

## Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

## Legislation Details (With Text)

File #: 02016-3889

Type: Ordinance Status: Passed

File created: 5/18/2016 In control: City Council

**Final action:** 6/22/2016

Title: Zoning Reclassification Map No. 3-I at 2847 W Division St - App No. 18769

**Sponsors:** Misc. Transmittal

Indexes: Map No. 3-I

**Attachments:** 1. O2016-3889.pdf

Date	Ver.	Action By	Action	Result
6/22/2016	1	City Council	Passed	Pass
6/20/2016	1	Committee on Zoning, Landmarks and Building Standards	Recommended to Pass	Pass
5/18/2016	1	City Council	Referred	

## **ORDINANCE**

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RS3 Residential Single-Unit (Detached House) District symbols and indications as shown on Map No. 3-1 in the area bounded by

West Division Street; a line 106.70 feet east of and parallel to North Francisco Avenue; the east/west public alley next south of and parallel to Division Street; and a line 133.70 feet east of and parallel to North Francisco Avenue;

to those of an RT4 Residential Two-Flat, Townhouse and Multi-Unit District.

This ordinance takes effect after its passage and approval.

## SPIEWAK CONSULTING

PROFESSIONAL DESIGN FIRM LICENSE NO.:184.006518

5805 W. HIGGINS AVE., CHICAGO, IL 60830 phono: (773) 738-1344; tax: (773) 736-4816 www.turveyoniland.ooin <a href="http://www.turveyoniland.ooin">http://www.turveyoniland.ooin</a> <a href="http://

## PLAT OF SURVEY

ANDREW SPIEWAK LAND SURVEYOR, INC. of

by

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PARCEL1: LOT 6 IN BLOCK 2 IN HUTCHINSON AND COLT'S SUBDIVISION OF BLOCKS 1, 6. 12 AND 16 IN CARTER'S SUBDIVISION OF BLOCKS 1. 2. 3. 4 AND 7 IN CLIFFORD'S ADDITION TO CHICAGO IN THE EAST 1/2 OF THE SOUTHWEST 1/4 SECTION 1, TOWNSHIP 39 NORTH, RANGE 13. EAST OF THE THIRD PRINCIPAL MERIDIAN. IN COOK COUNTY. ILLINOIS.

#### W. DIVISION ST.

16 FT. PUBLIC ALLEY (BLACKTOP)

COMMONLY KNOWN AS: 2847 W. DIVISION ST. CHICAGO, IL 60622 P.I.N. 16-01-303-004 LAND AREA ± 3,746 sq. ft.

#### Legend

WO.- WOOD FENCE CJ\_- CHAM UNK VF.-VHVL FENCE LF - RON FENCE N.F.-NORTH FACE SF.- SOUTH FACE W J.\* WEST FACE E J.> EAST FACE LP-MONFIPE LR-RONROO

· AONRODFOUND O IRON ROD SET 0 IRON PtPE FOUND 0 IRON F« SET -j- CROSS FOUND A SET

SCALE: 1 INCH EQUALS 25 FEET.

ORDERED BY: JOE BETANCOURT COMPANY OR ORGANIZATION:

SURVEYED &KJS.AFS DRAWN BYPJB, AFS CHECKED BY: AFS. AFS

PROJECT No: 048-1SUD16

STATE OF ILLINOIS) COUNTY OF COOK)

ANDREW SPIEWAK LAND SURVEYOR, INC. a professional design firm,

A.D. 20 16 \*A.D. 20/8
LICENCE NO: 154 00BS1S HEREBY CERTIFIES THAT A SURVEY HAS BEEN MADE UNDER SUPERVISION OF AN ILLINOIS PROFESSIONAL LAND SURVEYOR OF THE ABOVE DESCRIBED PROPERTY AND THAT THE PLAT HEREON DRAWN IS A CORRECT REPRESENTATION OF SAID SURVEY THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR BOUNDARY SURVEYS. FIELD WORK WAS COMLETED ON 12TH DAY OF CHICAGO, ILLINOIS, DATE OF PLAT 13TH DAY OF "

ANDRZEJ F. SPIEWAK ILLINOIS PROFESSIONAL LAND SURVEYOR

LICENCE No. 03S.0O317S LICENSE EXPIRES 11/30/201Q

THIS SURVEY IS VALID ONLY WITH AN EMBOSSED SEAL

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LAND SURVEYING CORPORATION THE DIRECTION AND SFCWM LXXMEMin \o £ CHICAGO / i ILLINOIS /{?\$ %,S OF ^ft\*

CITY OF CHICAGO

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## APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:

2847 W. Division Street, Chicago, Illinois.

Ward Number that property is located in: 26th Ward 2.

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3.	APPLICANT JO	APPLICANT JC Enterprises, LLC, an Illinois limited liability company				
	ADDRESS 204	1 W. Division Street		CITY Chicago		
	STATE IL	ZIP CODE 6062	22	PHONE 773-342-7211		
	EMAIL ioe@be	etancourtrealtv.com <	mailto:io	e@betancourtrealtv.com> CONTACT PERSON Joseph		
	Betancourt					
4. Is the applicant the owner of the property? YES X NO If the applicant is not the owner of the property, please provide the following information re the owner and attach written authorization from the owner allowing the application to proce				y, please provide the following information regarding		
	OWNER same	e as above				
	ADDRESS			CITY		
	STATE	ZIP CODE		PHONE		
	EMAIL		CONTA	CT PERSON		
5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:						
	ATTORNEY A	ATTORNEY Agustin,Ltd.				
	ADDRESS 394	6 N. Ravenswood Av	enue, #6	03		
	CITY Chicago	STAT	E JL	ZIP CODE 60613		

PHONE 773-315-3106 FAX NA EMAIL fred@agustinltd.com <mailto:fred@agustinltd.com>

6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements. Joseph Betancourt

7. On what date did the owner acquire legal title to the subject property? June 23, 2015

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8. Has the present owner previously rezoned this property? If yes, when? No.

RS3Residential Single-Unit

RT4 Residential Two-Flat

- 9. Present Zoning District (Detached House) District Proposed Zoning District Townhouse Multi-Unit District
- 10. Lot size in square feet (or dimensions) 27' x 138.75'=3,746 SF
- 11. Current Use of the property Partially constructed 2-story, single family home with a rooftop canopy.
- 12. Reason for rezoning the property Complete the construction of the 2-story, single family home with a rooftop canopy, as well as a 2-car garage at the rear of the property.
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

Proposed 2-story, single-family home with a rooftop canopy having a total height of 38'. There will also be a 2-car garage located at the rear of the property.

14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO <a href="http://www.cityofchicago.org/ARO">http://www.cityofchicago.org/ARO</a> for more information). Is this project subject to the ARO?

NO X

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File #: O2016-3889, Version: 1		
COLDITY	OF.	COOK
COUNTY STATE OF ILLINOIS	OF	COOK
	duly sworn on oath, states that all of th ained in the documents submitted here	
Subscribed and Sworn to before m	e this	
K day of ftpc\\ "OFFICIAL SEAL" VERO	20 \b  ONICA MONJES Notary Public, State' of Illino	is My Cowmteton ^xp^^^OU
For Office Use Only		
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**OWNER AUTHORIZATION FORM** 

April 15,2016

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I, Joseph Betancourt, Managing Member of JC Enterprises, LLC, an Illinois limited liability company, which is applicant and owner of the subject property located 2847 W. Division Street, Chicago, IL ("Subject

Property"), authorizes the law firm, Agustin, Ltd., to file a zoning amendment application with the Department of Planning and Development, City of Chicago, for the Subject Property.

JC Enterprises, LLC, an Illinois limited liability company B^T JosephBeti Its: Managing Member

#### AFFIDAVIT OF WRITTEN NOTICE

**April 20,2016** 

Honorable Daniel S. Solis Chairman Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Frederick E. Agustin, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately April 20,2016.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

**Signature** 

Subscribed and Sworn to before me this ^-OjH day of Ap&IL 20/

**Notary Public** 

OFFICIAL SEAL MARK VOLPE

NOTARY PUBLIC - STATE OF ILLINOIS

VIA USPS FIRST CLASS MAIL

April 20, 2016

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically, Section 17-13-0107, please be informed that on or about April 20, 2016, the undersigned filed an application for a change in zoning from the current RS-3 Residential Single-Unit (Detached House) District to an RT4 Residential Two-Flat, Townhouse and Multi-Unit District on behalf of the Applicant/Owner, JC Enterprises, LLC, for the property located at 2847 W. Division Street, Chicago, Illinois 60622.

The reason for this zoning change is to complete the construction of the two-story single family home with a rooftop canopy, and a two-car garage located at the rear of the property.

JC Enterprises, LLC is located 2041 W. Division Street, Chicago, IL 60622.

I am the contact person for this application. My address is 3946 N. Ravenswood Avenue, #603, Chicago, IL 60613. My telephone number is (773) 315-3106.

Please note that the Applicant/Owner is not seeking to rezone or purchase your property. The Applicant/Owner is required by law to send this notice because you own property within 250 feet of the property subject to be rezoned.

Very Truly Yours, Agustin, Ltd.

Frederick E. Agusitn \* Attorney for the Applicant/Owner

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

JC Enterprises, LLC, an Illinois limited liability company

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [x] the Applicant
  - OR
- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in

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which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 2041 West Division Street

Chicago, IL 60622

C. Telephone: 773-342-721 Fax: 773-342-7073 Email: ioe@betancourtrealty.com

<mailto:ioe@betancourtrealty.com>

D. Name of contact person: Joseph Betancourt

E. Federal Employer Identification No. (if you have one):

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for the property located at 2847 W. Division St., Chicago, IL

G. Which City agency or department is requesting this EDS? Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

and Contract #

Specification #

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SECTION II DISCLOSURE OF OWNERS	SHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY	Y
<ol> <li>Indicate the nature of the Disclosing Parerson         Publicly registered business corporation         Privately held business corporation         Sole proprietorship         General partnership         Limited partnership         Trust     </li> <li>For legal entities, the state (or foreign</li> </ol>	[x] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)  country) of incorporation or organization, if applicable:
2. Illinois	
3. For legal entities not organized in the business in the State of Illinois as a foreign en	State of Illinois: Has the organization registered to do ntity?
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
not-for-profit corporations, also list below all members, write "no members." For trusts, esta If the entity is a general partnership, limite partnership or joint venture, list below the nar	all executive officers and all directors of the entity. NOTE: For members, if any, which are legal entities. If there are no such ates or other similar entities, list below the legal titleholder(s). In partnership, limited liability company, limited liability me and title of each general partner, managing member, manager day-to-day management of the Disclosing Party. NOTE: Each on its own behalf.
Name Title Joseph Betancourt	Managing Member

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2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

**Disclosing Party** 

Joseph Betancourt 2041 W. Division Street 100%

Chicago, IL 60622

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes [x] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)

Business Relationship to Disclosing Party Fees (indicate whether

Address (subcontractor, attorney, paid or estimated.) NOTE: lobbyist, etc.) "hourly rate" or "t.b.d." is

not an acceptable response.

Agustin, Ltd. 3946 N. Ravenswood Ave,#603 Attorney Estimate of \$2,000

Chicago, IL 60613

(Add sheets if necessary)

[ ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS** 

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

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[ ] Yes	[ ] No			

## B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2: The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;

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- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same

elements as the offense of bid-rigging or bid-rotating.

- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NA

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

  NA
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NA

## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [x] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): NA

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	ne word "None," or no response apped that the Disclosing Party certified	
D. CERTIFICATION	N REGARDING INTEREST IN CI	ΓΥ BUSINESS
Any words or terms when used in this Par	<del>-</del>	the Municipal Code have the same meanings
		nicipal Code: Does any official or employee of the City name of any other person or entity in the Matter?
NOTE: If you checked proceed to Part E.	ed "Yes" to Item D.l., proceed to Ite	ems D.2. and D.3. If you checked "No" to Item D.1.,
official or employee entity in the purchase is sold by virtue of le	shall have a financial interest in his e of any property that (i) belongs to egal process at the suit of the City (c ant to the City's eminent domain po	bidding, or otherwise permitted, no City elected or her own name or in the name of any other person or the City, or (ii) is sold for taxes or assessments, or (iii) collectively, "City Property Sale"). Compensation for wer does not constitute a financial interest within the
Does the Matter invo	olve a City Property Sale?	
[] Yes	[x] No	
	ed "Yes" to Item D.l., provide the na ch interest and identify the nature o	ames and business addresses of the City officials or f such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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| | | | |

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded,

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proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

None

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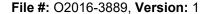
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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Office of the City Clerk

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_	arty will submit an updated certification at the end of each calendar quarter in which that materially affects the accuracy of the statements and information set forth in above.
the Internal Revenue Co	earty certifies that either: (i) it is not an organization described in section 501(c)(4) of de of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal out has not engaged and will not engage in "Lobbying Activities".
and substance to paragra and the Disclosing Party	Party is the Applicant, the Disclosing Party must obtain certifications equal in form phs A.l. through A.4. above from all subcontractors before it awards any subcontract must maintain all such subcontractors' certifications for the duration of the Matter and ations promptly available to the City upon request.
B. CERTIFICATION R	EGARDING EQUAL EMPLOYMENT OPPORTUNITY
	funded, federal regulations require the Applicant and all proposed subcontractors information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party th	he Applicant?
[x] Yes	[] No
If "Yes," answer the three	ee questions below:
1. Have you develop regulations? (See 41 CF)	ed and do you have on file affirmative action programs pursuant to applicable federal R Part 60-2.)  [x] No
[] 105	
•	with the Joint Reporting Committee, the Director of the Office of Federal Contract or the Equal Employment Opportunity Commission all reports due under the applicable
[] Yes	[x] No
3. Have you partici opportunity clause?	pated in any previous contracts or subcontracts subject to the equal
[] Yes	[x] No



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If you checked "No" to question 1. or 2. above, please provide an explanation: Not Applicable

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SECTION VII -- ACKNOW LEDGM ENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the

Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERM ANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

## JC Enterprises. LLC

(Print or type name of Disclosing Party) By:<
<u>Joseph Betancourt</u>
(Print or type name of person signing)

<u>Managing Member</u> (Print or type title of person signing)

Signed and sworn to before me on (date) at d-ooVx County, < (state).

## "OFFICIAL SEAL" VFRONICA MONTES

Xotor^ublic, Stole o lhno, K My Commission

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to

the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

Y es   *   No	]Yes [*]]	No
---------------	-----------	----

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2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[ ]Yes [" JNo [X] Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Joseph Betancourt

## **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant OR

- 2. [x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: JC Enterprises, LLC
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 2041 W. Division St. Chicago, IL 60622

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C. Telephon; Fax:	Email:
D. Name of contact person: Joseph Betancourt	
E. Federal Employer Identification No. (if you hav	re one): NA
F. Brief description of contract, transaction or oth this EDS pertains. (Include project number and loc	ner undertaking (referred to below as the "Matter") to which ation of property, if applicable):
Zoning Amendment Application for the property lo	ocated at 2847 W. Division, Chicago, IL
G. Which City agency or department is requesting	this EDS? Planning and Development
If the Matter is a contract being handled by complete the following:	y the City's Department of Procurement Services, please
Specification #	and Contract #
Page 1 of 13	
i section II » disclosure of ownershi	IP INTERESTS
A. NATURE OF THE DISCLOSING PARTY	
<ol> <li>Indicate the nature of the Disclosing Party:</li> <li>[x] Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: NA
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

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[] Yes	[ ] No	[x] N/A	
B. IF THE DISCLO	OSING PARTY IS A LEGAL I	ENTITY:	
not-for-profit corpo members, write "no If the entity is a partnership or joint or any other person	orations, also list below all memory members." For trusts, estates of general partnership, limited part eventure, list below the name are	decutive officers and all directors of the entity. NOTE abers, if any, which are legal entities. If there are no so or other similar entities, list below the legal titleholder thership, limited liability company, limited liability and title of each general partner, managing member, management of the Disclosing Party. NOTE: is own behalf.	such er(s). nanager
Name Title NA			
beneficial interest	(including ownership) in excess	oncerning each person or entity having a direct or ind of 7.5% of the Disclosing Party. Examples of such a p interest in a partnership or joint venture,	
	P	age2ot 13	
11			
other similar entity Chicago ("Municip	. If none, state "None." NOTE:	ity company, or interest of a beneficiary of a trust, es Pursuant to Section 2-154-030 of the Municipal Cod any such additional information from any applicant	le of
Name	Business Address	Percentage Interest in the	

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NA	Disclosing Party
SECTION III BU	SINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS
	g Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, d official in the 12 months before the date this EDS is signed?
[] Yes	[x] No
If yes, please identify relationship(s):	y below the name(s) of such City elected official(s) and describe such
SECTION IV - DISC	CLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES
accountant, consultaretain in connection paid or estimated to	arty must disclose the name and business address of each subcontractor, attorney, lobbyist, not and any other person or entity whom the Disclosing Party has retained or expects to with the Matter, as well as the nature of the relationship, and the total amount of the fees be paid. The Disclosing Party is not required to disclose employees who are paid solelying Party's regular payroll.
on behalf of any pers "Lobbyist" also mea	s any person or entity who undertakes to influence any legislative or administrative action son or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. In any person or entity any part of whose duties as an employee of another includes ence any legislative or administrative action.
_	Party is uncertain whether a disclosure is required under this Section, the Disclosing the City whether disclosure is required or make the disclosure.
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Name (indicate whether retained or anticipated to be retained)

Agustin, Ltd.

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	Addı	ress (subcontractor, attorney, lobbyist, etc.)
3946 N. Ravensy paid or estimated.)	NOTE: "hourly	rate" or "t.b.d." is not an acceptable response.
	<u> </u>	
(Add sheets if nece	essary)	
[] Check here if the	e Disclosing Par	rty has not retained, nor expects to retain, any such persons or entities.
SECTION V -CE	RTIFICATION	NS
A. COURT-ORDE	ERED CHILD SU	UPPORT COMPLIANCE
-		-92-415, substantial owners of business entities that contract with the City their child support obligations throughout the contract's term.
• •	•	directly owns 10% or more of the Disclosing Party been declared in arrearagy any Illinois court of competent jurisdiction?
[] Yes	[x] No	[] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person in compliar		to a court-approved agreement for payment of all support owed and is the reement?
[] Yes	[ ] No	
B. FURTHER CE	RTIFICATIONS	S
1 7		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B. 1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any

Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NA

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NA
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NA

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [x] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal

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Code, explain here	Code, explain here (attach additional pages if necessary): NA				
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	the word "None," or no response app ned that the Disclosing Party certified	•			
D. CERTIFICATIO	N REGARDING INTEREST IN CI	ΓY BUSINESS			
Any words or terms when used in this Pa		the Municipal Code have the same meanings			
		nicipal Code: Does any official or employee of the City name of any other person or entity in the Matter?			
NOTE: If you check proceed to Part E.	xed "Yes" to Item D.l., proceed to Ite	ms D.2. and D.3. If you checked "No" to Item D.1.,			
official or employee entity in the purchas is sold by virtue of l	shall have a financial interest in his se of any property that (i) belongs to egal process at the suit of the City (c pant to the City's eminent domain por	bidding, or otherwise permitted, no City elected or her own name or in the name of any other person or the City, or (ii) is sold for taxes or assessments, or (iii) ollectively, "City Property Sale"). Compensation for wer does not constitute a financial interest within the			
Does the Matter inv	olve a City Property Sale?				
[] Yes	[x] No				
~	ed "Yes" to Item D.l., provide the na uch interest and identify the nature of	mes and business addresses of the City officials or f such interest:			
Name	Business Address	Nature of Interest			

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

## A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): None

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to

influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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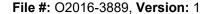
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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

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Is the Γ	Disclosing Party the A	pplicant?
[ ] Y	/es	[x] No
If "Yes	," answer the three qu	estions below:
regulat	ions? (See 41 CFR Pa	
[] }	Z'es	[ ] No
Compli	•	he Joint Reporting Committee, the Director of the Office of Federal Contract e Equal Employment Opportunity Commission all reports due under the applicable
[] \	Z'es	[ ] No
	Have you participated inity clause?	d in any previous contracts or subcontracts subject to the equal
[] \	/es	[ ] No
	checked "No" to ques applicable.	tion 1. or 2. above, please provide an explanation:
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SECTION VII » ACKNOW LEDGM ENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERM ANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

## Joseph Betancourt

Joseph Betancourt

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date) '( $^{\prime}$  I  $^{\wedge}$  at docsVC. County,  $\sim$ c£A  $\blacksquare$  (state).

Commission expires: U. ½V "7"

## "OFFICIAL SEAL" VERONICA MONTES

Notary Public, State of Illinois My Commission Expires April 29, 2017

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

		t exceeding 7.5 percer	ant, and (b) any legal entity which has a at (an "Owner"). It is not to be completed est in the Applicant.
1.	Pursuant to Municipal Code Section code scofflaw or problem landlord p		licant or any Owner identified as a building 2-416 of the Municipal Code?
	[ ]Yes [X]No		
2.			exchange, is any officer or director of the em landlord pursuant to Section 2-92-416 of
	[] Yes	[ ] No	[)(} Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

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