

### Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

#### Legislation Details (With Text)

**File #:** O2018-653

Type: Ordinance Status: Passed

File created: 1/17/2018 In control: City Council

**Final action:** 2/28/2018

Title: Support of Class 7(b) tax incentive for property at 10501 South Avenue C

**Sponsors:** Emanuel, Rahm

Indexes: Class 7(b)

**Attachments:** 1. O2018-653.pdf

Date	Ver.	Action By	Action	Result
2/28/2018	1	City Council	Passed	Pass
1/29/2018	1	Committee on Economic, Capital and Technology Development	Recommended to Pass	
1/17/2018	1	City Council	Referred	

OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL, mayor

January 17,2018

## TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

#### Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinance authorizing a Class 7B tax status for property located at 10501 South Avenue C.

Your favorable consideration of this ordinance will be appreciated.

Mayor

Very truly yours,

#### **ORDINANCE**

WHEREAS, the City of Chicago (the "City") is a home rule unit of government under Section 6(a), Article VII of the 1970 Constitution of the State of Illinois authorized to exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, pursuant to an ordinance adopted by the City Council of the City ("City Council"), the City established "Enterprise Zone 3" in accordance with the Illinois Enterprise Zone Act, 20 ILCS 665/1, et seq., finding, among other things, that Enterprise Zone 3 is a depressed area; and

WHEREAS, certain real estate generally located at 10501 S. Avenue C in the City, as more precisely described on Exhibit A attached hereto and hereby made a part hereof (the "Subject Property"), is located within the boundaries of Enterprise Zone 3; and

WHEREAS, the Subject Property is located within the Ewing Avenue Redevelopment Project Area designated by the City under the Tax Increment Allocation Redevelopment Act, 65 ILCS 5/11-74.4-1 et seq. pursuant to an ordinance published in the Journal of Proceedings of the City Council of the City (the "Journal") on March 10, 2010, as amended by an ordinance published in the Journal on September 8, 2010, and the purpose of such redevelopment project area is also to provide certain incentives in order to revitalize depressed areas; and

WHEREAS, Atwater Save-It-AII 106<sup>th</sup> Street Self-Storage, LLC, an Illinois limited liability company ("Applicant"), proposes to rehabilitate the approximately 198,000 square foot Subject Property and redevelop it into multi-use commercial space including retail buildings and self-storage facility (the "Project"); and

WHEREAS, the Applicant intends to construct two buildings with a total of approximately 13,080 square feet for retail use on the Subject Property (the "Retail Space"); and

WHEREAS, the Applicant intends to rent the Retail Space to three retail businesses acceptable to the City in the sole discretion of the Commissioner of the Department of Planning and Development (the "Tenants"), which such Tenants are currently proposed to be Dunkin' Donuts, Wing Stop and Family Dollar, as evidenced by certain letters of intent; and

WHEREAS, after the completion of the rehabilitation of the self-storage facility, construction of the Retail Space, the occupation of the self-storage facility by Applicant and occupation of a portion of the Retail Space by Family Dollar, the Applicant will request a certificate of completion from the Department of Planning and Development (the "Certificate of Completion"); and

WHEREAS, the City's support and consent to the Class 7b classification for the Subject Property is conditioned upon the rehabilitation of the self-storage facility, construction of the Retail Space, the occupation of the self-storage facility by Applicant and occupation of a portion of the Retail Space by Family Dollar; and

WHEREAS, the Applicant may not permit the Class 7b classification of the Subject Property prior to the issuance of the Certificate of Completion; and

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WHEREAS, if the Subject Property receives a Class 7b classification prior to the issuance of the Certificate of Completion, the City may petition the County to revoke such Class 7b classification; and

WHEREAS, during a period of five years commencing on the date of the reclassification of the Subject Property by the County to a Class 7b classification (the "Five-Year Period"), if the Tenants do not collectively occupy approximately 6,540 square feet of the Retail Space, it shall be deemed a "Vacancy;" and

WHEREAS, if a Vacancy lasts in excess of twenty-four months during the Five-Year Period as determined by the Commissioner ofthe Department of Planning and Development, the City may petition the County to revoke the Class 7b classification; and

WHEREAS, the Applicant purchased the Project Site for value; and

WHEREAS, the Subject Property has been abandoned for approximately eight years; and

WHEREAS, the Project will increase employment opportunities, economic activity in the area and growth in the real property tax base; and

WHEREAS, the redevelopment objective of the City in connection with the Subject Property is to redevelop underutilized properties, attract new businesses and retain and encourage the expansion of existing viable businesses, increase employment opportunities, increase economic activity in the area, and growth in the real property tax base; and

WHEREAS, the intended use by the Applicant of the Subject Property is redevelop it into multi-use commercial space including retail space and self-storage; and

WHEREAS, the Applicant has applied to the Office of the Assessor of Cook County, Illinois (the "Assessor") for designation of the Project Site as a Class 7b classification eligible for certain real estate tax incentives; and

WHEREAS, Section 74-65(b) of the Cook County Tax Incentive Ordinance, Classification System for Assessment, as amended from time to time (the "County Ordinance"), provides that prior to filing an eligibility application with the Assessor, an applicant for Class 7b classification must obtain an ordinance or resolution from the municipality in which the real estate is located expressly stating that (a) the municipality has determined that eligibility factors (1) through (5) under Section 74-65(a) of the County Ordinance are present, and (b) the municipality supports and consents to the Class 7b application to the Assessor; and

WHEREAS, the Department of Planning and Development ("DPD") has reviewed the proposed Project and has determined that it meets the necessary eligibility requirements for Class 7b designation, and hereby recommends to City Council that the City expressly determine, among other things, by ordinance that (a) the required eligibility factors are present, and (b) the City supports and consents to the Class 7b application to the Assessor by the Applicant for the Project; now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

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SECTION 1. The above recitals are hereby expressly incorporated as if fully set forth herein.

SECTION 2. The City hereby determines that the Project meets eligibility factor (1) under Section 74-65(a) of the County Ordinance in that the Project Site is located in an area in need of commercial

development and designated by the City as Enterprise Zone 3.

SECTION 3. The City hereby determines that the Project meets eligibility factor (2) under Section 74-65(a) of the County Ordinance in that real estate taxes in the area during the last six years have either declined, remained stagnant and/or potential real estate taxes are not being fully realized due to the depressed condition of the area, and/or the Project Site, or property values as determined by the assessed value (AV) or equalized assessed value (EAV) for the redevelopment area or the Project Site have declined over the last six years, or property values as determined by the AV or EAV are increasing at a rate that is less than the balance of the City's AV or EAV for the last six years; or property values as determined by the AV or EAV for the redevelopment area/Project Site are increasing at a rate that is less than Consumer Price Index (CPI) for All Urban Consumers as published by the US Department of Labor for the last six years.

SECTION 4. The City hereby determines that the Project meets eligibility factor (3) under Section 74-65(a) of the County Ordinance in that there is a reasonable expectation that the Project is viable and likely to go forward on a reasonably timely basis if granted Class 7b designation and will therefore result in the economic enhancement of the area.

SECTION 5. The City hereby determines that the Project meets eligibility factor (4) under Section 74-65(a) of the County Ordinance in that certification of the Project for Class 7b designation will materially assist development, redevelopment or rehabilitation of the area and the Project would not go forward without the full incentive offered under Class 7b.

SECTION 6. The City hereby determines that the Project meets eligibility factor (5) under Section 74-65(a) of the County Ordinance in that certification of the Project for Class 7b designation is reasonably expected to ultimately result in an increase in real property tax revenue and employment opportunities within the area.

SECTION 7. The City hereby expressly determines that eligibility factors (1) through (5) under Section 74-65(a) of the County Ordinance are present for the Project, and hereby expressly supports and consents to the Class 7b application of the Applicant to the Assessor for Class 7b designation of the Project and the Project Site.

SECTION 8. The Commissioner of DPD or a designee of the Commissioner (each an "Authorized Officer") are each hereby authorized, with the approval of the City's Corporation Counsel as to form and legality, to negotiate, execute and deliver: (a) an agreement between the Applicant and the City (the "Agreement") and (b) such other supporting documents as may be necessary to carry out and comply with the provisions of the Agreement, with such changes, deletions and insertions as shall be approved by the persons executing the Agreement. The Authorized Officer is also hereby authorized to take any actions, execute or enter into any agreements or documents necessary or advisable in order to petition the County to revoke the Class 7b classification of the Subject Property.

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SECTION 9. The City has received and filed the Applicant's Economic Disclosure Statement, as defined in the County Ordinance.

SECTION 10. The Authorized Officer is hereby authorized to deliver a certified copy of this ordinance to the Assessor and to furnish such additional information as may be required in connection with the filing of the application by the Applicant with the Assessor for Class 7b designation of the Project.

SECTION 11. This ordinance shall be effective from and after its passage and approval.



PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

PROPERTY ADDRESS OF REAL ESTATE: 10501 South

Avenue C, Chicago, Illinois 60617

PERMANENT

TAX

**IDENTIFICATION** 

**NUMBERS**:

26-08-407-

048-0000

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Parly submitt ing this EDS. Include d/b/a/ if applicable:

Atwater Savc-lt-All 106th Street Self-Storage LLC

**Check ONE of the following three boxes:** 

	File #: O2018-653, Version: 1			
2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal 2. name: OR 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the I name of the entity in which the Disclosing Party holds a right of control:  B. Business address of the Disclosing Party: 162 W, Grand, Suite 300 Chicago, IL 60654  C. Telephone: 312-332-0690 Fax: Email: sQatigakes@atwatergroup.  mailto:sQatigakes@atwatergroup.com≥  D. Name of contact person: Nicholas Stratigakes  E. Federal Employer Identification No. (if you have one):  F. Brief description of the Matter to which this EDS pertains. (Include project number and location of propert applicable):  Application for Class 7b tax incentive for 10501 Ave C.  G. Which City agency or department is requesting this EDS? Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete following: >  Specification 1i and Contract #  Ver.2017-1 Paget of 14  SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS  A. NATURE OF THE DISCLOSING PARTY  1. Indicate the nature of the Disclosing Party:  [] Person  [] Publicly registered business corporation  [] Publicly registered business corporation  [] Jimit venture	<ol> <li>[x] the Applicant         OR</li> <li>[] a legal entity currently holding</li> </ol>	ng, or anticipated to hold w		•
name of the entity in which the Disclosing Party holds a right of control:  B. Business address ofthe Disclosing Party: 162 W. Grand, Suite 300 Chicago, IL 60654  C. Telephone: 312-332-0690 Fax: Email: sQatigakes@atwatergroup.  Smailto:sQatigakes@atwatergroup.com> D. Name of contact person: Nicholas Stratigakes  E. Federal Employer Identification No. (if you have one):  F. Brief description of the Matter to which this EDS pertains. (Include project number and location of propert applicable):  Application for Class 7b tax incentive for 10501 Ave C.  G. Which City agency or department is requesting this EDS? Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete following: >  Specification 1i and Contract #  Ver.2017-1 Paget of 14  SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS  A. NATURE OF THE DISCLOSING PARTY  1. Indicate the nature of the Disclosing Party:  [1] Person  [3] Limited liability company  [1] Limited liability partnership  [1] Joint venture	<ul><li>2. "Matter"), a direct or indirect in</li><li>2. name:-</li></ul>	_	- '	
Chicago, IL 60654  C. Telephone: 312-332-0690 Fax: Email: sQatigakes@atwatergroup.  mailto:sQatigakes@atwatergroup.com>  D. Name of contact person: Nicholas Stratigakes  E. Federal Employer Identification No. (if you have one):  F. Brief description of the Matter to which this EDS pertains. (Include project number and location of propert applicable):  Application for Class 7b tax incentive for 10501 Ave C.  G. Which City agency or department is requesting this EDS? Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete following: >  Specification Ii and Contract #  Ver.2017-1 Paget of 14  SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS  A. NATURE OF THE DISCLOSING PARTY  1. Indicate the nature of the Disclosing Party:  [] Person [X] Limited liability company  [] Publicly registered business corporation [] Joint venture	· · ·		'	ec Section 11(B)(1)) State the legal
C. Telephone: 312-332-0690 Fax: Email: sQatigakes@atwatergroup.    Semailto:sQatigakes@atwatergroup.com>   D. Name of contact person: Nicholas Stratigakes	B. Business address of the Disclosing l	Party: 162 W. Grand, Su	ite 300	
		Chicago, IL 60	654	
E. Federal Employer Identification No. (if you have one):  F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property applicable):  Application for Class 7b tax incentive for 10501 Ave C.  G. Which City agency or department is requesting this EDS? Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete following: >  Specification 1i and Contract #  Ver.2017-1 Paget of 14  SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS  A. NATURE OF THE DISCLOSING PARTY  1. Indicate the nature of the Disclosing Party:  [] Person   Xj Limited liability company [] Publicly registered business corporation   I Limited liability partnership [] Privately held business corporation   J Joint venture	•		Email:	sQatigakes@atwatergroup.com
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Ver.2017-1 Paget of 14  SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS  A. NATURE OF THE DISCLOSING PARTY  1. Indicate the nature of the Disclosing Party:  [] Person    Xj Limited liability company  [] Publicly registered business corporation   ] Limited liability partnership  [] Privately held business corporation   ] Joint venture		andled by the City's Depa	artment of Procurer	nent Services, please complete the
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[] Person   Xj Limited liability company [] Publicly registered business corporation   I Limited liability partnership [] Privately held business corporation   I Joint venture	A. NATURE OF THE DISCLOSING	PARTY		
[   Sole proprietorship [ ] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes [ ] No	[ ] Person [ ] Publicly registered business corporation [ ] Privately held business corporation [   Sole proprietorship [ ] General partnership	Xj Limited liab ation [] Limited liab [] Joint ventur [] Not-for-prof (Is the not-for-prof	oility partnership e fit corporation profit corporation als	so a 501(c)(3))?

2. For legal entities, the s	tate (or foreign country	y) of incorporation or organization	n, if applicable-Illinois
3. For legal entities not o of Illinois as a foreign entities	_	f Illinois: Has the organization reg	gistered to do business in the Stale
[ ] Yes	[ ] No	fX] Organized in Illinois	
B. IF THE DISCLOSING	PARTY IS A LEGAL	ENTITY:	
not-for-profit corporations which are legal entities"); silualed party; (iv) for gen	s, all members, if any, v (iii) for trusts, estates of eral or limited partners tner, managing member	which are legal entities (if there are or other similar entities, the trusted ships, limited liability companies, er, manager or any other person or	and all directors of the entity; (ii) for re no such members, write "no members e, executor, administrator, or similarly limited liability partnerships or joint relegal entity that directly or indirectly
NOTE: Each legal entity l	isted below must subm	nit an EDS on its own behalf.	
Name Title- Nicholas Stratigakes		Managing Member	
prospective (i.e. within 6 r	nonths after City action ich an interest include s	n) beneficial interest (including ov	having a direct or indirect, current or wnership) in excess of 7.5% ofthe p interest in a partnership or joint
		ciary of a trust, estate or other sim	•
	·	quired to sublint all EDS on its ow	ii beliaii.
Name Nicholas Stratigakes   162	Business Address W. Grand Ave., Chica	ago, IL 60654	
Allan Mattonc   12 Deep Hollo	w Dr, Locust N.1 07760		
SECTION III - INCOM	E OR COMPENSAT	ION TO, OR OWNERSHIP BY	, CITY ELEC TED OFFICIALS

[ ] Yes |X]No

Has the Disclosing Party provided any income or compensation to any City elected official during the

12-month period preceding the date of this EDS?

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Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [X] No
If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: $N/A$
Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?  [] Yes  [X] No
If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domcstic partner(s) "and describe the financial interest(s).  N/A
SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES
The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects lo retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Parly is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask, the City whether disclosure is required or make the disclosure.
Page 3 of 14 Liston & Tsantilis, P.C.   33 N. LaSalle St, 2800, Chicago, LL 60640
(Add sheets if necessary)  [ ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities
SECTION V - CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes M No

#### **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Parly and, if Ihe Disclosing Parly is a legal entity, all of Ihose persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the dale oflhis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen properly;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, slate or local)<sup>1</sup> terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concent:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of

Subcontractors and Other Retained Parties");

- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by il, or, with the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee ofthe Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5) (Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 LLCS 5/33E-3; (2) bid-rotating in violation of 720 LLCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I. for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement

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for doing business with the City. NOTE: If MCC Chapter 1-23, Article 1 applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

- 9. [FOR APPLICANT ONLY] The Applicant, and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Mattel<sup>-</sup> certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient. N/A

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

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2. If the Disclosing Patty IS a financial institution, then the Disclosing Party pledges:	
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand becoming a predatory lender or becoming an affiliate of a predatory lender may result in (he loss ofthe privile business with the City."	nd that
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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional paces if necessary): N/A

If the letters "NA," the word "None," or no response appeaj-s on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best ofthe Disclosing Party's knowledge after reasonable inquiry, does any official or employee ofthe City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [XJ No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[ 1 Yes [ x] No

3. If you checked "Yes" to Item DQ), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party-must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued lo slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Parly has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If (he Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the. Matter.)

2. The Disclosing Parly has not spent and will not expend any federally appropriated funds lo pay

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person or entity to influence or attemp	h A(l) above for his or her lobbying activities or to pay any to influence an officer or employee of any agency, as defined f Congress, an office.!' or employee of Congress, or an employee Page «,) of 14
	with the award of any federally funded contract, making any federally funded we agreement, or to extend, continue, renew, amend, or modify any federally we agreement.
	n updated certification at the end of each calendar quarter in which there occurs curacy of the statements and information set forth in paragraphs A(l) and A(2)
Revenue Code of 1986; or (ii) it is an orga	either: (i) it is not an organization described in section 501(c)(4) of the Internal anization described in section 501(c)(4) of the Internal Revenue Code of 1986 n "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act
substance to paragraphs A(l) through A(4	icant, the Disclosing Party must obtain certifications equal in form and above from all subcontractors before it awards any subcontract and the ubcontractors' certifications for the duration of the Matter and must make such ity upon request.
B. CERTIFICATION REGARDING EQ	UAL EMPLOYMENT OPPORTUNITY
	ederal regulations require the Applicant and all proposed subcontractors to eir bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant? [] Yes [] No	
If "Yes," answer the three questions below	w:
1. Have you developed and do you have (See 41 CFR Part 60-2.) [] Yes [] No	on file affirmative action programs pursuant to applicable federal regulations?
Programs, or the Equal Employment Opportunity	ng Committee, the Director of the Office of Federal Contract Compliance or tunity Commission all reports due under the applicable filing requirements?  Reports not required
3. Have you participated in any previous clause?  [] Yes  1] No	contracts or subcontracts subject to the equal opportunity

If you checked "No" to question ( .1) or (2) above, please provide an explanation:

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#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

'I ne Disclosing Parly understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Mattel-, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. 'The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.citvofchicago.ore/Elhics <a href="http://www.citvofchicago.ore/Elhics">http://www.citvofchicago.ore/Elhics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Parly must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Parly's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City (o verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Page .11 oft 4 CERTIFICATION Under penult)-' ol"perjury, the. person signing below: (1) warrants that he/she is authorized to execute this EDS. an:] Appendices A. arid B (ii'applicable), on behalf of the Disclosing .Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the dale furnished to the City,

Atwater Save-lt-All J06th Street Self-Sioraue t -LC (Print or type exact J&glif.-name of Disclosing Party)

(Sign heje)

(Print or type name of person siening)

(Print orfype title of person signing)

Signed and sworn to before me on  $(date)_{\perp} IpC \blacksquare fe>_{(},$  at

/"

CftplA County, ]£, (state).

'IZ^d&J. {a

BfIENOA HERNANDEZ \ \i^<mK\>> OFFICIAL SEAL

Cnnvnh'ion exr>ir»-  $^{T.r-i}$  7,,  $^{C.}$  ;  $^{C.}$  ;  $^{C.}$  ;  $^{C.}$ 

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#### / ECONOMIC DISCLOSURE STATEMENT AND

**AFFIDAVIT** 

#### APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS A ND D EPARTM ENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this FIDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section JJ.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Parly is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a.7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

_	7 7	•	1 X 7 7 3 T	
	1 Y	AC .	X1 No	
		Co		

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship. N/A

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

ownership interest in the A	pplicant.	
1. Pursuant to MCC Sectional landlord pursuant to MCC Section 1.	· ·	Applicant or any Owner identified as a building code scofflaw or problem
, [ ] Yes	pq "No	
11	V 1	ded on any exchange, is any officer or director of the Applicant identified pursuant to MCC Section . 2-92-416?
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
• ` ' ` '	•	ow the name of each person or legal entity identified as a building code each building or buildings to which the pertinent code violations apply.
N/A		

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