

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

Legislation Details (With Text)

File #: O2016-7466

Type: Ordinance Status: Passed

File created: 10/5/2016 In control: City Council

Final action: 12/14/2016

Title: Sale of City-owned property at 2130 S Grove St to Seadog Ventures, Inc.

Sponsors: Emanuel, Rahm

Indexes: Sale

Attachments: 1. O2016-7466.pdf

Date	Ver.	Action By	Action	Result
12/14/2016	1	City Council	Passed	Pass
11/29/2016	1	Committee on Housing and Real Estate	Recommended to Pass	Pass
10/5/2016	1	City Council	Referred	

OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL MAYOR

October 5, 2016

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City is the owner of the vacant parcel of real property commonly known as 2130 South Grove Street, Chicago, Illinois, and legally described on Exhibit A attached hereto (the "Property"); and

WHEREAS, pursuant to ordinances adopted by the City Council of the City (the "City Council") on June 10, 1998, and published in the Journal of the Proceedings of the City Council (the "Journal") for such date, (i) a certain redevelopment plan and project (the "Original Plan") for the Pilsen Industrial Corridor Tax Increment Financing Redevelopment Project Area (the "Area"), was approved pursuant to the Illinois Tax Increment Allocation Redevelopment Act, as amended (65 ILCS 5/11-74.4-1 et seq.) (the "Act"); (ii) the Area was designated as a redevelopment project area pursuant to the Act; and (iii) tax increment financing was adopted pursuant to the Act as a means of financing certain Area redevelopment project costs (as defined in the Act) incurred pursuant to the Original Plan; and

WHEREAS, pursuant to ordinance adopted by the City Council on November 12, 2003, and published in the Journal for such date, the City Council approved an amendment to the Original Plan (the Original Plan, as amended, the "First Amended Plan"); and

WHEREAS, pursuant to ordinance adopted by the City Council on September 1, 2004, and published in the Journal for such date, the City Council approved an amendment to the First Amended Plan (the First Amended Plan, as amended, the "Second Amended Plan"); and

WHEREAS, the Property is located in the Area; and

WHEREAS, Seadog Ventures, Inc., an Illinois corporation (the "Developer" or "Grantee"), has offered to purchase the Property for its current appraised fair market value of One Hundred Ninety-One Thousand Dollars (\$191,000); and

WHEREAS, the Developer intends to construct on the Property a landscaped, driveway access road to the South Branch of the Chicago River for use by its amphibious, commercial recreational vehicles, in accordance with all applicable laws, rules and regulations (the "Project"); and

WHEREAS, by Resolution No. 16-076-21, adopted by the Plan Commission of the City (the "Plan Commission") on August 18, 2016, the Plan Commission recommended the sale of the Property; and

WHEREAS, public notices advertising the intent of the City's Department of Planning and Development (the "Department") to enter into a negotiated sale with the Developer and requesting alternative proposals appeared in the Chicago Sun-Times on August 22 and 29, and September 5, 2016; and

WHEREAS, no alternative proposals have been received by the deadline indicated in the aforesaid notice; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The City Council hereby approves the sale of the Property to the Developer for the amount of One Hundred Ninety-One Thousand Dollars (\$191,000).

SECTION 2. The Mayor or his proxy is authorized to execute, and the City Clerk or the Deputy City Clerk is authorized to attest, one or more quitclaim deeds conveying the Property to the Developer. Without limiting the quitclaim nature of such deed, such conveyance shall be subject to (i) standard exceptions in an ALTA insurance policy; (ii) general real estate taxes and any special assessments or other taxes; (iii) easements, encroachments, covenants, restrictions and liens of record and not shown of record; (iv) such other title defects as may exist; and (v) any and all exceptions caused by acts ofthe Grantee or its agents.

Such conveyance also shall be subject to the following conditions and covenants, in substantially the form set forth below, which are part of the consideration for the Property and which are to be taken and construed as running with the land and binding on the Developer and its successors and assigns:

FIRST: The City makes no covenant, representation or warranty, express or implied, of any kind, as to the structural, physical or environmental condition of the Property or the suitability of the Property for any purpose whatsoever, and Grantee agrees to accept the Property in its "as is," "where is" and "with all faults" condition.

SECOND: The Grantee shall not discriminate on the basis of race, color, sex, gender identity, age, religion, disability, national origin, ancestry, sexual orientation, marital status, parental status, military discharge status, or source of income in the sale, lease, rental, use or occupancy of the Property or any part thereof.

THIRD: The Grantee hereby waives and releases, and indemnifies the City from and against, any claims and liabilities relating to or arising from the structural, physical or environmental condition of the Property, including, without limitation, claims arising under the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended ("CERCLA"), and shall undertake and discharge all liabilities of the City arising from any structural, physical or environmental condition that existed on the Property prior to the date of this deed, including, without limitation, liabilities arising under CERCLA. The Grantee hereby acknowledges that, in purchasing the Property, Grantee is relying solely upon its own inspection and other due diligence activities and not upon any information (including, without limitation, environmental studies or reports of any kind) provided by or on behalf of the City or its agents or employees with respect thereto. If, after the date of this deed, the structural, physical and environmental condition of the Property is not in all respects entirely suitable for its intended use, it shall be the Grantee's sole responsibility and obligation to take such action as is necessary to put the Property in a condition which is suitable for its intended use.

FOURTH: Environmental Matters.

(a) If, in the opinion of the City's Department of Fleet and Facility Management ("DFFM"), based upon the Phase II Environmental Site Assessment reports provided by Grantee prior to Closing, an environmental condition exists on the Property of any exceedance of Illinois Environmental Protection Agency's ("IEPA") Tiered Approach to Corrective Action Objectives ("TACO") Section 742.305 criteria,

"Contaminant Source and Free Product Determination, the Grantee covenants and agrees to complete, within One Hundred Eighty (180) days of the date of this deed, all investigation, removal, response, disposal, remediation, confirmation sampling and other activities ("Remediation Work") necessary (6 remediate such exceedances.

- b) The Grantee shall cooperate and consult with the City at all relevant times (and in all cases upon the City's request) with respect to environmental matters. The Grantee shall promptly transmit to the City copies of all final environmental studies, reports, field data, confirmation samples, correspondence with any environmental agency and similar documents prepared by or for the Grantee (or otherwise obtained by the Grantee) regarding the environmental condition of the Property, prepared or received after the date hereof, including, without limitation, any written communications delivered to or received from the LEPA or other regulatory agencies with respect to the Remediation Work.
- c) The Grantee shall bear sole responsibility for all costs of the Remediation Work and the costs of any other investigative and cleanup costs associated with the Property.
- d) Upon the completion of the Remediation Work, the Grantee shall request from DFFM a Certificate of Compliance (the "Certificate of Compliance"). Within forty-five (45) days thereof, DFFM shall provide the Grantee with either the Certificate of Compliance or a written statement indicating in adequate detail how the Grantee has failed to complete the Project in compliance with this Agreement, or is otherwise in default, and what measures or acts are necessary, in the sole reasonable opinion of DFFM, for the Grantee to take or perform in order to obtain the Certificate of Compliance. The Certificate of Compliance shall be in recordable form, and shall, upon recording, constitute a conclusive determination of satisfaction and termination of this covenant with respect to the Grantee's obligation to complete the Remediation Work.
- e) If the Grantee fails to timely complete the Remediation Work, or obtain a Certificate of Compliance in connection with development of the Project, the City shall deliver written notice of such default, after which the Grantee shall have a 45-day cure period to remedy such default. If the default is not capable of being cured within the 45-day period, then provided the Grantee has commenced to cure the default and is diligently proceeding to cure the default within the 45-day period, and thereafter diligently prosecutes such cure through to completion, then the 45-day period shall be extended for the length of time that is reasonably necessary to cure the default. If the default is not cured in the time period provided for herein, the City may institute such proceedings at law or in equity as may be necessary or desirable to cure and remedy the default.
- SECTION 3. The closing of the conveyance of the Property from the City to the Developer shall be subject to such conditions as the Department shall determine, including, but not limited to, the following:
 - (a) The Grantee shall provide the City with a Phase I Environmental Site Assessment ("Phase I") of the Property completed within 180 days prior to the closing date and conducted in conformance with ASTM E 1527-13. DFFM shall have the right to review and approve the sufficiency of the Phase I report for the Property.
 - b) Upon the request of DFFM, the Grantee shall perform additional tests for the purpose of determining whether any environmental or health risks would be associated with the development of the Project on the Property, including, without limitation, updating or expanding the Phase I report(s) and performing initial or additional Environmental Site Assessment(s) (collectively, the "Reports"). The environmental consultant must provide a reliance letter naming the City of Chicago as an authorized

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user of the Reports.

c) The Grantee shall provide the City with a Phase II Environmental Site Assessment. DFFM shall have the right to review and approve to the sampling plan and results, and any site investigation reports prepared in connection therewith.

SECTION 4. The Commissioner and the Managing Deputy Commissioner of the Department are each authorized to execute any ancillary closing documents on behalf of the City.

SECTION 5. The transfer of title of the Property from the City to the Developer must close on or before the date that is sixty (60) days following the date on which this ordinance is published in the Journal (the "Outside Closing Date"), unless the Commissioner in his sole discretion extends the Outside Closing Date. This ordinance shall be null and void with respect to any portion of the Property that has not yet been transferred to the Developer by the Outside Closing Date, as may be extended.

SECTION 6. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 7. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

SECTION 8. This ordinance shall take effect upon its passage and approval.

EXHIBIT A

Legal Description

(Subject to Final Title Commitment and Survey)

TRACT 1:

THAT PART OF LOT 3 IN BLOCK 34 IN CANAL TRUSTEES' SUBDIVISION OF THE WEST 1/2 OF SECTION 21, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTHEASTERLY OF THE SOUTHEASTERLY LINE OF TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO ACCORDING TO CIRCUIT COURT CASE GENERAL NUMBER 213462, IN COOK COUNTY, ILLINOIS, MORE PARTICULARLY DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHEAST CORNER OF SAID LOT 3; THENCE SOUTHERLY ALONG THE EASTERLY LINE OF SAID LOT 3 ON AN ASSUMED BEARING OF SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST, 35.80 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE NORTHERLY LINE OF SAID LOT 3, A DISTANCE OF 45.00 FEET; THENCE SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST ALONG A LINE PARALLEL WITH SAID EASTERLY LINE, 65.17 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE SOUTHERLY LINE OF LANDS DESCRIBED IN DEED DOCUMENT 1408522056, A DISTANCE OF 155.59 FEET TO THE WESTERLY LINE OF SAID LOT 3; THENCE NORTH 21 DEGREES 32 MINUTES 33 SECONDS EAST ALONG SAID WESTERLY LINE, 3.75 FEET TO SAID SOUTHEASTERLY LINE OF TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO; THENCE NORTH 27 DEGREES 19 MINUTES 22 SECONDS EAST ALONG SAID LINE, 22.34 FEET TO THE SOUTHERLY LINE OF LAND DESCRIBED IN DEED DOCUMENT 1408522056; THENCE SOUTH 65 DEGREES 23 MINUTES 51 SECONDS EAST ALONG SAID LINE, 102.71 FEET TO THE EASTERLY LINE

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OF SAID LAND DESCRIBED IN SAID DEED; THENCE NORTH 24 DEGREES 33 MINUTES 54 SECONDS EAST ALONG SAID EASTERLY LINE, 74.92 FEET TO THE NORTHERLY LINE OF SAID LOT 3; THENCE SOUTH 65 DEGREES 23 MINUTES 51 SECONDS EAST ALONG SAID NORTHERLY LINE, 97.00 FEET TO THE POINT OF BEGINNING.

SAID TRACT 1 CONTAINS 0.219 ACRE (9,553 SQUARE FEET). TRACT 2:

THAT PART OF LOTS 2, 3 AND 4 TAKEN AS A TRACT, IN BLOCK 34 IN CANAL TRUSTEES' SUBDIVISION OF THE WEST 1/2^0F SECTION 21, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING WEST OF THE WEST LINE OF A PARCEL OF LAND DESCRIBED IN DEED DOCUMENT 13829166 AND LYING SOUTHEASTERLY OF THE SOUTHEASTERLY LINE OF THAT PART OF SAID TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO ACCORDING TO CIRCUIT COURT CASE GENERAL NUMBER 213462; EXCEPTING FROM THE ABOVE DESCRIBED TRACT THAT PART OF LOT 2 HERETOFORE CONVEYED AND DESCRIBED IN DOCUMENT 20716949; AND EXCEPTING THEREFROM THAT PART TAKEN FOR THE WIDENING OF WEST CERMAK ROAD, AND ALSO EXCEPT PART CONVEYED BY DEED DOCUMENT 1408522056, BY CITY OF CHICAGO TO LAWRENCE'S FISHERIES, INC., AND ALSO EXCEPT THAT PART OF SAID LOT 3 LYING NORTHERLY AND WESTERLY OF THE FOLLOWING DESCRIBED LINE:

COMMENCING AT THE NORTHEAST CORNER OF SAID LOT 3; THENCE SOUTHERLY ALONG THE EASTERLY LINE OF SAID LOT 3 ON AN ASSUMED BEARING OF SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST, 35.80 FEET TO THE POINT OF BEGINNING; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE NORTHERLY LINE OF SAID LOT 3, A DISTANCE OF 45.00 FEET; THENCE SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST ALONG A LINE PARALLEL WITH SAID EASTERLY LINE, 65.17 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE SOUTHERLY LINE OF LANDS DESCRIBED IN DEED DOCUMENT 1408522056, A DISTANCE OF 155.59 FEET TO THE WESTERLY LINE OF SAID LOT 3, ALL IN COOK COUNTY, ILLINOIS.

SAID TRACT 2 CONTAINS 0.688 ACRE (29,950 SQUARE FEET)

Address: 2130 South Grove Street Chicago, Illinois 60616

17-21-334-006-0000 (part of)

CITY OF CHICAGO ECONOMIC
DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

A. -S^ciftO^ \[t*hrtS XhC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

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 P(l the Applicant OR [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: 	
OR 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:	
B. Business address of the Disclosing Party: ^53Ta7 Gf^finf Pr\v7 ^ -Su-fV o?(^ OQ	
C. Telephone: 31 5.3,5f 1L>SS Fax: jtr? 7ill'Sl°\i Email: Lb^r»n£g/sfc^,,nr^tdCc^^-0<*>	
D. Name of contact person: 1^Uft<^ SoToY!	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") which this EDS pertains. (Include project number and location of property, if applicable):	to
G. Which City agency or department is requesting this EDS? Pc^f op Pki/VH/v^ \$ ^/e o^g/\f If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
Specification # and Contract #	
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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS	
A. NATURE OF THE DISCLOSING PARTY	
[] Person [] Publicly registered business corporation J\$ Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust [] Limited liability company [] Limited liability partnership	
[] Joint venture [] Not-for-profit corporation	

(Is the not-for-profit corporation also a 501(c)(3))?

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[] Yes [] Other (please spe	[] No					
2. For legal en	tities, the state (or f	oreign country) of	f incorpora	ation or organizatio	n, if applicable:	
3. For legal en State of Illinois as	~	d in the State of	fIllinois: I	Has the organization	on registered to	do business in the
[]Yes	[]No j)fJI	N/A				
B. IF THE DISCLO	OSING PARTY IS	A LEGAL ENTI	ГҮ:			
profit corporations, members." For trus	also list below all a ts, estates or other s general partnership the name and title of y-to-day management	members, if any, v similar entities, lis , limited partnersh of each general par	which are ast below the nip, limited rtner, man	e legal titleholder(s d liability company, aging member, mar	re are no such me). Ilimited liability pager or any other	embers, write "no partnership or joint r person or entity
Name Title						
						c-uj-^f-'-)
2. Please provide interest (including of corporation, partner	wnership) in exces	s of 7.5% of the D	Disclosing venture,	erson or entity havir Party. Examples of	•	
similar entity. If no	one, state "None." None, the City may req	NOTE: Pursuant to	Section 2	r interest of a beneft 2-154-030 of the Moormation from any a	unicipal Code of	Chicago
Name	Business	Address		ercentage Interest in	n the	
Fn fcrftunmirt	- Crvt serine.	$<\!\!v\!lGf^\wedge\!W$	Df	isclosing Party ioo^L		

a

File	#•	$\Omega 20^{\circ}$	16-746	6 Ve	rsion:	1

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes JtfNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Parry is uncertain whether a disclosure is required under thi6 Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether **Business** retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d," is

not an acceptable response.

(Add sheets if necessary)

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Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

• 1	•	indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any Illinois court of competent jurisdiction?
[] Yes	pfl No	[] No person directly or indirectly owns 10% or more of the Disclosing Party.
	e person entered h that agreement	into a court-approved agreement for payment of all support owed and is the person in?
[]Yes	[j No	

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section IF.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the 'same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity^or an Affiliated Entity of a Contractor during the five years' before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8, To the best of the Disclosing Parry's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

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9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" doe6 not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

- nMa

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is J)Q is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Parry IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no" response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[JYes)dNo

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

File #: O2016-7466	5, Version: 1	
[] Yes	[] No	
•	xed "Yes" to Item D.l., provide the resuch interest and identify the nature	names and business addresses of the City officials or of such interest:
Name	Business Address	Nature of Interest
4. The Disclosin		rohibited financial interest in the Matter will be acquired by any
E. CERTIFICATIO	N REGARDING SLAVERY ERA	BUSINESS
	s EDS all information required by p	ng Party checks 2., the Disclosing Party must disclose below or in paragraph 2. Failure to Page 8 of 13
	ese disclosure requirements may bidable by the City.	make any contract entered into with the City in connection
any and all predect policies during the	essor entities regarding records of i	Party has searched any and all records of the Disclosing Party and investments or profits from slavery or slaveholder insurance olicies issued to slaveholders that provided coverage for damage to Party has found no such records.
Disclosing Party h Disclosing Party v	as found records of investments or	of conducting the search in step i above, the profits from slavery or slaveholder insurance policies. The s full disclosure of all such records, including the names of any and
SECTION VI - CI	ERTIFICATIONS FOR FEDERAL	LY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VIL For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City

are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

File #: O2016-7466, Version: 1
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Parly has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A. I. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

regulations? (See 41 CFR Part 60-2.)
[] Yes [] No

If "Yes," answer the three questions below:

	•	the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance sloyment Opportunity Commission all reports due under the applicable filing requirements?
[] \	Yes	[] No
	Have you participate unity clause?	d in any previous contracts or subcontracts subject to the equal
[]	Zes Zes	[3 No
If you	checked "No", to que	stion 1. or 2. above, please provide an explanation:

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

Page 10 of 13

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against

File #: O2016-7466, Version: 1

the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to.Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page II of 13

- F.I. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the DisclosingParty or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Parry is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

(Sign here)

(Print or type name of person signing)

Cfo

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(Print or type title of person signing)

Signed and sworn to before me on (date) at Qqfc- County, 3^Vv^^

V <**■**

Commission expires: ^~>1^A^>

(state).

Notary Public.

M TRONGKAMSATAYA OFFICIAL SEAL . Notary Public, Slate of Illinois Mv Commission Expires $^{\vee}$ May 22, 2018 Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section 1I.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have

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a "familial relationshi	p" with an elected city official (or department head?
[] Yes]>Q No	
person is connected; (3		of such person, (2) the name of the legal entity to which such ted city official or department head to whom such person has uch familial relationship.
	Page 13 o	f 13
		MIC DISCLOSURE STATEMENT AND AFFIDAVIT PPENDIX B
BUILDING CO	DDE SCOFFLAW/PROBLEM	LANDLORD CERTIFICATION
ownership interest in t		he Applicant, and (b) any legal entity which has a direct cent (an "Owner"). It is not to be completed by any legal n the Applicant.
		is the Applicant or any Owner identified as a building code ion 2-92-416 of the Municipal Code?
[]Yes J>r1No		
		d on any exchange, is any officer or director of the Applicant lem landlord pursuant to Section 2-92-416 of the Municipal
[]Yes	[]No	Not Applicable
identified as a l		ne name of the person or legal entity lem landlord and the address of the building or buildings to

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12

OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2.J\$ a legal entity holding a direct or indirect interest in the Applicant. State the Segal name of the Applicant in which the Disclosing Party holds an interest: SUj>t}£r VeyrivrLT, ZT/)C.

 OR
- 3. I] a legal entity with a right of control (see Section II.B.I.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: Htt fJ CVrMprtrtf PU.Z<K. OrvvrC { Sv^-^OO C\\iCJHbG f 3L Lois U
- D. Name of contact person: Laxstu) Soj^at^
- E. Federal Employer Identification No. (if you have one):'
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

G. Which City agency or department is requesting this EDS? tfr r^arforMtn-f 0"PPkon^ foyj O^ttio^fW^

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

F". # 00040 7400 M	
File #: O2016-7466, Version: 1	
Indicate the nature of the Disclosing Part [] Person [] Publicly registered business corporation J\$ Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	[] Limited liability company [j Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign co	ountry) of incorporation or organization, if applicable;
ofIllinois as a foreign entity? C^idt^ On ^{TM_^} []Yes ^No []N/A	
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
profit corporations, also list below all members members." For trusts, estates or other similar en If the entity is a general partnership, limited joint venture, list below the name and title of each	l executive officers and all directors of the entity. NOTE: For not-for- i, if any, which are legal entities. If there are no such members, write "no ntities, list below the legal titleholder(s). partnership, limited liability company, limited liability partnership or ach general partner, managing member, manager or any other person or of the Disclosing Party. NOTE: Each legal entity listed below must
Name q Title	
/WUn^rrrfefc r T.S. mtefe>	Txrt-cfrr x>**rhc
•	a concerning each person or entity having a director indirect beneficial 6 of the Disclosing Party. Examples of such an interest include shares in a p or joint venture,
	Page 2 of 13
similar entity. If none, state "None," NOTE: Pu	ability company, or interest of a beneficiary of a trust, estate or other ursuant to Section 2-154-030 of the Municipal Code of Chicago such additional information from any applicant which is reasonably

intended to achieve full disclosure.

Percentage Interest in the Name **Business Address**

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p - Disclosing Party ^

S/rk QtsttAtn

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes ^rj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action oh behalf of any person or entity other than: (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

retained or anticipated to be retained) (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

CkiCA6xj XL (q uLv 3

(Add sheets if necessary)

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[] Check	there if the Disclosing Party has not retained, nor expects to retain, any such persons or entities
SECTION	NV - CERTIFICATIONS
A. COUR	T-ORDERED CHILD SUPPORT COMPLIANCE
	Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must compliance with their child support obligations throughout the contract's term.
	erson who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any ort obligations by any Illinois court of competent jurisdiction?
P\$ No	[] No person directly or indirectly owns 10% or more of the Disclosing Party.
	as the person entered into a court-approved agreement for payment of all support owed and is the person in e with that agreement?
[] Yes	[] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with

committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- «any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public
 officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or
 local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

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- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. <•

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

hilh

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

td&

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C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. f] is is not

ii "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Page 7 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will'be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

File #: O2016-7466	5, Version: 1	
[] Yes	[] No	
•	xed "Yes" to Item D.l., provide the tand identify the nature of such i	e names and business addresses of the City officials or employees nterest:
Name	Business Address	Nature of Interest
4. The Disclosir City official or emp	-	prohibited financial interest in the Matter will be acquired by any
E. CERTIFICATIO	ON REGARDING SLAVERY ER	A BUSINESS
	her 1. or 2. below. If the Disclosis EDS all information required by	sing Party checks 2., the Disclosing Party must disclose below or in y paragraph 2. Failure to Page 8 of 13
comply with these Matter voidable b	-	ake any contract entered into with the City in connection with the
and any and all pr policies during the	edecessor entities regarding recorders slavery era (including insurance	osing Party has searched any and all records of the Disclosing Party rds of investments or profits from slavery or slaveholder insurance policies issued to slaveholders that provided coverage for damage to ag Party has found no such records.
Disclosing Party h Disclosing Party v	as found records of investments of	It of conducting the search in step 1 above, the or profits from slavery or slaveholder insurance policies. The stes full disclosure of all such records, including the names of any and so
SECTION VI C	ERTIFICATIONS FOR FEDERA	ALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who

File #: O2016-7466, Version: 1
have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
t]Yes []No

regulations? (See 41 CFR Part 60-2.)
t] Yes [] No

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

If "Yes," answer the three questions below:

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

File #: O2016-7466, Version: 1				
[] Yes	[] No			
3. Have you popportunity clause		contracts or subcontracts subject to the equal		
I]Yes	[] No			
If you checked "N	Io" to guestion 1, or 2, above	e. please provide an explanation:		

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRO.org/Ethics http://www.cityofchicaRO.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a

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contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are-the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

(Sign here)

Lftuviryj &as~<xr

(Print or type name of person signing)

Oh if p/va.fyruci Or~p_L < UT

(Print or type title of person signing)

Signed and sworn to before me on (date) _ at _ CVm'fc- _ County, -£\\nV-Q^ Commission expires:

File #: O2016-7466, Version: 1					
(slate).					
Notary Public.					

M TflONGKAMSATAYA OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires May 22, 2018

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section U.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Parry, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party, and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

PHILDING CODE S	COFFI AVV7DDORI EM	I LANDLORD CERTIFICATION
BUILDING CODE S	COFFLAIV/FRODLEM	LANDLORD CERTIFICATION
	plicant exceeding 7.5 per	ne Applicant, and (b) any legal entity which has a direct cent (an "Owner"). It is not to be completed by any legal n the Applicant.
		, is the Applicant or any Owner identified as a building code ion 2-92-416 of the Municipal Code?
[] Yes	[]No	
		d on any exchange, is any officer or director of the Applican blem landlord pursuant to Section 2-92-416 of the Municipa
[] Yes	[3 No	[3 Not Applicable
	ng code scofflaw or probl	he name of the person or legal entity lem landlord and the address of the building or buildings t
		TUTES ACKNOWLEDGMENT AND AGREEMENT TED BY REFERENCE INTO, AND MADE A PART OF,

THE ASSOCIATED EDS, AND THAT, THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

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Entertainment Cruises Holdings LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Parry submitting this EDS is: 1. [] the Applicant OR	
2. [Xj a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the2. Applicant, in which the Disclosing Party holds an interest: Seadog VenturesOR	
3. [] a legal entity with a right of control (see Section II.B.1.) .State the legal name of the entity in which the Disclosing Party holds a right of control:	
B. Business address of the Disclosing Party: 111 South Wacker Drive. Suite 4000	
Chicago, IL 60606	
C. <u>Telephone: (312) 447-6000</u>	
D. Name of contact person: Brad West ' J	
E. Federal Employer Identification No. (if you have one): I	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):	;
1 apH pnrrhagp at Grnvp # Ormak	
G. Which City agency or department is requesting this EDS? r\yy of Chir-ago Dept of Planning & Development	
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	1e
Specification ii and Contract #	
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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS	

A. NATURE OF THE DISCLOSING PARTY

]. Indicate the nature of the Disclosing Par

File #: O2016-7466, V 6	ersion: 1	
[] Person [] Publicly registered by the state of the stat	less corporation	
[X] Limited liability co [] Limited.liability part [] Joint venture [] Not-for-profit corpor (Is the not-for-profit corpor [] Yes [] Other (please specify	tnership ration rporation also a 501(c)(3)	?
For legal entities Dfilawarp	s, the state (or foreign cou	ntry) of incorporation or organization, if applicable:
	-	e oflllinois: Has the organization registered to do business in the
[] Yes	fed No	[] N/A
13. IF THE DISCLOSI	ING PARTY IS A LEGAI	ENTITY:
corporations, also list b members." For trusts, e If the entity is a gene venture, list below the	relow all members, if any, estates or other similar enti- eral partnership, limited parame and title of each gen- day management of the D	executive officers and all directors of the entity. NOTE: For not-for-profession which are legal entities. If there are no such members, write "no ties, list below the legal titleholder(s). For not-for-profession which are legal entities are no such members, write "no ties, list below the legal titleholder(s). For not-for-profession which are legal entity listed liability partnership or join eral partner, managing member, manager or any other person or entity disclosing Party. NOTE: Each legal entity listed below must submit an
Name	Title	
Anthony N Prir/kpr		Investor Manager
.T.fi. Pril/ker		Inv^tnr Manager

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2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Business Address Name Percentage Interest in the **Disclosing Party** TP Group-EC LLC HIS. Wacker Dr.: Ste. 4000Chicago. II. 60606 75%

Aman 2 Capital Holdings Limited

Wca Bay

rce, p Q N.3933 Nassau The [3ahamas 9.4%

Goodman's Bay Corporate Centre, 1st Floor Morcau Capital Holdings Limited

Goodman's Bay Corporate Centre, 1st Floor

SITe(., p 0 ^3933. Nassau. The Bahamas 9-40/o

Wess Bay

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes M'No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

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- ·		hether a disclosure is required under trequired or make the disclosure.	this Section, the Disclosing Party must
		Page 3 of 13	
Name (indicate whether retained or anticipated, to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[x] Check here if the D	isclosing Par	rty has not retained, nor expects	to retain, any such persons or entities
SECTION V - CERTIFIC	CATIONS		
A. COURT-ORDERED C	CHILD SUPPO	ORT COMPLIANCE	
-		415, substantial owners of business e pport obligations throughout the cont	ntities that contract with the City must ract's term.
* *	•	y owns 10% or more ofthe Disclosing court of competent jurisdiction?	g Party been declared in arrearage on any
[] Yes [xj	No [] No person directly or indirectly ow Disclosing Party.	ns 10% or more ofthe

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

f] Yes f 1 No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined

terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for. any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS. had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity

following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with, respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any slate or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
 - 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications),

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the Disclosing Party must explain below: N/A
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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient. N/A —
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
1. [] is [xj is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge

that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of t (attach additional pages if necessary): NJ/A	, ,
Page 7 of 13	
If the letters "N A," the word "None," or no response appears on the lines above, it is presumed that the Disclosing Party certified to the above statements.	will be conclusively
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS	
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the used in this Part D.	ne same meanings when
1. In accordance with Section 2-156-110 of the Municipal Code: Does any offic financial interest in his or her own name or in the name of any other person or entity \Box Yes $^{\wedge}$ JNo	
NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you che Part E.	ecked "No" to Item D. 1., proceed to
2. Unless sold pursuant to a process of competitive bidding, or otherwise permit employee shall have a financial interest in his or her own name or in the name of any purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assess legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation City's eminent domain power does not constitute a financial interest within the mean	other person or entity in the ments, or (iii) is sold by virtue of a for property taken pursuant to the
Does the Matter involve a City Property Sale?	
[] Yes [] No	
3. If you checked "Yes" to Item D.l., provide the names and business addresses employees having such interest and identify the nature of such interest:	of the City officials or
Name Business Address Nature of Interest	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

File	#:	O2016-74	466. V	ersion:	1
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Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by,the City.

- X I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, lax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or

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to influence an officer or empl or employee of Congress, or a contract, making any federally	above for his or her lobbying activities or to pay any person or entity to influence or attempt loyee of any agency, as defined by applicable federal law, a member of Congress, an officer n employee of a member of Congress, in connection with the award of any federally funded funded grant or loan, entering into any cooperative agreement, or to extend, continue, federally funded contract, grant, loan, or cooperative agreement. Page 9 of 13
	will submit an updated certification at the end of each calendar quarter in ent that materially affects the accuracy of the statements and information set d A.2. above.
Revenue Code of 1986; or (ii)	certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 not engage in "Lobbying Activities".
substance to paragraphs A.l. th	v is the Applicant, the Disclosing Party must obtain certifications equal in form and brough A.4. above from all subcontractors before it awards any subcontract and the n all such subcontractors' certifications for the duration of the Matter and must make such ble to the City upon request.
B. CERTIFICATION REGAR	RDING EQUAL EMPLOYMENT OPPORTUNITY
-	ed, federal regulations require the Applicant and all proposed subcontractors to submit a their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Ap	plicant?
[] Yes	[xl No
If "Yes," answer the three que	stions below:
1. Have you developed a regulations? (See 41 CFR Part	and do you have on file affirmative action programs pursuant to applicable federal (60-2.)
·	e Joint Reporting Committee, the Director of the Office of Federal Contract Compliance yment Opportunity Commission all reports due under the applicable filing requirements? [] No
3. Have you participated opportunity clause? [] Yes	in any previous contracts or subcontracts subject to the equal [] No

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If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Parly waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of

Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Parly represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes."
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 if the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Entertainment Cruises Holdings LLC (Print or tync naiue of Disclosing Party)

(S/ign here)/

1.R Prit?krr (Print or type name of person signing)

Iru/.eslnr Manager.

(Print or type title of person signing)

Signed and sworn to before me on (date) November 9, 2015

at ppoif County, Illinois (state).

, vk>/W /-If/fyJ lv&< Notary Public.

OFFICIAL 66AU DIANNE M. CHIAPPETTI. NOTARY PUBLIC, STATE OF IUIN01S r COMMISSION EXI Commission expires: January 17, 2016

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (l) all executive officers of the Disclosing Party listed in Section N.F.J.l.a., if the Disclosing Party is a corporation; all partners'-of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners offthe Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person

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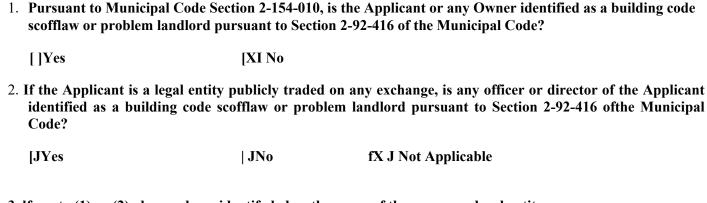
is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW7PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (») the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.



3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDLX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PACiF. WITH YOUR EDS. The purpose oflhis page is tor you to recertify your EDS prior to submission to City Council or on the date oft-losing. If" unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or

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corrected information)

RECERTIFICATION

Generally, for use with City C ouncil matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Land Purchase at Grove and

Cermak

[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Partv. (2) warrants that all certifications and statements contained in the Disclosing Partv s original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as ofthe date offhis recertification. and <3) reaffirms its acknowledgments.

Entertainment Cruises Holdings LLC

(Print or type legal name of Disclosing Party i Bv:

(sign yiere) Print or type name of signatory: J.B. Pritzker

Title of signatory: Investor Manager

Signed and sworn to before me on fdaiej September 30,2016 b>

"rfS. Pritzker . at Cook County.

Notary Public.

01ANNE M CHIAPPETTI Otticiai Seal Notary PutJiic - State ol Himois My Commission Expnes Jan W ?0?0

IL

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: TP Group-EC

LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. f(J a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Seadog Ventures

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OR 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control: 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control: 3. [] 11 S. Wacker Drive 4. B. Business address of the Disclosing Party: 5. Suite 4000 6. Chicago, 6. Chicago, 7. Chicago, 8. Chicago, 8. Chicago, 9. Chicago, 9. Chicago,
C. Telephone: 312-447-6000 p _{ax:} 3)2-447-6006 Email: sntflson@priizkergroup.com
<pre><mailto:sntflson@priizkergroup.com></mailto:sntflson@priizkergroup.com></pre>
D. Name of contact person: Sharon Nelson
E. Federal Employer Identification No. (if you have one):/
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Land purchase at Grove & Cermak
G. Which City agency or department is requesting this EDS? ^{Cit} y of Chicago Dept of Planning & Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # . and Contract #
Page 1 of 13
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY
"] Person] Publicly registered business corporation] Privately held business corporation] Sole proprietorship ' } General partnership \} Limited partnership '] Trust [X] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation

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(Is the not-for-profit con I] Yes [] Other (please specify	[] No	2)(3))?	
2, For legal entities,	, the state (or foreign	country) of incorporation or or	ganization, if applicable: Delaware
3. For legal entitie State of llinois as a for	-	State of Illinois: Has the organi	ization registered to do business in the
[] Yes	[x] No	(] N/A	
B. IF THE DISCLOSI	NG PARTY IS A LE	GAL ENTITY:	
corporations, also list be members." For trusts, or If the entity is a gent venture, list below the	pelow all members, if estates or other similar eral partnership, limit name and title of each p-day management oft	any, which are legal entities. It is entities, list below the legal ti ted partnership, limited liability by general partner, managing me	directors of the entity, NOTE: For not-for-profit f there are no such members, write "no tleholdcr(s). y company, limited liability partnership or joint ember, manager or any other person or entity ach legal entity listed below must submit an
Name Title'			
Jabodon PT Company		Managing Member	
	ership) in excess of 7.	.5% of the Disclosing Party. Ex	entity having a direct or indirect beneficial amples of such an interest include shares in a
		Page 2 of 13	
	_		of a beneficiary of a trust, estate or other 0 ofthe Municipal Code of Chicago

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

Disclosing Party

Jay Robert Pritzker HIS. Wacker Drive, Sie 4000, Chicago, IL 60606 50%

Anthony N. Priizker Hill Santa Monica Blvd. Ste 1650. Los Angeles. CA 9002? 50%

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SECTION III B	USINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS
	ng Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any in the 12 months before the date this EDS is signed?
[] Yes	[xj No
If yes, please identif	fy below the name(s) of such City elected official(s) and describe such relationship(s):
SECTION IV DI	SCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES
accountant, consulta connection with the	arty must disclose the name and business address of each subcontractor, attorney, lobbyist, int and any other person or entity whom the Disclosing Party has retained or expects to retain in Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to sing Party is not required to disclose employees who are paid solely through the Disclosing Party's
of any person or enti	any person or entity who undertakes to influence any legislative or administrative action on behalf ty other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any part of whose duties as an employee of another includes undertaking to influence any legislative ion.
	Party is uncertain whether a disclosure is required under this Section, the Disclosing Party City whether disclosure is required or make the disclosure.
	Page 3 of 13
Relationship to Disc	ther Business retained or anticipated Address to be retained) losing Party (subcontractor, attorney, lobbyist, etc.) er paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

f<] Check here if the Disclosing Party has not retained, nor expects lo retain, any such persons or entities.

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SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-41 5, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes	IX] No	[] No person directly or indirectly owns 10% or more ofthe
		Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement? $_{\text{N/A}}$

Γ	1	Yes	[7]	No

B. FURTHER CERTIFICATIONS

I. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this ED'S is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Parly and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B. 1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or slate antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation:

interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public
 officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or
 local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

- d: violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (j) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

N/A

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C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
1. [] is [>3 is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
N/A
Page 7 of 13
If the letters. "N A," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.
In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes ffl No
NOTE: If you checked "Yes" to Item D. 1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes	[] No	
	ted "Yes" to Item D.lprovide the nat t and identify the nature of such into	mes and business addresses of the City officials or employees erest:
Name	Business Address	Nature of Interest
4. The Disclosin City official or emp	-	rohibited financial interest in the Matter will be acquired by any
E. CERTIFICATIO	N REGARDING SLAVERY ERA	BUSINESS
	s EDS all information required by p	g Party checks 2., the Disclosing Party must disclose below or in paragraph 2. Failure to Page 8 of 13
comply with these with the Matter vo		any contract entered into with the City in connection
the Disclosing Part	ry and any and all predecessor entitince policies during the slavery era (g Party has searched any and all records of es regarding records of investments or profits from slavery or including insurance policies issued to slaveholders that their slaves), and the Disclosing Party has found no such
Disclosing Party had Disclosing Party ve	as found records of investments or p	f conducting the search in step 1 above, the profits from slavery or slaveholder insurance policies. The full disclosure of all such records, including the names of any ds:
SECTION VI - C	ERTIFICATIONS FOR FEDERA	ALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

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*	ons or entities registered under the federal Lobbying Disclosure Act of 1995 ehalf ofthe Disclosing Party with respect to the Matter: (Add sheets if
conclusively presumed that the Disclosi	the lines above, or if the letters "NA" or if the word "None" appear, it will be ing Party means that NO persons or entities registered under the Lobbying bying contacts on behalf ofthe Disclosing Party with respect to the Matter.)
entity listed in Paragraph A. 1. above for attempt to influence an officer or employan officer or employee of Congress, or a federally funded contract, making any forms.	nt and will not expend any federally appropriated funds to pay any person or in his or her lobbying activities or to pay any person or entity to influence or yee of any agency, as defined by applicable federal law, a member of Congress, an employee of a member of Congress, in connection with the award of any rederally funded grant or loan, entering into any cooperative agreement, or to hify any federally funded contract, grant, loan, or cooperative agreement. Page 9 of 13
- •	nit an updated certification at the end of each calendar quarter in which there as the accuracy of the statements and information set forth in paragraphs A.l. and
	nat either: (i) it is not an organization described in section 501(c)(4) of the Internal reganization described in section 501(c)(4) of the Internal Revenue Code of 1986 e in "Lobbying Activities".
substance to paragraphs A.l. through A.	oplicant, the Disclosing Party must obtain certifications equal in form and .4. above from all subcontractors before it awards any subcontract and the a subcontractors' certifications for the duration of the Matter and must make such City upon request.
B. CERTIFICATION REGARDING E	QUAL EMPLOYMENT OPPORTUNITY
	al regulations require the Applicant and all proposed subcontractors to submit ds or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?	
[] Yes [] No	

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

If "Yes," answer the three questions below:

regulations? (Sec 41 CFR Part 60-2.)

[]Yes

-		unity Commission all reports due under the applicable filing requirements?
[] Yes	[] No	
3. Have you pa	articipated in any previou	s contracts or subcontracts subject to the equal opportunity
clause?		
[] Yes	[] No	
If you checked "No	o" to question 1 or 2 abo	ve, please provide an explanation:

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

File #: O2016-7466, Version: 1

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citYofchicago.org/Ethics http://www.citYofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City, Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available lo the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any

information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois ...Department of Revenue, nor are the Disclosing Party or its .Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the.items in F.I., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City. TP Group-EC LLC

By: Jabodon PT Company, its Managing Member

(Print or type name of person signing)

President

(Print or type title of person signing)

Page 12 of 13

File #: O2016-	7466, Version: 1		
Signed and sw	orn to before me on (date) /Uf	lifVw 4v- 9. Jo	
at COOK	County, . ILLINOIS	(state).	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant

Under Municipal Code Section 2-154-015, the Disclosing Party must disclosewhelher such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe dale this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. I .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such

 entity which has only an indirect ownership interest in the Applicant. J. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code? []Yes [X]No
CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant. J. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code? []Yes [X]No 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant
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scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code? []Yes [X]No 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant
[]Yes []No [X] Not Applicable
3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OFTHE ASSOCIATED EDS.

(DO NO I SUBMIT THIS PAGE WITH YOUR EDS. The purpose oflhis page is tor you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new i-.DS w ith correct or corrected information)

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RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Land Purchase at Grove and

Cennak

| identity the Matter]. Under penalty of perjury. the person signing below: < I j warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party. (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification. and (3) reaffirms its acknowledgments.

TP Group-EC LLC

By: Jabondon PT Company, its Managing Member O September 30. 2016

tsien here)

(Print or type legal name of Disclosing Party I Bv:

Prim or type name of signatory:

f.B. Pritzker

Title of signatory: President

Signed andsworn to before me on [date) Stptembe. :>0, 2016 ^

3jc*>. rh'-tjtW Noiar\ Public. -at Cook

County. II

0IANNE M CHIAPPETTI Oldcial Seal Notify Public - State ot Illinois My Commission Ewes Jan " ?0?0

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of ihe Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Jabodon PT Company'

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. fX] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Seadog Ventures

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OR 3. [] a legal entity with a right of control (see which the Disclosing Party holds a right of control (see		_	he entity in		
B. Business address of the Disclosing Party: Su	ite 4000 Chicago, TT				
C. Telephone: 312^t47-6000 Fax: <mailto:snelson@prit7.kergroup.com></mailto:snelson@prit7.kergroup.com>		312-447-6006	Email:	snelson@prit7.kerg	;roup.com
D. Name of contact person: Sharon Nelson	r				
E. Federal Employer Identification No. (if you have	ve one):				
F. Brief description of contract, transaction of pertains. (Include project number and location of p		= :	w as the	"Matter") to which	this EDS
Land purchase at Grove & Cermak					
G. Which City agency or department is requesting	this EDS?City of C	hicago Dept of Plant	ning & Deve	elopment	
If the Matter is a contract being handled by the following:	City's Department o	f Procurement Servi	ces, please c	complete the	
Specification #	and Contract #				
Page 1 of 13					
SECTION II DISCLOSURE OF OWNER	SHIP INTEREST	S			
A. NATURE OF THE DISCLO		Indicate the natu	re of the D	isclosing Party:	
[] Person [] Publicly registered business corporation	[]	Privately	held busin	ess corporation	[]
[] Sole proprietorship[] General partnership[] Limited partnership	[] (Is			_	
[J Trust	[]				
Limited liability company Limited liability pa Not-for-profit corporation the not-for-profit corporation also a 501(c)(3)	•	nture			

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[] Yes Other (please specify)	[] No		
2. For legal entit	ies, the state (or foreign count	try) of incorporation or organization, if app	olicable:
Delaware			
3. For legal entit State of Illinois as a fo	_	of Illinois: Has the organization registered	to do business in the
f<] Yes	[] No	[] N/A	
B. IF THE DISCLOS	ING PARTY IS A LEGAL E	ENTITY:	
profit corporations, al members." For trusts, If the entity is a gen venture, list below the	so list below all members, if a estates or other similar entitioneral partnership, limited partename and title of each general oddy management of the Disco	ecutive officers and all directors of the enti- any, which are legal entities. If there are no es, list below the legal titleholder(s). enership, limited liability company, limited al partner, managing member, manager or a closing Party. NOTE: Each legal entity lister	liability partnership or joint any other person or entity
Name Title Jay Robert Pritzker	Presid	dent, Director	
Anthony N. Pritzker	> Director		
Eric A. Schreiner	Vice	President	
interest (including own	nership) in excess of 7.5% ofti ip interest in a partnership or	ncerning each person or entity having a directive Disclosing Party. Examples of such an injoint venture,	
similar entity. If none ("Municipal Code"), intended to achieve fi	e, state "None." NOTE: Pursu the City may require any such ull disclosure. Business Address	ity company, or interest of a beneficiary of ant to Section 2-154-030 ofthe Municipal Chadditional information from any applicant Percentage Interest in the Disclosing Party	Code of Chicago
Jay Robert Pritzker	HIS. Wacker Drive, Ste 400		
Anthony N. Priuker	11111 Santa Monica Blvo	d. Ste 1650. Los Angeles. CA 90025	5jQ%

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SECTION III BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes [yJ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Name (indicate whether Business retained or anticipated Address to be retained)

(subcontractor, attorney, lobbyist, etc,.)

Relationship to Disclosing Party Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

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{X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-4] 5, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes ^L] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement? $_{\rm N}$

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1 -23, Article 1 ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

Page 4 of 13

- 2. The Disclosing Party and; if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B. 1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant lo the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing-Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gifl" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

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C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [yj is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Il Yes IVJNo

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

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[] Yes	[] No			
-	s" to Item D.l., provide the names are erest and identify the nature of such	nd business addresses of the City officials or interest:		
Name	Business Address	Nature of Interest		
4. The Disclosing Party City official or employee.	further certifies that no prohibited	d financial interest in the Matter will be acquired by any		
E. CERTIFICATION REG	ARDING SLAVERY ERA BUSIN	ESS		
	r 2. below. If the Disclosing Party all information required by paragrap			
the Matter voidable by the	City.	ntract entered into with the City in connection with		
the Disclosing Party and a slaveholder insurance poli	ny and all predecessor entities regarcies during the slavery era (includin	e Disclosing Party has searched any and all records of eding records of investments or profits from slavery or ag insurance policies issued to slaveholders that eyes), and the Disclosing Party has found no such		
Disclosing Party has found Disclosing Party verifies the	d records of investments or profits fi	cting the search in step 1 above, the rom slavery or slaveholder insurance policies. The closure of all such records, including the names of any		
SECTION VI - CERTIF	ICATIONS FOR FEDERALLY F	FUNDED MATTERS		

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

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1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which ther occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that cither: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No

regulations? (See 41 CFR Part 60-2.)
[] Yes [] No

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

If "Yes," answer the three questions below:

2.	Have you filed with	the Joint Reporting Com	nmittee, the Director of the Office of Federal Contract Compliance
Progra	ams, or the Equal Emp	ployment Opportunity Co	ommission all reports due under the applicable filing requirements?
[]	Yes	[] No	
3.	Have you participate	ed in any previous contra	cts or subcontracts subject to the equal opportunity
clause	?		
[]	Yes	[] No	
If you	checked "No" to que	stion 1. or 2. above, plea	se provide an explanation:

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRo.org/Ethics http://www.cityofchicaRo.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response lo a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter I -23 and Section 2-154-020 ofthe Municipal Code.

The Disclosing Party represents and warrants that:

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing. Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Jabodon PT Company

(Print or type name of person signing)

President

(Print or type title of person signing)

Signed and sworn to before me on (date) Nttl/gmtyr*). 3otfT, at COOK County, ILLINOIS (state). OFFICIAL S6AL DIANNE M.' CWPPETTI
NOTARY PUBUC STATE OF ILLINOIS tty COMMISSI C«JXPIRESJ^2^

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.I.a., if die Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [yj No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

		cent (an "Owner"). It	ant, and (b) any legal entity which has a direct ownership is not to be completed by any legal entity which has only			
1.	1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?					
	[]Yes	[XJ No				
2.	2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applican identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?					
	[] Yes	I] No	IX] Not Applicable			
	f yes to (1) or (2) above, please ider identified as a building code scoffla pertinent code violations apply.	•	of the person or legal entity rd and the address of the building or buildings to which the			

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAG Li WITH YOUR F.DS. The purpose of this page is lor you in recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIEICATION

Generally, for use with City Council matters. Not for City procurements unless requested. This recertification is being submitted

in connection with Land Purchase at Grove and

Cermak

[identify the .Matter]. Under penalty of perjury, the person signing below: < I) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party. (2) warrants that all certifications and statement* contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification. and (3) reaffirms its acknowledgments.

Jabodon PT Company		Date	September 30. 2016	
(Print or type legal name of Disclos	sing Party >	Date	September 30. 2010	
Bv:				
Print or type name of signatory:				
I.B. Pritzker				
Title of sinnatory:				
President				
Signed and_sworn to before me on ' DIANNE M CHIAPPf TTi ' ' Official Seal > < Notary Public - State ol Illinois > , M ##################################	date] Ny Commission Enpires Jan	17 ?0?0 ,		
	CITY OF C	CHICAGO	D ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT	
SECTION IGENERAL INFORM	MATION			
A. Legal name of the Disclosing Pa	arty submitting this EDS	. Include	Afb/af if applicable: Morcau Capital Holdings	
Limited				
Check ONE of the following three	boxes:			
Indicate whether the Disclosing Pa	arty submitting this EDS	is: L [] tl	ne Applicant	
Applicant in which the Disclosi			icant. Stale the legal name ofthe g Ventures	"_
3. [] a legal entity with a right of which the Disclosing Parly hold	ds a right of control:			
B. Business address of the Disclosi		SfreeI	rporate Cenire	
C. Telephone: 242-356-1822	Fax: 242-322-3692		Email: Helcn.Carroll@wi cibc.com http://cibc.com	
D. Name of contact person: Heien	Csnoll ^			

E Federal Employer Identification No. (if you have one): \ I

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F. Brief description of contract, transaction or other undertaking (referred to belo pertains. (Include project number and location of property, if applicable):	ow as the "Matter") to which	this EDS
Landpurchase at Grove & Cermak		
G. Which City agency or department is requesting this EDS?City of Chicago Dcpt of Plann	ning & Dsvelopment	
If the Matter is a contract being handled by the City's Department of Procurement Service	ces, please complete the followin	ıg:
Specification [^] and Comiact #		
Page I of 13 SECTION 11 DISCLOSURE OF OWNERSHIP INTERESTS		
A. NATURE OF THE DISCLOSING PARTY		
DOWNOLONG COMPORTS IN THE PRINCE OF THE DISCOSING PARTY: Person corporation [Privately held business corporation [Sopartnership (] Trust	[Publicly registered ble proprietorship [business General
[] Other (please specify)	[) Yes	[J No
2. For legal entities, the state (or foreign country) of incorporation or organiz	ation, if applicable:	
Commonwealth of the Bahamas		
3. For legal entities not organized in the State of Illinois: Has the organization State of Illinois as a foreign entity?	registered to do business in the	he
[] N/A		
B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:		
1. List below the full names and titles of all executive officers and all director profit corporations, also list below all members, if any, which are legal entities. If members." For trusts, estates or other similar entities, list below the legal titlehold. If the entity is a general partnership, limited partnership, limited liability compaventure, list below the name and title of each general partner, managing member, that controls the day-to-day management of the Disclosing Parly. NOTE: Each leg EDS on its own behalf	there are no such members, wer(s). any, limited liability partnersh manager or any other person of	rite "no ip or joint or entity
Name Title Commerce Services Limited, Director		

Corporate Associates Limited, Director

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, ot interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Jay Robert Prilzker HIS Wacker Drive. Sic 4000. Chicago, IL 60606 100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Parly had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Ycs [x]No

If yes, please identify below the namc(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as (he nature ofthe relationship, and the total amount ofthe fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under Ihis Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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		Page 3 of 13		
Name (indicate whether retained or anticipated to be retained)		Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is	an acceptable response
(Add sheets if necessar pc] Check here if the		Party has not retained, nor expects	s to retain, any such persons or o	entities. SECTION V
CERTIFICATIONS	_	PPORT COMPLIANCE		
•		92-415, substantial owners of busine bligations throughout the contract's		y must remain in
Has any person who di obligations by any Illin	-	ectly owns 10% or more of the Disclempetent jurisdiction?	osing Party been declared in arreara	ige on any child support
[] Yes fx		No person directly or indirectly ow Disclosing Party.	ns 10% or more of the	
If "Yes," has the person with lhat agreement?		a courl-approved agreement for payr	nent of all support owed and is the p	person in compliance
[] Yes {] No			

B. FURTHER CERTIFICATIONS

I. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (c.g, "doing business") and iegal requirements), if the Disclosing Party submitting this EDS is the Applicant 8nd is doing business with the City, then the Disclosing Party certifies as follows (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the CKy. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a logal entity, all of those persons or entities identified in Section II.B.l. of thii EDS:
 - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of ihe offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the dale of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged gjilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
- ♦ any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with the Matter, including but not limited lo all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity, Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, ihe term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Pony, any Contractor or any Affiliated Entity, acting pursuant io the direction or authorization of a responsible official ofthe Disclosing Parly, any Contractor or any Affiliated Emily (collectively "Agents"),

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Neither ibe Disclosing Parly, nor any Contractor, nor any Affiliated Entity of cither the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted (o bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity:
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of slate or local government as e result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Conlrol of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: (he Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code,
- 7. If the Disclosing Party is unable to certify io any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

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If the letters "NA," the word "None," or no response appears on the tines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, ai any time during the 12-rr.onth period preceding (he execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N.'A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, ihe following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anylhing made generally available to City employees or to the general public, or (ii) food or drink provided ir. the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient

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N'A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies (hat the Disclosing Party (check one)
1. [) is [yj is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Patty pledges:
"We arc not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable lo make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
N'A
Page 7 of 13
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.
1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes §0 No
NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?

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[] Yes	[] No	
*	cked "Yes" to Item D.l., provide the such interest and identify the nature	ne names and business addresses of the City officials or are of such interest:
Name	Business Address	Nature of Interest
4. The Disclosi City official or em		prohibited financial interest in the Matter will be acquired by any
E. CERTIFICATION	ON REGARDING SLAVERY EF	A BUSINESS
	ther 1. or 2. below. If the Disclonis EDS all information required b	sing Party checks 2., the Disclosing Party must disclose below or ir y paragraph 2. Failure to
		Page 8 of 13
comply with ihese voidable by the Cit		y contract entered into with the City in connection with the Matter
the Disclosing Part	y and any and all predecessor encitics	Party has searched any and oil records of regarding records of investments or profits from slavery or slaveholder rance policies issued to slaveholders that provided coverage for damage to on has found no such records.
Disclosing Parly ha	is found records of investments or pro- lowing constitutes full disclosure of a	onducting the search in step 1 above, the offits from slavery or slaveholder insurance policies. The Disclosing Party ll such records, including the names of any and all slaves or slaveholders
SECTION VI ~ CE	RTIFICATIONS FOR FEDERALLY	FUNDED MATTERS

A. CERTIFICATION REGARDING LOBBYING

I, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

NOTE: If the Matter is federally funded, complete this Scc'.ion VI. If the Mailer is n«t federally funded, proceed lo Section VII. For purposes oflhis Section VI, lax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

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presumed thai the Disclosing	r begins on Ihe lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively a Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have chalf of the Disclosing Parly with respect to the Matter.)
Paragraph A.l. above for his c employee of any agency, as d employee of a member of Co	
	Page 9 of 13
	will submil an updated certification at the end of each calendar quarter in which there occurs any event curacy of the statements and information set forth in paragraphs A.l. and A.2. above.
	certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has no: engaged bying Activities".
paragraphs A.l. through A.4.	y is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain fications for the duration of the Matter and must make such certifications promptly available to the City
B. CERTIFICATION REGA	RDING EQUAL EMPLOYMENT OPPORTUNITY
	ded, federal regulations require the Applicant and all proposed subcontractors to submit the heir bids or in writing at the outset of negotiations.
Is the Disclosing Party the A	pplicant?
[] Yes [] No
If "Yes," answer the three qu	estions below:
I: Have you developed and	do you have on file affirmative action programs pursuant lo applicable
federal regulations? (See 41 G	CFR Part 60-2.)
[J Yes (] No
the Equal Employment Oppo	ne Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or rtunity Commission all reports due under the applicable filing requirements?] No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[]Yes (]No

If you checked "No" to question!. or 2. above, please provide an explanation:

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SECTION VII « ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The Cily's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking C:cy contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaqo.org/Elhics http://www.cityofchicaqo.org/Elhics. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Parly's participation in the Matter and/cr declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response lo a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained tn this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time ihe City takes action on the Malter. If the Matter is a contract being handled by ihe City's Department oT Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect lo Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Parly represents and warrants lhaf

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties Lisi System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.I. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that docs not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE. If (he Disclosing Party cannot certify as to any ofthe items in F.I., F.2. or F.3. above, an explanatory siatcmem must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below. (1) warrants that he/she is authorized lo execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Moreau Capital Holdings Limited

(Print or type name of Disclosing Party)""" By: ComrnerccScrvaccs

Lirnjjj^LXIi rector

Name: Helen M, Carroll Title: Director

By:

(Sign here)

(Print or type name of person signing)

(Print or type title of person signing) Page 12 of 13

Signed and sworn to before me on (date) November 13. 2015

at _Nj3gs.au <http://_Nj3gs.au>

County, rjahamgs

(siate).

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. Il is not to be comple(ed by any legal entity which has only an indirect ownership Interest In the Applicant-

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial rela'.ionship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Demesne Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.D.I.a., if the Disclosing Party is a corporation; all partners of ihe Disclosing Party, if Ihe Disclosing Party is a general partnership; all general partners and limited partners of of the Disclosing Party, if (he Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7 5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

M Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is <0 be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). If Is hot lo be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-1 54-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

I]Y« IX] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a

File #: O2016-7466, Ve i	rsion: 1	
building code scoffl	aw or problem landlord pursua	ant to Section 2-92-416 of the Municipal Code?
[] Yes	I] No	IX] Not Applicable
	ing code scofflaw or problem	name ofthe person or legal entity a landlord and the address ofthe building or buildings to which the pertinen
APPENDIX B IS IN THAT THE REPRE UNDER PENALTY	CORPORATED BY REFERE SENTATIONS MADE IN TH OF PERJURY ON PAGE 12	TES ACKNOWLEDGMENT AND AGREEMENT THAT THIS ENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND IIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE OF THE ASSOCIATED EDS.
submission to City Cou		DS. The purpose oflhis page is for you to recertify your EDS prior to ag. If unable to recertify truthfully, the Disclosing Party must complete
	RECERTIFICA	ATION
	Generally, for u	use with City Council matters. Not for City procurements unless
requested.		
	This recertificat	tion is being submitted in connection with Land Purchase at Grove
and		
execute this EDS recert contained in the Disclos	ification on behalf of the Di sing Party's original EDS are	person signing below: (1) warrants that he/she is authorized to isclosing Party, (2) warrants that all certifications and statements e true, accurate and complete as ofthe date furnished to the City and e date of this recertification, and (3) reaffirms its acknowledgments.
(Print or type legal name	e of Disclosing Party) By:	
;sign here)		
Print or tyne^ame of sign Moreau Capital Holding		
By: Commerce Service		
Name: Helen M	. Carroll Title: Director	
By: Corporate Assodjjto	olijiniled, Director	
Name: Schevon V. Mill	er Title: Director	

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Date:.

Signed and sworn lo before me on [date] October 3. 2016 , by

. at Nassau

County, The Bahamas [state].

. Notary Public.

Commission expires: December 31, 2016

I 1-Ut-05

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Aman 2 Capital Holdings

Limited

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is.

1. [] the Applicant

OR

- 2. [yj a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Scadog Ventures

OR

3. [] a legal entity with a right of control (see Section ILB.l.) State the legal name of the entity in which the Disclosing Party holds a right of conlrol:

Goodman's Bay Corporate Centre

B. Business address of the Disclosing Party:

West bay .Street P.O. N-3933

Nassau, The Bahamas

C. Telephone: 242-356-1822 Fax: 242-322-3692 Email: Helen Carroll@wi.cihc <mailto:Carroll@wi.cihc > com

D. Name of contact person: Hdcn Cimol!

Ι

- E. Federal Employer Identification No. (if you have onc);£
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Land purchase at Grove & Cermak

G. Which City agency or department is requesting this EDS? City of Chicago Dcpt of Planning & Development

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If the Matter is a contract.beir following:	ng handled by the (City's Departmen	nt of Procur	ement Ser	rvices, p	olease compl	ete the	
Specification*/		and Contract ft	t					
Page 1 of 13 SECTION II- DISCLOSUR	E OF OWNERS	HIP INTEREST	ΓS					
A. NATURE OF THE DISC	LOSING PART	Y						
[] [] 1*3 [] [] I] Limited partnership Trust 1. Indicate the natu corporation f Private		Disclosing ousiness co	Party; rporation	Person [[Sole	Publicly proprieto	registered orship [business General
partnership (]	Other (please spe	ecify)				[) Y	l'es	[j No
2. For legal entities, the Commonwealth of the Bahamas	state (or foreign	country) of inc	corporation	or organ	nization	n, if applica	ble:	
3. For legal entities not State of llinois as a foreign e	-	State ofIllinois:	: Has the o	rganizati	on reg	stered to do	business in	the
[] N/A								
B. IF THE DISCLOSING PA	ARTY IS A LEG	GAL ENTITY:						
1. List below the full nar profit corporations, also list be members." For trusts, estates	elow all membe	rs, if any, which	h are legal	entities.	If there	e are no suc		

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Parly. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title Commerce Services Limited, Director

Corporate Associates Limited, Director

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial

interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant lo Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Anthony N. Pritzker 11150 Santa . Monica Blvd., Ste. 1300, Los Angeles, CA 90025 100%

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the dale this F.DS is signed?

[] Yes £] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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		Page 3 of 13		
Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Parly (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is	not an acceptade response.
(Add sheets if necessary)				
f(] Check here if the D	Disclosing P	arty has not retained, nor expect	ts lo retain, any such person	as or entities. SECTION V
CERTIFICATIONS				
A. COURT-ORDERED O	CHILD SUP	PORT COMPLIANCE		
=		2-4 15, subslantial owners of busine oligations throughout the contract's t		e City must remain in
Has 8ny person who direct obligations by any Illinois		ctly owns 10% or more of Ihe Discl npetent jurisdiction?	osing Party been declared in ar	rearage on any child support
[] Yes X] N		No person directly or indirectly own Disclosing Party.	as 10% or more of the	
If "Yes,1' has the person en with thai agreement? ^	ntered into a	court-approved agreement for payn	nent of all support owed and is	the person in compliance
[] Yes [] No	0			
B. FURTHER CERTIFIC	CATIONS			
"doing business") and legal City, ihen the Disclosing P with, or has admitted guilt attempted, or conspiracy to City or any sister agency; a requirement for doing business.	I requirement arty certifies of, or has even commit briand (ii) the American with the arthur and the arthur	apter 1 -23, Article I ("Article I")(wats), if the Disclosing Party submitting as follows: (i) neither the Applicant of the convicted of, or placed und bery, theft, fraud, forgery, perjury, capplicant understands and acknowled the City. NOTE: If Article I applies to impliance timeframes in certification	ng this EDS is the Applicant ar at nor any controlling person is er supervision for, any crimina dishonesty or deceit against an edges that compliance with Artion the Applicant, the permanent	nd is doing business with the currently indicted or charged l offense involving actual, officer or employee of Ihe cle I is a continuing

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of ihose persons or entities identified in Section JI B 1 of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date offlhis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, stale or local) transaction or contract under a public transaction; z. violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - •the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Pany, or is, with the Disclosing Parly, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither clie Disclosing Party, nor any Contractor, nor any Affiliated Entity of either (he Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an

Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- o. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United Slates of America, in that officer's or employee's official capacity;
- b. agreed cr colluded with other bidders or prospective bidders, or been a party lo any such agreement, or been convicted ot adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; cr
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance)
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of slate or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any stale or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debased List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N'A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, all any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include; (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [y] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Parry IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined ir. Chapter 2-32 of the Municipal Code We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because il or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N.'A

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If the letters "N A," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes ^No

NOTE: If you checked "Yes" to Item D. 1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

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_		e bidding, or otherwise permitted, no City elected official or	r
purchase of any prop	perty that (i) belongs to the City, or	on name or in the name of any other person or entity in the (ii) is sold for taxes or assessments, or (iii) is sold by virtue property Sale"). Compensation for property taken pursuant	
City's eminent doma	in power does not constitute a fina	ncial interest within the meaning of this Part D.	
Does the Matter invo	olve a City Property Sale?		
[] Yes	[] No		
•	ed "Yes" to Item D.l., provide the nach interest and identify the nature	ames and business addresses of the City officials or of such interest:	
Name	Business Address	Nature of Interest	
4. The Disclosing City official or emplo	•	ohibited financial interest in the Matter will be acquired	by any
E. CERTIFICATION	N REGARDING SLAVERY ERA	BUSINESS	
	er 1. or 2. below. If the Disclosing EDS all information required by p	g Party checks 2., the Disclosing Party must disclose beloaragraph 2. Failure to	w or in
	I	Page 8 of 13	
comply wiih these disc voidable by ihe City.	closure requirements may make any co	ontract entered into with the City in connection with the Matter	
the Disclosing Party as insurance policies duri		arding records of investments or profits from slavery or slavehold e policies issued to slaveholders that provided coverage for dama	
Disclosing Party has fo	ring constitutes full disclosure of all su	ucting the search in step 1 above, the from slavery or slaveholder insurance policies. The Disclosing P ch records, including the names of any and all slaves or slaveholder.	-

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

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NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed 10 Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.	
A. CERTIFICATION REGARDING LOBBYING	

1, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary).

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to (he Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.L above for his or her lobbying activities or lo pay any person or entity lo influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Interna) Revenue Code of 1986; or (ii) il is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is Ihe Disclosing Party the Applicant?

[]Yes [)No If "Yes," answer the three questions below:

1. Have you developed	and do yo	ou have on t	ile affirmative	action programs	pursuant to	applicable federa	al regulations?	(Sec 41
CFR Part 60-2.)								

[] Yes (] No

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2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or
the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [] No
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [JYes [JNo
If you checked "No" to question 1. or 2. above, please provide an explanation:
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SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE
The Disclosing Party understands and agrees that:
A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and an material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, important duties and obligations on persons or entities seeking City contracts, work, business, or transactions, The full text of the ordinances and a training program is available on line at www.cityofchicaEQ.orfi/E'.hics http://www.cityofchicaEQ.orfi/E'.hicsand and may also be obtained from the City's Board of Ethics, 740 N.
Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.
C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue eny remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Mailer and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
D. Il is the City's policy to make this document available to the public on its Internet site and/or upon requesl. Some or all of Ihe information provided on this EDS and any attachments to this EDS may be m8dc available to the public on the Inlemet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and release any possible rights or claims which it may have against the City in connection with the public release of information contained in the EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the Cily lakes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must

update this EDS as ihe contract requires. NOTE: With respect lo Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified

offenses), the information provided herein regarding eligibility musl be kept current for a longer period, as required by Chapter 1-23 and Scdion 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any lax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed in the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors lo use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, Ihe Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance lo these in F.l. and F.2. above and will not, without the prior written consent of ihe City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE. If the Disclosing Party cannot certify as to any ofihe items in F.I., F.2. or F.3. above, an explanatory statement must be attached to this EDS,

CERTIFICATION

Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the Cily.

Aman 2 Capital Holdings Limited

(Print or type name of Disclosing Party)

By:

(Sign here)

(Print or lypc name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (dale) November 13, 2015 Notary Public.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in Ihe Applicant exceeding 7.5 percent. It Is not to be completed by any legal entity which has only an Indirect ownership interest In the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial iclafionship" exists if, as of the date this EDS is signed, the Disclosing Pany or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-ir.-law, daughter-in-lsw, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"'Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section Il.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Pany, if the Disclosing Party is a general partnership; all general partners and limited partners of (he Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Parmer thereof currently have a "familial relationship" with an elected city official or department head?

U Yes bd No

If yes, please identify below (l) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected cily official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW7PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

I Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant lo Section 2-92-416 of ihe Municipal Code?

I] Yes (x]No

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2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?					
[]Yes	[] No	[x] Not Applicable			
		name of the person or legal entity landlord and the address of the building or buildings to which the pertinem			
APPENDIX B IS I INC AND THAT THE REI MADE UNDER PENA (DO NOT SUBMIT THI	CORPORATED BY REFER PRESENTATIONS MADE ALTY OF PERJURY ON PA S PAGE WITH YOUR E cil or on the date of closin	TES ACKNOWLEDGMENT AND AGREEMENT THAT THIS RENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION AGE 12 OF THE ASSOCIATED EDS. CDS. The purpose oflhis page is for you to recertify your EDS prior long. If unable to recertify truthfully, the Disclosing Party must complete			
	RECERTIFICA	ATION			
Generally, for use with C	ity Council matters. Not f	for City procurements unless requested.			
This recertification is being	ng submitted in connection	on with Land Purchase at Grove and			
execute this EDS recertific contained in the Disclosing	cation on behalf of the Di g Party's original EDS ar	person signing below; (1) warrants that he/she is authorized to isclosing Party, (2) warrants that all certifications and statements the true, accurate and complete as ofthe date furnished to the City and e date of this recertification, and (3) reaffirms its acknowledgments.			
(Print or type legal name o	f Disclosing Party)				
By:					
(sign here)					
Print or type name of signa	atory:				
Aman 2 Capital Holdings	Limited By; Commerce	Services Li.rjted http://Li.rjted , Director			
Name: Helen M. C	Carroll Title: Director				
By: Corporate Associates	Limited, Director				

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Name: SchevonV Miller Title: Director

Date: October 3. 2016

Signed and sworn to before me on fdate] October 3, 2016 , by

Notary Public.

Commission expires: December 31,. 2016

Ver. 11-01-05