

## Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

#### Legislation Details (With Text)

**File #:** O2019-7768

Type: Ordinance Status: Passed

File created: 9/18/2019 In control: City Council

**Final action:** 10/16/2019

Title: Tax levy, budget and service provider agreement for Special Service Area No. 39, Brighton

Park/Archer Heights (Year 2020)

**Sponsors:** Lightfoot, Lori E.

Indexes: S.S.A. No. 39 (Brighton/Archer)

**Attachments:** 1. O2019-7768.pdf

Date	Ver.	Action By	Action	Result
10/23/2019	1	City Council	Published in Special Pamphlet	
10/16/2019	1	City Council	Passed	Pass
10/10/2019	1	Committee on Economic, Capital and Technology Development	Recommended to Pass	
9/18/2019	1	City Council	Referred	

OFFICE OF THE MAYOR

CITY OI.' CHICAGO

LORI E. LIGHTFOOT MAYOR

September 18, 2019

# TO TME HONORABLE, THE CITY COUNCIL OF TI IE CITY OF CHICAGO

#### Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing public hearings for, and renewals of, various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

#### Very truly yours

#### Mayor

#### **ORDINANCE**

WHEREAS, special service areas may be established pursuant to (i) Article VII, Sections 6(1) and 7(6) of the Constitution of the State of Illinois, (ii) the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act"), and (iii) the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, on November 8, 2006, the City Council (the "City Council") of the City of Chicago (the "City") enacted an ordinance (the "Establishment Ordinance") which was published in the Journal of Proceedings of the City Council for such date at pages 91079 through 91167, and which established an area known and designated as City of Chicago Special Service Area Number 39 (the "Area") and authorized the levy of an annual tax, for the period beginning in year 2006 through and including year 2030, not to exceed an annual rate of 1.9% of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Special Services"); and

WHEREAS, the Establishment Ordinance established the Area consisting of the area on Archer Avenue from California Avenue to Karlov Avenue; Kedzie Avenue from Archer Avenue to 48th Street; and Pulaski Avenue from 45th Street to 51st Street; and

WHEREAS, the Special Services authorized in the Establishment Ordinance include but are not limited to maintenance and beautification, new construction, coordinated marketing and promotional activities, parking and transit programs, area strategic planning, business retention and recruitment, building facade improvements, security services and other technical assistance activities to promote community and economic development; and

WHEREAS, the Establishment Ordinance provided for the appointment of the Brighton Park-Archer Heights Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the Service Provider Agreement (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as Exhibit A and hereby made a part hereof; now, therefore,

#### Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated herein as if set out herein in full.

SECTION 2. Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area,

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the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

BRIGHTON PARK-ARCHER HEIGHTS SPECIAL SERVICE AREA COMMISSION SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2020 and ending December 31, 2020.

**EXPENDITURES** 

Service Provider Agreement for the provision of Special Services \$892,400

TOTAL BUDGET REQUEST \$892,400 SOURCE OF FUNDING Tax levy at an annual rate not to exceed 1.9 percent of the equalized assessed value of the taxable property within

Special Service Area Number 39 \$892,400 Carryover funds currently available from prior years \$0

Late collections received by the City attributable to the levy of the Services Tax in prior years, along with interest income thereon, if any \$0

SECTION 3. Levy of Taxes. There is hereby levied pursuant to (i) the provisions of Article VII, Sections 6(a) and 6 (f)(2) of the Constitution of the State of Illinois, (ii) the provisions of the Act, and (iii) the provisions of the Establishment Ordinance, the sum of \$892,400 as the amount of the Services Tax for the year 2019.

SECTION 4. Filing. The City Clerk of the City of Chicago (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 31, 2019, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the year 2019 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service

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Provider Agreement as authorized herein with Back of the Yards Neighborhood Council, an Illinois not-for-

profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation, the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 6. Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

SECTION 7. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 8. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 9. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

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3 EXHIBIT A

Budget



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## **Exhibit**

A

### Special Service Area #

39

SSA Name: Brighton Park & Archer Heights

#### 7 ?\ 2020 BUDGET SUMMARY

Budget and Services Period January 1, 2020 through December 31, 2020

		2019 Levy					
CATEGORY		Collectable Levy	Estimated Loss Collection	Carryover Fu	nTIF Rebate Fund #A16	. Estimated Late Collections and Interest	Total All Sources
1.00 Customer Attraction		\$35,000	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	\$35,000
2.00 Public Way Aesthetics		\$484,963	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	\$484,963
3.00 Sustainability and Public Places		\$4,000	<b>\$0</b>	<b>\$0</b>	\$0	\$0	\$4,000
4.00 Economic/ Business Development		\$102,223	<b>\$0</b>	<b>\$0</b>	\$0	\$0	\$102,223
5.00 Safety Programs		\$25,000	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	\$25,000
6.00 SSA Management		\$52,750	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	\$52,750
7.00 Personnel		\$188,464	<b>\$0</b>		<b>\$0</b>	<b>\$0</b>	\$188,464
	Sub-total	\$892,400	<b>\$0</b>	ippIIIIi	jI§i§		
GRAND TOTALS	Levy Total	\$892,400		\$0	\$0	\$0	\$892,400

#### **LEVY ANALYSIS**

Estimated 2019 EAV i \$74,725,442 Authorized Tax Rate Cap j 1.900%

Maximum Potential Levy lir\$1,419,783

Rate Cap

Requested 2019 Levy Amc\$892,400

Estimated Tax Rate to Ger1.1942%

2018 Levy

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable;

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#### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

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<ol> <li>[x] the Applicant         OR</li> <li>[] a legal entity currently holding, or anticipated to hold within six months after City action on</li> <li>the contract, transaction or other undertaking to which this EDS pertains (referred to below as the</li> <li>"Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal</li> <li>name:         OR "</li> <li>[] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1) State the legal name of the entity in which the Disclosing Party holds a right of control:</li> </ol>
B. Business address ofthe Disclosing Party: 1823 W. 47 th Street Chicago, IL 60609
C. Telephone: 872-281-7832 Fax: 773-409-5741 Email: cchico@bync.org <mailto:cchico@bync.org></mailto:cchico@bync.org>
D. Name of contact person: ^IrajgjQijco.
E. Federal Employer Identification No. (if you have one):
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):  To allow the Back of the Yards Neighborhood Council to enter into a contract with the City of Chicago to provide services witin SSA #39
G. Which City agency or department is requesting this EDS? Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, pleas complete the following:
Specification *' _ and Contract tt
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SECTION II - - DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY
1. Indicate the nature of the Disclosing Party:  [ ] Person [ ] Limited liability company  [ ] Publicly registered business corporation [ ] Limited liability partnership  [ ] Privately held business corporation [ ] Joint venture

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[ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust	<ul> <li>[xl Not-for-profit corporation</li> <li>(Is the not-for-profit corporation also a 501(c)(3))?</li> <li>[xl Yes [] No</li> <li>[] Other (please specify)</li> </ul>	
2. For legal entities, the state (or foreign country	y) of incorporation or organization, if applicable:	
Illinois	-	
3. For legal entities not organized in the State of business in the State of Illinois as a foreign entity		
[] Yes [] No	[X] Organized in Illinois	
B. IF THE DISCLOSING PARTY IS A LEGAL	L ENTITY;	
(ii) for not-for-profit corporations, all members, write "no members which are legal entities"), (iii executor, administrator, or similarly situated part companies, limited liability partnerships or joint	cable, of: (i) all executive officers and all directors of the entity; if any, which are legal entities (if there are no such members, i) for trusts, estates; or other similar entities, the trustee, ty; (iv) for general or limited partnerships, limited liability ventures, each general partner, managing member, manager or adirectly controls the day-to-day management of the Applicant.	
NOTE: Each legal entity listed below must subm	nit an EDS on its own behalf.	
Name Title _Se.e_Altached . No Member which	ch are legal entities	
current or prospective (i.e. within 6 months after	cerning each person or legal entity having a direct or indirect, city action) beneficial interest (including ownership) in ch an interest include shares in a corporation, partnership of a member or manager m a	
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**NEIGHBORHOOD COUNCIL** 

# **Board of Directors**

Mr. Philip K. Fuentes - Chairman & Secretary

Ms. Patricia Doherty -Treasurer

Mr. Craig A. Chico - Executive Director

Mr. Dan Arce

Ms. Kim McCullough

Mr. Richard Gentile

Mr. Cesar Dovalina

'Creating our own destiny"

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limited liability "None."	company, or interest of a beneficiary	of a trust, estate or other similar entity. If none, so	tate
NOTE: Each leg	gal entity listed below may be required	to submit an EDS on its own behalf.	
Name None	Business Address	Percentage Interest in the Applicant	
SECTION III OFFICIALS	INCOME OR COMPENSATIO	ON TO, OR OWNERSHIP BY, CITY ELECT	'ED
	ing Party provided any income or comp d preceding the date of this EDS?	ensation to any City elected official during the [] Yes [y\ No	
	sing Party reasonably expect to provide during the 12-month period following the	any income or compensation to any City ne date of this EDS? [] Yes fx] No	
If "yes" to either such income or	• •	name(s) of such City elected official(s) and describe	
inquiry, any City		losing Party's knowledge after reasonable artner, have a financial interest (as defined in C")) in the Disclosing Party?	
• •	identify below the name(s) of such C the financial interest(s).	ity elected official(s) and/or spouse(s)/domestic part	tneı
SECTION IV -	DISCLOSURE OF SUBCONTRAC	TORS AND OTHER RETAINED PARTIES	
_	•	ess address of each subcontractor, attorney, lobbyist (	•

defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. Ifthe Disclosing Party is uncertain whether a disclosure is required under this . Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

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Name (indicate whether retained or anticipated to be retained)  See Attached	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[] Check here ifthe Discl	losing Part	y has not retained, nor expects to	retain, any such persons or entities
SECTION V CERTIFI	ICATIONS	S	
A. COURT-ORDERED C	CHILD SUP	PPORT COMPLI ANCE	
	-	antial owners of business entities that obligations throughout the contract	at contract with the City must remain 's term.
• •	•	ctly owns 10% or more of the Disclery Illinois court of competent jurisdi	osing Party been declared in arrearage ction?
[] Yes [] No [x] No p	person direc	tly or indirectly owns 10% or more	of the Disclosing Party.
If "Yes," has the person en person in compliance with		court-approved agreement for payment?	nent of all support owed and is the
[ ]Yes [ ]No			
B. FURTHER CERTIFIC	ATIONS		
1. [This paragraph 1 appli	ies only if t	he Matter is a contract being handle	d by the City's Department of

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer

charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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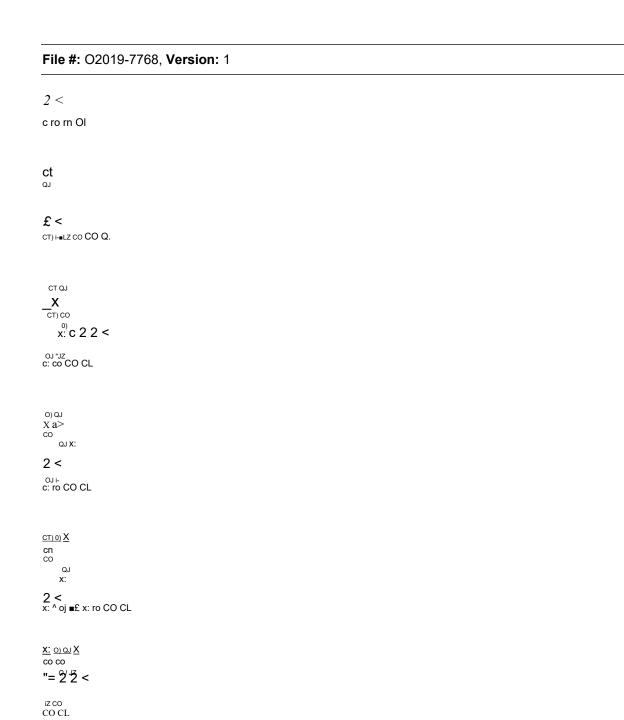
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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged

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guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft, forgeiy; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above,
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date ofthis EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment, common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity,
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents")

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (n) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9 [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM")
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. Ifthe Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further

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Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

  None
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift liked below, please also list the name ofthe City recipient. None

#### C. CERTIFICATION OF STATUS AS FIN ANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32 We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City "

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	(b)) is a predatory lender within the	because it or any of its affiliates (as defined in MCC e meaning of MCC Chapter 2-32, explain here (attach
	" the word "None," or no response ap Disclosing Party certified to the above	pears on the lines above, it will be conclusively re statements.
D. CERTIFICAT	ON REGARDING FINANCIAL IN	TEREST IN CITY BUSINESS
Any words or terr	ns defined in MCC Chapter 2-156 hav	we the same meanings if used in this Part D.
reasonable inquiry		best of the Disclosing Party's knowledge after e City have a financial interest in his or her own name or
[]Yes [yJNo		
•	cked "Yes" to Item D(l), proceed to I and D(3) and proceed to Part E.	tems D(2) and D(3). If you checked "No" to Item D(1),
employee shall ha the purchase of ar by virtue of legal	ve a financial interest in his or her ow by property that (i) belongs to the City process at the suit of the City (collection	Iding, or otherwise permitted, no City elected official or on name or in the name of any other person or entity in or (ii) is sold for taxes or assessments, or (iii) is sold evely, "City Property Sale"). Compensation for property or not constitute a financial interest within the meaning of
Does the Matter in	nvolve a City Property Sale?	
[ JYes	[ ]No	
•	d "Yes" to Item D( 1), provide the such financial interest and identify the	names and business addresses of the City officials or ne nature ofthe financial interest:
Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (I) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \_X 1 The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by" the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any

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- ·		empt to influence an officer or employee of any agency, as defined er of Congress, an officer or employee of Congress, or an employee Page 9 of 15
funded grant or loan, ente	ering into any	on with the award of any federally funded contract, making any federally cooperative agreement, or to extend, continue, renew, amend, or modify oan, or cooperative agreement.
_	that material	nit an updated certification at the end of each calendar quarter in which
the Internal Revenue Cod	le of 1986; or ut has not eng	that either: (i) it is not an organization described in section 501(c)(4) of r (ii) it is an organization described in section 501(c)(4) of the Internal gaged and will not engage in "Lobbying Activities," as that term is ct of 1995, as amended.
substance to paragraphs A the Disclosing Party must	A(l) through A t maintain all	pplicant, the Disclosing Party must obtain certifications equal in form and A(4) above from all subcontractors before it awards any subcontract and such subcontractors' certifications for the duration of the Matter and by available to the City upon request.
B. CERTIFICATION RE	GARDING I	EQUAL EMPLOYMENT OPPORTUNITY
	-	ederal regulations require the Applicant and all proposed g information with their bids or in writing at the outset of •
Is the Disclosing Party th	e Applicant? [] No	
If "Yes," answer the three	e questions be	elow:
1. Have you developed a regulations? (See 41 CFR [;] Yes	•	ave on file affirmative action programs pursuant to applicable federal
•	-	orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the applicable
[] Yes	[] No	[] Reports not required
3. Have you participated opportunity clause?	l in any previ	ious contracts or subcontracts subject to the equal

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[]Yes	[1 No				

If you checked "No" to question (1) or (2) above, please provide an explanation:

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.oru/Ethics <a href="http://www.cityofchicago.oru/Ethics">http://www.cityofchicago.oru/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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#### **CERTIFICATION**

Under penalty of perjury, the person signing.below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(Print of type exact lguaf name of Disclosing Party) By: (yL&i^} (Sign lieye)

TpAiG CtHUQ . (Print or type name of person signing)

### ffeira m-t Am stt^Lwoer T>iee mg-

(Print or type title of person signing)

Signed and sworn to before me on (date)  $^f$ c $^k$ rr $^i$   $^i$   $^i$   $^i$ 

OFFICIAL SEAL MARIA G. NEVAREZ Notary Public - State of Illinois My Commission Expires 9/15/2021

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership, all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected, (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

RI III.DI	ING CODE SCOFI	FLAW/PROBLEM LANDLORD CERTIFICATION
This Appendix is to be	completed only by the Applicant exceeds	(a) the Applicant, and (b) any legal entity which has a direct ing 7.5% (an "Owner"). It is not to be completed by any legal entity
1. Pursuant to MCC S or problem landlord pu		the Applicant or any Owner identified as a building code scofflaw ion 2-92-416?
[ J Yes	[ x ] No	
* *		y traded on any exchange, is any officer or director of the Applicant oblem landlord pursuant to MCC Section 2-92-416?
[]Yes	[ ] No	[ x ] The Applicant is not publicly traded on any exchange.
•		below the name of each person or legal entity identified as a land the address of each building or buildings to which the pertinen



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1

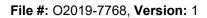
# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www, ami egal .com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, 1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit, (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. 1 also certify that the Applicant has adopted a policy that includes those prohibitions.

[ ] No
[] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This
certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no"
to the above, please explain.



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