

## Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

### Legislation Details (With Text)

**File #**: O2016-651

Type: Ordinance Status: Introduced

File created: 2/10/2016 In control: Committee on Zoning, Landmarks and Building

Standards

Final action:

Title: Zoning Reclassification Map No. 1-H at 2041-2059 W Race Ave and 511-519 N Hoyne Ave - App No.

18660T1

Sponsors: Misc. Transmittal
Indexes: Map No. 1-H

**Attachments:** 1. O2016-651.pdf

Date	Ver.	Action By	Action	Result
8/24/2016	1	Committee on Zoning, Landmarks and Building Standards	Held in Committee	Pass
6/20/2016	1	Committee on Zoning, Landmarks and Building Standards	Held in Committee	Pass
3/14/2016	1	Committee on Zoning, Landmarks and Building Standards	Held in Committee	Pass
2/10/2016	1	City Council	Referred	

ORDINANCE  $t - / o - / \pounds$ 

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the current RS-3 Residential Single-Unit (Detached House) District symbols and indications as shown on Map No. 1-H in the area bounded by:

West Race Avenue; a line 191.56 feet east of North Hoyne Ave; the public alley next south of West Race Avenue; and North Hoyne Avenue

to those of a B2-1.5 Neighborhood Mixed-Use District.

SECTION 2. This Ordinance shall be in force and effect from and after its passage and due publication.

Common Address: 2041 - 59 W. Race Ave./ 511 - 19 N. Hoyne Ave.

File #:	O2016-651,	Version:	1
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### **NARRATIVE**

2041 - 59 W. Race Ave./ 511 -19 N. Hoyne Ave. TYPE I REGULATIONS

Narrative: The subject property contains approximately 17,648 square feet and is improved with a one-story commercial building. The Applicant proposes to rezoned the property to a B2-1.5 district, demolish the existing improvements and construct eight three-story single-family homes each containing approximately 3,300 sq. ft. and an attached two-car garage.

Lot Area: 2,211 sq. ft.

FAR: 1.5

FLOOR AREA: 3,300 sq.ft.

Residential Dwelling Units: 8

MLA: 2,211 sq.ft.

Height: 40.5 ft.

Bicycle Parking: None

Automobile Parking: Two per Dwelling Unit

Loading: None

Setbacks (all measured from perimeter of each of the eight individual lots):

North Property Line(Race Ave.): 3.5 ft.

East Property Line: None

South Property Line (alley): 2.0 ft. West Property Line: 3.0 ft.

Applicant will apply for variations for setback reductions

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^eo 16,2015

Patricia Scudiero Zoning Administrator City of Chicago City Hall - Room 905 121 N. LaSalle Street Chicago, IL 60602

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Re: ^ffX59 W. Race Ave./ 537^- 45 N. Hovne Ave.

**Dear Zoning Administrator Scudiero:** 

is the owner ("Owner") of the above referenced property [the "Property"). Owner has authorized Guardian Properties, LLC ("Applicant") to file an application to rezone the Property and seek a Planned Development for its development and to take any necessary actions in connections with said application.

### Sincerely,

February 3, 2016

Chairman, Committee on Zoning Room 304, City Hall Chicago, Illinois 60602

Chairman:

The undersigned, Rolando R. Acosta, being first duly sworn on oath, deposes and say the following:

3\_

That the undersigned certifies that he has complied with the requirements of the Chicago Zoning Ordinance, Title 17, Section 13-0107-A of the Municipal Code of Chicago, by serving written notice by USPS first class mail, to the owners of all property within 250 feet in each direction of the lot lines of the subject property, the boundaries of which are:

West Race Avenue; a line 191.56 feet east of North Hoyne Ave; the public alley next south of West Race Avenue; and North Hoyne Avenue

exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet, and that the notice contained the address and description of the property which is the subject of the application under the Chicago Zoning Ordinance; a statement of the intended use of said property; the name and address of the applicant; and a statement that the applicant intends to file said application on approximately February 3, 2016; that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under the cited section of the Municipal Code of the City of Chicago; that the applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet, recognizing the above limits, is a complete list containing the names and last know addresses of the owners of the property required to be served and that the applicant has furnished in addition a list of the persons so served.

Subscribed and sworn to before me this February 3, 2016.

February 3, 2016

Dear Sir or Madam:

Please take notice that under the Chicago Zoning Ordinance an application (the "Application") to change the RS-3 Residential Single-Unit (Detached House) District classification to those of a B2-1.5 Neighborhood Mixed-Use District for the area which is bounded by:

West Race Avenue; a line 191.56 feet east of North Hoyne Ave; the public alley next south of West Race Avenue; and North Hoyne Avenue

(hereafter the "Property") will be filed on or about February 3, 2016 with the Department of Planning and Development, City of Chicago by Guardian Properties, LLC, 17 E. Monroe St, Suite 158, Chicago, IL 60607 (hereinafter the "Applicant"). The addresses of the Property are

2041 - 59 W. Race Ave./ 511 - 19 N. Hoyne Ave., Chicago, IL. The Owner of the Property is Edward Simon, 2059 W. Race Ave., Chicago, IL 60612.

The Property currently is improved with a one-story vacant commercial building. The Application, if

approved, will allow redevelopment of the Property through the demolition of the existing building and construction of eight three-story (40.5 ft. in height) single family homes each containing approximately 3,300 sq. ft. and an attached two-car garage.

This notice is required by the Chicago Zoning Ordinance, Title 17, Section 17-13-0107-A of the Municipal Code of Chicago. It is being sent to you because you appear as a taxpayer of record within 250 feet of the Property. The Application will not rezone your property. Any questions regarding this notice may be direct to the undersigned at 312-636-6937.

ido R. Acosta, Attorney for the Applicant

Sincerely

### CITY OF CHICAGO

## APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

ADDRESS of the property Applicant is seeking torezone:

2041 - 59 W. Race Ave./ 511 - 19 N. I loync Ave.

Ward Number that property is located in:\_

APPLICANT Guardian Properties, LLC

ADDRESS 17 E Monroe St., Suite 158

**ZIP CODE 60607** 

CONTACT PERSON Rolando R. Acosta

NO \*

Is the applicant the owner of the property? YES

If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER

Edward Simon

ADDRESS 2059 W. Race St. STATE "'

ZIP CODE 60612

CONTACT PERSON Rolando R. Acosta

If the Applicant/Owner of the property has obtained a lawyer as their representative forthe rezoning, please provide the following information:

ATTORNEY Rolando R. Acosta

ADDRESS ^mcaso Ave\_ -\*rd ^'

File #:	O2016-651, <b>Versi</b> c	on: 1		
CITY	Chicago	STATE	<b>'</b> L	ZIP CODE <sup>60642</sup>
PHONE	312-636-6937	FAX		EMAIL rolando@acostaezgur.com <mailto:rolando@acostaezgur.com></mailto:rolando@acostaezgur.com>
If the a	applicant is a legal	entity (Corporat	ion, LLO	C, Partnership, etc.) please provide the names of all owners as disclosed
	Economic Disclosu		,	, - m
<u>]</u>	Brian Duggan			
7.	On what date did t	he owner acquir	re legal ti	itle to the subject property?_
8.	Has the present ov	vner previously	rezoned	this property? If yes, when?
9.	Present Zoning Di	strict RS~3		Proposed Zoning District B2-1.5
10.	Lot size in square	feet (or dimensi	ons)	17.648 sq. ft.
1 1.	Current Use of the prop	perty V	√acant one	e-story commercial building
12.	Reason for rezoning the	e property Deve	lopment of	f eight three-story single family homes with an attached garage
13.				fter the rezoning. Indicate the number of dwelling units; number of

parking spaces; approximate square footage of any commercial space; and height of the proposed building.

(BE SPECIFIC)

Eight single family homes, three stories (40.5 ft.) in height containing approximately 3,300 sq. ft. and an attached two car garage in the rear

14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial

File #: O2016-651, V	ersion:	1
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contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cuyofchicago.org/ARO <a href="http://www.cuyofchicago.org/ARO">http://www.cuyofchicago.org/ARO</a> for more information). Is this project subject to the ARO?

NO X

**COUNTY** 

**OF** 

**COOK** 

STATE

**OF** 

**ILLINOIS** 

being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

Signature of Applicant

Notai

day of -TiniMlAj 20 Subscribed and Sworn to before me this W

GREGORY C MARTIN OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires January 07, 2018

### For Office Use Only

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I - GENERAL INFORMATION**

- A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
- Guardian Properties, LLC

### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. fx j the Applicant ""or
- 2. CI a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: OR
- 3. PI a legal entity with a right of control (see Section II.B.I.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 17 E. Monroe St., Suite 158

Chicago, IL 60607

C. Telephone: 312-636-6937 Email: rolando@acostaezgur.com

File #: O2016-651, Version: 1	
<mailto:rolando@acostaezgur.com></mailto:rolando@acostaezgur.com>	
D. Name of contact person: Rolando R. A	Acosta
E. Federal Employer Identification No. (if you	have one):
F. Brief description of contract, transaction or which this EDS pertains. (Include project num	other undertaking (referred to below as the "Matter") to ber and location of property, if applicable):
Rezoning of 2041 - 59 W. Race Ave./ 511 - 19	N. Hoyne Ave.
G. Which City agency or department is reque	sting this EDS? DPP
If the Matter is a contract being handled by	the City's Department of Procurement Services, please complete the following:
Specification #	and Contract #
Page 1 of 13	
SECTION II - DISCLOSURE OF OWNER	RSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY	Y
<ol> <li>Indicate the nature of the Disclosing Pa</li> <li>j Person</li> <li>P∼j Publicly registered business corporation</li> </ol>	arty: j'x] Limited liability company P] Limited liability partnership
i j Privately held business corporation PI Sole proprietorship	PJ Joint venture PI Not-for-profit corporation
ij General partnership j <sup>-</sup> ! Limited partnership	(Is the not-for-profit corporation also a 501(c)(3))? Pj Yes P]No

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

PJ Other (please specify)

H Yes pj No fx] N/A

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

| | Trust

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

File #: O2016-651, Version: 1
If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.
Name Title Brian Duggan Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Brian Duggan 17 K. Monroe St., Sutie 158, Chicago, IL 60607 100%

### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

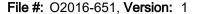
Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

 $\Box$  Yes !x~J No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.



"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Rolando R. Acosta 1030 W. Chicago Ave., 3rd Fl, Chicago, II, 60642 Atty \$7,500 (est)

(Add sheets if necessary)

j Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

### **SECTION V - CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

r\_j Yes fx] No |∼| No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

li Yes n No

### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1 -23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Parly certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, slate or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or
    had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public
    (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud;
    embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen
    property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Parly, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or

indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

File #: O2016-651, Version: 1			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Parly (check one)

$$[\sim i is ix]$$
's not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Page 7 of 13

File #: O2016-65	1, Version: 1	
_	A," the word "None," or no respon y certified to the above statements.	se appears on the lines above, it will be conclusively presumed th
D. CERTIFICATIO	ON REGARDING INTEREST IN CIT	Y BUSINESS
Any words or terms	s that are defined in Chapter 2-156 of t	he Municipal Code have the same meanings when used in this Part
	ace with Section 2-156-110 of the Municr own name or in the name of any othe [xi No	icipal Code: Does any official or employee of the City have a financial or person or entity in the Matter?
NOTE: If you che	cked "Yes" to Item D.l., proceed to Iter	ms D.2. and D.3. If you checked "No" to Item D. 1., proceed to Part E.
have a financial int belongs to the City "City Property Sale	erest in his or her own name or in the r , or (ii) is sold for taxes or assessments	idding, or otherwise permitted, no City elected official or employee shall name of any other person or entity in the purchase of any property that (i) is, or (iii) is sold by virtue of legal process at the suit of the City (collectively ursuant to the City's eminent domain power does not constitute a financial
Does the Matter in	volve a City Property Sale?	
l~. Yes □No		
•	ked "Yes" to Item D.l., provide the nan lentify the nature of such interest:	nes and business addresses of the City officials or employees having
Name	Business Address	Nature of Interest
4 The Disclosin	ng Party turther certities that no proh	ibited financial interest in the Matter will be acquired by any City offici

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Parly must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

File #: O2016-651, Version: 1
comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
I 1_2. The Disclosing Parly verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
CECTION VI. CERTIFICATIONS FOR EFREDALLY FUNDER MATTERS
SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to Ihe Matter.)

2. The Disclosing Parly has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

File #: O2016-651, `	/ersion: 1
_	Party will submit an updated certification at the end of each calendar quarter in which there occurs any ever the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
Code of 1986; or (ii) i	Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged "Lobbying Activities".
paragraphs A.l. throug	g Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to h A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain certifications for the duration of the Matter and must make such certifications promptly available to the City
B. CERTIFICATION	REGARDING EQUAL EMPLOYMENT OPPORTUNITY
	ly funded, federal regulations require the Applicant and all proposed subcontractors to submit the with their bids or in writing at the outset of negotiations.
Is the Disclosing Party	the Applicant?
QYes	Q No
If "Yes," answer the tl	ree questions below:
1. Have you devel CFR Part 60-2.) • Yes []No	oped and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 4
	with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or to Opportunity Commission all reports due under the applicable filing requirements?
<ul><li>3. Have you part</li><li>Yes</li></ul>	cipated in any previous contracts or subcontracts subject to the equal opportunity clause?
If you checked "No" t	o question 1. or 2. above, please provide an explanation:
	Page 10 of 13

File:	#: +	O2016-65	51. <b>V</b> e	ersion:	1
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## SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaKO.org/Ethics <a href="http://www.cityofchicaKO.org/Ethics">http://www.cityofchicaKO.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Parly's participation in the Matter and/or declining to allow the Disclosing Parly to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Guardian Properties, LLC (Print or type name of Disclosing Party)

By: 3%£Z >

Brian Duggan (Print or type name of person signing)

Manager

(Print or type title of person signing)

at Cook

 $l^y^.t > r > A C/ti^r A/A < '^\sim Notary Public.$ 

Commission expires: 5| | b"? %

Page 12 of 13

GREGORY C MARTIN OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires January 07,2018

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

File #:	02016	6-651.	Version:	1
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### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.l.a., if the Disclosing Parly is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Parly is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

! : Yes jxj No

Jf yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

### Page 13 of 13

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or
	problem landlord pursuant to Section 2-92-416 of the Municipal Code?

□ Yes [7] No

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2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

 $r\sim jYes$   $O^{N0}$   $[x\sim | Not Applicable]$ 

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

Page 14 of 14

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Edward Simon

### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ["xj the Applisaa\* Owner

OR

- 2. ["J a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3. I i a legal entity with a right of control (see Section JI.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 2059 w. Race St.

File #: O2016-651, V	ersion: 1				
		Chica	ago, II. 60607		
C. <u>Telephone: 312-636</u> <mailto:rolando@acostae< th=""><th></th><th><u>x:</u></th><th>Email: rolando@aco</th><th>ostaezgur.com</th><th></th></mailto:rolando@acostae<>		<u>x:</u>	Email: rolando@aco	ostaezgur.com	
D. Name of contact pers	on: Rolan	do R. Acosta			
E. Federal Employer Id	lentification No. (if	You have one):			
F. Brief description of (Include project number			ng (referred to below as	s the "Matter") to which this ED	S pertains
Rezoning of 2041 - 5	9 W. Race St./511 - 1	9 N. Hoyne Ave.			
G. Which City agency	or department is red	questing this EDS?	UVD		
If the Matter is a con	ntract being handle	d by the City's Departm	ent of Procurement Serv	vices, please complete the following	ng:
Specification #		and Contra	act#		
Page 1 of 13					
SECTION II - DISC	LOSURE OF OW	NERSHIP INTERES	ΓS		
A. NATURE OF THE	DISCLOSING PA	RTY			
ixj Person					
I Limited partnership n I' j Limited liability cor [~J Limited liability pa   [ Joint venture	Trust mpany rtnership	on j j Privately held bu	siness corporation f~"  S	Sole proprietorship i j General par	tnership
l_J Not-for-profit corpo (Is the not-for-profit co □ Yes rjNo ["""					
2. For legal entitie	es, the state (or fore	ign country) of incorpo	ration or organization, if	applicable:	
3. For legal entitie Illinois as a foreign en	_	the State of Illinois: Ha	s the organization registo	ered to do business in the State of	
□ Yes	Tj No	of] N	J/A		

File #: O2016-651,	Version: 1	
B. IF THE DISCLO	SING PARTY IS A LEGAL ENTITY	Y:
corporations, also list trusts, estates or other.  If the entity is a glist below the name a	et below all members, if any, which are similar entities, list below the legal general partnership, limited partnership and title of each general partner, man-	e officers and all directors of the entity. NOTE: For not-for-profit re legal entities. If there are no such members, write "no members." For litleholder(s).  p, limited liability company, limited liability partnership or joint venture, aging member, manager or any other person or entity that controls the daylegal entity listed below must submit an EDS on its own behalf.
Name Title		
N/A		
(including ownership		ng each person or entity having a direct or indirect beneficial interest g Party. Examples of such an interest include shares in a corporation,
	Page 2	of 13
none, state "None." N	NOTE: Pursuant to Section 2-154-030	pany, or interest of a beneficiary of a trust, estate or other similar entity. If of the Municipal Code of Chicago ("Municipal Code"), the City may t which is reasonably intended to achieve full disclosure.
Name	Business Address	Percentage Interest in the Disclosing Party
N/A		
SECTION 111 DI	SINESS RELATIONSHIPS WITH	I CITV ELECTED OFFICIALS
		' as defined in Chapter 2-156 of the M unicipal Code, with any City elected

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the M unicipal Code, with any City elected official in the 12 months before the date this EDS is signed?

□ Yes jxj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Name (indicate whether **Business** retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

jx j Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V --

### **CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

{~j Yes [xj No [~J No person directly or indirectly owns 10% or more of the Disclosing Party.

File #:	02016	6-651.	Version:	1
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If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

 $\square$  Yes  $\square$  No

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, stale or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or
    had a civil judgment rendered against them in connection with: obtaining, altempting to obtain, or performing a public
    (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud;
    embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen
    property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, slate or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the dale this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any slate or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33 E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

File #: O2016-651,	Version:	1
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### Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. Pi is ix is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Page 7 of 13

File #: O2016-651, Versio	<b>n</b> : 1	
If the letters "NA," the word Disclosing Party certified to	-	ppears on the lines above, it will be conclusively presumed that the
D. CERTIFICATION REGA	RDING INTEREST IN	CITY BUSINESS
Any words or terms that are o	defined in Chapter 2-156	of the Municipal Code have the same meanings when used in this Part
		Municipal Code: Does any official or employee of the City have a financial other person or entity in the Matter?
NOTE: If you checked "Yes	" to Item D.l., proceed to	Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.
have a financial interest in hi belongs to the City, or (ii) is	s or her own name or in t sold for taxes or assessm ensation for property take	we bidding, or otherwise permitted, no City elected official or employee shall he name of any other person or entity in the purchase of any property that (i) ents, or (iii) is sold by virtue of legal process at the suit of the City (collectively, en pursuant to the City's eminent domain power does not constitute a financial
Does the Matter involve a Ci	ty Property Sale?	
Q3 Yes	["] No	
3. If you checked "Yes" such interest and identify the	-	names and business addresses of the City officials or employees having
Name	Business Address	Nature of Interest
4. The Disclosing Party f or employee.	further certifies that no p	prohibited financial interest in the Matter will be acquired by any City official
E. CERTIFICATION REGA	RDING SLAVERY ERA	A BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

File #: O2016-651, V	ersion: 1
comply with these disc voidable by the City.	losure requirements may make any contract entered into with the City in connection with the Matter
predecessor entities reg	arty verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all arding records of investments or profits from slavery or slaveholder insurance policies during the slavery eraplicies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the und no such records.
Disclosing Party has fo	g Party verifies that, as a result of conducting the search in step 1 above, the und records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party ng constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders rds:
SECTION VI CER	TIFICATIONS FOR FEDERALLY FUNDED MATTERS
	federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION	REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Parly has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

# SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaKO.org/Ethics <a href="http://www.cityofchicaKO.org/Ethics">http://www.cityofchicaKO.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Parly must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

### Page 11 of 13

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS")

maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party) By:£\_

(Sign here) (Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date)  $\pm -is -it$  at C'j^/l^ County, ^"C- (state).

OFFICIAL SEAL MELINDA LAWRENCE Notary Public - State of Illinois My Commission Expires Sep 5, 2017

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the dale this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

File #: O2016-651,	File #: O2016-651, Version: 1			
corporation; all partner partners of the Disclo Disclosing Party, if th person having more th	ers of the Disclosing Party, if the Discising Party, if the Disclosing Party is a Disclosing Party is a limited liabil han a 7.5 percent ownership interest	the Disclosing Party listed in Section II.B. 1 .a., if the Disclosing Party is a sclosing Party is a general partnership; all general partners and limited a limited partnership; all managers, managing members and members of the ity company; (2) all principal officers of the Disclosing Party; and (3) any in the Disclosing Party. "Principal officers" means the president, chief er, treasurer or secretary of a legal entity or any person exercising similar		
authority.	eutive director, emer imaneiar office	if, treasurer of secretary of a legal entity of any person exercising similar		
	ng Party or any "Applicable Party" of elected city official or department he	r any Spouse or Domestic Partner thereof currently have a "familial ead?		
j   Yes	ixj No			
connected; (3) the nar	•	uch person, (2) the name of the legal entity to which such person is al or department head to whom such person has a familial relationship, and		

Page 13 of 13

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or

problem landlord pursuant to Section 2-92-416 of the Municipal Code?
No
□ Yes □
If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

| [ Yes r~J No ~ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

Page 14 of 14