

# Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

# Legislation Details (With Text)

File #: 02017-7802

Type: Ordinance Status: Introduced

File created: 11/8/2017 In control: Committee on Transportation and Public Way

Final action:

Title: Vacation of public alley(s) in area bounded by E Chestnut St, N DeWitt Pl, E Pearson St and N Mies

Van Der Rohe Way

**Sponsors:** Hopkins, Brian

Indexes: Vacation

**Attachments:** 1. O2017-7802.pdf

Date	Ver.	Action By	Action	Result
11/8/2017	1	City Council	Referred	

#### **COMMERCIAL ORDINANCE**

WHEREAS, the City of Chicago ("City") is a home rule unit of local government pursuant to Article VII, Section 6 (a) of the 1970 Constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the properties at 211-215 E. Chestnut Street are owned by 215 Chestnut, LLC an Illinois limited liability company ("Developer"); and

WHEREAS, the Developer proposes to use the portion of the alley to be vacated herein for the placement of ADA accessible lift equipment and access stairs for the Developer's adjacent building; and

WHEREAS, the City Council of the City of Chicago, after due investigation and consideration, has determined that the nature and extent of the public use and the public interest to be subserved is such as to warrant the vacation of those portions of public alley, described in the following ordinance; now therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1.

ALL OF THE EAST-WEST 8 FOOT PUBLIC ALLEY DEDICATED AS DOCUMENT 9580298 AND RECORDED MARCH 15,1927 IN BOOK 241, PAGE 43, DESCRIBED AS FOLLOWS: THE SOUTH 8.00 FEET OF THE WEST 15.00 FEET OF LOT 58 AND THE SOUTH 8.00 FEET OF LOT 59 IN LAKE SHORE DRIVE ADDITION TO CHICAGO, A SUBDIVISION OF PART OF BLOCKS 14 AND 20 IN CANAL TRUSTEES' SUBDIVISION OF THE SOUTH FRACTIONAL 1/4 OF FRACTIONAL SECTION 3, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 25,

1894 IN BOOK 57, PAGE 41, AS DOCUMENT 1986496, IN COOK COUNTY, ILLINOIS. AREA = 520 SQUARE FEET OR 0.01194 ACRES, MORE OR LESS as shaded and legally described by the words "HEREBY VACATED" on the plat hereto attached as Exhibit A, which plat for greater clarity, is hereby made a part of this ordinance, be and the same is hereby vacated and closed, inasmuch as the same is no longer required for public use and the public interest will be subserved by such vacation.

Page 1

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SECTION 2. The vacation herein provided for is made upon the express condition that within 180 days after the passage of this ordinance, the Developer shall pay or cause to be paid to the City of Chicago as compensation for the benefits which will accrue to the owner of the property abutting said part of public alley hereby vacated the sum dollars (\$ ),

which sum in the judgment of this body will be equal to such benefits.

SECTION 3. The vacation herein provided for are made upon the express condition that within one hundred eighty (180) days after the passage of this ordinance, the Developer shall file or cause to be filed for recordation with the Office of the Recorder of Deeds of Cook County, Illinois a certified copy of this ordinance, together with the associated full sized plats as approved by the Acting Superintendent of Maps and Plats.

SECTION 4. This ordinance shall take effect and be in force from and after its passage. The vacation shall take effect and be in force from and after recording of the approved plat.

Vacation Approved:

Rebekah<sup>c</sup>SctTeinfeld Commissioner of Transportation

Approved as to Form and Legality

Lisa Misher Chief Assistant Corporation Counsel

Honorable Brian Hoph Alderman, 2nd Ward

# Page 2 EXHIBIT "A"

# PLAT OF VACATION

ALL OF THE EAST-WEST 8 FOOT PUBLIC ALLEY DEDICATED AS DOCUMENT 9580298 AND RECORDED MARCH 15, 1927 IN BOOK 241, PAGE 43, DESCRIBED AS FOLLOWS: THE SOUTH 8.00 FEET OF THE WEST 15.00 FEET OF LOT 58 AND THE SOUTH 8.00 FEET OF LOT 59 IN LAKE SHORE DRIVE ADDITION TO CHICAGO, A SUBDIVISION OF PART OF BLOCKS 14 AND 20 IN CANAL TRUSTEES' SUBDIVISION OF THE SOUTH FRACTIONAL 1/4 OF FRACTIONAL SECTION 3, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 25, 1894 IN BOOK 57, PAGE 41, AS DOCUMENT 1986496, IN COOK COUNTY, ILLINOIS

AREA = 520 SQUARE FEET OR 0.01194 ACRES, MORE OR LESS

E. Chestnut (80' Public Right-of-Way)

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134'-0 5/8"
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84
 Buying' Lake Shorje Drive Addition to Chicago Rec Jan. 25, 1894 as Doc. 1986496!
     <sup>1</sup> 85 <sup>1</sup> I I
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50' R
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# ^ Street

NOTES-ALL DISTANCES AND BEARINGS SHOWN HEREON ARE MEASURED UNLESS SHOWN OTHERWISE. THE BASIS OF BEARINGS IS ASSUMED.

THE FIELD WORK WAS COMPLETED ON XTOBER4, 2017

IRONING DX-7, DR-7; AND DR-10

# CDOT# 03-02-16-3770

LINE TYPES AND SYMBOLS USED.

LOT LINES

STREETS AND ALLEYS

LIMITS OF VACATION IWMH1 TRAFFIC FLOW DIRECTION ■■« ► CROWFOOT ON LINE -» <-

PAGE 1 OF 2

(IN inch

# **GRAPHIC SCALE**

FEET) = 60 ft.

IMPORTANT

> K AIPMT) BY SCALE MEASUREMENTS UPON THE 00TAMCE3 AM M.HIIFn DATE: OCT. 4, 2017

H FEET AND DECIMAL MUTTS THEREOF. THUS: 4.87" FEET. OR M FEET AND INCHES. THUS; 4"-fi^£"

SURVEY NO. N- 130145 VACATION THIS INSTRUMENT PREPARED BY:

NATIONAL SURVEY SERVICE, INC. PROFESSIONAL LAND SURVEYORS

30 S. MICHIGAN AVENUE. SUITE 200 CHICAGO. ILLINOIS SO603

EL: 312-630-8480 FAX: 312-630-9484

O NATIONAL SURVEY SERVICE. INC. 2017 "ALL RIGHTS RESERVED"

# **EXHIBIT "A"**

# **PLAT OF VACATION**

**LEGEND** 

"A"

LAKE SHORE DRIVE ADDITION TO CHICAGO, A SUBDIVISION OF PART OF BLOCKS 14 AND 20 IN CANAL TRUSTEES' SUBDIVISION OF THE SOUTH FRACTIONAL 1/4 OF FRACTIONAL SECTION 3, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS. RECORDED JANUARY 25, 1894 AS DOCUMENT 1986496

"L"

DEDICATION FOR PUBLIC ALLEY - THE SOUTH 8 FEET OF LOTS 54 AND 55 AND THE NORTH 8 FEET OF LOT 88 IN LAKE SHORE DRIVE ADDITION TO CHICAGO, ETC. RECORDED MAY 4, 1917 AS DOCUMENT 6104005

"M"

DEDICATION FOR PUBLIC ALLEY - THE SOUTH 8 FEET OF THE WEST 15 FEET OF LOT 58 AND THE SOUTH 8 FEET OF LOT 59 IN LAKE SHORE DRIVE ADDITION TO CHICAGO, ETC. RECORDED MARCH 15, 1927 AS DOCUMENT 9580298

"Q"

COOK COUNTY

CONSOLIDATION OF LOTS 54 & 55 (EXCEPT FROM SAID LOTS THE SOUTH 8 FEET THEREOF) AND LOTS 56 & 57 & 58 (EXCEPT THE WEST 15'-11 3/8" THEREOF) IN LAKE SHORE DRIVE ADDITION TO I CHICAGO, ETC (SEE "A") RECORDED JULY 7, 1954 AS DOCUMENT 15953855.

STATE OF ILLINOIS)

)SS

COUNTY OF COOK)

I, ROBERT M. RAIMONDI, AN ILLINOIS PROFESSIONAL LAND SURVEYOR, DO HEREBY CERTIFY THAT I HAVE SURVEYED THE PROPERTY DESCRIBED HEREON FOR THE PURPOSE OF VACATING THE SAME AND THAT THE PLAT HEREON DRAWN IS A CORRECT REPRESENTATION THEREOF. DIMENSIONS ARE SHOWN IN FEET AND DECIMAL PARTS THEREOF AND ARE CORRECTED TO A TEMPERATURE OF 62° FAHRENHEIT. THIS PROFESSIONAL SERVICE CONFORMS

TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY.

THE FIELD WORK WAS COMPLETED ON OCTOBER 4, 2017

CHICAGO, ILLINOIS, OCTOBER 25, 2017 A.D.

# *BY C\$LAut 7/1. (3<t>U^r^M*

ROBERT M. RAIMONDI ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 3579

MY LICENSE EXPIRES 11/30/2018

SURVEY NO. N-130145 VACATION THIS INSTRUMENT PREPARED BY

SURVEY PREPARED FOR AND MAIL TO: MR. CHRIS LEACH AKERMAN LLP 71 S. WACKER DRIVE 46TH FLOOR CHICAGO, IL 60606 PH: 312-870-8023

DATE: OCT. 4, 2017

**NATIONAL SURVEY SERVICE. INC.** 

#### DESIGN FIRM UCENSE NUMBER: 184.002700

30 S. MICHIGAN AVENUE. SUITE 200 CHICAGO. ILLINOIS 60603 TEL: 312-630-9480 FAX: 312-630-9484

CDOT# 03-02-16-3770

CIVIL 3D PROJECTS 2018/N130145/130145.dwg

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

American Heritage Investment, LLC

# **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. [x] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal

name: 215 Chestnut, LLC

OR

3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 411 E. Illinois St. #80

File #: O2017-7802, Vers	ion: 1		
		Chicago IL 60611	
C. Telephone: 773-267-	-5600 Fax:	888-816-2612	Email: adarr@grou.pfox
<mailto:adarr@grou.pfc< td=""><td>ox&gt;</td><td></td><td></td></mailto:adarr@grou.pfc<>	ox>		
D. Name of contact pers	son: Adrian Parr	: _	
E. Federal Employer Id	entification No. (	(if you have one):	
F. Brief description of the first that if applicable):	ne Matter to which	ch this EDS pertain	s. (Include project number and location of property,
Alley Vacation application for 21	5 E. Chestnut Street		
G. Which City agency or dep	partment is requesting	g this EDS'? Chicago I	Department of Transportation
If the Matter is a co- complete the following:	_	ndled by the Cit	y's Department of Procurement Services, please
Specification #		and Contract	#
Ver.2017-I ,		Paget of 14	
SECTION II - DISCL	OSURE OF OV	VNERSHIP INTE	RESTS
A. NATURE OF THE	DISCLOSING P.	ARTY	
] Person ] Publicly registered bus partnership ] Limited pa [x] Limited liability con [ ] Limited liability part [ ] Joint venture [ ] Not-for-profit corpor (Is the not-for-profit cor [ ] Yes [ ] No	rtnership ] Trust npany nership ation poration also a 5	01(c)(3))?	usiness corporation ] Sole proprietorship ] General
2. For legal entities, the	e state (or foreign	country) of incorp	oration or organization, if applicable: Delaware
3. For legal entities not the State of Illinois as a	_	State of Illinois: Ha	as the organization registered to do business in
[x] Yes	[ ] No	[] Organize	ed in Illinois
B. IF THE DISCLOSIN	IG PARTY IS A	LEGAL ENTITY:	

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(ii) for not-for-pro write "no member executor, adminis companies, limite	ofit corporations, all members, if its which are legal entities"); (iii) is strator, or similarly situated party; and liability partnerships or joint ve	ole, of: (i) all executive officers and any, which are legal entities (if the for trusts, estates or other similar en (iv) for general or limited partners entures, each general partner, mana irectly controls the day-to-day man	re are no such members, ntities, the trustee, ships, limited liability ging member, manager or
NOTE: Each lega	l entity listed below must submit	an EDS on its own behalf.	
Name Title William O'Kane		Managing Member	
Karen O'Kane		Managing Member	
Adnan Darr		Vice President of Operations	
current or prospect excess of 7.5% of	ctive (i.e. within 6 months after C	rning each person or legal entity havity action) beneficial interest (incluant an interest include shares in a corporate member or manager in a	iding ownership) in
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limited liability o	company, or interest of a benefic	ciary of a trust, estate or other sir	nilar entity. If none, state
NOTE: Each lega	l entity listed below may be requ	ired to submit an EDS on its own b	ehalf.
Name	Business Address	Percentage Interest in th	e Applicant
William O'Kane	411 E. Illinois St. #80, Chicago, Il	L 60611 50%	
Karen O'Kane	411 E. Illinois St. #80, Chicago, IL	_ 60611 50%	
SECTION III - OFFICIALS	INCOME OR COMPENSA	ATION TO, OR OWNERSHIP	BY, CITY ELECTED
	ng Party provided any income or or preceding the date of this EDS?	compensation to any City elected of [ ] Yes	fficial during the [x] No
		ovide any income or compensation ing the date of this EDS? [] Yes	to any City [x] No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe

such income or compensation:

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inquiry, any City elected official's	to the best of the Disclosing Party's spouse or domestic partner, have a dode of Chicago ("MCC")) in the Disc	financial interest (as defined in
If "yes," please identify below the (s) and describe the financial interest.		icial(s) and/or spouse(s)/domestic partner
SECTION IV DISCLOSURE	OF SUBCONTRACTORS AND	OTHER RETAINED PARTIES
defined in MCC Chapter 2-156), a Party has retained or expects to re and the total amount of the fees pa employees who are paid solely the	accountant, consultant and any other tain in connection with the Matter, a aid or estimated to be paid. The Disc rough the Disclosing Party's regular required under this Section, the Disc	each subcontractor, attorney, lobbyist (as person or entity whom the Disclosing as well as the nature of the relationship, closing Party is not required to disclose payroll. If the Disclosing Party is closing Party must either ask the City
Page 3 of14		
Name (indicate whether Busin retained or anticipated to be retained)  Address to be retained)	ress Relationship to Disclosing Partiess (subcontractor, attorney, lobbyist, etc.)	rty Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)		
[x] Check here if the Disclosi	ng Party has not retained, nor e	expects to retain, any such persons or
entities. SECTION V - CERTI	FICATIONS	
A. COURT-ORDERED CHILD	SUPPORT COMPLIANCE	
	abstantial owners of business entities oport obligations throughout the con-	s that contract with the City must remain tract's term.
	ndirectly owns 10% or more of the Doy any Illinois court of competent just	Disclosing Party been declared in arrearage risdiction?
[] Yes [x] No [] No person d	irectly or indirectly owns 10% or mo	ore of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?	
[]Yes []No	

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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**B. FURTHER CERTIFICATIONS** 

continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ELCS 5/33E-3; (2) bid-rotating in violation of 720

ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.20I7-l Page 6 of 14

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and

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	less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as e, indicate with "N/A" or "none"). As to any gift listed below, please also list the name
C. CERTIFICATION (	OF STATUS AS FINANCIAL INSTITUTION
_	y certifies that the Disclosing Party (check one)'   is not
a "financial instituti	on" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Pa	rty IS a financial institution, then the Disclosing Party pledges:
none of our affiliates is understand that become	ot become a predatory lender as defined in MCC Chapter 2-32. We further pledge that , and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We ng a predatory lender or becoming an affiliate of a predatory lender may result in the doing business with the City."
Page 7 of 14	
	y is unable to make this pledge because it or any of its affiliates (as defined in MCC is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach essary):
	word "None," or no response appears on the lines above, it will be I that the Disclosing Party certified to the above statements.
D. CERTIFICATION	REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms de	fined in MCC Chapter 2-156 have the same meanings if used in this Part D.
reasonable inquiry, doe	MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after any official or employee of the City have a financial interest in his or her own name or person or entity in the Matter?
[ ] Yes	[x] No
NOTE: If you checked	"Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l),

skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or

the purchase of any by virtue of legal pr	property that (i) belongs to the Ci ocess at the suit of the City (collec	own name or in the name of any other person or entity in ty, or (ii) is sold for taxes or assessments, or (iii) is sold ctively, "City Property Sale"). Compensation for property sees not constitute a financial interest within the meaning of
Does the Matter inv	olve a City Property Sale?	
[] Yes	[ ] No	
•	\ /· 1	e names and business addresses of the City officials or the nature of the financial interest:
Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by

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any City official or employee.

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# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS N/A

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

## A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(l) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, LL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact

may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article T (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) wan-ants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

American Heritage Investment, LLC

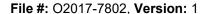
Adnan Darr (Print or type name of person signing)

<u>Vice President of Operations</u> (Print or type title of person signing)

Signed and sworn to before me on (date) "7 / / 7

County, Illinois

Notary Public



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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[]Yes	[x] No	
such person is connected	d; (3) the name a	ame and title of such person, (2) the name of the legal entity to which and title of the elected city official or department head to whom such the precise nature of such familial relationship.
Page 13 of" 14		
	CITY OF CHI	ICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B
BUILDIN	NG CODE SCOF	FFLAW/PROBLEM LANDLORD CERTIFICATION
	Applicant excee	(a) the Applicant, and (b) any legal entity which has a direct ding 7.5% (an "Owner"). It is not to be completed by any legal entity erest in the Applicant.
1. Pursuant to MCC Second problem landlord purs		is the Applicant or any Owner identified as a building code scofflaw etion 2-92-416?
[ ] Yes	[x] No	
		ely traded on any exchange, is any officer or director of the Applicant problem landlord pursuant to MCC Section 2-92-416?
[] Yes	[ ] No	[x] The Applicant is not publicly traded on any exchange.
•	-	fy below the name of each person or legal entity identified as a rd and the address of each building or buildings to which the pertinent

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Alley vacation application

This recertification is being submitted in connection with for 215 e. chestnut [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Parry's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

American Heritage Investment, LLC (Print or type legal name of Disclos		October 3d. 2017
Print or type name of signatory:		
Adnan Parr		
Fitle of signatory:		
Vice President of Operations		
Signed and sworn to before me on [a Adnan Parr , at Co	date] October -ffi, 2017 , bok County, Illinoi	
Notary Public.		

Ver. 11-01-05

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

215 Chestnut.LLC

# **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

1. [x] the Applicant

OR

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name: '

OR

- 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 411 e. Illinois St. #80

Chicago, IL 60611

C. Telephone: 773-267-5600 Fax

Fax: 888-816-2612

Email: adarr@groupfox

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D. Name of contact person: Adnan Parr

- E. Federal Employer Identification No. (if you have one):
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Alley Vacation application for 215 E. Chestnut Street

G. Which City agency or department is requesting this EDS? Chicago Department of Transportation

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification #

and Contract #

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# **SECTION n -- DISCLOSURE OF OWNERSHIP INTERESTS**

A. NAT URE OF TFIE DISCLOSING PARTY
[ ] Person [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust [x] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?
2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:
Illinois
3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?
[] Yes [] No [x] Organized in Illinois
B. IF THE DISCLOSING PART Y IS A LEGAL ENTITY:
1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

William O'Kane Managing Member
Karen O'Kane Managing Member

Adnan Darr Vice President of Operations

2. Please provide the following information concerning each person or legal entity having a direct or indirect,

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current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a
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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."
NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.
Name Business Address Percentage Interest in the Applicant American Heritage Investments, LLC 411 E. Illinois St. #80, Chicago, IL 60611 100%
SECTION III INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS
Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?  - [] Yes [x] No
Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [x] No
If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:
Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?  [] Yes  [x] No
If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).
SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES
The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as

defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is

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uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.
Page 3 of 14 Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (indicate whether paid or estimated.') NOTE: "hourly rate" or "t.b.d." is not an acceptable response.  John George/Chris Leach 71 W. Wacker Drive, 46th Fl., Chicago IL 60606 Attorney  Name (indicate whether Business retained or anticipated Address to be retained)
John George/Chris Leach 71 W. Wacker Drive,
National Survey Service, Inc. 30 S. Michigan Ave., Suite 200, Chicago, IL 60603 Surveyor Est. \$1,500.00
(Add sheets if necessary)
[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.
SECTION V - CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer

charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed. under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant

to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, dining the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base -Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribeiy, theft, fraud, forgery, perjuiy, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA<sub>4</sub>on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be

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hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x] is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We

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	coming a predatory lender or becoming an affiliate of a predatory lender may result in the e of doing business with the City."
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	Party is unable to make this pledge because it or any of its affiliates (as defined in MCC (b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attacl f necessary):
	" the word "None," or no response appears on the lines above, it will be amed that the Disclosing Party certified to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-156 have the same meanings if used in this Part D.
reasonable inquiry	with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after, does any official or employee of the City have a financial interest in his or her own name or other person or entity in the Matter?
[] Yes	[x] No
	cked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), and D(3) and proceed to Part E.
employee shall have the purchase of any by virtue of legal p	rsuant to a process of competitive bidding, or otherwise permitted, no City elected official or we a financial interest in his or her own name or in the name of any other person or entity in y property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold process at the suit of the City (collectively, "City Property Sale"). Compensation for property he City's eminent domain power does not constitute a financial interest within the meaning
Does the Matter in	volve a City Property Sale?
[]Yes	[ ] No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or

Nature of Financial Interest

employees having such financial interest and identify the nature of the financial interest:

**Business Address** 

Name

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.,

- x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS N/A

NOTE: If the Matter is federally funded, complete this Section VT. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

## A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

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any person or entity list person or entity to infl	sted in paragraph uence or attempt	nd will not expend any federally appropriated funds to pay A(l) above for his or her lobbying activities or to pay any to influence an officer or employee of any agency, as defined Congress, an officer or employee of Congress, or an employee Page 9 of 14
funded grant or loan, ente	ering into any coo	with the award of any federally funded contract, making any federally operative agreement, or to extend, continue, renew, amend, or modify or cooperative agreement.
	that materially a	n updated certification at the end of each calendar quarter in which iffects the accuracy of the statements and information set forth in
the Internal Revenue Cod	e of 1986; or (ii) it has not engage	either: (i) it is not an organization described in section 501(c)(4) of it is an organization described in section 501(c)(4) of the Internal d and will not engage in "Lobbying Activities," as that term is \$1995, as amended.
and substance to paragrap and the Disclosing Party	hs A(l) through a must maintain all	cant, the Disclosing Party must obtain certifications equal in form A(4) above from all subcontractors before it awards any subcontract such subcontractors' certifications for the duration of the Matter and vailable to the City upon request.
B. CERTIFICATION RE	GARDING EQU	JAL EMPLOYMENT OPPORTUNITY
		, federal regulations require the Applicant and all proposed g information with their bids or in writing at the outset of
Is the Disclosing Party the	e Applicant?	
If "Yes," answer the three		•
·	nd do you have o	on file affirmative action programs pursuant to applicable federal
<u>•</u>	-	g Committee, the Director of the Office of Federal Contract syment Opportunity Commission all reports due under the applicable
[] Yes	[]No []]	Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal

opportunity clause?

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[]Yes	[] No		

If you checked "No" to question (1) or (2) above, please provide an explanation:

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#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program, is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in. this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter I -23 and Section 2-154-020.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFD3AVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT** APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct

1.1	e Applicant exceed	ling 7.5% (an "Owner"). It is not to be completed by any legal entity rest in the Applicant.
1. Pursuant to MCC Second problem landlord purs	· · · · · · · · · · · · · · · · · · ·	s the Appl icant or any Owner identified as a building code scofflaw ion 2-92-416?
[] Yes	[x] No	
		y traded on any exchange, is any officer or director of the Applicant roblem landlord pursuant to MCC Section 2-92-416?
[ ] Yes	[ ] No	[x  The Applicant is not publicly traded on any exchange.
		By below the name of each person or legal entity identified as a d and the address of each building or buildings to which the pertinent

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Alley vacation application

This recertification is being submitted in connection with for 215 e. chestnut [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that . he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

215 Chestnut, LLC (Print or type legal name of Disclosing Party)

Print or type name of signatory:

Adnan Parr

Title of signatory:

Vice President of Operations

Signed and sworn to before me on [date] October 30, 2017, by
Adnan Parr, at Cook County, Illinois

Vcr. 11-01-05