

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

Legislation Details (With Text)

File #: O2022-667

Type: Ordinance Status: Passed

File created: 2/23/2022 In control: City Council

Final action: 3/23/2022

Title: Intergovernmental agreement with Chicago Housing Authority to reallocate portion of City's 2022

unused tax-exempt bond volume cap to facilitate financing of affordable housing at 3030 W 21st PI

and 3700 W Congress Pkwy

Sponsors: Lightfoot, Lori E.
Indexes: Intergovernmental

Attachments: 1. O2022-667.pdf

Date	Ver.	Action By	Action	Result
3/23/2022	1	City Council	Passed	Pass
3/21/2022	1	Committee on Finance	Recommended to Pass	
2/23/2022	1	City Council	Referred	

OFFICE OF THE MAYOR

CITY OF CHICAGO

LORI E. LIGHTFOOT

February 23, 2022

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Housing, I transmit herewith an ordinance authorizing the transfer of unused tax-exempt bond volume cap to the Chicago Housing Authority.

Your favorable consideration of this ordinance will be appreciated.

ORDINANCE

WHEREAS, the City of Chicago (the "City"), a home rule unit of government under Section 6(a), Article VII of the 1970 Constitution of the State of Illinois, has heretofore found and does hereby find that there exists within the City a serious shortage of decent, safe and sanitary rental housing available to persons of low and moderate income; and

WHEREAS, the City has determined that there exists within the City a serious shortage of decent, safe and sanitary rental housing available for persons of low and moderate income and that the continuance of a shortage of affordable rental housing is harmful to the health, prosperity, economic stability and general welfare of the City; and

WHEREAS, pursuant to Section 146 of the Internal Revenue Code of 1986, as amended, the City, as a constitutional home rule city, is allocated a certain amount of volume cap per calendar year in connection with the issuance of tax-exempt bonds by the City; and

WHEREAS, the Illinois Private Activity Bond Allocation Act, 30 ILCS 345/1 et seg., as amended, provides, among other things, that the corporate authorities of any home rule unit may reallocate all or any portion of its unused allocation of volume cap; and

WHEREAS, the City has available unused volume cap from calendar year 2022 ("2022 Cap"); and

WHEREAS, .the Chicago Housing Authority, an Illinois municipal corporation under the Housing Authorities Act, as amended (310 ILCS 10/1 et seq.) (the "CHA"), desires to issue up to \$90,000,000 of tax-exempt multi-family housing revenue bonds (the "Bonds") to finance a portion ofthe costs of rehabilitation and equipping of an affordable housing development located at 3030 West 21st Place (Albany Terrace Apartments) and 3700 West Congress Parkway (Irene McCoy Gaines Apartments) (collectively, the "Property"), which consists of approximately 500 residential rental dwelling units for low-income senior families (the "Project") to be owned by Albany Gaines Housing Development LLC, an Illinois limited liability company (the "Owner"), the managing member of which will be Albany Gaines Senior Housing LLC, a to be formed Illinois limited liability company, the sole member of which will be Chicago Housing Administration LLC, an Illinois limited liability company, the sole member of which will be the CHA (provided, management of the Owner may be vested in a special member of the Owner controlled by an affiliate of the CHA's selected development partner), and has been advised by its bond counsel that all or a portion of the Bonds requires the allocation of volume cap; and

WHEREAS, the CHA has requested that the City reallocate a portion of its 2022 Cap, if available, pursuant to an intergovernmental agreement between the City and the CHA for that purpose; and

WHEREAS, it is anticipated that (i) the Owner will be eligible to claim low income housing tax credits (the "Tax Credits") pursuant to Section 42 ofthe Internal Revenue Code of 1986, in connection with the Project, and (ii) the Tax Credits for the Project will be administered by the

File #: O2022-667, Version: 1

City's Department of Housing, and

WHEREAS, this ordinance now sets forth the request for City Council's authorization of the reallocation to the CHA of a portion of its unused 2022 Cap in 2022, if available; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO AS FOLLOWS:

SECTION 1. The above recitals are expressly incorporated in and made a part of this ordinance as though fully set forth herein

SECTION 2. The City hereby authorizes the Chief Financial Officer (as defined in Section 1-4-090(k) of the Municipal Code of Chicago (the "Municipal Code")) to reallocate, effective on the date of issuance of the Bonds, up to \$90,000,000 of the City's 2022 Cap (the "2022 Reallocation") for application by the CHA to the Bonds; provided that ifthe Bonds are not issued as of or prior to 11:00 P.M. on December 31, 2022, the 2022 Reallocation shall not be made. The exact amount of the 2022 Reallocation shall be determined by the Chief Financial Officer based on the best interests of the City and on the amount of 2022 Cap available at the time of the 2022 Reallocation. Any compensation paid to the City shall be used for any affordable housing-related activities of the City. After the 2022 Reallocation has been made, the Chief Financial Officer shall file a certificate with the City Clerk stating the amount of 2022 Cap, if applicable, reallocated to the CHA pursuant to this ordinance.

SECTION 3. The Chief Financial Officer is hereby authorized, subject to the approval of the Corporation Counsel, to enter into such agreements and other documents, including agreements with the CHA, in connection with the 2022 Reallocation as shall be deemed necessary or desirable by the Chief Financial Officer.

SECTION 4. To the extent that any ordinance, resolution, rule, order or provision of the Municipal Code, or part thereof, is in conflict with the provisions of this ordinance, the provisions of this ordinance shall control. If any section, paragraph, clause or provision of this ordinance shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the other provisions of this ordinance. Section 2-45-110 of the Municipal Code shall not apply to the Project or the Property.

SECTION 5. This ordinance shall be effective as of the date of its passage and approval.

Office of the City Clerk

The following pages were submitted to the Office of the Cily Clerk as part of this legislative document. The pages are not viewable on the public website or other public reports because they contain personal information not suitable for publication. The pages are considered a redacted portion of the entire legislative document.

ATTACHMENT

Office oi lilt? City dork j Oryot Chiaigo I I'M Nori.li http://Nori.li Labolie Street. Kooni 107 { Ciiic;!j;o, iLGOoiv

Cm OF CHICAGO ECONOMIC DISCI.OSI UK STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Michaels

Lifting Lives 2021, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
 - OR
- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

Albany Gaines Housing Development LLC

B. Business address of the Disclosing Party: 2 Cooper Street Camden, NJ 08102

C. <u>Telephone: (856) 596-300</u>
<u>Fax. (856) 988-5817 EmaH; jodonnell@tmo.com</u>

A. NATURE OF THE DISCLOSING PARTY I. Indicate the nature of the Disclosing Party: [] Person		
E. Federal Employer Identification No. (if you have one): F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property if applicable): Request for volume cap and low-income housing tax credits for the redevelopment of Albany Terrace (3030 W 2) Place Chicago, IL 60623) and Irene McCoy Gaines (3700 W. Congress Pkwy, Chicago, IL 60624) G. Which Cily agency or department is requesting this EDS*? Department of Housing If the Matter is a contract being handled by the City's Department of Procurement Services, plea complete the following: Specification// and Contract if SECTION II - DISCLOSURE OK OWNERSHIP INTERESTS A. NATURE OF THE DISCLOSING PARTY I. Indicate the nature of the Disclosing Party: [] Person	File #: O2022-667, Version: 1	
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If applicable): Request for volume cap and low-income housing tax credits for the redevelopment of Albany Terrace (3030 W 21 Place Chicago, IL 60623) and Irene McCoy Gaines (3700 W. Congress Pkwy, Chicago, IL 60624) G. Which Cily agency or department is requesting this EDS'? Department of Housing If the Matter is a contract being handled by the City's Department of Procurement Services, plea complete the following: Specification// and Contract if SECTION II - DISCLOSURE OK OWNERSHIP INTERESTS A. NATURE OF THE DISCLOSING PARTY I. Indicate the nature of the Disclosing Partv: [] Person [] Publicly registered business corporation [] Privately held business corporation [] Joint venture [] Sole proprietorship [] General partnership [] General partnership [] I Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: New Jersey 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?	E. Federal Employer Identification No. (if you hav	ve one):
Request for volume cap and low-income housing tax credits for the redevelopment of Albany Terrace (3030 W 2) Place Chicago, IL 60623) and Irene McCoy Gaines (3700 W. Congress Pkwy, Chicago, IL 60624) G. Which Cily agency or department is requesting this EDS'? Department of Housing If the Matter is a contract being handled by the City's Department of Procurement Services, plea complete the following: Specification// and Contract if SECTION II - DISCLOSURE OK OWNERSHIP INTERESTS A. NATURE OF THE DISCLOSING PARTY I. Indicate the nature of the Disclosing Partv: [] Person [xj Limited liability company [young to business corporation 1 Limited liability partnership 1 Joint venture 2 Joint venture 3 Joint venture 3 Joint venture 4 Joint venture 5 Joint venture 6 Joint venture 7 Joint venture 7 Joint venture 9	-	S pertains. (Include project number and location of property,
If the Matter is a contract being handled by the City's Department of Procurement Services, pleas complete the following: Specification//	Request for volume cap and low-income housing ta	*
Specification// and Contract if SECTION II - DISCLOSURE OK OWNERSHIP INTERESTS A. NATURE OF THE DISCLOSING PARTY I. Indicate the nature of the Disclosing Party: [] Person	G. Which Cily agency or department is requesting	this EDS'? Department of Housing
SECTION II - DISCLOSURE OK OWNERSHIP INTERESTS A. NATURE OF THE DISCLOSING PARTY I. Indicate the nature of the Disclosing Party: [] Person		the City's Department of Procurement Services, please
[] Person	Specification//	and Contract if
[j Sole proprietorship	A. NATURE OF THE DISCLOSING PARTY I. Indicate the nature of the Disclosing Party: [] Person [] Publicly registered business corporation	[xj Limited liability company] Limited liability partnership
Jersey 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?	[j Sole proprietorship [j General partnership [j Limited partnership	[j Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? (j Yes [j No
the State of Illinois as a foreign entity?	•	of incorporation or organization, if applicable: New
[] Yes No [J Organized in Illinois		llinois: Has the organization registered to do business in
	[] Yes No	[J Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ti) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such

members, write "no members which are legal entities"); (iii) trustee, executor, administrator, or similarly situated party; (liability companies, limited liability partnerships or joint vermanager or any other person or legal entity that directly or in Applicant.	iv) for general or limited partnerships, limited ntures, each general partner, managing member,
NOTE: Each legal entity listed below must submit an EDS of	on its own behalf.
Name Title	
John J. O'Donnell	Managing Member
	M-cmber- Member
2. Please provide the following information concerning each current or prospective (i e. within 6 months after City action of 7.5% of the Applicant. Examples of such an interest inclupartnership or joint venture, interest of a member or manage) beneficial interest (including owneiship) in excess de shares in a corporation, partnership interest in a
Ver :i)\s. \	
limited liability company, or interest of a beneficiary of a true."	ust, estate or other similar enlity. If none, state
NOTE: Each legal entity listed below may be required to su	bmit an l:DS on its own behalf.
Name Business Address John J. O'Donnell 2 Cooper Street. Camden, NJ OS 102	. Percentage Interest in the Applicant "68%
Mark Morgan 2 Cooper Street, Camden, NJ 08 1 02 'ToeTurceirTCVJ%	13%
""KTmberlee SeTireTFel""2X'oo ieT'Street, CamdeTr~r\TJ	IJ8201 6%
SECTTON III INCOME OR COMPENSATION OFFICIALS	TO, OR OWNERSHIP BY, CITY ELECTED
Has the Disclosing Party provided any income or compensation 12-month period preceding the date of this EDS?	ntion to any City elected official during the [] Yes No
Does the Disclosing Party reasonably expect to provide any elected official during the 12-month period following the da	-

File #: O2022-667, Version: 1

such income or compensation:

If "yes" to either ofthe above, please identify below the name(s) of such City elected official(s) and describe

File #: O2022-667	7, Version: 1
Docs any City e	lected official or, to the best ofthe Disclosing Party's knowledge after reasonable
inquiry, any City	y elected official's spouse or domestic partner, have a financial interest (as defined in
Chapter 2-156 o	f the Municipal Code of Chicago ("MCC")) in the Disclosing Party?
[] Yes	[X No
• •	identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner the financial interest(s).
SECTION IV -	DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES
defined in MCC Party has retained and the total amore employees who uncertain whether	Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing ed or expects to retain in connection with the Matter, as well as the nature of the relationship, bount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose are paid solely through the Disclosing Party's regular payroll. II the Disclosing Party is er a disclosure is required under this Section, the Disclosing Party must either ask the City are is required or make the disclosure.
PiiL-c 3 of 15	
Relationship to l	whether Business retained or anticipated Address to be retained) Disclosing Party (subcontractor, attorney, lobbyist, etc.) Phoihoi; paid or estimated.) NOTE: "hourly rate" or "t.b.d." is le response.
(Add sheets if no	ecessary)

m Check here ifthe Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain

File #: O2022-667, Version: 1
in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [^j No [] No person directly or indirectly owns 10% or more ofthe Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Parly nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

1-'Li'ji: 4 of I 5

- 3. The Disclosing Parly and, ii die Disclosing Parly is a legal enlily, all of those persons or entities identified in Section II(B)(I) of this LDS.
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, State or

local) with committing any of the offenses set forth in subparagraph (b) above;

- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it. or, with the Contractor, is undei' common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Parly, any Contractor or any Affiliated Entity, acting pursuant to (he direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Enlity (collectively "Agents").

I'aue 5 of 15

Neither the Disclosing Party, nor any Conlractor. nor any Affiliated Enlity of either the Disclosing Paity or any Contractor, nor any Agents have, during the 5 years before the date oflhis EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract oc engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been

convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of. or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement fordoing business with the City. NOTE: If MCC Chapter 1-23, Article I applies lo the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM")
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to (hose in Certifications (2) and (9) above and will noi, without the prior written consent of ihe City, use any such Ver 201 N-1

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contractor/subcontractor dial does noL provide such cerlil'ications or lhat (lie Applicant has reason to believe has not provided or cannot provide truthful certifications.

1 1. If the Disclosing Party is unable to certify to any of the above, statements in this Part B (Further Certifications), the Disclosing Party must explain below: 'N/A

File	#:	O2022-667.	Version:	1

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Parly who were, at any time during the 12-month period preceding the date of this F.DS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is m is not

- a "financial institution" as defined m MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is. and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

Page- 1 of 15

II' the Disclosing Parly is unable lo make Ihis pledge because il or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of VICC Chapter 2-32, explain here (attach additional pages if necessary):

N/A "

File	#•	O2022	-667	Versi	on: 1

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL, INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-1 10: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [X] No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes [XI No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Parly further certifies that no prohibited financial interest m the Matter will be acquired by any Cily official or employee.

E. CERTIEICA HON REGARDING SLAVERY ERA BUSINESS

Please check either (!) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- A I. The Disclosing Pai ty verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following const itutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded,..proceed to Section VII. For puiposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed m paragraph A(l) above for his or her lobbying activities or to pay any person or entity to inlluence. or attempt to influence an officer or employee of any agency, as defined by applicable federal law. a member of Congress, an officer or employee of Congress, or an employee

File #: O2022-667, Versio	n : 1		
Ver 201 8-1		Paye 9 of 15	
funded giant or loan, ent	ering into any o	n with the award ofany federally funded contract, making any federally cooperative agreement, or to extend, continue, renew, amend, or modifian, or cooperative agreement.	
	that materially	it an updated certification at the end of each calendar quarter in which affects the accuracy of the statements and information set forth	
the Internal Revenue Co	de of 1986; or (out has not enga	nat either: (i) it is not an organization described in section 501(c)(4) of (ii) it is an organization described in section 501(c)(4) ofthe Internal aged and will not engage in "Lobbying Activities," as that term is a of 1995, as amended.	
substance to paragraphs the Disclosing Party mus	A(l) through A st maintain all s	plicant, the Disclosing Party must obtain certifications equal in form and (4) above from all subcontractors before it awards any subcontract and such subcontractors' certifications for the duration of the Matter and must be to the City upon request.	d
B. CERTIFICATION R	EGARDING E	QUAL EMPLOYMENT OPPORTUNITY	
	•	deral regulations require the Applicant and all proposed information with their bids or in writing at the outset of	
Is the Disclosing Party the [>5No	ne Applicant? []Yes	
If "Yes," answer the three	ee questions bel	ow:	
1. Have you developed regulations? (See 41 CF)	•	ve on file affirmative action programs pursuant to applicable federal	
•	-	rting Committee, the Director of the Office of Federal Contract aployment Opportunity Commission all reports due under the applicable	le
[] Yes	[] No	[] Reports not required	
3. Have you participate opportunity clause'?	d in any previo	us contracts or subcontracts subject to the equal	
[] ^{Ye} «	[J No		

File	#•	O2022-667.	Version:	1

If you checked "No" to question (1) or (2) above, please provide an explanation:

I'auc 10 of 15

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Paity understands and agrees thai:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the .Applicant and the City m connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicauo.org/Ethics http://www.cityofchicauo.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of In formation Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

!'ai!f 11 of 15

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Michaels Lifting Lives 2021, LLC (Print or type

exact legal name of Disclosing Party)

(Sign~fTeTe)

John J. O'Donnell (Print or type name of person signing)

Managing Member (Print or type title of person signing)

Signed and sworn to before me on (date) /^Lr^tvj <Pj 2^o~z_Z

at CAMDEN County, Afry^CTwy (state).

CATHERINE A FREAS NOTARY PUBLIC OF NEW JERSEY COMMISSION NUMBER 2331007 COMMISSION EXPIRES 6/30/2025

File #: O2022-667, Version: 1

1⁻; il'c 12 of 15

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELEC TED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-01 5, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a coiporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [X] No

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.



I'ai.-c 13 of 15

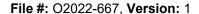
cn v of Chicago ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

B UIL I) IN G C O D E SCOFFL A VV7 P R O B L E M L A N DI .OR D C E RT IFI CAT IO N

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect owneiship interest in the Applicant.

which has only an indi	rect owneiship intere	est in the Applicant.
1. Pursuant to MCC Saw or problem landlor	•	s the Applicant or any Owner identified as a building code sco HI Section 2-92-41 6?
[] Yes	[Xj No	
**		y traded on any exchange, is any officer or director of the Applicant oblem landlord pursuant to MCC Section 2-92-416?
[] Yes	[] No	[X] The Applicant is not publicly traded on any exchange.
2. If year to (1) on (2) of	hava mlaasa idamtify	halove the manage of each manage on local antity identified as a

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.



I'agc 14 of 15

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is lo be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (w w \v. a i n 1 c g a I. c o m), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. 1 also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes []

No

[X] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). If you checked "no" to the above, please explain.

File #: 02022-667. Version:	File	#:	02022-667	Version:	1
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I'Liiie 15 of 15

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT ANT) AFFIDAVIT

SEC HON I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this LDS. Include d/b/a/ if applicable: Albany

Gaines - Michaels LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. f] the Applicant
 - OR
- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR

- 3. [X] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: Albany Gaines Housing Development LLC
- B. Business address of the Disclosing Party: 2 Cooper Street Camden, NJ 08102
- C. <u>Telephone</u>: <856 Superior Superior

File #: O2022-667, Version: 1		
<mailto:jodonnell@tmo.com></mailto:jodonnell@tmo.com>		
D. Name of contact person: ^J	ohn L Q'Pon''e11	-
E. Federal Employer Identifica	ation No. (if you have	e one): _
properly, if applicable):	come housing lax credits	s EDS pertains. (Include project number and location of s for the icdevelopment of Albany Terrace (3030 W 21st Place Chicago, vy, Chicago, IL 60624)
G. Which City agency or depa	artment is requesting	this EDS? Department of Housing
If the Matter is a contract complete the following:	being handled by	the City's Department of Procurement Services, please
Specification?/		and Contract //
SECTION II - DISCLOSURE A. NATURE OI [:] THE D ISC I		NTERESTS
1. Indicate the nature of ih [[Person [] Publicly registered business Privately held business coip [] Sole proprietorship [] General partnership [Limited partnership [Trust	corporation	 [Xj Limited liability company [J Limited liability partnership [] Joint venture [J Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [.) No [] Other (please specify)
2. For legal entities, the state (o	or foreign country) or	f incorporation or organization, if applicable: Illinois
3. For legal entities not organize the State of Illinois as a foreign		inois: Has the organization registered to do business in
[] Yes] No	[XJ Organized in Illinois
B IF THE DISCLOSING PAR	TVIS A LEGALE	NTITY

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee,

File #: O2022-667, Version: 1	
companies, limited liability partnerships or joint ven	iv) for general or limited partnerships, limited liability tures, each general partner, managing member, manager or ectly controls the day-to-day management of the Applicant.
NOTE: Each legal entity listed below must submit a	n EDS on its own behalf.
Name Title	
Michael J. Levitt Revocable Trust	Managing Member
Michaels Lifting Lives 2021, LLC Member	
current or prospective (i.e. within 6 months after City	ning each person or legal enlity having a direct or indirect, y action) beneficial interest (including ownership) in interest include shares in a corporation, partnership member or manager in a
Pane 2!"15	
limited liability company, or interest of a benefician "None."	ary of a trust, estate or other similar enlity. If none, stale
NOTE: Each legal entity listed below may be require	ed to submit an EDS on its own behalf.
Name Business Address Michael J. Levitt Revocable Trust 2 Cooper St. Car	Percentage Interest in the Applicant nden, N.I 08102 w .51 %
Michaels Lifting Lives 2021, LLC 2 Cooper St. Ca	ımden, N.I 08102 49%
SECTION III - INCOME OR COMPENSAT OFFICIALS	TION TO, OR OWNERSHIP BY, CITY ELECTED
Has the Disclosing Party provided any income or co 12-month period preceding the date of this EDS?	mpensation to any City elected official during the [] Yes [Xj No
Does the Disclosing Party reasonably expect to prove elected official during the 12-month period following	
If "yes" to cither of the above, please identify below	the name(s) of such City elected official(s) and describe

such income or compensation:

File	#:	O2022-667.	Version:	1

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

[] Yes [Xj No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Parly must disclose the name and business address of each subcontractor, attorney, lobbyist: (as defined in MCC Chapter 2-1 56), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects lo retain in connection with the Matter, as well as the nature ofthe relationship, and (he total amount ofthe fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Parly must cither ask the City whether disclosure is required or make the disclosure

Page 3 of 15

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage

File #: O2022-667, Version: 1					
on any child support obligations by any Illinois court of competent jurisdiction?					
[] Yes [^j No [] No person directly or indirectly owns 10% or more of the Disclosing Party.					
If "Yes," has the person entered .into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?					
[JYes []No					
B. FURTHER CERTIFICATIONS					

- 1. [This paragraph 1 applies only ifthe Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date offhis EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine. fee. lax or other source of indebtedness owed lo the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Pasio 4 of I5

- 3. The Disclosing Party and. ifthe Disclosing Parts' is a legal entity, all of those persons or entities identified in Section 11(B)(1) of lhis EDS:
- a. are noi presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date oflhis EDS, had one or more public transactions (federal,

state or local) terminated for cause or default; and

- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the .federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Parly, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it. or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Enlity (collectively "Agents").

i'aue m of 15

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Conlractor, nor any Agents have, during the 5 years befoi e the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record,

but have not been prosecuted for such conduct; or

- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any A ffiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges lhat compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use. any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONf.Yj The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal m form and substance lo those in Certifications (2) and (9) above and will not. without the prior written consent of the City, use any such Vcr20IS-1

 Page 6 of 15

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

1 1. If the Disclosing Parly is unable to certify to any of the above statements m this Part B (Further Certifications), the Disclosing Parly must explain below: N/A "

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

File #: 02022-667.	Version:	1
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12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current, employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

n/a

13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient. N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)

 [] is is "ol
 - a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined m VICC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege ol doing business with the Cily."

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Ii the Disclosing Party is unable to make this pledge because a or any of its affiliates (as defined in MCC Section 2-32-455(1-1)) is a predatory lender within the meaning of MCC Chapter 2-32. explain here (attach additional pages if necessary):

N/A

If the letters "NA." the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

ı	Fila	#•	02022	-667	Version:	1
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D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-1 56-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [XJ No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part: D.

Does the Matter involve a City Property Sale?

[J Yes [XJ No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

L. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please cheek either (1.) or (2) below. If the Disclosing Parly checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2), failure to comply with these disclosure requirements may make any contract entered into with the City m connection with the Matter voidable by the City.

File #: O2022-667, Version: 1

- A 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE. If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For puiposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf ofthe Disclosing Parly with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed m paragraph A(l) above for his or her lobbying activities or lo pay any person or entity lo influence or attempt to inlluence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver 201 S-i

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any ledcrally

File #:	O2022-667,	Version:	1
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funded grant or loan, entering into any cooperative agreement, or lo extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNI TY

If you checked "No" to question (I) or (2) above, please provide an explanation:

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the [X]No	Applicant? []]Yes
If "Yes," answer the three q	uestions belo	ow:
1. Have you developed an regulations? (See 41 CFR P	•	ve on file affirmative action programs pursuant to applicable federal
•	ne Equal Emp	ting Committee, the Director ofthe Office of Federal Contract ployment Opportunity Commission all reports due under the applicable [] Reports not required
3. Have you participated in opportunity clause? I I Yes	n any previou	us contracts or subcontracts subject to the equal

File	#.	$\Omega 20^{\circ}$	22-667.	Vers	ion:	1

I'ayc III of 15

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this LDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this LDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityoichicago.org/Ethics http://www.cityoichicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City lakes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect lo Mailers subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

File #	: O2022-667.	Version:	1
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Pauc I 1 of 15

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this FDS, and all applicable Appendices, on behalf of the Disclosing Parly, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Albany Gaines - Michaels LLC

(Print or type exact legal name of Disclosing Party)

By:

(Sign ITeTeT-

John J. O'Donnell

(Print or type name of person signing)

M anaging Member, Michaels Lifting Lives 2021, LLC; Member, Albany Gaines - Michaels, LLC (Print or type title of person signing)

Signed and sworn to before me on (date) /Zhru^jy ^ 2_o 2/"2-at

CAMDEN County, ^.NXTi^ey (state).

Notary Public Commission expires:

CATHERINE A FREAS NOTARY PUBLIC OF NEW JERSEY COMMISSION NUMBER 2331007 CUMMJSMUN b>UMRi:S"E/30/2025

Page 12 of 15

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to he completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

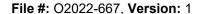
"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, ifthe Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[JYes [XjNo

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such

File #: 02022-667, Ver	sion: 1	
person has a familial	relationship, and (4) the	he precise nature of such familial relationship.
Page 13 of 15		
	CITY OF CH	ICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX P>
BUI	LDING CODE SCOF	FLAW7PROBLEM LANDLORD CERTIFICATION
ownership interest in		(a) the Applicant, and (b) any legal entity which has a direct ing 7.5% (an "Owner"). It is not to be completed by any legal entity est in the Applicant.
	Section 2-1 54-010, is oursuant to MCC Secti	s the Applicant or any Owner identified as a building code scofflaw ion 2-92-416?
I]Yes	[Xj No	
		traded on any exchange, is any officer or director of the fflaw or problem landlord pursuant to MCC Section 2-92-416?
[] Yes	[] No	[X] The Applicant is not publicly traded on any exchange.
• ' ' '	w or problem landlord	y below the name of each person or legal entity identified as a land the address of each building or buildings to which the pertinent



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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT" AND AFFIDAVIT APPENDIX C

PROHIBIT ION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlcgal.com http://www.amlcgal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

jYes

[]No

[X] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l).

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC

DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this LDS. Include d/b/a/' if applicable: Albany Gaines Senior Housing LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicants legal
- 2. name:

OR

- 3. [x] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

 Albany Gaines Housing Development LLC
- B. Business address of the Disclosing Party: 60 East Van Buren, 12th Floor Chicago, IL 60605
- D. Name of contact person: Lee Pratter
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Request for volume cap and low income housing lax credits for Albany Tenace 3030 West 21st Place and Irene McCoy Gaines 3700 West Congress Parkway.

G. Which City agency or department is requesting this LDS? Department of Housing

If the Matter is a contract being handled by the City^rs Department of Procurement Services, please complete the following:

Specification #

Ver.2018-1

and Contract r?

Page 1 of 15

SECTION II DISCLOSU	RE OF OWNERSH	IP INTERESTS
A. NATURE OF THE DISC [X] Limited liability compate the not-for-profit corporatio Yes [] No [J	ny [Limited liabilit n also a 501(c)(3))?	cy partnership [] Joint venture [Not-for-profit corporation (Is
		1. Indicate the nature of the Disclosing Party:
[J Person [] Publicly registered busin [] Privately held business c [J Sole proprietorship [J General partnership [] Limited partnership [] Trust	<u>-</u>	
2. For legal entities, the star	te (or foreign country	y) of incorporation or organization, if applicable: Illinois
3. For legal entities not orgathe State of Illinois as a fore		Illinois: Has the organization registered to do business in
[] Yes	[] No	[x] Organized in Illinois
B. IF THE DISCLOSING P	ARTY IS A LEGAI	L ENTITY:
(ii) for not-for-profit corpor write "no members which as executor, administrator, or s companies, limited liability	ations, all members, re legal entities"); (ii similarly situated par partnerships or joint	cable, of: (i) all executive officers and all directors of the entity; if any, which are legal entities (if there are no such members, i) for trusts, estates or other similar entities, the trustee, ty; (iv) for general or limited partnerships, limited liability ventures, each general partner, managing member, manager or ndirectly controls the day-to-day management of the Applicant.
NOTE: Each legal entity lis	ted below must subn	nit an EDS on its own behalf.
Name Title		
Chicago Housing Adminstration L	.LC	Illinois Limited Liability Company, Its Sole Member
Chicago Housing Authority		A municipal corporation, Its Sole Member
2 Please provide the follow	ing information con	cerning each person or legal entity having a direct or indirect

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

File #: O2022-667	, Version: 1		
Page 2 of 15			
limited liability of ANoneJ	company, or interest of a beneficiary of a tnM. esta	nte or other similar entity. I	f none, state
NOTE: Each lega	al entity listed below may be required to submit an ED	OS on its own behalf.	
Name	Business Address Pe	ercentage Interest in the Appl	licant
Chicago Housing Au	uthority 60 East Van Buren. 12th Floor Chicago, IL 60605 0.01%		
	stration' c/o CHA 60 EAST Van Buren. 12th Floor Chicago. IL 60605 pusing Administration, will assume a 99 99% mterosl in the Disclosing Party"	100%	
SECTION III - OFFICIALS	- INCOME OR COMPENSAT ION TO, OR C	OWNERSHIP BY, CITY	ELECTED
Has the Disclosin	ng Party provided any income or compensation to any	City elected official during	the
12-month period	preceding the date of this EDS?	[] Yes	[x] No
Does the Disclosi	ing Party reasonably expect to provide any income or	compensation to any City	
elected official du	uring the 12-month period following the date of this E	DS? [] Yes	[x] No
If Ayes@ to either such income or co	er of the above, please identify below the name(s) of sompensation:	uch City elected official(s) a	nd describe
Does any City ele	ected official or, to the best ofthe Disclosing Party's kr	nowledge after reasonable	
inquiry, any City	elected officials spouse or domestic partner, have a fir	nancial interest (as defined in	n
Chapter 2-156 of	the Municipal Code of Chicago (AMCC@)) in the Dis	sclosing Party?	
[] Yes	[x] No		
• •	dentify below the namc(s) of such City elected office the financial interest(s).	cial(s) and/or spousc(s)/dom	estic partner

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-1 56), accountant, consultant and any other person or enlity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship,

File #: O2022-667, Version	n: 1		
employees who are paid	solely throu	igh the Disclosing Party's regular puired under this Section, the Disclosion	osing Party is not required to disclose ayroll. Ifthe Disclosing Party is osing Parly must either ask the City
Pa tie 3 of 15			
Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Parly (subcontractor, attorney. lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: Ahourly rate? or At.b.d.tp is not an acceptable response.
(Add sheets ii" necessary	<i>i</i>)		
[x] Check here if the	Disclosing	g Party has not retained, nor ex	xpects to retain, any such persons or
entities. SECTION V	CERTIFI	CATIONS	
A. COURT-ORDERED	CHILD SU	JPPORT COMPLIANCE	
	-	tantial owners of business entities to ort obligations throughout the contra	hat contract with the City must remain act=s term.
• •	•	rectly owns 10% or more of the Disany Illinois court of competent juris	sclosing Party been declared in arrearage sdiction?

[] No [x] No person directly or indirectly owns 10% or more of the Disclosing Party.

If AYes, @ has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes [] No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City=s Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or

continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any lax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and. ifthe Disclosing Party is a legal entity, all ol" those persons or entities identified in Section 11(B)(1) of this FDS:
- a. are not presently debarred, suspended, proposed ("or debarment, declared ineligible or voluntarily excluded from any transactions by any federal, slate or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any AContracton? (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section TV, ADisclosure of Subcontractors and Other Retained Parties®);
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management,

ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 15

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Enlity ol'either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or ad judged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's' official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any Acontrolling person® [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any Asister agency®; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Articles permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

File	#:	02022-66	7. Version:	1

- 9. [FOR APPLICANT ONLY] T he Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management (ASA.M®).
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not. without the prior written consent of the City, use any such

Ver.2018-1 Page 6 of 15

contractor/subcontractor that does not provide such certifications or that the Applicant has reason lo believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party-s knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with AN/A@ or Anone@).

None

13. To the best of the Disclosing Party=s knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a Agift@ does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with AN/A@ or Anone@). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

File #: O2022-667,	Version: 1		
[] is	[^ is not		
	-	ined in MCC Section 2-32-455(b).	
		nancial institution, then the Disclosing Party pled	
none of our affiliatunderstand that be	tes is, and none coming a preda	a predatory lender as defined in MCC Chapter 2- of them will become, a predatory lender as defin- tory lender or becoming an affiliate of a predator- ness with the City."	ed in MCC Chapter 2-32. We
Page 7 of 15			
	(b)) is a preda	lo make this pledge because it or any of its a tory lender within the meaning of MCC Chapte	*
presumed that the	Disclosing Par	ne," or no response appears on the lines above, it vertified to the above statements.	•
D. CERTIFICATI	(ON REGARD)	ING FINANCIAL INTEREST IN CITY BUSINE	ESS
Any words or term	ns defined in M	CC Chapter 2-156 have the same meanings if use	ed in this Part D.
reasonable inquiry	, does any offic	tion 2-156-110: To the best of the Disclosing Part cial or employee of the City have a financial interer r entity in the Matter?	
[] Yes	[x] N	o	
NOTE: If you che skip Items D(2) ar		tem D(l), proceed to Items D(2) and D(3). If you ceed to Part E.	checked "No" to Item D(l),
employee shall hat the purchase of any virtue of legal pro-	ve a financial in property that (cess at the suit	teess of competitive bidding, or otherwise permitteenterest in his or her own name or in the name of a (i) belongs to the City, or (ii) is sold for taxes or a cof the City (collectively, "City Property Sale"). Cent domain power does not constitute a financial in	ny other person or entity in ssessments, or (iii) is sold by ompensation for property
Does the Matter in	nvolve a City Pr	roperty Sale?	
[] Yes	. [X]No		
Office of the City Clerk		Page 43 of 83	Printed on 4/26/2024

	File	#:	O2022-667.	Version:	1
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3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

Page 8 oi 15

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2). the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage lo or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of

1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

None

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means lhat NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(L) above for his or her lobbying activities or to pay any person or entity to influence or attempt to inlluence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Vcr.2018-1

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? []Yes [x] No

If AYes, @ answer the three questions below:

File #: O2022-667, Versi	on: 1	
Have you developed regulations? (See 41 CI [] Yes	•	ave on file affirmative action programs pursuant to applicable federal
_	or the Equal E	orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the applicable [] Reports not required
		ous contracts or subcontracts subject to the equal
If you checked ANo@	to question (1)	or (2) above, please provide an explanation:
Page 10 of 15		
SECTION VLI - FURT	ΓHER ACKNO	WLEDGMENTS AND CERTIFICATION
The Disclosing Party u	nderstands and	agrees that:
		1 11 11 770 1111

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicaao.org/Ethics http://www.cityofchicaao.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, JL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this

EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the Cily takes action on the Matter. If the Matter is a contract being handled by the City=s Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Page 11 of 15

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this FDS. and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Albany Gaines Senior Housing LLC, an Illinois limited liability company (Print or type exact legal name of Disclosing Parry)

By: Chicago Housing Administration LLC, an Illinois limited liability company its sole member

By: Chicago Housing Authority,
an Illinois municipal corporation, its sole
member

sre) *

Tracey Scott

(Print or type name of person signing)

Chief Executive Officer (Print or type title of person signing)

Signed and sworn to before me on (date) {Ifxr^i^l /%r^O-3Q- ..at

County. v-ZcV^-^-rtv (state).

Notary Publi

Official Seal LaRue Little Notary Public State of Illinois My Commission Expires 02/03/2025

Commission expires:*

Page 12 of 15

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any AApplicable Party® or any Spouse or Domestic Partner thereof currently has a Afamilial relationship® with any elected city official or department head. A Afamilial relationship® exists if, as of the date this EDS is signed, the Disclosing Party or any AApplicable Party® or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

AApplicable Party® means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. APrincipal officers® means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

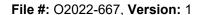
File #: O2022-667, Version: 1	
	y AApplicable Party® or any Spouse or Domestic Partner thereof currently an elected city official or department head?
[] Yes [X] No	
such person is connected; (3) the r	the name and title of such person, (2) the name of the legal entity to which name and title of the elected city official or department head to whom such nd (4) the precise nature of such familial relationship.
Page 13 of 15	
CITY	OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B
BUILDING CODE	E SCOFFLAVV/PROBLEM LANDLORD CERTIFICATION
11	only by (a) the Applicant, and (b) any legal entity which has a direct exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity ip interest in the Applicant.
1. Pursuant to MCC Section 2-154 or problem landlord pursuant to MC	-010, is the Applicant or any Owner identified as a building code scofflaw CC Section 2-92-416?
[]Yes [x]No	
	publicly traded on any exchange, is any officer or director of the ode scofflaw or problem landlord pursuant to MCC Section 2-92-416?

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

[X | The Applicant is not publicly traded on any exchange.

[] No

[] Yes



Page 14 of 15

CriVOl CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amleaal.com http://www.amleaal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes []No

[X] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STAT EM TNT AND AFFIDAVIT

SEC HON I -- GENERAL INFORMATION

- A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Michael
 - J. Levitt Revocable Trust

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. fj the Applicant

OR

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR ~~"

3. [X| a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

Albany Gaines Housing Development LLC

- B. Business address of the Disclosing Party: ^{2 Coo}Per Street Camden, NJ 08102
- C. Telephone: (856) 596-300 Fax. (856) 988-5817 Fmay. jodonnel1@tmo.com

<mailto:jodonnel1@tmo.com>

- D. Name of contact person: JolTM J. O'Ponnell
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Request for volume cap and low-income housing tax credits for the redevelopment of Albany Terrace (.1030 W. 21st Place, Chicago, IL 60623) and Irene McCoy Gaines (.1700 W. Congress Pkwy, Chicago. II. 60624)

G. Which City agency or department is requesting this EDS? Department of Housing

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

File #: O2022-667, Version	on: 1	
Specificati		
Vci 2018-1		
SECTION II DISCI	LOSURE OE OWNER	SHIP INTERESTS
A. NATURE OE THE	DISCLOSING PARTY	
[Person f] Publicly registered b [] Privately held busine [] Sole proprietorship [] General partnership [] Limited partnership Trust	ess corporation	y: j Limited liability company] Limited liability partnership [] Joint venture j Not-for-profit coiporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [) No [] Other (please specify) try) of incorporation or organization, if applicable:
New Jersey		
3. For legal entities no the State of Illinois as a	_	of Illinois: Has the organization registered to do business in
Yes	[] No	[] Organized in Illinois
B. IF THE DISCLOSIN	NG PARTY IS A LEGA	L ENTITY:
1. List below the full (ii) for not-for-profit co write "no members whice executor, administrator, companies, limited liable aiiy other person or legation.	names and titles, if applirporations, all members, ch are legal entities"); (if or similarly situated partity partnerships or join all entity that directly or	icable, of: (i) all executive officers and all directors of the entity; if any, which are legal entities (if there are no such members, ii) for trusts, estates or other similar entities, the trustee, rty; (iv) for general or limited partnerships, limited liability t ventures, each general partner, managing member, manager or indirectly controls the day-to-day management of the Applicant.
Name Title		
Michael J. Levitt		Sole Trustee

File #: O2022-667, Versi	on: 1		
current or prospective (of 7.5% of the Applican	ollowing information concerning each period. i.e. within 6 months after City action) beat. Examples of such an interest include stature, interest of a member or manager in	eneficial interest (including owner thares in a corporation, partnership	ship) in excess
Puijc 2 r-i 15			
limited liability compa	any, or interest of a beneficiary of a tru	ust, estate or other similar entity,	ff none, state
NOTE: Each legal enti	ty listed below may be required to subm	it an EDS on us own behalf.	
Name Michael J. Levitt	Business Address 2 Cooper Sl, Camden, NI 08 102	Percentage Interest in the Ap 100% Sole Trustee	oplicant
OFFICIALS	COME OR COMPENSATION TO,	,	
12-month period prece	ding the date of this EDS?	[j Yes	[^f No
_	arty reasonably expect to provide any inc the 12-month period following the date of	• • •	[^j No
If "yes" to either ofthe such income or compen	above, please identify below the name(s) nsation:	of such City elected official(s) ar	nd describe
Does any City elected of	official or, to the best of the Disclosing P	'arty's knowledge after reasonable	
	ed official's spouse or domestic partner, h	•	d in
_	<pre>funicipal Code of Chicago ("MCC")) in t</pre> <pre>[y\ No</pre>	the Disclosing Party?	
[] Yes	LYVINO		

If "yes," please identify below the name(s) of such City elected official(s) and/or spousc(s)/domestic partner(s) and describe the financial interest(s).

File #: O2022-667, Version: 1	
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SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects lo retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Patty's regular payroll. If the Disclosing Parly is uncertain whether a disclosure is required under this Section, the Disclosing Parly must either ask the City whether disclosure is required or make the disclosure.

IV./c 1 ui' 15

Name ('indicate whether retained or anticipated to be retained)

Relationship to Disclosing Parly retained or anticipated to be retained)

Relationship to Disclosing Parly retained (subcontractor, attornex, lobbyist, etc.)

| Pees (indicate whether paid or estimated.') NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

m Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes	[*j No	[] No person dire	etly or indirectly o	wns 10% or more	ofthe Disclosing Party
--------	--------	-------------------	----------------------	-----------------	------------------------

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

1 1 25 1 1 1 10		Yes	[]	No
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B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only ifthe Matter is a contract being handled by the City's Department of Procurement Services, j In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Aflilialed Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any line, fee, tax or other source of indebtedness owed to the Cily of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3 I he Disclosing Parly ami. if the Disclosing Party is a legal entity, all of those persons or entities identified m Section 11(13)1 1) oi'thi.s EDS
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, slate or local unit of government:
- b. have not, during the 5 years before the dale oflhis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil |udgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 nf 1 S

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Enlity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date oflhis EDS, or, with respect to a Connactor, an Affiliated Entity, or an Affiliated Entity of a Conlractor during the 5 years before the dale of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe Cily, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-32()(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter I -23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be lured in connection with the Mailer certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractoiysuhconi.racioi thai (Iocs noi provide such eeriificalions or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

I 1. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications'), the Disclosinu Party must explain below. N/A

If the letters "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of

File #: 02022-667, Vers	sion: 1
employees or to, the g having a retail value o	of this statement, a "gift" does not include: (i) anything made generally available to City eneral public, or (ii) food or drink provided in the course of official City business and f less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as ne, indicate with "N/A" or "none"). As to any gift listed below, please also list the name N/A
C. CERTIFICATION	OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Par	rty certifies that the Disclosing Party (check one)
[] is	[>3 is not

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

a "financial institution" as defined in MCC Section 2-32-455(b).

"•We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none ol'lhcm will become, a predatory lender as defined in MCC Chapter 2-32. We understand lhat becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

Ver 201 S I fusic 7 of 15

li ihe Disclosing Party is unable lo make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it. will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-1 56 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-1 56-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [Xj No

File	#•	O2022	-667	Versi	on: 1

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes [31 No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Intel est

4. The Disclosing Parly further certifies that no prohibited financial interest m the Matter will be acquired by any Cily official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please cheek either f I) or (2) below. If the Disclosing Party checks (2). the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- ^A I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the

names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For puiposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995. as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Parly has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or enlity to influence or attempt, to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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ol a member of Congress, m connection with ihe award of any federally funded contract, making any federally funded grain or loan, entering into any cooperative agreement, or lo extend, continue, renew, amend, or modify any federally funded contract, gram, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth m paragraphs A(I') and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is

File #: O2022-667, Version	n: 1	
defined in the Lobbying	Disclosure Act	t of 1995, as amended.
substance to paragraphs Athe Disclosing Party mus	A(l) through A t maintain all s	plicant, the Disclosing Party must obtain certifications equal in form and (4) above from all subcontractors before it awards any subcontract and such subcontractors' certifications for the duration of the Matter and must able to the City upon request.
B. CERTIFICATION RE	EGARDING E	QUAL EMPLOYMENT OPPORTUNITY
	•	deral regulations require the Applicant and all proposed information with their bids or in writing at the outset of
Is the Disclosing Party th	e Applicant?	
[] Yes	[] No	
If "Yes," answer the three	e questions bel	ow:
1. ITave you developed regulations? (See 41 CFF	•	ve on file affirmative action programs pursuant to applicable federal
Compliance Programs, or filing requirements?	r the Equal Em	ting Committee, the Director of the Office of Federal Contract aployment Opportunity Commission all reports due underthe applicable
[] Yes	[No	[Reports not required
3. Have you participated opportunity clause?	l in any previo	ous contracts or subcontracts subject to ihe equal
[] Yes '	[No	
II you checked "No" to q	uestion (I) or ((2) above, please provide an explanation:
i';::v It) of 15		
SECTION VII - FURTH	ER ACKNOW	LEDGMENTS AND CERTIFICATION

The Disclosing Parly understands and agrees that-

A. The certifications, disclosures, and acknowledgments contained in this CDS will become part of any contract or other agreement between the. Applicant and the City in connection with the Matter, whether procurement, Cilv assistance, or other City action, and arc material inducements to the City's execution of any contract or

taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

- 13. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofc11 http://www.cityofc11 icago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining lo allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this FDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS. and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Michael J. Levitt Revocable Trust

(Print or type exact legal name of Disclosing Party) By:

[Sign here)

Michael J. Levitt

(Print or type name of person signing)

Sole Trustee (Print or type title of

person signing)

Jotary Commission expires

Signed and sworn to before me on (date) /X^cy-a^ry? 0 3i2.,

at CAMDEN County, /[/ejJ^Tvs^ (state).

Notary Public

CATHERINE A FREAS NOTARY PUBLIC OF NEW JERSEY GtM(ViI^S»HiiUMDIIIU331007 COMMISSION EXPIRES 6/30/2025

Pugc 12 of 15

ECONOMIC DISCLOSURE STATEMENT AND AIT' I DA VII APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-1 54-01 5, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department, head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

["JYes [*] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT** APPENDIX B

BUILDING CODE SCOI FLAWPROBLEM LANDLORD CERTIFICATION

• •	ne Applicant exceedin) the Applicant, and (b) any legal entity which has a direct g 7.5% (an "Owner"). Il is not to be completed by any legal entit in the Applicant.
1. Pursuant to MCC S or problem landlord pu	·	he Applicant or any Owner identified as a building code scofflav n 2-92-41 6?
[] Yes [>3No		
* *		traded on any exchange, is any officer or director of the law or problem landlord pursuant to MCC Section 2-92-416?
[JYes	[JNo	[X] The Applicant is not publicly traded on any exchange.
	, I	elow the name of each person or legal entity identified as a

building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

I'age 14 of 15

cn v or Chicago ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant diat is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlcual.com http://www.amlcual.com), generally covers a party to any agreement pursuant to which they: (1) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes[]

No

[X] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l).

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this LDS. Include d/b/a/ if applicable:

Albany Gaines Housing Development LLC

Check ONE of the following three boxes:

File #: O2022-667, Version: 1		
Indicate whether the Disclosing Par 1. m the Applicant OR	y submitting this EDS is:	
2. [] a legal entity currently ho2. the contract, transaction or o	ding, or anticipated to hold within six months after City action on her undertaking to which this EDS pertains (referred to below as the interest in excess of 7.5% in the Applicant. State the Applicant's legal	
	rect or indirect right of control of the Applicant (see Section 11(B)) which the Disclosing Party holds a right of control:	(1))
B. Business address ofthe Disclosin	Party: 2 Cooper Street Camden, NJ 08102	
C. Telephone: (856) 596-300 <mailto:jodonnell@tmo.com></mailto:jodonnell@tmo.com>	Fax; (856) 988-5817 Emai, jodonnell@tmo.c	<u>om</u>
D. Name of contact person: John J-) ^{!Do} ""e"	
E. Federal Employer Identification	No. (if you have one):	
•	which this EDS pertains. (Include project number and location of volume cap and low-income housing tax credits for the redevelopme	
of Albany Terrace (3030 W. 21 st P	ace, Chicago, IL 60623) and Irene McCoy Gaines (3700 W. Congress	
Pkwy, Chfcago, IL 60624) G. Which City agency or departme	at is requesting this EDS? Department of Housing	
If the Matter is a contract, being complete the following:	handled by the City's Department, of Procurement Services, ple	ase
Specification //	antl Contract // _	
Ver 20IS-1	l'auc I of 15	
SF.CTION H - DISCLOSURE O	OWNERSHIP INTERESTS	
A. NATURE OF THE DISCLOSIN	G PARTY	
1. Indicate the nature of the Disc	osing Pi j Person	

1. Indicate the nature of the Disclosing Pi | j Person [j Publicly registered business corporation [| Privately held business corporation [] Sole proprietorship |] General partnership [J Limited partnership [! Trust

File #: O2022-667, Ver	sion: 1		
2. For legal entities, t	he state (or foreign cou	ui Illinois	
[X] Limited liability	company		
[] Limited liability	partnership		
[J Joint venture	· -		
J Not-for-profit co	iporation		
(Is the not-for-profit	corporation also a 501	1(c)(3))?	
I!Yes [] No [J Other (please	e specify)	
ry) of incorporation o	or organization, if appli	icable:	
ŭ	es not organized in of Illinois as a foreign of	the State of Illinois: Has the organization registered to do entity?	o
[]Yes	[] No	[Xi Organized in Illinois	

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title (100% Member Chicago Housing Administralion LU

Albany Gaines Senior Housing LLC

Managing Member

Albany Gaines - Michaels LLC Special Member

 $(Managing\ Member-\ Michael\ J.\ Levitt\ Revocable\ Trust.\ Ownership\ 51\%\ and\ Michaels\ Lifting\ Livi$

2021. LLC, a New Jersey LLC. Ownership 49%^

2. Please provide the following information concerning each person or legal enlity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) m excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership inieresi m a partnership or joint venture, interest of a member or manager in a

File #: O2022-667, Ve	rsion: 1		
limited liability com None "	pany., or interest of a beneficiary of a	trust, estate or other similar entity. If r	none, state '
.NOTE: Each legal e	enlity listed below may be required to	submit an EDS on its own behalf.	
Name Albany Gaines Se	Business Address enior Housing LLC 50%	Percentage Interest in the A	pplicant
Albany Gaines - I	Michaels LLC 50%		
"At closing, it is at the "Disclosing Party	<u>-</u>	or Member will assume 99.98% owner	rship interest in
SECTION HI - I OFFICIALS	NCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CIT	Y ELECTED
_	Party provided any income or compenededing the date of this EDS?	sation to any City elected official durin	ng the [Xj No
_	Party reasonably expect to provide an g the 12-month period following the	ny income or compensation to any City date of this EDS? [] Yes	[X] No
If "yes" to either of t such income or comp	-	ame(s) of such City elected official(s) a	and describe
		sing Party's knowledge after reasonable	
inquiry, any City ele	cted official's spouse or domestic part	tner, have a financial interest (as define	ed in
Chapter 2-156 of the	Municipal Code of Chicago ("MCC")) iu the Disclosing Party?	
[] Yes	[Xj No		
If "yes," please iden (s) and describe the	. ,	elected official(s) and/or spouse(s)/de	omestic partner
SECTION IV - DIS	SCLOSURE OF SUBCONTRACT (ORS AND OTHER RETAINED PA	RTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-1 56), accountant, consultant and any other person or enlity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the lolal amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Parly's regular payroll. If the Disclosing Party is

File #: O2022-667, Version: 1		
uncertain whether a disclosure is whether disclosure is required or	required under this Section, the Dischmake the disclosure.	osing Party must either ask the City
Tauc 3 ot 15		
Name (indicate whether Busines retained or anticipated to be retained) Address		Fees (indicate whelliei paid oi estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Sec attached		
(Add sheets it necessary) [\ Check here if the Disclosing]	Party has not retained, nor expects	to retain, any such persons or entities.
SECTION V - CERTIFICATION	ONS	
A. COURT-ORDERED CHILD	SUPPORT COMPLIANCE	
•	ubstantial owners of business entities pport obligations throughout the contr	that contract with the City must remain ract's term.
• •	ndirectly owns 10% or more ofthe Dis by any Illinois court of competent juri	sclosing Party been declared in arrearage sdiction?
[] Yes [^j No [] No person	directly or indirectly owns 10% or mo	ore of the Disclosing Party.
If "Yes," has the person entered in person in compliance with that ag		ayment of all support owed and is the
[] Yes		

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only ifthe Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date oflhis EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or

continue with a contract in progress).

2. The Disclosing Parly and its Affiliated Entities are not delinquent in the payment of any fine, fee, lax or other source of indebtedness owed to the Cily of Chicago, including, but not limited lo. water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is ihe Disclosing Parly delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. I he Disclosing Party ami, if the Disclosing Parly is a legal entity, all of those persons or entities identified in Section 11(1*)(I i oflhis EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date oflhis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, slate or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date offhis EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in

connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Parly, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Enlity (collectively "Agents").

Pa-c 5 of 15

Neither ihe Disclosing Parly, nor any (.'oniraetor. nor any Affiliated Entity of cither the Disclosing Party or any Contractor nor any Agents have, during ihe 5 years before ihe date offhis EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Emily of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement m connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party lo any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United

States Department of Commerce, State, or Treasury, or any successor federal agency.

- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement fordoing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [EOR APPLICANT ONLY] The Applicant, and its Affiliated Entities will not. use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [EOR APPLICANT ONLY) The Applicant will obtain from any contractors/subcontractors lured or to be hired in connection with the Matter certifications equal m form and substance lo those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor lhat does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

I 1. If the Disclosing Parly is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- I 2. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and

=	\$25 per recipient, or (iii) a political contribution otherwise duly reported as the with "N/A" or "none"). As to any gift listed below, please also list the name
C. CERTIFICATION OF STAT	US AS FINANCIAL INSTITUTION
1. The Disclosing Party certifie	es that the Disclosing Party (check one)
[] is [>U is no	t
a "financial institution" as de	efined in MCC Section 2-32-455(b).
2. Ifthe Disclosing Party IS a fi	nancial institution, then the Disclosing Party pledges:
none of our affiliates is, and non	e a predatory lender as defined in MCC Chapter 2-32 Wc further pledge that the of them will become, a predatory lender as defined in MCC Chapter 2-32. We latory lender or becoming an affiliate of a predatory lender may result in the siness with the City."
I'.iiie 7 of 15	
	le lo make this pledge because it or any of its affiliates (as defined in MCC datory lender within (he meaning of MCC Chapter 2-32, explain here (attack
conclusively presumed that the	Jone," or no response appears on the lines above, it will be Disclosing Party certified to the above statements.
D. CERTIFICATION REGARI	DING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in	MCC Chapter 2-1 56 have the same meanings if used in this Part D.
	ection 2-156-1 10: To the best ofthe Disclosing Party's knowledge after icial or employee ofthe City have a financial interest in his oilier own name or or entity in the Matter?
[] Yes [2	Xj No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l),

File a	#: O2	2022-66	37. Ve	ersion:	1
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skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale? [JYes

[}fNo

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

Business Address

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither (I) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to (his EDS all information required by (2). Failure lo comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or in jury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (E) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For puiposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1.995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or iflhe letters "NA" or ifthe word "None" appear, il will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995. as amended, have made lobbying contacts on behalf of the Disclosing Party with respect, to the Mailer.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to inlluence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver 201 S 1

PdL'c') of 15

oi a member of Congress, in connection with ihe award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(I) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

File:	#:	O2022-667.	Version:	1
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5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Applicant?
[^Yes	[JNo

Tf "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[JYes [XJNo

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due. under the applicable filing requirements?

[J Yes [j No [>l Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

J Yes " [Xj No

If you checked "No" to question (1) or (2) above, please provide an explanation:

'The applicant has no employees

Page 10 of 15

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply

with all statutes, ordinances, and regulations on which this EDS is based.

- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at w w w. c i t y o fc h i c a go. o i g/ El h i c s, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. Tf the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Page 1 I of 15

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

By.

Albany Gaines Housing Development LLC (Print or

type exact legal najne of Disclosing Party)
(Sign lieTC-)-

John J. O'Donnell (Print or type name of

person signing)

Managing Member, Michaels Lifting Lives 2021, LLC; Member, Albany Gaines - Michaels LLC; Special Member, Albany Gaines Housing Development, LLC (Print or type title of person signing)

Signed and sworn to before mc on (date) ^Jk^u^jy ?/,

at GAMBEN- County' M^^^y (state).

Pace 12 of 15

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (h) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-1 54-01 5, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% owneiship interest in-the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[JYes [X] No

rf yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 15

C ITY 01- CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX li

BUILDING CODE SCOI FLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct

ownership interest in the which has only an indire		ng 7.5% (an "Owner"). It is not to be completed by any legal entity est in the Applicant.
1. Pursuant to MCC Se or problem landlord purs	· ·	s the Applicant or any Owner identified as a building code scofflaw on 2-92-416?
[]Yes[*jNo		
* *		traded on any exchange, is any officer or director of the flaw or problem landlord pursuant to MCC Section 2-92-416?
[] Yes	[] No	[X The Applicant is not publicly traded on any exchange.
•		below the name of each person or legal entity identified as a and the address of each building or buildings to which the pertinent

Paue 14 of 15

File #: O2022-667, Version: 1

CITY OT CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

Tins Appendix is to he completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (wavw.amlegal.com' http://wavw.amlegal.com), generally covers a party to any agreement pursuant to which they: (j) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1, hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes

[]No

[X] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l).

If you checked "no" to the above, please explain.