

## Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

## Legislation Details (With Text)

File #: 02022-1043

Type: Ordinance Status: Passed

File created: 4/27/2022 In control: City Council

**Final action:** 5/25/2022

Title: Zoning Reclassification Map No. 9-I at 3318 N Troy St - App No. 21005

**Sponsors:** Misc. Transmittal

Indexes: Map No. 9-I

**Attachments:** 1. O2022-1043.pdf

| Date      | Ver. | Action By   | Action              | Result |
|-----------|------|---|---------------------|--------|
| 5/25/2022 | 1    | City Council  | Passed              | Pass   |
| 5/24/2022 | 1    | Committee on Zoning, Landmarks and Building Standards | Recommended to Pass |        |
| 4/27/2022 | 1    | City Council  | Referred            |        |

#### ORDINANCE 1 BE IT ORDAINED BY THE CITY

#### COUNCIL OF THE CITY OF CHICAGO

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RS3 Residential Single-Unit (detached House) District symbols and indications as shown on Map No. 9-1 in an area bound by:

i !

A line 350 feet south of and parallel to west Roscoe Street; Troy Street; a line 375 feet south of and parallel to west Roscoe Street; the alley next west of and parallel to Troy Street;

To those of a RM4.5 Residential Multi-Unit District.

SECTION 2. This ordinance takes effect after its passage and due publication.

| File # | #: O2022-1043, <b>Version</b> : 1   |
|--------|---|
|        |   |
| Com    | mon Address of Property 3318 N Troy Street CITY OF CHICAGO  |
|        | APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE  |
| 1.     | ADDRESS of the property Applicant is seeking to rezone:   |
|        | 3318 N Troy Street  |
| 2.     | Ward Number that property is located in: 33 <sup>^d</sup> Ward  |
| 3.     | APPLICANT Jennifer and Joseph Rodney Trask  |
|        | ADDRESS 3318 N Troy   |
|        | CITY Chicago STATE Illinois ZIP CODE 60618 PHONE . " . '  |
|        | EMAIL CONTACT PERSON Joseph Rodney Trask  |
| 4.     | Is the Applicant the owner of the property? YES X NO If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the applicant to proceed. |
|        | OWNER   |
|        | ADDRESS   |
| ZIP (  | CODE  |
| CON    | TACT PERSON   |
|        | e Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please ide the following information:   |
| Thon   | mas S. Moore  |

ADDRESS 111 West Washington Street. Suite 1720

CITY Chicago STATE Illinois ZIP CODE 60602

PHONE 312-251-1500 FAX 312-251-1509 EMAIL email@.andersonmoorelaw.com

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|---------|-------------|----------|---|
|---------|-------------|----------|---|

If the applicant is a legal entity (Corporation, LLC, Partnership, Etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

- 7. On what date did the owner acquire legal title to the subject property? 2021
- 8. Has the present owner previously rezoned this property? If Yes, when?
- 9. Present Zoning District RS-3 Proposed Zoning District RM4.5
- 10. Lot size in square feet (or dimensions?) 25 X 124.27
- 11. Current Use of the property Existing nonconforming residential 3-flat
- 12. Reason for rezoning the subject property: To meet the bulk and density of the RM4.5 to
- 12. allow for the renovation of an existing three dwelling unit building and the addition of a
- 12. 4<sup>th</sup> dwelling unit.
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)
  - The applicant seeks to renovate an existing three dwelling unit building and add a 4<sup>th</sup> dwelling unit resulting in a 4-unit residential building 38 feet in height, three parking spaces and no commercial use.
- 14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned

Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO <a href="http://www.cityofchicago.org/ARO">http://www.cityofchicago.org/ARO</a> for more information). Is this project subject to the ARO?

#### NO X

Joseph Rodney Trask being first duly sworn on oath, states that all ofthe above statements and the statements contained in the documents submitted herewith are true and correct.

For Office Use Only

Date of Introduction:

File Number: Ward: SURVEY LEGEND

## PLAT OF SURVEY

r£S ^nce i.,-,. LOT 15 IN BLOCK 5 IN GROSS UNTER DEN LINDEN ADDITION TO CHICAGO. IN SECTION 24, TOWNSHIP 40 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS. AREA OF SITE = 3,107 SO FT.

ANGLE Orj THr. NOSInEASI CORNER Or THE SITE IS 93"22'43"

-CONCRETE OR> K CUTTER

## **TROY**

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-concrete clr3 £ 6ut1er ,M \_,2?.00' ~ , >-ckoss is on.in;\* 4 00! east  $c^feT^w^{\ .7}$ 

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W\_PIN£i CORNER IS 2.Z& SOUTH-5-VSSI8 N. -> 5-1/2 SKXIf.'I: HSPENCEVV S CORNER IS 0.05' NORTH -NORTH FACE OF WOOP FENCE IS 0.IS<sup>1</sup> NORTH

**LOT 15** 

-CONCRETE PRIVE IS 0.9' NORTH

CONCRETE WALK IS 0.2' NORTH-CONCEETE WALK IS OT NORTH-: CONCRETE. P3VEWAY

CONCRETE WIVE IS 0.7S' NORTH a 1,85' EAST NORTH S WEST FACE5 Or WOOP FENCE ARE O.V SOUM I 2.05' EAST

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       ' M_a3.-25.00' <http://M_a3.-25.00'>"
                                                     NAL IS ONLINE & 5.25' WESTJ
        PUBLIC ALLEY (
                                           CAKKADUS
                                                                            35-2551 \u<\
                                                                / / PROFrsSIONAC | :! LANS SURVEYOR I 1 *. STATE. OF " "■ *. A . ILLINOIS- /.
STATE CF ILUNOIS) COUNTY OF DU PAGE)
. OAY OF Ma-th , A.D. 2Q22 ILLINOIS THIS .
hereon and the state of illinois. And the professional and surveyor ucensed in the state of illinois, have surveyed the property as described to the current illinois unwur standards for a boundary survey.
   SIGNED AND SEALED ATJXIOL STREAM, ILLINI
                                                 ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 35-2551 UY LICENSE EXPIRES NOVEMBER SO, 2022.
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     OiStoncca shown o ong curved |r Comport trie Legol Description. Build<ng Lines, ond Coaement*. o% _ 10*11 haieon will
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CAffaP&ftOQJB LUgJMtB) ®dfl[KV[IVB PINKS.
191 S; Gary Avenue. Suite 180, Carol Stream; Illinois. 601B8 [630) 5B8-0416 (Fox) 653-76B2 corrodus_surv»y»yohoo.com
                   JOSEPH TRASK
                                                  46-00.
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"WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

April 8th, 2022 Honorable Thomas Tunney Chairman, Committee on Zoning, Landmarks and Building Standards 121 North LaSalle Street Room 300 Chicago, Illinois 60602

The undersigned, Thomas S. Moore . being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that he or she has complied with the requirements of Section 17-13-0107, of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot lines of the subject property, exclusive of public roads, street, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately April 27th, 2022.

The undersigned certifies that the applicant has made an bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

| Fila  | #•      | 02022.  | 1043    | Version: | 1 |
|-------|---------|---------|---------|----------|---|
| ı IIC | $\pi$ . | 1/2/1/2 | · 1040. | version. |   |

>

ThomasSr-Moore

## Anderson & Moore, p.c.

Attorneys at Law 111 West Washington Street. Suite 1720 Chicago, Illinois 60602

Thomas S. Moore Jane F. Anderson

Telephone (312) 251-1500 Facsimile (312) 251-1509

April 14, 2022

#### To Property Owner:

ii i-i

In accordance with requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about April 27, 2022 the undersigned will file an application for a change in zoning from RS3 to RM4.5 on behalf of the applicants, Jennifer and Joseph Trask, for the property located at 3318 N Troy.

The applicant seeks to rezone the property to allow the renovation of the existing three unit building and the addition of a forth dwelling unit.

The applicants and owners are Jennifer and Joseph Trask who live in the building and can be reached at f you have any questions.

I am the attorney for the applicants and can be reached at the above number if you have any questions of me.

Please note the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

TSM:lce

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Joseph Rodney Trask

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. f/j the Applicant OR
- 2. Q a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
  - 2. name:

OR

- 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 3318 N Troy
  Chicago, IL 60618
- C. Telephone: .. Fax: Email:
- D. Name of contact person: Rodney Trask
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):
- 3318 N Troy Zoning Map Amendment City Council
- G. Which City agency or department is requesting this EDS? DPD Bureau of Zoning

| File #: O2022-1043, Vers   | sion: 1   |   |
|--|---|---|
| If the Matter is a cocomplete the following  | _   | y the City's Department of Procurement Services, please             |
| Specification #  |   | and Contract #  |
| Ver.2018-1   | P   | age lof 15  |
| - DISCLOSURE O   | F OWNERSHIP INTE  | ERESTS  |
| Privately held busing Sole proprietorship General partnership Limited partnership Trust    Limited liability     Limited liability profit corporation as     Yes QNo | company<br>y partnership     Joint v<br>lso a 501(c)(3))?<br>  Other (please specif |   |
| 2. For legal entit applicable:   | ies, the state (or to   | reign country) of incorporation or organization, if                 |
| _  | not organized in the State of Illinois as a fo                                      | State of Illinois: Has the organization registered to reign entity? |
| [   Yes  | O No  | □ Organized in Illinois   |
| B. IF THE DISCLO   | OSING PARTY IS A  | LEGAL ENTITY:   |
| 1. List below the  | full names and titles, i  | If applicable, of: (i) all executive officers and all               |

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| File #: O2022-1043,                                  | Version: 1   |   |
|--|--|---|
| Name Title   |  |   |
| direct or indirect interest (including               | t, current or prospective (i.e. with ng ownership) in excess of 7.5% on a corporation, partnership interesting in the content of the | erning each person or legal entity having a in 6 months after City action) beneficial of the Applicant. Examples of such an interest est in a partnership or joint venture, interest of |
| Page 2 of 15<br>limited liability<br>none, state "No | - ·  | ciary of a trust, estate or other similar entity. If  |
| NOTE: Each le  | gal entity listed below may be requi   | ired to submit an EDS on its own behalf.  |
| Name   | Business Address   | Percentage Interest in the Applicant  |
| OFFICIALS  | ! ■  | TO, OR OWNERSHIP BY, CITY ELECTED ompensation to any City elected official during   |
| the  | d preceding the date of this EDS?  | QYes {✓jNo  |
|  | sing Party reasonably expect to produring the 12-month period following  | wide any income or compensation to any City ng the date ofthis EDS? Q Yes {✓] No  |
|  | of the above, please identify below come or compensation:  | the name(s) of such City elected official(s) and  |
| inquiry, any City                                    | ,  | Disclosing Parry's knowledge after reasonable ic partner, have a financial interest (as defined in MCC")) in the Disclosing Party?  |

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse (s)/domestic partner(s) and describe the financial interest(s).

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Parry's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business Relationship to Disclosing Party Fees ("indicate whether retained or anticipated Address (subcontractor, attorney, to be retained) lobbyist, etc.) paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. Anderson and Moore P.C. 111 West Washington, #1720, Chicago, IL 60602 Atty retained. Estimated to be \$5000.00

(Add sheets if necessary)

|~~{ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or

entities. SECTION V-CERTIFICATIONS I I A. COURT-ORDERED CHILD SUPPORT

#### **COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[ j Yes { \ \ \ ] No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

## [~] Yes Q No

#### **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

#### Page 4 of 15

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date ofthis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

cj are not presently indicted, for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parries");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Parry, under common control of another person or entity). Indicia of control include, without Hmitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been corivicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affihated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense invoking actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such Ver.2018-1

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date ofthis EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none"). None
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees\*or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

J | is is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

| File #: O2022-1043, Ve  | ersion: 1   |   |
|---|---|---|
| D. CERTIFICAT   | ION REGARDING FINANCIA  | L INTEREST IN CITY BUSINESS   |
| Any [ words or te   | rms defined in MCC Chapter 2-1  | 56 have the same meanings if used iii this PartD.   |
| reasonable inquiry,   |   | the best ofthe Disclosing Party's knowledge after f the City have a financial interest in his or her own the Matter?  |
| □ Yes   | 0 No  |   |
|   | cked "Yes" to Item D(l), proceed to D(2) and D(3) and proceed to  | ed to Items D(2) and D(3). If you checked "No" to Part E.   |
| official or employe<br>person or entity in<br>assessments, or (iii<br>Property Sale"). Co | e shall have a financial interest in<br>the purchase of any property that<br>it is sold by virtue of legal proces | bidding, or otherwise permitted, no City elected<br>in his or her own name or in the name of any other<br>it (i) belongs to the City, or (ii) is sold for taxes or<br>its at the suit of the City (collectively, "City<br>cursuant to the City's eminent domain power does<br>ig ofthis Part D. |
| Does the Matter in  | volve a City Property Sale?   |   |
| □ Yes □No   |   |   |
|   |   | names and business addresses of the City officials ntify the nature of the financial interest:  |
| Name  | Business Address  | Nature of Financial Interest  |
|   |   |   |
| 1 The Disclosing  | Dorty further cortifies that no a   | archibited financial interact in the Motter will be   |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

f\*^ll. The Disclosing Party verifies that the Disclosing Party has searched any and all records of

the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I.

L\_12. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VT - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VU. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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|--|------------------------------|---|
| federally funded grant of                              | or loan, enter               | ction with the award of any federally funded contract, making any ring into any cooperative agreement, or to extend, continue, ally funded contract, grant, loan, or cooperative agreement.   |
|  | event that n                 | mit an updated certification at the end of each calendar quarter in naterially affects the accuracy of the statements and information above.  |
| (c)(4) of the Internal Re<br>(4) of the Internal Rever | evenue Code<br>nue Code of I | that either: (i) it is not an organization described in section 501 of 1986; or (ii) it is an organization described in section 501(c) 1986 but has not engaged and will not engage in "Lobbying the Lobbying Disclosure Act of 1995, as amended.                           |
| form and substance to pany subcontract and the         | aragraphs A(<br>Disclosing P | Applicant, the Disclosing Party must obtain certifications equal in (1) through A(4) above from all subcontractors before it awards Party must maintain all such subcontractors' certifications for the te such certifications promptly available to the City upon request. |
| B. CERTIFICATION R                                     | EGARDING                     | G EQUAL EMPLOYMENT OPPORTUNITY  |
|  |                              | ed, federal regulations require the Applicant and all proposed<br>wing information with their bids or in writing at the outset  |
| Is the Disclosing Party the Yes                        | ne Applicant'<br>□ No        | ?   |
| If "Yes," answer the thre                              | e questions b                | pelow:  |
| 1. Have you developed a federal regulations? (See Yes  |                              | ave on file affirmative action programs pursuant to applicable t 60-2.)   |
| •  | the Equal Enents?            | orting Committee, the Director of the Office of Federal Contract Employment Opportunity Commission all reports due under the QjReports not required   |
| 2 1  | in any previ                 | ious contracts or subcontracts subject to the   |

If you checked "No" to question (1) or (2) above, please provide an explanation:

□ Yes □No

#### - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any

contract or other agreement between the Applicant and the City in connection with the Matter, whether

procurement, City assistance, or other City action, and are material inducements to the City's execution

of any contract or taking other action with respect to the Matter. The Disclosing Party understands that

it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B.The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes ceitain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text ofthis ordinance and a training program is available on line at www.cityofcfccago.org/Etriics <a href="http://www.cityofcfccago.org/Etriics">http://www.cityofcfccago.org/Etriics</a>, and may

also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf ofthe Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

## Joseph Rodney Trask

(Print or type exact legal name of Disclosing Party)

Bv: /\*\*J^

/f Sign here)

## Joseph Rodney Trask

(Print or type name of person signing)

0-

(Print or type title of person signing)

Signed and sworn to before me on (date)

at Lj&O kl £mstou~LllilUa <, (state).

Official Seal Thomas Stephen Moore Notary Public State of Illinois My Commission Expires 2/1/2026

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

## QYes [7jNo

If yes, please identify below (1) the name and title of such person, (2) the name ofthe legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a

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direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

No ! I !  $\blacksquare$  I'  $\bullet$  QYes 0

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as

defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com <a href="http://www.amlegal.com">http://www.amlegal.com</a>),

generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

**QYes** 

□ No

£7j N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). If you checked "no" to the above, please explain.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Jennifer Trask

## Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [✓] the Applicant

OR

- 2. a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
  - 2. name:

OR

- 3. a legal entity with a direct or indirect right of control of the Applicant (see Section 11 (B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 3318 N Troy
  Chicago Illinois, 60618

C. <u>Telephone</u>: <u>Fax:</u> <u>Email</u>: <u>■- . . .</u> <u>- · </u>

- D. Name of contact person: Rodney Trask
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

3318 N Trory - Map Amendment - City Council

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|--|--|--|--|--|
| G. Which City agency or depart   | rtment is requesting this EDS? DPD - o'fy Council  |  |  |  |
| If the Matter is a contract<br>Services, please complete the f   | being handled by the City's Department of Procurement ollowing:  |  |  |  |
| Specification #  | eification # and Contract #  |  |  |  |
| Ver.2018-1<br>SECTION II - DISCLOSURE  | Page lof 15 OF OWNERSHIP INTERESTS   |  |  |  |
| A. NATURE OF THE DISCLO  | OSING PARTY  |  |  |  |
| General partnership Limited pa   | Limited liability partnership $   $ Joint venture $[\sim)$ Not-for-profit corporation also a $501(c)(3)$ ?   |  |  |  |
|  | foreign country) of incorporation or organization, if applicable:  d in the State of Illinois: Has the organization registered to do   |  |  |  |
| business in the State of Illinois a  |  |  |  |  |
| j [ Yes □ No   | □ Organized in Illinois  |  |  |  |
| B. IF THE DISCLOSING PART  | Y IS A LEGAL ENTITY:   |  |  |  |
| the entity; (ii) for not-for-profit c<br>no such members, write "no men<br>entities, the trustee, executor, ada<br>partnerships, limited liability con | d titles, if applicable, of: (i) all executive officers and all directors of orporations, all members, if any, which are legal entities (if there are abers which are legal entities"); (iii) for trusts, estates or other similar ministrator, or similarly situated party; (iv) for general or limited apanies, limited liability partnerships or joint ventures, each general ager or any other person or legal entity that directly or indirectly nent of the Applicant. |  |  |  |
| NOTE: Each legal entity listed be  | elow must submit an EDS on its own behalf.   |  |  |  |
| Name Title   |  |  |  |  |

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| indirect, current or<br>ownership) in exce | prospective (i.e. within 6 months ass of 7.5% of the Applicant. Exam   | ng each person or legal entity having a director of the city action) beneficial interest (include ples of such an interest include shares in a int venture, interest of a member or manage | ling     |
| Page 2 of 15                               |  |  |          |
| limited liability none, state "Nor         | - ·  | ciary of a trust, estate or other similar e  | ntity. I |
| NOTE: Each leg                             | al entity listed below may be require                                  | red to submit an EDS on its own behalf.  |          |
| Name                                       | Business Address   | Percentage Interest in the Applica   | ant      |
| SECTION HI -<br>OFFICIALS '                | INCOME OR COMPENSATION   | TO, OR OWNERSHIP BY, CITY EL   | ECTED    |
| Has the Disclosing the                     | ng Party provided any income or co                                     | ompensation to any City elected official du  | ring     |
|  | preceding the date of this EDS?  | □ Yes [ <b>✓</b> jNo   |          |
|  | ing Party reasonably expect to provoring the 12-month period following | ride any income or compensation to any Ci<br>g the date of this EDS? Q Yes [»7j N  | •        |
| •  | ofthe above, please identify below ome or compensation:                | the name(s) of such City elected official(s)   | ) and    |
| inquiry, any City                          |  | isclosing Party's knowledge after reasonab<br>c partner, have a financial interest (as definance)) in the Disclosing Party?  |          |

### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

g No

(s)/domestic partner(s) and describe the financial interest(s).

 $\square$  Yes

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, to be retained)

Iobbyist, etc.)

Party Fees (indicate whether paid or estimated.) NOTE:

"hourly rate" or "t.b.d." is not an acceptable response.

Anderson and Moore P.C. 111 West Washington, #1720, Chicago, IL 60602 Arty retained.

Estimated to be \$5000.00

(Add sheets if necessary)

| Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

QYes [✓ [No []No person directly or indirectly owns 10% or more ofthe Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

F~] Yes [J No

#### **B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance

of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date ofthis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c.; are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) tenrunated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the

Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affihated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public oflicer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been corivicted or adjudged guilty of agreement dr collusion among bidders' or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affihated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by

the United States Department of Commerce, State, or Treasury, or any successor federal agency.

- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such Ver.2018-1

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best of the Disclosmg Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees\*or to the general

public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

 $j \mid is$  [~| is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D'

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[J Yes 0 No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to

Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a linancial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a linancial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

- □ Yes □No
- 3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

**Business Address** 

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- f^j 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- I 12. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance

policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERHFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VT. If the Matter is not federally funded, proceed to Section VTI. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4)

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| of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is; defined in the Lobbying Disclosure Act of 1995, as amended.  |
| 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request. |
| B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY  |
| If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.  |
| Is the Disclosing Party the Applicant? $[J\ Yes \qquad \qquad \Box^{\ No}$   |
| If "Yes," answer the three questions below:  |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  rj Yes □ No   |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?    [ Yes  |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  □ Yes QNo   |
| If you checked "No" to question (1) or (2) above, please provide an explanation:   |
|  |

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## SECTION Vn - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any

contract or other agreement between the Applicant and the City in connection with the Matter, whether

procurement, City assistance, or other City action, and are material inducements to the City's execution

of any contract or taking other action with respect to the Matter. The Disclosing Party understands that

it must comply with all statutes, ordinances, and regulations on which this EDS is based.

- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>. and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration, and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

#### Jennifer Trask

(Print or type exact legal name of Disclosing Party)

By: ^)\*IA^ /t<+\*t'
(Sign here)

## **Jennifer Trask**

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date)  $^jK^t$  fiU $^a$  at C-QplC County,  $^l$  Cou

Notary Public

Commission expires: <^ - / •

< Mtk.

Official Seal Thomas Stephen Moore Notary Public State of Illinois My Commission Expires 2/1/2026

#### CITY OF CfflCAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must discJose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial

relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers ofthe Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

## QYes [ \( \square\) JNo

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

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1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?i

! ! i ! i [J Yes 0] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

| [ Yes No 0J The Applicant is not publicly traded on any exchange.

3. If yes to (1) oi (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor"

as

defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com <a href="http://www.amlegal.com">http://www.amlegal.com</a>),

generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional sendees), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

i,

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

## [J Yes

 $\sqcap$  No

0 N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). If you checked "no" to the above, please explain.