp allows vehicular traffic into the parking levels. The parking will be screen via a series of architectural windows.

5. 17-8-0901 Use, Bulk, Density and Intensity: The proposed development is compatible with the character ofthe surrounding area in terms of uses, density, and building scale. The proposed Planned Development would be consistent in bulk, density, and Floor Area Ratio (F.A.R.) with the surrounding area. The proposed project will remain with a maximum Floor Area Ratio (F.A.R.) to 5.0. The height ofthe proposed 12-story (150') hotel building will be consistent and is within the context of the surrounding area.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that the revised application for a Planned Development be approved and that the recommendation to the City Council Committee on Zoning, Landmarks and Building Standards is: "As Amended, Passage Recommended."

Department of Planning and Development

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**FINAL** 

## DEPARTMENT of PLANNING and DEVELOPMENT . CITY OF CHICAGO

## RESOLUTION BUSINESSS PLANNED DEVELOPMENT 820-850 W. LAKE STREET, 200-208 N. GREEN STREET, 201-209 N. GREEN STREET

- WHEREAS, the applicant, 200 GREEN DEVELOPER; LLC, proposes to establish a Planned Development. The applicant proposes to rezone the site from a C1-1 (Neighborhood Commercial District) to a C3-5 (Commercial, Manufacturing and Employment District) prior to establishing a Business Planned Development. The proposal wiii establish a 12-story hotel with ground floor retail, restaurant, and accessory parking and accessory and related uses; and
- WHEREAS, This development is being submitted by the applicant as a mandatory planned development application and an application for a Planned Development was introduced to the City Council on December 9, 2015; and
- WHEREAS, proper legal notice of the hearing before the Chicago Plan Commission was published in the Chicago Sun-Times on March 2, 2016. The proposed Zoning Application was considered at a public hearing by this Plan Commission on March 17, 2016. The Applicant was separately notified of this hearing; and
- WHEREAS, the Plan Commission has reviewed the applications with respect to the provisions of the Chicago Zoning Ordinance and finds that the proposal will be consistent with said provisions; and

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- WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the written report dated March 17, 2016, a copy of which is attached hereto and made a part hereof; and
- WHEREAS, the Chicago Plan Commission has fully reviewed the application and all informational submissions associated with the proposed amendment, the report and recommendation of the Commissioner of the Department of Planning and Development, and all other testimony presented at the public hearing held on March 17, 2016 giving due and proper consideration to the Chicago Zoning Ordinance; and

121 NORTH LASALLE STREET. ROOM 1000, CHICAGO ILLINOIS 60602

**FINAL** 

## NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 1. THAT the final application dated March 17, 2016 be approved as being in conformance with the provisions, terms and conditions of the corresponding Zoning application; and
- 2. THAT this Plan Commission recommends approval to the City Council Committee on Zoning, Landmarks and Building Standards of the final zoning application dated March 17, 2016; and
- 3. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Chicago Plan Commission regarding the zoning map amendment for a Business Planned Development application.

Martin Cabrera, Jr. // Chairman Chicago Plan Commission

Approved:

March 17, 2016 BPD No.

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**AMENDED** 

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

File #:	SO2015-8490, <b>Vers</b>	sion: 1		
1.	ADDRESS of the pro	operty Applicant is seeking to rezo	one:	
	820-850 West Lake S	Street. 200-208 North Green Stree	t, 201-209 North Green Street Chicago. IL	
	60607			
2.	Ward.Number that pr	roperty is located in: 27th Ward		
3.	APPLICANT 200 C	Green Developer LLC		
	ADDRESS 917 W	V. Washington Blvd. #308	_	
	CITY Chicago	STATE IL	ZIP CODE 60607	
	PHONE 312-690-4	1050 EMAIL jshapack@shapack.c	om <mailto:jshapack@shapack.com> CONTACT PERSON Jeff</mailto:jshapack@shapack.com>	
	<u>Shapack</u>			
	Is the applicant the o	owner of the property? YES	NO X	
If the applicant is not the owner of the property, please provide the following information regarding the owner and				
	attach written authorization from the owner allowing the applicant to proceed.			
	OWNER Please see attached Exhibit A			
	ADDRESS			
	CITY	STATE	ZIP CODE	
	PHONE	EMAIL	CONTACT PERSON	
4.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:			
	ATTORNEY	Rich Klawiter & Katie Jahnke	Dale - DLA Piper LLP (US')	

ADDRESS 203 N. LaSalle Street. Suite 1900

CITY Chicago STATE ΓL

PHONE (312) 368-7243/-2153

## ZIP CODE 60601 FAX (312)251-2856

EMAIL Richard.klawiter@dlapiper.com <mailto:Richard.klawiter@dlapiper.com> / katie.dale@dlapiper.com <mailto:katie.dale@dlapiper.com>

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If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements:

See attached Economic Disclosure Statements

On what date did the owner acquire legal title to the subject property? Various dates between 1982

and 2013

Has the present owner previously rezoned this property? If yes, when? No

Present Zoning District Cl-1 Neighborhood Commercial District

Proposed Zoning District C3-5 Commercial, Manufacturing and Employment District then Business

Planned Development

Lot size in square feet (or dimensions) +/- 25.753 square feet

Current Use of the Property Commercial

Reason for rezoning the property Mandatory Planned Development pursuant to Section 17-8-0512 (Tall Buildings)

Describe the proposed use ofthe property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

The Applicant requests a rezoning ofthe subject property from the Cl-1 Neighborhood Commercial District to the C3-5 Commercial. Manufacturing and Employment District then to a Business Planned Development to permit the construction of an approximately 11-story plus penthouse hotel with ground floor commercial and retail uses, accessory parking and accessory and incidental uses.

The Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO <a href="http://www.cityofchicago.org/ARO">http://www.cityofchicago.org/ARO</a> for more information). Is this project subject to the ARO?

#### NO X

(DO NOT SUBMIT THIS PAGE WITH YOUR HDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

## RECERTIF1CATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Planned development approval for 820-850 W. Lake St., This recertification is being submitted in connection with 200-208 N. Green St., and 201-209 n. Green St.

[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf ofthe Disclosing Party, (2) warrants that all

certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of

this recertification, and (3) reaffirms its acknowledgments.

Shapack Investments LLC Date" ^^ ""

(Print or type legal name of Disclosing Party)

By

Print or type name of signatory:

Jeffrey Shapack

File #: SO2015-8490, Version: 1

Title of signatory:

Manager

U2

Signed and sworn to before me on [date] 3 ""3- ~~ (JfffrfXj Pfrflfft/1^, at jCMK- County

14

Notary. Public.

Commission expires:

[state].

LINDSEY RIDGWAY OFFICIAL SCAL Notary Public. State of illincis My Commission Expilet August 20. 2019

Ver. 11-01-05

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:

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Indicate whether the Disclosing Party submitting	g this EDS is:				
1. [] the Applicant OR	1. [] the Applicant				
	2. \$ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: ^Qf) &f£JWl. UPAofiPr LUC >				
3. [] a legal entity with a right of control (see which the Disclosing Party holds a right of co	· ·	the legal name of the entity	in		
B. Business address of the Disclosing Party:					
C. Telephone: ftl^- Lo^Q- 4ft/Q Pax:		Email: <sub>t</sub> j ^a^cX0JC/7> ^	\S^^-c()(Y		
D. Name of contact person: Ckffff/j ^^JtjItXLil0	C				
E. Federal Employer Identification No. (if you h	ave one): j	1*11 /\			
F. Brief description of contract, transaction or ot EDS pertains. (Include project number and locati	• •		") to which this		
plMAM fo.u/bfrtesvt AjffflaJ for 4,00- 0.	01 m.fofc^gV.				
G. Which City agency or department is requesting	ng this EDS? J/fcft jj	) $f^aKYI$ A- $tt^eXn^a$	ffICO V		
If the Matter is a contract being handled by the following:	e City's Department of	Procurement Services, ple	ase complete the		
Specification #	and Contract #		±		
Page I of 13					
SECTION II DISCLOSURE OF OWNERSH	IP INTERESTS				
A. NATURE OF THE DISCLOSING PARTY 1	1. Indicate the				
nature of the Disclosing Party:					
Person	hcj Limited liabili	ty company			
Publicly registered business corporation	[] Limited liability	y partnership			
Privately held business corporation	[] Joint venture				
Sole proprietorship	[] Not-for-profit c	-	\ <b>(3</b> \\ )		
General partnership	•	fit corporation also a 501 (c	c)(3))?		
Limited partnership	[] Yes [] No	ogifu) = -			
Trust	[] Other (please sp	ecny) ■ . ■			

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2. For legal en	2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:			
3. For legal er of Illinois as a for	_	f Illinois: Has the organization registered toido business in the State		
[I Yes	NNo	[ ] N/A		
B. IF THE DISCL	OSING PARTY IS A LEGAL E	NTITY:		
corporations, also members." For tru If the entity is a venture, list below	list below all members, if any, whats, estates or other similar entities a general partnership, limited partnership the name and title of each general ay-to-day management of the Disc	cutive officers and all directors ofthe entity. NOTE: For not-for-profit sich are legal entities. If there are no such members, write "no s, list below the legal titleholder(s).  mership, limited liability company, limited liability partnership or joint l partner, managing member, manager or any other person or entity closing Party. NOTE: Each legal entity listed below must submit an		
Name Title				
interest (including	_	cerning each person or entity having a direct or indirect beneficial he Disclosing Party. Examples of such an interest include shares in a oint venture,		
	Pa	ge 2 of 13		
similar entity. If no	one, state "None." NOTE: Pursuar	y company, or interest of a beneficiary of a trust, estate or other at to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Cormation from any applicant which is reasonably intended to achieve		
Name	Business Address	Percentage Interest in the Disclosing Party		

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## SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes I^No -

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate, whether retained or anticipated to be retained)

Relationship to Disclosing Party Fees (indicate, whether paid or estimated.) NOTE:

| be retained or estimated or estimate

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V-

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## **CERTIFICATIONS i**

### A. COURT ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or-more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes	[\$ No	[] No person directly or indirectly owns 10% or more of the
Disclosing Party:		Disclosing Party:

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[JYes . []No

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

### Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local)

with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

## Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public
  officer or employee of the City, the State of Illinois, orany agency of the federal government or of any state or
  local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United .States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the . Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

-

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

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#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one) -
- 1. [] is |Y1 is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

## D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code Have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

NOTE: If you checked "Yes" to Item D. 1., proceed to Items D.2. and D.3. If you checked "No" to Item D. 1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or dtherwise'permitte'd; no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

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[]Yes []No		
•	cked "Yes" to Item D. 1., provide the nature of s	mes and business addresses of the City officials or such interest:
Name	Business Address	Nature of Interest
4. The Disclos City official or em		ibited financial interest in the Matter will be acquired by any
E. CERTIFICATI	ON REGARDING SLAVERY ERA BU	JSINESS
	ither 1. or 2. below. If the Disclosing F his EDS all information required by para	Party checks 2., the Disclosing Party must disclose below or in graph 2. Failure to
	Pag	e 8 of 13-
1		
•		
comply with thes	se disclosure requirements may make	any contract entered into with the City in connection with

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \_X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

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NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure, Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
. 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.I. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.  Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage, in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any . subcontract and the Disclosing Party must maintain all such subcontractors' certifications; for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require, the Applicant and all proposed subcontractors to submit the following information with their bids 6r in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[] Yes

[] No

If "Yes," answer the	three questions below:	
1. Have you dev	veloped and do you have	on file affirmative action programs pursuant to applicable federal
regulations? (See 41	CFR Part 60-2.)	
[] Yes	[] No	
2. Have you file	ed with the Joint Reporting	ng Committee, the Director of the Office of Federal Contract
	•	ment Opportunity Commission all reports due under the applicable filing
[] Yes	[ ] No	
3. Have you par opportunity clause?	rticipated in any previous	s contracts or subcontracts subject to the equal
[] Yes	[ ] No	
If you checked "No"	to question 1. or 2. abov	re, please provide an explanation:

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## SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

## Page 11 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party arid its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any '" contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and Fl2. above and will riot, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that-the-Disclosing Party, has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS'.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) oh'behalf of the Disclosing Party, arid (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true. accurate and complete asi of the date 'furnished to the City.

(Print or type name of Disclosing Party)

(/Sign here)

(Print o'r type harriVof person'signiri'g)

(Print or type title of person signing)

Signed and sworn to before me on (date)  $I < ^$  at COO £- County, 27////fgl' f (state).

LINDSEY R IDG WAY OFFICIAL SEAL Noiary Public, Siaie of Illinois My Commission Expires August 20. 2019  $Page\ 12\ of\ 13$ 

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

File #: SO2015-8490, Version: 1			
[] Yes	[jfj No		

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

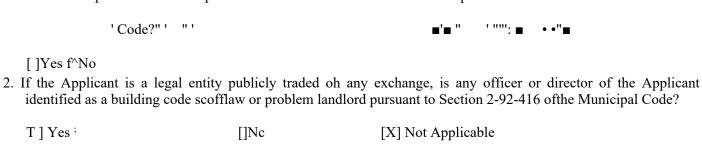
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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"); It is not to be completed by any legal entity which has only an indirect bWnership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord<sup>1</sup> pursuant to Section 2-92\*416 of the Municipa T



3. If yes to (1) or (2) above, please identify below the name of the person or legal; entity -identified as a building code scofflaw or problem landlord and the address of thebuilding or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART\OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12

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### OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WI TH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Planned development approval for 820-850 W. Lake St., This recertification is being submitted in connection with 200-208 N. Green St., and 201-209 N. Green St. [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf ofthe Disclosing Parry, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

200 Green Developer LLC

(Print or type legal name of Disclosing Party) By:

(sign here)

Print or type name of signatory: Jeffrey Shapack

Title of signatory: Manager

Signed and sworn to betore me on [date] jj^

J'rfrfj VJi^mic, at Cj2rK

Tommission expires:

Ver. 11-01-OS

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

; SECTION I-GENERAL INFORMATION

! A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

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Check ONE of the following three boxes:			
j Indicate whether the Disclosing Party submitting 1. 60 the Applicant ! OR	this EDS is:		
2. [] a legal entity holding a direct or indirect	<ul><li>2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the</li><li>2. Applicant in which the Disclosing Party holds an interest:</li></ul>		
3. [] a legal entity with a right of control (see which the Disclosing Party holds a right of co	e Section II.B.l.) State the legal name of the entity in ntrol:		
B. Business address of the Disclosing Party:	%*S> % [jjj. Af IYU \tU^L ArV~l fcUOD		
C. Telephone: 3&-fo?fl "frOUO Fax:	Email: JS\VtfCUlkJ^ \$fof&£t(6vr		
D. Name of contact person: ^Jfj-J-fSAj \$Y1 CtQ	<u>DAJL</u> ^		
E. Federal Employer Identification No., (if you h	ave one): ^		
÷	F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):		
G. Which City agency or department is requesting	ng this EDS? ^yf. 0^ //&ftyuVlj fad Ih/fi^tilt		
If the Matter is a contract being handled by th complete the following:	e City's Department of Procurement Services, please		
Specification #	and Contract U		
Page 1 of 13			
SECTION II DISCLOSURE OF OWNERSHIP I	NTERESTS		
A. NATURE OF THE DISCLOSING PARTY			
<ol> <li>Indicate the nature of the Disclosing Party:</li> <li>Person</li> </ol>	f\$ Limited liability company		

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[] Joint venture

[] Limited liability partnership

[] Not-for-profit corporation

(Is the not-for-profit corporation also a 501(c)(3))?

Publicly registered business corporation

Privately held business corporation

Sole proprietorship

General partnership

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Limited partn Trust	ership	[] Yes [] Other (please specify)	[ ] No
2. For legal en	ntities, the state (or foreign	country) of incorporation or organ	ization, if applicable:
3. For legal er the State of Illinois a	_	State of Illinois: Has the organizati	on registered to-do j business in
IX] Yes	$rj_{ m No}$	[ ] N/A	
B. IF THE DISCL	OSING PARTY IS A LEG	GAL ENTITY:	
profit corporations "no members." Fo If the entity is a goventure, list below the	s, also list below all member r trusts, estates or other sin eneral partnership, limited e name and title of each ge to-day management of the	ers, if any, which are legal entities. milar entities, list below the legal tit partnership, limited liability compa eneral partner, managing member, j	etors of the entity. NOTE: For not-for- If there are no such members, write leholder(s). Iny, limited liability partnership or joint manager or any other person or entity egal entity listed below must submit an
j. Name Title j J^fjYCLj^ l _	^ <i>ShA^M^</i> . :	<i>gAj^a^.</i> :	Ajz -

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure!

Name

**Business Address** 

Percentage Interest in the Disclosing Party

## cliVcAy, T-L i^pbiA-

## i'j | SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal | Code, with any City elected official in the 12 months before the date this EDS is signed?

If yes; please identify below the name(s) of such City elected official(s) and describe such relationship(s):

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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## Architect - Retained

(Add sheets if necessary)

\, [ ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

## SECTION V -- CERTIFICATIONS

## A. COURT-ORDERED CHILD SUPPORT COMPLIANCE ...

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligation's by any Illinois court of competent jurisdiction?

[] Yes D^No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment o'f all support 6wed: and is the person in compliance with that agreement?

[]Yes []No

## **B. FURTHER CERTIFICATIONS**

I . Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against, an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily

excluded from any transactions by any federal, state or local unit of government;

- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in, connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of

such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a
  public officer of employee of the City, the State of Illinois, or any agency of the federal government or
  of any state or local government in the United States of America, in that officer's or employee's official
  capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such, agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed; price or otherwise; or
- c. made an admission of such conduct described in a: or b. above that is a matter of record, but have not been prosecuted for such conduct; of
- d. violated'the provisions of Municipal Code Section 2-92-610 (Living WagesOrdinance)...
- 4. Neither the Disclosing Party, Affiliated Entity of Contractor, or any. of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements; of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-; month period preceding the execution date of this EDS, an employee, or elected or appointed official, • of the City of Chicago (if none, indicate with "N/A" or "none").



9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a j complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed j official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the | course of official City business and having a retail value of less than \$20 per recipient (if none, indicate j with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

# j <del>vjA</del>

## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

i I [] is fiQ is not i•

- a "financial institution", as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
- j "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal; Code. We further pledge that none of our affiliates is, and none of them will become, a predatory; lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory

lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in i Section 2 -32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

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D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS	
any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when sed in this Part D.	

- In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee
- of the City have a financial interest in his or her own name or in the name of any other person or 1.

```
entity in the Matter?
          . s p{No'
["] Yes
```

NOTE; If you checked "Yes" to Item D.l., proceed to Items Di2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

Unless sold pursuant to a process of competitive bidding, or btherwise'permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes []No

If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name **Business Address** Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

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- ^1 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.

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- The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the, duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

	•	bids or in writing at the outset of negotiations.
Is the	e Disclosing Party the Applican	t?
[]	] Yes [] N	o
If "Y	es," answer the three questions	below: .
	Have you developed and dations? (See 41 CFR Part 60-2.)	o you have on file affirmative action programs pursuant to applicable federal
[]	] Yes [] N	0
_	•	t Reporting Committee, the Director of the Office of Federal Contract Compliance.  Opportunity Commission all reports due under the applicable filing requirements?
	Have you participated in any rtunity clause? Yes [JNo	previous contracts or subcontracts subject to the equal
If you	u checked "No" to question 1. o	r 2. above, please provide an explanation:

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**SECTION** VII ACKNOWLEDGMENTS, **CONTRACT** INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable\* and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity; including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing'Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A, on the federal Excluded Parties List System ("EPLS")

maintained by the Ul S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F^E and F.2.: abdve 'and will not, without the prior written consent of the City, use any such contractor/subcontractor that'dbes riot provide such certifications or. that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) waffahts that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party) (Sign here)

<u>^ ff^j Sa^p</u>

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on ^date)  $I\ St.\text{-}1\text{-}\ IS$ 

at jOO<sup>^</sup> County, T<sup>^</sup>IIMHS (state).

Notary Public. 1,\*i>gF%, lindsey ridgway

OFFICIAL SEAL NoiaiY Public. Stale of Mlincjn My Commission Expues

Commission expires

August 20. 2019

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct

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ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party.; "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7,5'percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant. <sup>1</sup>

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1.	Pursuant toMunicipal Code'Se	ectiori 2-154-010, is	the Appl	licant or any Owner identified as a	
1.	building code'scofflaw or prob	olem landlord pursu	ant to Sec	ction 2-92 <sup>;;</sup> 416:of the Municipal	
1.	Code?	_		_	
	fJYes	ty] No			
2.	If the Applicant is a legal en	ntity publicly trade		y exchange, is any officer or director of t m landlord pursuant to Section 2-92-416 of t	
	JYes	[JNo •	I	[XJ Not Applicable	
3.	building code scofflaw of prob pertinent code violations apply  FILLING OUT THIS APPENIAGREEMENT THAT THIS A	olem landlord and the constitution of the cons	TES AC	of the person or legal entity identified as a ass of the building, or buildings to which the except the buildings to buildings to which the except the buildings to buildings to buildings to buildings to buildings to buildings the buildings the buildings the buildings the buildings to buildings the buildings t	
		B ARE SUBJECT '	TO THE	CERTIFICATION MADE UNDER	
EDS	O NOT SUBMIT THIS PAGE WIT S prior to submission to City Coun closing Party must complete a new	cil or on the date of c	closing. If	•	
		RECERTIFICATIO	N		
Ger	nerally, for use with City Council n	natters. Not for City p		<del>-</del>	
Mat rece Disc	ter]. Under penalty of perjury, the ertification on behalf of the Disclos	person signing below sing Party, (2) warran ue, accurate and comp	00-208 N. v: (1) warruts that all plete as of	d development approval for 820-850 W. Lake St. Green St., and 201-209 N. Green St. [identify the rants that he/she is authorized to execute this ED certifications and statements contained in the 6the date furnished to the City and continue to be 3) reaffirms its acknowledgments.	he S
	0 Partners LLC nt or type legal name of Disclosing	g Party)	D <sub>ate:</sub>	3 " ^_ *"	

name

type

or

of

Print

Jeffrey

signatory:

File #: SO2015-8490, Version: 1	
Shapack	
Title of signatory: Manager	
Signed and swom to before me on [date] 7,-9- 'I U	?
Jeffr/A ,?htU7MkL, at _Jm}C	County,.
<u>'ommission expires:</u> • o^O j I °	
Ver. 11-01-05	Y OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
SECTION I - GENERAL INFORMATION	
A. Legal name of the Disclosing Party submitting this E	••
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this E	DS is:
<ul> <li>2. [] a legal entity holding a direct or indirect interest Applicani in which the Disclosing Parly holds an int OR</li> <li>3. [] a legal entity with a right of control (see Section which the Disclosing Party holds a right of control:</li> </ul>	erest:
B. Business address of the Disclosing Party:	f? f\c <y\\h)l^#'filjld'o i,J^k^2^x_L U0Jd±</y\\h)l^#'filjld'o 
C. Telephone: '*>)2-b<\0r <to,() ,<="" fax:="" td=""><td>Email: ^S^^tPSh(^t (0**</td></to,()>	Email: ^S^^tPSh(^t (0**
D. Name of contact person: Jje^jfr&Lj SW<^ <x£j£-j< td=""><td></td></x£j£-j<>	

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

E. Federal Employer Identification No. (if you have one):

20 " O^S 4\* Cfl 1

G. Which City agency or department is requesting to	his EDS? ty_r?i~. (h^^f&flniAcj <m\d f^t="" fll^fiy^f<="" th=""></m\d>
If the Mutter is a contract being handled by the C following:	ity's Depariment of Procurement Services, please complete the
Specification//	and Contract #
Page I of 13	
SECTION II -DISCLOSURE OF OWNERSHIP II	NTERESTS
A. NATURE OF THE DISCLOSING PARTY	
<ol> <li>Indicate the nature of the Disclosing Party:</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	^ Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ ] Yes [ ] No [] Other (please specify)
2. For legal entities, the state (or foreign country)	ry) of incorporation or organization, if applicable:
3. For legal entities not organized in the State of Illinois as.a foreign entity?	of Illinois: Has the organization registered to do business in the State
\$Yes []No []N/A	
B. IF THE DISCLOSING PARTY IS A LEGAL E	NTITY:
	ecutive officers and all directors of the entity. NOTE: For not-for- ny, which are legal entities. If there are no such members, write "no

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

members." For trusts, estates or other similar entities, list below the legal titleholder(s).

Name r Title

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2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name
Business Address
Percentage Interest in the
Disclosing Party

577 £ZQ Member LU;
li). fam\hl\$L Avi

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fZO U). UU sb.
MCAjff, Tl\* Lcfl(».Q>

## SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes p^No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship (s):

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney; lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained, or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount ofthe fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

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"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity olher than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

#### Page 3 of 13

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether paid or estimated.) NOTE:

| "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[t^/Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V --. CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes No [] N^Tperson directly or indirectly owns 10% or more of the Disclosing Parly.

If "YeSi" has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

#### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an'officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

#### Page 4 of 13

- 2. The Disclosing Parly and, if the Disclosing Parly is a legal entity, all of those persons or entities identified in Section II.B. 1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or volunlarily excluded from any transactions by any federal, stale or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction: a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records: making false statements; or receiving stolen properly:
  - c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not. within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Parly;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of-interests among family members, shared facilities and equipment; common use of employees: or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the

City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any olher official, agent or employee of ihe Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted lo bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the Slate of-Ulinois, or any agency ofthe federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with olher bidders or prospective bidders, or been a parly to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or -
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610. (Living Wage "Ordinance).
- A. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33 E--1: or (3) any similar offense of any state'or of the United States of America (hat conrains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity:is listed on anyof the following lists maintained by the Office of Foreign Assets Control, of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements oi" Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Parry must explain below:

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If the letters "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none^ridieale with "N/A" or "none").
- 9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts (hat the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, plensc also list the name ofthe City recipient.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION I. The Disclosing

Party certifies that the Disclosing Party (check one)

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32--155(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If ihe letters "NA." the word presumed that the Disclosin	-	appears on the lines above, it will be conclusively ove statements.
D. CERTIFICATION REG	ARDING INTEREST IN	CITY BUSINESS
Any words or terms that are used in this Part D.	defined in Chapter 2-1 56	6 of the Municipal Code have the same meanings when
		Municipal Code: Does any official or employee of the City have a e of any other person or entity in the Matter?
NOTE: If you checked "Ye Part E.	s" lo Item D.l., proceed to	Hems D.2. and D.3. If you checked "No" to Item D.1' proceed to
employee shall have a finance purchase of any property that legal process at the suit of the	cial interest in his or her or t (i) belongs to the City, o e City (collectively, "City	we bidding, or otherwise permitted, no City elected official or wn name or in the name of any other person or entity in the r (ii) is sold for raxes or assessments, or (iii) is sold by virtue of Property Sale"). Compensation for property taken pursuant to the ancial interest within the meaning of this Pari D.
Docs the Matter involve a C	ity Properly Sale?	
[ ] Yes	[ ] No	
3. If you checked "Yes' employees having such inter	_	names and business addresses of the City-officials or of such interest:
Name	Business Address	Nature of Interest
4. The Disclosing Parly City official or employee.	further certifies that no p	prohibited financial interest in the Mailer will be acquired by any
E CERTIFICATION REGA	RDING SLAVERV ERA	RUSINESS

Please check either I. or 2. below. If the Disclosing Party checks 2., the Disclosing Parly must disclose below or in an attachment to this EDS nil information required by paragraph 2. Failure lo

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or in jury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step I above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Parly verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MA TTERS,

NOTE: If (he Matter is federally funded, complete this Section VI. If the Matter is noi federally funded, proceed lo Section VII. For purposes of this Section VI. tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.I. above for his or her lobbying activities or lo pay any person or entity to influence or attempt lo influence an officer or employee of any agency, as defined by applicable federal law, a member ol" Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Parly certifies that either: (i) it is not an organization described in section 501 [c)(4) of the Internal Revenue Code of 1986: or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has noi engaged and will not engage in "Lobbying Activities".
- If the Disclosing Parly is the Applicant, the Disclosing Party must obtain certifications equal in form and

substance to paragraphs A.l. through A	A.4. above from all subcontractors before it awards any subcontract and the subcontractors' certifications for the duration of ihe Matter and must make such e City upoivrequesi.
13. CERTIFICATION REGARDING	EQUAL EMPLOYMENT OPPORTUNITY
•	al regulations, require, the Applicant-and all proposed subcontractors to their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?	
[] Yes [] No	
tf "Yes," answer ihe three questions be	elow:
1. Have you developed and do regulations? (Sec A 1 CFR Part 60-2.) '  J Yes   JNo	you have on file affirmative action programs pursuant to applicable federa
•	Leporting Committee, the Director of Ihe Office of Federal Contract inployment Opportunity Commission all reports due under the applicable filing
3. Have you participated in any proclause?	revious contracts or subcontracts subject to the equal opportunity
[] Yes [J No	
1 f you checked "No" ro question 1. or	2. above, please provide an explanation:

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**SECTION** ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, VII PENALTIES, DISCLOSURE

The Disclosing Parly understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking olher action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at vvww.cityofchicago.org/Eihics <a href="http://vvww.cityofchicago.org/Eihics">http://vvww.cityofchicago.org/Eihics</a>, and may also be obtained from the City's Board of Ethics. 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610. (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law. or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Parly to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble-damages.
- D. It is the City's policy lo.mnke this document available to the public on its Internet silo and/or upon request. Some or all ofthe information provided on this EDS and any altachments'to this F.DS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up lo the time (he City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of ihe Municipal Code.

The Disclosing Party represents and warrants that:

#### Page I 1 of 13

- F.l. The Disclosing Part)' is not delinquent in the payment of any lax administered by the Illinois Deportment of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in pitying any fine, fee, lux or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit

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their subcontractors to use, any facility listed by the U.S. E.P.A.-on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Parly is the Applicant, the Disclosing; Ptirly will obtain from any contractors'subcunrractors hired or lo be hired in connection with the Matter certifications equal in form and\* substance to those in F. 1. and F.2. above and wiif not. Avithout the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Parly has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.l cxplanalory's latement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Parly,- and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name	e of Disclosin	ng Party)		
i»n here)	1			
(Print or type nam	e of person s	signing) Ma	nager	
(Print or type title o	of person sig	ning)		
Signed and sworn t	o before mc	on (date)		
at	County.	~&L-	(stal	e).
		^	Notar	y Public.
Commission expire	s:i2^^i^	ZSt?		
			Paye	12 of 13
UNDSEY RIDGWAY OFFICIAL	SEAL Noiary Public.	. Sia:? ol Mm <sup>-</sup> ' Mv C	CoitiiiiisSio.i L*riii<	
	,	, -	,	Augosi 20. 2019

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AM) AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership

interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Linder Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law. mother -in-law, son-in-law, daughter-in-law. stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.-.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership: all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Parly; and (3) any person' having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes jj^No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX II

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has'a direct ownership interest in the Applicant exceeding 715 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

<sup>1</sup> I. Pursuant to Municipal Code Section 2-15-4-010. is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Seel ion 2-92-416 of the Municipal Code?

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#### I JYes fi^No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant'idenlified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[ | Yes | JNo -j^jNot Applicable

3. If yeSilo (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scoffiaw or- problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT ANI) AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIA TED EDS, ANI) THAI' THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT 'TO THE CERTIFICA TION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OFTHE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION Generally, for use with City Council matters.

Not for City procurements unless requested.

Zoning map amendment for property located at This recertification is being submitted in connection with 444\_e50W st and 832\_g34 w Lak0 st Chicago. Illinois [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Chicago Titta Land Trust Company

Trust Number 10-40268-09 dated August 9.1982

Date: M-AE\*-\*\* i

(Print or type legal name of Disclosing Patty)

(sign here)

Print or type name of signatory:

Ronald Vala Angela BurWsy

Title of signatory:

50% Holder of Benaficial Interest and Power of Direction 50% Holder of Benaficial Interest and Power of Direction

by Ronaid Vaia ' y q /L Signed and sworn to before me on [date] ''A If by Commission expires:

Ver. 11-01-05 Notary Public Commission Expires: $ / V < i. << v >_{at} co < U                                 $
SECTION I - GENERAL INFORMATION
A. Legal name ofthe Disclosing Party submitting this EDS. Include d/b/a/ if anolicable: Chicago Title Lar Trust Company, Trust Number 10-40268, dated August 9, 1982
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [y_ the AgeJjggjTiJ owner of 844-850 W. Lake Street and 832-834 W. Lake Street also known OR - 200-208 N. Green Street  2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the  2. Applicant in which the Disclosing Party holds an interest: OR.  3. f] a legal entity with a right of control (see Section II.B.l.) Stale the legal name of the entity in which the Disclosing Party holds a right of control:  Ronald Vaia and Angela Burkley C/O
B. Business address of the Disclosing Party: Attorney Michael J. Polachek 1000 Hart Road, Suite 300 Barrin^ton, I-L 60010
r- t i u 224-655-2991 r- 224-655-2993 mDolachek(?polacheklawfirm.co <http: irm.com=""> C. <u>I elepnone</u>: <u>Fax:</u> <u>Email:</u> <u>■</u></http:>
D. Name of contact person: Attorney Michael J. Polachek
E. Federal Employer Identification No. (if you have one): ;
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
zoning man amendment for property located at 444-850 W. Lake Street and 832-834 W. Lake
-S^gegt, Chicago,-IL -:
G. Which City agency or department is requesting this EDS? Department of Planning & Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #
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SESTION IN PAGE ON THE OF OUR PROPERTY.	D. D. WELD FORTO
SECTION II - DISCLOSURE OF OWNERSHI	PINTERESTS
A. NATURE OF THE DISCLOSING PARTY	
1. Indicate the nature of the Disclosing Parry	<i>r</i> :
f] Person	[] Limited liability company
[ ] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship .	[] Not-for-profit corporation
{ ] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
Jq} Trust	[] Other (please specify)
2. For legal entities, the state (or foreign cou	ntry) of incorporation or organization, if applicable:
3. For legal entities not organized in the Stat as a foreign entity?'	e of Illinois: Has the organization registered to do business in the State, of Illinois
[]Yes -tlNo XfKfN/A	
B. IF THE DISCLOSING PARTY IS A LEGAL	ENTITY:
	executive officers and all directors of the entity. NOTE: For not-for-profit which are legal entities. If there are no such members, write "no members." For

trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the dayto-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title Chicago Title Land Trust Company Trustee as^TrusEee UTA 10-40268-09 dated August 9, 1982

Holder of Power of Direction Ronald Vaia Holder of Power of Direction Angela Burkley

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

**Disclosing Party** 

Ronald Vala 146 Hillcrest

Court:

Barrington, IL 60010 .~: ■'

Angela Burkley '42383 N. Oak Lane J J

Antioch, IL 60003

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes HNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)		Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether  paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is  not an acceptable response.
(Add sheets if necessar Pf" Check here if the CERTIFICATIONS		arty has not retained, nor expect	ts to retain, any such persons or entities. SECTION V
A. COURT-ORDERE	D CHILD SUP	PORT COMPLIANCE	
		92-415, substantial owners of business bligations throughout the contract's	ess entities that contract with the City must Temain in term.
Has any person who di obligations by any Illin	•	•	osing Party been declared in arrearage on any child support
[j Yes	X] No	[ ] No person directly or indirectly Disclosing Party.	owns 10% or more of the
If "Yes," has the person with that agreement?	n entered into a	court-approved agreement for payr	ment of all support owed and is the person in compliance

[] Yes []No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or lias admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged'by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a p.erson or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, undeT common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or  $\blacksquare$  indirectly controls the Contractor, is controlled by it, ot, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity or an Affiliated Entity of a Contractor during the five years before the-date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a-, bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or

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adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; :or <sup>1</sup>

- c. madejan admission of such conduct described iii a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the. Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or' local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. "Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
  - 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
  - 7. If the. Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NONE

9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed

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below, please also list the name, of the City recipient.  NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
1. [] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender of becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
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rf the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that arc defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.
1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee
1. of the City have a financial interest in his or her own name or in the name of any.other person or
1. entity in'the Matter?  U No
NOTE: If you checked "Yes" to Item D.l., proceed to Items D.l and D.3. If you checked "No" to Item D.l., proceed to Part E. !

have a financial interest in his or her own name or in the name of any .other person or entity in the purchase of any property that (i)

2. Unless sold pursuant to a process of competitive bidding, or otherwise.permitted, no City elected official or employee shall

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(collectively, "City I		or (iii) is sold by-virtue of legal process at the suit of the City perty taken pursuant to the City's eminent domain power does not rt D.
Does the Matter invo	olve a City Property Sale?	
[ ] Yes	[] No	
•	d "Yes" to Item D.l., provide'the name	s and business addresses of the City officials or employees having
Name	Business Address	Nature of Interest
4. The Disclosing or employee.	g Party further certifies that no prohib	pited financial interest in the Matter will be acquired by any City official
E. CERTIFICATION	N REGARDING SLAVERY ERA BUS	SINESS
	her 1. or 2. below. If the Disclosing OS all information required by paragraph	g Party checks 2., the Disclosing Party must disclose below or in a oh 2. Failure to
		Page 8 of 13
comply with these d	isclosure requirements may make any o	contract entered into with the City in connection with the Matter

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- XX 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

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A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):	
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)	
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.	or
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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any even that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.	ent
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".	;
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.	
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY	
If the Matter is federally funded, federal regulations require the Applicant and all proposed . i'" subcontractors to submit the following information with their bids or in writing at the outset of negotiations-	
Is the Disclosing Party the Applicant?	
[] Yes [] No	

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

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[JYes [JNo
<ul> <li>2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?</li> <li>[] Yes</li> <li>n No</li> </ul>
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  □ Yes [JNo
If you checked "No" to question 1. or 2. above, please provide an explanation:
Page 10 of 13
SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE
The Disclosing Party understands and agrees that:
A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contractor other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose, certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citvofchicago.org/Ethics <a href="http://www.citvofchicago.org/Ethics">http://www.citvofchicago.org/Ethics</a> . and may also be obtained from the City's Board of Ethics, 740 N.
Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.
C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void of voidable, and the City may pursue, any remedies undcT the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon, request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must

update this EDS as the contract requires. NOTE: With respect to Matters subject to Article! of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

#### Page H of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the DisclosingParty and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal, in form and substance to those in F.I. arid F.2. above and will not, without the prior written consent of the City, us& any such coritTactor/subcontfactor that does not provide such certifications or that the Disclosing Party has reason-to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must'be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to<sub>r</sub> execute this EDS and-Appendix A (if applicable) on behalf of the Disclosing Party, arid (2)<sup>i</sup> warrants that all certifications and statements contained in this EDS aiid Appendix A (if applicable) are true, accurate and completers of the date furnished to the Cirv. Chicago Title Land Trust Company, Trust Number

10-40268, dated August 9, 1982 (Fruit owtype name of Disclosing Party)

By:/jfr? (Sign here) Ronald Vaia Angela Burkley



by Ronald Vaia

Signed and swom to before me\on (date)

at £ County, ■•.Sit-'--'

Commission expires:

Official Seal Michael J Polachek Notary Public State of Illinois My Commission Expires 06/10/2019

50% Holder of Beneficial Interest and Power of Direction

\_(state). Notary Public.

Signed and sworn to before rae by Angela
I,. f.-Burkley on U - M \* & at folfy County
-' State of Illinois.

Notary PubliLy
Conmission Expires: tf '/tb " ^i\*1/6?

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BARBARA J.STUBBS NOTARY PUBUC, STATE OF ILLINOIS MY COMMISSION EXPIRES 9/13/2016

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if. as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son<sub>r</sub>in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister. \*

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes £x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any jegal entity which has a direct ownership interest in the Applicant exceeding 7-5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to'Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building codescofflaw or problem landlordpursuant to Section 2-92'416 of the Municipal Code?;

[,. ] Yes IxJNo

2. If the Applicant is a legale entitypublicly traded on any exchange, is any officer or director of the Applicant identified as a buildingicode scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[JYes - [] No P] Not Applicable

3. If yes to (l)-or(2) above, please identify, below thename of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which me pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDED B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information) j

#### RECERTIFICATION

t City Council matters. Not for City procurements unless requested. This recertification is [identify the Matter].

Planned development approval for 820-850 W. Lake St., Deing submitted in connection with 200-208 N. Green St., and 201-209 N. Green St. Under penalty of perjury, the person signing

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below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete' as of the date of this recertification, and (3) reaffirms its acknowledgments.

Lake Green LLC (Print or tyjflb legal name of Disclosing Party)

Title of signatory Manager [state].

Signed and sworn to before me on [date] ff\_ $i^T.Y$ |  $^{\wedge}$  J If, by KOtPrt Ka + Z. 1 at faff£ County, XL.

Notary Public.

Commission expires: fyjJJ^ ■ \$0 j (001 °i

LINDSEY RIDGWAY OFFICIAL SEAL Notary Public, Slate of Illinois MyCommission Expires Augusi 20. 2019

Ver. 11-01-05

j

CITY OF CHICAGO

ECONOMIC DISCLOSURE STATEMENT

/ AND AFFIDAVIT

/oN I - GENERAL INFORMATION

yT ega! name of the Disclosing Part)' submitting this EDS. Include d'b/a/ if applicable:

### / LfiKt LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
- 2. a legal entity holding a direct or indirect interest in the 'YpnttHA -TMate the legal name of the Applicant in which the Disclosing Party holds an interest: %IJ0 pdf~IYIC\*'S LLC\*
  OR
- 3. [] a legal entity with a right of control (see Section 11.B. 1.) State the legal name of the entity in which the Disclosing Party holds a right of control:

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B. Business address ofthe Disclosing Party: %'	ZJ) \)J•	LaJCjL^	SV ■	
C. Telephone: "773 ' 23^333^:		Email:	frOl^j^. COirY*	
D. Name of contact person:				
E. Federal Employer Identification No. (if you have	e one):	^ .		
F. Brief description of contract, transaction or othe this EDS pertains. (Include project number and loca	• ,		· · · · · · · · · · · · · · · · · · ·	
G. Which City agency or department is requesting t	this			
If the Matter is a contract being handle complete the following:	ed by the City's	s Department o	f Procurement Services	s, please
Specification if	and Contract 1	ï		
Page 1 of 13				
SECTION H - DISCLOSURE OF OWNERS!!!!'	INTERESTS			
A. NATURE OF THE DISCLOSING PARTY				
<ol> <li>Indicate the nature of the Disclosing Party:</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[] Joint venture [] Not-for-profi	lity partnership t corporation ofit corporation a	also a 501(c)(3))?	
2. For legal entities, the stale (or foreign count	ry) of incorporatio	n or organization,	if applicable:	

3. For legal entities not organized in the Suite of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

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^Ycs	[JNo	[J <b>N</b> /A	
B. 11- THE DISC	LOSING PARTY IS A LEG	AL ENTITY:	

I. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any. which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal litleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below Ihe name and title of each general partner, managing member, manager or any other person or entity that controls Ihe day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name				Title	,
	Vob^	MUA^	;		Member/Manager
					Member/Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Parly. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, stale "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name
Business Address
Percentage Interest in the
Disclosinsi Partv

20) Q& Yr M~L
STjQ UJ> ItMiSh
1 sol.

]6^vvj£eJam 32SLuLduk\*-\$hL

#### SECTION HI -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the dale this EDS is signed?

[]Ycs I^No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship^):

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#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND O THER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Parly's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative-action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Business Relationship lo Disclosing Party Tees (indicate whether retained or anticipated Address (subcontractor, attorney. paid or estimated.) NO TE:

lo be retained) lobbyist, etc.) ""hourly rate" or "t.b.d." is noi an acceptable response.

(Add sheets if necessary)

[v^Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities SEC TION V -

#### **CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-4 15, substantial owners of business entities that contract with . the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Parly been declared in arrearage on any child support

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obligations by any Il	nois court of competent jurisdiction?	
	[ ] No person directly or indirectly owns 10% or more ofthe	
	Disclosing Party.	
If "Yes," has the pers with that agreement?	n entered into a court-approved agreement for payment of all support owed and is the person in compliance	
[ ] Yes	[j No	
B. FURTHER CERT	FICATIONS	

1. Pursuant to Municipal Code Chapter 1-23. Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of. or has ever been convicted of. or placed under supervision for. any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges thai compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. Tlic Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, stale or local unit of government;
  - have not. within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or slate antitrust statutes: fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property:
  - are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, slate or local) with committing any of the offenses set forth in clause 13.2.b. of this Section V;
  - have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, slate or local) terminated for cause or default; and
  - have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by ihe City or by the federal government, any state, or any other unit of local government.

- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with the Matter, including but not limited to all persons, or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the. Disclosing Party, is controlled by the Disclosing Parly, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership: identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or stale or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity (hat directly or indirectly controls the Contractor, is controlled by it, or. with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Parly, any Contractor or any Affiliated Entity. acting pursuant lo the direction or authorization of a responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five "years before the date this EDS is signed, or. with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date 'of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in (he United Stales of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a parly to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- . c. made an admission of such conduct described in a: or b. above that is a matter of, record, but have not been prosecuted for such conduct; or
  - d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance),
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (I) bid-rigging in violation of 720 ILCS 5/33E-3: (2) bid-rotai.ing in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any stale or of the United Stales of America that contains the same elements as the offense of bid-rigging or bid-rotating.

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- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Conlrolof the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General). 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify lo any of the above statements in this Part 13 (Further Certifications), the Disclosing Party must explain below:

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If the loiters "NA." (ho word "None," or no response appears on (he lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS. an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution dale of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. I-or purposes of this statement, a "gift" does not include: (',) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "'N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

## ZZZZZY -Z=ZZZZZ . --

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Parly certifies that the Disclosing Party (check one)
- 1. [] is ^ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

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2. If the Disclosing Party IS a financial institution, then the Disclosing Parly pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable lo make this pledge because il or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

#### Page 7 of 13

If tlic letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CJTY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-1 56-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

M Yes L\$No "

NOTE: If you checked "Yes" to Item D.I., proceed to Items D.2. and D.3. If you checked "No" to Item D.I., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted; no City elected official or employee shall have a, financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively. "City Property Sale"). Compensation for properly taken pursuant to the City's eminent domain power docs not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Properly Sale?

[] Yes j | No

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Parly further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please cheek either 1. or 2. below. If the Disclosing Party checks 2.. the Disclosing P; wiy must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- 1. The Disclosing Parly verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Parly verifies that, as a result of conducting the search in step 1 above, the Disclosing Parly has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Parly verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS.

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts .on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that (he Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

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2. The Disclosing Party has not spent and will not expend any federally appropriated funds lo pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt lo influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection w'nh the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 50J (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Parly is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs AM . through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### 13. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNIT Y

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit (he following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Parly the Applicant?

[] Yes [| No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 4 I CFR Part 60-2.)

| ] Yes f ] No

- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports clue under the applicable filing requirements? [JYes "[JNo
- 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

I JYes

If you checked "No" to question I. or 2. above, please provide an explanation:

• UNo

#### Pa>e 10 of 13

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION. COM PLIANCE, PENALLIES, DISCLOSURE

Tlic Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect lo the Matter. The Disclosing Party understands (hat it must comply with all statutes, ordinances, and regulations on which this EDS is based.

13. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at w ww.cilyofchicatio.oru/Ethics. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St.. Suite 500. Chicago, IL 60610. (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded, or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), ai law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions, vilh the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Parly waives and releases any possible rights or claims which ii may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to (he time the City takes action on the Matter. If (he Matter is a contract being handled by the City's Department of Procurement Services, (he Disclosing Parly must update this EDS as the contract requires. NOTE: With respect lo Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a lunger period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Parly represents and warrants that:

#### Pane 11 of 13

- F.l. The Disclosing Part}' is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are (he Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to (he City. This includes, but is not limited to. all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If (he Disclosing Parly is (he Applicant, the Disclosing Party and its Affiliated Entities will not use. nor permittheir subcontractors to-use any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Parly will obtain from any contractors/subcontractors hired or to be hired in connection with tlic Matter certifications equal in form and-subslance to those in F.I. and F.2!.'-above and will not. without the prior written consent of (he City, use any such contractor/subcontractor (ha( does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If Ihe Disclosing Party cannot certify as lo any of the items in F. I., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of.pcrjury, the person signing below: (I) warrants that he/she is authorized lo execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to (he City.

(Print or lype:name of person signing) Manager

(Print or type title of person signing)

Signed and sworn to before me on (date)

al -Cilice County, lAhftri} (state).

Noiary Public.

*ComnMssion expires: jftX^^t^^ |°|* 

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

#### FAMILIAL RELAT IONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (h) any legal entity which has a direct ownership interest in (he Applicant exceeding 7.5 percent. It is not lo be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154t015. the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Parly" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date Ibis EDS is signed, the Disclosing Party or any "Applicable Part)" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, die city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law. daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Parly listed in Section II.B. I .a., if the Disclosing Party is a corporation; all partners of the Disclosing Parly, if the Disclosing Parly is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Parly. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [ft No

If yes, please identify below (I) the name and title of such person, (2) the name ofthe legal entity; to which such person is connected; (3) the name and title ofthe elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CI TY OF CHICAGO ECONOMIC DISCLOSURE S TATEMENT ANI) AFFIDAVIT' APPENDIX B

#### BUILDING CODE SCOFFLA W/PROBLEM LANDLORD CERTIFICAT ION

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has 'w direct

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ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. - Pursuant to Municipal Code Section 2-15-1-010. is" the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

#### f JYes

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-41 Ci of the Municipal Code?

[ J Yes | j No f|Q Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent .code violations apply..

FILLING OU T THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMEN T THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIA TED EDS, AND THAT THE REPRESEN TATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICA TION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OFTHE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Planned development approval for 820-850 W. Lake St. This recertification is being submitted in connection with 200-208 N. Green St., and 201-209 N. Green St. [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

SD 820 Member LLC

(Print or type legal name of Disclosing Party) By:

(»gh here)

Print or type, name of signatory: Jeffrey Shapack

Title of signatory: Manager

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Signed and swom to before me on [date] ~5~<£ ~ I (j? Js-ffrtCj \Vh&Ja££. at fijMK County,.

Notary Public.

Commission expires: OUAJ^.  $\blacksquare$  al 0 ) I ^

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVI T

#### SECTION I-GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/'a/ if applicable:

# SP no ffttmb-cr ilu

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [ J the Applicant
  - OR
- 2. jj^ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:
- $^{\circ}Qifty \& C\ L-L^{\circ}(-\sim)$

OR

- 3. | J a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party:

 $E^*? = /*Wyw'V-gu^g ffcllOO$ 

CVUCAgo, XL UOLg.14-

C. *Telephone: Sl2zAb^.QzAQ^ Fax:* 

- Email: JSWtpa.cfc^ SWt^tcE.. CoVft
- D. Name of contact person:  $J^{\wedge}$ -ffiVei $^{\wedge}S|^{\wedge}$ &\$OXjC $^{\wedge}$
- E. Federal Employer Identification No. (if you have one): \_
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") lo which this EDS pertains. (Include project number and location of property, if applicable): ^

### ^OXkfiM JQZaJ^ki^

'\*=>• fcnrt^ASV--

G. Which City agency or department is requesting this EPS? LfcpK of (?)/IYlftM^Qivl \$f, \( \text{J(\l}\)^\W/fr

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract ii

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SUCTION II - DISCLOSURE OE OWNERSHIE	PINTERESTS
A. NATURE OI-" Till: DISCLOSING PARTY	
<ol> <li>Indicate the nature of the Disclosing Party:         <ul> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ul> </li> <li>For legal entities, the state (or for</li> </ol>	P>h Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes [j No  [] Olher (please specify)  reign country) of incorporation or organization, if applicable:
$2eJ Q_tC^{-}$	
	e of Illinois: Has the organization registered lo do city?
[ J <sup>y</sup> es [XJ Ko	] N/A
B. IE THE DISCLOSING.PARTY IS A LEGAL	ENTITY:
profit corpora I ions, also list below all members, members." For trusts, estates or other similar entit If the entity is a general partnership, limited pa venture, lisi'bclow the name and title of each gene	executive officers and all directors of the entity. NOTE: For no i-forif any, which are legal entities. If there are no such members, write "no ites, lisi below the legal tiileho)der(s).  Intereship, limited liability company, limited liability partnership or joint aral partner, managing member, manager or any olher person or entity isclosing Party. NOTE: Each legal entity listed below must submit an
Nama Titla	

Name Title

\_. Si

r..\_/^A^^4^I\_\_\_

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interesi (including ownership) in excess of 7.5';-,, uf ihe Disclosing Parly. Examples of such an interest include shares in a File #: SO2015-8490, Version: 1

corporation, partnership interest in a partnership or joint venture.

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interest of a member or manager in a limned liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, slate "None." NOTE.: Pursuant to Section 2-1.54-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Per

Percentage Interest in the Disclosing Party

# ikupp. x^ccm<sub>2</sub>Sl ulqmJ

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-1 56 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

# [ 1 Yes ft

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address Of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Mailer, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required lo disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity olher than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertakinsi lo influence any legislative or administrative action.

If the Disclosing Parly is uncertain whether a disclosure is required under this Section, the Disclosing Parly must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or

lo be retained) lobbyist, ele.)

paid or estimated.) NO I K: "hourly rale"" or "l.b.d." is

noi an acceptable response.

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(Add sheets if necessary)

Check here ifthe Disclosing Party has not retained, nor expects lo retain, any such persons or entities. SECTION V--

#### **CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under M unicipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court ol" competent jurisdiction?

[] Yes j)N; No [] No person directly or indirectly owns 10% or more of ihe Disclosing Parly.

If "Yes." has the person'entered'into a court-approved agreement for payment of all support owed and is the person in compliance with thai agreement?

[]Ycs ■ £]No

#### B. FURTHER CERTIFICATIONS

- I. Pursuant lo Municipal Code Chapter 1-23, Article I ('•Article I")(which the Applicant should consul! for defined terms (e.g., "doing business") and legal requirements), if (he Disclosing Party submitling (his EDS is the Applicant and is doing business with the City, then ihe Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of. or has ever been convicted of, or placed under supervision for, any-criminal offense involving actual, attempted, or conspiracy lo commit bribery, theft, fraud, forgery, perjury, dishonesty or deceir against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, ihe permanent compliance timeframe in Article I supersedes some live-year compliance timeframes in certifications 2 and 3 below.
- 2. The Disclosing Parly and. it" the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, stale or local unit of government:
  - b. have not. within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or

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had a civil judgment rendered against them in connection with: obtaining, attempting lo obtain, or performing a public (federal, slate or local) transaction or contract tinder a public transaction: a violation of federal or stale antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements: or receiving stolen property:

- c. arc not presently indicted for. or criminally or civilly charged by, a governmental entity (federal, sialc or local) with committing any ofthe offenses sel forth in clause B.2.b. of this Section V;
- d. have not. within a five-year period preceding the dale of this EDS, had one or more public transactions (federal, slate or local) terminated for cause or default: and
- e. have not, within a five-year period preceding the dale of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government..
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Parly;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with (he Matter, including but noi limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Olher Retained Parties"); •
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Parly, is controlled by the Disclosing Parly, or is, with the Disclosing Parly, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership: identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or. with the Contractor, is under common control of another person or entity:
- any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Parly, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor. nor any Affiliated Entity of either the Disclosing Parly or any Contractor nor any Agents have, during the five years before the date this F.DS is signed, or. with respect to a Contractor, an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with ihe .VI alter:

- a. bribed or attempled to bribe, or been convicted or adjudged guilly of bribery or attempting to bribe, a public officer or employee of the City, the Slate of Illinois, or any agency of the federal government or of any slate or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with olher bidders or prospective bidders, or been a parly to any such agreement, or been convicted or adjudged guilly of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

- c. made an admission of such conduct described in a. or b. above that is a mailer of record, but have not been prosecuted for such conduct: or
- d. violated.the provisions of Municipal-Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party. Affiliated Entity or Contractor, of any of their employees, officials, agents or partners, is barred from contracting with any unit of slate or local government as a result of engaging in or being convicted of (I) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33 E-1: or (3) any similar offense of any stale or ofthe United Slates of America that contains ihe same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the-Disclosing Party norany Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List', the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General). 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the •Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Pari B (Further Certifications), the Disclosing Party musi explain below:

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If the letters "NA." the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- <sub>t</sub>S. To the best ofthe Disclosing Parly's knowledge after reasonable inquiry, the following is a complete lisl of all current employees of ihe Disclosing Paity who were, at any lime during the 12-monih period preceding ihe execution date of this L<sup>2</sup>DS. an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 9. To the best ofthe Disclosing Parly's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Parly has given or caused lo be given, at any time during the 1 2-month period preceding ihe execution date of this F.DS. lo an employee, or elected or appointed official, of the City of Chicago. For purposes of this/slatemenl. a "gift" docs not include: (i) anything made generally available to City employees or lo the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION I. The Disclosing

Party certifies that the Disclosing Parly (check one)

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is. and none of them will become, a predatory lender as defined in Chapter 2-32 of ihe Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make ibis pledge because it or any of its affiliates (as defined in Section 2-32-155(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA." ihe word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified tu the above statements.

#### D. CERTIFICATION REGARDING INTER EST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

In accordance with Section 2-1 56-1 I 0 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in ihe name of any other person or entity in the Matter?

[) Yes (^No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

Unless sold pursuant lo a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain pow does not constitute a financial interest within the meaning of this Part D.

Does ihe Mailer involve a City Property'Sale?

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I I Yes		
*	xed "Yes" lo Item D.I provide the nature of	mes and business addresses of the City officials or such interest:
Name	Business Address	Nature of Interest
4. The Disclosin City official or emp	<del>-</del> -	nibited financial interest in the .Matter will be acquired by any
I CERTIFICATIO	N REGARDING SLAVERY ERA B	USINESS
	ner I. or 2. below. If the Disclosing Pa DS all information required by paragr	rty checks 2., the Disclosing Party must disclose below or in an raph 2. Failure lo
		age S of 13
comply with these of Matter voidable by		y contract entered into with the City in connection with the
the Disclosing Party	y and any and all predecessor entities ce policies during the slavery era (inc	Party has searched any and all records of regarding records of investments or profits from slavery or luding insurance policies issued lo slaveholders that provided, and the Disclosing Party has found no such records.
Disclosing Parly has Disclosing Party ver	s found records of investments or pro	onducting the search in step I above, the fits from slavery or slaveholder insurance policies. The ll disclosure of all such records, including the names of any and
SECTION VI CEI	PTIFICATIONS FOR FEDERALLV	EENDED MATTEDS

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FENDED MATTERS

NOTE: If (he Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed lo Section VII. For purposes of this Section VI. tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

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I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Parly with respect to (he Mailer: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds lo pay-any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt lo influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of I 9-Sfi; or (ii) it is an organization described in section 501(c)H) of the Internal Revenue Code of 1086 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance lo paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain'all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL E\| PLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicanl and all proposed subcontractors to submit the following information with their bids or in writing at (he outset of negotiations.

Is the Disclosing Party the Applicant?

[ 1 Yes [JNo If "Yes.." answer the three questions

#### below:

1. Have you developed and do.you have on file affirmative action programs pursuant lo applicable federal regulations? (See 41 CFR Part 60-2.)

[]Yes" f]No

_	 	 	 	

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

[] Yes | J No

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3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

] Yes f J No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII - ACKNOWLK.DflM KXTS, CONTRACT INCORPORATION. COM PITA \CE, PEN A ETI ES. I) ISCI ,OS Li R E

The Disclosing Parly understands and agrees thai:

- A. The certifications, disclosures, and acknowledgments contained in ihis EDS will become pan of any contract or other agreement between the Applicant and ihe City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Mailer. The Disclosing Parly understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign f inancing Ordinances. Chapters 2-156 and 2-164 of ihe Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of ihese ordinances and a training program is available on line at w w w .c it vo fc h i e a go. o ru/ Ethics, and may also be obtained from the City's Board of Ethics. 740 N.

Sedgwick St., Suite 500, Chicago, 11. 60610. (312) 744-9660. The Disclosing Parly must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law. or in equity, including terminating the Disclosing Party's participation in the Mailer and/or declining to allow the Disclosing Parly lo participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award lo the City of treble damages.
- D. It is the City's policy to make Ihis document.available to ihe public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments lo this EDS may be made available lo Ihe public on the Internet, in response to a Freedom of Information Acl request, or otherwise. By completing and signing ihis EDS, the Disclosing Parly waives and releases any possible rights or claims which it may have against the Cily in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

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E. The information provided in this EDS mus! be kepi current. In the event of changes, ihe Disclosing Parly must supplement this EDS up lo ihe lime the City takes action on ihe Mailer. If the Mailer is a contract being handled by the City's Department of Procurement Services, the Disclosing Parly must update this EDS as the contract requires. NOTE: With respect to Mailers subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), ihe information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Parly represents and warrants thai:

#### Page 1 I of 13

- I'.]. The Disclosing Parly is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Parly or its Affiliated Entities delinquent in paying any fine, fee. lax or other charge owed to the City. This includes, hut is not limited lo, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Emlitics will not use. nor permit their subcontractors to use. any facility listed by the U.S. E.P.A. on the federal Excluded Parlies List System ("EPFS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant: ihe Disclosing Party will obtain from any eoniraemrs/subconlraeiors hired or 10 be hired in connection with the Matter certifications equal in form and substance to those in E.I. and F.2. above and will not. without'the prior written consent of ihe City, use any such contractor/subcontractor lhai'does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in E. I., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in ihis EDS and Appendix A (if applicable) are true, accurate and complete as of ihe date furnished'lo the Cily.

$$50 /^f tr/W LLC$$

(Print or type name of Disclosing Parly)

Manager

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(Print or type title of person signing)	

#### 

#### FAMILIAL RELATIONSHIPS WIT H ELECT ED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is (o be completed only by (a) (he Applicant, and (!>) any legal entity which has a direct ownership interest in (he Applicant exceeding 7,5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in (he Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if. as ofthe dale ihis EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, ihe city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of ihe Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation: all partners of the Disclosing Party, if the Disclosing Party, if the Disclosing Party is a limited partnership: all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of Ihe Disclosing Party; and (.V) any person having more than a 7.5 percent ownership interest in the Disclosing Parly. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Parly" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person. (2) the name ofthe legal entity to which such person is connected: (3) the name and title of the elected city official or department head lo whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE ST ATEMEN T AND AFFIDAVI T APPENDIX IS

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is lo he completed only by (a) the Applicant, ;md (b) any leg;il entity which lias a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Miinicipal Code Section 2-154-010. is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code? ~

# I JYes [j^No

2.. If the Applicant is a legal entity publich traded oil am exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

| J Yes • | ] No iS^Noi Applicable

3. If yes lo (1) or (2) above, please identify below die name ofthe person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code v iolations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE IN TO, AND MADE A PART OF, THE ASSOCIATED EDS, ANI) THA T THE REPRESEN TA TIONS MADE IN THIS APPENDIX B -ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIAT ED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission lo City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning map amendment for property located This recertification is

being submitted in connection with at 838-842 w. Lake St.. Chicago, Illinois [identify the Matter]. Under penalty of

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perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

Parkway Bank & Trust Co. Trust Number 1950, dated December 12,1984 (Print or type legal name of Disclosing Party) Print or type name of signatory: Joseph Maffei Title of signatory: Sole Beneficiary of Trust and Holder of Power of Direction [state]. Notary Public, Slate of Illinois My Commission Expires LINDSEY RIDGWAY OFFICIAL SEAL August 20, 2019  $/ \bullet ^r' - 'QITY' - pF$ CHICAGO %n>:f:] RE ST**ECONOMIGVD1S CEOS** U Ti EME NT \_\_^\_\_ffei4^y\$^1^^ @^V^.-\_iTjiri—im-jiiiLj|| jml jhjiih ∎ UMiiiij.iiiji pupi mii 1U-.i.i Ji' if LU1! » i- ™.wn m. |i »J1. T "-aid g^pp 1 icantg^j^hichjthj^ ■'. /:. \$FXT:1 pNT A-. NATURE OF Till-: DISCLOSING" !?ARTY ; . i^;' - '^i- X%r^f^VJ\<::f----;^.-s,..:-;-i>'''''.'''?= ' ":"""v:v..<".'H./"' .; ^'V:'|.:^':i;^v;"'^T' L.'.indicate the naiure of die.Disclosing Pony/'';•  $i: ; \bullet -^{\Lambda}A^{\Lambda}-f:]^{\Lambda}.^{\Lambda}$ (] Person f 1 Liu)iicd liability.ctimpiuiy :;' []. Publicly registered business corporation ' [J Liniiled liability partnership  $-V^{\land}.' \setminus [r^{\land}lj \quad t^{\land}.^{\land}]$ 11 Privately held business corporation: ./['•.]•' Joint venture ; '. .**■■** ;.'.'\*X'^

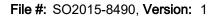
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If the iellers "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Tarly's knowledge after reasonable inquiry, the following is a complete list ofall current employees ofthe Disclosing Party who were, at any time during the 1 2-month period preceding the execution date of this EDS, an .employee, or elected or appointed official, of the City of Chicago (if none, indicate vyith "N/A" or "none").

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9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the. following is a complete list of all gifts that the Disclosing Party has given or caused to; be given,; al. any lime. during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a. "gift" does not include: (i) unylhing made generally available to City employees or to the general public, br (ii) food or drink provided in the course of official City business and having a retail value of less than S20.pcr recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

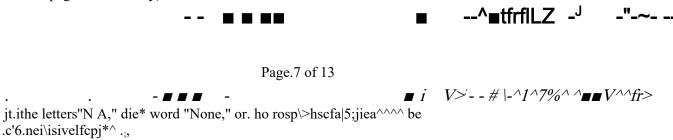
- 1. The Disclosing Party certifies that the Disclosing Party (check one) . ...
- 1. [] is J^is not

a "financial institution" as defined in Section 2-32-155(b) of the Municipal Code.

2. If the Disclosing Party IS a financial instimtion, the Disclosing Party pic

"We are not and will not become a predatory lender as defined iii Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is. and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code, We understand that becoming a predatory lender dr becoming an affiliate of a predatory lender may result in the loss of the privilegeof doing business with the City."

If the Disclosing Party is unable to make this pledge because itor any of its affiliates (as defined in: Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):



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comply wiili these disclosure requirements may make any contract entered into, vv.iih the City in e o n n e c t i q n w j ill the". M a 1re r-v oidablo hy the Gity. ;  $> |\blacksquare: (\bullet - \blacksquare) = (-1)$ .

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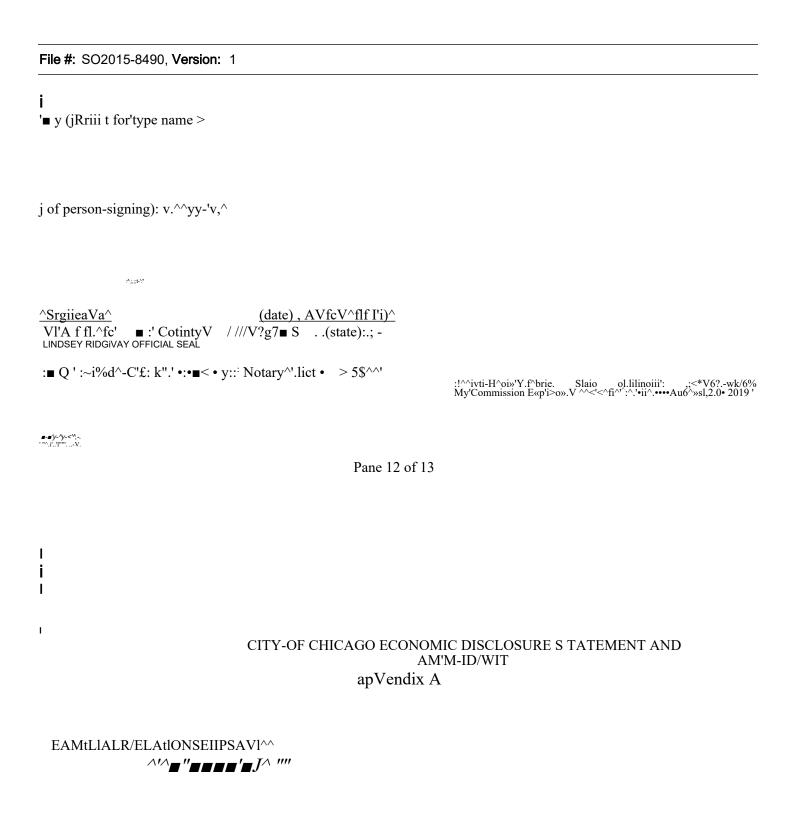
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