



# Office of the City Clerk

City Hall  
121 N. LaSalle St.  
Room 107  
Chicago, IL 60602  
www.chicityclerk.com

## Legislation Details (With Text)

**File #:** O2019-2578  
**Type:** Ordinance                                         **Status:** Passed  
**File created:** 3/13/2019                                 **In control:** City Council  
**Final action:** 4/10/2019

**Title:** Redevelopment agreement with Ogden Washtenaw JV LLC for grant and expenditure of Neighborhoods Opportunity Funds at 2632 W Ogden Ave, 2638 W Ogden Ave and 2646 W Ogden Ave

**Sponsors:** Emanuel, Rahm

**Indexes:** Neighborhoods Opportunity Fund, Redevelopment

**Attachments:** 1. O2019-2578.pdf

Date	Ver.	Action By	Action	Result
4/10/2019	1	City Council	Passed	Pass
4/9/2019	1	Committee on Budget and Government Operations	Recommended to Pass	
3/13/2019	1	City Council	Referred	

OFFICE OF THE MAYOR  
CITY OF CHICAGO

RAHM EMANUEL  
MAYOR

March 13.2019

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY  
OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the execution of redevelopment agreements involving expenditure of Neighborhoods Opportunity Funds.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

### ORDINANCE

WHEREAS, the City of Chicago (the "City") is a home rule unit of government under Article VII, Section 6(a) of the Constitution of the State of Illinois, and as such may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City is authorized under its home rule powers to regulate the use and development of land; and

WHEREAS, Chapter 16-14-010 et seq. of the Municipal Code of Chicago, as amended (the "Code") contains the Neighborhoods Opportunity Fund Ordinance (the "NOF Ordinance") establishing the Neighborhoods Opportunity Fund (the "NOF"); and

WHEREAS, the purpose of the NOF is to (a) promote growth within the downtown area through the floor area bonus provisions of Section 17-4-1000 of the Code, and simultaneously generate new revenues for investment in business development and job growth in neighborhoods impacted by poverty, high unemployment, and other indicators of economic deprivation; (b) strengthen neighborhood commercial corridors in qualified investment areas; and (c) address the decline of private investment in qualified investment areas that damages the City's overall economic competitiveness, impedes the sustainable and equitable development of the City as a whole, contributes to inequality and poverty, and has a detrimental effect on the City's quality of life; and

WHEREAS, the NOF shall be used for projects located in or directly benefiting qualified investment areas, as indicated on a map published by the Commissioner (the "Commissioner") of the Department of Planning and Development ("DPD") and updated at least once every five years; and

WHEREAS, the authorized uses of the NOF are for eligible costs (as defined in the NOF Ordinance) related to (a) commercial establishments that provide, on a permanent or short term (pop up) basis, goods and services which complement and revitalize the areas in which they are located, and which may include, without limitation, grocery stores, retail establishments, and restaurants that sell food primarily for consumption on premises; (b) cultural establishments that provide, on a permanent or short term (pop up) basis, recreational and educational opportunities which complement and revitalize the areas in which they are located; and (c) incubation, mentoring, and training of small businesses that otherwise qualify as authorized uses under (a) or (b) above; and

WHEREAS, DPD has determined that the applicant named in Exhibit A attached hereto (the "Grantee") meets the requirements of the NOF Ordinance and is eligible to receive a NOF grant for the project described in Exhibit A, in the amount and under the terms and conditions set forth in Exhibit A (the "Grant"); and

WHEREAS, DPD has recommended that the City Council of the City approve the Grant to the Grantee, now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:


SECTION 1. The above recitals are expressly incorporated in and made a part of this ordinance as though fully set forth herein.

SECTION 2. The Commissioner and a designee of the Commissioner (collectively, the "Authorized Officer") are each hereby authorized, subject to approval by the Corporation Counsel, to enter into and execute a redevelopment agreement with the Grantee and to execute such agreements and instruments, and perform any and all acts as shall be necessary or advisable in connection with the implementation of the Grant. The execution of such agreements and instruments and the performance of such acts shall be conclusive evidence of such approval. The Authorized Officer is hereby authorized, subject to the approval of the Corporation Counsel, to negotiate any and all terms and provisions in connection with the Grant which do not substantially modify the terms described in Exhibit A hereto. Upon the execution and receipt of proper documentation, the Authorized Officer is hereby authorized to disburse the proceeds of the Grant to the Grantee.

SECTION 3. NOF proceeds in the amount set forth in Exhibit A are hereby appropriated for the purposes described herein.

SECTION 4. To the extent that any ordinance, resolution, rule, order or provision of the Code, or part thereof, is in conflict with the provisions of this ordinance, the provisions of this ordinance shall control. If any section, paragraph, clause or provision of this ordinance shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any other provisions of this ordinance.

SECTION 5. This ordinance shall be in full force and effect from and after the date of its passage and approval.

Exhibit A  
Attached  
  
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QSSSSS333BE&1

NOF CONDITIONAL AWARD LETTER

March 7, 2019 Jeff Head  
Ogden Washtenaw JV LLC 350 West  
Hubbard Street Chicago, Illinois  
60654

Dear Mr. Head,

Congratulations, your application for Mayor Emanuel's Neighborhood Opportunity Fund ("NOF") has been conditionally approved for up to \$2,500,000 in NOF Grant assistance, subject to City Council approval and execution of a Redevelopment Agreement ("RDA") with the City of Chicago (the "City"), through the Department of Planning and Development ("DPD").

This letter outlines the general terms and conditions of the approval. Your application was selected and approved based on its proposed Project Plan and Project Scope, which are described below. If any changes are made to either the Project Plan or Project Scope, you must inform DPD in advance of proceeding. Any changes without DPD's prior written approval may result in forfeiture of the NOF Grant.

**Property Address:**

2632 West Ogden Avenue, Chicago, Illinois 60608 (PIN 16-24-213-012-0000) 2638  
West Ogden Avenue, Chicago, Illinois 60608 (PIN 16-24-213-011-0000) 2646 West  
Ogden Avenue, Chicago, Illinois 60608 (PIN 16-24-213-010-0000)

**Project Plan and Project Scope:**

The Project represents phase one of a multi-phase redevelopment program designed to bring new economic development and housing to the North Lawndale Community. Phase I will include approximately 52,000 square feet of office and retail space. The NOF grant will be utilized towards the core and shell and tenant improvements associated with retail tenants, who include Steak & Shake, Ja'Grill and a third retail tenant, yet to be identified. The office space tenants will be Mt. Sinai Hospital and Cinespace Studios.

**Estimated Project Costs:**

**Project Sources and Uses Table**

<b>Anticipated Project Costs</b>		<b>Anticipated Sources of Funds</b>	
Hard Costs	\$17,765,655	Equity	\$912,566
Soft Costs	\$3,372,571	Debt	\$10,400,000
Acquisition Costs	\$600,000	NOF Grant	\$2,500,000
Other Costs	\$0	Qualified Opportunity Zone	\$7,925,660
<b>Total</b>	<b>\$21,738,226</b>	<b>Total</b>	<b>\$21,738,226</b>

**Payment of NOF Grant:**

The NOF Grant will be reimbursed to the Grantee following the completion of the project and the issuance of a Certificate of Completion by DPD.

**NOF Covenants:**

1. Operations and Occupancy Covenants. The Grantee shall continuously own, occupy, and operate the entirety of the Project for three years following the issuance of the Certificate of Completion. If the Grantee fails to satisfy these requirements, the City shall have the right to cease NOF payments or terminate the RDA. The City may also have the right to place a lien on the subject property.

2. Annual Compliance Report. The Grantee shall be required to provide an Annual Compliance Report. The compliance monitoring period will extend at least 3 years from the issuance of the Certificate of Completion for the Project. Requirements for the Annual Compliance Reports will be detailed in the RDA.

NOF Grant Award Conditions:

*Disbursement of the NOF Grant funds is subject to the following conditions, which will be further detailed in the RDA.*

1. Closing. Prior to closing, the Grantee must satisfy certain conditions before the City will execute and deliver the RDA, unless such conditions are waived in writing by the City. Grantee shall close on the RDA within 90 days of Council authorization.
2. Certificate of Completion. Grantees are to complete construction expeditiously following the execution of the RDA. A Certificate of Completion must be issued prior to the second anniversary of the issuance of this NOF Conditional Award Letter, or the Project will be considered in default and the NOF Grant may be forfeited and the RDA may be terminated. \
3. Project Budget. Increases to project costs will be your sole responsibility and will not necessitate an increase in the approved NOF Grant amount.
4. Project Financing. Proof of project financing, evidencing that all available sources of funds outside of the NOF Grant amount are secured and available, must be submitted to DPD by February 19, 2019.
5. Documentation. All eligible project costs must be appropriately documented. Documentation includes, but is not limited to, detailed invoices, cancelled checks, sworn owner's statement, sworn statement of contractor and subcontractor to owner and final lien waivers.
6. Permits. All permits which are required by the City's Municipal Code must be obtained in order for that work and its corresponding costs to be considered eligible for NOF assistance.
7. Business Licenses. All necessary business licenses must be obtained by the business prior to the NOF Grant being fully disbursed.
8. MBE/WBE Requirements. The Grantee must meet at least 26% MBE/ 6%WBE participation for the Project's direct and indirect costs of construction. Prior to the closing date, the Grantee, general contractor and all major subcontractors shall be required to meet with the monitoring staff of DPD with regard to the Grantee's plan to achieve its obligations as established in the RDA and as mandated by City Council ordinance and DPD policy.
9. City Residency/Prevailing Wage Requirements. City residents must perform 50% of all construction hours. Grantee is subject to the City's prevailing wage requirement for all construction trades, as established by the Illinois Department of Labor.
10. Permitted Uses. The Grantee understands that the permitted uses of property and improvements are only as allowed by the Redevelopment Agreement and the applicable zoning restrictions.
11. Limitations on Other City Funds. NOF funds may not be combined with other City funds, including but not limited to a TIF redevelopment agreement, the Small Business Improvement Fund or the Adopt-a-Landmark Fund.
12. Build Community Wealth Bonus. The amount of the Build Community Wealth Bonus is capped at 15% of your total eligible project costs for the support of locally-based business and property owners or for creating employment opportunities in areas in need of new jobs.

Once more, we wish to extend congratulations to you for being selected for Mayor Emanuel's NOF program. We look forward to supporting you in your efforts to revitalize our City's neighborhoods. Any questions regarding the NOF requirements and procedures can be addressed to Robert Bumpers at (312) 744-0107, [Robert.Bumpers@cityofclibKO.org](mailto:Robert.Bumpers@cityofclibKO.org) <<mailto:Robert.Bumpers@cityofclibKO.org>>

Sincerely,

David Reifman Commissioner  
Department of Planning and Development

Date

On behalf of Ogden Washtenaw JV LLC ("Grantee"), we accept the above terms and conditions of the NOF

Grant offered by the City of Chicago. \, f

Jeff Head

City of Chicago  
Department of Planning and Development Attention: Robert Bumpers 121 North LaSalle Street, RM 1006 Chicago, IL 60602

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CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d^/a/ if applicable: OGDEN  
COMMONS JV LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [^] the Applicant

OR

2. j^j a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: ogden washtenaw jv llc \_\_

3. a legal entity with a direct or indirect right of control of the Applicant (see<sup>1</sup> Section 11(B)(1))

State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 350 west hubbard street suite soo  
CHICAGO, IL 60654

C. Telephone: 012)527-5400 Fax: (312)527-7440 Email: jheadi@habiiat.com  
<mailto:jheadi@habiiat.com>

D. Name of contact person: Jeff Head

E. Federal Employer Identification No. (if you have one), \_\_ \_

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property,

if applicable):

Applicant is seeking Neighborhood Opportunity Zono Kinds to assist with the development of a mixed use project at West Ogden Ave. and Washtenaw Ave . Chicago

G. Which City agency or department is requesting this EDS? Department of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification <sup>N/A</sup> and Contract// .

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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Partyj\_ Person  
Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust

Limited liability company Limited liability partnership Joint venture  
Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))? No  
Yes

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

DELAWARE

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes  No  [Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title  
HABITAT OGDEN COMMONS LLC Managing Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Habitat Ogden Commons LLC	350 W. Hubbard St., Ste. 500, Chicago, IL 60654	50%
Ogden Commons MSH LLC	1500 S. California Ave., Chicago, IL 60608	20%
Lawndale Ogden Development LLC	2621 W. 15th Place, Chicago, IL 60608	30%

**SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Q Yes [^]No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes [^]No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Q Yes g]No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).

**SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose

employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
----------------------------------------------------------------	------------------	----------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------

(Add sheets if necessary)

**y/ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.**

**SECTION V - CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

QYes  No  QNo person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

QYes  No

**B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or

other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;...
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively

"Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City of any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. . If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

### C. CERTIFICATION OF "STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[is  [7] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes  No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay, any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

If you checked "No" to question (1) or (2) above, please provide an explanation:

- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1 -23 and Section 2-154-020.

**CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this

EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(see opposite)

(Print or type exact legal name of Disclosing Party)

By: \_\_\_\_\_  
(Sign here)

OGDEN COMMONS JV LLC

By: Habitat Ogden Commons LLC, its Managing Member By: Habitat Acquisitions Company LLC, its Manager

By: The Habitat Company LLC, its Manager

By:  
Name: Matthew G. Fiascone Its: President  
(Print or type title of person signing)

-2.

Signed and sworn to before me on (date) 15 - J> - / 9

at Cook

| bounty, Illinois (state).  
Or-FOAL SEAL M DRWIEGA no " ary PUBLIC ■ STATE OF ILUNOIS m COMMISSION EXPIRES:07/30/19

Notary Pupli Commission expires

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS , AND  
DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section TLB. La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| | Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct

ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

J The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX C**

**PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.amleual.com](http://www.amleual.com) <<http://www.amleual.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City

of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[y^]N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1),

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: OGDEN  
WASHTENAW JV LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant

OR

2.  a legal entity currently holding, or anticipated to hold within six months after City action on

2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the

2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal

2. name:

OR

3.  a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 350 west hubbard street suite soo

CHICAGO, IL 60654

C. Telephone: (312)527-5400 Fax: (312)527-7440 Email: [jhcadi@habitat.com](mailto:jhcadi@habitat.com)  
<<mailto:jhcadi@habitat.com>>

D. Name of contact person: Je<sup>ff</sup> He<sup>a</sup>d

E. Federal Employer Identification No. (if you have one):

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Applicant is seeking Neighborhood Opportunity Zone funds to assist with the development of a mixed use project of West Ogden Ave and Washtenaw Ave . Chicago

G. Which City agency or department is requesting this EDS? Department of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification U<sup>N/A</sup> \_ and Contract //

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- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

**Z**

Person

Publicly registered business corporation

Privately held business corporation

Sole proprietorship

General partnership

Limited partnership

Trust

Indicate the nature of the Disclosing Party

Limited liability company Limited liability partnership Joint venture



rrs-

Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))? No

Yes

[ ] Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

DELAWARE

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[2 Yes

[Q Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Ogden Commons JV LLC

Title

Managing Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name

Ogden Commons JV LLC

Business Address

350 W Hubbard St Ste 500 Chicago, IL 60654 100%

Percentage Interest in the Applicant

**SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Q|Yes [j/]No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Q] Yes / No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

No

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have, a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes /

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

**SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
----------------------------------------------------------------	------------------	----------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------

SEE EXHIBIT "A" ATTACHED HERETO AND MADE A PART HEREOF

(Add sheets if necessary)

**iQ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes  No  I [No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

QYes QNo

**B. FURTHER CERTIFICATIONS,**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged

guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party; .
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
  - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
  - d. violated the provisions referenced in MCC.Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5) (Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article. I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.
11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with

"N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None  
is

/

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The

Disclosing Party certifies that the Disclosing Party (check one)

is not

a "financial institution" as defined in MCC Section 2-32-455(b). 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

/"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

| | Yes

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Q Yes | /1 No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### 11 CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

h7] 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I 12. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act



of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

**B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY**

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

/ Yes

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

/ Yes

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

If you checked "No" to question (1) or (2) above, please provide an explanation:

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**- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION**

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

*D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS. f*

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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**CERTIFICATION**

(see opposite)

(Print or type exact legal name of Disclosing Party)

By: \_\_\_\_\_ ]  
(Sign here)

(Print or type name of person signing)

OGDEN WASHTENAW JV LLC

By: Ogden Commons JV LLV, its Managing Member

By: Habitat Ogden Commons LLC, its Managing Member By: Habitat Acquisitions Company LLC, its Manager By: The Habitat

Company LLC, its Manager

By:

Name: Matthew G. Fiascone Its: President

(Print or type title of person signing)

Signed and sworn to before me on (date)

at

Cook

*H*

Notary Public! Commission expires:

OFFICIAL SEAL M DRWIEGA NOTARY PUBLIC • STATE OF ILLINOIS MVCOMMISSION EXPIRES 07/30/19

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND  
DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Q Yes [ /i No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX B

BUILDING CODE SCOFFLAW PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.am <http://www.am> legal .com](http://www.amlegal.com)), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the

Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

EXHIBIT "A"

City of Chicago Economic Disclosure and Affidavit Ogden Washtenaw JV LLC SECTION IV:  
DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
Applegate & Thorne-Thomsen (retained)	425 S Financial Place Suite 1900 Chicago, IL 60605	Attorney	\$100,000 (estimated)

(3)

CITY OF CHICAGO ECONOMIC DISCLOSURE

STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

HABITAT OGDEN COMMONS LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant  
OR

2.  a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: ogden washtenaw jv llc \_\_\_

3.  a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 350 west hubbard street suite soo

CHICAGO. IL 60654

C. Telephone: (312)527-5400 Fax: 012)527-7440 Email: jheadi @habitat com

D. Name of contact person: Jeff Head

E. Federal Employer Identification No. (if you have one):

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Applicant is seeking Neighborhood Opportunity Zone funds to assist with the development of a mixed use project at West Ogden Ave and Washtenaw Ave , Chicago

G. Which City agency or department is requesting this EDS? Department of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

•Specification H \_\_\_\_\_ and Contract //

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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party: Person

Publicly registered business corporation Privately held business corporation Sole proprietorship General

partnership Limited partnership Trust

Limited liability company Limited liability partnership Joint venture

Not-for-profit corporation

Duration also a 501(c)(3)? No

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes

No

Organized in Illinois

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Habitat Acquisitions Company LLC Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest, of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Habitat Acquisitions Company LLC	350 West Hubbard St., Ste 500, Chicago, IL 60654 45%	



**SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?  Yes /  No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?  Yes /  No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes  No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

**SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)  
Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  
Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

/ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

## SECTION V- CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person , who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes [7]No

### B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this HDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government..
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise: or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5) (Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None  
is

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The

Disclosing Party certifies that the Disclosing Party (check one)

is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

/ No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

/ No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

\_ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the

## Q

Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law. a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Yes / No

Is the Disclosing Party the Applicant?

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

If you checked "No" to question (1) or (2) above, please provide an explanation:

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#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.



B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies, at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article 1 (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1 -23 and Section 2-154-020.

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### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(see opposite)

HABITAT OGDEN COMMONS LLC

(Print or type exact legal name of Disclosing Party)

By: Habitat Acquisitions Company LLC, its Manager

By: The Habitat Company LLC, its Manager

Name: Matthew G. Fiascone Its: President

## Notary Public

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this RDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section TLB. La., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Q Yes O<sup>N0</sup>

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

J<sup>^</sup>Yes

[ZH<sup>^0</sup>

I [The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.amlcaal.com](http://www.amlcaal.com) <<http://www.amlcaal.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

(4)

CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: HABITAT  
ACQUISITIONS COMPANY LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: 1.  the  
Applicant OR

2.  a legal entity currently holding, or anticipated to hold within six months after City action on the contract,  
transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect  
interest in excess of 7.5% in the Applicant. State the Applicant's legal name: ogden washtenaw jv llc

~OR~

State the

3.  a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1))  
legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 350 west hubbard street suite sop  
CHICAGO, IL 60654

C. Telephone: 012)527-5400 fax: 012) 527-7440 Email: jheadi@habiiat.com  
<mailto:jheadi@habiiat.com>

D. Name of contact person: J<sup>ff</sup> H<sup>ead</sup>

E. Federal Employer Identification No. (if you have one).

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Applicant is seeking Neighborhood Opportunity Zone funds to assist with the development of a mixed use project at West Ogden Ave. and Washtenaw Ave.. Chicago

G. Which City agency or department is requesting this EDS? Department of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_ \_ and Contract if \_

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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party: Person

Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust

Limited liability company Limited liability partnership Joint venture

Not-for-profit corporation

Is the corporation also a 501(c)(3)? No

Yes

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes

No

Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

The Habitat Company LLC Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the
------	------------------	----------------------------

**Applicant**

The Habitat Company LLC 350 W. Hubbard St, Ste. 500, Chicago, IL 60654 45%

**SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?  Yes /  No

No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?  Yes /  No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?  Yes /  No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

**SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable, response.
----------------------------------------------------------------	------------------	----------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------

(Add sheets if necessary)

y/ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

QYes  No

person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

QYes [7]

**B. FURTHER CERTIFICATIONS**



1. [This paragraph I applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

.2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 1.1(B)(1) of this KDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of

Subcontractors and Other Retained Parties");

- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially, the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5) (Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to

commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is  / is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Q Yes

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Q Yes [ ] No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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**E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS**

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

y\_J\_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

**SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS**

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

**A. CERTIFICATION REGARDING LOBBYING**

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the

Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Vcr.2018-

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(e)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

**B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY**

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes  No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

regulations? (See 41 CFR Part 60-2.)

Yes |  No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes  Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes  No

If you checked "No" to question (1) or (2) above, please provide an explanation:

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this HDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this HDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(see opposite) HABITAT ACQUISITIONS COMPANY LLC  
(Print or type exact legal name of Disclosing Party)

(Print or type title of person signing)  
By: The Habitat Company LLC, its Manager

OFFICIAL LORIFCHACOS SEAL  
NOTARY PUBLIC STATE OF ILLINOIS MY  
COMMISSION EXPIRES:01/23/21

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND  
DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.



Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party; if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means/the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

i

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT  
APPENDIX C**

**PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.amlegal.com](http://www.amlegal.com) <<http://www.amlegal.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

QYes

QNo

| [N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

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(5)

CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

THE HABITAT COMPANY LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant  
OR

2.  a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: ogden washtenaw jv llc  
OR

3.  a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 350 w. hubbard st ste soo  
CHICAGO, IL 60654

C. Telephone: (312)527-5400 Fax: (312)994-783a Email: JtHEADi@HABiTAT.coM  
<mailto:JtHEADi@HABiTAT.coM>

D. Name of contact person: J ^F2! \_\_\_\_\_

E. Federal Employer Identification No. (if you have one):

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if

applicable):

Applicant is seeking Neighbhood Gpconjniiy Zo^e funds to assist with the development of i rr xed -se p^;;ect al West Ogden A^.-) ;jnd Wcs^e'iov,- Ar.^, Chcsco

G. Which City agency or department is requesting this EDS? Department of Planning & Development \_

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification ?/ N/A and Contract if

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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party Person

Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust

Limited liability company Limited liability partnership Joint venture Not-for-profit corporation

Yes

' (please speedy)

Duration also a 501(c)(3)? No

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

J Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

SEE EXHIBIT "A" ATTACHED HERETO AND MADE A PART HEREOF

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Page 2 of 15

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Daniel E Levin	.150 West Hubbard St., Ste. 500, Chicago, IL 60654	

**SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? QjYes J No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? | | Yes J No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

No

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

QYes [7

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

**SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees

paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether "hourly rate" or "t.b.d." is not an acceptable response.)
----------------------------------------------------------------	------------------	----------------------------------------------------------------------------	----------------------------------------------------------------------------------

SEE ATTACHED EXHIBIT "B"

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V -- CERTIFICATIONS

**SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes  No | [No person directly or indirectly owns 10% or more of the Disclosing Party.]

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes [7]

**B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking

tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity (that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity);
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this HDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5) (Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article 1 applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such



contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

/

The Disclosing Party certifies that the Disclosing Party (check one)  
is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D: CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes [ / ]

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes [ / ] No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

P;ige8o!"15

### CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

If you checked "No" to question (1) or (2) above, please provide an explanation:

## SECTION VII \_ FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/ethics](http://www.cityofchicago.org/ethics) <<http://www.cityofchicago.org/ethics>>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

**CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

THE HABITAT COMPANY LLC

(Print or type exact legal name of Disclosing Party)

(Sign here)

MATTHEW G FIASCONE

(Print or type name of person signing)

PRESIDENT

(Print or type title of person signing)

Notary Public

*Signed and sworn to before me on (date) \^l<U.UiK^X^%*

Gf-HCIAL SEAL LORI FCHACOS NOTARY PUBLIC - STATE OF ILUNOIS MY COMMISSION EXPIRES:01/23/21

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND  
DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership

interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this F,DS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

QYes QNo

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes                       No                      j [The Applicant is not publicly traded on any exchange.]

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.amlogal.com](http://www.amlogal.com) generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.



This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

Page 15 of 15

EXHIBIT "A"

City of Chicago Economic Disclosure and Affidavit The Habitat Company LLC  
SECTION II: DISCLOSURE OF OWNERSHIP INTERESTS

B. 1(i) all executive officers and all directors of the entity

B. 1 (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

DANIEL E. LEVIN,	CHAIRMAN / MANAGER
MATTHEW G. FIASCONE	PRESIDENT / MANAGER
STEPHEN F. GALLER	EXECUTIVE VICE PRESIDENT / GENERAL COUNSEL / MANAGER
SHEILA BYRNE	EXECUTIVE VICE PRESIDENT / PROPERTY MANAGEMENT / MANAGER
THOMAS BLACK	SENIOR VICE PRESIDENT, ARCHITECT & DIRECTOR OF PROJECT MANAGEMENT
MICHAEL CARSON	SENIOR VICE PRESIDENT / CHIEF INFORMATION OFFICER
CYNTHIA DIETZ	SENIOR VICE PRESIDENT / CORPORATE CONTROLLER
CHARLTON HAMER	SENIOR VICE PRESIDENT / PUBLIC HOUSING PORTFOLIO

BRYAN SULLIVAN	SENIOR VICE PRESIDENT / ACQUISITIONS & INVESTMENTS
LORI CHACOS	VICE PRESIDENT / ASSISTANT GENERAL COUNSEL
SHYRLDEN CARMICHAEL	VICE PRESIDENT / BUSINESS OPERATIONS
JEFFREY D. HEAD	VICE PRESIDENT / COMMUNITY DEVELOPMENT GROUP
LORI FLASKA	VICE PRESIDENT / HUMAN RESOURCES
GIN A FORTUNE-HARMON	VICE PRESIDENT / MARKET RATE
MAUREEN VAUGHN	VICE PRESIDENT / MARKETING & COMMUNICATIONS
HAGOP (JACK) DEVEDJIAN	VICE PRESIDENT / FACILITIES MANAGEMENT AND ENGINEERING
CHRISTINE FRIEND	VICE PRESIDENT / CONDOMINIUM MANAGEMENT

EXHIBIT "B"

City of Chicago Economic Disclosure and Affidavit The Habitat Company LLC SECTION IV:  
DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
RubinBrown LLP (anticipated to be retained)	4709 W. Golf Rd. Skokie, IL 60076	Accountant	\$25,000 (estimated)
IRR Chicago (retained)	400 E. Randolph St. Chicago, IL 60601	Appraisal	\$20,000 (estimated)
Solomon Cordwell Buenz (retained)	625 N. Michigan Ave. Chicago IL 60601	Architect	\$725,000 (estimated)
Chico & Nunes (retained)	333 W. Wacker Dr., Ste. 1420 Chicago, IL 60606	Attorney	\$100,000 (estimated) \$10,000 (paid)
DLA Piper (retained)	444 W. Lake St. Chicago, IL 60606	Attorney	\$100,000 (paid)
Neal and Leroy, LLC (retained)	120 N. LaSalle, Ste. 2600 Chicago, IL 60602	Attorney	\$125,000 (estimated) \$110,000 (paid)
Baker Tilly (retained)	205 N. Michigan Ave. Chicago, IL 60601	Market Study	\$10,000 (paid)
TERRA Engineering Ltd. <sup>1</sup> (retained)	225 W. Ohio St., 4 <sup>th</sup> Floor Chicago, IL 60654	Survey, Civil Engineering	\$50,000 (estimated)
Sam Schwartz Engineering (retained)	303 W. Eric St. Chicago, IL 60654	Traffic Study	\$ 10,000 (estimated)
CohnReznick (retained)	500 E. Pratt St., 4 <sup>th</sup> Floor Baltimore, MD 21202	Accountant	\$25,000 (estimated)

James McHugh Construction Co. (anticipated to be retained)	1737 S. Michigan Ave. Chicago, IL 60616	General Contractor	\$14,000,000 (estimated)
McLaurin Development Partners (anticipated to be retained)	120 N. Racine Ave., 2 <sup>nd</sup> FL Chicago, IL 60607	Retail Leasing Brokerage	\$300,000 (estimated)
Carnow, Conibear & Assoc., Ltd. (retained)	600 W. Van Buren St., Ste. 500 Chicago, IL 60607	Environmental	\$50,000 (estimated) \$21,260 (paid)
Terracon Consultants Inc. (retained)	650 W. Lake St, Ste. 420 Chicago, IL 60661	Environmental	\$55,000.00 (estimated) \$35,884.80 (paid)

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT**

**SECTION I » GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:  
OGDEN COMMONS MSH LLC

**Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant  
OR

2.  a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: ogden washtenaw jv llc

or indirect right of control of the Applicant (see Section 11(B)(1)) which the Disclosing Party holds a right of control:

B. Business address of the Disclo

Chicago, IL 60608

C. Telephone: (773)257-5011

D. Name Of Contact person: Jesse I. Green

n No. (if you have one):

to which this EDS pertains. (Include project number and location of

Applicant is seeking Neighborhood Opportunity Zone funds to assist with the development of a mixed use project at West Ogden Ave. and Washtenaw Ave., Chicago

G. Which City agency or/department is requesting this EDS? Department of Planning & Development

and Contract # \_\_\_\_\_ handled by the City's Department of Procurement Services, please  
If the Matter is a contract being complete  
the following:

Page 1 of 15

Specification # • \_\_\_

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SECTION II -

- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

company partnership

Limited liability Limited liability Joint venture

Yes

Not-for-profit corporation the not-for-profit corporation also a 501(c)(3)?

[ ] Other (please specify)

[ ]<sup>No</sup>

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

J Organized in Illi

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

**officers and all directors of which are legal entities (if there 'iii) for trusts, estates or other party; (iv) for general or partnerships or joint ventures, or legal entity that directly or**

1. List below the full names and titles, if applicable, of: (i) all executive the entity; (ii) for not-for-profit corporations, all members, if any, are no such members, write "no members which are legal entities"); similar entities, the trustee, executor, administrator, or similarly situated limited partnerships, limited liability companies, limited liability each general partner, managing member, manager or any other person indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its ow

Name

Loren Chandler

Title

Manager/President/Treasure

Vice President/Secretary

Vice President

or legal entity having a direct or ) beneficial interest (including interest include shares in a of a member or manager in a

2, Please provide the following information concerning each person indirect, current or prospective (i.e. within 6 months after City actor ownership) in excess of 7.5% of the Applicant. Examples of such ar corporation, partnership interest in a partnership or joint venture, interest

Page 2 of 15

limited liability company, or inte est of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Each legal slow may be required to submit an EDS on its own behalf. ;s Address entity listed b Name Busine Mount Percentage Interest in the Applicant o 1500 S. California Ave., Chicago, IL 60608 Sinai Hospital Medical Center of Chica 20%

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provide 12-month period preceding the d< Does the Disclosing Party reason elected official during the 12-moi If "yes" to either of the above, pie describe such income or compens

Does any City elected official or, inquiry, any City elected official' Chapter 2-156 of the Municipal ( to the best of the Disclosing Party's knowledge after reasonable ; spouse or domestic partner, have a financial interest (as defined in lode of Chicago ("MCC")) in the Disclosing Party? ; name(s) of such City elected official(s) and/or spouse(s)/domestic ial interest(s).

QYes £]N If "yes," please identify below th( partner(s) and describe the financ

**SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity retained or whom the Disclosing Party has or expects to retain in connection with the Matter, as well as the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Business retained or anticipated Address to be retained)

NONE

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

**Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.**

**SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrears on any child support obligations by any Illinois court of competent jurisdiction?

Yes  No  No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes  No

Handled by the City's Department of EDS, neither the Disclosing Party, in connection with the monitor, independent private sector or entity with legal, auditing, help the agency monitor the their business practices so they a contract in progress).

**B. FURTHER-CERTIFICATIONS**

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dial  
to foim

1. [This paragraph 1 applies only if the Matter is a contract being Procurement Services.] In the 5-year period preceding the date of Party nor any Affiliated Entity [see definition in (5) below] has engaged performance of any public

contract, the services of an integrity inspector general, or integrity compliance consultant (i.e., an individual with investigative, or other similar skills, designated by a public agency activity of specified agency vendors as well as help the vendors who can be considered for agency contracts in the future, or continue with the

in the payment of any fine, fee, including, but not limited to, water and sewer taxes, nor is the Disclosing Party subject to the Department of Revenue.

2. The Disclosing Party and its Affiliated Entities are not delinquent in any tax or other source of indebtedness owed to the City of Chicago, and sewer charges, license fees, parking tickets, property taxes and Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Page 4 of 15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any federal, state or local unit of government;

b. have not, during the 5 years before adjudged guilty, or had a civil judgment attempting to obtain, or performed a public transaction; a violation of bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. on or after the date of this EDS, been convicted of a criminal offense, or a judgment rendered against them in connection with: obtaining, performing, or attempting to obtain, a public (federal, state or local) transaction or contract under a federal or state antitrust statute; fraud; embezzlement; theft; forgery; falsification of records; making false statements; or receiving stolen property;

d. are not presently indicted for, or have not been criminally or civilly charged by, a governmental entity (federal, state or local) with committing an offense set forth in subparagraph (b) above;

e. have not, during the 5 years before the date of this EDS, had one or more public transactions terminated for cause or default; and

f. have not, during the 5 years before the date of this EDS, been liable in a civil proceeding, or in environmental violations, instituted by any local government.

g. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a criminal or civil action, including actions concerning the environment, by the City or by the federal government, any state, or any other governmental entity.

4. The Disclosing Party understands Chapters 2-56 (Inspector General) and shall comply with the applicable requirements of MCC and 2-156 (Governmental Ethics).

and shall comply with the applicable requirements of MCC and 2-156 (Governmental Ethics).

any contractor or subcontractor used by the Disclosing Party in including but not limited to all persons or legal entities disclosed (if Subcontractors and Other Retained Parties"); meaning a person or entity that, directly or indirectly: controls the Disclosing Party, or is, with the Disclosing Party, under the control of (person or entity). Indicia of control include, without limitation: ownership; identity of interests among family members, shared common use of employees; or organization of a business entity following

i to do business with federal or state or local government, substantially the same management, ownership, or principals as the 'another to Contractors, the term Affiliated Entity means a person or entity controls the Contractor, is controlled by it, or, with the Contractor, is person or entity; Disclosing Party, any Contractor or any Affiliated Entity or any e of the Disclosing Party, any Contractor or any Affiliated Entity, or authorization of a responsible official of the Disclosing Party, i Entity (collectively "Agents").

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Entity of either the Disclosing the date of this EDS, or, with a Contractor during the 5 years engagement in connection with the cf

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Party or any Contractor, nor any Agents have, during the 5 years before respect to a Contractor, an Affiliated Entity, or an Affiliated Entity before the date of such Contractor's or Affiliated Entity's contract or Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged gui a public officer or employee of the City, the State of Illinois, or any or of any state or local government in the United States of America, official capacity; of bribery or attempting to bribe, igency of the federal government in that officer's or employee's
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a)] or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320( Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage a)(4)(Contracts Requiring a Base inance). , or any of their employees, of state or local government as a of 720 ILCS 5/33E-3; (2) of any state or of the United bid-rigging or bid-rotating.

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor officials, agents or partners, is barred from contracting with any unit result of engaging in or being convicted of (1) bid-rigging in violation bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense States of America that contains the same elements as the offense of a Sanctions List maintained by the successor federal agency.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on United States Department of Commerce, State, or Treasury, or any co Ar.pl <<http://Ar.pl>> ntrolling person" [see MCC icant is currently indicted or or placed under supervision for, bribery, theft, fraud, forgery, or any "sister agency"; and (ii) Article I is a continuing requirement I applies to the Applicant, that iance timeframes in this Section V.

C ty



8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any " Chapter 1-23, Article I for applicability and defined terms] of the charged with, or has admitted guilt of, or has ever been convicted of. any criminal offense involving actual, attempted, or conspiracy to ccjmmmit perjury, dishonesty of deceit against an officer or employee of the the Applicant understands and acknowledges that compliance with for doing business with the City. NOTE: If MCC Chapter 1-23, Artjcle Article's permanent compliance timeframe supersedes 5-year compl will not use, nor permit their by the U.S. EPA on the federal

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities subcontractors to use, any facility listed as having an active exclusion System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior writtep consent of the City, use any such

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contractor/subcontractor that doe: beli; not provide such certifications or that the Applicant has reason to t provide the Disclosing Party is una Certificatittruthful certifications. ble to certify to any of the above statements in this Part B (Further ty must explain below:

If the letters "NA," the word "Noi preste," or no response appears on the lines above, it will be conclusively y the best of the Disclosing I complete lcertified to the above statements. 'arty's knowledge after reasonable inquiry, preceding the date c of Chicago (if nothe following is a zees of the Disclosing Party who were, at any time during the 12-if this EDS, an employee, or elected or appointed official, of the City "N/A" or "none").

13. To the best of the Disclosing I con'arty's knowledge after reasonable inquiry, the following is a isclosing Party month period preceding th official, of has given or caused to be given, at any time during i execution date of this available to City e the course of offici:EDS, to an employee, or elected or appointed or purposes of this statement, a otherwise di "none"). As to any gift lis"gift" does not include: (i) anything employees or to the general public, or (ii) food or drink provided in 5 and having a retail value of less than \$25 per recipient, or (iii) a ily reported as required by law (if none, indicate with "N/A" or w, please also list the name of the City recipient.

C. CERTIFICATIGS AS FINANCIAL INSTITUTION :hat the Disclosing Party (check one) ned in MCC Section 2-32. The Disclosing Parpledges: i predatory lender as defined in MCC Chapter 2-32. We further s, and none of them wil y is not a "financialbecoming a predatory lender or becoming an affiliate of a loss of the privilege of doing business defi 2. If the Discl "We are not and wi pledge that none of MCC Chapter 2-32 predatory lender m Ver.2018-1

If the Disclosing Party is unable to make this pledge because it or ar y of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach

additional pages if necessary):  
ines above, it will be statements.

If the letters "NA," the word "None," or no response appears on the conclusively presumed that the Disclosing Party certified to the abo^e

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D

1. In accordance with MCC Section 2-156-110: To the best of the after reasonable inquiry, does any official or employee of the City her own name or in the name of any other person or entity in the Majtter?

No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) a!nd D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

permitted, no City elected lame or in the name of any to the City, or (ii) is sold for <|uit of the City (collectively, the City's eminent domain tjhis Part D.

2. Unless sold pursuant to a process of competitive bidding, or othelrwise official or employee shall have a financial interest in his or her own other person or entity in the purchase of any property that (i) belong; taxes or assessments, or (iii) is sold by virtue of legal process at the "City Property Sale"). Compensation for property taken pursuant to power does not constitute a financial interest within the meaning of

Does the Matter involve a City Property Sale?

Yes  No

addresses of the City officials the financial interest:

3. If you checked "Yes" to Item D(1), provide the names and business or employees having such financial interest and identify the nature o

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financia acquired by any City official or employee.

Page 8 of 15

NG SLAVERY ERA BUSINESS

Please check either (1) or (2) must disclose below or in an attach comply with these disclosure requ connection with the flatter voidable

>elow. If the Disclosing Party checks (2), the Disclosing Party ment to this EDS all information required by (2). Failure

to irements may make any contract entered into with the City in by the City.  
and  
the Disclosing Party from slavery or issued to slaveholders the Disclosing Party

verifies that the Disclosing Party has searched any and all records of ill predecessor entities regarding records of  
investments or profits slaveholder insurance policies during the slavery era (including insurance policies that provided  
coverage for damage to or injury or death of their slaves), and found no such records.  
has

1 1 2. The Disclosing Party verifies

Disclosing Party has found recorqqs policies. The Disclosing Party records, including the names of  
any;

that, as a result of conducting the search in step (1) above, the of investments or  
profits from slavery or slaveholder insurance ve|rifies that the following constitutes full  
disclosure of all such and all slaves or slaveholders described in those records:

SECTION VI » CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally federally funded, proceed to the City and proceeds of debt  
oblig

funded, complete this Section VI. If the Matter is not Section VII. For purposes of this Section VI, tax credits allocated  
by ations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of al Disclosure Act of 1995, as amendbd Party with respect to the Matter: (

persons or entities registered under the federal Lobbying  
, who have made lobbying contacts on behalf of the Disclosing \ddd sheets if necessary):  
(If no explanation appears or begi appear, it will be conclusively registered under the Lobbying Di< behalf of the  
Disclosing Party wit

*I is*

on the lines above, or if the letters "NA" or if the word "None" presumed that the Disclosing Party means that NO  
persons or entities closure Act of 1995, as amended, have made lobbying contacts on l respect to the Matter.)

2. The Disclosing Party has n any person or entity listed in para< person or entity to influence or a by applicable  
federal law, a me Vcr.2018-1

Dt spent and will not expend any federally appropriated funds to pay raph A(l) above for his or her lobbying activities or  
to pay any ttbmtpt to influence an officer or employee of any agency, as defined mfjer of Congress, an officer or employee  
of Congress, or an employee s Page 9 of 15  
funded contract, making any or to extend, continue, renew, agreement.

of a member of Congress, in connection with the award of any federally federally funded grant or loan, entering  
into any cooperative agreement amend, or modify any federally funded contract, grant, loan, or cooperative  
the end of each calendar quarter in he statements and information set

3. The Disclosing Party will submit an updated certification at which there occurs any event that materially affects the accuracy of forth in paragraphs A(1) and A(2) above. described in section described in section and will not engage in "Lobbying 1995, as amended.

4. The Disclosing Party certifies that either: (i) it is not an organization 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged Activities," as that term is defined in the Lobbying Disclosure Act o must obtain certifications equal in subcontractors before it awards contractors' certifications for the a /ailable to the City upon request.

5. If the Disclosing Party is the Applicant, the Disclosing Party form and substance to paragraphs A(1) through A(4) above from all any subcontract and the Disclosing Party must maintain all such sub duration of the Matter and must make such certifications promptly

**B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY**

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

QYes 0 No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[/]Yes \_\_\_<sup>No</sup>

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Comriission all reports due under the applicable filing requirements?

[J/] Yes, CZI^0 | jReports not required / Yes

3. Have you participated in any previous contracts or subcontracts s equal opportunity clause?

QNo

If you checked "No" to question (1) or (2) above, please provide an explanation

**SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION**

The Disclosing Party understands

A. The certifications, disclosures contract or other agreement procurement, City assistance, or of any contract or taking other it must comply with all statutes,

and acknowledgments contained in this EDS will become part of any between the Applicant and the City in connection with the Matter, whether other City action, and are material inducements to the City's execution action with respect to the Matter. The Disclosing Party understands that ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethical obligations on persons or entities of this ordinance and a training also be obtained from the City's (312)744-9660. The Disclosing

Ordinance, MCC Chapter 2-156, imposes certain duties and seeking City contracts, work, business, or transactions. The full text program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may be found at Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, party must comply fully with this ordinance.

C. If the City determines that any contract or other agreement is or voidable, and the City may void, at law, or in equity, in whole or in part, and/or declining to allow the law for a false statement of damages.

information provided in this EDS is false, incomplete or inaccurate, connection with which it is submitted may be rescinded or be void pursuant to any remedies under the contract or agreement (if not rescinded or void) terminating the Disclosing Party's participation in the Matter. Disclosing Party to participate in other City transactions. Remedies at material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make request. Some or all of the available on the Internet, in completing and signing this EDS. claims which it may have against contained in this EDS and also in this EDS.

This document available to the public on its Internet site and/or upon information provided in, and appended to, this EDS may be made publicly to a Freedom of Information Act request, or otherwise. By the Disclosing Party waives and releases any possible rights or the City in connection with the public release of information authorizes the City to verify the accuracy of any information submitted.

E. The information provided in Party must supplement this EDS contract being handled by the City. update this EDS as the contract 1-23, Article I (imposing information provided herein by MCC Chapter 1-23 and Section

01

EDS must be kept current. In the event of changes, the Disclosing Party to the time the City takes action on the Matter. If the Matter is a Department of Procurement Services, the Disclosing Party must require. NOTE: With respect to Matters subject to MCC Chapter PERMANENT INELIGIBILITY for certain specified offenses), the regarding eligibility must be kept current for a longer period, as required 2-154-020.

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and all applicable and complete as of the date furnished to the City.

he/she is authorized to execute Party, and (2) warrants that all Appendices, are true, accurate

Ogden Commons MSH LLC

(Print or type exact legal name of Disclosing Party) By:

(Sign here) Jim Bicak

(Print or type name of person signing) Vice President

(Print or type title of person signing)

Signed and sworn to before me on (date)

Commission expires: *1/1*

County, ^ l(lmDfS> (state).

**OFFICIAL SEAL RAQUEL ALCANTAR**

NOTARY PUBLIC. STATE OF ILLINOIS v ".ommission Expires Oct 23, 2019

CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND  
DEPARTMENT HEADS

This Appendix is to be completed direct ownership interest in the entity which has only an indirect

only by (a) the Applicant, and (b) any legal entity which has a Applicant exceeding 7.5%. It is not to be completed by any legal ownership interest in the Applicant.

Under MCC Section 2-154-01 or any "Applicable Party" or any relationship" with any elected cit) the date this EDS is signed, the D Partner thereof is related to the department head as spouse or adoption: parent, child, brother or father-in-law, mother-in-law, son stepdaughter, stepbrother or stepsiste

5, the Disclosing Party must disclose whether such Disclosing Party Spouse or Domestic Partner thereof currently has a "familial official or department head. A "familial relationship" exists if, as of sclosing Party or any "Applicable Party" or any Spouse or Domestic mayor, any alderman, the city clerk, the city treasurer or any city donjestic partner or as any of the following, whether by blood or sister, aunt or uncle, niece or nephew, grandparent, grandchild, in-law, daughter-in-law, stepfather or stepmother, stepson or r or half-brother or half-sister.

"Applicable Party" means (1) II.B.1.a., if the Disclosing Party ii Party is a general partnership; all Disclosing Party is a limited partnership Disclosing Party, if the Disclosing Disclosing Party; and (3) any pen on Party.

"Principal officers" means financial officer, treasurer or secretary

all executive officers of the Disclosing Party listed in Section a corporation; all partners of the Disclosing Party, if the Disclosing eneral partners and limited partners of the Disclosing Party, if the

; all managers, managing members and members of the Party is,a limited liability company; (2) all principal officers of the having more than a 7.5% ownership interest in the Disclosing (jhe president, chief operating officer, executive director, chief of a legal entity or any person exercising similar authority.

Does the Disclosing Party or currently have a "familial relation

ajny "Applicable Party" or any Spouse or Domestic Partner thereof hip" with an elected city official or department head?

Yes

If yes, please identify below ( which such person is connected; whom such person has a familial

) the name and title of such person, (2) the name of the legal entity to (3) the name and title of the elected city official or department head to relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

any legal entity which has a direct

This Appendix is to be completed only by (a) the Applicant, and (b) ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Officer identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Q Yes

2. If the Applicant is a legal entity publicly traded on any exchange the Applicant identified as a building code scofflaw or problem land 2-92-416?  
is any officer or director of record pursuant to MCC Section

| No      | ^ | The Applicant is not publicly traded on any exchange  
person or legal entity identified with each building or buildings to which

3. If yes to (1) or (2) above, please identify below the name of each as a building code scofflaw or problem landlord and the address of the pertinent code violations apply.



**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX C**

**E & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed defined in MCC Section 2-92-3ST generally covers a party to any consideration for services, work or (ii) pay the City money for a 1 City premises.

only by an Applicant that is completing this EDS as a "contractor" as . That section, which should be consulted ([www.amlegal.com](http://www.amlegal.com) <<http://www.amlegal.com>>), agreement pursuant to which they: (i) receive City of Chicago funds in goods provided (including for legal or other professional services), itense, grant or concession allowing them to conduct a business on

contractor pursuant to MCC Section 2-92-385,1 hereby certify that h MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening or salary history, or (ii) seeking job applicants' wage or salary

I also certify that the Applicant has adopted a policy that

On behalf of an Applicant that is the Applicant is in compliance wi job applicants based on their wage history from current or former employers includes those prohibitions.

L7]Yes QNo

[ |N/A -1 am not an Applicant ttat is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as thfe affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above,

CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party: MOUNT SINAI HOSPITAL MED

Party submitting this EDS. Include d/b/a/ if applicable: CAL CENTER OF CHICAGO

Check ONE of the following three boxes

Indicate whether the Disclosing Party submitting this EDS is

1.  the Applicant,  
holding, or anticipated to hold within six months after City action on Undertaking to which this EDS pertains  
(referred to below as the Applicant in excess of 7.5% in the Applicant. State the Applicant's legal

OR

2.  a legal entity currently the contract, transaction or other "Matter"), a direct or  
indirect interest name: ogden washtenaw jv llc

OR

3.  a legal entity with a State the legal name of the entity

or indirect right of control of the Applicant (see Section 11(B)(1)) in which the Disclosing Party holds a  
right of control:

B. Business address of the Disclosing Party:

Chicago, IL 60608

C. Telephone: (773)257-5011

D. Name of contact person: Jesse

E. Federal Employer Identification No. (if you have one):

to which this EDS pertains. (Include project number and location of

F. Brief description of the Matter property,  
if applicable):

Applicant is seeking Neighborhood Opportunity Zone funds to assist with the development of a mixed use project at West Ogden Ave. and Washtenaw Ave.. Chicago  
If the Matter is a contract being hired, complete the following:

Specification #

Ver.2018-1

G. Which City agency or department is requesting this EDS? Department of Planning & Development

handled by the City's Department of Procurement Services, please

and Contract #

Page 1 of 15

**SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

**A. NATURE OF THE DISCLOSING PARTY**

1. Indicate the nature of the Disclosing Party\_: Person  
Publicly registered business corporation Privately held business corporation Sole proprietorship General  
partnership Limited partnership Trust

Limited liability company Limited liability partnership Joint venture  
Not-for-profit corporation the not-for-profit  
corporation also a 501(c)(3)?  No

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business  
in the State of Illinois as a foreign entity?

Yes  No  [7] Organized in Illi lois

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

**officers and all directors of which are legal entities (if there (iii) for trusts, estates or other  
party; (iv) for general or partnerships or joint ventures, or legal entity that directly or**

1. List below the full names and titles, if applicable, of: (i) all executive the entity; (ii) for not-for-profit  
corporations, all members, if any, are no such members, write "no members which are legal entities"); similar  
entities, the trustee, executor, administrator, or similarly situated limited partnerships, limited liability  
companies, limited liability each general partner, managing member, manager or any other persoh indirectly  
controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

See Exhibit "A"

Sinai Health System

1

Sole Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect,  
current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess  
of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a  
partnership or joint venture, interest of a member or manager in a

Page 2 of 15

limited liability company, or interstate "None." NOTE: Each legal entity listed b Name Busine Sinai Health System 1500 S. Ca

est of a beneficiary of a trust, estate or other similar entity. If none, slow may be required to submit an EDS on its own behalf. ;s Address Percentage Interest in the Applicant ifomia Ave., Chicago, IL 60608 20%

SECTION III -- INCOME OR OFFICIALS Has the Disclosing Party provide 12-month period preceding the d< Does the Disclosing Party reason elected official during the 12-moi If "yes" to either of the above, pie describe such income or compens

COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED i any income or compensation to any City elected official during the te of this EDS? 0Yes [7]No ibly expect to provide any income or compensation to any City ith period following the date of this EDS? | | Yes ^ No ase identify below the name (s) of such City elected official(s) and ation:

Does any City elected official or, inquiry, any City elected official<sup>1</sup> Chapter 2-156 of the Municipal C Yes [ / ]<sup>N</sup> If "yes," please identify below the partner(s) and describe the financ

to the best of the Disclosing Party's knowledge after reasonable ; spouse or domestic partner, have a financial interest (as defined in !ode of Chicago ("MCC")) in the Disclosing Party? ) name(s) of such City elected official(s) and/or spouse(s)/domestic ial interest(s).

11 V / 111 Y - It IIIIV/II vj ivr The Disclosing Party must disclo: lobbyist (as defined in MCC Cha] whom the Disclosing Party has re the nature ofthe relationship, and Disclosing Party is not required t ( Party's regular payroll. If the Dis Section, the Disclosing Party mus disclosure. Vcr.2018-1

,e the name and business address of each subcontractor, attorney, )ter 2 -156), accountant, consultant and any other person or entity tained or expects to retain in connection with the Matter, as well as the total amount of the fees paid or estimated to be paid. The disclose employees who are paid solely through the Disclosing closing Party is uncertain whether a disclosure is required under this t either ask the City whether disclosure is required or make the Page 3 of 15

j Name (indicate whether retained or anticipated to be retained)

NONE Business Relationship to Disclosing Address (subcontractor, attorney, lobbyist, etc.) Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

|| Check here if the Disclosing Party has not retained, nor expects t(f) retain, any such persons or

entities. SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

QYes QNo [ ]No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

by the City's Department of EDS, neither the Disclosing in connection with the , independent private sector entity with legal, auditing, help the agency monitor the their business practices so they a contract in progress).

QYes QNo

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled Procurement Services.] In the 5-year period preceding the date of this Party nor any Affiliated Entity [see definition in (5) below] has engaged performance of any public contract, the services of an integrity monitor inspector general, or integrity compliance consultant (i.e., an individual investigative, or other similar skills, designated by a public agency to activity of specified agency vendors as well as help the vendors reform can be considered for agency contracts in the future, or continue with

2. The Disclosing Party and its Affiliated Entities are not delinquent tax or other source of indebtedness owed to the City of Chicago and sewer charges, license fees, parking tickets, property taxes and said Party delinquent in the payment of any tax administered by the Illinois

Page 4 of 15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

- ded, proposed for debarment, declared ineligible or voluntarily any federal, state or local unit of government; b. have not, during the 5 years adjudged guilty, or had a civil judgment attempting to obtain, or performing public transaction; a violation of bribery; falsification or destruction

before the date of this EDS, been convicted of a criminal offense, judgment rendered against them in connection with: obtaining, on

a public (federal, state or local) transaction or contract under a federal or state antitrust statutes; fraud; embezzlement;

theft; forgery; of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, state or local) with committing or criminally or civilly charged by, a governmental entity (federal, of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years (federal, state or local) terminated

before the date of this EDS, had one or more public transactions for cause or default; and

- e. have not, during the 5 years before liable in a civil proceeding, or in environmental violations, institut unit of local government.

the date of this EDS, been convicted, adjudged guilty, or found any criminal or civil action, including actions concerning jd by the City or by the federal government, any state, or any other

nds and shall comply with the applicable requirements of MCC and 2-156 (Governmental Ethics).

; iny contractor or subcontractor used by the Disclosing Party in ir eluding but not limited to all persons or legal entities disclosed of Subcontractors and Other Retained Parties"); meaning a person or entity that, directly or indirectly: controls the by the Disclosing Party, or is, with the Disclosing Party, under pejrson or entity). Indicia of control include, without limitation: rship; identity of interests among family members, shared common use of employees; or organization of a business entity following

entity to do business with federal or state or local government, subs antially the same management, ownership, or principals as the

to Contractors, the term Affiliated Entity means a person or entity ols the Contractor, is controlled by it, or, with the Contractor, is er person or entity;

the  
10 1

Disclosing Party, any Contractor or any Affiliated Entity or any ee of the Disclosing Party, any Contractor or any Affiliated Entity, or authorization of a responsible official of the Disclosing Party, d Entity (collectively "Agents").

Page 5 of 15

Zntity of either the Disclosing re the date of this EDS, or, with a Contractor during the 5 years sngagement in connection with the

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Party or any Contractor, nor any Agents have, during the 5 years bef{) respect to a Contractor, an Affiliated Entity, or an Affiliated Entity o before the date of such Contractor's or Affiliated Entity's contract or Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty) a public officer or employee of the City, the State of Illinois, or any < or of any state or local government in the United States of America official capacity; of bribery or attempting to bribe, gency of the federal government that officer's or employee's a party to any such agreement, bidders or prospective bidders, or otherwise; or

- b. agreed or colluded with other bidders or prospective bidders, or been or been convicted or adjudged guilty of agreement or collusion amo ig in restraint of freedom of competition by agreement to bid a fixed pn ce

- c. made an admission of such conduct described in subparagraph (a record, but have not been prosecuted for such conduct; or

(4)(Contracts Requiring a Base Ordinance).

d. violated the provisions referenced in MCC Subsection 2-92-320( Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage , or any of their employees, Df state or local government as a of720TLCS5/33E-3; (2) of any state or of the United -rigging or bid-rotating.

hid

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, officials, agents or partners, is barred from contracting with any unit result of engaging in or being convicted of (1) bid-rigging in violatioin bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense States of America that contains the same elements as the offense of a Sanctions List maintained by the successor federal agency.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on United States Department of Commerce, State, or Treasury, or any

"ccn trolling person" [see MCC nt is currently indicted or or placed under supervision for, bribery, theft, fraud, forgery, or any "sister agency"; and (ii) rticle I continuing requirement Article I applies to the Applicant, that i ince timeframes in this Section V.

Ci :y

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any " Chapter 1-23, Article I for applicability and defined terms] of the Apblica: charged with, or has admitted guilt of, or has ever been convicted of, any criminal offense involving actual, attempted, or conspiracy to cofrimit perjury, dishonesty or deceit against an officer or employee of the

pplicant understands and acknowledges that compliance with for doing business with the City. NOTE: If MCC Chapter 1-23, Article's permanent compliance timeframe supersedes 5-year compl

will not use, nor permit their by the U.S. EPA on the federal

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities subcontractors to use, any facility listed as having an active exclusion System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in foim and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2018-1 F;me6ol'15

contractor/subcontractor that doe bc; not provide such certifications or that the Applicant has reason to t

11. If the Disclosing Party is urn Cprovide truthful certifications. ble to certify to any of the above statements in this Part B (Further ty must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a list of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a list of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, to an employee, or elected or appointed or purposes political contribution otherwise described in this statement, a "gift" does not include: (i) anything given to the general public, or (ii) food or drink provided in 5 and having a retail value of less than \$25 per recipient, or (iii) a gift reported as required by law (if none, indicate with "N/A" or w, please also list the name of the City recipient.

C. CERTIFICATION AS FINANCIAL INSTITUTION that the Disclosing Party (check one) needed in MCC Section 2-32. The Disclosing Party pledges: predatory lender as defined in MCC Chapter 2-32. We further certify that becoming a predatory lender or becoming an affiliate of a loss of the institution" as defined in MCC Chapter 2-32. We further certify that none of our affiliates is a predatory lender as defined in MCC Chapter 2-32. We further certify that none of our affiliates is a predatory lender as defined in MCC Chapter 2-32. We further certify that none of our affiliates is a predatory lender as defined in MCC Chapter 2-32.

Page 7 of 15

of its affiliates (as defined in of MCC Chapter 2-32, explain If the Disclosing Party is unable to make this pledge because it or any MCC Section 2-32-455(b)) is a predatory lender within the meaning here (attach additional pages if necessary): If the letters "NA," the word "None," or no response appears on the conclusively presumed that the Disclosing Party certified to the above

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City her own name or in the name of any other person or entity in the Matter?

1. In accordance with MCC Section 2-156-110: To the best of the after reasonable inquiry, does any official or employee of the City her own name or in the name of any other person or entity in the Matter?

QYes



NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

this

Does the Matter involve a City Property Sale?  
addresses of the City officials the financial interest:

Yes  No

3. If you checked "Yes" to Item D(1), provide the names and business or employees having such financial interest and identify the nature of

of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial acquired by any City official or employee.

Page 8 of 15

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) must disclose below or in an attach comply with these disclosure re connection with the Matter voidable

Below. If the Disclosing Party checks (2), the Disclosing Party must to this EDS all information required by (2). Failure to comply may make any contract entered into with the City in by the City.  
ded

that the Disclosing Party has searched any and all records of all predecessor entities regarding records of investments or profits policies during the slavery era (including insurance policies coverage for damage to or injury or death of their slaves), and such records.

Disclosing Party has found records policies. The Disclosing Party records, including the names of

I 1 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the  
ally

of investments or profits from slavery or slaveholder insurance verifies that the following constitutes full disclosure of all such and all slaves or slaveholders described in those records:

#### NOTES FOR FEDERALLY FUNDED MATTERS

**NOTE. If the Matter is federal**



negotiations.  
/ No

Is the Disclosing Party the Applicant?  Yes

If "Yes," answer the three questions below:  
Yes

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

QNo

)f the Office of Federal Contract all reports due under the

2. Have you filed with the Joint Reporting Committee, the Director Compliance Programs, or the Equal Employment Opportunity Corrmiiission applicable filing requirements?

[/ ] Yes CZI^0 [ [Reports not required  
Yes

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

~ QNo  
/

If you checked "No" to question (1) or (2) above, please provide an explanation

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands

A. The certifications, disclosures contract or other agreement between procurement, City assistance, or of any contract or taking other action it must comply with all statutes,  
crd

and acknowledgments contained in this EDS will become part of any the Applicant and the City in connection with the Matter, whether rjther City action, and are material inducements to the City's execution with respect to the Matter. The Disclosing Party understands that inances, and regulations on which this EDS is based.  
i:s

Ordinance, MCC Chapter 2-156, imposes certain duties and seeking City contracts, work, business, or transactions. The full text m is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may B|oard of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, arty must comply fully with this ordinance.

C. If the City determines that an any contract or other agreement iiti or voidable, and the City may purs void), at law, or in equity, includi and/or declining to allow the Disdlosing law for a false statement of mater damages.

information provided in this EDS is false, incomplete or inaccurate, connection with which it is submitted may be rescinded or be void ue any remedies under the contract or agreement (if not rescinded or ng terminating the Disclosing Party's participation in the Matter

Party to participate in other City transactions. Remedies at al fact may include incarceration and an

award to the City of treble

D. It is the City's policy to make request. Some or all of the information available on the Internet, in response to completing and signing this EDS, claims which it may have against contained in this EDS and also authorizes in this EDS.

This document available to the public on its Internet site and/or upon provided in, and appended to, this EDS may be made publicly to a Freedom of Information Act request, or otherwise. By the Disclosing Party waives and releases any possible rights or the City in connection with the public release of information the City to verify the accuracy of any information submitted this

up  
E. The information provided in Party must supplement this EDS contract being handled by the City update this EDS as the contract requires 1-23, Article I (imposing PERMANENT information provided herein regard by MCC Chapter 1-23 and Section

EDS must be kept current. In the event of changes, the Disclosing Party to the time the City takes action on the Matter. If the Matter is a 's Department of Procurement Services, the Disclosing Party must NOTE: With respect to Matters subject to MCC Chapter INELIGIBILITY for certain specified offenses), the information eligibility must be kept current for a longer period, as required 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and all applicable and complete information as of the date furnished to the City.

he/she is authorized to execute Party, and (2) warrants that all applicable Appendices, are true, accurate

Mount Sinai Hospital Medical Center of Chicago  
(Print or type exact legal name of Disclosing Party)

Airica Steed  
(Print or type name of person signing) EVP/COO  
(Print or type title of person signing)

Signed and sworn to before me on (date) 11/11/2019, by SAM ARELLANO, Secretary of the City of Chicago

**at PNC, .com, .nyc, .nyc**

Commission expires: 11/11/2020

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND  
DEPARTMENT HEADS**

**This Appendix is to be completed direct ownership interest in the entity which has only an indirect**

**only by (a) the Applicant, and (b) any legal entity which has a Applicant exceeding 7.5%. It is not to be completed by any legal ownership interest in the Applicant.**

-015

ity

Under MCC Section 2-154 or any "Applicable Party" or any relationship" with any elected ci the date this EDS is signed, the Partner thereof is related to the department head as spouse or dorhestic adoption: parent, child, brother oi father-in-law, mother-in-law, son stepdaughter, stepbrother or stepsister

, the Disclosing Party must disclose whether such Disclosing Party Spouse or Domestic Partner thereof currently has a "familial official or department head. A "familial relationship" exists if, as of Disclosing Party or any "Applicable Party" or any Spouse or Domestic m lyor, any alderman, the city clerk, the city treasurer or any city partner or as any of the following, whether by blood or sister, aunt or uncle, niece or nephew, grandparent, grandchild, in-law, daughter-in-law, stepfather or stepmother, stepson or or half-brother or half-sister.

"Applicable Party" means (1) I.I.B.l.a., if the Disclosing Party i\$ Party is a general partnership; all Disclosing Party is a limited partnership Disclosing Party, if the Disclosing Disclosing Party; and (3) any person Party. "Principal officers" means financial officer, treasurer or

ill executive officers of the Disclosing Party listed in Section a corporation; all partners of the Disclosing Party, if the Disclosing general partners and limited partners of the Disclosing Party, if the ; all managers, managing members and members of the Party is a limited liability company; (2) all principal officers of the having more than a 7.5% ownership interest in the Disclosing he president, chief operating officer, executive director, chief secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or currently have a "familial

ajny "Applicable Party" or any Spouse or Domestic Partner thereof relationship" with an elected city official or department head?

Yes

If yes, please identify below (

) the name and title of such person, (2) the name of the legal entity to whom such person has a familial relationship; (3) the name and title of the elected city official or department head to whom such person is connected; and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) ownership interest in the Applicant exceeding 7.5% (an "Owner"). ] legal entity which has only an indirect ownership interest in the Applicant. any legal entity which has a direct is not to be completed by any Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

| | Yes

2. If the Applicant is a legal entity publicly traded on any exchange the Applicant identified as a building code scofflaw or problem land 2-92-416?  
is any officer or director of ord pursuant to MCC Section

J The Applicant is not publicly traded on any exchange.  
person or legal entity identified each building or buildings to which

3. If yes to (1) or (2) above, please identify below the name of each as a building code scofflaw or problem landlord and the address of the pertinent code violations apply.

Page 14 of 15

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX C**

**PROHIBITION ON WACJE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed defined in MCC Section 2-92-385 generally covers a party to any consideration for services, work or (ii) pay the City money for a 1 City premises.

only by an Applicant that is completing this EDS as a "contractor" as . That section, which should be consulted (www.amlegal.com <<http://www.amlegal.com>>), agreement pursuant to which they: (i) receive City of Chicago funds in goods provided (including for legal or other professional services), ibense, grant or concession allowing them to conduct a business on

a contractor pursuant to MCC Section 2-92-385,1 hereby certify that th MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening or salary history, or (ii) seeking job applicants' wage or salary oyers. I also certify that the Applicant has adopted a policy that  
rmp!

On behalf of an Applicant that is the Applicant is in compliance w job applicants based on their wagje history from current or former e includes those prohibitions.

[/]Yes

QNo

| [N/A -I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as trie affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above] please explain.

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!

Attachment A  
SECTION II - DISCLOSURE Part B - Supplement

Name

Karen Teitelbaum Airica Steed Rachel Dvorken Debra Wesley Robert Markin Laurie Hernandez Albert Grace Roxanne Decyk

Title

President & Chief Executive Officer Executive Vice President/Chief Operating Officer Executive Vice President, General Counsel Executive Vice President/President of Sinai Community Institute Board Directors Officer Board Directors Officer Board Directors Officer Board Directors Officer

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT**

**SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing SINAI HEALTH SYSTEM

Check ONE of the following three boxes

Indicate whether the Disclosing Party submitting this EDS is

1.  the Applicant

holding, or anticipated to hold within six months after City action on undertaking to which this EDS pertains (referred to below as the in excess of 7.5% in the Applicant. State the Applicant's legal

OR

2.  a legal entity currently the contract, transaction or other "Matter"), a direct or indirect interest name: ogden washtenaw jv llc

OR



3. || a legal entity with a di State the legal name of the entity

ect or indirect right of control of the Applicant (see Section 11(B)(1)) in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 1500 s. California Ave.

Chicago, IL 60608

C. Telephone: (773)257-5011

D. Name of contact person: <sup>v. Green</sup> -lesse

E. Federal Employer Identification No. (if you have one):

to which this EDS pertains. (Include project number and location of

Applicant is seeking Neighborhood Opportunity Zone fu ids to assist with the development of a mixed use project at West Ogden Ave and Washtenaw Ave . Chicago

G. Which City agency or departnjient is requesting this EDS? Department of Planning & Development

indled by the City's Department of Procurement Services, please

and Contract #

If the Matter is a contract being h complete

the following:

Page 1 of 15

Specification #

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- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

Person

Publicly registered business corporation

Privately held business corporation

Sole proprietorship

General partnership

Limited partnership

Trust

/ TTS-

Soration also a 501(c)(3))? Mo

[ ] Other (please specify)

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[ ] Yes                      [ ] No                      [X] Organized in Illinois

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

See Exhibit "A"

No Members which are legal entities or legal entity having a direct or ) beneficial interest (including interest include shares in a of a member or manager in a

2. Please provide the following information concerning each person indirect, current or prospective (i.e. within 6 months after City action ownership) in excess of 7.5% of the Applicant. Examples of such an corporation, partnership interest in a partnership or joint venture, interest

limited liability company, or inte est of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Each legal ilow may be required to submit an EDS on its own behalf. ;s Address entity listed b ^ame Busine Percentage Interest in the Applicant None

**SECTION III -- INCOME OR ; COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS** Has the Disclosing 1 any income or compensation to any City elected official during the te Party provide 12-month period of this EDS? [HYes / No ibly expect to preceding the d; Does the provide any income or compensation to any City )th period following Disclosing Party reason elected the date of this EDS? [ ] Yes / No ase identify below the name official during the 12-mot If "yes" (s) of such City elected official(s) and ation: to either of the above, pie describe such income or compens

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable ; inquiry, any City elected official' spouse or domestic partner, have a financial interest (as defined in !ode Chapter 2-156 of the Municipal C of Chicago ("MCC")) in the Disclosing Party? : name(s) of such City elected official(s) and/or spouse(s)/domestic ial interest(s).  
QYes [ / ]N If "yes," please identify below th< partner(s) and describe the financ

**SECTION IV » DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity retained or whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose the nature of the relationship, and amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose the names of employees who are paid solely through the Disclosing Party is Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 15

Name (indicate whether retained or anticipated to be retained)  
Business Relationship to Disclosing Address (subcontractor, attorney, lobbyist, etc.)  
Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.  
NONE

(Add sheets if necessary)

| |Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities must remain in compliance with their child support obligations throughout the term of the obligation.

Disclosing Party been declared in contempt of court in any competent jurisdiction?

Has any person who directly or indirectly owns 10% or more of the arrearage on any child support obligations by any Illinois court of law?

QYes QNo [ / ]N No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

QYes QNo

**B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).  
in the payment of any fine, fee, or penalty, but not limited to, water or sewer taxes, nor is the Disclosing Department of Revenue.

2. The Disclosing Party and its Affiliated Entities are not delinquent tax or other source of indebtedness owed to the City of Chicago, including and sewer charges, license fees, parking tickets, property taxes and the Disclosing Party delinquent in the payment of any tax administered by the Illinois

Page 4 of 15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, or excluded from any transactions with

any federal, state or local unit of government;  
b. have not, during the 5 years immediately preceding the date of this EDS, been adjudged guilty, or had a civil proceeding pending, or performed a public transaction; a violation of bribery; falsification or destruction of records; making false statements; or receiving stolen property;

before the date of this EDS, been convicted of a criminal offense, judgment rendered against them in connection with: obtaining, performing a public (federal, state or local) transaction or contract under a federal or state antitrust statutes; fraud; embezzlement; theft; forgery; falsification of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years immediately preceding the date of this EDS, been terminated

before the date of this EDS, had one or more public transactions for cause or default; and

e. have not, during the 5 years immediately preceding the date of this EDS, been found liable in a civil proceeding, or in environmental violations, by any federal, state or local government.

before the date of this EDS, been convicted, adjudged guilty, or found liable in any criminal or civil action, including actions concerning the performance of a contract entered into by the City or by the federal government, any state, or any other governmental entity.

4. The Disclosing Party Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

understands and shall comply with the applicable requirements of MCC ) and 2-156 (Governmental Ethics).

another

tie

ated

any contractor or subcontractor used by the Disclosing Party in including but not limited to all persons or legal entities disclosed of Subcontractors and Other Retained Parties"); meaning a person or entity that, directly or indirectly: controls the by the Disclosing Party, or is, with the Disclosing Party, under person or entity). Indicia of control include, without limitation: wnership; identity of interests among family members, shared common use of employees; or organization of a business entity following ;ntity to do business with federal or state or local government, antially the same management, ownership, or principals as the to Contractors, the term Affiliated Entity means a person or entity controls the Contractor, is controlled by it, or, with the Contractor, is person or entity; Disclosing Party, any Contractor or any Affiliated Entity or any ee of the Disclosing Party, any Contractor or any Affiliated Entity, or authorization of a responsible official of the Disclosing Party, Entity (collectively "Agents").

Page 5 of 15

ntity of either the Disclosing

the date of this EDS, or, with f a Contractor during the 5 years engagement in connection with the

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Party or any Contractor, nor any Agents have, during the 5 years befbre respect to a Contractor, an Affiliated Entity, or an Affiliated Entity o before the date of such Contractor's or Affiliated Entity's contract or Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty a public officer or employee of the City, the State of Illinois, or any or of any state or local government in the United States of America, official capacity;

of bribery or attempting to bribe, gency of the federal government that officer's or employee's

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion amo ig bidders or prospective bidders, in restraint of freedom of Competition by agreement to bid a fixed pr ce or otherwise; or

c. made an admission of such conduct described in subparagraph (a record, but have not been prosecuted for such conduct; or

)(4)(Contracts Requiring a Base Ordinance).

d. violated the provisions referenced in MCC Subsection 2-92-320( Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage

Contractor, or any of their employees, of state or local government as a of 720 ILCS 5/33E-3; (2) of any state or of the United rigging or bid-rotating.

offense

tid-

6. Neither the Disclosing Party, nor any Affiliated Entity or officials, agents or partners, is barred from contracting with any unit result of engaging in or being convicted of (1) bid-rigging in violatioh bid-rotating in violation of 720 ELCS 5/33E-4; or (3) any similar States of America that contains the same elements as the offense of

7. Neither the Disclosing Party nor any Affiliated Entity is listed on United States Department of Commerce, State, or Treasury, or any

ntrolling person" [see MCC

ant is currently indicted or or placed under supervision for, bribery, theft, fraud, forgery, or any "sister agency";

and (ii) I is a continuing requirement ;le I applies to the Applicant, that ince timeframes in this Section V.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "cc Chapter 1-23, Article I for applicability and defined terms] of the Applic charged with, or has admitted guilt of, or has ever been convicted of, any criminal offense involving actual, attempted, or conspiracy to cohimit perjury, dishonesty or deceit against an officer or employee of the Ci :y the Applicant understands and acknowledges that compliance with Article for doing business with the City. NOTE: If MCC Chapter 1-23, Arti Article's permanent compliance timeframe supersedes 5-year compli

Entities will not use, nor permit their by the U.S. EPA on the federal

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated subcontractors to use, any facility listed as having an active exclusiorji System for Award Management ("SAM").  
contractors/subcontractors hired and substance to those in consent of the City, use any such

10. [FOR APPLICANT ONLY] The Applicant will obtain from any or to be hired in connection with the Matter certifications equal in form Certifications (2) and (9) above and will not, without the prior written

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contractor/subcontractor that does believe has not provided or canmpt

not provide such certifications or that the Applicant has reason to provide truthful certifications.

able to certify to any of the above statements in this Part B (Further

11. If the Disclosing Party is un  
Certifications), the Disclosing Pajrty must explain below:

**N/A**

Ifthe letters "NA," the word "No presumed that the Disclosing Party

ne," or no response appears on the lines above, it will be conclusively certified to the above statements.

12. To the best of the Disclosing complete list of all current employee month period preceding the date of Chicago (if none, indicate with

**N/A**

arty's knowledge after reasonable inquiry, the following is a

s of the Disclosing Party who were, at any time during the 12-)f this EDS, an employee, or elected or appointed official, of the City "N/A" or "none").

13. To the best of the Disclosing complete list of all gifts that the the 12-month period preceding official, of the City of Chicago, made generally available to City the course of official City business political contribution otherwise d "none").

As to any gift listed beld»w

**N/A**

arty's knowledge after reasonable inquiry, the following is a lj)isclosing Party has given or caused to be given, at any time during  
the

execution date of this EDS, to an employee, or elected or appointed for purposes of this statement, a "gift" does not include: (i) anything employees or to the general public, or (ii) food or drink provided in

and having a retail value of less than \$25 per recipient, or (iii) a ily reported as required by law (if none, indicate with "N/A" or , please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

that the Disclosing Party (check one)

ned in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a fir ancial institution, then the'Disclosing Party pledges:

"We are not and will not become l predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

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of its affiliates (as defined in 3f MCC Chapter 2-32, explain

If the Disclosing Party is unable to make this pledge because it or any MCC Section 2-32-455(b)) is a predatory lender within the meaning here (attach additional pages if necessary):

nes above, it will be statements.

Ifthe letters "NA," the word "None," or no response appears on the 1 conclusively presumed that the Disclosing Party certified to the abovte

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D. Disclosing Party's knowledge have a financial interest in his or

1. In accordance with MCC Section 2-156-110: To the best of the after reasonable inquiry, does any official or employee of the City her own name or in the name of any other person or entity in the Matlter?

QYes

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) ajid D(3). If you checked "No" to Item D (1), skip Items D(2) and D(3) and proceed to Part E.

permitted, no City elected rjame or in the name of any to the City, or (ii) is sold for of the City (collectively, he City's eminent domain Part D.

s jit i  
tns

2. Unless sold pursuant to a process of competitive bidding, or otherwise official or employee shall have a financial interest in his or her own other person or entity in the purchase of any property that (i) belongs taxes or assessments, or (iii) is sold by virtue of legal process at the "City Property Sale"). Compensation for property taken pursuant to power does not constitute a financial interest within the meaning of

Does the Matter involve a City Property Sale?

QYes [7]<sup>No</sup>

Nature  
Name

3. If you checked "Yes" to Item D(1), provide the names and busine or employees having such financial interest and identify the nature of

Business Address

;s addresses of the City officials the financial interest:

of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial acquired by any City official or employee.

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) must disclose below or in an attachment comply with these disclosure req connection with the Matter voidable

?elow. If the Disclosing Party checks (2), the Disclosing Party to this EDS all information required by (2). Failure to jirements may make any contract entered into with the City in by the City.

ver if i  
ced

es that the Disclosing Party has searched any and all records of all predecessor entities regarding records of investments or profits policies during the slavery era (including insurance policies coverage for damage to or injury or death of their slaves), and such records.

Disclosing Party has found policies. The Disclosing Party records, including the names of

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the records of investments or profits from slavery or slaveholder insurance verifies that the following constitutes full disclosure of all such and all slaves or slaveholders described in those records:

any

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS



NOTE: If the Matter is federally funded, proceed to Section the City and proceeds of debt obligation

funded, complete this Section VI. If the Matter is not VII. For purposes of this Section VI, tax credits allocated by the City are not federal funding.

#### A. CERTIFICATION REGARDING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins to appear, it will be conclusively presumed that the Disclosing Party will not obtain certifications equal in Subcontractors before it awards 'certifications for the available to the City upon request.

ns on the lines above, or if the letters "NA" or if the word "None" is entered, it is intended that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not authorized any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any amount to influence an officer or employee of any agency, as defined in 5 U.S.C. 2612, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federal grant or loan, entering into any cooperative agreement, to amend, or modify any federal contract, grant, loan, or cooperative

funded contract, making any agreement, or to extend, continue, renew, agreement. Page 9 of 15

of a member of Congress, in connection with the award of any federal grant or loan, entering into any cooperative agreement, to amend, or modify any federal contract, grant, loan, or cooperative

end of each calendar quarter in its statements and information set

3. The Disclosing Party will submit an updated certification at the time which there occurs any event that materially affects the accuracy of the information set forth in paragraphs A(1) and A(2) above. described in section and will not engage in "Lobbying 1995, as amended.

4. The Disclosing Party certifies that either: (i) it is not an organization 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended. The Disclosing Party must obtain certifications equal in Subcontractors before it awards 'certifications for the available to the City upon request.

5. If the Disclosing Party is the Applicant, the Disclosing Party must maintain all such subcontractors duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITIES

Applicant and all proposed subcontractors in writing at the outset of

If the Matter is federally funded, federal regulations require the subcontractors to submit the following information with their bids or negotiations.

Is the Disclosing Party the Applicant?  Yes  No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  Yes  No

If the Office of Federal Contract Administration all reports due under the  
Yes

2. Have you filed with the Joint Reporting Committee, the Director Compliance Programs, or the Equal Employment Opportunity Commission applicable filing requirements?

No  Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the

Yes

/

equal opportunity clause?

- No

If you checked "No" to question (1) or (2) above, please provide an explanation:

**SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION**

The Disclosing Party understands and agrees that:

A. The certifications, disclosure of contract or other agreement procurement, City assistance, or of any contract or taking other it must comply with all statutes,

, and acknowledgments contained in this EDS will become part of any between the Applicant and the City in connection with the Matter, whether other City action, and are material inducements to the City's execution action with respect to the Matter. The Disclosing Party understands that ordinances, and regulations on which this EDS is based.

B. The City's Governmental Eth obligations on persons or entities of this ordinance and a training also be obtained from the City's (312) 744-9660. The Disclosing

Ordinance, MCC Chapter 2-156, imposes certain duties and seeking City contracts, work, business, or transactions. The full text program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may if board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, Party must comply fully with this ordinance.

C. If the City determines that an any contract or other agreement is or voidable, and the City may pursue void), at law, or in equity, include: and/or declining to allow the law for a false statement of damages.

If information provided in this EDS is false, incomplete or inaccurate, connection with which it is submitted may be rescinded or be void any remedies under the contract or agreement (if not rescinded or terminating the Disclosing Party's participation in the Matter Disclosing Party to participate in other City transactions. Remedies at material fact may include incarceration and an award to the City of treble

D. It is the City's policy to make request. Some or all of the available on the Internet, in completing and signing

this EDS claims which it may have against contained in this EDS and also in this EDS.

this document available to the public on its Internet site and/or upon information provided in, and appended to, this EDS may be made publicly response to a Freedom of Information Act request, or otherwise. By the Disclosing Party waives and releases any possible rights or the City in connection with the public release of information authorizes the City to verify the accuracy of any information submitted

t us  
City  
E. The information provided in Party must supplement this EDS contract being handled by the update this EDS as the contract 1-23, Article I (imposing information provided herein rega by MCC Chapter 1-23 and Secti requi

EDS must be kept current. In the event of changes, the Disclosing jp to the time the City takes action on the Matter. If the Matter is a 's Department of Procurement Services, the Disclosing Party must ires. NOTE: With respect to Matters subject to MCC Chapter PERMANENT INELIGIBILITY for certain specified offenses), the cn  
ding eligibility must be kept current for a longer period, as required 2-154-020.

**CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that this EDS, and all applicable Appendices, on behalf of the Disclosing certifications and statements contained in this EDS, and ,all applicabl and complete as of the date furnished to the City.  
he/she is authorized to execute Party, and (2) warrants that all ; Appendices, are true, accurate

Sinai Health System  
(Print or type exayA legal name o^0isclosing Party)  
Airica Steed

(Print or type name of person signing) EVP/COO  
(Print or type title of person signing)  
NotaryvPublic  
Commission expires:

Signed and sworn to before me on (date) at M)f^ County, IUMLS-(^e).

**mwo**

OFFICIAL SEAL" ARELLANO lie. State of Illinois Expires 11/30/2020

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**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND  
DEPARTMENT HEADS**

**direct ownership interest in the**

**This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a  
Applicant exceeding 7.5%. It is not to be completed by any legal  
entity which has only an indirect ownership interest in the Applicant.**

city

Under MCC Section 2-154-0 or any "Applicable Party" or any relationship" with any elected the date this EDS is signed, the Partner thereof is related to the rr department head as spouse or adoption: parent, child, brother father-in-law, mother-in-law, son stepdaughter, stepbrother or steps ister

o

5, the Disclosing Party must disclose whether such Disclosing Party Spouse or Domestic Partner thereof currently has a "familial official or department head. A "familial relationship" exists if, as of Ejisclosing Party or any "Applicable Party" or any Spouse or Domestic ayor, any alderman, the city clerk, the city treasurer or any city dorfaestic partner or as any of the following, whether by blood or sister, aunt or uncle, niece or nephew, grandparent, grandchild, in-law, daughter-in-law, stepfather or stepmother, stepson or or half-brother

or half-sister.

"Applicable Party" means (1) I.B.l.a., if the Disclosing Party i Party is a general partnership; all Disclosing Party is a limited Disclosing Party, if the Disclosing Disclosing Party; and (3) any Party. "Principal officers" means financial officer, treasurer or

all executive officers of the Disclosing Party listed in Section a corporation; all partners of the Disclosing Party, if the Disclosing general partners and limited partners of the Disclosing Party, if the partnership; all managers, managing members and members of the

Party is a limited liability company; (2) all principal officers of the person having more than a 7.5% ownership interest in the Disclosing

the president, chief operating officer, executive director, chief secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or currently have a "familial relatiorslh

any "Applicable Party" or any Spouse or Domestic Partner thereof ip" with an elected city official or department head?

QYes

If yes, please identify below which such person is connected; whom such person has a familial the name and title of such person, (2) the name of the legal entity to 3) the name and title of the elected city official or department head to relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AffD  
AFFIDAVIT  
APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

any legal entity which has a direct ' is not to be completed by any icant.

This Appendix is to be completed only by (a) the Applicant, and (b) ownership interest in the Applicant exceeding 7.5% (an "Owner"). ] legal entity which has only an indirect ownership interest in the App

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owfrier identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

2. If the Applicant is a legal entity publicly traded on any exchange the Applicant identified as a building code scofflaw or problem land 2-92-416?

is any officer or director of ord pursuant to MCC Section

/ The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each as a building code scofflaw or problem landlord and the address of the pertinent code violations apply.  
person or legal entity identified <sup>1</sup> building or buildings to which

Page 14 of 15

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT  
APPENDIX C**

**E & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed defined in MCC Section 2-92-38 generally covers a party to any consideration for services, work o or (ii) pay the City money for a 1 City premises.

only by an Applicant that is completing this EDS as a "contractor" as . That section, which should be consulted (www.amlegal.com <<http://www.amlegal.com>>), agreement pursuant to which they: (i) receive City of Chicago funds in r goods provided (including for legal or other professional services), icense, grant or concession allowing them to conduct a business on

On behalf of an Applicant that is the Applicant is in compliance wi job applicants based on their wag^ history from current or former includes those prohibitions.

f/jYes

QNo

[ N/A -I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above,

Pane 15 of 15

Attachment A

SECTION II - DISCLOSURE Part B - Supplement

Name

Karen Teitelbaum Airica Steed Rachel Dvorken Debra Wesley Robert Markin Laurie Hernandez Albert Grace Roxanne Decyk

Title

President & Chief Executive Officer Executive Vice President/Chief Operating Officer Executive Vice President, General Counsel Executive Vice President/President of Sinai Community Institute\ Board Directors Officer Board Directors Officer Board Directors Officer Board Directors Officer

CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT

SECTION I - ■ GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

LAWNDALE OGDEN DEVELOPMENT LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant

OR

2.  a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: ogden Washtenaw jv llc

OR

3.  a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 2621 w. istn Place  
Chicago, IL 60608

C. Telephone: (773)521-8000 Fax: (773)527-9424 Email: alex@chicagofilmstudios.com

<mailto:alex@chicagofilmstudios.com>

D. Name of contact person: Alex S. Pissios

E. Federal Employer Identification No. (if you have one): ;

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Applicant is offering Neighborhood Opportunity Zone funds to assist with the development of a mixed use project at West Ogden Ave. and Washtenaw Ave.. Clickajo

G. Which City agency or department is requesting this EDS? Department of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification // N/A and Contract «'

**SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

**A. NATURE OF THE DISCLOSING PARTY**

1. Indicate the nature of the Disclosing Party ^ Person

Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust

Limited liability company Limited liability partnership Joint venture

Not-for-profit corporation the not-for-profit corporation also a 501(c)(3)? Yes [ ] No

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:



ILLINOIS

3. For legal entities not organized in the State of Illinois: Was the organization registered to do business in the State of Illinois as a foreign entity?

✓ Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a (trust, estate or other similar entity). If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Lawndale Real Estate LLC	2621 W. 15th Place. Chicago, IL 60608	30%

jringthe

12-month period preceding the date of this EDS? /es

**SECTION III INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period, following the date of this EDS? Yes

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period, following the date of this EDS? Yes

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Yes

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

**SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

<u>Name (indicate whether retained or anticipated to be retained)</u>	<u>Business Address (subcontractor, attorney, lobbyist, etc.)</u>	<u>Relationship to Disclosing Party</u>	<u>Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.</u>
-----------------------------------------------------------------------	-------------------------------------------------------------------	-----------------------------------------	------------------------------------------------------------------------------------------------------------------

*NWoVx su)&t r.l.hi yvJ^vgc Br, Sfe TOclvic^o zl (co<^>£=>*  
*%ko-^h- (VrgC^ fzs^wv^UA S;\*P£yV§^ \$ XO.OOCf*

(Add sheets if necessary)

**QJ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[Q] Yes    Q^No    Qj No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Q Yes 0^o

**B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

!n,\" : 4 of\" .15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect, to Contractors, the term Affiliated Entity means a person or entity that: directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

P;iec 5 of IS

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during die 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
  - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
  - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
  - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further

Certifications), the Disclosing Party must explain below:

m/A

;

r

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The

Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

! Ver.2<)IK-!

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach

additional pages if necessary):

-WA-

.. " - - -

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110; To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

QYes QJ<fo

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ f 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

J [ 2. The Disclosing Party verifies that, as a result of conducting the search in step (I) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party, with respect to the Matter: (Add sheets if necessary):

. djkd . \_\_\_\_\_ :

(If no explanation appears' or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed (hat the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A( I) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law. a member of Congress, an officer or employee, of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally



funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

If you checked "No" to question (1) or (2) above, please provide an explanation:

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St, Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract, or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department, of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect, to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS. and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(Print or type exact name of Disclosing Party)

(Sign here)

(Print or type name of person signing)

\_\_\_\_\_  
(Print or type title of person signing)

Notary Public

Signed and sworn to before me on (date) \_\_\_\_\_ at \_\_\_\_\_ County, \_\_\_\_\_.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND  
DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited

partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND  
AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
2. If the Applicant, is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT  
APPENDIX C**

**PROHIBITION ON WAGE & SALARY HISTORY SCREENING ■ CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ("[www.amlegal.com](http://www.amlegal.com)" generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers, I also certify that the Applicant has adopted a policy that includes those prohibitions.

Q Yes Q No

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

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CITY OF CHICAGO ECONOMIC  
DISCLOSURE STATEMENT AND  
AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant

OR

2.  a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal

name:

CKitfru

^teVMtAAsQjj

U\_\_

OR

3.  a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1))

State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: TJtflA. \j0 1^7^\* P(#<-^

C. Telephone: 773 ' f)U 'ffiPO Fax: -p\*yfo(- foW Email: a\\*H Q cWtOZp t\ fVl

D. Name of contact person: ^<P.)\_ ^- "j^jf^p^

S^d^ U>V^|

E. Federal Employer Identification No. (if you have one): \_

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

G. Which City agency or department is requesting this EDS? ( ) f ^)  
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_ and Contract #

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## SECTION II DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

#### 1. Indicate the nature of the Disclosing

- Person
  - Publicly registered business corporation
  - Privately held business corporation
  - Sole proprietorship
  - General partnership
  - Limited partnership
  - Trust
  
  - Limited liability company
  - Limited liability partnership
  - Joint venture
  - Not-for-profit corporation
- (Is the not-for-profit corporation also a 501(c)(3))?  
 Yes  No  Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

2. X.\)v,\_y,\*=\_ :

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes  No  Not organized in Illinois

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are

no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

J\W fission

Mamy<~

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

**Name Business Address Percentage Interest in the Applicant**

Name	Business Address	Percentage	Interest in the Applicant
ftW^ ?if>5.<_?^	yAj  <h fl Ck. ^3_-^L		(o
i?_U- Pitto*)	5V_^l uj Pt fVxaxgSL-		4
Pi^g6	uj If. f< sVlCasfi		4

**SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [y]Yes [ ] No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes [vf-f]No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:



Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?  Yes  No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

**SECTION IV » DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
<i>rAuck sla, Wr</i>	<i>W.C,</i>	<i>yjj_ &gt; Mer O. S</i>	<i>A&amp;O d*\6a^&gt; XL foboC</i>

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V- ■ CERTIFICATIONS

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Lias any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes  No person directly or indirectly owns 10 % or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes  No

## B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found

liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of

record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, , any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-

month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is  is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-.455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

tOp

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes  No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes  No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

A1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits ' from slavery or slaveholder insurance policies during the slavery era (including insurance policies

issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

*\$4h*

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in

which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes  No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes  No

2. Have you filed, with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes  No  Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes  No

If you checked "No" to question (1) or (2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION



The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

LOT.w\A.l t. fexA . LLC,  
(Print or type exact legal name of Disclosing Party)

By:

(Signature) (Print or type name of person signing)

fc \_\_\_\_\_ k\_y\_C  
(Print or type title of person signing)

Signed and sworn to before me on (date) /(^/ at f^&Q^ County,\J^//?D/S (state).

- rr - \_\_\_\_\_

KAREN A BANKS FRITCHEY Official Seal Notary Public - State of Illinois My Commission Expires Aug 15,2020

Commission expires:

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**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS  
AND DEPARTMENT HEADS**

**This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.**

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes  No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes  No  If the Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX C**

**PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted [rwww.amlegal.com](http://rwww.amlegal.com) <<http://rwww.amlegal.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

[v]N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you

checked "no" to the above, please explain.