

### Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

#### Legislation Details (With Text)

File #: 02016-4882

Type: Ordinance Status: Passed

File created: 6/22/2016 In control: City Council

**Final action:** 9/14/2016

Title: Vacation of public alley(s) in area bounded by W Carroll Ave, N Racine Ave, W Fulton Market and N

Elizabeth St

**Sponsors:** Burnett, Jr., Walter

Indexes: Vacation

Attachments: 1. O2016-4882.pdf, 2. O2016-4882 (V1).pdf

| Date      | Ver. | Action By                                  | Action              | Result |
|-----------|------|--|---------------------|--------|
| 9/14/2016 | 1    | City Council                               | Passed              | Pass   |
| 9/8/2016  | 1    | Committee on Transportation and Public Way | Recommended to Pass | Pass   |
| 7/14/2016 | 1    | Committee on Transportation and Public Way | Held in Committee   |        |
| 6/22/2016 | 1    | City Council                               | Referred            |        |

#### **COMMERCIAL ORDINANCE**

WHEREAS, the City of Chicago ("City") is a home rule unit of local government pursuant to Article VI I, Section 6 (a) of the 1970 constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the properties at 1236-1248 W. Fulton Street are owned by ELA Associates, L.L.C, an Illinois Limited Liability Company; 1224-1234 W. Fulton Street is owned by 1230 West, LLC; 1219-1226 W. Carroll Avenue is owned by Fulton/Racine Property LLC, an Illinois LLC; and 1227-1249 W. Carroll Avenue is owned by Chicago Title Land Trust as successor to North Star Trust Company as trustee under Trust Number 25948 (together the "Developers"); and

WHEREAS, the Developers propose to use the portion of the alley to be vacated herein as a limited access driveway, for which a permit will be secured; and

WHEREAS, the City Council of the City of Chicago, after due investigation and consideration, has determined that the nature and extent of the public use and the public interest to be subserved is such as to warrant the vacation of the remainder of the public alley, described in the following ordinance; now therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. THAT PART OF THE EAST-WEST 18-FOOT WIDE PUBLIC ALLEY LYING SOUTH OF AND ADJOINING THE SOUTH LINE OF LOTS 5 THROUGH 16; LYING NORTH OF AND ADJOINING THE NORTH LINE OF LOTS 17 THROUGH 28; LYING EAST OF AND ADJOINING THE EAST RIGHT OF WAY LINE OF N. ELIZABETH ST.; AND LYING WEST OF AND ADJOINING THE WEST LINE OF THAT PORTION OF THE EAST-WEST 18-FOOT WIDE PUBLIC ALLEY VACATED BY ORDINANCE DATED JANUARY 16, 2016 AND RECORDED ON FEBRUARY 26, 2016 AS DOCUMENT NUMBER 1605718052, ALL INCLUSIVE, IN BLOCK 3 IN MAG IE AND HIGH'S ADDITION TO CHICAGO IN THE SOUTHWEST <sup>1</sup>A OF SECTION 8, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, RECORDED OCTOBER 24, 1855 (ANTE-FIRE), IN COOK COUNTY, ILLINOIS, SAID ABOVE DESCRIBED PARCEL CONTAINING 5,524 SQUARE FEET, OR 0.127 ACRE, MORE OR LESS as shaded and legally described by the words "HEREBY VACATED" on the plat hereto attached as Exhibit A, which drawing for greater clarity, is hereby made a part of this ordinance, be and the same is hereby vacated and closed, inasmuch as the same is no longer required for public use and the public interest will be subserved by such vacation.

SECTION 2. The City of Chicago hereby reserves for the benefit of

#### Page 1

Commonwealth Edison and AT&T/SBC, their successors or assigns, a non-exclusive utility easement to operate, maintain, construct, replace and renew overhead wires, poles, and associated equipment and underground conduit, cables, and associated equipment for the transmission and distribution of electrical energy, telephonic and associated services under, over and along the alley herein vacated, with the right of ingress and egress. The grade of the vacated public way shall not be altered in a manner so as to interfere with the operation and maintenance of Commonwealth Edison or AT&T/SBC facilities. No construction, buildings, permanent structures or obstructions shall occur or be placed over the area herein vacated without express written release of easement by the involved utilities. Any future relocation of utility facilities lying within the area being vacated will be accomplished by the involved utility, and be done at the Developers' expense.

SECTION 3. SECTION 3. The vacation herein provided for is made upon the express condition that within 180 days after the passage of this ordinance, the APPLICANT shall deposit in the City Treasury of the City of Chicago, a sum sufficient to defray the costs of removing paving and curb returns, and constructing sidewalk with the most current version of the Chicago Department of Transportation's Regulations for Opening, Repair and Construction in the Public Way and its appendices,

SECTION 4. The vacation herein provided for is made upon the express condition that within 180 days after the passage of this ordinance, the Developers shall pay or cause to be paid to the City of Chicago as compensation for the benefits which will accrue to the owners of the property abutting said part of public alley hereby vacated the sum

dollars (\$),

which sum in the judgment of this body will be equal to such benefits.

SECTION 5. The vacation herein provided for is made upon the express condition that within one hundred eighty (180) days after the passage of this ordinance, the Developers shall file or cause to be

| filed for record in the Office of the Recorder of Deeds of Cook County, Illinois a certified copy of this    |
|--|
| ordinance, together with the associated full sized plat as approved by the Superintendent of Maps and Plats. |
|  |
|  |

SECTION 6. This ordinance shall take effect and be in force from and after its passage. The vacation shall take effect and be in force from and after its recording.

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Vacation Approved:

File #: O2016-4882, Version: 1

Rebekah-Siheinfeld Commissioner of Transportation

Approved as to Form and Legality Richard Wendy Deputy Corporation Counsel

Honorable Burnett Alderman, 27th Ward

#### Page 3

#### PLAT OF VACATION

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TUB BAST KJGHT OF WAY LINE OF K. HLEAHBTH ST.; AND LYTNC WJST CT AHD ADXXININQ THB WEST LTKB OP THAT FOBHQN OF TUB BAST-WEST IWOOT WIDS niBLIC ALLEY VACATED BT CSDINANCB DATBD JAKUAKY16,2016 AND IBCSKDED (»IHGBmiT 26,301 < AS DOaiUEKT NCUB^
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MJV IILO.W.

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LOT 2 LOT 1 >> i / '-: LOT 4| LOT\_ 3 -

<• - H

#### LIST Of OWNUUIHIT:

 $\begin{array}{l} PULTON \, RAGNH \, (SOreRTY, LLC \\ MX \, 174M144M \, b > \, 007 \, (Tan \, of \, Lots \, lend \, 2), \, 1741 - 314401 \, \, JI \, \, 409 \, (Lot \, 3), \, 174 > \, 3M - a24 \, A \, \, 425 \, (Lot \, 4). \, 174(-314427 \, (Lot \, \, \, no^1 \, II) \, (Lot \, 1) \, (Lot \,$ 

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UMWEST.LLC

OMMX 17-OS-314-OI3(Io<22), n4S-314416(Lot23). 1741-314417 (Lot 24). 174(417428(Lou25tnraojb21 and 174M14422 (Lou 29 ft 30) b MafU aod Eitt AAfcioo toCoi^to Ott.24. 1S53 (Aoto-fm).

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ACOSTA BTOCI, LLC UDOW.qiiiM Au\*.

#### MM SURVEYING CO., INC.

M12 W. BnXUNB AVENUE rmZAJXX JLUSXSM 006X

CDOT #08-27-16-3747

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Chicago Title

Land Trust Company Trust No. 25948

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. jx~j the Applicant

OR

- 2. Q] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3. []a legal entity with aright of control (see Section ILB.l.) Stale the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 325 N. ELIZABETH STREET

CHICAGO, IL 60607

<mailto:mich38l@3costaezgur.com>

- D. Name of contact person: MICHAEL EZGUR
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

| File #: O2016-488                   | , Version: 1  |
|-------------------------------------|---|
| Vacation of alley b                 | unded by North Elizabeth Street; West Carroll Avenue; North Racine Avenue; and West Fulton Street.  |
| G. Which City age                   | cy or department is requesting this EDS? CDOT   |
| If the Matter is following:         | a contract being handled by the City's Department of Procurement Services, please complete  |
| Specification #                     | and Contract #  |
| Page 1 of 13                        |   |
| SECTION II - D                      | SCLOSURE OK OWNERSHIP INTERESTS   |
| A. NATURE OF                        | THE DISCLOSING PARTY  |
| [   Publicly registe                | nature of the Disclosing   j Person red business corporation   1 Privately held business corporation j [ Sole proprietorship     General ited partnership [x] Trust |
| [ Limited liabilicorporation        | company [ 1 Limited liability partnership r~J Joint venture   [ Not-for-profit  |
| (Is the not-for-pro                 | t corporation also a 501(c)(3))? [   Other (please specify)   |
| 2. For legal e                      | tities, the stale (or foreign country) of incorporation or organization, if applicable: ILLINOIS  |
| 3. For legal e of Illinois as a for | tities not organized in the State of Illinois: Has the organization registered to do business in the Statign entity?  |
| QYes                                | QNo (xj N/A   |
| B. IF THE DISCI                     | OSING PARTY IS A LEGAL ENTITY:  |
| 1. List below                       | he full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-  |

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

| File #: O2016-4882, <b>V</b>                             | ersion: 1  |   |
|--|--|---|
| Chicago Title La<br>as trustee under<br>dated 12/09/94 u |  | TnJstee 25948   |
| interest (including own                                  | _  | cerning each person or entity having a direct or indirect beneficial the Disclosing Party. Examples of such an interest include shares in a oint venture,                                     |
|  |  | Page 2 of 13  |
| similar entity. If non-                                  | e, state "None." NOTE: Pursua<br>the City may require any such | ity company, or interest of a beneficiary of a trust, estate or other ant to Section 2-154-030 of the Municipal Code of Chicago additional information from any applicant which is reasonably |
| Na7ne  | Business Address   | Percentage Interest in the Disclosing Party   |
| Donald Chaimovitz I                                      | Declaration of Trust, dated July 25. 19                        | 994 325 N. Elizabeth Street. Chicago IL 60607. 100%   |
|  | Drinalrl nhaimnvit   | t7 snip hpnefiriary wHh 100% nwnpr<-,hip intprp<-T  |
| SECTION III - BUSI                                       | NESS RELATIONSHIPS WI  | TH CITY ELECTED OFFICIALS   |
|  | g Party had a "business relation the 12 months before the dat  | onship," as defined in Chapter 2-156 of the Municipal Code, with any te this EDS is signed?   |
| □ Yes  | gj No  |   |
| If yes, please identify                                  | below the name(s) of such Cir                                  | ty elected official(s) and describe such relationship(s):   |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's

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|---|
| regular payroll.  |
| "Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means |

ar.y person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business Relationship to Disclosing Parly Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: "hourly rate" or "t.b.d." is to be retained) lobbyist, etc.) not an acceptable response. Michael Ezgur 1030 W. Chicago Ave., 3rd FL. Chicago IL 60642 \$2,500 (est.)

(Add sheets if necessary)

f-i Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V -- CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-41 5, substantial owners of business entities lhat contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

• Yes [3 No □ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in

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|---------------------------------|---|--|
| compliance with that agreement? |   |  |
| • Yes □ No                      |   |  |
| B. FURTHER CERTIFICATIONS       |   |  |
|                                 | hapter 1-23, Article I ("Article I")(which the Applicant should consult for defined |  |

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any sister agency; and (ii) the Applicant understands and acknowledges lhat compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section ILB.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
  - 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the

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|------|----|--------|-------|----------|---|
|------|----|--------|-------|----------|---|

Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.

- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part 3 (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE.
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1.  $\Box$  is (3 is not

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|------|----|--------|-------|----------|---|
|------|----|--------|-------|----------|---|

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predator}' lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to. make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

- 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
  - Yes fx} No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.L, proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

- Yes 23 No
- 3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or

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|---------|-------------|------------|
|---------|-------------|------------|

employees having such interest and identify the nature of such interest:

Name

**Business Address** 

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- LU 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- I 12. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if

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|---|
| necessary):   |
|   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or lo pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.  Page 9 of 13 |
|   |
| 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.  |
| 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".   |
| 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.  |
| B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY   |
| If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submil the following information with their bids or in writing at the outset of negotiations.   |
| Is the Disclosing Party the Applicant?  |
| • Yes   No  |
| If "Yes," answer the three questions below:   |

regulations? (See 41 CFR Part 60-2.)

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

| • Yes rjNo                            |   |
|---------------------------------------|---|
| •                                     | led with the Joint Reporting Committee, the Director of the Office of Federal Contract ms, or the Equal Employment Opportunity Commission all reports due under the applicable filing |
| • Yes                                 | $\square$ No  |
| 3. Have you pa<br>opportunity clause? | articipated in any previous contracts or subcontracts subject to the equal  |
| • Yes                                 | □ No  |
| If you checked "No'                   | " to question 1. or 2. above, please provide an explanation:  |

Page 10 of 13

SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.'
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or

all of the information provided on this EDS and any attachments to this EDS may be made available lo the public on the Internet, in response to a Freedom of Information Act request, or otherwise: By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the Cily's Department of Procurement Services, lhc Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

#### Page 11 of 13

- F.l. This Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Chicago Title Land Trust Company Trust No. 25948 AS TRUSTEE AND NOT PERSONALLY (Print or type name of Disclosing Party)

(Print or type name of person signi Nancy A. Carlin

Trust- Qffir-pr

(Print or type title of person signing)

This instrument is executed by the undersigned land Trustee, not personally but solely as Trustee in the exercise of the power-and authority conferred upon and vested in it as such Trustee-It is expressly understood end agreed that all the warranties, indemnities, representations, covenants, undertakings sod-agreements herein made on the part of lhe Trustee arg undertaken by it solely in its capacity as Trustee and not personalijy. rSio personal liability or . personal rssponsreiiity is assumed by or shall at any time be asserted or'enforceable against the Trusiee on account ot any warrarrtVj indemnity, representation, covenant, undertakihq or agreement of tjJe Trustee in this instrument " '" -

Signed and sworn to before me on (date)

at £ook ∧ County, Illinois

June 16, 2016 (state).

\_ Notary Public.

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has been furnished to tf>q land by "
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In Its Individual opacity, for Ze trK accuracy or the facts Groreln statad.

t "OFFICIAL SEAL" ♦ % HARRIET DENISEWICZ \*
Psctp I?\*nf hJbtary Public. Stale of Illinois rage ^o^gomnjission <sub>Ex</sub>p<sub>ire5</sub> 04/26/19

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of tire date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1 a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability

company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

□ Yes [x]No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

#### Page 13 of 13

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDLX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

QYes fx] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant 10 Section 2-92^116 of the Municipal Code?

 $QYes \hspace{3cm} [Z|^{N0} \hspace{1cm} [x{\sim}| \hspace{1cm} Not \hspace{1cm} Applicable$ 

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### Page 14 of 14

### Chicago Title Land Trust Company

10 S LaSalle Sued, Suite 2750 . Chicago, IL 60603 . (312) 223-U10 . Fa\ (312) 223-4136 January 21, 2016

Donald Chaimovitz 325 N. Elizabeth Street Chicago, IL 60607

Re: Land Trust No. 2594 S Customer No. BP 25948

Dear Mr Brennock:

Pursuant to your request, enclosed please find a certified copy of the aforesaid land trust agreement for your records. The trust account will be billed \$30.00.1 have also emailed a copy to Michael Ezgw at michaeKajacoslacznur.com.

If 1 can be of any further assistance, please do not hesitate to contact me. I can be reached at (312) 223-4109.

Very truly yours, Chicago Title Land Trust Company

Trust Officer

Enclosure

Chicago Title Land Trusl Company

#### Land Trust Review

'Trust number to be used on documents: 25948 Owners of trust (Beneficiaries):

Donald Chaimovitz as trustee of the Donald Chaimovitz Declaration of Trust dated July 25,1994 (100%)

Original signatures needed on direction for the trustee to sign mortgage or deed (Power of Direction): Donald Chaimovitz, as trustee

## Lender's signature required on direction to sign documents (Collateral Assignee / Right to Approve): Belmont Bank & Trust Company

\*Delinquent Land Trust fees due: \$

Other requirements:

\*Please note that additional fees will be billed to your account for the signing of mortgage documents or the issuance of a deed. For a quote of these fees, please contact land trust once you know specifically what documents your transaction requires.

Initials: nc

Date: January 21. 2016

Internal account number: BP 25948

COLLATERAL ASSIGNMENT OF BENEFICIAL INTEREST

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Borrower: Donald Chelmuvlu

Chicago Htl\*i Land Trust Company, bi Successor Iru&lcc to North Star Trust Company, as Successor Trustee lo Banco Popular Norih America, »t Succe«or Trustee to Pioneer Banfc 4\ Trust Company, as TruHco undci Trust Agreement dated December 9, 19SU nnd known at Truil Number 25940 1820 Clove; dtlt Ava Highland Peik, |L C0015

Grantor.' Doneld Chalmuvltr, as Trustee under the Donald Chnlrnovitx Declaration of Trust under Trust Agreement dated Jury 2S, 1094

ıp«ny
Lender: fieimor.t Bank A Trust Company 8250 WEolmont Avo Chkaflo.O. 60634

TnisiNo.^

Wc do hereby certify lhal lhe wiihln. ccroisi.-si ol  $\_$ . /o(  $\_$  pace: rcprcsenls a li $_{\rm u}$ ; and cotteel copy ol the original aaoiding lo ms lilts.

CHICAGO TITLE LAND TRUf.T COMPANY

JAN 2 I 2016

D'.l'e

THIS COLLATERAL ASSIGNMENT OF BENEFICIAL INTEREST dated August 14, 2015. is made and executed between Donald Chaimovitz., as Trustee under Ihc Donald Chaimovitz Declaration of Trust under Trust Agreement duted July 25, 1994 ("Grantor") and Belmont Bank & Trust Company ("Lender").

GRANT OP SECURITY INTEREST. For valuable consideration, Gmnfor Jointly and severally gnnb a security Interest In and assigns to Lender all of Grantor\*\* right, title and beneficial Interest In and to lhe Truat described ha low to accure payment of (ha Indebtedness and agraes -(hat Lender shall hrvr title rights slated in this Assignment with respeel to the beneficial Interest in the Trust and the Proporty hold in Hie Trust. In addition to all clber rights which Lender may have ty law. Grantor hereby waives and releases to Lender all rights and benefits, accruing under and by virtue of eny and et] statutes of line Gicte of Illinois providing fur the coemption ot homesteads from late on execution or otherwise and all other Inturest. In Line Trust, Including without limitation sll exompOons Grantor may hove under State of fiftnok and laden I bankruptcy and Incofvency Irtws In lihe b\*neficJ>.I Inieresl and lihe Proporty held in tha Trust, Including without limitation sll exompOons Grantor may hove under State of fiftnok and laden I bankruptcy and Incofvency Irtws In lihe b\*neficJ>.I Inieresl and lihe Proporty held In tha Trust, which said rights and benefits Grantor docs hereby Tckv.e ond waive.

CROSS-COL LATERALIZATION. In odolllon to the Note, this Assignment cocures at obligations, debts and Il&blLhi-ns, plus Interest thereon, of either Grantor or Bom-wor to Lorrfer, or ony one oi more of ihem. es v/oll os all claims by Lender eoalnst Borrower and Grantor of any one or mom ot them, whether now existing or hereafter arising, whether related or unrelated to the purpose of the Nole, whether voluntary or otherwise, whether duor on rol due. direct or indirect, determined or urdelermined absolute or contingent, liquidated or unlquidoded, whether Borrower liable Indirvously or Jointly with othicia, whether oW-galed os guarantors, surely, accommodation party or otherwise, ond whether recovery upon such amounts may be or hereafter may become barred by any statute of limitations, and wtiathar the obligation in repay such amounts may be or hereafter may become o(Horwke unperforced).

PROPERTY' DESCRIPTION. The word 'Collateral- nc ucod In ihrc Assignment msanc all of Grantor's right, titto and bonaficJal Interest In and to Iho Tarsi and the following proporty, whetlwr now owned or rxweafier acquired und whether now existing or hereafter arising

- [A) AH oddtilons to ond ell replacements of end substitutions tor ony property dosenbed ebove
- (B) All products and produce of any of tho property described to this CollolerBt section.
- fCJ AM accounts, contract rights, general Intangibles, instruments, monies, payments, and ell other rights, arising out of a sfile. lease, or other deposition ot any of the proporty described In It us Collaboral section
- D) AJI proceeds pndudmg Insurance proceeds) from the sale or other disposition of any ol the proporty described in this Cofialorot section.
- E) All records relating to any of the property described In this Collateral cecllon. whether in the form of a writing, microfilm, microfiche, or electronic medio

-Tf7oT^7c7^7op»7lj"^oTns BlTpmpcrty. Df7ino-f^7cto,l^ld"l^r"by Che Trust. Indu3^^tKdul"Bmfi11dn Btt"mferasls7-in"flieT?eaTProperty7 wliotha.' now existing or herrafier Included in flic Trust.

The words 'Real Property' mean the following duscribed real property, logelhor with all exiling or subsequently erected or affixed buildings. Improvaments and fixtures; all caienwils. rights of wny. and appurtenances; all weier. water rights, weie/coursos and dfteh rights (including stock m ullillies with ditch or tiriaahon rirjihls); and aD other rights, royables, and profits relating to the reel property, including without limitation

all minerals, oil, gas. geothormal and simitar matters, located in Coo'V County, Stela of Illinois:

THE WEST 12 INCHES OF LOT 7 AND ALL OF LOTS ft THROUGH 1S IU 0LOCK 3 IN MAGJE AND HIGH'S ADDITION TO CHICAGO IN THE EAST 1/2 OF THC SOUTHWEST MA OF SECTION B, TOWNSHIP 39 NORTH, RANGE 1-4 EAST OF THE THIRD PRINCIPAL MERIDIAN. IN CCOK COUNTY. ILLINOIS

The Rent Property or its address is commorfy known as 325 H Elizabeth StreoL Chicago. IL 60607. The Real property lax Identification number 17-05-314-030-0000.

GRANTOR'S WAIVERS. Grantor waives oil requirements of presentment, protest, demand, and notice of dishonor or non-payment to Borrower or Grantor, or any othor party to the Indebtedness or Ihc Collateral. Lender may do any of the tollowino with reaped to ony obligation of any Borrower, without first obtaining the consent of Clantor (A) gien. On extension of time for eny payment (B) grant ony renewal. (C) permit any motiffication of payment terms or other terms, or (O) exchange or reloose any Collated or other security. No such ad or tallure to cot shall affect Lender's rights agartet Grantor or the Cottaioral.

RIGITT OF SETOFF. To the extent permitted by epplicoble low. Lender reserves e night of setoff In all Grantor's accounts with Landor (whothar checking, saving\*, or iCTyj othor account) The? fcidudes as accents Grentor holds Jointly with someone olso ond all accounts Gnsninr may open in the future. However, this does not Indude arrj- IRA or Keegh occounts, or any truct accounts for which colon" would be prohibited by law. Giantor authorizes Under, to Inn extent permitted by applicable Jnw, ic charge or seloff al aums owing on the Indebtedness against eny and all such accounts, and. at Lander's option. to odministratively freeze all such accounts to allow Lendor to protect Lender's charge and setoff rights provided in (his paragraph

#### COLLATERAL ASSIGNMENT OF BENEFICIAL INTEREST Loan No: 8300003418 (Continued)

POWER OF DIRECTION. The sole nower of rivrirtion u-der »K- Tn<sub>Js</sub>t sV:< b\* k-i-\* h<sub>v</sub>

RI-FRESENTATIONS AND WARRANTIES CONCERNING COLLATERAL Granlor represents, wan-ants and covenants to Lender at all Hmos while this Assignment k In e fled as follo-vs:

Perfection of Kocurify Intorost Grm'or ogroes to execute and deliver to Londor such assignments rjnJ Cher documents and to take whatever olhar arfort ar\* requested ty Lender to perfect end continue Lender's assignment ond security Ir.t=rcst in the Collateral. Grjnlor shn!t rieTiwnr to Undur alt original documents croaiting tho Trust, untoc such documents no hold by tho Truslaa and are not navailable for del-very to Londer. Upon request of Lender, Grantor will deliver to Lender any end all cf lhe documents evidencing, or constituinn the Collateral. "

Transactions Involving Collateral. Grantor makes the following representations and wnrmnV.M with rasped to the Proporty. Additional Lions. Grantor shall not transfor. pledge, mortgage, oncumfoer or otherwise permit the Proporty to bo subject to any Hen, socudty Interest, encumbrance, or charge, other than Ihc security Interest provided for In ihis Assignment, without lhe prior written connonl of Lender. This Includes security Interest oven If Junior in nghl to the socunity intorost granted under this Assignment. Grentor expressly authorizes and directs Truslea not to eccept. or register upon its trust records, any subsequent aisignmant while this Assignment in force and offect and while any portion or the Indebtedness remains unpaid Granter shan not dtroct Trusleo to loose, soil, transfer or encumber any ol libe Property In lihe Trust (nor surfer or permii anyone else lo do to) without Lender's prior written consont Trustee upon acceptance of thin Assignment eorce\* to be bound by the provisions of thte Assignment and to recognize and honor the power of direction as being solely vested In Lander, except as otherwise may be specified herein.

POSSESSION ANO MAINTENANCE OF THE PROPERTY. Borrower and Granlor ogreo that Borrower's and Grentor's possession and use of line Propnrty sliatl be governed-by the following provisions

Possession and Use. Until the occunenco of on Even! of Default. Grantor may (1) remain In possession and control of tho Property, (2) use. operate or mnnarje the Property; ond (3) collect tha Rents from the Property.

Compliance With Environmental Laws. Granlor represents end warrants to Lender Lhat (1) During (he period of Grantor's ownership of the Property, thorii has been no use, generation, manufacture, storage, treatment, disposal, release or Compliance With Environmental Laws. Granlor represents end warrants to Lender Lhat (1) During (he period of Grantor's ownership of the Property, thoril has been no use, generation, manufacture, storage, treatment, disposal, release of any Hazardous Substance by any porson on, under, about or from the Property; (2) Granlor hat no inowdodg of, or reason to believe that thore has been, except as previously disclosed to und acknowledged by Lender In writing, (n) nny brooch or violation of ony Environmental Lows, (b) ony use. gannrailon, manufacture, storage, treatment, disposal, release or threatened tolcaso of any Hazardous Substance on. under, about or from the Property by any prior owners or occupants of the Proporty, or (c) any actual or throaloned litigation or claims of any kind by any person relating to such motios. and (3) Except as previously disclosed to and acknowledged by Lonflar In either Grantor nor any tenoni, contractor, agent or other authorized user of the Property ahal Use, generate, manufacture, atom, treat, dispose of or relents en y Hazardous Substance on. under, Bobt commental Laws, or of more interesting to such motios. and (3) Except as previously disclosed to and acknowledged by Lonflar In enter Union Interesting the Interesting of the Property with law and the Interesting of the Interesting the Interesting the Interesting of the Interesting the the lien of this Assignment and shall not bo sffected by Lander's acquisition of any interest in the Proporty. whether by foredosure or otherwise.

Nuisance, Waste. Granlor shall not cause, conduct or permil any nultanco nor commit, pormiL or suffer any stripping of or waste on or to the Property or any portion of the Proporty. Wilhoul limiting lhe generality of the foregoing. Grantor will not remove, or granl lo any olhor party the right lo remove, any Ilmbor. minerals (including oil and gas), coal. day. scoria. r>oD, gravel or rccx products without Lander's prior written consent

Removal of improvements. Granlor shall not demolish or remove any Improvements from the Ron! Properly without Lander's prior writton .consent. \_Aj. fl.coridTtlpn Jo .the I\$SWW}^.AQyi™prQvp.£tViQt\*iX\*n\$& rnay.«gujra.Qraptorj.o™to roplnce auch Improvements with Improvements of at loasj equal value

Lender's Right to Enter. Lender ond Lender's agents and represents uvea may enter upon lhe Real Property at ell reasonable times to attend to Lender's Interests and to Inspect the Real Property for purposes of Grantor's complience with the lorms end conditions of this Acsignment

Compliance With Laws. Grantor warrants that the Property nnd Grantor's use of the Proporty complies with el! existing nppEcablo lowa, ordinances, ond regulations of governmental outhouttes.

Duty to Protect Grnnlor agrees neither to abandon or leave unattended the Proporty. Granlor shall do all other ects. in addition to those acts set forth nbove In Ihls section, which from the character ond uso of the Proporty orr; reasonably essary to proicd ond preserve the Property.

TAXES AND LIENS The following provisions relating to the taxes ond lions on the Property era part of this Assignment:

Payment. Grantor shell pay when duo (end In all evanis prior to delinquency) all toxes, payroll taxes, special taxes, assessments, water charges end : awcr service charges levied against or on account of the Property, and shall pay whon due all claims for worx done on dt for scrVccs rendered or material furnished to tho Property. Grantor shall maintain the Property tree of any lens having priority over or equal to tho Interest of Lendor under this Assignment, except for thoso liens specifically agreed to In writing by Lendor, ond except for tho lien of i3xes and assessments not due as further spedflod In the Right to Conlost paragraph

Right To Contest. Grantor or Buyer nay withhold payment of Bny tax, assessment, or claim In connection with a good forth dispute over the obligation lo pay, so long ea Lender's Intorost m the Property la not Jcoperdized. If a tion orises or is filed ca - result of nonpayment, Grantor or Buyer shall within fifteen (15) days after tho lien arises or, If lie lion Is nicd, writhin fifteen (15) days after Grantor or Buyer has notice of tno filing, ssr.ure lie discharge of the lion, or if requested by Lender, dapctll with tendor cash or a sufficion corporato surety bond or other security satisfactory Londor in an amount suffident to discharge of the Hen plus and storneys' fees or other charges that covid excure as a result of e foredosuro or sule under the lien In any contest, Grantor or Buyer shall delend latell and Lender and shall satisfy any idwuo judgment bo for\* enforcement egalm! the Property. Grantor or Buy or aho"! name Lender as an additional

#### COLLATERAL ASSIGNMENT OF BENEFICIAL INTEREST Loan N'o: 0300003418 (Continued)

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Evidence of Plymoni Grand fumigh to L\*|n\*\*\*>" latis'pctory pvfrJi.npe pi payment of <>? teres c assess \*\*ep." zr.i zr-.z" authortio lhc "Pfvopnotc rovcrpminle" cfffc'e< !c dchvsr 1c Lends\* el my tirr.c a written ciolencni e' «he l2«ec cr\*d D=;essr\*o;-.;s against tho Ploport/

Notitt of Construction Grantor shall noitfy lender a' least fifteen (15) days before eny work is corn me need, any services are furnkhod. or tny mziorals are supplied to the Property, if any mechanic's lien, materialmen's lion, or other lien ccuW be escorted on account of the v.-oiW, service&, oi materials Q.'ontor will upon raqunsl of Lender furnish to Londer advance assuror.ccs salls'sclory to Lender that Grentor can and will pyy the cost of such improvements

PROPERTY DAMAGE INSURANCE. The following provisions rclolliyj to Insuring the Re ol Proporty a<o o part of the Assignment.

Maintenance of Intunnci. Grentor shal prucuto and maintain policies of firo Insurance with stendord extended coverage endorsements on a lair value bails; for the 1uD Insurable value covork of limprovements on the Rent Property in on amount sulficier. It to avoid application of nny cotniurunco c-aueo, and with o standard mortgagoa clause m favor of Lender. Policies thol bo wrillon by cuch Incurnnca componlos end in such form es may be reasonably acceptable to Lender Grantor shell deliver to Lender certificates of coverage from each Insurer cen: aln'nj o stipulation that coverage will not be cancelled or diminished without a minimum of InIrty (30) deys prior written not'.co to Lender.

Application of Pioceeda. Grentor ehell promptly notify Lender Df any loss or domega to the Property Lander mny rneka proof of loss if Grantor tails to do co within fifteen (15) deys of the casualty. Whether or not Lenders security h impaired. Lender may, nt Lender's election, receive and retain the proceeds of any Insurance and apply the proceeds 10 the reduction of the Indebtedness, payment of ony Hon affecting the Property, or the restoration and repoir of the Property. It Lendic elects to apply the procoods to repoir or findif repair of review the damaged or destroyed Improvements In a manner satisfactory to Lender Lender shall, upon satisfactory proof of liuch expenditure, pay or reimburse Grentor from Iho proceeds for the recosonaWc cost of repoir or restoration if is lontor is not in dofault under this Assignment. Any proceeds which have not boon disbursed within 190 deys after thoir receipt end which Londer has not commited to live property chall be used first to pay only emount owing the Londer under under this Ascignment, then to pay accrued Interrisi ond the remainder, rf any, shall be applied to five principal belance of the Indebtedness if Lender holds any proceeds after paynant m full of the indebtedness, cuch proccods shell be paid to Grentor as Grantor's Interests may appear.

Unexpired Insurance it Sale. Any unaxpired Insurance shall triure to the benefit of, and pose to, the purchaser of the Proporty covered by this Assignment at eny trustee's sale or other sale held undo the provisions of this Acsignment, or all any foreclosure sole of such Pi o party.

Grantor's Raport on Insuionca. Upon re cue it of Lender, however not more than once a year, Grantor shall lumish to Londor a report on each existing policy of Insurance showing- (1) lhe name of lho Insurer. (7) the risks Insured. (3) tha emoun I of the policy\*. (\*J) the property Insured, the then amonI replacement valuo of such property, and lhe manner of determining Ihal value: end (5) lhe expiration dblr> cf (he policy. Grentor shall, upon request of Lender, have an Independent epprobor oetlclec'.ory to Lender determine the cash volue teoloccmont cost of lhe Property.

WARRANTY, DLFENSE OP TITLE. The following previsions rotaling to ownership of the Collateral and Property arc a part of this Assignment Title, Gmnloi warrants and covenants that Grantor Is the solo owner of the beneficial Interosl In Lhe Trust, froo and dear of sK Hens, liccuiUy interests, end enomibrancoa, except for those disclosed lo. and accepted by, lender In writing. Grentor also Warrants and covenants lhat it has the right to grant to Lender a security Interest In the Collateral and will defend Lender against any end ell claims and demands of only person to the Collateral end the Properly.

Defense of Tillic. Subject to the exception In the paragraph ebovo. Grantor warrants and will forever delond tho title to Iho Collateral and Property against the lawful claims of ell persons. In the event any action or proceeding is commenced that questions Grantor's title or the Intor<jit of Londer under this Astignment. Grantor shall defend the action al Grantor's expense. Grantor mey be the nominal party In such prDceodInQ. but Lender shall be entitled to participate tn the proceeding and to bo represented In the proceeding by councol ol Londor\*i own choice, end Grantor will deSver. or causa lo be dsDvored, to Lender such Instruments os Lender mey request horn time to time to permit

Compliance with Governmental Requirements. Grantor shall promptly-comply with all lews, ordinancoo, end regulations, now or heroalter In offeci. of ail governmentel outhortOes applicable to the use or occupancy of the Property, Including without limitation, the Americans With Disabilities Act. Grantor may contain In good fatih any cuch law, ordinance, or regulation end withheld compliance during any proceeding. Including appropriate appeals, so long as Gi unlet has notified lendorjn writing prior to doing so and so long as, in Lender's soJo'opTnxjn. TJS^prTi^resisninh"e-HToporty affi^6Tjeol>ordued~Ccnd& hwy'require Granlor Ib "posl odequelo se'cuufy'or a BurclyTaono." roDsonobry satisfactory lo'Londcr, to protect Lender's Interest CONDEMNATION Tile following provisions relating lo condemnation proceedings ere e peri of this Assignment.

Application of Ncl Pioceeda. If all or any port of the Property es condemned by eminent dcmaln proceedings or by any proceeding or purchaso In lieu of condemnation. Lender may at Kb election require that all or sr.y portion of lihe ncl proceeds of lihe cward to applied to the Indebtedness or the repair or restoration of the Property. Tho net proceeds of lihe award shall mean lihe award after payment of all roaconoble costs, expensos; end attorneys foot Incurred by Lendor In connection with the condemnation.

Proceeding. If any proceeding In condemnation is filed. Grantor shell promptly notify Londor ti writing, end Grantor shall promptly take such steps es -ray be necessary ic defend the octisn and obtain the eword. Grantor mey bo tho nomine¹ perty In such proceeding, but Lender thaP be entitled to participate In the proceeding and to be represented In the proceeding by counsel of its own choice, end Grantor will deliver or cause to be delivered to Lendor such Instrument\* ond documentation as mey be rec,uo>ind by Lendor from lime to lime 10 permit such pnruapalion.

DEFAULT. E, ich ol ihe following, at Lender's option, shall constitute on Evonl ol Default under this Assignment. Payment Default. Borrower falls to make any payment wtwn due under lhe Indebtedness

Other Default.\*. Borrower or Grantor falls to comply with or lo perform Bny other term, obligation, covenant or condition coniBined In this Assignment or tn eny of the Relolod Documents or lo comply with or lo porlorn any term, obligation, covcnanl or condition contained In eny other oc-rcemoni between Lender end Borrower or Grantor.

Dofoull In Favor of Third Parties. Borrower, any guarantor or Granlor defeulis undor any loan, extension of credit, security agreement, purchase or aclec agreement, or ony other ogreamont. In favor nf ony othor croditor or porson iho I mny materially affect ony ol GorioNvora. nny guarantor's or Grentor's property or ebility lo perform their respective obligations under ihis Assicnment or any of the Rotated Documonts

#### COLLATERAL ASSIGNMENT OF BENEFICIAL INTEREST Loan No: 8300003418 (Continued)

Grantors be'nalf under (his Assignment or the Kelalco Documents is false or misleading In ony material respect, either now or ai Vno lime matfo or furnished or becomes falso cr misleading ol eny lime rharenfier

Do'ocirvo Caflijitoriit'utlor. Tins Assignmonl or any of tno Related Oocumonts ceases to be In full force ond effect (indu-Jing failure ot ony cnihtcml ducumonl In create a valid and perfected security Interest or lien) ot ony turre ond for any

Poaih or Inttil. cncv. Trie death of Borrower or Grantor, the insolvency of Borrower or Grantor, the insolvency of Borrower or Grantor, any assignment for the benefit of creditor

vcrV:oui, or ihe commencement of nny proceeding under ony bunxnjpicy or Insolvency laws by or egelrot Borrower or Gmntor

Creditor or Forfeiture Proc\*«dlngs. Commencement of loreclosure or forfeiture proceedings, whether by judicial proceeding, self-help, rcptis: cssicn or any other method, by ony creditor of Borrower ar Granlor or by any governmentol agency against any proporty tocuning tha Indebtedness. This includes a gamishment of any of Borrower's or Grentor's occounta, Including dopestl occountin, with Lender. However, this Evonl of Default shall not apply If (here Is a good faith dispute by Borrower or Grantor as to the validity or reaaonal/onoss of the Calim which la the basts of the creditor or forfeiture proceeding and deposits with Lender monies or a surely bond for the ct editor or forfeiture proceeding. In an o mount determined by Lender, In Iis -olo discellan. as bainq an adaqualo rolorove or bond for the dispute.

Event1: Affecting Guarantor Any of the preceding ovents occurs with respect to any Guarantor of any of the Indebtedness or Guarantor die: or becomes Incompetent or revoVos or disputes the validity of, or liability under, any Guaranty of

Adverse Chinge. A materiel adverse change occurs In Borrower's or Grantor's financial condition, or Londor bcl-oves the pros pact of pr>)Trv>nl or performance of (ho Indebtedness » Impaired

Inst-cijnry, Londei in good failh believes itself insecure.

Cure Previsions, I(arry default, clher than a default In payment Is curable and If Grantor has not been given a notice of a breach of the m.t.u provision of this Assignment within the preceding twcVe (12) months, It may be cured If Grentor, after Lander sends written notice to Borrower demanding cure of such dolault. (1) cures the default within ttloen (15) days; or (2) If tho cure requires more than fifteen (15) flays, immediately Initiates steps which Londor dooms In Lender's solo discretion to be sufficient fo cure the default and thereafter continues and conjete\* o.1 reasonable ond necessary steps curriclent to produce compliance ax coon as reasonably practical,

RIGHTS OF 1.CNDER. Upon tix; occurrence of en Event of Dofouil, Lendor, ot ils option, msy exerdso a.ny one or more of the following rights and rc mod ies in addition to any other rights or remedies that may be available of law, in equity, or

Accelerate Indebtedness. Londer may declare the entire Indebtedness. Including ony prepayment penalty which Borrower v/ould bo required to pay, immrdlalery due and peysbie.

Assemble Property. Londor may require Grantor to deliver to Lendor ell or ony portion of tho Property and any and ell documents relating to lhe Proporty. Lender may require Grantor to assomblo tho Proporty and make it available to Lander at a place to be designated by Lender which Is reasonably convenient to both pertias. Lender also shall have MI power to enter upon the Property to lake possession of and manage the Property.

Lander at a placa to be designated by Lender which is reasonably convenient to both pertias. Lender also shall have Mi power to enter upon the Property to lake possession of and manago the Property.

Sell (he Collateral. Lender'shod have full power to soil, loasa, trantrefs. or othorv/see doal with tha Collateral or proceeds thereof In its own name or that of Gmntor. Lender may coll the Collateral at public auction. Unless LSe Collateral threatens to decline opeodlry in value or is of a type customarily sold on a recognized market, Lender will give Gmntor reasonable notice of the time efter which any private sele or any other Intended disposition of the Collateral is to be made. The requtrements of reasonable! notice shall be met H such notice is given at least len (1U) days before the tmo of the sale of disposition. All expenses relating to lhe dlepoolilion of the Collateral, Inducting without limitation tha expenses of taking over the Collateral, In selling the beneficial Interest, Including reosorub's offices, trustee's tees, advertising coats, maslor'a foes, cost of documentary evidence and experts, clenographora' charges, publication costs, appraisal fees (including costa) oppraisal, foes for abstracts of title, (Hie coorchos and examinations, guaranty polities, title Insurance policies and similor Items and assurances respecting tills to the Collateral and the retaking, holding, preparing for sole, and selling the Collateral shall become a part of the Indebtedness secured by tills Agreement, and shall be payobte on demand, with biterest at the Nole rate from date of expenditure until repaid.

Mortgagee in Po-session. Lendor shall have the right to be placed as mortgagee in possession or to have o receiver appointed to rake possession of ol or any part of the Property, with the power to protect and preserve the Property, lo operats the "Property preceding: I.".!9?"...\_7y." or sale, and to concol the Ron's from the Property and apply the proceds, over and above the cost or the receivership, ogninst th(-7iidebtscinessV The mortgagee" os Teil; bn'or receiver m'ay serve without benj if permitted bylaw; Londor/right tolrie ap polnirrieril of a teceivor shall exist whether or not the apparent value of the Proporty oxceeds the Indebtedness by e substantial amount. Employment by Lander shall not disqualify a person from serving as a receiver.

Colluct Revenues. Lender mey revoke Grantor's right to manage the Property and to colloct tho renin. Issues and profile horn the Collateral, and may, without notice or demand, take possession of the Property, OUe to which fs held by the Trusiee. end etlhor tuolfor Ihiough a mce'ver. collect lhe ronts, Issues and profits therefrom. To facilitate collection, Londor may notify Grantor's occoun: debtors Including any tenants on Ihc Property to moke payments directly to Londor.

Obtain Deficiency, Londer may obtoin a Judgment for any deficiency remaining on the indebtedness duo (o Lender ofior oppilicalton of all amounts received from the exercise of the nights provided h this Assignment

Content lo Proceedings. Grantor expressly consents end agrees to the Institution of any proceedings, by Londor to enforce ints Agroemom end ILittlen againii the Ccllateral to eneel a sale thereof, or tu enforce peymont of the Nole end Indebtedness, without previous sale or reduction to poiecsion of any other property pledged to secure the Nolo or Indebtedness, willhout regard to the terms or provisions of the Note or written instrumeni portofning to the sale or reduction to poecessten of any such pledged property.

Other Rights and Remedies, Lender shall have end may eigerclso only or bR of the rights and remedies of a secured creditor under the provisions of the Uniform Commercial Code, at law, In equity, or otherwise

Election of Remedies Except as may be prohibited by applicable law. ell of Lenders rights and remedies, whether avidonced by this Asstgnment or by any other wniing, shall be cumulativo and may be exercised singularly or concurrently. Election by Lender to pursue eny remedy shaii not exclude pursuit of any other remedy, and an election to make expendituras or to lake action to perform an obngntfon of Granlor un:lor lhis Assignment oner Grontor'a failure to porfoim. short not affect Lender's right to declare a default and exercise its rr.-edies

INDEfinii--[CAT10N Or LENDER. Grantor ugieos to Indomnrfy, to defend and to save and hold Lender harmless from any and all claims, sulls. obligntions, dnmegos. losses, eor.ls and oxpunsos (Induding. without limitation, Landofs attomoys' f'e»), o>m<nrd\*. Habiloes. pennitms, finos

end lor'eliures oi j>ny nc'ife w\*i2irosver '.¹st "ny tc asserted ecsL-sl c: ir-curred by Lendi.', !:s cfficers Cl-cCcs cmplcyocs arte; oyer" crslr.g nut o(, relaimy to, or m any manner occasioned by lhl« Acsignment and lhe oxortloo of lho rightr and lomedicc granted Lender under this The lorcgeing Indemnity provisions sJiaC survive the cancollalicn of this Assignment es to all matters andng or accruing pner tr> n,rh canccDa-on and uV toieccing indemnity tholl survive in the event thol Lender elects to exercise eny o( the remedies es provided under this ^srlnnrrml following default hereunder.

LENDER'S EXPENDITURES, f, any action or p-occoding is comincinced that would materially affect Londor's Interest In t1>e Property or if Gnsnior fails In cn-npfy with any ptovtion of this Assignment or any Rnlaled Documents. Including hut not limited to Grantor's f2lluie to discharge or pay wher due arry amounts Gianlor Is required to discharge or pay under this Assignment or ony Releted Documents. Lender on Grantor's behal' maj (but sheli not bo ob!
 Linding dooms appropriate, including but not limited in discharging or poying all loses, liens, security inlorsrs, encumbrances end othor claims, at any lime lovicd or placed on the Property ond paying all costs for insuring, maintain?!; and prescving [Ule Property All such expenditures Incurred or peld by Lender for such purposes will then boar Interest et lie herole do-ged under the Note for paid by Londer to the date of icpayment by Grantor All such expenses will become a par. of the Indob'.cdncss nnd, at Lenders option, will (A) bo payable on demand; (B) be added to the balance of the Note end be apportioned among ond be plyable with any installment payments to become due during cither (') tile lerm of any applicable insurance policy, or (2) the removing icrm of the Nole, or (C) be trtoled as e bodoon payment which will be duo end payable ot lho Note's moluilty. The Assignment else will sccu-c payment of these amounts. Such nghi shell be In addition to el other nghio ond ramodios to which Lendor moy be entitled upon Default.

MISCELLANEOUS PROVISIONS. The following rnlsccnaneous previsions ere a perl ot Ilila Assignment

Ammrfmenls. This Assignment toge: \*cr with any Rclotod Documents. consultutes Hie entire undersUnding end agreement of the parties a: lo :hc matters set forth In Ihls Assignmenl. No alteration of or umondment lo Ihls Assignment shall be effective unless given In witting and r.i.\*ncd by the party 01 parties sought lo be charged or bound by trie alteration or amendment

Attnmcys' Frc-:, Expenses. If Lender institutes any aull or action to enforce any of the lerms of (his Assignment. Lender shall be entilled to recover such sum as the court may adjudge reesonable as attorneys' fees et trial or.d upon any Adminds First., Expenses. It clients installed satisfactors any admind account of entire any of the incommentation in the incommentation of the extent permitted by lew, oil renormal to fits Assignment of the one of the incommentation of the extent of the protection of Ils Interest or the cnio. 'coment of Its nights shall become e pan of the Indebtedness poyobfo on domond ond shall beoi Interest el tile Note mite from the da'o of tho expenditure until repeld. Gxpences covered by this paragraph Include, without's limitation, however subject tu any limbs under applicable law. Lender's attorneys' fees and Lenders legal expenses, whether or net there » a lowailli, including offximcyi' fees and expenses for bonkruptcy proceedings (including efforts to modify or vacate ony automatic siey or injunction), cppe.-K. ond any onticipelod post-judgment collection services, the cost of searching records, obtaining tide reports (including foreclosure reports), surveyors' reports, and appraisal locs end utlo Insurance, to the extent permitted by applicable law. Granlor also win pay ony court coils. In addition to oD other sums provided by Inw

Caption Headings. Caption headings m this Assignment are for convenience purposes only and are net lo be used lo Interpret or define the prc i'-ons of this Assignment.

ficvr-ilnj Law. Thic Assignment will be govern ad by fadnral law applicable te Lender and, to tha extent net preempted by federal t;w, lhe laws of lhe 5-talc of UDnoic without regard lo its conflicts of law provisions. This Axiignment has been accepted by Lender In Ihe Stale of Rlinois.

Chf.ir..- of Venue If fhero ic a tawsuil. Grantor agrees upon Lender's request to submit to lhe jurisdiction of lhe courts of Cook; County. Stale nf IHInclr.

Mcr-jcr. Thoro shall be no merger of the Interest or estate created by this Assignment with any olher Interest or eafnto in the Property of any Vntc held by or for the benefit of Lendor in any copocify, willhoul the written consent of Londer.

NotiLf-s. Any notice required to be given undar Jhls Assignment shall be given In writing, and shcD bo offactrva when octuolly delivered, when actually received by telefacsimile (unless otherwise required by low), when deposited with a nationally recognized overnight couner, or, il-willed, when deposited in tha Untied Stales man. as first class, certified or registered mal postage prepaid, directed to tha addresses finc.'n near Uie beginning of this Assignment Any party moy change its address for notices under this Assignment by giving formal writ I "n notice to the other parties, specifying that the purpose of the note is to change tile party's address. For notice purposes. Granlor agm^ to keep Lender informed al ell Umes of Grantor current address Unless otherwise prrovidod or rocpirod by law. if there Is more than enc Grantor, eny notice given by Lender to any Grantor is docmod to bo nolloc ghion to oil Grantors

Seve iltility. If a court of competent jurisdiction finds any provision of this Assignment to bo Iflogol. Involld. or unenturcoeblo as lo any ~circM-^\*ta"rKe, ^VnTTindirio shuirTioVrnako'Lhe 'dfTShairiCf provision"Dlctfai: Invfilla; arTfflCTroTTxa^\*slo"Bnry-oi^\*
the .-"ending provision that be considered modified ao Lhal It bacomee logol, valid end enforceable, if the offonding provision that be considered deleted from this Alignment Unless otherwise lequired by low, the (legality, Invalidity, or unenforce ability of any provision of this Assignment shall not affect the legality, validity or enforceability of ony other piovision of lhis Assignment

Siif. sort and Assign\*. Subject to any limitations stated In this Assignment on transfer of Grantors tntoncsl, this Assignment shall be bird -.^ upon and Inure lo the benefit of the parties, their successors ond aseigna. If ownership of lhe Property becomes vesied In a. person ottn:i ihan Grantor, Lender, withou: nolice lo Grantor, may doal wilh Grantor's successors with reference to this Assignment and lhe \n-ir\* <file:!//n-ir\*> '~inrss by way of forbearance or extension without releasing Grantor from lhe obligations of this Assignment or liability under the Indr\*-'-Jress.

Survival of Representations and Warranties. All representations, werrantles. end egreemenls made by Grantor in this Assignmenl shall survfr. Ihc execuion and delivery ol ;hls Assignment, shall be continuing hn nature, end shot! remain In full force and effoci until such lime as Bri'TOwers Indehlednes-i shaD be paid in full

TImr J< of Itv; Essence. Time Is of the essence In the performance of thk ArtsIgnmcnl.

Wit\*? Jury All p irtie\* to Ihls Assignment hereby welve the right to nny Jury (rial In any action, proceeding, or counterclaim hrought by sny parly \*rj3lns1 any other party.

VJsivz' o( Homestead LxcmpHon. Granioi hereby raleasos and waives 08 rights ond benefits of the hemostcod exemption laws of the Stole of Illinois 9t io all Ipdoblodnosc secured by this Assignment-No Wnvcr by Lender. Lender sholl no! be deemed IO have worved nor ights under this Assignment wall be given to writing ond v.r.ncd by Lender. No Oelay or omission on the part of Lender in secretary in the secretar

#### COLLATERAL ASSIGNMENT OF BENEFICIAL INTEREST Loan No: B30D00341B (Continued)

transections. Whenever the consont of LenUei Is requbed under this Assignment, tho granting of cuch consent by Lender In any instance shall not consiliulo continuing consent In ^uhtnnupr.t Insbinr.i>\* u-h«r ^uch consent Is required and in all cases such consont mny be gi.intnd or withheld in the scle discretion of Lender.

DEF1N.TIONS The following eapUa"ized words and leims shall havo I^e following meanings when used to this Assignment Unless specifically stated to lhc contrary, all references to dollar amounts shall moan amounts in lawful money of tho United Slates of America. Words ond terms used in lho unguis sh.»!I include lhe plural, and tilo plural shall include lho singular, as the context may require. Words and terms not otherwise defined ki this Assignment shell havo the meanings attributed to south terms in the Uniform Commercial Code.

Assignment Th\*! word 'Assignment' means Ihis Collateral Assignment of Beneficial Inlorest, as this Collateral Assignment of Beneficial Interest may be amended or modified from time to time, logolher with all exhibits and schedules attached to Ihls Collateral Assignment of Dencficial Interest from lime to time

Borrower. Thc word "Borrower" means Donald Charmovitz; and Chicago Title Land Trusl Company. OS Successor Trustee to North Star Trust Company, :»s Successor Trustee to Banco Populor North Amsnoa, es Successor Trustee to

Plonocr Bonk 4 Trust Company, as Tru-tee under Trust Agroemont daled December 9, 1994 end known os Trusl Number 25948 and Includes all co-stgnonj ond co-makers r H;ning the Noto ond all thoir successors end assigns.

Collateral. Tho word "Coltolerol" moans all of Grantor's ngm. title and Interest in and Io al the Collateral os described in the Property Description section of this Assignment.

Default. Tho word "Default" moans lite Dofault set forth In this Assignment In the section tilled "Default".

C-ivtmnmcnlil Laws Tho words "Environmental Lows" mean ony and al stato. Inderal and local alatulos, regulations and ordinances relating to lhe protection of human healh or tho environment, Including without limitation lhe Comprehensive Environmental Rosponse, Compensation, and babiaily Act of 1980, as amended. 42 U.S.C. Section GS01, el seq. (CERCLA'). the Superfund Amendments and Renuthoniaiion Act of 1556. Pub. L. No 9Q-4B9 ("SARA"), the Hazardous Materials Transportation Act. -49 U.S.C. Section 1801. ol aoq., tho Resource Conservation and Recovery Act, 42 USC. Section 6901. et seq.. or other applicable stats or foaoral lowa, rules, or regulniticns adopted pursuant thereto.

Evmt of OelaulL The words 'Eveni of Default' mean any of the events of dafiiut; set forth In this Assignment In the default section of this Assignment

Gmntor. Tlio word "Grantor\* moans Donald Chaimovitz, as Trustee under tho Donald Chaimovitz Declaration of Trust under Trust Agreement doted July 25,1994.

Gu-irantor. The word "Guarantor" meons ony guarantor, riurety, or ocoommodallon party of ony or all of tho Indoblodness

Guaranty. The word 'Guaranty" moons the guaranty from Guarantor to Lander, including without limitation a guaranty of aO or port of the

Hnr.irdous Substances Tho words "Hazardous Substances" mean malanals thai, bocauso of their quonlity, concentration or physical, chemical or infectious characteristics, may cause or pose i presort or pctenLal hazard to human healih or the environment when Improperly used, treated, stored, disposed of, generated, manufactured, transported or othorwisa handled. Tho words "Hazardous Substances" are used in their very broadest sense and includo without limitation any and oil hnzordous or loxle aubstances, maloriors or wo'in as defined by or listed undor tha Environmental Lows Tho term "Hazardous Substances" olso Includes, without limitation, petroleum and petroleum by-producto or ony fraction thereof and asbestos.

Improvement. The word "Improvement3" meons el' existing and future improvements., fixture", buildings, etructurea. mobile homoc a".ved on the Real Property. faculties, additions, replacements and oiher construction on the Roal Property. Indebtedness. The word "Indebtedness" moans eff principal, intereat. and oiher omounts. costa and oxpenses payable under the Nole or Related Documents, together with all renewals of, extonsbri of, modAcaSons of, consol/dations of end substitutions for the Note or Related Documents and any amounts expended or advanced by Lendir to discharge Grantor's obligations or expensos Incurred by Lendor to enforce Grantor's obligations under this Assignment, togother with bitemst on such amounts os provided in this Assignment. Londor. The word "Lender" moans Behnont Bank & Trust Company, its successors and assigns.

Note. The word "Note" moans lhe promissory noto dated August 14. 201S, In tha original principal amount of \$3,200,000.00 from ?.o\*rcwrv to Lender, togothar with el renewals of, extensions of, modifications of, consolldallons of, and substitutions for

. .lhe promissory, nnta pr.agreernenL

Property. Tho word "Property" moans all of Grantor's right. tWe and Interest In and to on like Property os described In tha "Property Dcr-viption\* section of this Assignment.

Real Proppny "Pie words "Real Property" mean the feel property. Interests and rights, as further described r» this Assignment. REii:id Documents. The v.-crds "Related Dea." "enis" mean oi promissory notos. credit agreements, loan agreements, environmental ogrocmonir... guaranties, secunity egroements, mortgegos. doedt of trust, socurity deeds, collateral mortgages, and all other instruments, agreement, and documents, whether now or hereafter existing, executed in connection with the Indebtedness.

Rent\* The word "Ronta\* means oil present ond future rente, revenuee. income, latuos. royalties, profits, and other benefits danvad from lhr» Property

Trust. The word "Trust" meons that certain land trust creoted by o Trust Agreement doted December 9, 1004, Trust Number 25946, and kn i-vn Chicago TiUe Land Trust Company, os Successor Trustee to North Star Trust Compony, as Successor Trustee to Banco Popular Moiih Am-i.ca. as Successor Trustee to Picnoor Bank & Trust Company, as Trustee, undor Trust Agreement deled December 9, 1994 and knn.-..i a: Trust Number 2594A.

Tritricr Thc word "Trustee" mcena Chicago Tillo Land Trust Company, whoso address Is 10 S LaSalle Streot, Sto 2750, Chicago. IL C0/70J. and any substitute or successor trustees.



#### COLLATERAL ASSIGNMENT OF BENEFICIAL INTEREST (Continued)

GRANTOR ACKNOWLEDGES MAV'^G P.CAP ALL THE POUVIS'ONS OT TH'S COLLMID.M ASS'GSMCIJT OF BF.NEFICIAL 1NTEREST AM GRANTOR ACRCCS TE) ITS TIIFLVS. TICS CCLLATERAL ASSIGNMENT OF aEKHriCIAJ. INTEREST IS DATCO AUGUST 14, 2C15.

Don\*ld CXi-a^tmb, as Ttuilcc undet Cliaimovi\*7 D\*clai3(lon of Trust under Arjfctmcn dated July 25, 159-4

This CoUatcr.il Assignment of Beneficial Interest prepared by: Robert Sxlremer Uc'-nont Bank A Truct Company 1T2S0 W Delmonl Av. Ch..-™, IL

#### ACCEPTANCE BY LENDER

In consideroiion of the foregoing Collateral Assignment of Beneficial Intorest for security purposes from Grantor to Lendor end the muluol boncfii.i aix' /-.-Vantages flowing between the parires and othor valuable consBeiolion. Londor hamby accepts the foregoing Assignmenl, subject to all mo icr.ns and provisions of,the Trust Agroemont nurmorod 2504S, dated Oecamboi 9, 1994, and known as Chicago Tine Land Trust Company, o' Toccessar Trusloa to Nofilh Star Trust Company, as Successor Truslee to Banco Popular North America, o Successor Trustee to Ptoneor B.in\; Trust Company, as Trusloa under Trust Agreemon aleded Decembor 9, 1994 end known es Trust Number 2534S, but Lender herethy exprussiy disclaims sny snd aft of the liabilities Imposed by the Trust Aft<sup>A</sup>morihuporj Ilie;i|>eneficJary or beneficiaries thereof, unlit such time as Lendij rtsll exercise-the rights aid pnvileges conferred on h' by (hoy/orsjong> ligm

wO&fa lOK

bv /TO.

#### ACCEPTANCE BY TRUSTEE

/ Tmal Officer

RELEASE-(AFTER PAYMENT)

The foregoing Collateral Assignment of Beneficial interect from Donald Chaimovitz. oo Tructoo under tho Donald Chaimovitz Declaration of Trust under IrueiAijiecmoni dated Juty2G. 1904 lo Bolmoni Bank & Trusl Company Is hereby cancolted srtd raloosed

Lender: Belmont Bank & Trust Company

Authorized Officer

NOTIFICATION OF ASSIGNMENT

Chicago Title Land Trust Company 10 S LaSalle Street, Sto 2750 Chicago, IL 60003

D«ar Sir or Madam

This Notiricchilon is to advise you of a proposed collator?" assignment of the beneficial interest In the I snd trust described bclow(rcf erred to below as Ihc Truj;!") to secure the Note. This Notification is executed and delivered by Donald Chaimovitz, as Irusice under the Donald Chaimovifr Declaration of Trust under Trust Agreement daled July 25, 1994 [individually and collectively referred to below as "Beneficiary"] to and for Ihc bench! of Belmont Bank & Trust Company (referred to bolow at "Lendor").

TRUST DOCUMENT S. Relative to the proposed AO I, you are directed to furnish to Lender, or Lender's designated agent, a certified copy of the Trust agreement, any amendments thereto, the legal description of all real estate subject to the Trust and all assignments, and to permit Lender, or Lender's designated agent to examine the Trust file. The above certified copies are requested for use in econnection, with a conte-r.plated assignment of the beneficial interest under the Trust

NO OTHER ASSIGNMENT. You are therefore hereby directed not 1c accept or 2ct upon any essignment of the benefictol interest under lho Trusl or lo act upon ony direction lo deal with Irtle to the Trust property until there has been lodged with you an assignment of the beneficial interest in (avor of Lender In the event that the proposed transactor is nol consummated, you will be so advised in writing by Lender, but if you have nol recorved auch odvico within Inliry (30) days Irom tite dote of this Notification, you may conclusively presume thai the contemplated assignment transaction will not be consummated and that the reslacimns Imposed by this Notification ore no longer applicable. CERTIFICATIONS TO LKKDEK. You are a'so directed to certify in writing to Lendor that you have dor.o noihing to Creole any liens or encumbrances against tile lillo to the Trusl property and that you have no knowledge of (A) ony liens or encumbrances against tile to the Trusl property or the beneficial interest, (B) threatened or pending litigation regarding the Trust, the Trust property, or any beneficial Interest in the Trust, (CJ any other assignment or the beneficial interest in the Trusl. or (0) anything adversely affecting title la lihe Trusl property DEFINITIONS The following capitalized words and terms shall have the following meanings when used in this Notification. Unless apcelfically slated to inc contrary, all references to dollar amounts shall moan amounts in lawful money of the United States of America. Words and terms usad in the singular shall include the plural, and the plural shall include the singular, as the context may require Words ond terms not otherwise defined in 'his Notification shall have the meanings attributed to such lerms in the Uniform Commercial Code

ABI. The word "ABI" means the Collateral Assignment of Beneficial Interest doted August 14, 2015 from the owners thereof to Londer as

Bo-ioficiary. Thc word "Beneficiary" means Donald Chalmovru, as Trusiee under the Donald Chnimcvitz Declaration of Trust under Trust Agreement dated July 25. 1994. including all Benefidanes signing this Notification

Pon-owcr. Tho word "Borrower' means Donald Choimovitz: and Chicago Title Land Trust Company, as Successor Trustee to North Star-Trust Company, as Successor Trustee to Banco Popular North America, as Successor Trustee to Pioneer Bank & Trust Company, as Trustee under Trusl Agreement dated December 9. 1994 and known as Trust Number 25948 and includes all co-signere and co-makers signing the Note and all their successors and assigns.

Lendur The word "Lender" moans Eelmoftt Bank 6\ Trjs'. Company, its successors and assigns

Nole. The word "Note" moans ihe Note doted August 14, 2015 and executed by Bonuwor In the principal amount of 53.200.000 00. together with all renewals of, extensions of, modificalions of, refinancings of. consolidations of, and substitutions for the note or credit agreement

Trust The word "Trust" means that certain land trust created by a Trust Agreement doled Dacambor 9. 1994. Trusl Number 25940, ond known as Chicago Title Land Trust Company, as Successor Trustee to North Stnr Tnj3l Compony, as Successor Trus'leo lo Banco Popular North Amenrj. as Successor Trusiee lo Pioneer Bank A Trust Company, as Trustee under Trust Agreement dated Oocember 9. 1994 and known as Trusl Number 2591B.

Trustee. Trie word "Trustee" meons Chicago Title Land Trusl Company, whose oddross is 10 S LaSaOo Street. Sto 2750. Chicago. IL 60GO3. and any substitute or successor tru5tCC3

NOTIFICATION OF ASSIGNMENT Loan No: 8300003410 (Conlinucd)

: CUSTOMERNUMUER- BP 2594S

WHEREAS, the Chicago Title Land Trust Company, as Trustee under the terms of a certain agreement dated December 9, 199-1 and known .is Trust Number 25948 is presently holding the legal and equitable tide to certain real estate;

AND WHEREAS the undersigned party(ics) of the first part hold(s) the beneficial interest in the proceeds and avail; of such property in accordance with the terms and provisions of said agreement,

AND WHEREAS said Trust, in accordance with the provisions thereof, terminates twenty years from the date of said agreement or, should said trust have been previously extended, twenty years from the date from which it was last extended;

AND WHEREAS, it is the desire ofthe parties hereto to extend the terms of the trust for an additional twenty years.

NOW THEREFORE for and in consideration of the sum of One Dollar and olher good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, the parties hereto do hereby agree that llic said trust shall continue under tlie same terms and conditions for an additional twenty years from December 9.2014 except however, that the compensation of the Trustee for signing deeds and otlici instruments shall be its current schedule of charges for such services and S29S.00 per year for continuing to hold title to the real estate, or as adjusted by Lhc Trustee from time to lime.



IN WITNESS WHEREOF the party(ies) of the first part has (have) set their hand(s) and seal and the Trustee has caused these presents to be executed by ils Authorized Administrator.

Signature-

Donald Chflimovit7, as trustee ofthe Donftld Chaimovitz Declaration of Trust dated July 25, 1994
PrintedName:
Telephone Number
Address, City, Slate, Zip
Printed Name:

Address, City, Stale, Zip

Printed Name:

Address, City, State, Zip

Printed Name\*

Address, City, Slate, Zig

Printed Name

Address, City, State, Zip

Printed Name.

Address. City, Stale, Zip

acknowledged by trustee this Chicago Title Land Trust Company

 $By.- -Mm* &&ZAlot<^$ AutfiAnzed Administrator

Rev. 10/04

### $3u^*U\pounds ft \underline{\quad Sar \ cement, \quad \ \ \ \ \ \ \ \ \ \, } \quad \ \ \, \underline{\quad \quad \ \ \, } \quad \ \ \underline{\quad \quad } \quad \underline{\quad \quad \quad } \quad \underline{\quad \quad \quad } \quad \underline{\quad \quad \quad } \quad \underline{\quad \quad \quad } \quad \underline{\quad \quad \quad } \quad \underline{\quad \quad \quad } \quad \underline{\quad \quad \quad } \quad \underline{\quad \quad \quad } \quad \underline{\quad \quad } \quad \underline{\quad$

2 5.94 8

PIONEER EAj: 'n TKu57 COmF'AKY, a corporation of IUinois. as trustee hereunder, b about to take legal and equitable (ulc io lhe following

described ical estate )n

Count\*. !!Jir.c:s:

The West Twelve Inches (W 12") of Lot Seven (7) and all of Lots Eight through Sixteen (16) in Block Three (3) in Nacjle and High's Addition to Chicago (E 1/2)in Lhe East Half of the Southwest Quarter (SW 1/4) of Section Eight Township Thirty-nine North (39 N), Range Fourteen (14), East (E) of the Third (3rd) Principal Meridian, in Cook County, Illinois.

otherwise

known

as

325

North

Elizabeth

Illinois 60607 Street, Chicago,

When lhe trusiee has lafcen title to the leal estate or has accepted in wnunc title lo any other properly conveyed to it is trustee hereunder, the trustee will hold it for the uses and purposes and on lhe trusts herein stated. Any other property conveyed to the uustee without written acceptance by the truster <h\*U not be subject 10 this agreement.

The following na/ned persons (beneficiaries) and their successors in interest shall be entailed to the earnings, avails and proceeds of lard real estate according to llie respective interests herein set forth, to wrl.

100% of the beneficial intoreot in DONALD CHAIMOVITZ ao Trustee, or his successors in trust, of the DONALD CHAIMOVITZ DECLARATION of TRUST ONDER AN AGREEMENT dated July 25, 1994, with full power to sell, beneficial interest thereof. assign or transfer, all orany part οf t.he

The power of direction icforred lo on the leverse side hereof shall be in DONALD CHAIMOVITZ, as Trustee, or his ouccesuors in trust.

THIS AGREEMENT IS SUBJECT TO ADDITIONAL PROVISIONS SET FORTH ON THE REVERSE SIDE HEREOF AND WHICH ARE HEREBY INCORPORATED HEREIN AND MADE A PART HEREOF BY REFERENCE.

 $n \ . \backslash Jt \backslash \!\! \sim \!\! ! \text{-r-} K$ (SEAL) SONALD CJJASMPVITZ, Trustee SSN: 320-46-1891

(SEAL)

Chicago, Illinois 60607

Address, c./.o.. 3.25 .Npxth.Elizabeth. Chicago, IL 60607 Beneficlaiy

Address

Beneficiary

(SEAL)

Beneficiary

.(SEAL)

Beneficiary

.(SEAL)

Deneficiary

Assistant ^c^ctajy^^^ftlJRA/A. IitXallES

Signature and nldrcv. of tht person hjvmg a pouci of direction if other lhan a be ncfiu.ny

(SKAU

Ihc interest ol every boneliuary and ol":iny person who may become on tl I led to any interest under litis trust shall consist only ol (I) the power lo dticci the trustee: to deal with ink to lhe property, (2) lite power lo manage, possess, use and control ihe properly, and (3) lhc right to receive the cuttings, jvuils anil proceeds from leases jnd other ur.es <a href="http://ur.es>">http://ur.es>">-and Irom morteases, sales and other dispositions ol" the properly. Such rights and powers shall be person;! property iti.i m.iy be assignee as such. On the dejtt of any beneficiary his mtercs!. execpras otherwise specifically provided, shall pass to his otcoulor or administrator and not to his heirs at law No beneficiary; i( any tunc shall hive any nghi, ititle or interest tn or to any portion ol" the legal or equitable- title lo the properly Tlie death ol any beneficiary shall not terminate the trust of affect the rights or powers of the trustee or of the benclicialics except is provided by law.

H H

No assignment of any beneficial interest shall be binding, on the trustee until the original or executed duplicate of ihe assignment is delivered to llic trustee and accepted by it to writing. Every assignment of any beneficial interest, the original or duplicate of winch shall not have been so delivered to jnd accepted by the trustee, shall be ineffective as to all subsequent assignees or purchasers without notice.

I he trustee shall have no obligation to file any income, profit or other tax reports or returns or pay such or any other taxes. Tin: beneliciaries will nuke all such returns and reports. and pay general real estate and all other tixes or cliatE.cs <a href="http://cliatE.cs">http://cliatE.cs</a> payable with respeel to the piopeny and to the earnings, avails and proceeds of the piopeny or based on their interests under this agreement.

If the Irustee shall nuke any advances or incur any expenses on account of Iliis Irust or the property or shall incur any expenses by reason of being made a pany io any litigation in connection with lbis Irust or (he property or if the irustee shall be compelled to pay money on account of this trust or the property, whether for breach of contract, injury to person or property, fines or penalties under, any law, or otherwise, the beneficiaties jointly and severally on demand shall pay to the trustee, with interest at the highest rale per annum permitted by law [he amount uf all such expenses, advances or payments nude by the trusiee, plus all its expenses.' including attorney's fees. The trusiee sliaJl not be obliged to convey, transfer or otherwise deal with the property or any pan of tl until all of the payments, advance, and expenses made or incurred by H shall have been paid, with interest

The irusice"shall not be obligated to pay any muney for this (rust or lite properly or to prosccuie'or defend any legal proceeding involving this trust or [lie properly unless it shall eleel 10 do so and be furnished-willi sufficient funds or be indemnified to its satisfaction. If the trusiee is served Willi process or notice of legal proceedings or of any other mailer concerning the trust or the properly, the sole duly of! his trusiee shall be to forward the process or notice to the person named herein as the person I'o whom inquiries or notices shall be sent, or. in (lie absence bf such designa\* lion, to the beneficiaries. The latest address appearing in the records, of the tiustee shall be used for all mailings.

Il shall not be (he duty of lhe purchaser ol" llic properly or ol jny pari of it lo sec the application of lhe purchase money, nor shall anyone who nay deal with ihe irustee be required or privileged lo inquire into ihe necessity or expediency of any act ol the trustee, or into the provisions of this agreemenl.

In this agreement the plural includes the singular, and vice vetsa. and the masculine gender includes the feminine.

The trustee may all any tune resign by sending by registered or certified mail a nolice of such intention to each of the then beneficiaries at his latest address appealing in the records of the trustee. Such resignation shall become effective twenty days after such mailing. On such resignation a successor may be appointed in writing by the persons ihen cnlitilecl lo direct the trustee in the disposition of lhe properly, and lhe trustee slull thereupon convey or transfer the properly to such successor. If no successor is named as above provided willnn lwenly days after the mailing of such notices by the Irustee, the trusiee may convey or transfer lhe irust property to the beneficiaries in accordance with their interests hereunder, and lhe conveyance may be recorded or registered, as ihe case may be, by the trustee and such recording oi registration shall constitute delivery of die conveyance or transfer to the beneficiaries. The trustee, nt Ms option, may file a complaint for appropriate relief in any court of coinpelent jurisdiction.

Every successor trustee shall become fully vested with all lhe title, csiale, rights, powers, trusts, and shall be subject to the duties-arid obligations, of its predecessor.

It is agreed by the parties and by any person who may hereafter acquire any interest in this trusulal the trustee will deal with the trust property including cash or other assets of any kind which may have become subject to the Irust only when authorised lu do so in writing

On the written direction of the party or parties designated on lhe reverse side hereof as having the power of direction the trusiee will make deeds for, or mortgages or trust deeds (which may include a waiver of the right of redemption from the sale under an order or decree of foreclosure) or execute leases or otherwise deal with the title to ihe trust properly including cash or olher assets subject to ilic. Irusi. The beneficiaries by written instrument delivered

10 thc trustee m.iy revoke lhe foregoing power ol" direction and designate the person ihcteai'lct to exercise lhc power. Such instrument slull be signed by all the then beneficiaries. The trustee shall not be required to inquire inio lhe propriety of any direction.

The trustee shall nut be required to assume any personal obligation or liability in dealing with the property or to make itself liable for any damages, costs, expenses, lines or penalties, or lo deal with title 10 the properly so long as any money is due to it hereunder.

The beneficiaries shall have the sole possession...management and control of the selling, renting, repairing, tTuinmning and handling of the properly and the Irustee shall have no right or duty in respect lo any such inaitors. The beneficiaries shall have the right lo execute leases and collect rents in their own name or thiough then agents. The irustee shall have no right or duty in respect to lhe payment of taxes or assessments or insurance, litigation or matters relating to the piopeny. except on written-direction accepted by it as above provided and after the payment to it of all money necessary in lis opinion to carry out the directions without liability to it. The beneficiaries are not [he agents of the trustee for any purpose and do not have any authority to contract or to execute leases or do any other act for ot in the name of the irusice or to obligate the trusiee personally or as irusice

The trustee shall not be required to execute any instrument containing covenants of warranty.

If any properly remains in ihis trust iwenly years from the dale of this agreement or any extension thereof.

- 11 shall be sold all public sale by lhe trustee on reasonable notice as determined by il and the net proceeds ull lhe sale
- 11 shall be divided .timing those who are then entitled thereto under Ihis agreement in the proportions in which ihey are

11 then entitled , , . . . .

The trustee shall receive lor its services in accepting this trust and tjkmg title hetctindei an acceptance tec, and in addition each year in advance for holding litle after one year from lhe dale liereol an annual lec. each lce as dcicrmined by lhe in.sice's ihen current tate schedule and also its regular scheduled lees for executing deeds, mortgages or olher iiiMnmienis II shall receive reasonable compensation for any special services which may be rendered by it and lor lakmg and holding any. olher properly which may hcicjlier be conveyed lo il hereunder, which lces, charges and other compensation lhc henel'icuiies jointly and severally agree to pay. Il lhe value uf the properly is increased lor any reason after the trusiee has accepted title thereto: lhe m.stee shall be entitled to a reasonable additional annual lce. in accutdance with us schedule fees lor holding lille lo lhc property.

PIONHEK HANK X TKWST COMPANY JO(II) W. NORTH AVE, CHICAGO. ILL f>t)6.V>

### CITY OF CHICAGO ECONOMIC DisCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: 1230

WEST, LLC

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. |xTj the Applicant

OR

- 2. □ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3. []a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 1230 w. FULTON STREET

CHICAGO, IL 60607

C. Telephone: (312)327-3350 p<sub>ax:</sub> (312) 327-3315 Email: rnichael@acostaezgur.com

<mailto:rnichael@acostaezgur.com>

- D. Name of contact person: michael ezgur
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Vacation of alley bounded by North Elizabeth St; West Carroll Ave, North Racine Ave; and West Fulton Street

G. Which City agency or department is requesting this EDS'? CDOT

| File #: O2016-4882, Version: 1   |   |
|--|---|
| If the Matter is a contract being handled by complete the following:   | the City's Department of Procurement Services, please       |
| Specification #  | and Contract #  |
| Page 1 of 13   |   |
| SECTION II - DISCLOSURE OF OWNERSHIP IN  | TERESTS   |
| A. NATURE OF THE DISCLOSING PARTY  |   |
| 1. Indicate the nature of the Disclosing     Person [~] Publicly registered business corporation H] Priva General partnership     Limited partnership □ Trust  | tely held business corporation Q Sole proprietorship [ J    |
| j~Xj Limited liability company Q Limited liability partnership I I Joint venture   [ Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  □ Yes rjNo I   Other (please specify) |   |
| 2. For legal entities, the state (or foreign country ILLINOIS  | e) of incorporation or organization, if applicable:         |
| 3. For legal entities not organized in the State of the State of Illinois as a foreign entity?   | Illinois: Has the organization registered to do business in |

 $\square$  Yes iHNo [xjN/A

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not -for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| File #: O2016-4882, Ve          | ersion: 1  |  |
|---------------------------------|--|--|
| Name Title<br>DOUGLAS VAN VLYME | N MANAGER  |  |
| beneficial interest (inc        | _  |  |
|                                 |  |  |
| similar entity. If none,        | state "None." NOTE: Pursuant to Section e City may require any such additional | y, or interest of a beneficiary of a trust, estate or other on 2-154-030 of the Municipal Code of Chicago information from any applicant which is reasonably |
| Name                            | Business Address   | Percentage Interest in the Disclosing Party  |
| DOUGLAS VAN VLYME               | N 1230 W. FULTON ST., CHICAGO, IL 60   | )607 50%   |
| Corrie Van Vlymen               | 1230 W. Fulton St., Chicago, IL 60607  | 50%  |
|                                 |  |  |
| SECTION III BUSIN               | NESS RELATIONSHIPS WITH CITY   | ELECTED OFFICIALS  |
|                                 | Party had a "business relationship," as the 12 months before the date this EDS | defined in Chapter 2-156 of the Municipal Code, with any is signed?  |
| □ Yes                           | fx] No   |  |
| If yes, please identify b       | elow the name(s) of such City elected of                                       | official(s) and describe such relationship(s):   |
| SECTION IV DISCI                | OSURE OF SUBCONTRACTORS A  | ND OTHER RETAINED PARTIES  |

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to

be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes lo influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

#### Page 3 of 13

| Name (indicate whether  | Business  | Relationship to Disclosing Party | Fees (indicat | te whether                   |
|-------------------------|-----------|----------------------------------|---------------|------------------------------|
| retained or anticipated | Address   | (subcontractor, attorney,        |               | paid or estimated.) NOTE:    |
| to be retained)         |           | lobbyist, etc.)                  |               | "hourly rate" or "t.b.d." is |
|                         |           |                                  |               | not an acceptable response.  |
| MICHAEL EZGUR 1030 W    | CHICAGO A | VENUE, 3RD FL, CHICAGO II, 60642 | ATTORNEY      | \$2.500 (est.)               |

(Add sheets if necessary)

j Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V - CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes [X, No fj No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in

compliance with that agreement?

□ Yes []No

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1 -23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

#### Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section ILB.1. of this EDS:
- I a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Parly, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the

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|                                |  |  |  |  |  |

- U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

#### Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE.
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient. NONE.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1.  $\Box$  is [xj is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

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|--------|----|---------|-------|----------|---|

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable lo make this pledge because it or any of ils affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

#### Page 7 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

- 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
  - Yes [Xj No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

- Yes [XJ No
- 3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

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|--------------------------------|------------------|--------------------|--|--|
| Name                           | Business Address | Nature of Interest |  |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither I. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- J<sub>=</sub><sup>X</sup>J<sub>\_</sub>1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

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|---------|-------------|----------|---|
|---------|-------------|----------|---|

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

• Yes □ No

If "Yes," answer the three questions below:

- 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
  - Yes 3No
  - 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract

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Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

LJ Yes □ No

- 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
  - Yes n No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with

the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-1 54-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

#### Page 1 1 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Signed and sworn to before me on (date) at Cook ) County, Illinois

Commission expires:

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# STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or anyperson exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

 $\Box$  Yes [X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

#### fx] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

### Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### Page 14 of 14

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Vacation of alley iDouoded by North Elizabeth St.: West Carroll Ave.; North This recertification is being submitted in connection with Racing Ave; and west Fulton Street [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

1230 West, LLC (Print or type legal name of Disclosing Party) (signliere)

Print or type name of signatory; Douglas Van Vlymen

Title of signatory: Manager

Signed and sworn to before me on [date]

Douglas Van Vlymen , at Cook County, Illinois

[state].

Commission expires

otary Public.

 $Mm ^f o^o$ 

Ver. 11-01-05

\ WFICIALsiALr w MARGARITA BRIZUELA

- Notary Public, State of Illinois
- i My Commission (Expires 5/14/2020;

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION 1 - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Chicago Title

Land Trust Company Trust No. 25948

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [Xj the Applicant

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|--|--------------------------|--|--|
| 2. Applican OR 3. [7] a lega   | nt in which the Disclos  | sing Party holds an interest: of control (see Section ILB.l.) S  | blicant. State the legal name of the state the legal name of the entity in |
| B. Business add  | dress of the Disclosing  | g Party: 325 N. ELIZABET   | TH STREET  |
|  |                          | CHICAGO,   | IL 60607   |
| C. Telephone:  | (312)327-3350            | p <sub>ax:</sub> (312)327-3315                                   | Email: michael@acostaezgur.com   |
| <mailto:michae< td=""><td>el@acostaezgur.com&gt;</td><td></td><td></td></mailto:michae<> | el@acostaezgur.com>      |  |  |
| D. Name of con   | ntact person: MICHA      | EL EZGUR   |  |
| E. Federal Emp   | ployer Identification N  | No. (if you have one):   |  |
| -  | •                        | saction or other undertaking ( d location of property, if applic | referred to below as the "Matter") to which this EDS able):                |
| Vacation of alle   | ey bounded by North Eliz | cabeth Street; West Carroll Avenue                               | e; North Racine Avenue; and West Fulton Street.                            |
| G. Which City  | agency or department     | is requesting this EDS'? CDO                                     | Γ  |
| If the Matte following:  | er is a contract being   | handled by the Cily's Depar                                      | rtment of Procurement Services, please complete the                        |
| Specification  | n #                      | and Contr  | ract#  |
| Paue 1 of 13   |                          |  |  |
| SECTION II   | - DISCLOSURE OF (        | OWNERSHIP INTERESTS  |  |
| A. NATURE O  | OF THE DISCLOSING        | G PARTY  |  |
| □j Publicly regi<br>partnership [□ l<br>Party:   | Limited partnership [X   | ration ,1   Privately held busin                                 | ess corporation □ Sole proprietorship   j General                          |
|  | d liability company      |  |  |

j | Limited liability partnership

Joint venture

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|------|----|--------|-------|----------|---|

Not-for-profit corporation
 (Is the not-for-profit corporation also a 501(c)(3))?
 □ Yes QNo □ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

**ILLINOIS** 

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

S N/A

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title Donald Chaimovitz Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address

Percentage Interest in the Disclosing Party

Donald Chaimovitz Declaration of Trust, dated July 25. 1994 325 N. Elizabeth Street. Chicago IL 60607 100%

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|------|----|-----|-------|-------|-------|-------|
|      |    |     |       |       |       |       |

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

 $\Box$  Yes  $\Rightarrow$  [xj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking fo influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

#### Pane 3 of 13

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, to be retained)

| Subcontractor, attorney, to be retained | Subcontractor, attorney, attorney

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|--|--|--|
|  |  |  |
|  |  |  |
| (Add sheets if n   | necessary)   |  |
| □ Check her  | e if the Disclosin   | ng Party has not retained, nor expects to retain, any such persons or entities.  |
| SECTION V -  | CERTIFICATION  | S  |
| A. COURT-OF  | RDERED CHILD   | SUPPORT COMPLIANCE   |
|  | •  | 2-92-415, substantial owners of business entities that contract with the City must hild support obligations throughout the contract's term.  |
|  | •  | directly owns 10% or more of the Disclosing Party been declared in arrearage on any Ilinois court of competent jurisdiction?   |
| [j Yes   | 0 No   | Q No person directly or indirectly owns 10% or more of the Disclosing Party.   |
|  | e person entered in h that agreement?  | ato a court-approved agreement for payment of all support owed and is the person in  |
| □ Yes  | □ No   |  |
| B. FURTHER   | CERTIFICATION  | IS   |
| terms (e.g., "doing business of person is current supervision for, perjury, dishone understands and City. NOTE: If | ing business") and with the City, then the city indicted or charant criminal offer esty or deceit again acknowledges that Article I applies to | e Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling reged with, or has admitted guilt of, or has ever been convicted of, or placed under use involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, ast an officer or employee of the City or any sister agency; and (ii) the Applicant at compliance with Article I is a continuing requirement for doing business with the other Applicant, the permanent compliance timeframe in Article I supersedes some five-tifications 2 and 3 below. |
|  |  | Page 4 of 12   |

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2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section ILB.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not; within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or

Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution

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|------|----|--------|-------|----------|---|
|------|----|--------|-------|----------|---|

date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE.

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NONE.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1.  $\Box$  is 0 is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable.to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

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|------|----|--------|-------|----------|---|

- 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
  - Yes [xjNo

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D. 1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power docs not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

- Yes [\*j No
- 3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- |,x\_ j 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- dl 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that

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|------|----|------|---------|----------|---|
|------|----|------|---------|----------|---|

the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the

| Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.  B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY  If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.  Is the Disclosing Party the Applicant?  • Yes   No  If "Yes," answer the three questions below:  1. Have you developed and do you have on file affirmative action programs pursuant to applicable feder regulations? (See 41 CFR Part 60-2.) |
|--|
| If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.  Is the Disclosing Party the Applicant?  • Yes □ No  If "Yes," answer the three questions below:  1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.  |
| the following information with their bids or in writing at the outset of negotiations.  Is the Disclosing Party the Applicant?  • Yes □ No  If "Yes," answer the three questions below:  1. Have you developed and do you have on file affirmative action programs pursuant to applicable feder  |
| <ul> <li>Yes</li></ul>   |
| If "Yes," answer the three questions below:  1. Have you developed and do you have on file affirmative action programs pursuant to applicable feder  |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable feder   |
|  |
| Yes □ No   |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? L'iYes ["JNo  |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  |
| ; j Yes :;No   |
| If you checked "No" to question 1. or 2. above, please provide an explanation:   |
|  |

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SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions.

The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

#### Page 1 1 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Chicago Title Land Trust Company Trust No. 25948 (Print or typ& name of Disclosing Party)

**Donald Chaimovitz** 

(Print or type name of person signing)

Trustee of the Donald Chaimovitz Declaration of Trust, dated July 25, 1994, as sole beneficiary (Print or type title of person signing)

Signed and sworn to before me on (date)

at Cook

County, Illinois

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-

brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1. | Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building |
|----|--|
|    | code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?                |

QYes [7) No

2. Tf the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

 $| Yes O^{No} [3^{Not A}PP^{licable}]$ 

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### Page 14 of" 14

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

# RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Vacation of alley bounded by North Elizabeth St.; West Carroll Ave.; North This recertification is being submitted in connection with Racine Ave; and West Fulton Street [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Chicago Title Land Trust Company Trust No. 25948 Date: 6-2-16 (Print or type legal name of Disclosing Party)

(sign here) upCA> I-\*QS<r Print or type name of signatory: Donald Chaimovitz

Title of signatory:

Trust of the Donald Chaimovitz Declaration of Trust, dated July 25, 1994, as sole beneficiary

Signed and sworn to before me on [date] £Mj/k , by sDonaldI Chaimovitz , at Cook County, Illinois [state].

Notary Public.

Commission expires:

- < OFFICIAL SEAL i
- i SAMUEL BEREZIN »
- < Notary Public State of Illinois

< My Commission Ixplrea 8/06/2018 >

Ver. 11-01-05

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

ELA Associates, Inc

#### Check. ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [^j the Applicant

OR

- 2. 

  a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3.  $\Box$  a legal entity with a right of control (see Section ILB.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 17W635 Butterfield Road

Oakbrook Terrace, IL 80181

C. Telephone: 312-327-3350 Fax: 312-327-3315 Email: michael@acostaezgur.com

<mailto:michael@acostaezgur.com>

D. Name of contact person: Michael Ezgur

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|---|
| E. Federal Employer Identification No. (if you have one):   |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  |
| Vacation of alley bounded by North Elizabeth St.; West Carroll Ave.; North Racine Ave.; and West Fulton Street  |
| G. Which City agency or department is requesting this EDS? CDOT   |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:  |
| Specification ft and Contract it  |
| Page 1 of 13  |
| SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS  |
| A. NATURE OF THE DISCLOSING PARTY   |
| f! Person    j Publicly registered business corporation   j Privately held business corporation  Sole proprietorship }   General partnership r~] Limited partnership □ Trust  [Xj Limited liability company [~1 Limited liability partnership   ] Joint venture [~], Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  □ Yes QNo!   Other (please specify) |

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

 $\square$  Yes [J No EN/A

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity

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|--|--|--|-------|
| that controls the day-<br>EDS on its own behal | •  | isclosing Party. NOTE: Each legal entity listed below must submit  | an    |
| Name Title                                     |  |  |       |
| Carroll Avenue Ass                             | sociates, Ltd.   | <u>Manager</u>   |       |
| interest (including ow                         | _  | oncerning each person or entity having a direct or indirect beneficial of the Disclosing Party. Examples of such an interest include shares or joint venture,                                      |       |
|  |  | Page 2 of 13   |       |
| similar entity. If none                        | e, state "None." NOTE: Pursu<br>the City may require any suc | lity company, or interest of a beneficiary of a trust, estate or other uant to Section 2-154-030 of the Municipal Code of Chicago ch additional information from any applicant which is reasonably |       |
| Name   | Business Address   | Percentage Interest in the Disclosing Party  |       |
| Carroll Avenue Asso                            | ciates, Ltd., 17W635 Butterf                                 | field Rd., Oakbrook Terrace, IL 60181 100%   |       |
|  |  |  |       |
| SECTION III BUS                                | INESS RELATIONSHIPS V  | WITH CITY ELECTED OFFICIALS  |       |
|  | g Party had a "business rela<br>n the 12 months before the d | tionship," as defined in Chapter 2-156 of the Municipal Code, wit late this EDS is signed?   | h any |
| □ Yes  | £ No   |  |       |
| If yes, please identify                        | below the name(s) of such (                                  | City elected official(s) and describe such relationship(s):  |       |

# SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist,

accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the Cily whether disclosure is required or make the disclosure.

# Page 3 of 13

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: to be retained) lobbyist, etc.) "hourly rate" or "t.b.d." is not an acceptable response.

Michael Ezgur, 1030 W. Chicago Ave., 3rd FL, Chicago, IL 60642 Atty \$2,500 (est.)

(Add sheets if necessary)

I | Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V - CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes fx No □ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

• Yes [JNo

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section ILB.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible enlily); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

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- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Parly understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

- 1. □ is [.xis not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Muriicipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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Tf the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

- 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
  - Yes Cx'No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

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3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies lhat no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \_x\_ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- CZ] 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995

who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has nol spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes LjNo

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

regulations? (See 41 CFR Part 60-2.)

- Yes [¡No
- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
  - Yes GNo
- 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
  - Yes

□ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchica.qo.org/Efhics <a href="http://www.cityofchica.qo.org/Efhics">http://www.cityofchica.qo.org/Efhics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or

all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf ofthe Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

THOMAS E. COMFORTE

ELA ASSOCIATES. LLC (Print or type name of

Disclosing Party)

(Print or type name of person signing)

PRESIDENT OF CARROLL AVENUE ASSOCIATES. LTD., MANAGER (Print or type title of person signing)

Signed and sworn to before me on (date) at Cook/^yn County, Illinois

Commission expires:

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1 a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) anyperson having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

□ Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such

person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDLX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

# 0No

If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

### Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### Page 14 of 14

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Vacation of alley bounded by North Elizabeth.St.: West Carroll Ave. This recertification is being submitted in connection with Racing Ave; and West Fulton Street [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

ELA Associates, LLC

(Print or type legal name of Disclosing Party) By:



Print or type name of signatory:

Thomas E. Comforte

Title of signatory:

President of Manager

Thomas E. Comforte

Signed and swom to before me on [date] \_

, at Cook

£,20f&, by

County, Illinois [state].

Notary Public.

Commission expires

OFFICIAL SEAL WNGAKI UC2K1EVWCZ NOTARY PUBUC - STATE OF ILUNOIS MY COMMISSION EXPWES:04/11/18

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: CARROLL

AVENUE ASSOCIATES, LTD.

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. fJ the Applicant

OR

- 2. [ x! a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: ela ASSOCIATES. LLC OR
- 3. []j a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 17W635 BUTTERFIELD ROAD, SUITE 220
  OAKBROOK TERRACE, IL 60181
- C. Telephone: (312)327-3350 p<sub>ax:</sub> (312)327-3315 Email: michael@acostaezgur.com

<mailto:michael@acostaezgur.com>

- D. Name of contact person: MICHAEL EZGUR
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Vacation of alley bounced by North Elizabeth St; West Carroll Ave.; North Racine Ave.; and West Fulton St.

G. Which City agency or department is requesting this EDS? CDOT

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the

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|---|--|--|--|--|--|--|
| following:  |  |  |  |  |  |  |
| Specification it  | and Contract #   |  |  |  |  |  |
| Page 1 of 13  |  |  |  |  |  |  |
| SECTION II - DISCLOSURE OF OWNERSHIP  | P INTERESTS  |  |  |  |  |  |
| A. NATURE OF THE DISCLOSING PARTY   |  |  |  |  |  |  |
| ILLINOIS  | LJ¹ Limited liability company  Limited liability partnership  Q Joint venture  Q Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [JYes [ZINo  Q Other (please specify)  antry) of incorporation or organization, if applicable:  the of Illinois: Has the organization registered to do business in the   |  |  |  |  |  |
| □ Yes T] No   | H N/A  |  |  |  |  |  |
| B. IF THE DISCLOSING PARTY IS A LEGAL   | ENTITY:  |  |  |  |  |  |
| profit corporations, also list below all members, members." For trusts, estates or other similar ent If the entity is a general partnership, limited p venture, list below the name and title of each gen | executive officers and all directors of the entity. NOTE: For not-for-<br>if any, which are legal entities. If there are no such members, write "no<br>ities, list below the legal titleholder(s).<br>partnership, limited liability company, limited liability partnership or joint<br>neral partner, managing member, manager or any other person or entity<br>Disclosing Party. NOTE: Each legal entity listed below must submit an |  |  |  |  |  |

Name Title
THOMAS E. COMFORTE
^CTORirCOIVIFORTE"
JOHN W. COMFORTE
TMEUrATvTA. COMFORTE

PRESIDENT AND TREASURER VTCETRESIUbrTI VICE PRESIDENT STECTfETARY

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

THOMAS E. COMFORTE 17W635 BUTTERFIELD ROAD, SUITE 220, OAKBROOK TERRACE, IL 60181 25% VICTOR J. COMFORTE 17W635 BUTTERFIELD ROAD. SUITE 220, OAKBROOK TERRACE. IL 60181 25% JOHN W. COMFORTE I7W635 BUTTERFIELD ROAD. SUITE 220. OAKBROOK TERRACE, IL 60181 25% WILLIAM A COMFORTE, 17W635 BUTTERFIELD ROAD, SUITE 220, OAKBROOK TERRACE, IL 60181 25%

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

□ Yes [xj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means

any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Parly is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated to be retained)

Relationship to Disclosing Party Fees (indicate whether paid or estimated.) NOTE:

| be retained or anticipated to be retained or estimated.) NOTE:
| be retained or anticipated to be retained or estimated.) NOTE:
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| continue or estimated or estimated or estimated or estimated or estimated.) NOTE:
| continue or estimated or estimated or estimated or estimated or estimated or estimated or estimated.) NOTE:
| continue or estimated or estimated.) NOTE:
| continue or estimated or

(Add sheets if necessary)

[xj Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V - CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes [xj No □ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

• Yes [j No

# B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance wilh Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

## Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.B. 1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity.

Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

# Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

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7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE.
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NONE.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. n is [X; is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the Cily."

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If the Disclosing Parly is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

- 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
  - Yes [Xj No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

- Yes [X;No
- 3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- [X,j] 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- [ j 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

## A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt

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to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

• Yes □ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

G Yes Li No

- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
  - Yes □ No
- 3. Have you participated in any previous coniracts or subcontracts subject to the equal opportunity clause?

LI Yes No

If you checked "No" lo question 1. or 2. above, please provide an explanation:

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# SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchica.uo.org/Ethics <a href="http://www.cityofchica.uo.org/Ethics">http://www.cityofchica.uo.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy lo make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Parly must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period,

as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Thomas E. Comforte

Carroll Avenue Associates, Ltd. (Print or type

name of Disclosing Party)

(Print or type name of person signing)

President

(Print or type title of person signing)

Signed and sworn to before me on (date) at Cook /^"V-i County, Illinois

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister. -

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1 a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

H"]Yes ixjNo

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

QYes |T|No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

QYes Q<sup>No</sup> Q Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### Page 14 of 14

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

# RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Vacation of alley bounded by North Elizabeth St.; West Carroll Ave.; North This recertification is being submitted in connection with Racine Ave; and west Fulton Street [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

Carroll Avenue Associates, Ltd.

(Print or type legal name of Disclosing Party)

Date: 6-2-16

Print or type name of signatory:

Thomas E. Comforte

Title of signatory: President

Signed and sworn to before me on [date] \_ Thomas E. Comforte , at Cook

Notary Public.

Commission expires:

OFFICIAL SEAL KINGAKLUCZWEVWCZ NOTARY PUBUC - STATE Of QIJNOIS MY COMMISSION EXPtftES.OVIIrta

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

FULTON/RACINE PROPERTY, LLC

# Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1.  $|X\sim|$  the Applicant
  - OR
- 2. □ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the

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|---|-----|
| 2. Applicant in which the Disclosing Party holds an interest:   |     |
| OR 5  |     |
| 3. Q a legal entity with a right of control (see Section ILB.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:   |     |
| B. Business address of the Disclosing Party: 1200W. fulton STREET   |     |
| CHICAGO, IL 60607   |     |
| C. Telephone <sup>-</sup> (312)327-3350   |     |
| mailto:micnael@acostaezgur.com>   |     |
| D. Name of contact person: MICHAEL EZGUR  |     |
| E. Federal Employer Identification No. (if you have one):   |     |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which his EDS pertains. (Include project number and location of property, if applicable): | ch  |
| Vacation of alley bounded by North Elizabeth St; West Carroll Ave.; North Racine Ave.; and West Fulton St.  |     |
| G. Which City agency or department is requesting this EDS'? CDOT  |     |
| If the Matter is a contract being handled by the City's Department of Procurement Services, pleat complete the following:   | ıse |
| Specification it and Contract #   |     |
|   |     |
| Page 1 of 13  |     |
| SECTION II DISCLOSURE OF OWNERSHIP INTERESTS  |     |
| A. NATURE OF THE DISCLOSING PARTY   |     |
| Indicate the nature of the Disclosing Party:      Vil imited liability company  |     |

Ql Person r\_Xj Limited liability company

j | Publicly registered business corporation | j Limited liability partnership | j Privately held business corporation | Q Joint venture |

[7] Sole proprietorship | [~] Not-for-profit corporation |

fjj General partnership | QYes LjNo |

Q Trust | QYes LjNo |

F] Other (please specify)

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|--------------------------------|--|--|
|                                |  |  |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

**ILLINOIS** 

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

 $\Box$  Yes  $\Box$  No [1 N/A

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title TIMOTHY JANS MANAGER

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name
Business Address
Percentage Interest in the Disclosing Party

Timothy Jans, 1200 W. Fulton St., Chicago, IL 60607
Robert Jans, 1200 W. Fulton St., Chicago, IL 60607
33.33%
David Jans, 1200 W. Fulton St., Chicago, IL 60607
33.33%

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

□ Yes [Xj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)

Relationship to Disclosing Party Fees (indicate whether paid or estimated.) NOTE: lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

MICHAEL EZGUR 1030 W. CHICAGO AVENUE, 3RD FL. CHICAGO IL 60642 ATTORNEY \$2,500 (est.)

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|---|
|   |
| (Add sheets if necessary)   |
| I ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.   |
| SECTION V - CERTIFICATIONS  |
| A. COURT-ORDERED CHILD SUPPORT COMPLIANCE   |
| Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.   |
| Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?   |
| n Yes [Xj No Q No person directly or indirectly owns 10% or more ofthe Disclosing Party.  |
| If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?   |
| □ Yes □ No  |
| B. FURTHER CERTIFICATIONS   |
| 1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe Cily or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article J supersedes some five-year compliance timeframes in certifications 2 and 3 below. |
| Page 4 of 13  |

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

# Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public

officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further --' Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE.

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NONE.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1.  $\Box$  is [Xj is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

# Page 7 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

## D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

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|---|---|--|
| □ Yes   | [Xj No  |  |
| NOTE: If you chec<br>Part E.  | cked "Yes" to Item D.l., proceed to Item  | s D.2. and D.3. If you checked "No" to Item D.1., proceed to   |
| employee shall have<br>purchase of any pro-<br>legal process at the | e a financial interest in his or her own na<br>operty that (i) belongs to the City, or (ii)<br>suit of the City (collectively, "City Prop | Iding, or otherwise permitted, no City elected official or time or in the name of any other person or entity in the is sold for taxes or assessments, or (iii) is sold by virtue of erty Sale"). Compensation for property taken pursuant to the interest within the meaning of this Part D. |
| Docs the Matter inv   | volve a City Property Sale?   |  |
| [j Yes  | [Xj No  |  |
| •   | ked "Yes" to Item D.l., provide the name  | es and business addresses ofthe City officials or ach interest:  |
| Name  | Business Address  | Nature of Interest   |
|   |   |  |
| 4. The Disclosin  |   | pited financial interest in the Matler will be acquired by any   |
| E. CERTIFICATIO   | ON REGARDING SLAVERY ERA BUS  | SINESS   |
|   | ther 1. or 2. below. 1 f the Disclosing Ihis EDS all information required by pa   | Party checks 2., the Disclosing Party must disclose below or ragraph 2. Failure to   |
|   | Page  | 8 of 13  |

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

I,<sup>x</sup>J 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

[\_"1J 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

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#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "N A" or if the word "None" appear, il will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or lo extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

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# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes ONo

If "Yes," answer the three questions below:

- 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
  - Yes ['.'] No
- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
  - Yes □ No
- 3. Have you participated in any previous coniracts or subcontracts subject to the equal opportunity clause?
  - Yes fi No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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# SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection wilh the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics

<a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Parly must supplement this EDS up to the time the City takes action on the Matter. If the Mailer is a contract being handled by the Cily's Department of Procurement Services, the Disclosing Parly must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter I -23 and Section 2-1 54-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement

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must be attached to this EDS. 1

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City. (Print or type name of person signing)

**MANAGER** 

(Print or type title of person signing)

Commission expires:

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1 a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or anyperson exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

['] Yes fx] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

QYes

QYes [7] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

| [No  $|\sim X \sim |$  Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

# FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO,

AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### Page 14 of 14

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the dale of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Vacation of alley bounded by North Elizabeth.St.: West Carroll Ave.; North This recertification is being submitted in connection with Racing Ave; and West Fulton Street [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Ver. 11-01-05