

# Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

## Legislation Details (With Text)

**File #:** O2016-7466

Type: Ordinance Status: Passed

File created: 10/5/2016 In control: City Council

**Final action:** 12/14/2016

Title: Sale of City-owned property at 2130 S Grove St to Seadog Ventures, Inc.

**Sponsors:** Emanuel, Rahm

Indexes: Sale

**Attachments:** 1. O2016-7466.pdf

Date	Ver.	Action By	Action	Result
12/14/2016	1	City Council	Passed	Pass
11/29/2016	1	Committee on Housing and Real Estate	Recommended to Pass	Pass
10/5/2016	1	City Council	Referred	

OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL MAYOR

October 5, 2016

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

#### Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

#### ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City is the owner of the vacant parcel of real property commonly known as 2130 South Grove Street, Chicago, Illinois, and legally described on Exhibit A attached hereto (the "Property"); and

WHEREAS, pursuant to ordinances adopted by the City Council of the City (the "City Council") on June 10, 1998, and published in the Journal of the Proceedings of the City Council (the "Journal") for such date, (i) a certain redevelopment plan and project (the "Original Plan") for the Pilsen Industrial Corridor Tax Increment Financing Redevelopment Project Area (the "Area"), was approved pursuant to the Illinois Tax Increment Allocation Redevelopment Act, as amended (65 ILCS 5/11-74.4-1 et seq.) (the "Act"); (ii) the Area was designated as a redevelopment project area pursuant to the Act; and (iii) tax increment financing was adopted pursuant to the Act as a means of financing certain Area redevelopment project costs (as defined in the Act) incurred pursuant to the Original Plan; and

WHEREAS, pursuant to ordinance adopted by the City Council on November 12, 2003, and published in the Journal for such date, the City Council approved an amendment to the Original Plan (the Original Plan, as amended, the "First Amended Plan"); and

WHEREAS, pursuant to ordinance adopted by the City Council on September 1, 2004, and published in the Journal for such date, the City Council approved an amendment to the First Amended Plan (the First Amended Plan, as amended, the "Second Amended Plan"); and

WHEREAS, the Property is located in the Area; and

WHEREAS, Seadog Ventures, Inc., an Illinois corporation (the "Developer" or "Grantee"), has offered to purchase the Property for its current appraised fair market value of One Hundred Ninety-One Thousand Dollars (\$191,000); and

WHEREAS, the Developer intends to construct on the Property a landscaped, driveway access road to the South Branch of the Chicago River for use by its amphibious, commercial recreational vehicles, in accordance with all applicable laws, rules and regulations (the "Project"); and

WHEREAS, by Resolution No. 16-076-21, adopted by the Plan Commission of the City (the "Plan Commission") on August 18, 2016, the Plan Commission recommended the sale of the Property; and

WHEREAS, public notices advertising the intent of the City's Department of Planning and Development (the "Department") to enter into a negotiated sale with the Developer and requesting alternative proposals appeared in the Chicago Sun-Times on August 22 and 29, and September 5, 2016; and

WHEREAS, no alternative proposals have been received by the deadline indicated in the aforesaid notice; now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The City Council hereby approves the sale of the Property to the Developer for the amount of One Hundred Ninety-One Thousand Dollars (\$191,000).

SECTION 2. The Mayor or his proxy is authorized to execute, and the City Clerk or the Deputy City Clerk is authorized to attest, one or more quitclaim deeds conveying the Property to the Developer. Without limiting the quitclaim nature of such deed, such conveyance shall be subject to (i) standard exceptions in an ALTA insurance policy; (ii) general real estate taxes and any special assessments or other taxes; (iii) easements, encroachments, covenants, restrictions and liens of record and not shown of record; (iv) such other title defects as may exist; and (v) any and all exceptions caused by acts ofthe Grantee or its agents.

Such conveyance also shall be subject to the following conditions and covenants, in substantially the form set forth below, which are part of the consideration for the Property and which are to be taken and construed as running with the land and binding on the Developer and its successors and assigns:

FIRST: The City makes no covenant, representation or warranty, express or implied, of any kind, as to the structural, physical or environmental condition of the Property or the suitability of the Property for any purpose whatsoever, and Grantee agrees to accept the Property in its "as is," "where is" and "with all faults" condition.

SECOND: The Grantee shall not discriminate on the basis of race, color, sex, gender identity, age, religion, disability, national origin, ancestry, sexual orientation, marital status, parental status, military discharge status, or source of income in the sale, lease, rental, use or occupancy of the Property or any part thereof.

THIRD: The Grantee hereby waives and releases, and indemnifies the City from and against, any claims and liabilities relating to or arising from the structural, physical or environmental condition of the Property, including, without limitation, claims arising under the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended ("CERCLA"), and shall undertake and discharge all liabilities of the City arising from any structural, physical or environmental condition that existed on the Property prior to the date of this deed, including, without limitation, liabilities arising under CERCLA. The Grantee hereby acknowledges that, in purchasing the Property, Grantee is relying solely upon its own inspection and other due diligence activities and not upon any information (including, without limitation, environmental studies or reports of any kind) provided by or on behalf of the City or its agents or employees with respect thereto. If, after the date of this deed, the structural, physical and environmental condition of the Property is not in all respects entirely suitable for its intended use, it shall be the Grantee's sole responsibility and obligation to take such action as is necessary to put the Property in a condition which is suitable for its intended use.

#### FOURTH: Environmental Matters.

(a) If, in the opinion of the City's Department of Fleet and Facility Management ("DFFM"), based upon the Phase II Environmental Site Assessment reports provided by Grantee prior to Closing, an environmental condition exists on the Property of any exceedance of Illinois Environmental Protection Agency's ("IEPA") Tiered Approach to Corrective Action Objectives ("TACO") Section 742.305 criteria,

"Contaminant Source and Free Product Determination, the Grantee covenants and agrees to complete, within One Hundred Eighty (180) days of the date of this deed, all investigation, removal, response, disposal, remediation, confirmation sampling and other activities ("Remediation Work") necessary (6 remediate such exceedances.

- b) The Grantee shall cooperate and consult with the City at all relevant times (and in all cases upon the City's request) with respect to environmental matters. The Grantee shall promptly transmit to the City copies of all final environmental studies, reports, field data, confirmation samples, correspondence with any environmental agency and similar documents prepared by or for the Grantee (or otherwise obtained by the Grantee) regarding the environmental condition of the Property, prepared or received after the date hereof, including, without limitation, any written communications delivered to or received from the LEPA or other regulatory agencies with respect to the Remediation Work.
- c) The Grantee shall bear sole responsibility for all costs of the Remediation Work and the costs of any other investigative and cleanup costs associated with the Property.
- d) Upon the completion of the Remediation Work, the Grantee shall request from DFFM a Certificate of Compliance (the "Certificate of Compliance"). Within forty-five (45) days thereof, DFFM shall provide the Grantee with either the Certificate of Compliance or a written statement indicating in adequate detail how the Grantee has failed to complete the Project in compliance with this Agreement, or is otherwise in default, and what measures or acts are necessary, in the sole reasonable opinion of DFFM, for the Grantee to take or perform in order to obtain the Certificate of Compliance. The Certificate of Compliance shall be in recordable form, and shall, upon recording, constitute a conclusive determination of satisfaction and termination of this covenant with respect to the Grantee's obligation to complete the Remediation Work.
- e) If the Grantee fails to timely complete the Remediation Work, or obtain a Certificate of Compliance in connection with development of the Project, the City shall deliver written notice of such default, after which the Grantee shall have a 45-day cure period to remedy such default. If the default is not capable of being cured within the 45-day period, then provided the Grantee has commenced to cure the default and is diligently proceeding to cure the default within the 45-day period, and thereafter diligently prosecutes such cure through to completion, then the 45-day period shall be extended for the length of time that is reasonably necessary to cure the default. If the default is not cured in the time period provided for herein, the City may institute such proceedings at law or in equity as may be necessary or desirable to cure and remedy the default.
- SECTION 3. The closing of the conveyance of the Property from the City to the Developer shall be subject to such conditions as the Department shall determine, including, but not limited to, the following:
  - (a) The Grantee shall provide the City with a Phase I Environmental Site Assessment ("Phase I") of the Property completed within 180 days prior to the closing date and conducted in conformance with ASTM E 1527-13. DFFM shall have the right to review and approve the sufficiency of the Phase I report for the Property.
  - b) Upon the request of DFFM, the Grantee shall perform additional tests for the purpose of determining whether any environmental or health risks would be associated with the development of the Project on the Property, including, without limitation, updating or expanding the Phase I report(s) and performing initial or additional Environmental Site Assessment(s) (collectively, the "Reports"). The environmental consultant must provide a reliance letter naming the City of Chicago as an authorized

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user of the Reports.

c) The Grantee shall provide the City with a Phase II Environmental Site Assessment. DFFM shall have the right to review and approve to the sampling plan and results, and any site investigation reports prepared in connection therewith.

SECTION 4. The Commissioner and the Managing Deputy Commissioner of the Department are each authorized to execute any ancillary closing documents on behalf of the City.

SECTION 5. The transfer of title of the Property from the City to the Developer must close on or before the date that is sixty (60) days following the date on which this ordinance is published in the Journal (the "Outside Closing Date"), unless the Commissioner in his sole discretion extends the Outside Closing Date. This ordinance shall be null and void with respect to any portion of the Property that has not yet been transferred to the Developer by the Outside Closing Date, as may be extended.

SECTION 6. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 7. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

SECTION 8. This ordinance shall take effect upon its passage and approval.

#### **EXHIBIT A**

#### **Legal Description**

(Subject to Final Title Commitment and Survey)

#### TRACT 1:

THAT PART OF LOT 3 IN BLOCK 34 IN CANAL TRUSTEES' SUBDIVISION OF THE WEST 1/2 OF SECTION 21, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTHEASTERLY OF THE SOUTHEASTERLY LINE OF TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO ACCORDING TO CIRCUIT COURT CASE GENERAL NUMBER 213462, IN COOK COUNTY, ILLINOIS, MORE PARTICULARLY DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHEAST CORNER OF SAID LOT 3; THENCE SOUTHERLY ALONG THE EASTERLY LINE OF SAID LOT 3 ON AN ASSUMED BEARING OF SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST, 35.80 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE NORTHERLY LINE OF SAID LOT 3, A DISTANCE OF 45.00 FEET; THENCE SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST ALONG A LINE PARALLEL WITH SAID EASTERLY LINE, 65.17 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE SOUTHERLY LINE OF LANDS DESCRIBED IN DEED DOCUMENT 1408522056, A DISTANCE OF 155.59 FEET TO THE WESTERLY LINE OF SAID LOT 3; THENCE NORTH 21 DEGREES 32 MINUTES 33 SECONDS EAST ALONG SAID WESTERLY LINE, 3.75 FEET TO SAID SOUTHEASTERLY LINE OF TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO; THENCE NORTH 27 DEGREES 19 MINUTES 22 SECONDS EAST ALONG SAID LINE, 22.34 FEET TO THE SOUTHERLY LINE OF LAND DESCRIBED IN DEED DOCUMENT 1408522056; THENCE SOUTH 65 DEGREES 23 MINUTES 51 SECONDS EAST ALONG SAID LINE, 102.71 FEET TO THE EASTERLY LINE

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OF SAID LAND DESCRIBED IN SAID DEED; THENCE NORTH 24 DEGREES 33 MINUTES 54 SECONDS EAST ALONG SAID EASTERLY LINE, 74.92 FEET TO THE NORTHERLY LINE OF SAID LOT 3; THENCE SOUTH 65 DEGREES 23 MINUTES 51 SECONDS EAST ALONG SAID NORTHERLY LINE, 97.00 FEET TO THE POINT OF BEGINNING.

SAID TRACT 1 CONTAINS 0.219 ACRE (9,553 SQUARE FEET). TRACT 2:

THAT PART OF LOTS 2, 3 AND 4 TAKEN AS A TRACT, IN BLOCK 34 IN CANAL TRUSTEES' SUBDIVISION OF THE WEST 1/2^0F SECTION 21, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING WEST OF THE WEST LINE OF A PARCEL OF LAND DESCRIBED IN DEED DOCUMENT 13829166 AND LYING SOUTHEASTERLY OF THE SOUTHEASTERLY LINE OF THAT PART OF SAID TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO ACCORDING TO CIRCUIT COURT CASE GENERAL NUMBER 213462; EXCEPTING FROM THE ABOVE DESCRIBED TRACT THAT PART OF LOT 2 HERETOFORE CONVEYED AND DESCRIBED IN DOCUMENT 20716949; AND EXCEPTING THEREFROM THAT PART TAKEN FOR THE WIDENING OF WEST CERMAK ROAD, AND ALSO EXCEPT PART CONVEYED BY DEED DOCUMENT 1408522056, BY CITY OF CHICAGO TO LAWRENCE'S FISHERIES, INC., AND ALSO EXCEPT THAT PART OF SAID LOT 3 LYING NORTHERLY AND WESTERLY OF THE FOLLOWING DESCRIBED LINE:

COMMENCING AT THE NORTHEAST CORNER OF SAID LOT 3; THENCE SOUTHERLY ALONG THE EASTERLY LINE OF SAID LOT 3 ON AN ASSUMED BEARING OF SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST, 35.80 FEET TO THE POINT OF BEGINNING; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE NORTHERLY LINE OF SAID LOT 3, A DISTANCE OF 45.00 FEET; THENCE SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST ALONG A LINE PARALLEL WITH SAID EASTERLY LINE, 65.17 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE SOUTHERLY LINE OF LANDS DESCRIBED IN DEED DOCUMENT 1408522056, A DISTANCE OF 155.59 FEET TO THE WESTERLY LINE OF SAID LOT 3, ALL IN COOK COUNTY, ILLINOIS.

SAID TRACT 2 CONTAINS 0.688 ACRE (29,950 SQUARE FEET)

Address: 2130 South Grove Street Chicago, Illinois 60616

17-21-334-006-0000 (part of)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

**SECTION I - GENERAL INFORMATION** 

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

A. -S^ciftO^\[t\*hrtS XhC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

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<ol> <li>P(l the Applicant         OR</li> <li>[] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the</li> <li>Applicant in which the Disclosing Party holds an interest:</li> </ol>	
OR 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:	
B. Business address of the Disclosing Party: ^53Ta7 Gf^finf Pr\v7 ^ -Su-fV o?(^ OQ	
C. Telephone: 31 5.3,5f 1L>SS Fax: jtr? 7ill'Sl°\i Email: Lb^r»n£g/sfc^,,nr^tdCc^^-0<*>	
D. Name of contact person: 1^Uft<^ SoToY!	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") which this EDS pertains. (Include project number and location of property, if applicable):	to
G. Which City agency or department is requesting this EDS? Pc^f op Pki/VH/v^ \$ ^/e o^g/\f If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
Specification # and Contract #	
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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS	
A. NATURE OF THE DISCLOSING PARTY	
[ ] Person [ ] Publicly registered business corporation J\$ Privately held business corporation [ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust [ ] Limited liability company [ ] Limited liability partnership	
[] Joint venture [] Not-for-profit corporation	

(Is the not-for-profit corporation also a 501(c)(3))?

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[] Yes [] Other (please spe	[] No cify)					
2. For legal ent	ities, the state (or f	oreign country) of	f incorpora	ation or organization	, if applicable:	
3. For legal ent State of Illinois as a	-	d in the State of	fillinois: F	Has the organization	n registered to do	o business in the
[]Yes	[]No j)fJì	N/A				
B. IF THE DISCLO	OSING PARTY IS	A LEGAL ENTI	ГҮ:			
profit corporations, members." For trust If the entity is a g venture, list below t	also list below all nates, estates or other segmental partnership the name and title of the reto-day managements.	members, if any, v similar entities, lis , limited partnersh f each general par	which are at below the hip, limited rtner, man	and all directors of the legal entities. If there are legal titleholder(s), and liability company, aging member, mana NOTE: Each legal en	e are no such men . limited liability pa ager or any other p	nbers, write "no artnership or joint person or entity
Name Title						
						c-uj-^f-'-)
_	wnership) in exces	s of 7.5% of the D	Disclosing venture,	erson or entity having Party. Examples of s	-	
similar entity. If no	one, state "None." None, the City may req	NOTE: Pursuant to	Section 2	r interest of a benefic 2-154-030 of the Mur ormation from any ap	nicipal Code of C	hicago
Name	Business	Address		ercentage Interest in	the	
Fn fcrftunmirt-	· Crvt serine.	<vl gf^w<="" td=""><td>Df</td><td>isclosing Party ioo^L</td><td></td><td></td></vl>	Df	isclosing Party ioo^L		

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#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes JtfNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Parry is uncertain whether a disclosure is required under thi6 Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated to be retained)

Address

Relationship to Disclosing Party
(subcontractor, attorney,
lobbyist, etc.)

Fees (indicate whether
paid or estimated.) No "hourly rate" or "t.b.d,"

not an acceptable response

(Add sheets if necessary)

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Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### SECTION V CERTIFICATIONS

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes pfl No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [i No

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section IF.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the 'same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity^or an Affiliated Entity of a Contractor during the five years' before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

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- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8, To the best of the Disclosing Parry's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

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9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" doe6 not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

# - nMa

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is J)Q is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Parry IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no" response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[JYes )dNo

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

File #: O2016-7466, Version: 1  [] Yes					
3. If you checked "Yes" to Item D.I., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:  Name Business Address Nature of Interest  4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by a City official or employee.  E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS  Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or an attachment to this EDS all information required by paragraph 2. Failure to	)2016-7466, <b>Version:</b> 1				_
employees having such interest and identify the nature of such interest:  Name  Business Address  Nature of Interest  4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by a City official or employee.  E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS  Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or an attachment to this EDS all information required by paragraph 2. Failure to	Yes [] No				
<ul> <li>4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by a City official or employee.</li> <li>E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS</li> <li>Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or an attachment to this EDS all information required by paragraph 2. Failure to</li> </ul>	•	• •		City officials or	
City official or employee.  E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS  Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or an attachment to this EDS all information required by paragraph 2. Failure to	Busine	Address	Nature of Interest		
Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or an attachment to this EDS all information required by paragraph 2. Failure to	_ ,	ertifies that no prohibit	ed financial interest in the	Matter will be acquired by ar	ıy
an attachment to this EDS all information required by paragraph 2. Failure to	TIFICATION REGARDING	SLAVERY ERA BUSI	NESS		
		tion required by paragra	ph 2. Failure to	Party must disclose below or	in
comply with these disclosure requirements may make any contract entered into with the City in connectivith the Matter voidable by the City.	-	•	any contract entered into	with the City in connection	on
A 1- The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage or injury or death of their slaves), and the Disclosing Party has found no such records.	d all predecessor entities reges during the slavery era (inc	rding records of investment ding insurance policies	ents or profits from slavery issued to slaveholders that p	or slaveholder insurance	
2. The Disclosing Party verifies that, as a result of conducting the search in step i above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any a all slaves or slaveholders described in those records:	sing Party has found records sing Party verifies that the for	of investments or profits lowing constitutes full d	from slavery or slaveholder	insurance policies. The	ıd
SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS	ION VI - CERTIFICATION	FOR FEDERALLY FU	NDED MATTERS		

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VIL For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[] Yes [] No

If "Yes," answer the three questions below:

•	with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Employment Opportunity Commission all reports due under the applicable filing requirements?
[] Yes	[] No
3. Have you partic opportunity clause?	ipated in any previous contracts or subcontracts subject to the equal
[] Yes	[ 3 No
If you checked "No", to	question 1. or 2. above, please provide an explanation:

# SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

Page 10 of 13

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against

File #: O2016-7466, Version: 1

the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to.Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

#### Page II of 13

- F.I. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the DisclosingParty or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Parry is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

(Sign here)

(Print or type name of person signing)

Cfo

File #: O2016-7466, Version: 1

(Print or type title of person signing)

Signed and sworn to before me on (date) at Qqfc- County, 3^Vv^^

V <**■** 

Commission expires: ^~>1^A^>

(state).

Notary Public.

M TRONGKAMSATAYA OFFICIAL SEAL . Notary Public, Slate ol Illinois Mv Commission Expires  $^{\lor}$  May 22, 2018 Page 12 of 13

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section 1I.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have

File #: O2016-7466, <b>V</b>	ersion: 1	
a "familial relationsl	nip" with an elected city official or	department head?
[] Yes	]>Q No	
person is connected;	• • • • • • • • • • • • • • • • • • • •	such person, (2) the name of the legal entity to which such d city official or department head to whom such person has ch familial relationship.
	Page 13 of	13
		IIC DISCLOSURE STATEMENT AND AFFIDAVIT ENDIX B
BUILDING C	ODE SCOFFLAW/PROBLEM L	ANDLORD CERTIFICATION
ownership interest in		e Applicant, and (b) any legal entity which has a direct ent (an "Owner"). It is not to be completed by any legal the Applicant.
		s the Applicant or any Owner identified as a building code n 2-92-416 of the Municipal Code?
[]Yes J>r1No		
		on any exchange, is any officer or director of the Applicant em landlord pursuant to Section 2-92-416 of the Municipal
[]Yes	[ ]No	Not Applicable
identified as a		name of the person or legal entity m landlord and the address of the building or buildings to

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12

#### OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2.J\$ a legal entity holding a direct or indirect interest in the Applicant. State the Segal name of the Applicant in which the Disclosing Party holds an interest: SUj>t}£r VeyrivrLT, ZT/)C.

  OR
- 3. I] a legal entity with a right of control (see Section II.B.I.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: Htt fJ CVrMprtrtf PU.Z<K. OrvvrC { Sv^-^OO C\\iCJHbG f 3L Lois U
- D. Name of contact person: Laxstu) Soj^at^
- E. Federal Employer Identification No. (if you have one):'
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

G. Which City agency or department is requesting this EDS? tfr r^arforMtn-f 0"PPkon^ foyj O^ttio^fW^

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

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#### SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

File #: O2016-7466, Version: 1	
1. Indicate the nature of the Disclosing Part	y:
[ ] Person	[] Limited liability company
[] Publicly registered business corporation	[ j Limited liability partnership
J\$ Privately held business corporation	[] Joint venture
Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
	[1 other (preuse speerly)
2. For legal entities, the state (or foreign co	untry) of incorporation or organization, if applicable;
3. For legal entities not organized in the Sta of Illinois as a foreign entity? C^idt^ On TM_^Y []Yes ^No []N/A	ate of Illinois: Has the organization registered to do business in the State >JV\r otJL^ uiiityM* up^rzrjf? er\\$
B. IF THE DISCLOSING PARTY IS A LEGA	L ENTITY:
profit corporations, also list below all members, members." For trusts, estates or other similar en If the entity is a general partnership, limited joint venture, list below the name and title of ea	l executive officers and all directors of the entity. NOTE: For not-for- , if any, which are legal entities. If there are no such members, write "no ntities, list below the legal titleholder(s).  partnership, limited liability company, limited liability partnership or nch general partner, managing member, manager or any other person or of the Disclosing Party. NOTE: Each legal entity listed below must
Name q Title	
/WUn^rrrfefc r	Txrt-cfrr
T.S. mtefe>	x>**rhc
interest (including ownership) in excess of 7.5% corporation, partnership interest in a partnership	concerning each person or entity having a director indirect beneficial of the Disclosing Party. Examples of such an interest include shares in a por joint venture,  Page 2 of 13
similar entity. If none, state "None," NOTE: Pu	ability company, or interest of a beneficiary of a trust, estate or other rsuant to Section 2-154-030 of the Municipal Code of Chicago such additional information from any applicant which is reasonably

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**Business Address** 

Name

Percentage Interest in the

File #: O2016-7466. Version: 1	File	#:	O2016-7466	Version: 1
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p - Disclosing Party ^

# S/rk *QtsttAtn*

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes ^rj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action oh behalf of any person or entity other than: (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

retained or anticipated to be retained) (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

CkiCA6xj XL (q uLv 3

(Add sheets if necessary)

File #: O2016-7466,	Version: 1
[] Check here if	the Disclosing Party has not retained, nor expects to retain, any such persons or entities
SECTION V - CE	RTIFICATIONS
A. COURT-ORDE	RED CHILD SUPPORT COMPLIANCE
•	l Code Section 2-92-415, substantial owners of business entities that contract with the City must ce with their child support obligations throughout the contract's term.
• •	o directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any ations by any Illinois court of competent jurisdiction?
	person directly or indirectly owns 10% or more of the losing Party.
If "Yes," has the per compliance with that	rson entered into a court-approved agreement for payment of all support owed and is the person in at agreement?
[] Yes	[] No

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

### Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with

committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- «any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public
  officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or
  local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

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- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

#### Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. <•

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

hilh

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

td&

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#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. f ] is is not

ii "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

#### Page 7 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will'be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

File #: O2016-7466	S, <b>Version:</b> 1	
[] Yes	[] No	
•	ked "Yes" to Item D.l., provide the tand identify the nature of such	he names and business addresses of the City officials or employees interest:
Name	Business Address	Nature of Interest
4. The Disclosin City official or emp	-	o prohibited financial interest in the Matter will be acquired by any
E. CERTIFICATIO	ON REGARDING SLAVERY E	RA BUSINESS
	ther 1. or 2. below. If the Disclosis EDS all information required by	osing Party checks 2., the Disclosing Party must disclose below or in by paragraph 2. Failure to Page 8 of 13
comply with these	- · · · · · · · · · · · · · · · · · · ·	ake any contract entered into with the City in connection with the
and any and all pr policies during the	redecessor entities regarding reco e slavery era (including insuranc	closing Party has searched any and all records of the Disclosing Party ords of investments or profits from slavery or slaveholder insurance e policies issued to slaveholders that provided coverage for damage to ng Party has found no such records.
Disclosing Party b Disclosing Party v	nas found records of investments	alt of conducting the search in step 1 above, the or profits from slavery or slaveholder insurance policies. The utes full disclosure of all such records, including the names of any and ls:
SECTION VI C	ERTIFICATIONS FOR FEDER	ALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who

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have made lobbyir	ng contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
conclusively presu	appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be med that the Disclosing Party means that NO persons or entities registered under the Lobbying 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
listed in Paragraph influence an officer employee of Congr contract, making ar	A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to or or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or ress, or an employee of a member of Congress, in connection with the award of any federally funded my federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, modify any federally funded contract, grant, loan, or cooperative agreement.  Page 9 of 13
	ing Party will submit an updated certification at the end of each calendar quarter in which there hat materially affects the accuracy of the statements and information set forth in paragraphs A.l. and
Revenue Code of 1	sing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 d and will not engage in "Lobbying Activities".
substance to paragrams. Disclosing Party m	osing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and raphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the nust maintain all such subcontractors' certifications for the duration of the Matter and must make such apply available to the City upon request.
B. CERTIFICATION	ON REGARDING EQUAL EMPLOYMENT OPPORTUNITY
	erally funded, federal regulations require the Applicant and all proposed subcontractors to submit mation with their bids or in writing at the outset of negotiations.
Is the Disclosing P	arty the Applicant?
t]Yes	[] No
If "Yes," answer th	the three questions below:

regulations? (See 41 CFR Part 60-2.)
t] Yes [] No

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

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[] Yes	[ ] No			
3. Have you p opportunity clause	1 7 1	contracts or subcontracts subject to the equal		
I]Yes	[ ] No			
-		e. please provide an explanation:		

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRO.org/Ethics <a href="http://www.cityofchicaRO.org/Ethics">http://www.cityofchicaRO.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a

#### File #: O2016-7466, Version: 1

contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are-the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

(Sign here)

Lftuviryj &as~<xr

(Print or type name of person signing)

Oh if p/va.fyruci Or~p\_L < UT

(Print or type title of person signing)

Signed and sworn to before me on (date) \_ at \_ CVm'fc- \_ County, -£\\nV-Q^ Commission expires:

File #: O2016-7466, Version: 1		
(slate).		
Notary Public.		
·		

M TflONGKAMSATAYA OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires May 22, 2018

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section U.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Parry, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party, and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# Page 13 of 13

CITY		MIC DISCLOSURE STATEMENT AND AFFIDAVIT PENDIX B
BUILDING CODE S	COFFLAYV7PROBLEM	LANDLORD CERTIFICATION
nership interest in the Ap		e Applicant, and (b) any legal entity which has a direct cent (an "Owner"). It is not to be completed by any legal the Applicant.
		is the Applicant or any Owner identified as a building code on 2-92-416 of the Municipal Code?
[] Yes	[ ]No	
		on any exchange, is any officer or director of the Applican lem landlord pursuant to Section 2-92-416 ofthe Municipa
[] Yes	[ 3 No	[ 3 Not Applicable
	ng code scofflaw or probl	te name of the person or legal entity em landlord and the address of the building or buildings to
THAT THIS APPEN THE ASSOCIATED	DIX B IS INCORPORAT: EDS, AND THAT, THE R THE CERTIFICATION M	TUTES ACKNOWLEDGMENT AND AGREEMENT ED BY REFERENCE INTO, AND MADE A PART OF, REPRESENTATIONS MADE IN THIS APPENDIX B IADE UNDER PENALTY OF PERJURY ON PAGE 12

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

File #: O2016-7466, Version: 1
Entertainment Cruises Holdings LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Parry submitting this EDS is:  1. [] the Applicant OR
<ul><li>2. [Xj a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the</li><li>2. Applicant, in which the Disclosing Party holds an interest: Seadog Ventures</li><li>OR</li></ul>
3. [] a legal entity with a right of control (see Section II.B.1.) .State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 111 South Wacker Drive. Suite 4000
Chicago, IL 60606
C. <u>Telephone: (312) 447-6000</u> <u>Fax: (312)447-6006</u> <u>Email: b west@pr itzkergroup.com</u>
D. Name of contact person: Brad West
E. Federal Employer Identification No. (if you have one): I
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
1 apH pnrrhagp at Grnvp # Ormak
G. Which City agency or department is requesting this EDS? r\yy of Chir-ago Dept of Planning & Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification ii and Contract #
Page 1 of 13
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY

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]. Indicate the nature of the Disclosing Par

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[] Person [] Publicly registered by the state of the stat	ess corporation	
[X] Limited liability co [] Limited liability part [] Joint venture [] Not-for-profit corpor (Is the not-for-profit cor [] Yes [] Other (please specify	ration rporation also a 501(c)(3)	1?
2. For legal entities  Dfilawarp	s, the state (or foreign cou	ntry) of incorporation or organization, if applicable:
3. For legal entities State of Illinois as a fore	-	e of lllinois: Has the organization registered to do business in the
[] Yes	fed No	[] N/A
13. IF THE DISCLOSI	NG PARTY IS A LEGA	LENTITY:
corporations, also list b members." For trusts, e If the entity is a gene venture, list below the n	elow all members, if any, states or other similar entreral partnership, limited phame and title of each gender and management of the I	executive officers and all directors of the entity. NOTE: For not-for-pro- which are legal entities. If there are no such members, write "no ties, list below the legal titleholder(s). artnership, limited liability company, limited liability partnership or joi eral partner, managing member, manager or any other person or entity disclosing Party. NOTE: Each legal entity listed below must submit an
Name	Title	
Anthony N Prir/kpr		Investor Manager
.T.fi. Pril/ker		Inv^tnr Manager

#### File #: O2016-7466, Version: 1

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name
Business Address
Percentage Interest in the
Disclosing Party

TP Group-EC LLC
HIS. Wacker Dr.: Ste. 4000Chicago. II. 60606 75%
Goodman's Bay Corporate Centre, 1st Floor

Aman 2 Capital Holdings Limited

Wca Bav s

rce, p Q N-3933 Nassau The [3ahamas 9.4%

Wes- Bay

SITe(., p 0 ^3933. Nassau. The Bahamas 9-4o/o

Goodman's Bay Corporate Centre, 1st Floor Morcau Capital Holdings Limited

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes M'No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

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		hether a disclosure is required under t required or make the disclosure.	his Section, the Disclosing Party must
		Page 3 of 13	
Name (indicate whether retained or anticipated, to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether  paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is  not an acceptable response.
(Add sheets if necessary)	N. I		
		rty has not retained, nor expects	to retain, any such persons or entities.
SECTION V - CERTIFI	CATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
-		415, substantial owners of business e pport obligations throughout the cont	ntities that contract with the City must ract's term.
• •	•	y owns 10% or more ofthe Disclosing court of competent jurisdiction?	g Party been declared in arrearage on any
[] Yes [xj	No [	] No person directly or indirectly own Disclosing Party.	ns 10% or more ofthe

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

f] Yes f 1 No

### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined

terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for. any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

### Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS. had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity

following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with, respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any slate or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
  - 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications),

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the Disclosing Party must explain below:  N/A
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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.  N/A  —
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
1. [] is [xj is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge

that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or of the Municipal Code) is a predatory lender within the meaning o (attach additional pages if necessary):  NJ/A	•
Page 7 of 13	
If the letters "N A," the word "None," or no response appears on presumed that the Disclosing Party certified to the above stateme	•
D. CERTIFICATION REGARDING INTEREST IN CITY BUS	INESS
Any words or terms that are defined in Chapter 2-156 of the Muniused in this Part D.	icipal Code have the same meanings when
1. In accordance with Section 2-156-110 of the Municipal Cofinancial interest in his or her own name or in the name of any oth ☐ Yes ^JNo	* * *
NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. a Part E.	and D.3. If you checked "No" to Item D. 1., proceed to
2. Unless sold pursuant to a process of competitive bidding, of employee shall have a financial interest in his or her own name or purchase of any property that (i) belongs to the City, or (ii) is sold legal process at the suit of the City (collectively, "City Property Sa City's eminent domain power does not constitute a financial interest."	in the name of any other person or entity in the for taxes or assessments, or (iii) is sold by virtue of the"). Compensation for property taken pursuant to the
Does the Matter involve a City Property Sale?	
[] Yes [] No	
3. If you checked "Yes" to Item D.l., provide the names and be employees having such interest and identify the nature of such interest.	•
Name Business Address N	Vature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

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------	----	--------	-------	-------	--------	--

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by,the City.

- X I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, lax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or

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to influence an officer or employee or employee of Congress, or an employee contract, making any federally fund	e for his or her lobbying activities or to pay any person or entity to influence or attempt of any agency, as defined by applicable federal law, a member of Congress, an officer ployee of a member of Congress, in connection with the award of any federally funded led grant or loan, entering into any cooperative agreement, or to extend, continue, ally funded contract, grant, loan, or cooperative agreement.  Page 9 of 13
• •	ubmit an updated certification at the end of each calendar quarter in at materially affects the accuracy of the statements and information set above.
• •	ies that either: (i) it is not an organization described in section 501(c)(4) of the Internal an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 agage in "Lobbying Activities".
substance to paragraphs A.l. throug	the Applicant, the Disclosing Party must obtain certifications equal in form and the A.4. above from all subcontractors before it awards any subcontract and the such subcontractors' certifications for the duration of the Matter and must make such the City upon request.
B. CERTIFICATION REGARDIN	IG EQUAL EMPLOYMENT OPPORTUNITY
•	deral regulations require the Applicant and all proposed subcontractors to submit r bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applican	nt?
[] Yes [xl]	No
If "Yes," answer the three questions	s below:
1. Have you developed and regulations? (See 41 CFR Part 60-2	
•	nt Reporting Committee, the Director of the Office of Federal Contract Compliance nt Opportunity Commission all reports due under the applicable filing requirements?
3. Have you participated in an opportunity clause? [] Yes [] N	y previous contracts or subcontracts subject to the equal

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If you checked "No" to question 1. or 2. above, please provide an explanation:

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### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Parly waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of

Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Parly represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes."
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 if the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Entertainment Cruises Holdings LLC (Print or tync naiue of Disclosing Party)

(S/ign here)/

1.R Prit?krr (Print or type name of person signing)

Iru/.eslnr Manager.

(Print or type title of person signing)

Signed and sworn to before me on (date) November 9, 2015

at ppoif County, Illinois (state).

, vk>/W /-If/fyJ lv&< Notary Public.

OFFICIAL 66AU DIANNE M. CHIAPPETTI. NOTARY PUBLIC, STATE OF IUIN01S r COMMISSION EXI Commission expires: January 17, 2016

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (l) all executive officers of the Disclosing Party listed in Section N.F.J.l.a., if the Disclosing Party is a corporation; all partners'-of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners offthe Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person

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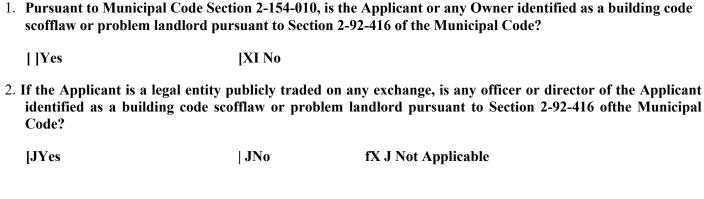
is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW7PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (») the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.



3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDLX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PACiF. WITH YOUR EDS. The purpose oflhis page is tor you to recertify your EDS prior to submission to City Council or on the date oft-losing. If" unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or

corrected information)

#### RECERTIFICATION

Generally, for use with City C ouncil matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Land Purchase at Grove and

### Cermak

[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party. (2) warrants that all certifications and statements contained in the Disclosing Party s original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification. and <3) reaffirms its acknowledgments.

Entertainment Cruises Holdings LLC

(Print or type legal name of Disclosing Party i Bv:

(sign yiere) Print or type name of signatory: J.B. Pritzker

Title of signatory: Investor Manager

Signed and sworn to before me on fdaiej September 30,2016 b>

" rfS. Pritzker

. at Cook

County.

IL

Notary Public.

0IANNE M CHIAPPETTI Otticiai Seal Notary PutJiic - State ol Himois My Commission Expnes Jan W ?0?0

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: TP Group-EC

LLC

### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
  - OR
- 2. f(J a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Seadog Ventures

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OR 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:  3. [] 11 S. Wacker Drive  B. Business address of the Disclosing Party:  3. [] 2 Suite 4000  4. Chicago,  4. Chicago,  5. Chicago,  6. Chicago,  6. Chicago,  7. Chicago,  8. Chicago,  8. Chicago,  9. Chicago,	
C. <u>Telephone: 312-447-6000</u> pax: 3)2-447-6006 Email: sntflson@priizkergroup.com	
<pre><mailto:sntflson@priizkergroup.com></mailto:sntflson@priizkergroup.com></pre>	
D. Name of contact person: Sharon Nelson	
E. Federal Employer Identification No. (if you have one):/	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which EDS pertains. (Include project number and location of property, if applicable):	this
Land purchase at Grove & Cermak	
G. Which City agency or department is requesting this EDS? <sup>Cit</sup> y of Chicago Dept of Planning & Developmen	t
If the Matter is a contract being handled by the City's Department of Procurement Services, please complet following:	e the
Specification # . and Contract #	
Page 1 of 13	
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS	
A. NATURE OF THE DISCLOSING PARTY	
" ]Person ] Publicly registered business corporation ] Privately held business corporation ] Sole proprietorship ' } General partnership \} Limited partnership ' ] Trust [X] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation	

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(Is the not-for-profit corp I] Yes [] Other (please specify)	[ ] No	2)(3))?		
2, For legal entities, t	the state (or foreign o	country) of incorporation or orga	nization, if applicable: Delaware	
3. For legal entities State of llinois as a forei	-	State of Illinois: Has the organiza	ation registered to do business in the	
[] Yes	[x] No	( ] N/A		
B. IF THE DISCLOSIN	G PARTY IS A LE	GAL ENTITY:		
corporations, also list be members." For trusts, es If the entity is a gener venture, list below the na	elow all members, if states or other similar ral partnership, limit ame and title of each	any, which are legal entities. If the continuous rentities, list below the legal title ted partnership, limited liability con high partner, managing mem	rectors of the entity, NOTE: For not-for-profit here are no such members, write "no eholder(s). company, limited liability partnership or joint ber, manager or any other person or entity h legal entity listed below must submit an	
Name Title'				
Jabodon PT Company		Managing Member		
	rship) in excess of 7.	.5% of the Disclosing Party. Exam	ntity having a direct or indirect beneficial inples of such an interest include shares in a	
		Page 2 of 13		
	_		f a beneficiary of a trust, estate or other ofthe Municipal Code of Chicago	

("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

Business Address Percentage Interest in the

**Disclosing Party** 

Jay Robert Pritzker HIS. Wacker Drive, Sie 4000, Chicago, IL 60606 50%

Anthony N. Priizker Hill Santa Monica Blvd. Ste 1650. Los Angeles. CA 9002? 50%

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SECTION III BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS
Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?
[] Yes [xj No
If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):
SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES
The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.
"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.
If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.
Page 3 of 13
Name (indicate whether Business retained or anticipated Address to be retained) Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

f<] Check here if the Disclosing Party has not retained, nor expects lo retain, any such persons or entities.

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### **SECTION V - CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-41 5, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes IX] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?  $_{\text{N/A}}$ 

[] Yes [] No

### **B. FURTHER CERTIFICATIONS**

I. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this ED'S is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Parly and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B. 1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or slate antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation:

interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public
  officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or
  local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

- d: violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (j) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

N/A

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C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
1. [] is [>3 is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
N/A
Page 7 of 13
If the letters. "N A," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.
<ol> <li>In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?</li> <li>Yes ffl No</li> </ol>
NOTE: If you checked "Yes" to Item D. 1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[ ] Yes	[] No	
	" to Item D.lprovide the names entify the nature of such interes	and business addresses of the City officials or employees t:
Name	Business Address	Nature of Interest
4. The Disclosing Party City official or employee.	further certifies that no prohi	bited financial interest in the Matter will be acquired by any
E. CERTIFICATION REGA	ARDING SLAVERY ERA BU	SINESS
	ll information required by para	
	Pag	e 8 of 13
comply with these disclosu with the Matter voidable by		contract entered into with the City in connection
the Disclosing Party and ar slaveholder insurance police	ny and all predecessor entities in ties during the slavery era (incl	arty has searched any and all records of regarding records of investments or profits from slavery or uding insurance policies issued to slaveholders that ir slaves), and the Disclosing Party has found no such
Disclosing Party has found Disclosing Party verifies th	records of investments or prof	onducting the search in step 1 above, the its from slavery or slaveholder insurance policies. The l disclosure of all such records, including the names of any
SECTION VI - CERTIFI	CATIONS FOR FEDERALI	LY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

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	es of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 ontacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if
conclusively presumed that	or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be the Disclosing Party means that NO persons or entities registered under the Lobbying we made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter.)
entity listed in Paragraph A attempt to influence an offican officer or employee of C federally funded contract, n	has not spent and will not expend any federally appropriated funds to pay any person or 1. above for his or her lobbying activities or to pay any person or entity to influence or cer or employee of any agency, as defined by applicable federal law, a member of Congress, ongress, or an employee of a member of Congress, in connection with the award of any naking any federally funded grant or loan, entering into any cooperative agreement, or to nend, or modify any federally funded contract, grant, loan, or cooperative agreement.  Page 9 of 13
	y will submit an updated certification at the end of each calendar quarter in which there erially affects the accuracy of the statements and information set forth in paragraphs A.l. and
Revenue Code of 1986; or	y certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 ill not engage in "Lobbying Activities".
substance to paragraphs A. Disclosing Party must main	rty is the Applicant, the Disclosing Party must obtain certifications equal in form and l. through A.4. above from all subcontractors before it awards any subcontract and the ntain all such subcontractors' certifications for the duration of the Matter and must make such ilable to the City upon request.
B. CERTIFICATION REC	SARDING EQUAL EMPLOYMENT OPPORTUNITY
	nded, federal regulations require the Applicant and all proposed subcontractors to submit with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the	Applicant?
[] Yes	[ ] No

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

If "Yes," answer the three questions below:

regulations? (Sec 41 CFR Part 60-2.)

[]Yes

•		unity Commission all reports due under the applicable filing requirements?
[] Yes	[ ] No	
3. Have you pa	articipated in any previou	is contracts or subcontracts subject to the equal opportunity
clause?		
[] Yes	[ ] No	
If you checked "No	o" to question 1. or 2. abo	ve, please provide an explanation:

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### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citYofchicago.org/Ethics <a href="http://www.citYofchicago.org/Ethics">http://www.citYofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City, Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available lo the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any

information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois ...Department of Revenue, nor are the Disclosing Party or its .Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the.items in F.I., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf ofthe Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City. TP Group-EC LLC

By: Jabodon PT Company, its Managing Member

(Print or type name of person signing)

President

(Print or type title of person signing)

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Signed and swe	orn to before me on (date) /Uf	lifVw 4v- 9. Jo	
at COOK	County, . ILLINOIS	(state).	

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant

Under Municipal Code Section 2-154-015, the Disclosing Party must disclosewhelher such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe dale this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. I .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such

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person is connected; (3) the name and title of the elected city official or department head familial relationship, and (4) the precise nature of such familial relationship.	d lo whom such person has a
Page 13 of 13	
CITY OF CHICAGO ECONOMIC DISCLOSURE STATES APPENDIX B	MENT AND AFFIDAVIT
BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATI	ON
This Appendix is to be completed only by (a) the Applicant, and (b) any lega ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to entity which has only an indirect ownership interest in the Applicant.	
J. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner ide scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Cod	
[ ]Yes [X]No	
2. If the Applicant is a legal entity publicly traded on any exchange, is any off identified as a building code scofflaw or problem landlord pursuant to Section 2-	
[ ]Yes [ ]No [X ] Not Applicable	
3. If yes to (1) or (2) above, please identify below the name of the person or legal er scofflaw or problem landlord and the address of the building or buildings to whic apply.	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OFTHE ASSOCIATED EDS.

(DO NO I SUBMIT THIS PAGE WITH YOUR EDS. The purpose oflhis page is tor you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new i-.DS w ith correct or corrected information)

File:	#: (	2016	-7466.	Versi	on:	1
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### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Land Purchase at Grove and

#### Cennak

| identity the Matter]. Under penalty of perjury. the person signing below: < I j warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party. (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification. and (3) reaffirms its acknowledgments.

TP Group-EC LLC

By: Jabondon PT Company, its Managing Member O September 30. 2016

tsien here)

( Print or type legal name of Disclosing Party I Bv:

Prim or type name of signatory:

f.B. Pritzker

Title of signatory: President

Signed andsworn to before me on [date) Stptembe. :>0, 2016 ^

3jc\*>. rh'-tjtW Noiar\ Public. -at Cook

County. II

0IANNE M CHIAPPETTI Oldcial Seal Notify Public - State ot Illinois My Commission Ewes Jan " ?0?0

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of ihe Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Jabodon PT Company'

### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. fX] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Seadog Ventures

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OR 3. [] a legal entity with a right of control (se which the Disclosing Party holds a right of co	ontrol:		he entity in		
B. Business address of the Disclosing Party: S	HIS. Wacker Duite 4000 Chicago, TT				
C. Telephone: 312^t47-6000 Fax <mailto:snelson@prit7.kergroup.com></mailto:snelson@prit7.kergroup.com>	:	312-447-6006	Email:	snelson@prit7.kerg	;roup.com
D. Name of contact person: Sharon Nelson	r				
E. Federal Employer Identification No. (if you ha	ave one):				
F. Brief description of contract, transaction pertains. (Include project number and location of		= :	ow as the '	'Matter") to which	this EDS
Land purchase at Grove & Cermak					
G. Which City agency or department is requesting	g this EDS?City of C	hicago Dept of Plani	ning & Deve	lopment	
If the Matter is a contract being handled by the following:	e City's Department o	f Procurement Servi	ces, please c	omplete the	
Specification #	and Contract #				
Page 1 of 13					
SECTION II DISCLOSURE OF OWNE	RSHIP INTEREST	S			
A. NATURE OF THE DISCL		Indicate the natu	re of the Di	sclosing Party:	
[ ] Person [ ] Publicly registered business corporation	[]	Privately	held busin	ess corporation	[]
[ ] Sole proprietorship [ ] General partnership	[] (Is				
[ ] Limited partnership [ J Trust	[]				
Limited liability company Limited liability p Not-for-profit corporation the not-for-profit corporation also a 501(c)(3	•	nture			

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[] Yes Other (please specify)	[ ] No		
2. For legal entiti	es, the state (or foreign c	ountry) of incorpo	oration or organization, if applicable:
Delaware			
3. For legal entiti State of llinois as a for	_	tate of Illinois: Ha	as the organization registered to do business in the
f<] Yes	[ ] No	[] N/A	<b>A</b>
B. IF THE DISCLOSI	NG PARTY IS A LEGA	L ENTITY:	
profit corporations, als members." For trusts, If the entity is a gen venture, list below the	o list below all members estates or other similar er eral partnership, limited name and title of each geo-day management ofthe	s, if any, which are ntities, list below to partnership, limit eneral partner, ma	rs and all directors of the entity. NOTE: For not-for- e legal entities. If there are no such members, write "no the legal titleholder(s). ed liability company, limited liability partnership or joint naging member, manager or any other person or entity NOTE: Each legal entity listed below must submit an
Name Title Jay Robert Pritzker	P	resident, Director	
Anthony N. Pritzker	> Director		
Eric A. Schreiner	V	ice President	
interest (including own		6 of the Disclosing	person or entity having a direct or indirect beneficial garty. Examples of such an interest include shares in a
similar entity. If none	, state "None." NOTE: Pu he City may require any	ursuant to Section	or interest of a beneficiary of a trust, estate or other 2-154-030 of the Municipal Code of Chicago formation from any applicant which is reasonably
Name	Business Address		Percentage Interest in the
Jay Robert Pritzker	HIS. Wacker Drive, Ste		Disclosing Party L 60606 50%

11111 Santa Monica Blvd. Ste 1650. Los Angeles. CA 90025

Anthony N. Priuker

5jQ%

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes [yJ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc,.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

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{X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

### **SECTION V - CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-4] 5, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes ^L] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?  $_{\rm N}$ 

[] Yes [] No

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1 -23, Article 1 ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and; if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B. 1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant lo the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

### Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing-Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gifl" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

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### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [yj is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

### Page 7 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Il Yes IVJNo

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

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[] Yes	[ ] No	
	ked "Yes" to Item D.l., provide the such interest and identify the nature	names and business addresses of the City officials or e of such interest:
Name	Business Address	Nature of Interest
4. The Disclosi City official or em		prohibited financial interest in the Matter will be acquired by any
E. CERTIFICATIO	ON REGARDING SLAVERY ERA	BUSINESS
	is EDS all information required by	ng Party checks 2., the Disclosing Party must disclose below or in paragraph 2. Failure to Page 8 of 13
comply with these the Matter voidab	-	any contract entered into with the City in connection with
slaveholder insura	rty and any and all predecessor entitunce policies during the slavery era (	s that the Disclosing Party has searched any and all records of ies regarding records of investments or profits from slavery or (including insurance policies issued to slaveholders that f their slaves), and the Disclosing Party has found no such
Disclosing Party h Disclosing Party v	nas found records of investments or	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance policies. The s full disclosure of all such records, including the names of any ds:
SECTION VI - C	EERTIFICATIONS FOR FEDER	ALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

File #: O2016-7466, Version: 1
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an office or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.  Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which ther occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. an A.2. above.
4. The Disclosing Party certifies that cither: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[] Yes [] No

If "Yes," answer the three questions below:

	•		rting Committee, the Director of the Office of Federal Contract Compliance
Progra	ms, or the Equal	Employment Oppo	rtunity Commission all reports due under the applicable filing requirements?
[]	Yes	[ ] No	
	• •	cipated in any previo	ous contracts or subcontracts subject to the equal opportunity
clause'			
[] \	Yes	[ ] No	
If you	checked "No" to	question 1. or 2. ab	ove, please provide an explanation:

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## SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRo.org/Ethics <a href="http://www.cityofchicaRo.org/Ethics">http://www.cityofchicaRo.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response lo a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter I -23 and Section 2-154-020 ofthe Municipal Code.

The Disclosing Party represents and warrants that:

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing. Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Jabodon PT Company

(Print or type name of person signing)

President

(Print or type title of person signing)

Signed and sworn to before me on (date) Nttl/gmtyr\*). 3otfT, at COOK County, ILLINOIS (state). OFFICIAL S6AL DIANNE M.' CWPPETTI
NOTARY PUBUC STATE OF ILLINOIS tty COMMISSI C«JXPIRESJ^2^

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.I.a., if die Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [yi No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

terest i		cent (an "Owner"). It	ant, and (b) any legal entity which has a direct ownership is not to be completed by any legal entity which has only
	ursuant to Municipal Code Section of flaw or problem landlord pursu		oplicant or any Owner identified as a building code 16 of the Municipal Code?
[	]Yes	[XJ No	
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applican identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?			
[ ]	] Yes	I ] No	IX ] Not Applicable
id	yes to (1) or (2) above, please identified as a building code scofflatertinent code violations apply.	-	of the person or legal entity d and the address of the building or buildings to which the

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAG Li WITH YOUR F.DS. The purpose of this page is lor you in recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIEICATION

Generally, for use with City Council matters. Not for City procurements unless requested. This recertification is being submitted

#### in connection with Land Purchase at Grove and

#### Cermak

[identify the .Matter]. Under penalty of perjury, the person signing below: < I) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party. (2) warrants that all certifications and statement\* contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date of this recertification. and (3) reaffirms its acknowledgments.

Jabodon PT Company (Print or type legal name of Disclosing Party >	Date	September 30. 2016
Bv:		
Print or type name of signatory:		
I.B. Pritzker		
Title of sinnatory:		
President		
Signed and_sworn to before me on  date]  ' DIANNE M CHIAPPf TTi '  1 Official Seal >  < Notary Public - State ol Illinois > , My Commission Enpires Ja  ■ m₩w-**** m w w w w ^ ,	an 17 ?0?0 ,	
	F CHICAGO	ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
SECTION IGENERAL INFORMATION	NG To 1-1-1	0 / C:C - 1: 11 M C - '4 1H 11'
A. Legal name of the Disclosing Party submitting this ED Limited	78. Include A	Alb/at it applicable: Moreau Capital Holdings
Check ONE of the following three boxes:		
Indicate whether the Disclosing Party submitting this ED OR  2. [yj a legal entity holding a direct or indirect interest Applicant in which the Disclosing Party holds an inter OR  3. [] a legal entity with a right of control (see Section	t in the Appl rest: _S«adog	icant. Stale the legal name ofthe g Ventures
B. Business address of the Disclosing Party: iitFlnu:  West U pcLN-i	afSfrecI	rporate Cenire
C. Telephone: 242-356-1822 Fax: 242-322-369		Email: Helcn.Carroll@wi cibc.com <a href="http://cibc.com">http://cibc.com</a>
D. Name of contact person: Hoion Csnoll		

E Federal Employer Identification No. (if you have one): \ I

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F. Brief description of contract, transaction or other undertaking (referred t pertains. (Include project number and location of property, if applicable):	to below as the "Matter") to which this	s EDS
Landpurchase at Grove & Cermak		
G. Which City agency or department is requesting this EDS?City of Chicago Dept of	of Planning & Dsvelopment	
If the Matter is a contract being handled by the City's Department of Procuremen	t Services, please complete the following:	
Specification <sup>^</sup> and Comiact #		
Page I of 13 SECTION 11 DISCLOSURE OF OWNERSHIP INTERESTS		
A. NATURE OF THE DISCLOSING PARTY		
[] [] W [] [] [] [] Limited partnership  J. Indicate the nature of the Disclosing Party: Persorporation [ Privately held business corporation [ partnership (]  Trust	, ,	siness eneral
[] Other (please specify)	[) Yes	[ J No
2. For legal entities, the state (or foreign country) of incorporation or o	rganization, if applicable:	
Commonwealth of the Bahamas		
3. For legal entities not organized in the State of Illinois: Has the organistate of Illinois as a foreign entity?	ization registered to do business in the	
[ ] N/A		
B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:		
1. List below the full names and titles of all executive officers and all d profit corporations, also list below all members, if any, which are legal entit members." For trusts, estates or other similar entities, list below the legal tit. If the entity is a general partnership, limited partnership, limited liability venture, list below the name and title of each general partner, managing mentate controls the day-to-day management of the Disclosing Parly. NOTE: Each EDS on its own behalf	ties. If there are no such members, write leholder(s). company, limited liability partnership or mber, manager or any other person or en	"no r joint tity
Name Title Commerce Services Limited, Director		

Corporate Associates Limited, Director

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, ot interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Jay Robert Prilzker HIS Wacker Drive. Sic 4000. Chicago, IL 60606 100%

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Parly had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Ycs [x]No

If yes, please identify below the namc(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as (he nature ofthe relationship, and the total amount ofthe fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under Ihis Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whetheretained or anticipated to be retained)		Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc )	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is	not an acceptable response.
(Add sheets if necessa	ry)			
pc] Check here if the	e Disclosing	Party has not retained, nor expects	to retain, any such persons of	or entities. SECTION V
CERTIFICATIONS				
A. COURT-ORDERE	D CHILD SU	PPORT COMPLIANCE		
_		92-415, substantial owners of busine obligations throughout the contract's		City must remain in
Has any person who di obligations by any Illin	-	ectly owns 10% or more of the Disclompetent jurisdiction?	osing Party been declared in arre	earage on any child support
[] Yes fx	[X] No (	] No person directly or indirectly ow Disclosing Party.	ns 10% or more of the	
If "Yes," has the person with lhat agreement?		a courl-approved agreement for payr	nent of all support owed and is the	ne person in compliance
[] Yes {	] No			
D. EUDTHED CEDT	FIGATIONS			

#### B. FURTHER CERTIFICATIONS

I. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (c.g., "doing business") and iegal requirements), if the Disclosing Party submitting this EDS is the Applicant 8nd is doing business with the City, then the Disclosing Party certifies as follows (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with ihe CKy. NOTE: If Article I applies to ihe Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a logal entity, all of those persons or entities identified in Section II.B.l. of thii EDS:
  - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtein, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of ihe offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the dale of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged gjilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
- ♦ any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with the Matter, including but not limited lo all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity, Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, ihe term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Pony, any Contractor or any Affiliated Entity, acting pursuant io the direction or authorization of a responsible official ofthe Disclosing Parly, any Contractor or any Affiliated Emily (collectively "Agents"),

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Neither ibe Disclosing Parly, nor any Contractor, nor any Affiliated Entity of cither the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted (o bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity:
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of slate or local government as e result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Conlrol of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: (he Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code,
- 7. If the Disclosing Party is unable to certify io any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

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If the letters "NA," the word "None," or no response appears on the tines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, ai any time during the 12-rr.onth period preceding (he execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N.'A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, ihe following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anylhing made generally available to City employees or to the general public, or (ii) food or drink provided ir. the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient

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N'A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies (hat the Disclosing Party (check one)
1. [) is [yj is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Patty pledges:
"We arc not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable lo make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
N'A
Page 7 of 13
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.
1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  [ ] Yes
NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?

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[ ] Yes	[ ] No	
	ked "Yes" to Item D.l., provide the such interest and identify the natur	e names and business addresses of the City officials or re of such interest:
Name	Business Address	Nature of Interest
4. The Disclosing City official or emp		prohibited financial interest in the Matter will be acquired by any
E. CERTIFICATIO	ON REGARDING SLAVERY ER	A BUSINESS
	ther 1. or 2. below. If the Disclostis EDS all information required by	ing Party checks 2., the Disclosing Party must disclose below or in paragraph 2. Failure to
		Page 8 of 13
comply with ihese d		contract entered into with the City in connection with the Matter
the Disclosing Party insurance policies d	and any and all predecessor encitics	Party has searched any and oil records of regarding records of investments or profits from slavery or slaveholder ince policies issued to slaveholders that provided coverage for damage to or as found no such records.
Disclosing Parly has	s found records of investments or profouring constitutes full disclosure of all	nducting the search in step 1 above, the its from slavery or slaveholder insurance policies. The Disclosing Party such records, including the names of any and all slaves or slaveholders
SECTION VI ~ CER	RTIFICATIONS FOR FEDERALLY	FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Scc'.ion VI. If the Mailer is n«t federally funded, proceed lo Section VII. For purposes oflhis Section VI, lax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

I, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

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(If no explanation appears or begins on Ihe lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Parly with respect to the Matter.)
2. The Disclosing Party has nol spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or enlily to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to exiend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.  Page 9 of 13
1 age 9 01 13
3. The Disclosing Party will submil an updated certification at the end of each calendar quarter in which there occurs any even that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Parly certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or(ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has no: engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If ihe Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[]Yes []No
If "Yes," answer the three questions below:
I: Have you developed and do you have on file affirmative action programs pursuant lo applicable
federal regulations? (See 41 CFR Part 60-2.)
[JYes (]No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes  [] No
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[]Yes (]No

If you checked "No" to question!. or 2. above, please provide an explanation:

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SECTION VII « ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The Cily's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking C:cy contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaqo.org/Elhics <a href="http://www.cityofchicaqo.org/Elhics">http://www.cityofchicaqo.org/Elhics</a>. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Parly's participation in the Matter and/cr declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response lo a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained tn this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time ihe City takes action on the Malter. If the Matter is a contract being handled by ihe City's Department oT Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect lo Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Parly represents and warrants lhaf

#### Page 11 of 13

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties Lisi System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.I. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that docs not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE. If (he Disclosing Party cannot certify as to any ofthe items in F.I., F.2. or F.3. above, an explanatory siatcmem must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below. (1) warrants that he/she is authorized lo execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Moreau Capital Holdings Limited

(Print or type name of Disclosing Party)""" By: ComrnerccScrvaccs

Lirnjjj^LXIi rector

Name: Helen M, Carroll Title: Director

By:

(Sign here)

(Print or type name of person signing)

(Print or type title of person signing) Page 12 of 13

Signed and sworn to before me on (date) November 13. 2015

at \_Nj3gs.au <http://\_Nj3gs.au>

County, rjahamgs

(siate).

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. Il is not to be comple(ed by any legal entity which has only an indirect ownership Interest In the Applicant-

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial rela'.ionship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Demesne Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.D.I.a., if the Disclosing Party is a corporation; all partners of ihe Disclosing Party, if Ihe Disclosing Party is a general partnership; all general partners and limited partners of of the Disclosing Party, if (he Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7 5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

M Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the clocied city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is <0 be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). If Is hot lo be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-1 54-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

I ]Y« IX] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a

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building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?				
[] Yes	I] No	IX ] Not Applicable		
	ling code scofflaw or problem	name ofthe person or legal entity a landlord and the address ofthe building or buildings to which the pertinent		
APPENDIX B IS IN THAT THE REPRE UNDER PENALTY (DO NOT SUBMIT T submission to City Co	NCORPORATED BY REFERE ESENTATIONS MADE IN TH OF PERJURY ON PAGE 12 HIS PAGE WITH YOUR E	TES ACKNOWLEDGMENT AND AGREEMENT THAT THIS ENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND HIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE OF THE ASSOCIATED EDS.  EDS. The purpose oflhis page is for you to recertify your EDS prior to ng. If unable to recertify truthfully, the Disclosing Party must complete		
	RECERTIFICA	ATION		
	Generally, for t	use with City Council matters. Not for City procurements unless		
requested.				
	This recertification	tion is being submitted in connection with Land Purchase at Grove		
and				
execute this EDS recer contained in the Disclo	tification on behalf of the Di sing Party's original EDS ar	person signing below: (1) warrants that he/she is authorized to isclosing Party, (2) warrants that all certifications and statements the true, accurate and complete as ofthe date furnished to the City and the date of this recertification, and (3) reaffirms its acknowledgments.		
(Print or type legal nam	e of Disclosing Party) By:			
;sign here)				
Print or tyne^ame of sig Moreau Capital Holding				
By: Commerce Service				
Name: Helen M	. Carroll Title: Director			
By: Corporate Assodjjt	olijiniled, Director			
Name: Schevon V. Mil	ler Title: Director			

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Date:

Signed and sworn lo before me on [date] October 3. 2016 , by

. at Nassau

County, The Bahamas [state].

. Notary Public.

Commission expires: December 31, 2016

I 1-Ut-05

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Aman 2 Capital Holdings

Limited

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is.

1. [] the Applicant

OR

- 2. [yj a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Scadog Ventures

OR

3. [] a legal entity with a right of control (see Section ILB.l.) State the legal name of the entity in which the Disclosing Party holds a right of conlrol:

Goodman's Bay Corporate Centre

B. Business address of the Disclosing Party:

West bay .Street P.O. N-3933

Nassau, The Bahamas

C. Telephone: 242-356-1822 Fax: 242-322-3692 Email: Helen Carroll@wi.cihc <mailto:Carroll@wi.cihc > com

D. Name of contact person: Hdcn Cimol!

Ι

- E. Federal Employer Identification No. (if you have onc);£
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Land purchase at Grove & Cermak

G. Which City agency or department is requesting this EDS? City of Chicago Dcpt of Planning & Development

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If the Matter is a contract.being handl following:	led by the City's Department of Procuremen	nt Services, please complete the
Specification*/	and Contract ft	
Page 1 of 13 SECTION II- DISCLOSURE OF O	OWNERSHIP INTERESTS	
A. NATURE OF THE DISCLOSIN	IG PARTY	
[] [] 1*3 [] [] [] I ]  Limited partnership Trust  1. Indicate the nature of corporation f Privately partnership (]	f the Disclosing Party; Per held business corporation [	rson [ Publicly registered business Sole proprietorship [ General
[ ] Other (	please specify)	[) Yes [j No
2. For legal entities, the state (commonwealth of the Bahamas	or foreign country) of incorporation or o	organization, if applicable:
3. For legal entities not organiz State of lllinois as a foreign entity?	zed in the State ofIllinois: Has the organ	nization registered to do business in the
[] N/A		
B. IF THE DISCLOSING PARTY	IS A LEGAL ENTITY:	
profit corporations, also list below a members." For trusts, estates or other	Ill members, if any, which are legal entirer similar entities, list below the legal tit	directors of the entity. NOTE: For not-for- ties. If there are no such members, write "no tleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Parly. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title Commerce Services Limited, Director

Corporate Associates Limited, Director

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial

interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant lo Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Anthony N. Pritzker 11150 Santa . Monica Blvd., Ste. 1300, Los Angeles, CA 90025 100%

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the dale this F.DS is signed?

[] Yes £] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Parly (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is	not an acceptade response.
(Add sheets if necessary)				
f(] Check here if the D	Disclosing P	arty has not retained, nor expect	ts lo retain, any such person	ns or entities. SECTION V
CERTIFICATIONS				
A. COURT-ORDERED O	CHILD SUP	PORT COMPLIANCE		
=		2-4 15, subslantial owners of busine oligations throughout the contract's to		e City must remain in
Has 8ny person who direct obligations by any Illinois		ctly owns 10% or more of Ihe Discl mpetent jurisdiction?	osing Party been declared in ar	rearage on any child support
[]Yes X]N		No person directly or indirectly own Disclosing Party.	as 10% or more of the	
If "Yes,1" has the person en with thai agreement? ^	ntered into a	court-approved agreement for payr	nent of all support owed and is	the person in compliance
[] Yes [] No	o			
B. FURTHER CERTIFIC	CATIONS			
"doing business") and legal City, ihen the Disclosing P with, or has admitted guilt attempted, or conspiracy to City or any sister agency; a requirement for doing business.	I requirement arty certifies of, or has even commit briand (ii) the American with th	apter 1 -23, Article I ("Article I")(wats), if the Disclosing Party submitting as as follows: (i) neither the Applicant over been convicted of, or placed und bery, theft, fraud, forgery, perjury, capplicant understands and acknowled e City. NOTE: If Article I applies to impliance timeframes in certification	ng this EDS is the Applicant ar at nor any controlling person is er supervision for, any crimina dishonesty or deceit against an dges that compliance with Artion the Applicant, the permanent	nd is doing business with the currently indicted or charged l offense involving actual, officer or employee of Ihe cle I is a continuing

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of ihose persons or entities identified in Section JI B 1 of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date offlhis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, stale or local) transaction or contract under a public transaction; z. violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - •the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Pany, or is, with the Disclosing Parly, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither clie Disclosing Party, nor any Contractor, nor any Affiliated Entity of either (he Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an

Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- o. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United Slates of America, in that officer's or employee's official capacity;
- b. agreed cr colluded with other bidders or prospective bidders, or been a party lo any such agreement, or been convicted ot adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; cr
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance)
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of slate or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any stale or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debased List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N'A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, all any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include; (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [y] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Parry IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined ir. Chapter 2-32 of the Municipal Code We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because il or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N.'A

Page 7 of . 3

If the letters "N A," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes ^No

NOTE: If you checked "Yes" to Item D. 1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

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2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.					
Does the Matter involve a Co	ty Property Sale?				
[ ] Yes	[ ] No				
3. If you checked "Yes" employees having such inter-		ames and business addresses of the City officials or of such interest:			
Name	Business Address	Nature of Interest			
City official or employee.  E. CERTIFICATION REGA	RDING SLAVERY ERA 2.  2. below. If the Disclosing information required by page 2.	g Party checks 2., the Disclosing Party must disclose below or in			
voidable by ihe City.  X I. The Disclosing Party verthe Disclosing Party and any arrinsurance policies during the slinjury or death of their slaves),  2. The Disclosing Party ver Disclosing Party has found recommended in the control of the contro	rifies that the Disclosing Part and all predecessor entities reg- avery era (including insurance and the Disclosing Party has rifies that, as a result of cond- ards of investments or profits	ontract entered into with the City in connection with the Matter  y has searched any and all records of arding records of investments or profits from slavery or slaveholder be policies issued to slaveholders that provided coverage for damage to or found no such records.  ucting the search in step 1 above, the from slavery or slaveholder insurance policies. The Disclosing Party ach records, including the names of any and all slaves or slaveholders			

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

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NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed 10 Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.				
A CERTIFICATION REGARDING LOBBYING				

1, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary).

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to (he Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.L above for his or her lobbying activities or lo pay any person or entity lo influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Interna) Revenue Code of 1986; or (ii) il is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is Ihe Disclosing Party the Applicant?

[]Yes [)No If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.)

[] Yes (] No

File #: O2016-7466, Version: 1			
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes [] No			
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [JYes [JNo			
If you checked "No" to question 1. or 2. above, please provide an explanation:			
Page 10 of 13			
SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE			
The Disclosing Party understands and agrees that:			
A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.			
B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions, The full text of these ordinances and a training program is available on line at www.cityofchicaEQ.orfi/E'.hics <a href="http://www.cityofchicaEQ.orfi/E'.hics">http://www.cityofchicaEQ.orfi/E'.hics</a> and may also be obtained from the City's Board of Ethics, 740 N.			
Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.			
C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue eny remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Mailer and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.			
D. Il is the City's policy to make this document available to the public on its Internet site and/or upon requesl. Some or all of Ihe information provided on this EDS and any attachments to this EDS may be m8dc available to the public on the Inlemet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and release any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.			
E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the Cily lakes action on the Matter. If the Matter is a conlracl being handled by the City's Department of Procurement Services, the Disclosing Parly musl update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of			

Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified

offenses), the information provided herein regarding eligibility musl be kept current for a longer period, as required by Chapter 1-23 and Scdion 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any lax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed in the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors lo use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, Ihe Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance lo these in F.l. and F.2. above and will not, without the prior written consent of ihe City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE. If the Disclosing Party cannot certify as to any ofihe items in F.I., F.2. or F.3. above, an explanatory statement must be attached to this EDS,

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the Cily.

Aman 2 Capital Holdings Limited

(Print or type name of Disclosing Party)

By:
(Sign here)

(Print or lypc name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (dale) November 13, 2015 Notary Public.

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in Ihe Applicant exceeding 7.5 percent. It Is not to be completed by any legal entity which has only an Indirect ownership interest In the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial iclafionship" exists if, as of the date this EDS is signed, the Disclosing Pany or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-ir.-law, daughter-in-lsw, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"'Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.I.a., if the Disclosing Party is a corporation; all partners of the Disclosing Pany, if the Disclosing Party is a general partnership; all general partners and limited partners of (he Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Parmer thereof currently have a "familial relationship" with an elected city official or department head?

U Yes bd No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected cily official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW7PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

I Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant lo Section 2-92-416 of the Municipal Code?

I] Yes (x]No

		on any exchange, is any officer or director of the Applicant identified as a ant to Section 2-92-416 of the Municipal Code?
[]Yes	[ ] No	[x] Not Applicable
		e name of the person or legal entity in landlord and the address of the building or buildings to which the pertinem
APPENDIX B IS I INC AND THAT THE REP MADE UNDER PENA (DO NOT SUBMIT THIS	ORPORATED BY REFEI RESENTATIONS MADE LTY OF PERJURY ON P PAGE WITH YOUR E il or on the date of closin	TTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS RENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION AGE 12 OF THE ASSOCIATED EDS.  EDS. The purpose oflhis page is for you to recertify your EDS prior long. If unable to recertify truthfully, the Disclosing Party must complete
	RECERTIFICA	ATION
Generally, for use with Cir	ty Council matters. Not	for City procurements unless requested.
This recertification is bein	g submitted in connection	on with Land Purchase at Grove and
execute this EDS recertific contained in the Disclosing	ation on behalf of the Dg Party's original EDS ar	e person signing below; (1) warrants that he/she is authorized to isclosing Party, (2) warrants that all certifications and statements re true, accurate and complete as ofthe date furnished to the City and e date of this recertification, and (3) reaffirms its acknowledgments.
(Print or type legal name of	Disclosing Party)	
By:		
(sign here)		
Print or type name of signar Aman 2 Capital Holdings I	•	Services Li.rjted <a href="http://Li.rjted">http://Li.rjted</a> , Director
Name: Helen M. Ca	arroll Title: Director	
Ry: Cornorate Associates I	imited Director	

Name: SchevonV Miller Title: Director

Date: October 3. 2016

Signed and sworn to before me on fdate] October 3, 2016 , by

Notary Public.

Commission expires: December 31,. 2016

Ver. 11-01-05