



# Office of the City Clerk

City Hall  
121 N. LaSalle St.  
Room 107  
Chicago, IL 60602  
www.chicityclerk.com

## Legislation Details (With Text)

**File #:** O2020-5621  
**Type:** Ordinance **Status:** Introduced  
**File created:** 11/16/2020 **In control:** City Council  
**Final action:**  
**Title:** Zoning Reclassification Map No. 8-I at 3151 S California Ave - App No. 20540  
**Sponsors:** Misc. Transmittal  
**Indexes:** Map No. 8-I  
**Attachments:** 1. O2020-5621.pdf

Date	Ver.	Action By	Action	Result
11/16/2020	1	City Council	Referred	

## ORDINANCE

IT IS ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO: SECTION 1. Title 17, of the Municipal Code of Chicago, the Chicago Zoning Ordinance be amended by changing all the M3-3 Heavy Industry District, symbols and indications as shown on Map No. 8-1 in the area bounded by

Beginning at the centerline of West 31<sup>st</sup> Street or the line thereof if extended where no street exists; a line 1,964.72 feet east of and parallel to the centerline of South California Avenue; the Sanitary Drainage & Ship Canal Main Channel; the centerline of South California Avenue; and the southerly line of the Chicago & Illinois Western Railway right-of way running northeast to the point of beginning,

to those of a Waterway-Industrial Planned Development No.            which is hereby established in the area described above, subject in such use and bulk regulations as are set forth in the Planned Development herewith attached and made a part hereof and to no others.

SECTION 3. This ordinance shall be in force and effect from and after its passage and due publication.

3151 South California Avenue

## **WATERWAY-INDUSTRIAL PLANNED DEVELOPMENT No. PLAN OF DEVELOPMENT STATEMENTS**

1. The area delineated herein as Waterway-Industrial Planned Development No. \_\_\_\_\_, (the "Planned Development") consists of five hundred sixteen thousand, and two (516,002) square feet or eleven point eight four nine (11.849) acres of land which is depicted on the attached Planned Development Boundary and Property Line Map (the "Property") and is owned by the Metropolitan Water Reclamation District of Greater Chicago (the "MWRD"), and leased to (the "Applicants") Lakeshore Recycling Systems (Sub-Area A) and Ozinga Materials and Logistics (Sub-Area B).
2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the applicant's successors and assigns and, if different than the applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Chicago Zoning Ordinance.
3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustments of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assigns or grantees. Any requests for grants and/or privilege, or any items encroaching on the public way, shall be in compliance with the Planned Development.

Ingress or egress shall be pursuant to the Planned Development and may be subject to the review and approval of the Department of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Dept. of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the CDOT.

Applicants: Lakeshore Recycling Systems and Ozinga Materials and Logistics  
Address: 3151 South California Avenue  
Introduced: November 00, 2019  
Plan Commission:

(2)

4. This Plan of Development consists of seventeen (17) Statements: a Bulk Regulations and Data Table; an Existing Zoning Map; a Planned Development Boundary and Property Line Map, Existing Land-Use Map, Existing Site Plan, Streetscape Plan, Building Elevations: Existing Floor Plans, Construction and Demolition Processing, Elevations: Pedestrian & Connectivity Map, Elevations: Vehicular & Loading/Unloading Access Plan, and proposed Sub-Area Map prepared by Hutter Architects, Ltd. and dated November 00, 2019 submitted herein. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development ordinance and the Zoning Ordinance, this Planned Development ordinance shall control.
5. The following uses are allowed in the area delineated herein as Waterway-Industrial Planned Development:
  - Sub-Area A: Storage, repair and maintenance of waste industry storage containers and vehicles; material storage and equipment storage; administrative offices; off-street parking and loading; and all other use permitted in the M3-3 Heavy Industry District.
  - Sub-Area B: Re-processable construction and demolition material facility; recycling, transfer and material storage: such as stone, brick, block, sand, soils, and deicing salts (seasonal); storage and transfer of scrap metals; vehicle parking and maintenance; continued barge terminal operations which includes the loading, unloading, and storage of bulk materials.
6. On-Premise signs and temporary signs such as construction and marketing signs shall be permitted within the Planned Development subject to the review and approval of the Department of Planning and Development. Off-premise signs are prohibited within the boundary of this Planned Development.
7. For purposes of height of any measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations established by the (FAA) Federal Aviation Administration.
8. The maximum permitted floor area ratio (F.A.R.) for the site shall be in accordance with the attached Bulk Regulations and Data Table. For the purposes of FAR

Applicant: Lakeshore Recycling Systems and Ozinga Material and Logistics  
Address: 3151 South California Avenue  
Introduced: November 00, 2019  
Plan Commission:

**(3)**

calculations and floor area measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using the Net Site Area of 516,002 square feet (11.849 acres) and a maximum FAR of 0.50.

9. Upon review and determination, "Part II Review", pursuant to section 17-13-0610 of the Zoning Ordinance, a Part II Review fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II Approval.
10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any corresponding regulations and guidelines including Section 17-13-0880. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim review associated with site plan review or Part II reviews, are conditional until final Part II approval.
11. The Applicant shall comply with Rules and Regulations for the maintenance of stockpiles promulgated by the Commissioners of Street and Sanitation and Fleet, and Facility Management and Buildings, under Section 13-32-085, or any other provision of the Municipal Code of Chicago.
12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to Section 17-13-0611-A of the Zoning Administrator upon the application for such modification by the applicant, its successors and assigns and, if different than the Applicant, the legal titleholders and any ground lessors.
13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
14. The Applicant acknowledges that is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and maximizes the preservation of natural resources. At the time of a hearing before the Chicago Plan Commission, all development

Applicant: Lakeshore Recycling Systems and Ozinga Materials and Logistics  
Address: 3151 South California Avenue  
Introduced: November 00, 2019  
Plan Commission:

**(4)**

must be in substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Department of Planning and Development. List all aspects of the proposed Planned Development that bring it into compliance with the Sustainable Development Policy, including other major sustainable elements of the project.

15. The Applicant acknowledges that it is the policy of the City to maximize opportunities for Minority and Woman-owned Business Enterprises ("M/WBEs") and city residents to compete for contracts and jobs on construction projects approved through the planned development process. To assist the city promoting and tracking such M/WBE and city resident's participation, an applicant for planned development approval shall provide information at three points in the city approval process. First, the applicant must submit to DPD, as part of its application for planned development approval, an M/WBE Participation proposal. The M/WBE participation Proposal must identify the applicant's goals for participation of certified M/WBE firms in the design, engineering and construction of the project, and of city residents in the construction work. The city encourages goals of (i) 26% MBE and 6% WBE participation (measured against the total construction budget for the project or any phase thereof), and (ii) 50% city residents hiring (measured against the total construction hours for the project or any phase thereof). The M/WBE participation proposal must include a description of the Applicant's proposed outreach plan designed to inform M/WBEs and city residents for job and contracting opportunities. Second, at the time the Applicant's submission for a Part II permit review for the project or any phase thereof, the Applicant must submit to DPD (a) updates (if any) to the Applicant's preliminary outreach plan, (b) a description of the Applicant's outreach efforts and evidence of such outreach, including without limitations, copies of certified letters to M/WBE contractor associations and ward the offices of the alderman in which the project is located and receipts thereof; (c) responses to the Applicant's outreach efforts and (d) updates (if any) to the applicant's M/WBE and city resident participation goals. Third, prior to issuance of a Certificate of Occupancy for the project or any phase thereof, the Applicant must provide DPD with the actual level of M/WBE and city residents participation in the project or any phase thereof, and evidence of such participation. In addition to the forgoing, DPD may request such additional information as the department determines may be necessary or useful in evaluating the extent to which M/WBEs and city residents are informed of utilized in planned development projects. All such information will be provided in a form acceptable to the Zoning Administrator. DPD will report the date it collects regarding projected and actual employment of M/WBEs and city residents in planned development projects twice yearly to the Chicago Plan Commission and annually to the Chicago City Council and the Mayor.

Applicant: Lakeshore Recycling Systems and Ozinga Materials and Logistics  
Address: 3151 South California Avenue  
Introduced: November 00, 2019  
Plan Commission:

(5)

16. The Applicant acknowledges the importance of the Chicago River as a resource for both commerce and recreation and also acknowledges the City's goals of improving the appearance, quality and accessibility of the river, as contained in the waterways planned development guidelines contain in the Zoning

Ordinance (Sec. 17-8-091?) and the Chicago River Corridor Guidelines and Standards.

17. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development lapse, the Commissioner of Planning and Development shall initiate a Zoning Map Amendment to rezone the property to a M3-3 Heavy Industry District.

Applicants: Lakeshore Recycling Systems and Ozinga Materials and Logistics  
Address: 3151 South California Avenue  
Introduced: November 00, 2019  
Plan Commission:

***WA TER WA Y-IND US TRIAL PLANNED DEVELOPMENT No. BULK***

## ***REGULATIONS AND DATA TABLE***

Gross Site Area (1):

Right-of-Way and Waterway Areas (2):

Net Site Area:

Net Site Area of Sub-Areas:

Maximum Floor Area Ratio:

Minimum Number of Off-Street Parking Spaces to be provided:

Minimum Setbacks:

Minimum Off-Street Loading Spaces: Setbacks from Property Line:

Maximum Percent Site Coverage:

831,982 square feet (19.09 acres) 315,980 square feet (7.25 acres) 516,002 square feet (11.84 acres) 318,087 sq. ft. (Sub-Area A) 197,915 sq. ft. (Sub-Area B)

0.50

13 off-street spaces

West boundary of property: 0 feet South boundary of property: 0 feet North boundary of property: 0 feet  
two (2) spaces @ 10' x 50' each

In substantial conformance with the attached Site Plan

In accord with Attached Site Plan

- 1) Gross Site Area = Net Site Area plus Right-of-Way and Waterway Areas.
- 2) Right-of-Way and Waterway areas are measured from the centerline of the Sanitary and Ship Canal and the center line of South California Avenue.

Applicants: Lakeshore Recycling Systems and Ozinga Materials and Logistics

Address: 3151 South California Avenue  
Introduced: November 00, 2019  
Plan Commission:

CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO  
THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:

1. 3151 S. California Ave.. Chicago, Illinois

2. *Ward Number that property is located in: 12*

3. CO-APPLICANT: Lakeshore Recycling Systems, LLC

ADDRESS: 6132 Oakton St.

CITY: Morton Grove

STATE: Illinois

ZIP CODE: 60053

PHONE: 312-782-1983

EMAIL: [nick@sambankslaw.com](mailto:nick@sambankslaw.com) <<mailto:nick@sambankslaw.com>> CONTACT PERSON: Nicholas J.  
Ftikas

CO-APPLICANT: Ozinga Materials, Inc.

ADDRESS: 19001 Old LaGrange Rd. ;

CITY: Mokena

STATE: Illinois

ZIP CODE: 60448

PHONE: 312-782-1983

EMAIL: [nick@sambankslaw.com](mailto:nick@sambankslaw.com) <<mailto:nick@sambankslaw.com>> CONTACT PERSON: Nicholas J.  
Ftikas

4. Are the Co-Applicants the owners of the property? YES NO X

If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER: Metropolitan Water Reclamation District of Greater Chicago ;

ADDRESS: 100 E.Erie St. ;

CITY: Chicago

STATE: Illinois

ZIP CODE: 60611

PHONE: 312-751-5600



EMAIL: [murravc@mwTd.org](mailto:murravc@mwTd.org) <<mailto:murravc@mwTd.org>> CONTACT PERSON: Christopher

Murray. Attorney

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY: Nicholas Ftikas, Law Offices of Samuel V.P. Banks

ADDRESS: 221 North LaSalle Street. 38<sup>th</sup> Floor

STATE: Illinois FAX: 312-782-2433

ZIP CODE: 60601

EMAIL: [nick@sambankslaw.com](mailto:nick@sambankslaw.com) <<mailto:nick@sambankslaw.com>>

If the Applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements.

See attached EDS

On what date did the owner acquire legal title to the subject property?

The Co-Applicants will continue leasing the subject property from the Metropolitan Water Reclamation District of Greater Chicago

Has the present owner previously rezoned this property? If Yes, when? No

Proposed Zoning District: Industrial-Waterway Planned Development

10. Lot size in square feet (or dimensions): 831,982 sq. ft. (19.09 acres per Survey)
11. Current Use of the Property: The subject property is currently used for truck and container storage and repair.
12. Reason for rezoning the property: The Applicant is seeking to establish a Planned Development in order to allow industrial uses including vehicle, container, and recyclable waste storage, a construction and demolition material recycling facility, a transfer station, barge terminal operations, off-street parking, and administrative offices.
13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling unit number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC): The Applicant is seeking to establish a Planned Development in order to allow industrial uses including vehicle, container, and recyclable waste storage, a construction and demolition material recycling facility, a transfer station, barge terminal operations, off-street parking, and administrative offices. The subject zoning lot will be divided into two (2) subareas. The uses proposed within the Planned Development will be generally consistent with the allowed uses within the M3-3 Zoning District.

14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit [www.cityofchicago.org/ARO](http://www.cityofchicago.org/ARO) <<http://www.cityofchicago.org/ARO>> for more information). Is this project subject to the ARO?

NO ☒ X

COUNTY

OF

COOK

STATE

OF

IT.T.TNOTS

I. ALft/J T. H\*aiQL&\

\_\_\_\_\_, on behalf of Lakeshore Recycling Systems,  
Signature of Applicant

LLC, an Illinois limited liability company, being first duly sworn on oath, state that all of the above statements and the statements contained in the documents submitted herewith are, to the best of my knowledge, true and correct.

Subscribed and Sworn to before me this 1P<sup>TU</sup> day of fEfcfiURRlj , 2020.

Notary Public

DEENAPEDERSEN OFFICIAL SEAL S^P&^!s! Notary Public, State of Illinois My Commission Expires \y^~€^// r- :0;8f 25 202

Date of Introduction: File Number:

Ward:

**PUBLIC NOTICE**

Via USPS First Class Mail October 23, 2020

Dear Sir or Madam:

In accordance with the Amendment to the Chicago Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about October 23, 2020, I, the undersigned, intend to file an application for a change in zoning from M3-3 Heavy Manufacturing District to an Industrial-Waterway Planned Development, on behalf of the Co-Applicants, Lakeshore Recycling Systems, LLC, and Ozinga Materials, Inc., for the property located at 3151 South California Ave., Chicago, Illinois.

The Applicant is seeking to establish a Planned Development in order to allow industrial uses including vehicle, container, and recyclable waste storage, a construction and demolition material recycling facility, a transfer station, barge terminal operations, off-street parking, and administrative offices. The subject zoning lot will be divided into two (2) subareas. The uses proposed within the Planned Development will be generally consistent with the allowed uses within the M3-3 Zoning District.

Co-Applicant, Lakeshore Recycling Systems, LLC, is located at 6132 Oakton St., Morton Grove, Illinois 60053.

Co-Applicant, Ozinga Materials, Inc., is located at 19001 Old LaGrange Rd., Mokena, Illinois 60448.

The Property Owner, the Metropolitan Water Reclamation District of Greater Chicago is located at 100 East Erie St., Chicago, Illinois 60611.

I am the attorney for the Co-Applicants. I will serve as the contact person for this zoning application. My address is 221 North LaSalle Street, 38<sup>th</sup> Floor, Chicago, Illinois 60601. My telephone number is (312)-782-1983.

Sincerely,

Law Offices of Samuel V.P. Banks

*Nicholas J. Ftikas Attorney for the Applicant*

**\*\*Please note that the Applicant is NOT seeking to purchase or rezone your property.**

**\*\*The Applicant is required by the Chicago Zoning Ordinance to send this notice to you because you are shown to own property located within 250 feet of the property subject to the proposed Zoning Amendment.**

**Written Notice, Form of Affidavit: Section 17-13-0107**

October 23, 2020

Honorable Thomas Tunney  
Acting Chairman, Committee on Zoning  
121 North LaSalle Street  
Room 304 - City Hall  
Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Nicholas Ftikas, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the Applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. That said written notice was sent by USPS First Class Mail no more than 30 days before filing the application.

**That the undersigned certifies that the notice contained the address of the property sought to be rezoned as 3151 S. California Ave., Chicago, Illinois; a statement of intended use of said property; the name and address of the Applicant and Owner; and a statement that the Applicant intends to file an application for a change in zoning on approximately October 23, 2020.**

That the Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago and that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

**Law Offices of Samuel V.P. Banks**

*Nicholas J. Ftikas Attorney for Applicant*

Subscribed and Sworn to before me

3151 S. California Ave., Chicago, IL  
Narrative for Planned Development Intake Meeting  
Date: 11/13/2019

The subject property is located at 3151 S. California Ave., Chicago, IL, which is located within the Little Village Industrial Corridor. The subject site consists of 516,002 sq. ft. (11.849 acres) of land area located on the east side of S. California Ave., adjacent to the Chicago Sanitary and Ship Canal ("Canal"). The subject property is currently used for the storage of various industrial waste containers, vehicles, and equipment. The existing two-story building at the subject site is used for the general maintenance and repairing of the waste containers and equipment kept on site. The area proposed as Sub-Area B in the proposed Industrial Waterway Planned Development is relatively inactive, except for intermittent overflow container storage. Current operations also include the use of the waterway barges located along the Canal.

The Co-Applicants, Ozinga Materials and Logistics ("Ozinga") and Lakeshore Recycling Systems, LLC ("LRS"), are proposing to establish an Industrial Waterway Planned Development at the 11.849-acre site. The proposed Planned Development would allow the Co-Applicants to expand the industrial and waste related uses at the subject property. Specifically, LRS is proposing to allow for the storage, repair, and general maintenance

of waste industry containers, equipment, and vehicles, waste material and equipment storage, and other waste related uses generally permitted in the M3-3 zoning district in what will be Sub-Area A of the Planned Development. LRS also intends to recycle and transfer solid waste and construction and demolition waste within Sub Area A. Ozinga is proposing to operate a recycling facility that will specifically include the receipt, storage, crushing and transfer of clean broken concrete, the receipt, storage, and transfer of scrap metals, aggregate materials, and deicing salts, the receipt, storage, and transfer of clean soils, the receipt, storage, recycling, and transfer of asphalt material, as well as vehicle and equipment parking and storage, and the continued operation of the barge terminal along the Canal. Ozinga's plans for Sub Area B also contemplate the operation of a concrete batch plant. Although new mobile equipment will be provided at the subject site, no new support or administrative buildings are proposed within the boundaries of the Planned Development at this time.

GEORGE CARDENAS ALDERMAN, 121 H WARD

■ 3476 SOUTH ARCHER AVENUE CHICAGO, ILLINOIS 60608 PHONE: 773-523-8250 FAX: 773-523-B440 EMAIL: V.A.H.B.I.Z.E.C.W.Y.O.I.-CHICAGO.U.K.C.J

CITY OF CHICAGO  
**CITY COUNCIL**

COMMITTEE MEMBERSHIPS

ENVIRONMENTAL PROTECTION AND ENERGY (CHAIRMAN)

COMMITTEES AND RULES

FINANCE

PEDESTRIAN AND TRAFFIC SAFETY

COUNCIL CHAMBER CITY HALL ROOM 300  
121 NORTH LASALLE STREET CHICAGO, ILLINOIS 60602  
PHONE: 312-744-3040

LICENSE AND CONSUMER PROTECTION

ECONOMIC, CAPITAL, AND TECHNOLOGY DEVELOPMENT

CONTRACTING OVERSIGHT AND EQUITY

BUDGET AND GOVERNMENT OPERATIONS

**July 16, 2020**

**Zoning Administrator Patrick Murphy Department of Planning  
and Development 212 N LaSalle St. Room 905 Chicago, IL.  
60602**

**RE: Planned Map Amendment 3151 S California Ave.**

**Dear Mr. Murphy,**

**The Co-Applicants, Ozinga Materials and Lakeshore Recycling LLC, are proposing to establish an Industrial Waterway Planned Development at the above referenced 11.849 acre site to continue their individual business development activities in accordance with the Narrative for the Planned Development previously submitted.**

**Their proposed use of the site will involve various waste handling and recycling activities inherent to the nature of their businesses and resulting in substantial community benefits including truck transportation volume reduction, landfill space use reduction and preservation, and the return of recycled materials to the economic mainstream along with the preservation of natural resources.**

**The continued use of the site as a barge terminal with loading, unloading, and site storage activities create an even greater reduction in the volume of local and long distance truck traffic. The preservation of waterway commerce which not only played an important part in the development of the City, continues to benefit the local economy and will do so well into the future. I am in support of this proposed Planned Development, and I respectfully request your approval. Should you have any questions, please contact my office at 312-744-3040.**

**George A. Cardenas Alderman - Ward 12**

**Sincerely,**

To whom it may concern:

*Its: C&»*, on behalf of Lakeshore Recycling

Systems, LLC, an Illinois limited liability company, a tenant and co-Applicant concerning the subject property located at 3151 S. California Ave., Chicago, IL, authorize the Law Offices of Samuel V.P. Banks to file a Zoning Map Amendment Application with the City of Chicago's Department of Planning and Development for that property.

#### FORM OF AFFIDAVIT

Chairman, Committee on Zoning Room 304 - City Hall  
Chicago, IL, 60602

To Whom It May Concern:

I, f{L^ T HH^Oi-fi } on behalf of Lakeshore Recycling Systems,

LLC, an Illinois limited liability company, understand that the Law Offices of Samuel V.P. Banks has filed a sworn affidavit identifying Lakeshore Recycling Systems, LLC, as a Tenant and Co-Applicant holding interest in land subject to the proposed zoning amendment for the property identified as 3151 S. California Ave., Chicago, IL.

I, ftCH\*> T- J^rt\*/PLe\*j , on behalf of Lakeshore Recycling Systems,

LLC, an Illinois limited liability company, being first duly sworn under oath, depose and say that Lakeshore

Recycling Systems, LLC, holds that interest for itself and no other person, association, or shareholder.

Lakeshore Recycling Systems, LLC

Subscribed and Sworn to before me

**CITY OF CHICAGO ECONOMIC  
DISCLOSURE STATEMENT AND  
AFFIDAVIT**

**SECTION I GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

LRS Holdings, LLC d/b/a Lakeshore Recycling Systems, LLC

**Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity currently holding, or anticipated to hold within six months after City action on  
2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as

the  
2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's  
legal

2. name:

OR

3. ☐ a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1))

State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 6132 Oakton Street  
Morton Grove, Illinois 60053

C. Telephone: 847-779-7500 Fax: 773-685-6043 Email: [RGolf@LRSrecycles.com](mailto:RGolf@LRSrecycles.com)  
<<mailto:RGolf@LRSrecycles.com>>

D. Name of contact person: Rich Golf

E. Federal Employer Identification No. (if you have one): ^

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of

property, if applicable):

Zoning on rental property at 3151S. California

G. Which City agency or department is requesting this EDS? De^\_ of P|anninB & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

Ver.2018-1

Page 1 of 15

## SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- |   |   |
|---|---|
| <input type="checkbox"/> Person                                   | <input checked="" type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership        |
| <input type="checkbox"/> Privately held business corporation      | <input type="checkbox"/> Joint venture                        |
| <input type="checkbox"/> Sole proprietorship                      | <input type="checkbox"/> Not-for-profit corporation           |
| <input type="checkbox"/> General partnership                      | (Is the not-for-profit corporation also a 501 (c)(3))?        |
| <input type="checkbox"/> Limited partnership                      | <input type="checkbox"/> Yes <input type="checkbox"/> No      |
| <input type="checkbox"/> Trust                                    | <input type="checkbox"/> Other (please specify)               |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☒ Yes ☐ No ☐ Organized in Illinois

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.



NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Alan Handley

CEO & Chairman

Rich Golf

Director & Managing Member

Jerry Golf

Director & Managing Member

Josh Connell

Director & Managing Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Page 2 of 15

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Goldman Sachs & Co, LLC	200 West St. 26th Fl. NY, NY 10282	46.81%
Golf, Inc.	2174 S. Vista Ave, Lombard, IL 60148	16\22%
Lakeshore Waste Services, LLC	6132 Oakton St. Morton Grove, IL 60053	16.22%
Hillfort LRS, LP	53 Maple Ave. Morristown, NJ 07960	8.6%

### SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? ☐ Yes ☒ No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? ☐ Yes ☒ No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in

Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [ ] Yes [x] No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse (s)/domestic partner(s) and describe the financial interest(s).

^

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 15

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: ■ "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	---

(Add sheets if necessary)

jx ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V - CERTIFICATIONS

##### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[ ] Yes [ x] No [ ] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes    ☐ No

## B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Page 4 of 15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations,

instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section TV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 15

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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Page 6 of 15

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

MI A-

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NjA

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

Page 7 of 15

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

tJlAz

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☒ No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

Page 8 of 15

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must

disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

<sup>x</sup> 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VH. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

Mj/V

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee



of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

*If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. K / (1*

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No ☐ Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question (1) or (2) above, please provide an explanation:

## SECTION Vn - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1 -23 and Section 2-154-020.

Page 11 of 15

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

LRS Holdings, LLC d/b/a Lakeshore Recycling Systems,  
LLC (Print or type exact legal name of Disclosing Party)

(Sign here)

Alan T. Handley (Print or type name of  
person signing)

Chief Executive Officer (Print or type title  
of person signing)

Signed and sworn to before me on (date) 2 / ii>

County, \IHk|0y\ (state).

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS  
AND DEPARTMENT HEADS**

**This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.**

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section JJ.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☐ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

***mJA.***

Page 13 of 15

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

☐ Yes ☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

☒ Yes ☐ No ☐ The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**Ad/V**

Page 14 of 15

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT  
APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.amlegal.com](http://www.amlegal.com) [V](http://www.amlegal.com) [V](http://www.amlegal.com)) generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

☒ Yes ☐

No

☐ N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

Page 15 of 15

CITY OF CHICAGO ECONOMIC  
DISCLOSURE STATEMENT AND  
AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Golf, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

OR

3. Q a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 2174 South Vista Avenue  
**Lombard, Illinois 60148**

C. Telephone: 312-782-1983      fax.  
<mailto:nick@sambankslaw.com>

Email: nick@sambankslaw.com

D. Name of contact person: Nicholas J. Ftikas - Attorney

E. Federal Employer Identification No. (if you have one):

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

The Applicant is seeking to establish an Industrial Waterway Planned Development at 3151 S. California.

G. Which City agency or department is requesting this EDS? DPD/ZBA

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

Ver.2018-1

Page 1 of 15

## SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

Person

Publicly registered business corporation

Privately held business corporation

Sole proprietorship

General partnership

Limited partnership

Trust

| [ Limited liability company

| | Limited liability partnership

| | Joint venture

| | Not-for-profit corporation

(Is the not-for-profit corporation also a 501(c)(3))?

☐ Yes ☐ No ☐ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?



Yes

☐ No

{ ☒ } Organized in Illinois

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Jerry Golf

President & Secretary

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Page 2 of 15

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name

Jerry Golf

Business Address

2174 South Vista Avenue 100%

Lombard, Illinois 60148

Percentage Interest in the Applicant

**SECTION III INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? r~J Yes ☐ [ ☒ ] No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? ☐ Yes ☒ No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

N/A

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? ☐ Yes ☒ No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse (s)/domestic partner(s) and describe the financial interest(s).

N/A

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 15

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
St., 38th Floor	Attorney		\$11,500 (est.)
Samuel VP Banks	Chicago, IL 60601		Law Offices of 221 N. LaSalle

(Add sheets if necessary)

| [ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or

entities.

## SECTION V--CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes    ☒ No    ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☒ Yes ☐ No

### B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress)..

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Page 4 of 15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is . under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 15

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a

Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (hi) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further

pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

Page 7 of 15

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

- Yes                      0 No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

- Yes 0No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

. N/A

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

Page 8 of 15

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):



(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Ver.2018-1

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

☐ No

Is the Disclosing Party the Applicant?

☐ Yes

If "Yes," answer the three questions below:

☐ No

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question (1) or (2) above, please provide an explanation:

Page 10 of 15

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble

damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Page 11 of 15

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all the information, certifications and statements contained in this EDS- and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

A. v fecf, lfJ£ . ::ly^ V\_t:'. J?rultor  
(type, exact legal name of Disclosing Party) .

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

**This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.**

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse, or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[~jYes 0No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

N/A

**STATEMENT AND AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

☐ Yes                      ☐ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

☐ Yes ☐ No                      ☐ The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**N/A**

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX C**

**PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ('[www.amlegal.com](http://www.amlegal.com) <<http://www.amlegal.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-3 85(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

☒ Yes

☐ No

N/A - I am not an Applicant that is a "contractor" as defined in MCC Section, 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

**N/A**

Page 15 of 15

CITY OF CHICAGO ECONOMIC  
DISCLOSURE STATEMENT AND  
AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS-. Include d/b/a/ if applicable: Lakeshore Waste Services, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant  
OR
  2. Q a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:  
OR
  3. ☐ a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B))
- (1) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 6132 West Oakton Street  
**Morton Grove, Illinois 60053**

C. Telephone: 312-782-1983 fax- Email: [nick@sambankslaw.com](mailto:nick@sambankslaw.com)  
<<mailto:nick@sambankslaw.com>>

D. Name of contact person: Nicholas J. Ftikas - Attorney

E. Federal Employer Identification No. (if you have one):

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

The Applicant is seeking to establish an Industrial Waterway Planned Development at 3151 S. California.

G. Which City agency or department is requesting this EDS? DPD/ZBA

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

Ver.2018-1

Page 1 of 15

## SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party | | Person

I Publicly registered business corporation

I Privately held business corporation

I Sole proprietorship

General partnership

I Limited partnership

I Trust

\y\ Limited liability company

[ | Limited liability partnership

| | Joint venture

[ | Not-for-profit corporation

(Is the not-for-profit corporation also a 501(c)(3))?

☐ Yes ☐ No | | Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

| | Yes

☐ No

[✓] Organized in Illinois

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:



1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (hi) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Joshua Connell	Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a coiporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Page 2 of 15

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Joshua Connell	6132 West Oakton Street 100% Morton Grove, Illinois 60053	

### SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?      ☐ J Yes      ☒ No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?      ☐ J Yes      ☐ No

If "yes" to either of the above, please identify below the name(s) of such City elected o'fficial(s) and

describe such income or compensation:

N/A

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

☐ Yes ☒ No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

N/A ..

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

##### Page 3 of 15

Law Offices of  
retained or anticipated Address (subcontractor, attorney,  
to be retained) lobbyist, etc.)

221 N. LaSalle St., 38th Floor  
paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. \$11,500 (est.)

Samuel VP Banks

(Add sheets if necessary)

**|| Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V -- CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes    ☒ No    Q No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes    ☐ No

## B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Page 4 of 15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 15

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been

convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2018-1

Page 6 of 15

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "N/A," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. • If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

Page 7 of 15

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain

here (attach additional pages if necessary):

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

- Yes                      g No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

- Yes [✓] [No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

N/A

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

☐ 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

## SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2: The Disclosing Party has not spent and will not expend any federally appropriated funds to pay



any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Ver.2018-1

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question (1) or (2) above, please provide an explanation:

Page 10 of 15

## SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

Ei The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a

contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter ,1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Page 11 of 15

## CERTIFICATION

■ ' / .

Onderpena^  
SEDS, anlll applicable ^^^^^^m^, -e true, accurate  
certifications and ^^^^r  
andcomplete as. of the date ftimislied to,the City..

" :

;pgJrSr^e^an>e of person signing). ^;

^vt^rty^eS

S■ v \$gned andsworntpbefotenieon^)^m^

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..  
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Page li of 15

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix Is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**N/A**

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-1.54-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

☐ Yes                      0 No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

r~J Yes rJ No                      rT£J The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**N/A**

Page 14 of 15

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX C**

**PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.atnlegal.com](http://www.atnlegal.com) <<http://www.atnlegal.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-3 85(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

☒ Yes

☐ No

[^] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

**N/A**

Page 15 of 15

CITY OF CHICAGO ECONOMIC  
DISCLOSURE STATEMENT AND  
AFFIDAVIT

SECTION 1 - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Hillfort LRS, LP

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as

the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal

2. name:

OR

3. ☐ a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 251 Little Falls Drive  
**Wilmington, Delaware 19808**

C. Telephone: 312-782-1983 Fax: Email: [nick@sambankslaw.com](mailto:nick@sambankslaw.com)

[<mailto:nick@sambankslaw.com>](mailto:nick@sambankslaw.com)

D. Name of contact person: Nicholas J. Ftikas - Attorney

E. Federal Employer Identification No. (if you have one):

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

The Applicant is seeking to establish an Industrial Waterway Planned Development at 3151 S. California.

G. Which City agency or department is requesting this EDS? DPD/ZBA

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

*Specification #*

*and Contract #.*

Ver.2018-1

Page 1 of 15

## SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

#### 1. Indicate the nature of the Disclosing Party:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Person                        | <input type="checkbox"/> Limited liability company                  |
| <input type="checkbox"/> Publicly registered business coiporation | <input type="checkbox"/> Limited liability partnership              |
| <input type="checkbox"/> Privately held business coipoiation      | <input type="checkbox"/> Joint ventute                              |
| <input type="checkbox"/> Sole proprietorship                      | <input type="checkbox"/> Not-for-profit corporation                 |
| <input type="checkbox"/> General partnership                      | (Is the not-for-profit corporation also a 501(c)(3))?               |
| <input type="checkbox"/> Limited partnership                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> Trust                                    | <input type="checkbox"/> Other (please specify)                     |

#### 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

#### 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ Organized in Illinois

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:



1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
	Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Page 2 of 15

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the
Applicant		

**SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [~] Yes {✓} No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City

elected official during the 12-month period following the date of this EDS? |~~J Yes [T/J No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

N/A

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

☐ Yes p\ No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse (s)/domestic partner(s) and describe the financial interest(s).

N/A

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 15

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.)
LaSalle St., 38th Floor		Attorney	"hourly rate" or "t.b.d." is not an acceptable response. Law Offices of 221 N. \$11,500 (est.)
Samuel VP Banks	Chicago, IL 60601		

(Add sheets if necessary)

] | Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V - CERTIFICATIONS

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

j | Yes j7/] No Q No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[~J Yes [~J No

#### B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Page 4 of 15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
  - d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, oi any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired

or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Vcr.2018-1

Page 6 of 15

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

Page 7 of 15

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or

employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

N/A

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

Page 8 of 15

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I I 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

##### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of



1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Ver.2018-1

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

☐ No

Is the Disclosing Party the Applicant?

☐ Yes

If "Yes," answer the three questions below:

☐ No

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question (1) or (2) above, please provide an explanation:

Page 10 of 15

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www, cityofchicago. or g/Ethics](http://www.cityofchicago.org/Ethics), and may. also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble

damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Page 11 of 15

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all-applicable Appendices, on Behalf of the Disclosing Party; and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate, and complete as of the date furnished to the City.

*(Print or type exact legal name of Disclosing Party) - \_\_\_\_\_*

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS  
AND DEPARTMENT HEADS**

**This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.**

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes ☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

N/A

Page 13 of 15

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

T^j The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**N/A**

Page 14 of 15

**CITY OF CHICAGO ECONOMIC DISCLOSURE  
STATEMENT AND AFFIDAVIT  
APPENDIX C**

**PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.amlcgal.com](http://www.amlcgal.com) <<http://www.amlcgal.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

- Yes
- No

[7^] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

**N/A**



1 OFFICIAL SEAL

BARRY N. VOORN

< Notary Public - State of Illinois

< My Commission Expires 10/11/2020]

( ■ 111) -Hf W m" 1^ ^if f ImJ -«(U) -u

COUNTY OF COOK STATE OF ILLINOIS

j on behalf of Ozinga Materials,"X»oc.

an Illinois corporation, being first duly sworn on oath, state that all of the above statements and the statements contained in the documents submitted herewith are, to the best of my knowledge, true and correct.

Signature of Applicant

MAW

Notary Public

Subscribed and Sworn to before me this \_26rl\*day of , flflifelH. , 2020.

OFFICIAL SEAL BARRY N. VOORN Notaiy Public - Stale of Illinois J My Commission Expires 10/11/2020

Date of Introduction:

File Number:

Ward:

To whom it may concern:

I, 1f\$T<ajf , on behalf of Ozinga Materials Xv»c

, an Illinois corporation, a tenant and co-Applicant concerning the subject property located at 3151 S. California Ave., Chicago, IL, authorize the Law Offices of Samuel V.P. Banks to file a Zoning Map



Amendment Application with the City of Chicago's Department of Planning and Development for that property.

Ozhig^

Its: &£C V)T/WrVP^rjosTRy■

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT  
Related to Contract/Amendment/Solicitation EDS # 149617**

**SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting the EDS:

Ozinga Materials, Inc. d/b/a Ozinga Materials & Logistics Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

19 001 Old LaGrange Road Mokena, IL 60448  
United States

**C. Telephone:**

708-326-4210 Fax:

708-326-4211

Email:

nicol Ramsay@ozinga.com <mailto:nicol Ramsay@ozinga.com>

D. Name of contact person:

Nicole Ramsay

E. Federal Employer Identification No. (if you have one):

F. Brief description of contract, transaction or other undertaking (referred to below the "Matter") to which this

EDS pertains:

Application for an amendment to the zoning ordinance Which City agency or department is requesting this EDS?

DEPT OF PLANNING AND DEVELOPMENT

Specification Number

Contract (PO) Number

Revision Number

Release Number

User Department Project Number

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

#### 1. Indicate the nature of the Disclosing Party:

Privately held business corporation

Is the Disclosing Party incorporated or organized in the State of Illinois?

Yes

### B. DISCLOSING PARTY IS A LEGAL ENTITY:

#### 1 .a.1 Does the Disclosing Party have any directors?

Yes

1 .a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

Officar/Director: Martin Ozinga TV

Title: President

Role: Both

**Officer/Director: Aaron Ozinga**

**Title:**

Role: Director

Officer/Director: Donald L. Van Dyk

Title: Assistant Treasurer

Role: Both

Officer/Director: Barry N. Voorn

Title: Secretary

Role: Both

**Officer/Director:**

**Title:**

**Role:**

**Officer/Director:**

**Title:**

Role:

Edward Van Poucke Chief Operating Officer Officer

Kimberly Herlihy

Treasurer

Officer

## 2. Ownership Information

Please provide ownership information concerning each person or entity that holds, or is anticipated to hold (see next paragraph), a direct or indirect beneficial interest in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate, or other similar entity. Note: Each legal entity below may be required to submit an EDS on its own behalf.

Please disclose present owners below. Please disclose anticipated owners in an attachment submitted through the "Additional Info" tab. "Anticipated owner" means an individual or entity in existence at the time application for City action is made, which is not an applicant or owner at such time, but which the applicant expects to assume a legal status, within six months of the time the City action occurs, that

application was made.

- Ozinga Bros., Inc. - 100% - EDS 150106
  - Jeffrey Ozinga Revocable Trust dated April 3, 2015 - 7.96% - EDS 150107
  - Martin Ozinga IV GST Separate Trust - 15.34% - EDS 150108
  - Karl S. Ozinga GST Separate Trust - 15.34% - EDS 150109
  - Justin A. Ozinga GST Separate Trust - 15.34% - EDS 150110
  - Aaron C. Ozinga GST Separate Trust - 15.34% - EDS 150111
  - Paul Andrew Ozinga GST Separate Trust - 15.34% - EDS 150112
  - Timothy J. Ozinga GST Separate Trust - 15.34% - EDS 150113

#### Owner Details

Name

Aaron C. Ozinga GST Separate Trust

Jeffrey Ozinga Revocable Trust dated April 3, 2015

Justin A. Ozinga GST Separate Trust

Karl S. Ozinga GST Separate Trust

Martin Ozinga IV GST Separate Trust

Ozinga Bros., Inc.

Business Address 19001 Old LaGrange Mokena, IL 6 044 8 United States

19001 Old LaGrange Mokena, IL 6 044 8 United States

190 01 Old LaGrange Mokena, IL 60448 United States

19 001 Old LaGrange Mokena, IL 6 044 8 United States

-190 01 Old LaGrange Mokena, IL 60448 United States

19001 Old LaGrange Mokena, IL 60448

United States

Paul Andrew Ozinga GST Separate Trust

19001 Old LaGrange Road Mokena, IL 60448

United States

Timothy J. Ozinga GST Separate Trust

19 0 01 Old LaGrange Road Mokena, IL 60448

United States

### **SECTION III INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

A. Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

No

B. Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this ED,S?

No

D. Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code ("MCC")) in the Disclosing Party?

No

### **SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156). accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated, to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

-5-

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained or does it anticipate retaining any legal entities in connection with the Matter?

Yes

2. List below the names of all legal entities which are retained parties.

Name:

**Anticipated/ Retained:**

**Business Address:**

**Relationship: Fees**

**(\$\$ or %): Estimated/Paid;**

Sandman Inc. Retained

19657 W Sharp Road  
Elwobd, IL 60421 United States  
Consultant

Estimated

**Name:**

**Anticipated/ Retained:**

**Business Address:**

**Relationship: Fees**

**(\$\$ or %): Estimated/Paid:**

Law Offices of Samuel V.P. Banks Retained

221 N LaSalle St #3800  
Chicago, IL 60601 United States Attorney

Estimated

3. Has the Disclosing Party retained or does it anticipate retaining any persons in connection with the Matter?

No

## SECTION V - CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

-6-

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrears of any child support obligations by any Illinois court of competent jurisdiction?

No

## B. FURTHER CERTIFICATIONS

1. [This certification applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e. an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

This matter is not a contract handled by the Department of Procurement Services

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

I certify the above to be true

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft;

-7-

forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I certify the above to be true

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapter 2-56 (Inspector General) and Chapter 2-156 (Governmental Ethics).

I certify the above to be true

5. Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity.

or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

I certify the above to be true

-8-

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of 720 ILCS 5/33E-3:
- bid-rotating in violation of 720 ILCS b/33b-4: or
- any similar offense of any state or of the United States of America that contains the same elements as the



offense of bid-rigging or bid-rotating. <sup>1</sup>

I certify the above to be true

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

I certify the above to be true

8. [FOR APPLICANT ONLY]

- i. Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23. Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If MCC Chapter 1-23. Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

I certify the above to be true

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM")

I certify the above to be true

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the

-9-

Applicant has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

11. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

None

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law.

None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies, as defined in MCC Section 2-32-455(b). the Disclosing Party is not a "financial institution"

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may

- 10-

make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of Investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

## SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics) <<http://www.cityofchicago.org/Ethics>>. and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite .500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

I acknowledge and consent to the above The Disclosing Party

understands and agrees that:

-11 -

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Article I of Chapter 1-23 (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer

period, as required by MCC Chapter 1-23 and Section 2-154-020.

I acknowledge and consent to the above

## **APPENDIX A - FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece

-12-

or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

## **APPENDIX B - BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Pursuant to MCC Section 2-154-010. is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416??

No

## APPENDIX C-PROHIBITION ON WAGE & SALARY HISTORY SCREENING

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted ([www.amlegal.com](http://www.amlegal.com) <<http://www.amlegal.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

-13-

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

## ADDITIONAL INFO

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

The response above to Section V B-2 and B-11 are based upon the best of the Disclosing Party's knowledge after reasonable inquiry.

List of vendor attachments uploaded by City staff

None .

List of attachments uploaded by vendor None.

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 03/16/2020 Nicole Ramsay Legal  
Assistant  
Ozinga Materials, Inc. d/b/a Ozinga Materials & Logistics

- 14-

This is a printed copy of the Economic Disclosure Statement, the original of wh is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.

-15-

**Metropolitan Water Reclamation District of Greater Chicago**

100 EAST ERIE STREET CHICAGO, ILLINOIS 60611-3154 312.751-.5600

**Susan T. Morakalls**

General Counsel

February 21, 2020

312.751.6557 (: 312.751.6598 morakaliss@rnwr.org <mailto:morakaliss@rnwr.org>.

By First Class U.S. Mail and email to: iiksandrnan@gmail.com  
<mailto:iiksandrnan@gmail.com>

Comoron Davio Klumberly Du Buclet Marcolino Garcia .lostoa Morita Dobra Shore Marlyfina T. Spyropoulos

. John Kbty, Presideiit ■" . : ;Sana%iah  
Inc.

Elwood, XL 60421

Re: Request by Lakeshore Recycling Systems, LLC ("Lakeshore?") and Ozinga Materials, Inc.  
("Ozinga") that die Metropolitan Water Reclamation District of Greater Chicago  
(district") Sign a Letter Stating No: Objection t^ Zoning Map Amendment  
' Application on Lakestefefs 11^ Acre: Leasehoja Located> at 3151 South California • Avenuera^  
,S.19CM^ .'v.

DeaJ^Ir.Kpty: -/ .'-

6h ,thiL-^J^'-^^^ct real esliite.

, Please Aote mat riothih in the Executive director's .letter should b^ £is authorizing any  
use of the leaishpld for any pu0pses other than thos^ Article 3.07 of the Lease  
Agreerhent dated November 17,20.16 between the^ bistriQt^dLilfffishote and Paragraph 3 of the  
Consent to Sublease dated April 5 ,, 2018. between the District, Lakeshore arid Ozinga.

Please contact fne at i312 V 751 -6569 or at murravc@.mwd;6fg should vou have any questions.

Christopher M, Murray Head Assistant Attorney

Sincerely yours,.

Attachment

ce; Rich Golf (rgolf@lrsrecvcles.com^ (w/ Attachment) J.R. Wydra  
"(j rwvdra@oziriga.com <mailto:rwvdra@oziriga.com>) (w/  
Attachment)

Kimborly-Du Buclot

Metropolitan Water Reclamation. District of Greater Chicago ^ZtT\*

100 EAST ERIE STREET CHICAGO, ILLINOIS 60611-3154 312.751.5600 ^brashoro

Marlyana T. Spyropoulos

**Susan T. Morakalis**

General Counsel

312.751.6557 f: 312.751.6598 morakafiss@rnwr.org  
<http://rnwr.org>

February 18, 2020

Git^ d^CbJcago , ... 'X:---.-'~XX.:  
Idep&tffie^^  
121Mjia^a]l^ St, 10"²Floor 'XXX' . : Chicago; it  
60602

Rii Lan3pWner3S..Letter of No Objection, to a z^ningMap Amendment Application .to; be Filed

. . pri Behalf of the Respective tenants arid do-Applicants, LaKe^hpre Recyclirig Systems,

;i^: p^  
. Xei^ of eHc^  
V-:" .v!-TJ^^ Illinois;

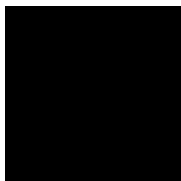
Ozinga Materials, Inc., an  
of\* planning and

T6 Wtiofe It May ; Concern:

Mejtee^he;kdyi&d that the Metidgbh^ ,l^iReclar^pB,iDj^fipt of greater Chicago

\:jf^P} is'^ejp\#er:^

1 ;97 aeres pflaiid located



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■ 'jt^sftpre';^

Sincerely,

^?iah A. Pferkoyich Executive Director

11 #7 acre site from.  
tS:bei filed, pfr



STM:GMM;vp  
' be^^ to estabUsih.  
an Industrial

1\$afei^^

Department of Buildings  
CITY OF CHICAGO

DATE:

**TO:**

FROM: SUBJECT:

05/28/2020

**Patrick Maloney, PE, Assistant Chief Engineer Andrew Billing, PE, Lead Stormwater Reviewer Determination**

**Based on Design Plans, dated: 05/06/2020**

Project Name: Project Address: Tracking Number:

**Ozinga Materials-Driveway Apron Replacement 3151 S California Ave**

**N/A**

**Designer/Developer: Landmark Engineering**

0 Not a Regulated Development. The above referenced project is not a regulated development under the stormwater ordinance for the following reasons:

1. The project does not disturb a land area or substantially contiguous land areas of 15,000 square feet or more.
2. The project does not create an at-grade impervious surface of 7,500 or more substantially contiguous square feet.
3. The project does not result in discharges of stormwater into any waters or separate sewer system.

Departmental requirements are subject to change. This record of determination is valid for one year from the date of issue indicated above. It is .the designer's/developer's responsibility to field check the size, location, and invert elevation of existing sewers and other city-owned or private utilities prior to the start of construction.

Andrew Billing, PE, CFM, Lead Stormwater Reviewer Mackie Consultants, LLC

Brad Hensley, PE, Landmark Engineering

121 "North LaSalle Street, Chicago, Room 900, Chicago, Illinois 60602

*i*

Ozinga Materials & Logistics  
19001 Old LaGrange Road, Mokena, IL 60448  
708.326.4300

June 8, 2020

Department of Planning and Development Attn:  
Commissioner Patrick Murphy City Hall  
121 N LaSalle St., RM 905 Chicago,  
IL.60602

RE: Ozinga Materials - PD Application at 3151S. California Avenue Dear

Commissioner Murphy,

Regarding the PD application at location noted above, Ozinga Materials & Logistics (OML) and its consultant team (Consultant) participated in a pre-intake meeting with various City Departments on November 27, 2019. The following are OML's responses to comments received at the meeting:

- OML will provide a mobile water spraying unit for surface dust control in all unloading, loading and process areas;
- OML will provide a mobile water assisted sweeping device to clean and maintain the driveway surface;
- OML will publish a policy to all customers using the site that engine idling time must be limited to 5 minutes or less to assist in the reduction of greenhouse gas emissions. A sign stating this policy will be posted near the entrance to the property.
- OML will enforce truck tarping policy for all loaded trucks, both inbound & outbound.
- OML will enforce the following noise controls:
  - o 6:00 AM to 6:00 PM during working days
  - o Crushing equipment and mobile yard equipment to meet all noise suppression standards
  - o All arriving materials will be free flowing from truck trailers and will not require tailgate slamming to discharge material - to be posted as company yard policy.

As our desire to serve the local economy and communities, OML is dedicated to lowering environmental impacts at our facilities. Please do not hesitate to contact me if you have any questions.

Sincerely,

**Isaac Yun, P.E.**

Director of Project Development Ozinga

Materials & Logistics 312-343-9434

IsaacYun@Ozinga.com

<mailto:IsaacYun@Ozinga.com>

www.6zinga.com <http://www.6zinga.com>

■l'.r^iSpqi'Old LaGrahge Rpad.-Mokerra,- IL.60448

708.326.4300 ' ■

February 21, 2020

Department of Planning and Development Attn:  
Commissioner Patrick Murphy City Hall  
121 N LaSalle St, RM 905 Chicago,  
IL60602

RE: PD Application at 3151S. California Avenue

Dear Commissioner Murphy,

Regarding the PD application at location noted above, Ozinga Materials & Logistics (OML) and its consultant team (Consultant) participated in a pre-intake meeting with various City Departments on November 27, 2019. The following are OML's responses to comments received at the meeting:

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- OML will provide a mobile water assisted sweeping device to clean and maintain the driveway surface;
- OML will publish a policy to all customers using the site that engine idling time must be limited to 5 minutes or less to assist in the reduction of greenhouse gas emissions. A sign stating this policy will be posted near the entrance to the property.

As our desire to serve the local economy and communities, OML is dedicated to lowering environmental impacts at our facilities. Please do not hesitate to contact me if you have any questions.

Sincerely,

**Isaac Yun, P.E.**

Director of Project Development Ozinga

Materials & Logistics 312-343-9434

IsaacYun@Ozinga.com

<mailto:IsaacYun@Ozinga.com>

# **Ozinga Materials - Applicant IM Crushing - Operator**

**3151 South California Avenue Chicago, IL  
60608**

## **Fugitive Particulate Matter Control Plan**

### **Table of Contents**

1.0 Introduction	1
2.0 Source Information	2
3.0 Description of Unloading and Transportation Equipment with Pollution Control Equipment	3
1 Unloading Operations	3
2 Transporting Operations	3
3 Best Management Practices	3
4.0 Emission Sources Equipment	5
1 Truck Loading and Unloading	5
2 Barge Loading and Unloading	5
3 Material Storage Bins	5
4 Transfer Points and Conveyors	6
5 Crusher Spraying Equipment	6
6 Traffic Areas and Roadway Cleaning	7
5.0 Periodic Inspections	8

## 6.0 Fugitive Particulate Matter Control Plan Amendments

9

### Appendix A - Site Map

## 1.0 Introduction

This document serves as the Fugitive Particulate Matter Control Plan (The Plan) for the OML site located at 3151 South California Avenue, Chicago, Illinois (the Site). This Plan is used as the primary reference for controlling particulate matter, or dust. Guidance for the format of this Plan is provided in Illinois Administrative Codified Regulations 35 IAC 212.309 and 310, and Section 12.5.13 of the City of Chicago Transfer Station Application Requirements and Location, Operating and Design Standards. The activities listed in this Plan shall not be required when the wind speed exceeds 25 mph, pursuant to 35 IAC 212.314. However, IM Crushing will continue to perform dust control measures where feasible when wind speeds exceed 25 mph.

IM Crushing performs the crushing of concrete for recycling purposes. Uncrushed concrete and Recycled CA-6 (crushed concrete) are placed in storage areas at the site. IM Crushing also provides the continuing operation of the barge terminal activities which include the inbound (unloading) receipt and storage of various aggregate materials and the outbound (loading) of various aggregate and other approved waste materials.

Particulate matter emission sources at the site include the following:

1. One primary crusher, one secondary crusher, stacking conveyor(s)
2. Concrete Storage area and aggregates storage bins
3. Roads, including entrances and exits, at the site.
4. Continuing Barge terminal activities

Observations of potential particulate matter emissions will be performed by site personnel. Visible emission observations will generally follow the guidelines typical of USEPA Method 22 procedures. The person performing the observation should have a clear view of the activity and be at least 15 feet away from that activity. The sun should be positioned at the back of the observer. The observation period shall be a minimum of six minutes, or the length of the observation process being observed.

Edits to this plan are reflected in the Amendments section.

## 2.0 Source Information

IM Crushing - 31<sup>st</sup> Street Facility 3151 South California

Avenue Chicago, Illinois 60608 Owner of the Site:

Ozinga materials Operator of the Site: IM Crushing

LLC

Map of the Site: The map of the Site includes site boundaries, structure locations, internal roads, entrance and exit ways, and potential emission points. The map is in Appendix A.

## 3.0 Description of Unloading and Transportation Equipment with Pollution Control Equipment

### 3.1 Unloading Operations

The Site receives previously used, broken concrete from demolition projects. This material is stored on the site, then crushed into Recycled CA-6 concrete aggregates. The concrete aggregates are stored in a holding bin on the property.

Trucks which transport concrete to and from the site are tarped except during unloading operations when they deliver the material directly to the raw material storage area. The material storage bins on the site are surrounded by three walls, and only have one open side for access by material handling loaders. Loaders and trucks also operate within the facility to transport the material throughout the site.

## 2 Process Operations

Pre breaking of the broken concrete is accomplished using hydraulic excavators equipped with hydraulic breakers to reduce the size of the broken concrete to meet the size requirement for introduction to the primary crusher.

Articulating front end Loaders are used to transport broken concrete from the raw material storage bin to the crushers. Conveyor systems transport the product(s) from the crusher to the holding bins.

Fugitive dust generated by delivering concrete to the crusher is controlled by limiting the free fall of the raw material into the crusher.

## 3 Continuing Barge Terminal Operations

Barges are essential to the operations of the site. Old concrete can be shipped to the site on the barges while crushed concrete can be loaded and shipped from the site.

Miscellaneous aggregates are shipped to the site by barge and held in bins to be sold and shipped from the site by truck.

A Material Handler equipped with a clamshell bucket is used to move materials to and from the barge. Fugitive dust generated by delivering materials to and from the barge is controlled by limiting the free fall of materials.

## 4 Best Management Practices

The following is a table which shows the Best Management Practices for each potential dust emission source:

Emission Source	Best Management Practice
Truck Loading and Unloading	A non-accelerating dump speed is applied • during truck unloading and loading Trucks delivering material remain tarped until unloading material at the site Periodic inspection of all dust control equipment
Barge Loading and Unloading	Limiting the free fall distance of material when loading or unloading barges.
All materials Storage Bins	Material does not overflow or escape storage bin walls Water or other dust suppressant is applied to materials when needed Periodic inspection of all material storage bins
Transfer Points	A minimal free fall distance be used when transferring material into the feed hopper A minimal free fall distance will be used when transferring material from conveyors Periodic inspections to ensure best management practices are sustained
Conveyors	Maintain constant speed of conveyors to limit dust Periodic inspections to ensure best management practices are sustained
Crusher Spraying Equipment	Water spray for crusher is continually operating except during precipitation events Periodic inspections to ensure best management practices are sustained

Traffic Areas/Roadway Cleaning

Paved plant roads are maintained using a water assisted sweeper truck during Site operation (weather permitting). Entrances/Exits are maintained using a water assisted sweeper truck during Site operation (weather permitting). Maintain and post a 5-mph speed limit for the Site Periodic inspections to ensure best management practices are sustained

## Section 4.0 Emission Sources

### 1 Truck Loading and Unloading

#### 4.1.1 Activity: Broken concrete (raw material) Unloading and miscellaneous aggregates loading.

Codified Regulation(s): 35 IAC 212.315

Best Management Practices Associated with Activity

- A non-accelerating dump speed and limited free fall distance is practiced during truck unloading and loading
- Trucks transporting material remain covered until loading/unloading material at the site

Scheduled Performance of Practice: Daily

Monitoring Practice: Daily

Broken concrete is transported to and from the site with the use of trucks and barges. The concrete is transported within the site with the use of loaders. The trucks transporting the material must be tarped until the process of unloading or loading takes place.

The Best Management Practices listed above shall be performed daily. If visible emissions are observed, corrective action will be performed.

### 2 Barge Loading and Unloading

#### 4.2.1 Activity: Material loading to and unloading from barges

Codified Regulation(s): 35 IAC 212.315

Best Management Practices Associated with Activity

- A limited free fall distance is practiced during barge unloading and loading

Scheduled Performance of Practice: Daily

Monitoring Practice: Daily

Materials are transported to and from the site with the use barges. The materials are transported within the site with the use of loaders. Loaders will place materials throughout the site with a limited free fall distance.

The Best Management Practices listed above shall be performed daily. If visible emissions are observed, corrective action will be performed.

### 3 Raw Material Storage Bin

#### 4.3.1 Activity: Broken Concrete Storage (raw material) Codified Regulation

(s): 35 IAC 212.304 Best Management Practices Associated with

Activity

- Material does not overflow or escape storage bin walls
- Water or other dust suppressant is applied to materials when needed

Scheduled Performance of Practice: Daily

#### Monitoring Practice:

Broken Concrete stored must not exceed the height of 30ft. In addition, the material must remain within the storage bin. The concrete does not generate dust. However, in the unlikely event that dust is observed, water or another dust suppressant shall be placed on the material.

The Best Management Practices listed above shall be performed daily. If visible emissions are observed, corrective action will be performed. Dust suppressant on the material shall only be placed on the material if visible emissions (dust) is observed.

#### 4.3.2 Activity: Pre-Breaking

##### Best Management Practices Associated with Activity

- Material remains in area of pre-breaking activity

Scheduled Performance of Practice: Daily

Concrete which arrives at the site undergoes a pre-breaking process before being transferred to the crusher. This is usually performed with the use of a jackhammer on a piece of mobile equipment. No dust is generated from this practice.

#### 4 Transfer Points and Conveyors 4.4.1 Activity:

##### Concrete Transfer

Codified Regulation(s): 35 IAC 212.305

##### Best Management Practices Associated with Activity

- A minimal free fall distance will be used when transferring material into the feed hopper
- A minimal free fall distance will be used when transferring material from conveyors
- Maintain constant speed of conveyors to limit dust Scheduled

Performance of Practice: Daily Monitoring Practice: Daily

Concrete is delivered to the feed hopper using loaders. The loaders shall unload the material at a minimum free fall distance to prevent dust from being generated. In addition, conveyors will be placed to limit dust at transfer points. Finally, conveyors will operate at a constant speed.

The Best Management Practices listed above shall be performed daily. If visible emissions are observed, corrective action will be performed.

#### 5 Crusher Spraying Equipment

##### 4.5.1 Activity: Crusher Spray Operation

Codified Regulation(s): 35 IAC 212.308

##### Best Management Practices Associated with Activity

- Water spray for crusher is operating continually during operation except during precipitation events

Scheduled Performance of Practice: Daily Monitoring Practice: Daily



A water spray or equivalent will always be applied to the point of crushing during operation. This will not be operational during precipitation events.

A determination for visible emissions from the point of crushing shall be observed. If visible emissions are observed, corrective action will be performed.

#### Traffic Areas and Roadway Cleaning

- 1 Activity: Operation on Site Roads Codified Regulation(s): 35 IAC  
212.306

##### Best Management Practice Associated with Activity

- Paved site roads are maintained using a wet sweeper truck during Site operation (weather permitting).

Scheduled Performance of Practice: Daily at a minimum

Monitoring Practice: Daily

The internal roads of the site shall be swept to mitigate dust generated from traffic. In addition, a determination for visible emissions from the plant road shall be observed. If visible emissions are observed, corrective action will be performed.

- 2 Activity: Entrance/Exit Operation

##### Best Management Practice Associated with Activity

- Entrances/Exits are maintained using a wet sweeper truck during Site operation (weather permitting).

Scheduled Performance of Practice: Daily at a minimum

Monitoring Practice: Daily

The Entrances/Exits of the plant experience heavy volumes of traffic during a normal workday. These areas shall be swept daily at a minimum to control dust.

In addition, a determination for visible emissions from the Entrances/Exits shall be observed. If visible emissions are observed, corrective action will be performed.

- 3 Activity: Ancillary Roadway Activities

##### Best Management Practices Associated with Activity

- Maintain and post a 5-mph speed limit for the Site

For safety and to prevent dust generation, all vehicles shall be limited to a speed of five miles per hour. This shall always be enforced. This shall be performed on an as-needed basis.

## Section 5.0 Periodic Inspections

Routine inspections are necessary for the proper operation of all equipment at the Site. A weekly inspection shall be performed on the following equipment:

Crusher Spray Equipment Storage Bin  
Walls All Sweepers

## Section 6.0 Fugitive Particulate Matter Control Plan Amendments

Date	Amendment	Name	Signature
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## Appendix A - Site Plan

# Concrete Processing Chicago, Illinois

## Materials and Logistics

Prepared For:

### 1. Introduction

This report summarizes the methodologies, results, and findings of a traffic study conducted by Kenig, Lindgien, O'Huia, Aboona, Inc. (KLOA, Inc.) for a proposed concrete processing facility to be located in Chicago, Illinois. The concrete crushing operation is to be located within the existing Lakeshore Recycling System (LRS) truck and container yard located on the east side of California Avenue along the north side of the Chicago Sanitary and Ship Canal. The western portion of the site for the storage, maintenance, and repair of intermodal containers.

Ozinga Materials and Logistics currently leases the eastern portion of the site as a barge terminal. Access to the overall site is provided via a single access drive located on the east side of California Avenue at the north end of the site.

Ozinga Materials and Logistics is proposing to install a concrete crushing operation on the eastern portion of the site where the barge terminal is located. Processing equipment will include both a primary (Gaw) crusher and a secondary (rotary) shredder that are used in series to produce finished materials (product). In addition, storage bins will be used to stockpile (1) the clean broken concrete transported to the site from local construction and demolition (C&D)

until they are transported from the site. The product will be transported by dump trucks or to the site via barges.

projects, street/highway projects, and other construction projects and processed finished materials

and from the site via

roundabouts, assess the impact that the adjacent street or access accommodate traffic generated by the proposed operation.

dump trucks or to the site via barges.

The purpose of this study was to examine how the proposed operation will have on

traffic condition. In the area, improvements are necessary.

Figure 1 shows the location of the site in relation to

aerial view of the site. The sections of the site are as follows:

- 1. Access to the site from the street
- 2. Direction of traffic flow during the concrete crushing operation
- 3. Vehicle trip generation for the concrete crushing operation
- 4. Future traffic conditions including access to the concrete crushing operation
- 5. Traffic analysis for the weekday morning and evening peak hours
- 6. Recommendations with respect to adequacy of the site access and adjacent street system

### *Concrete Crushing Operation Chicago, Illinois*

### *Concrete Crushing Operation Chicago, Illinois*

#### Concrete Crushing Operation Chicago, Illinois

Traffic capacity analyses were conducted for the weekday morning and evening peak hours for the following conditions:

**Existing Condition** - Analyzes the capacity of the existing street system using existing peak hour traffic volumes in the surrounding area.

**Future Condition** - Analyzes the capacity of the existing street system based on future projected traffic volumes that include the existing traffic volumes in the area, an ambient area growth factor (growth not attributable to any particular development), and the traffic estimated to be generated by the proposed concrete crushing operation.

### *Concrete Crushing Operation Chicago, Illinois*

## 2. Existing Conditions

Existing street and traffic conditions within the study area were documented based on field visits and traffic counts. The following provides a summary of the physical characteristics of the streets including geometry and traffic control, public transportation available in the area, and the peak hour vehicle, pedestrian, and bicycle flows along area streets.

#### Site Location

area in an industrial/warehouse, distribution,

The concrete crushing operation is to be located within the existing LRS truck and container yard located on the east side of California Avenue along the north side of the Chicago Sanitary and Shipping Canal. LRS uses the western portion of the site for the storage, maintenance, and repair of waste industry containers and Ozinga Materials and Logistics. The eastern portion of the site is a barge terminal. Land uses in the area include construction, and trucking facilities.

The study area is illustrated in Figure 3 and

The characteristics of the existing streets described below.

California Avenue is a north-south, major collector street that

partially interchange with I-55 section, except within proximity

#### Existing Street System Characteristics

(to and from the southwest). It generally has one lane in each direction to the I-55 interchange. Two lanes in each direction are provided. Parking is generally prohibited on both sides of the street within the vicinity of the site. Separate left-turn lanes are provided on California Avenue at its intersections with the I-55 on-ramp (dual left-turn lanes on the northbound approach) and 31<sup>st</sup> Street (single left-turn lanes on both approaches). A southbound separate right-turn lane is provided at its intersection with the I-55 on-ramp.

(T) volume

,900-vehicle

California Avenue is under the jurisdiction of the Cook County Department of Transportation and Highways (CDOT) between I-55 and 31<sup>st</sup> Street and the Illinois Department of Transportation (IDOT), has a posted speed limit of 30 mph, and has an Annual Average Daily Traffic (AADT) of approximately 9,000 vehicles (IDOT 2018).

Street is an arterial street that generally has one lane in each direction with left-turn permitted on the south side of the street. At its signalized intersection with California Street, it has a separate left-turn lane, a through lane, and a shared through/right-turn lane.

/soar

on both approaches. 31<sup>st</sup> Street is under the jurisdiction of CDOT and has an AADT volume of approximately 9,000 vehicles (IDOT 2018).

I-55 Off-ramp has dual left-turn lanes and a separate right-turn lane at its signalized intersection with California Avenue.

#### Concrete Crushing Operation Chicago, Illinois

#### Public Transportation

The area is served via the following bus routes:

Route 94 (South California) which has a stop at the site

- Route 60 (Blue Island/26<sup>th</sup>) which has a local stop at the California Avenue/26<sup>th</sup> Street intersection
- Route 35 (31<sup>st</sup>/35<sup>th</sup>) which has a local stop at the California Avenue/31<sup>st</sup> Street intersection

In addition, the CTA Rapid Transit Pink Line has a local stop on California Avenue at 21<sup>st</sup> Street.

#### Existing Traffic Volumes

In order to determine the existing transportation conditions at the intersections, vehicle, pedestrian, and bicycle traffic counts were performed at the following intersections:

- California Avenue with the 1-55 ramps
  - California Avenue with 31<sup>st</sup> Street
  - California Avenue with the existing site access drive
- The results of the traffic counts are as follows:

The traffic counts were performed on Thursday, June 18, 2020 (6:00 to 9:00 A.M.) and evening (3:00 to 6:00 P.M.). The results show that the weekday morning peak hour occurred from 7:15 A.M. to 8:15 A.M. and the weekday evening peak hour occurred from 4:45 P.M. to 5:45 P.M. Figure 4 illustrates the existing peak hour traffic volumes. It is noted that the pedestrian and bicycle activity in the area is very limited. Copies of the traffic volume summary sheets are included in the Appendix.

ii

## Concrete Crushing Operation Chicago, Illinois

### Crash Analysis

KLOA, Inc. obtained accident data for the most recent available past five years (2014 to 2018) for the intersections of California Avenue with the 1-55 ramps, California Avenue with the site access drive, and California Avenue with 31<sup>st</sup> Street. A summary of the crash data for the intersections are summarized in Table 1 through 4. It should be noted that no fatalities were reported at the studied intersections during the review period.

Table 1

#### CALIFORNIA AVENUE WITH 1-55 OFF-RAMP - CRASH SUMMARY

Year

	Type of Accident	Frequency	Angle	Object	Rear End	Sideswipe	Turning	Other	Total
SH SUMMARY									

Table 2

#### CALIFORNIA AVENUE WITH 1-55 ON-RAMP

Year

	Type of Accident	Frequency	Angle	Object	Rear End	Sideswipe	Turning	Other	Total
0									

k	2016	%fg	M	1	0	2	0	5	
Average/r	<1.0	<1.0	2.4	<1.0	3	0	6.8		

Concrete Crushing Operation Chicago, Illinois

Table 3

CALIFORNIA AVENUE WITH 31<sup>st</sup> STREET - CRASH SUMMARY

Year

Average/Year 1.4

Table 4

CALIFORNIA AVENUE WITH SITE A0M1

Type of Accident	Frequency	Angle	Object	Rear End	Sideswipe	Turning	Other
Total							
Type of Accident	Frequency	Angle	Object	Rear End	Sideswipe	Turning	Other
Total							

Concrete Crushing Operation Chicago, Illinois

### 3. Traffic Characteristics of the Proposed Facility

In order to properly evaluate future traffic conditions in the surrounding area, it was necessary to determine the traffic characteristics of the proposed concrete crushing operation including the directional distribution and volumes of traffic that it will generate.

#### Proposed Site and Facility Plan

The concrete crushing operation is to be located within the existing LRS truck and container yard located on the east side of California Avenue along the north side of Chicago Sanitary and Ship Canal. LRS uses the western portion of the site for the storage, maintenance, and repair of the industry containers and Ozinga Materials and Logistics currently lease the eastern portion of the site as a barge terminal.

Ozinga Materials and Logistics is proposing to install a concrete crushing operation on the eastern portion of the site where the barge terminal is located. The concrete crushing equipment will include both a primary (Gaw) crusher and a secondary (rotary) crusher that are used in series to produce finished materials (product). In addition, storage bins will be used to store (1) the clean broken concrete transported to the local construction and demolition (C&D) projects, street/highway projects, and other projects and (2) the finished materials until they are transported from the site.

According to the proposed concrete crushing operation and the existing barge terminal will handle approximately 70 percent of the truck trips per day.

The materials will be transported to and from the site via trucks or to the site via barges.

generate approximately 10M (round-trip, during

A.M. and 3:00 6:00 A.M. to

of the dump trucks are projected to arrive and depart the facility between the hours of 10:00 the operation is estimated to employ three employees and will operate from Monday through Saturday

truck side of (leave to the ground

the services provide

located in the Appendix.  
 Ition

ontainer yard and the subject site is provided via one access drive ia Avenue at the north end of the site. The access drive is aligned the^^raftsfer station and Connelly GPM material yard. Both access lanelmerone outbound lane. The directTONs^r^n which vehicles will approach and depart the site was estimated based on the projected c¥||^fion. According to the operator, approximately 80 percent of the traffic will be traveling tojirid from the south on California Avenue and 20 percent will be traveling to and from the north on California Avenue. Figure 5 illustrates the directional distribution of the concrete crushing operation.

### Concrete Crushing Operation Chicago, Illinois

#### Estimated Site Traffic Generation

The volume of peak hour traffic to be generated by the proposed concrete crushing operation and barge terminal was estimated based on its operation. As discussed previously, the operator has indicated that the concrete crushing operation and the existing barge terminal^jpl^generate approximately 100 to 110 round-trip, dump truck trips per day. ApproximatelyJ|f^percent of the dump truck trips are projected to arrive and depart the site between the hour|p^,10:00 A.M. and 3:00 P.M. The operation is estimated to have three employees and will typi^iy^^|ile from 6:00 A.M. to 6:00 P.M. Monday through Saturday. Table 5 shows the estimaf^ traffic^||^e,generated by the proposed concrete crushing operation.

Table 5

#### PROJECTED PEAK HOUR TRAFFIC VOLUMES CONCRETE CRUSHING OPERATIONS AND BARGEJ^MLNAL V

##### Weekday Morning Peak Hour

In Out Total

##### Weekday Evening Peak Hour

In Out Total

20

*Concrete Crushing Operation*  
 Chicago, Illinois 13

## 4. Projected Traffic Conditions

The total projected traffic volumes include the existing traffic volumes, the traffic es.tHn.ated <<http://es.tHn.ated>> to be generated by the proposed concrete crushing operations, and the traffic estimated/tp'^b'e^generated by other planned developments in the nearby area.

The estimated by the proposed

the previously described directional distribution (Figure 5) the concrete crushing operation is illustrated in Figure

#### Development Traffic Assignment

d weekday morning and evening peak hour traffic volume-s^that will Degenerated ;ed concrete crushing operation were assigned to the®riel\^system in accordaM^ith fe total new traffic assignim

## Planned Developments

the area, an ambient a six-year period to

## Total Projected Traffic Volumes

The concrete crushing operation generated tr\*uffic^j\$ras added™\*%e existing traffic volumes accounting for backgroundjgrowth to determin^|re Year 2025^otal projected traffic volumes, shown in Figure 7.

To account for any additional increase in traffic from growth factor of 0.5 percent per year was^also applied to the study represent Year 2025 conditions.

### Concrete Crushing Operation Chicago, Illinois

## 5. Traffic Analysis and Recommendations...,.^. ;^a :@i^

The following provides an evaluation conducted for the weekday morning and eveningjpeak hours. The analysis includes conducting capacity analyses to determine how well the streef^system and access drive are projected to operate and whether any street improvements oi^jmodifications are required.

### Traffic Analyses

Street and adjacent or nearby intersection analyses were performn^-loRjhe weekday morM|||and evening peak hours for the existing (Year 2019) and Year 2025\$rojected traffic volumes. NssiiSr

The traffic analyses were performed using the metliodolc^Ji.l^tlined in thjI^Eransportation Research Board's Highway Capacity Manual (HCM), 2010 and\*an^sing^S^chro/SimTndiic 10 software. The analysis for the traffic-signal controlled mtersecnor^ere accomplished using field measured cycle lengths and phasings to determine the average owrMl^ehicle delay and levels of service.

The analyses for the unsignalized inteKectio^p^gtihe average contf^plelay to vehicles at an intersection. Control delay is the elapsed timelrom^oiiung the que^ue at a stop sign (includes the time required to decelerate to a stop) until it^epaito^romttlaerston^ign and resumption of free flow speed. The methodology analyzes each rl^ersectpn apprc^ntrolled by a stop sign and considers traffic volumes on all approaches and lan^piaracteristic/r

The ability of an mtei^ri6n^#commodate traffife flow is expressed in terms of level of service, which is assignedydfetter froi^S) F based on theWy#page control delay experienced by vehicles passing throughJ^intersection.Hie Highway Capacity Manual definitions for levels of service and the corresporiamg^oMcol dela^pbr^simalized^iniersections and unsignalized intersections are included in the Apper te^asured in se^h Jslkfor the e^Mng-i

Results showing the level of service and overall intersection delay ["total proj ected conditions are presented in Tables 6 through ^■9. A discussion or^mitersecticraSSllows. Summary sheets for the capacity analyses are included ^in the



Appendix. Ipta

Concrete Crushing Operation Chicago, Illinois

Table 9  
CAPACITY ANALYSIS RESULTS CALIFORNIA  
AVENUE WITH ACCESS DRIVES UNSIGNALIZED

Intersection	Weekday Morning		Weekday Evening	
	Peak Hour LOS	Delay	Peak Hour LOS	Delay

Concrete Crushing Operation Chicago, Illinois

Discussion and Recommendations

The following summarizes how the intersections are projected to operate and identifies any street and traffic control improvements necessary to accommodate the development-generated traffic.

California Avenue with 1-55 Off-Ramp

The results of the capacity analyses show that this signalized intersection currently operates at Level of Service (LOS) C during the weekday morning peak hour and Level of Service (LOS) D during the weekday evening peak hour. Further, all of the intersection movements operate at a good level of service during both peak hours. It should be noted that the northbound left-turn queue at the California Avenue with 1-55 on-ramp intersection often extends past the subject intersection. However, it is important to note that the queue typically does not block the intersection and most motorists will stop and wait south of the subject intersection until the northbound left-turn queue clears. However, this results in additional delay and queueing along the California Avenue and inside through lanes at the subject intersection.

Assuming the Year 2025 projected traffic volumes, the intersection is projected to continue to operate at LOS C during the weekday morning peak hour and LOS D during the weekday evening peak hour. Further, all of the intersection movements are projected to operate at a good level of service. The proposed concrete crushing operation is projected to have a limited impact on the intersection's operation as the additional traffic generated by the concrete crushing

operation is projected to be generated TO street and/or traffic control

operation will represent less than a one percent of the intersection has sufficient reserve capacity and by the proposed concrete crushing operation modifications are required?

## California Avenues

umbou^^it-tum movement. During the evening peak hour, the southbound  
»KIM.: ii.-aj'IS.i T /-VP T7 T?-\_il il 1 \_1 tt\_t 11-A <

*The results of the capacity analysis show that this signalized intersection currently operates at LOS B during the weekday morning peak hour and on the threshold between LOS C/D during the weekday evening peak hour. Further, all of the intersection movements operate at a good level of service during both peak hours.*

Further, as discussed above, the northbound left-turn movement often experiences through the on-stream intersection.

Assuming the Year 2025 projected traffic volumes, the intersection is projected to operate at LOS C during the weekday morning peak hour and on the threshold between LOS C/D during the weekday evening peak hour. Further, all of the intersection movements are projected to continue to operate at a good level of service, except the southbound right-turn movement which is projected to continue to operate at LOS F during the evening peak hour. The proposed concrete crushing operation is projected to have a limited impact on the intersection's operation as the additional traffic generated by the concrete crushing operation will represent less than a one percent increase in the existing traffic volumes. As such, the intersection has sufficient reserve capacity to accommodate the traffic projected to be generated by the proposed concrete crushing operation and no street improvements and/or traffic control modifications are required.

## Concrete Crushing Operation Chicago, Illinois

California Avenue with 31<sup>st</sup> Street

The results of the capacity analyses show that this signalized intersection currently operates at LOS C during the weekday morning and evening peak hours. Further, all of the intersection movements operate at a good level of service during both peak hours, except the southbound through movement which is projected to continue to operate at LOS E during the evening peak hour. The proposed concrete crushing operation is projected to have a limited impact on the intersection's operation as the additional traffic generated by the concrete crushing operation will represent less than a one percent increase in the existing traffic volumes. As such, the intersection has sufficient reserve capacity to accommodate the traffic projected to be generated by the proposed concrete crushing operation and no street improvements and/or traffic control modifications are required.

Assuming the Year 2025 projected traffic volumes, the intersection is projected to continue to operate at LOS C during the weekday morning and evening peak hours. Further, all of the intersection movements are projected to continue to operate at a good level of service, except the southbound through movement which is projected to continue to operate at LOS E during the evening peak hour. The proposed concrete crushing operation is projected to have a limited impact on the intersection's operation as the additional traffic generated by the concrete crushing operation will represent less than a one percent increase in the existing traffic volumes. As such, the intersection has sufficient reserve capacity to accommodate the traffic projected to be generated by the proposed concrete crushing operation and no street improvements and/or traffic control modifications are required.

## California Avenue with Site Access Drives

Site access drives operate at LOS E or F during peak hours due to the higher traffic volumes along the traffic stream. This traffic is able to exit

Access to the LRS truck and container yard site is provided via one access drive located on the east side of California Avenue. The northbound access drive is aligned opposite the access drive to the LRS transfer station material yard. Both access drives provide one inbound lane and one outbound lane with the Outbound lanes under stop sign control.

The results of the capacity analyses show that the weekday morning and evening peak hours. California Avenue will be able to reduce the number of

onto California Avenue. It is anticipated that there will be a minimal delay during the morning and evening peak periods. Assuming the 2025 projected traffic volumes, the access drive is projected to continue to operate at LOS D. It should be noted that the inbound left-turn movements to the access drive are projected to operate at a good level of service.

Avenue and

through 2015 has shown that vehicles exiting the existing access drive have experienced a limited number of crashes. As such, the review of the crash data indicates that the access drive is generally providing efficient and orderly access. Nevertheless, the City should consider installing an "Intersection Warning" sign with or without a flashing beacon along southbound California Avenue north of the viaduct.

The site is bounded by an abandoned railroad right-of-way on the north side of the site with the railroad right-of-way having a viaduct that extends over California Avenue. The viaduct, which has columns in the middle of and on both sides of California Avenue, is located immediately adjacent to the existing access drive. Field observations have shown that the viaduct eastern column can obstruct the view of vehicles traveling southbound on California Avenue. However, the column's visibility is reduced as vehicles pull closer to and/or slightly pull out onto California Avenue. The driver is able to see around the column. Crash data for the past five years (2014

*Concrete Crushing Operation Chicago, Illinois*

## 6. Conclusion

*Based on the preceding analyses and recommendations, the following conclusions have been made:*

The volume of traffic to be generated by the proposed concrete crushing operation will be reduced as some inbound materials will be transported to the site via barges. The volume of traffic generated during the critical weekday morning and evening peak periods will be reduced.

Access to the concrete crushing operation from the LRS dock and container yard will be provided via the existing access drive located on the east side of California Avenue.

Approximately 70 percent of the dump truck trips are projected to arrive at the facility between the hours of 10:00 A.M. and 3:00 P.M. During this time, the volume of traffic will be reduced.

Access to the container yard will be provided via the existing access drive located on the east side of California Avenue at the north end of the site. The access drive is adjacent to the access drive to the LRS transfer station and Connelly GPM material yard. Both access drives provide one inbound lane and one outbound lane.

Field observations have shown that the eastern column of the viaduct on California Avenue immediately north of the existing access drive can obstruct the view of vehicles traveling southbound on California Avenue. However, the column's visibility is reduced as vehicles pull closer to and/or slightly pull out onto California Avenue and the drivers are able to see around the column. A review of the crash data has shown that vehicles exiting the existing access drive have experienced a limited number of crashes. As such, the access drive is

Nevertheless, the City should consider installing or without a flashing beacon along southbound California Avenue north of the viaduct.

The results of the traffic analysis have shown that the street system generally has sufficient reserve capacity to accommodate the limited

volume of peak period traffic to be <sup>^^^E^</sup>by the pro<sup>^</sup>pfed concrete crushing operation. As such, no street and/or traffic  
contrdi<rhM#cations i

*Concrete Crushing Operation Chicago, Illinois*

LEVEL OF SERVICE CRITERIA  
**Signalized Intersections**

Level of Service

Average Control Delay  
Favorable progression. Most vehicles arrive during the green indication and travel through the intersection<sup>^</sup>  
without stopping  
Intel pi etatium (seconds pel velucle)  
Individual cycle failures (i.e., one;,.  
vehicles are not able to depart as a je<sup>^^</sup>f insufficient  
any out  
capacity during the cycle)^<rnay^a|gi|lvto ^Epf^ar-  
Number of vehicles stopping is significant, an<sup>^^</sup>|"-\*s  
vehicles still pass through the intersectio '

**Unsignalized Intersections**

Average Total Delay (SEC/VEH)

>35 -50

Source: Highway Capacity Manual, 2010.

Lanes, Volumes, Timings



54^22^9 '331' 6.1

Approach Delay \_ ' '24.0^ """"237^2.5^CT~3^

Queue Length 50th (ft) \_ 26 127 0 55 73 0 50 Mf j}9

^^%\_ 227

v^,374

927

iiiiii\$ \$li£^^

X£%4.73

#581

Internal Link Dist (ft) 1167 »\_ ' NjpwWJ

" ' ^

Base Capacity (vph) 333 524 724 343 657 513>#465 965 813 171

Spillback Cap Reductn 0 0 0 6 0 0 Ji^v 0 6 <ff 6

Reduced v/c Ratio 0.16 0.44 0.16 0.37 0.25 0.01 X0i^3i^O79 0.15 0.04 0.65 0.05

Area Type: Other \_\_\_\_\_

Actuated Cycle Length: 105

s-OT-T^^KS'snTfrf

Natural Cycle: 105

fcon^l\$type:3p^

Maximum v/c Ratio: 0.79

Intersection Capacity Utilization 152Jffi

Intj^elihlSigr^^

Existing Morning Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

2: California Avenue & 1-55 On Ramp/Access Drive

L'a^:Gfflup!SP^

Lane Configurations 4\* \*5\*j -f^ ff-j\*

FutureVolume(vp'hj 0 "o "" T "T~"l8""\* " 69?" ~ 026 6 37 y0^\\ 237 109

'WXXW-ltfviWX^M' '1900

Ideal Fl6w#;Hpl)V. .', •., ^1?Mi€P0:

::Vl900r^900 -\4l900}A^1900::: 't

1900;;;;>1900r<19

Lane~width (ft) ~" \_ ""~ J2~" J2""7/ if12^T1^ 12

12 "^df?<" 12 12 12

Storage Length (ft) 0 0 0

0 325 ~W (^mo 150

Taper Length "(ft) 25 25 25 ^ N<£~ f^ll^

LaiielllMi^^

Ped Bike Factor

Fit Protected

0.996

0.950 1.5\$T

Fit Permitted

".,7." 0.996 " J^\*"" ~ ~

£at3i:F1bMpW)l%^

Right Turn on Red

10.9W

8.4

Yes

LM Speed'(mph)

~

""""^T\* J»~ \* ' 30 " ^

1

Confl Bikes (#/hr)

100JT 100% 100% 100% 100% 100%

Growth Factor 100%

Bus Blockages (#/hr)

Mid-Block Traffic (%) Share^L^ne^rafg(%jg Lane Group Flow (vph<sup>1</sup>)

Protected Phases

0 247 426

728 1108

. ,, 8 5 \_2 6 6

^"IhFI 6.0 ""^4Tr 71.0 ---^2^q ^To

Detectgi^gg^P

||fnum\_lj]itil\_(s2

Travel Time (s)

l&amp;|feiBlit(s) WSL-. \_ 10.0 10.0 51.0 75.0 24.0 24.0

YeSow^PI^ 'W \_Z 30^ 3"?03.0' \*"" 3.0~ ~3.cS &lt;http://~3.cS&gt;

LosjTimeM^®\ , 0.0 0.0 0.0 - \_ \_0.£

MLo^^

Lead/Lag ,^P\$P^

i-a9

Lead Lead

Recall Mode &amp;

None None

Max C-Max

C-Max C-Max

Actuated g/C Ratio

Existing Morning Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

2: California Avenue & 1-55 On Ramp/Access Drive

Control Delay

40.2 20.6 3.6 \_ yfv <sup>26-1</sup> 22.3

IPIPIllili^

Total Delay \_

40.2

20.6 \_ 3.8 Xffi 26.1 22.3

Approach Delay 40.2" 10.5 / ^v-?^ 23.7

Queue Length 50th (ft) 11 \_ 162 <|0T 57 \_ 84  
Internal Link Dist (ft)

llssiifiriM^

i^ayie^^

1081

331 ""

SlarVatio^CafeReducS

Spillback Cap Reductn \_

O^^sjilA

<0 \_ 0

Reduced v/c Ratio

Area Type: Other

Actuated Cycle Length: 85

Natural Cycle: 85

# 95th percentile volume exceeds capacity^ugue may be longer.

i>Drive

t

m Volume for 95th peffiMil^^^e^mete^gy^s^r^m^nal. Splits and Phases: 2: CalHbrnla^^ije^^S^r^^8^

02 (Hi;

Existing Morning Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

3: California Avenue & 1-55 Off Ramp

> > \ f f V

Lane Configurations ^ f

£ \_ i \_ li^

Future Volume (vph) \_ 485\* 248 \_ 0 \_ 1277 240 J) \_ ^pa^

Lane Width (ft)' " ""iT"" ^~~~j2 T3\*~""~12 ""12" \*~M\*L

! j \_ i ^

Storage Length (ft) 0 0 0 0 'jw ^Mk

jSrgliltef^

Taper Length (ft) 25 25 ^%

Ped Bike Factor

0.950

jgjffiS' \_ ^

Fit Protected

»fl^(prot);|li^



Fit Permitted 0.950 <^~^^W|..

Right Turn on Red Yes Yes Nsj|^s.

Link Speed (mph) 30 30 n|^v A

Travel Time (s) 12 6 ^Sffi-5>^ lif

100%

Minpum Initial (s) A^jja 5.0^£jF 40.0 29.0

%^ppjit(s) flM 12.0 44.0 32.0 ~1 L

YeljoW^^^^ J-p' 2\_-o' 3i 3.0 "" " " "

•All-i^fiffe

Lost Time Acj%ffs~^ yfo.0 0.0 ■ 0.00.0 ■

OM lffliM

Lead/Lag .^^f^ Lag ^Lead \_^ ' ^

Recall Mode \* Max Max Max Max

0.44 0.60

0.47 0.34

ftc];Effct:Gf^

Actuated g/C Ratio

Existing Morning Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

3: California Avenue & 1-55 Off Ramp

> > «s t l v

Control Delay \_ 26 6 1.8

Total Delayr \_26.6\_ 1.8

Approach Delay 18.2

Queue Length50th (ft) 214 "~'o"

InternalLinkWst(ftl' \* 474

26.2 44.4 c/\

26.2 \_44.4 \_

\_26.2 44.4 ^x|^V

315 77 <\$#

253 401 ^ \_

1573 1090  
 Spillback Cap Reductn 0 0  
 Reduced v/c Ratio 0.71 0.28  
 0 0 ytMfMkK MK ^  
 0.85 0.23

Intersection Capacity Utilization 72.8fl\_gggfe»". ICU E^el of Service C

"V 04 •

Existing Morning Peak 11/18/2019 Baseline  
 HCM 6th TWSC  
 4: California Avenue & Access Drive

Int Delay, s/veh 1.4

Lane Configurations 4\* 4\* 4\*  
 illtil

Storage Lei \_ 6 1 29 3 0 1 27 1016

Grade, % 0 - - 0 - - 0  
 Conflicting Flow All 1772 1773 653 1789 1786 1060 666 0 0 106Qjgi^0 0

StageJ 1115 1116 - 673 670 ' " \_

&it>awy^^

Critical Hdwy Stg 1 7.1 6.5 7.1 5.5

Follow-up Hdwy 4.4 4.9 4.11 ' 4.4 4 4.2^02 ^f?J

Bros\*

Stage 1 326 339 ^^166 285 - W - '\ „,„„„  
 HCM LanlkBatio 0.Qj3j - - 0.295 0.113 0.005

HCM Lane LOS||;..^ # B A - E F B A  
 rHf|fr9,5ttt»



38\_0

i'l3l6

Confl. Bikes (#/hr) %  
 ^^^Mfewn^ 3 2

38.0\_

" \_43F" "41.0%"

Sjwitl

l/jnurn Initial (s) J^||»?0 J40

43 0 31)%  
 ^""H&S^

3.0

3.0

\_3.0

3.0

\_6"

"^15.0 ~58~"b 9^0 43JD il4.3%£v5j5T2%^{"?  
 p%f?41i0%"

3.0

Piilyiastpi^ &gt;.riafrg ■\_».( ): g;yr-aQ;^^io - lOtV y.oo-.-. 2.6" ■ ' "6.6 2:0

0.0

0.0

CToT; &gt;5:0

Lag Lag

Lostfime^usj^" ^0^0~ Q-Q "" 0.0 ""^1).0 "" ~O.O" 0.0 "• 0.6"" ""IT"" ""6.0 "totalis

Lead/Lag	Lag	Lag	Lead	Lead	Lead	Lead Lag
----------	-----	-----	------	------	------	----------

Max ' Max Max

Max

Max Max Max Max Max Max Max Max

l^ad;Lag!Optinp^

•;-3p "036

^38:0§

"ol"6

Actuated g/C Ratio '~ 0.32" 0.32 " 045\* 0.42 04l' " O.Ti"" 0.52 \*" 0.50 "" 0758"6\*!3T

Recall Mode	Mav	m^v	m_v	M-av	M-av	m^v	Mov m.w
-------------	-----	-----	-----	------	------	-----	---------

Existing Evening Peak 11/18/2019 Baseline

## Lanes, Volumes, Timings

1: California Avenue &amp; 31st Street

Control\* DeiaY 254 ' 28.911.9 32.0"2aT" 0A^~""7€f""26l5/f 2^|~55Abl

Total DeiaY 25.4 28.9 1J.9 32.0 ' 24.8 0.1.' 42T "20.1' 5^^2Z2 "55.1 ' • O.'i'

ApproachJDelay	18.7	27.6	2li~4^^% 52.3
Queue Length 50th (ft)	9	99	74 128 155 0 _84 J>23?~
14* ^isk_3}8 _0			

Internal Link Dist (ft) 1167 ""927 ~2mik0&lt;\* ^W^A"

Base Capacity (vph)

rU.:V.V.V.:tIV/.

324 586 774 418 733 570  
 sjiiifiMe^ ^266 922 840 325 6B^jgp93  
 Spillback Cap Reductn 0 0 0 0 0 ^mmk>. 0 0 0 <o 0  
 pt6fageICM;|pgul^  
 Reduced v/c Ratio 0.06 033 6.41 0.67 6.44 0.02\*\*^|p0.5'5 6.13 0.03 0.93 6.05

Area Type: Other ^^jp&amp;v

Actuated Cycle Length<sup>TM</sup>i05

Natural Cycle: 105 1|

IMl'y"^^

Maximum v/c Ratio: 0.93

Intersection Capacjy Utilization 1^^B||Z" ^ ^ ^|^^j^^pf Se^ceTT^^ ,;- ' ^

Splits and Phases: 1: Califorlrufjj^flue \$mwsh&tM

Existing Evening Peak 11 /18/2019'Baseline

Synchro 10 Report

Page 2

Lanes, Volumes, Timings

2: California Avenue & 1-55 On Ramp/Access Drive

Lane Configuration^ \_ 4\* V! ft\* \_ \_ fjt \*  
 plrftjeffio'j^^  
 Future Volume (vph) 6 0 0 1\_ 0 \_ 0 763  
 800 3 ,J^0%. 414 77?  
 Lane Widthi (ft)i 12 ~| " "l2 ~ "12\* ~ "~lf ~"j2 " '  
 if '^..£""1212 ~~"l2  
 Storage Length (ft) 0 0 6 0 325 ZJF Pj^O 150  
 Taper Length (ft) 25 25 25^ ^ l^jlik  
 l^£P:li^f^  
 Ped Bike Factor "~\* " jfpO " l""oT ^pK^oi\*  
 Fit Protected 0.950 0.950 ~C  
 ^ifcFJ^tpB)!!^  
 Fit Permitted 0.950 ' ""  
 ^a;«»)^^  
 Right Turn on Red Yes Yes Nj^K Yes Yes  
 Link Speed (mph) 30 \_ j^, 30 ^3%,, „>» 30  
 ihl^ista^(ft)i^  
 Travel Time (s) 26.4 \f^M&3>^ 10.9)s|>^ 8.4



Base Capacity (vph)	127	Jjfr 540	3315
Queue Length 50th (ft)	1	177	<f;6j
Internal Link Dist fm	1081	331 ■	3§1MP1
Area Type:	Other ns_bv		^W^jL
		^*ctn "	<c
			14_ \$p'80

Actuated Cycle Length: 85

Natural Cycle: 105

Maximum v/c Ratio: 1.10

Intersection Capacity Utilization 96.5J^

Volume exceeds capacity^aeue isi theore|fealy infinite. \_ \_ \_ \_ \_

# 95th percentile volu^e^eeafcxapacity, gu^ujemay be longer. fe\*\*Queue;shoyynM\$|^

Splits and Phas;es^\_a2\_ alifornia Aveqi\_ejfijs55 On Ramp/Access Drive  
\\05

^ 08

Existing Evening Peak 11/18/2019 Baseline  
Lanes, Volumes, Timings  
3: California Avenue & 1-55 Off Ramp

11/18/2019 ^

lane Configurations	^	~	ff	^	"	~ ~"
---------------------	---	---	----	---	---	------

Future Volume (vph)449 606 0 1117 415 0 ™  
**mmm^mm'mmMmxMmmmm**

Lane Width (ft) ^ J\_2 \_ 12 12 J2\_ J2\_ 12  
**mmmmmmmm^Mm**

Storage Length (ft)	0	0	0	" ~ 0 ~ ~
Taper Length (ft)	25	25	^	^ ^ V/>K
Ped Bie Factor	"	*****	~~"	' " *
Fit Protected 0.950	PI			
FIT Permitted	0.950		^	
Right Turn on Red	Yes	Yes		

H  
Link Speed (mph) 30 ^0 30 ^mw^K s>  
WM

Travel Time (s) 12.6 Confl. Bikes |

Growth Factor 100% 100% 100% 1C

i |  
Bus Blockages (Mir) AWm%W\$&i\ 0 0 JU 0

Mid-Block Traffic) \$h'ar"f  
Lane Group Flow (vphf ^M\$3< GSg^SssB-jw^-L^e Jgfl . 0

Protected Phases ^ ^4fJ;^6 -^ay 5

Detedoj^Se^S^MSfe. 4 a 1 5  
jswiM  
(s) W3;f|~"."5.0 1^ 46.0 35.0

wmmmmm

ital\$(s) 3^0| 12.0 50.0 38.0

Yellow^mM?! M9^ 2.0 ~\*""~ 3.0 3.0

Lost Time Adjip(sX ^0.0 0.0 -0.0 0.0

Lead/Lag w~\$?\_ Lag Lead

Recall\_Mode \* Max Max Max.. Max  
Si|ff(  
Actuated g/C Ratio 0.36 0.53 0.54 0.41

Existing Evening Peak 11/18/2019 Baseline

### Lanes, Volumes, Timings

#### 3: California Avenue & 1-55 Off Ramp

> > ^ t 1 v

""^04

Pis \_!~5~" \_"2^3\_ ""^

Existing Evening Peak 11/18/2019 Baseline

HCM 6th TWSC

#### 4: California Avenue & Access Drive

IrjtereeEtj^

Int Delay, s/veh 4.1



Lano Configurationo 4+ 4\* 4\* 4\*-

Future Vol;\_yeh/h 16 T 18 " 1 6 ' 0  
 "20 " 780 \* 0~\* 0 TI 67 ^#SC/"

gpnlfipP^

Sign Control \_ \_ Stop Stop \_Stop \_\_Stop Stop Stop

"freeFree Free \_"Free~ FreWFree" 3P^iC

StorageLength \_-\_-\_\_ - \_- - - J?K

^ - ~73^#V  
 0 \2v 53

Grade. % 0 - - 0 - - 0 M

- 0 - ^litM

Heavy Vehicles, % \_ 56 0 22 100 0 0 20 A^mi

Stage 2 873 877 - 12591259jPfl"\_Sw -

gicaljpyM^^

Critical Hdwy Stg 1 6.66 5.5 ■ 7.1 5.5

^\_^^^j\_ ^a^ -

Follow-up Hdwy 4.004 • 4 3.498 4.4 4 3.3\*

12.38

• Stage 1 165 246 ^^237 369 - iff - - - -

Platoon blocked, % \_

|||||^

Mov Cap-2 Maneuver ^2J\$||^16 - \$P 46

Stage 2 . 257 "SffiH "" ~ " \_\_\_\_\_

## Hofros ^rIM

aa j s ~^^

0.043<sup>7</sup> - - 0.827 0.066

ftSM;6onij|^

HCM Lane LO^^y \_ \_\_\_\_\_"

^^•96tli^tili>(^i)

## Lanes, Volumes, Timings

1: California Avenue &amp; 31st Street

&lt; t a v i, v

ilnlGroliggCfe

Lane Configurations

\*j f j \* ^ f - f \* i f f ' 1 ' 5 f f

; I \_ I \* &gt; \_ M

Future Volume (vph)

54 235 119 129 \_ 163 \_ 4 \_ 161 769 126

414 28

ipi; F' l6w\$pp^ ^

Lane Width "(ft)

~ ' 12 " ~ ~ 12 ~ 12 \* 12 " ~ 12 I T 12 12 " ~ ~ l2 " l2 ' 1 \_

Storage Length (ft) 50 110 60 80 65 J% 50% ^ l 60 70

taperLength (jft)

110 \_ \_ \_ \_ 25

75 ^ J \* ^

' ^ % s

i i f " " W i " " ; ^ ^

PedBikeFactor" \*

1.00 \* \* ~ \* " ~ \* \* " " " " " " " " 0.98

" " " " " ~ "

" 1 - 0 ^ j i l ^ S \*

i " r i i i i l ^ ^

Fit Protected

0 \_ 950

o \_ 950

^ K . o : ^ 0 . V V ... o \_ 950

SafflSFliMpf^ ^

Fit Permitted

" " " 0.652 \* " " "

" 0.423 \* " " \* % f l ! - 83 " " T224

Right Turn on Red

Yes

Yes ^ l p l \* £ \ Yes Yes

IMIFlpw.(RTO r l ^

Link Speed (mph)

30

30

\_ 3 ^ o % \_ \_ \_

\_ 30

10.3

28.3

Travel Time (s) Confl. Bikes

(#/hr)

i T 100% ioo% ioo% ioo% > p p ^ ioo% iooj s \* " 100% 100% ioo% 100%  
100%

MimK 1 o " p o o o o o o o o

o ^ s J f ^ f - - o % - - 0 % -

Mid-Block-Traffic (%) \_

4 164 \_ 785 129 7 422 29

Lane Group Flow (vphf)

Protected Phases

^ f \_ S s . . . 4

Detedoj ^ g ^ ^ ^ ^ ^

4 \*

" ^ j \_ \* \_ &gt; \_

5 .

3 8 8 5

2 3 6

6

6

" j i f p u m Initial (s)

l p l l L \_ .

31 - o

J \_ f \_ - J - o

40 0

40.0

15.0

56.0

6.0

38.0

38.0

38.0

8 : o ) " f p . l f e

p n l p

" W g M t ( s )

"

M M

35.0

18.0

" 9.0

\* 44.0

"

44 ? 0

" 18.0

610

9.0

" ~ 43 . ( T

43.0 "

\* 43 ? 6

P " f \$ " f j M

Yelo ^ w ^ l l s ) " "

" m ~ " Z J H "

3 0

1.0 \_ 3.0

3.0 ~ J L 0 \*

" " 3.0 " 3.0 \_

3 \* 5 " "

3.0 \* 3.6

Lost Time A ^ ^ s K "

y ^ 00

0 - 0

0.0

0.0

0.0

0.0

0.0

0.0

0.0

0.0

0.0

0.0



Existing Morning Peak 11/18/2019 Baseline

Synchro 10 Report

Page 2

## Lanes, Volumes, Timings

2: California Avenue & 1-55 On Ramp/Access Drive

## Lane Configurations

Lane Configurations								4*	*\*	^t*	tt <sup>1</sup> f		
Future 426	Volume	(vph)	0	0	0	3	19	19	720	1065	38	/W^.	247

## iMJi^t^fRfe

LaneJWidth^ (ft)_			12	J2		12		12		12		12	~ ?2 '~"j\$>L'
-------------------	--	--	----	----	--	----	--	----	--	----	--	----	----------------

"li "l2 12

Storage Length (ft) 0 0 0 325 Jjf <\$0& 150

Ped Bike Factor <sup>TM</sup> ^g'^ 0,3.9

Fit Protected 0.997 J%To\$50 li

Fit Permitted

Sata;FI»e^^

Right Turn on Red ■ Yes Yes Yes Yes

Link Speed (mph) 30 ^ 30 £ \_l

Travel Time (s) 26.4 \_l\_|p%^>J\_

Confl. Bikes (#/hr) ^r^m^^

Growth	Factor	~	100%	100%	100%	100%	^ "~"y	100%	IQOM^	100%	100%
100%	100%	100%									

jjjeM»|^f|^

Bus Blockages (#/hr)\ " 0 0 ^0 0 0 0 0 0 0 0

750 1149

257 444

Mid-Block\_Traffic (%) J@?^O^f ~ W\$!\$ggf 0% 0% .

Lane Group Flow (vphf  
Protected Phases ^

5 2 \_ 6

5 2 6 6

10.0 10.0  
24.0 24.0

6.0 6.0 47.0 71.0 20.0 20.0

51.0 75.0

Yello«tl^

-- ■ If 3.0 3.0 3.0 3.6 3.6" 375

Lost Time Adj^j^ 0.0 0.0 . o.O ■ 0.6 6.6

iTptal^LoMifne^^

Lead/Lag , .^t|y ...

L^~L^aB^tjpe?^

Recall Mode ^

IFEfMr?em(s^

None None

Max C-Max

C-Max C-Max

Synchro 10 Report Page 3

Actuated g/C Ratio ----- o.550.90""~"6'\_80.28

Existing Morning Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

2: California Avenue & 1-55 On Ramp/Access Drive

Control Delay 41.2 20.6 3 6 \_26 2 26.6

iiuepiliiii^

fotai Delay \_ 41.2 20.6 3.8 JBT 26\_2 26.6

Approach Delay 41.2 10.4 26.5

Queue Length 50th ( ) 12 168 <Sf ^NGL \_ 105

Internal Link Dist (ft) Hi 081

"AP||| " \*~

331 "A|&amp;T\*

Base Capacity (vph)

93 jp'669 2974

91&lt;^p53

Spillback Cap Reductn

0 \_yj^jpv 0 "~ "A&amp;K

# 0

Area iype: Other

^ ....

Actuated Cycle Length: 85 Natural Cycle: 85

Reduced v/c Ratio 0.46 X^ttfSyfih.59 0.28 0.80

loteM^tifti

Area Type

mm

Natural Uycie: tii&gt; \_ yflk \_ ^^m^^i^

\_ ^ \_

Maximum v/c Ratio: 0.80

ra, /W viy

Intersection Capacity Utilization 80.5ffiSIII\*""ffo\_i - l"CUlj|»Vel of Service D

^al^isIF^^

m Volume for 95th pe/ceWil|ifugije is mete[\_£!\_\_upstream Splits and Phases: 2: California"!\*.^^

# 95th percentile volume ej^pds capacity^fjpes may be longer, ilk-^ \_

m Vnlmo fr QRth nof>croli«nM,t to ic mptorc-fvrtv imQtroam cinnnl f-''

Existing Morning Peak 11/18/2019 Baseline  
 Lanes, Volumes, Timings  
3: California Avenue & 1-55 Off Ramp

> > A t W

Lane;Grpug.j£^

Lane Configurations \*/ f

Future VoTume (vpilP505 ^ 255~ \*o\*\*\*\*\*T3T8""^250""o""TM""

Lane Width (ft) ' ""12 12 ^ 12"72\*\*\* Ti'^TT\*\*\* "

Storage Length (ft)\_ 6 0 0 \_ ° \_ ~JmL 3|PSy -

Storageflarj^,"H;:

Taper Length (ft) "25' 25" " " "" ^ "

Ped Bike Factor

Fit Protected 0 950 /\$£ V

Fit Permitted 0 950 ^""jj"f>.

SaldgFlbMplrnTI" ^

Right Turn on Red Yes

Link Speed (mph) 30 ^30 30 "" "" ^

Travel Time (s) 12J3 7j^ial9li3^ |

100%

Bus Blockages (#/hr)

0%.

Protected Phases"

40.0 29.0 --

44.0 32.0

Yellow^\*rmf '!'W ^ -1 J° \_ . \_\_\_\_ TM \_

LosttimeAa^(sK " "J#0.b" " 6.0 "" 0.0- " " 0.0 ' \* "" "" ■ " \* ' \_

Lead/Lag ^\_#\*^ Lag Lead

Max Max

Max Max

LjjgTLag QpTimize?>%r RecaltMode ^~<T~'

^EjTcf;Gr|elB(s):^#v

Actuated g/C Ratio

&W#^\* \_ "^\40:0v;^>\_9;0^ " " 0.44 "" 0.60 "677~1)'3T"

Existing Morning Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

3: California Avenue & 1-55 Off Ramp

"\_e\_W\_I\_\* ^^^ 19.2 28.0 \_ 44.6 \_"" \_

Queue Length 50th (ft) 227 0 332 80 <M

Internal Link Dist (ft) 474 253 401 J^SZ\_K

iFm~f< ^^

Base Capacity (vph) 707 934 1573 1090 /V \_ \_Jw^

Spillback Cap Reductn 0 0 0 / if\*% MK \*

Reduced v/c Ratio 0.74 0.28 0.87 ' 0.24 ^Mptf

jrj ^b^6l3\_Mr^

Area Type: Other \*^\$!l?L.

Actuated Cycle Length: 85 }||^P""^%a\_- \_ \_

I \_li \_^^

Natural Cycle: 85 \_ J\$!\_ \_

Maximum v/c Ratio: 0.87

fnt&\_o\$Sffl^

# 95thpercentilevolume exmlfecapacif^jfejjei fjfrQuei^

Splits and Phases: 3: Califorhi%^aue &J,S^Mitlrf\$\*Bs

Existing Morning Peak 11/18/2019 Baseline

HCM 6th TWSC

4: California Avenue & Access Drive

\_ \_ \_ ( \_ \_ \_

Int Delay, s/veh 2.8 j"\$""~jg  
 Lane Configuration G 4» 4>\_ \_4V «\$▶ ^^"Z-""^  
 Future Vol, veh/h " 6 " ""130\*\* TT' T 3 28-""l047<T4""632 "7\$Mk " "~

Existing Morning Peak 11/18/2019 Baseline

# Capacity Analysis Sheets

## Total Projected Weekday Evening 4th Hour Conditions

Lanes, Volumes, Timings  
 1: California Avenue & 31st Street

K ^ f A v | V

-an^Grou^

Lane Configurations			j	-f	f	j*	j/	f	j*	j/	f	^0%,
Future	Volume	(vph)	20	196	317	280	324	10	208	506	108	
622	28											
12	'12		12				1*2	~"l2	12	12	3¥k	~'2
~" 12	12											
ru reimiueu	u.ati			0.495		^ "^\6l098						
Right Turn on Red				Yes Yes								
M>0;. £863U:^\	Yes Yes											
Link Speed (mph)			30		^ 30							
30												

Mid-Block Traffic (%) Lane Group Flow (vph) Protected Phases  
 Lane Width (ft) \_

J\$, 10 214 522 111 10 b4l zy																	
			& 8		.5	2	3 R										
5	^^^2**^		8		52	36											
K 5~	38	8	5	2	3666												
			'° 6.0 43.0		43.0	12.053.0	6.038.0	38 0	38.0								
			i®"f"ftllli^														
			15.0		9.0	47.0	47.0	15.058.0	9 0	43 0	430						
			J38.015.0		9.047.0~47.0	15 0	58.0	9.0	43.0	43.0	* 43~0						



3.0  
3.0  
3.0

11

0.0  
lilliii.^  
0.0 0.0 0.0

il)^lI^o^Sl~io]^g

Lead Lag Lag Lag

Yellr^j^" "~~~~ ~W rd"" " 3.iT ""\*3.0 "b ""3.0 3.0 3.0 " "

Lost Time A\$ y^O.O 00 \_0j0 0.0 ■ 0.0 0.0 0.0 O.O

j.Yes >Yes ,;^i>^i;3:ilXes

Lead/Lag Lag Lag Lead Lead Lead

Max

Recall Mode ^ct€ffjC^G.reefr(s):^v Actuated g/C Ratio

^aglQptj^

y-34:0-" ;s,,3.4;0- ^^7=0 ^-5^4;0; 43:0>v.v" 43.0£v.-\* 55"0» 53V0^•' 6\_¥0

6732 "5.32 6745 "6.42 oaT"041 6752""olio6.58"

Max Max Max Max Max Max Max Max Max Max Max

..^o;'^\_p^p8:0

"" 6.36"" 63 "6736

Existing Evening Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

1: California Avenue & 31st Street

Control Delay \_ Total Delay

Approach Delay

Q^u"eTe^thJOth (ft)

Inte'mafLink'prstiftr"" " "

Base Capacity (vph)

~2SIT 12.8" jS3.8\_" 25.0 \_"61 45.1\*

iii\_i\_iii^

29.1 12.8 33.8 25.0 0.1 \_ 45.1

-oTi'PMI

19.3 28.6

10 \_ 103 82\_ 133 160

927

∴28- ^?\_\_\_\_\_ ^

SS\_

317

1167

"586-"\* 769" A13 733 "' '570^#"266"

21.1 5.3 22>2% 60.4 0.1

"\_1.i' 530222 ' '60.4ai

25.1 ^y^,^ 57.3

^3Jl \_\_15

iM\_\_\_\_siii§Siii^,^iis

922 840 312 66^^893

Area Type: Other \_\_\_\_\_

Actuated Cycle Length: 105 ^w\*?ll\*j~\$i\_»\_  
\*Mj^

Natural Cycle: 105

Maximum v/c Ratio: 0.96

\_gj\_K-^7:T&K-7:T-T?gyfgc

intef-ectibo" \_]t~CT

Intersection Capacity Utilization 155,ygj\_ ^ll|^ ICU \_\el of Service H

# 95th percentile volume ejCpas capadty^q^ejup may be longer^^fj^.^

i"~~|ufil&^

Splits and Phases: 1: Califoriiig^erjue &4<tst? Meft

Existing Evening Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

2: California Avenue & 1-55 On Ramp/Access Drive

Lane Configurations \_ 4\*- Vt ft\* \_ tt<sup>1</sup> f

Future V^umT(vr^)' ' jf "o" T1 ' ' 0™ """"o 786""liiT\*J 429 ' 800

Lane Width (ftf ' \*' 12 "l2 12 ~ 12 ""ll' "A""yf '7\_ ""\*12 \_3'C^12 ~\*\* "lT ~\*Ti

Storage Length (ft) 0 0 0 0 325 /W O^ffSSO 150

Taper Length (ft) \_ 25 \_ 25\_ 25^ % \_ 1^^\_

Fit Protected \_.,\_""\_ 0.950 ^af 0.950

Fit Permitted 0.950 ^ ""^G'&SO J<r^

SatfrmMpl""^

Right Turn on Red Yes Yes Yes Yes

MElpIMR^^

Link Speed (mph) 30 30 "" >P"fe». ^ 30

Einlpipn^ft)^

Travel Time (s) 264 M

Confl. Bikes ff/hr)~~

m ^M^r^f

4 2

Growth Factor 100% 100% 100% 100% W0y 100% 100|r 100% 100% 100% 100% 100%

873 929 0 0, 477 889

5 2

6 6

8

6.0 6.0 40.0 71.0

27.0 27.0

J||

\*10.0 100

75.0"3l".0 " 31.0

Yellow^mj^s) ' ""fy\* "" "" ~ 3.0 3.0 ' ^~3T^ 3\*0 ~ 3.0 ""

3.0

Lost Time AdiOsfefs'k """/"F

'~""p'^^

• o'T

"

oT6"6"""""""" " o'o- o~o

Lead/Lag  
Lead

^|p\$y^

—

Lag \_\_\_\_ Lead

Recall Mode

None

None

Max

C-Max

C-Max

C-Max

ActjEfe;G^(s)^

Actuated g/C Ratio 0\*07

0.47

O.-f\*\*\*

\*

0.41

0.41

Existing Evening Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

2: California Avenue &amp; 1-55 On Ramp/Access Drive

\$PPS77'7"P

Control'Deay

"

\*\* 37.0

' 15.2"" ' OA

\* A. 18:6 101.2

85 -474

Vii r\_r^io&gt;

Total Delay

""~

~ 37\*0 \*\*15.20.4" ' ""W " 18.6 101.2

Queue Length 50th (ft)

181 &lt;&amp;0]

^ \_\_\_\_7

Internal Link Dist (ft)

1081

331 Jg^AOV\*

Base Capacity (vph)

127

^#^40 " 3318 14\_fe^p776

St^atiofcCa^

Spillback Cap Reductn

6

0 JpwK

&lt;ff 0

Reduced v/c Ratio

0.01

^57^0.28

0.33 1.15

Area Type:

Other

^ \_ ^

Actuated Cycle Length: 85 HI^^-^^

Natural Cycle: 115 J.

Maximum v/c Ratio: 1.15

**mm.**

{ntefjegtji^  
^B?(®\_w

■«m\_i-lr^B-\_l§iM

Splits and^ggjgg|^\_a!\_a\_nia Avenue%|^5.5\_pn Ramp/Access Drive

Existing Evening Peak 11/18/2019 Baseline

Lanes, Volumes, Timings

3: California Avenue & 1-55 Off Ramp

12 12 12 12

467 624

Storage Length

gtxjT^eJpfjes^g Taper Length (ft

25 25

Ped Bike Factor

^

""""

/^TT^"" '

^^^T "

Fit Protected 0.950

Fit Permitted 0.950

Right Turn on Red

Yes

Brik^Distance. (ft)' ^ti::X¥m^Mm^ ^ %iMI^3~|pi4.81

Travel Time fsj 12.6

Confl. Bikes (#/hr)

.

' %

Growth Factor

100%

100%

100%

100%

Bus Blockages: (#/hr) " '

ytOj^f^pj^ 0

0 \_j|0 0

**mmmm**

Link Speed (mph)

30

<?\_3U ~30~

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powered by Legistar™

Existing Evening Peak 11/18/2019 Baseline  
 HCM 6th TWSC  
 4: California Avenue & Access Drive

Int Delay, s/veh 7.5

Lane Configurations 4» 4\* \_ 4\* 4\* /\$T\*\*

Future Vol, veh/h

16

1 18

JralcMlf^

0 2 21 804 8 2 1202

Sign Control Stop Stop Stop Stop JStop Stop Free Free Free Free Freje/ Free J^ffli^V

Rli^jMii^^

Storage Length - - - \_ - - - y£\$>> -

Grade, %

Vehli^gjTfBt^^

- - o - - 0 - - 0 - 0 -

Heavy Vehicles, % 56 0 22 100 0 100 20 J\$fl^00 100 )2y 53 J?

MI ^ j^

Conflicting Flow All 2199 2206 1289 2210 2210 866 1297 0 0 8\*3^^ 0

Stage 2 906 913 - 1301 1301 Mlfe^l " - ^0mF

acii-rjiawy^M

Critical Hdwy Stg 1 6.66 5.5 - 7.1 5.5 W^^lii&sw. - ? -

Ontic\_jMa^Stg;\_d^

Follow-up Hdwy 4.004 4 3.498 4.4 4 4.2^38 Jf- ^%3IF -

•r g^i -^^

Stage 1 155 235 226 357 - W - .:.'

Platoon blocked, %

^WIIW\_

- - - -

joyloyMrflIMje^II^^

MovCap-2 Maneuver <^Q^| 40 ' - §13 40 ' -

- - - - ~. l. "" "

Stage 2 2411 ^\_IS3^S\$|^i3|\_§i\_^- - - ■■■■■^

HCM^rj|ifeRatio ' ~" O-tj^ "~- o'.98\*\*b.73i ~b.004"

" - 7"\*~" ~"

HCMLaneLOSp|~x #B A

F F B A

';

Existing Evening Peak 11/18/2019 Baseline