



Office of the City Clerk

City Hall
121 N. LaSalle St.
Room 107
Chicago, IL 60602
www.chicityclerk.com

Legislation Details (With Text)

File #: O2022-3746
Type: Ordinance
Status: Passed
File created: 11/16/2022
In control: City Council
Final action: 1/18/2023
Title: Vacation of public way(s) in area bounded by E 56th St, S Drexel Ave, E 57th St and S Cottage Grove Ave
Sponsors: Hairston, Leslie A.
Indexes: Vacation, Vacations
Attachments: 1. O2022-3746.pdf

Date	Ver.	Action By	Action	Result
1/18/2023	1	City Council	Passed	Pass
1/12/2023	1	Committee on Transportation and Public Way		
11/16/2022	1	City Council	Referred	

STREET & ALLEY VACATION ORDINANCE NOT FOR PROFIT

WHEREAS, the City of Chicago ("City") is a home rule unit of local government pursuant to Article VII, Section 6(a) of the 1970 Constitution of the State of Illinois, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City wishes to support the charitable, educational and philanthropic activities of established not-for-profit corporations and encourage the continued viability and growth of such activities; and

WHEREAS, many not-for-profit corporations own property that adjoins streets and alleys that are no longer required for public use and might more productively be used in furtherance of such activities; and

WHEREAS, the City would benefit from the vacation of these little used or unused streets and alleys by reducing City expenditures on maintenance, repair and replacement; by reducing fly-dumping, vandalism and other criminal activity; and by providing support for such charitable, educational and philanthropic activities; and

WHEREAS, in addition, when the City vacates streets and alleys that revert to ownership by not-for-profit corporations engaged in charitable, educational and philanthropic activities, the City obtains additional financial benefits by building stronger, more productive communities and by being relieved of the cost of social services that it might otherwise have to support with public monies; and

WHEREAS, because the City obtains a net benefit from supporting and facilitating the activities of not-for-profit organizations in local communities, the City Council has determined it to be in the public interest to vacate the public way described below without compensation, where the abutting owner is a not-for-profit corporation engaged in charitable activities; and

WHEREAS, the properties at 5600-5658 S. Maryland Avenue, 5601-5659 S. Maryland Avenue

and 5600-5658 S. Drexel Avenue, are owned by the University of Chicago, an Illinois not-for-profit corporation (the "Developer"); and

WHEREAS, the Developer has assembled the parcels for construction of a planned comprehensive cancer center on the site; and

WHEREAS, the City Council of the City of Chicago, after due investigation and consideration, has determined that the nature and extent of the public use and the public interest to be subserved is such as to warrant the vacation of the public street and alley described below; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO: SECTION 1.

Legal Description

THAT PART OF S. MARYLAND AVENUE 66 FOOT WIDE RIGHT OF WAY LYING WEST OF AND ADJOINING LOTS 26 TO 50, ALL INCLUSIVE, IN BLOCK 6, AND LYING EAST AND ADJOINING OF LOTS 1 TO 20, ALL INCLUSIVE, IN BLOCK 5 IN McKICHAN AND MASON'S SUBDIVISION OF THE WEST HALF OF THE NORTHWEST QUARTER OF SECTION 14, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED OCTOBER 22, 1868, BOOK 167, PAGE 178, AS DOCUMENT NO. 186893, ANTE-FIRE, AND LYING EAST OF AND ADJOINING LOTS 1 AND 2 IN THE RESUBDIVISION OF LOTS 21 TO 25, BOTH INCLUSIVE, IN BLOCK 5 OF McKICHAN & MASON SUBDIVISION AFORESAID, ACCORDING TO THE PLAT THEREOF RECORDED IN 1910 AS DOCUMENT NO. 4511343, LYING SOUTH OF AND ADJOINING THE SOUTH RIGHT OF WAY OF E. 56TH STREET AND LYING NORTH OF AND ADJOINING THE NORTH RIGHT OF WAY OF E. 57TH STREET; SAID ABOVE PARCEL CONTAINING 39,340 SQUARE FEET, OR 0.903 ACRES, MORE OR LESS, TOGETHER WITH THE NORTH-SOUTH 16 FOOT WIDE PUBLIC ALLEY IN SAID BLOCK 6 LYING SOUTH OF AND ADJOINING SAID SOUTH E. 56TH STREET RIGHT OF WAY; LYING NORTH OF AND ADJOINING SAID NORTH LINE OF E. 57TH STREET RIGHT OF WAY; LYING WEST OF AND ADJOINING LOTS 1 THROUGH 25 AND LYING EAST OF AND ADJOINING LOTS 26 THROUGH 50, ALL INCLUSIVE IN SAID BLOCK 6; ALL IN COOK COUNTY, ILLINOIS, SAID ABOVE DESCRIBED PARCEL CONTAINING 9,560 SQ. FT. OR 0.219 ACRES MORE OR LESS, WITH A TOTAL AREA OF ALL DESCRIBED PARCELS CONTAINING 48,900 SQ. FT. OR 1.122 ACRES MORE OR LESS, as shaded and legally described by the words "HEREBY VACATED" on the plat hereto attached as EXHIBIT A, which drawing for greater clarity, is hereby made a part of this ordinance, be and the same is hereby vacated and closed, inasmuch as the same is no longer required for public use and the public interest will be subserved by such vacations.

SECTION 2. The Commissioner of the Chicago Department of Transportation is hereby authorized to accept and approve a redevelopment agreement or similar instrument restricting the use and improvement of the public way vacated in Section 1 of this ordinance to social service purposes which include, but shall not be limited to, the provision of medical care, research and education, and for such use and improvements that are accessory, as that term is defined in the Chicago Zoning Ordinance, to such social service purposes, such uses and improvements to be owned and operated by a not-for-profit corporation, subject to the approval of the Corporation Counsel as to form and legality. The reversion restriction on use and improvement in the covenant agreement or instrument shall be for a term of 40 years and upon breach of such restriction the public way herein vacated shall revert to the City and be subject to the terms and conditions of the dedication by which it has been heretofore held by the City. The use restriction shall be in place into perpetuity unless released subject to payment of appraised compensation to the City.

SECTION 3. The City of Chicago hereby reserves for the benefit of Commonwealth Edison, and Comcast, their successors or assigns, a non-exclusive utility easement to operate, maintain, construct, replace and renew overhead wires, poles, and associated equipment for the transmission and distribution of electrical energy, telephonic and associated services over and along the alley herein vacated, with the right of ingress and egress. The grade of the vacated public way shall not be altered in a manner so as to interfere with the operation and maintenance of such facilities. No construction, buildings, permanent structures or obstructions shall occur or be placed over the area herein vacated without express written release of easement by the involved utilities. Any future Developer-prompted relocation of facilities lying within the area being vacated will be

accomplished by the involved utility, and be done at the sole expense of the Developer, its successors or assigns.

In addition to the reservation provided above, the City of Chicago hereby reserves for the benefit of Crown Castle, and its successors or assigns, a non-exclusive utility easement to operate, maintain, construct, replace and renew underground conduit, cables, and associated equipment for the transmission and distribution of electrical energy, telephonic and associated services under and along the portion of Maryland Avenue to be vacated, with the right of ingress and egress. The grade of the vacated public way shall not be altered in a manner so as to interfere with the operation and maintenance of such facilities. No construction, buildings, permanent structures or obstructions shall occur or be placed over the area herein vacated without express written release of easement by the involved utilities. Any future Developer-prompted relocation of facilities lying within the area being vacated will be accomplished by the involved utility, and be done at the sole expense of the Developer, its successors or assigns.

. SECTION 4. The City hereby reserves for the benefit of The Peoples Gas Light and Coke Co. ("Peoples Gas") an easement to operate, maintain, repair, renew and replace existing underground facilities, and to construct new facilities in the portion of Maryland Avenue to be vacated, with the right of ingress and egress at all times for any and all such purposes. It is further provided that no buildings or other structures shall be erected upon or over said easement herein reserved for Peoples Gas or other use made of the said area which would interfere with the construction, operation, maintenance, repair, removal, or replacement of said facilities, or the construction of additional facilities. No construction, buildings, permanent structures or obstructions shall occur or be placed over the area herein vacated without an express written release of easement by the involved utility. Any future vacation-beneficiary prompted relocation of Peoples Gas facilities lying within the area herein vacated will be accomplished by Peoples Gas, and completed at the expense of the Developer, its successors or assigns. In the event of abandonment, the City hereby reserves for the benefit of Peoples Gas the right to abandon in place all or any part of the existing gas mains, service piping, associated equipment and appurtenances and all or any portion of its equipment as many be located over, through, under, along and across the vacated areas. It is further provided that all costs and expenses associated with the removal of any abandoned main, pipe, appurtenances, or equipment shall be borne exclusively by the Developer, its successors and assigns and not by Peoples Gas.

SECTION 5. The vacations herein provided for are made upon the express condition that within 180 days of the City Council passage of this ordinance, the Developer shall pay to the City Treasury of the City, a quoted security deposit equal to the costs of Developer's work for public paving, curb, and related appurtenances in accordance with the most current version of the Chicago Department of Transportation's Regulations for Opening, Repair and Construction in the Public Way and its appendices. Upon completion of the work, a request for final field inspection and approval of the Developer's construction shall be made to the CDOT Division of Infrastructure Management, Construction Compliance Unit, Room 905 City Hall, prior to return of said security deposit, less any service fee.

SECTION 6. The vacations herein provided for are made under the express condition that the Developer, and its successors and assigns, shall hold harmless, indemnify and defend the City from all claims related to said vacations.

SECTION 7. The vacations herein provided for are made upon the express condition that within 180 days after the passage of this ordinance the Developer shall file or cause to be filed for recordation in the Office of the Cook County Clerk / Recordings Division, a certified copy of this ordinance, together with a redevelopment agreement complying with Section 2 of this ordinance, and approved by the Corporation Counsel, and the attached plat as approved by the Chicago Department of Transportation's Superintendent of Maps and Plats.

SECTION 8. This ordinance shall take effect and be in force from and after its passage and publication. The vacations shall take effect and be in force from and after the recording of the published ordinance and approved plat.

Gia Biagi Commissioner of Transportation

Introduced By;

Honorable Alderman Leslie Hairston 5th Ward

CDOT File Number: 14-05-22-4010

LEGEND

* HEREBY VACATED BUILDING FOOT PRINT
EXISTING LOT LINES UNE BETWEEN SUBDIVISIONS *
PENDING DEDICATION *

EXHIBIT "A"
PLAT OF VACATION

IN THE WEST HALF OF THE NORTHWEST QUARTER OF SECTION 14, TOWNSHIP 38 NORTH, RANGE 1E, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

GRAPHIC SCALE

40' C W 40'

SCT 3/0" ROAD *

TRAFFIC FLOW ■ R.O.W. = RIGHT OF WAY

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VACATED PUBLIC ALLEY RECORDED MAY B. 20H AS DOCUMENT NO, 1412634059

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EXHIBIT "A" PLAT OF VACATION

IN THE WEST HALF OF THE NORTHWEST QUARTER OF SECTION 14, TOWNSHIP 38
NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY,
ILLINOIS.

LEGAL DESCRIPTION:

THAT PART OF S. MARYLAND AVENUE 66 FOOT WIDE RIGHT OF WAY LYING WEST OF
AND ADJOINING LOTS 26 TO 50, ALL INCLUSIVE, IN SLOCK 6, AND LYING EAST AND
ADJOINING OF LOTS 1 TO 20, ALL INCLUSIVE, IN BLOCK 5 IN McKICHAN AND MASON'S
SUBDIVISION OF THE WEST HALF OF THE NORTHWEST QUARTER OF SECTION 14,
TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN,
ACCORDING TO THE PLAT THEREOF RECORDED OCTOBER 22,1868, BOOK 167, PAGE
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SOUTH RIGHT OF WAY OF E. 56TH STREET AND LYING NORTH OF AND ADJOINING THE
NORTH RIGHT OF WAY OF E. 57TH STREET; 5AID ABOVE PARCEL CONTAINING 39,340
SQUARE FEET, OR 0.903 ACRES, MORE OR LESS,

TOGETHER WITH THE NORTH-SOUTH 16 FOOT WIDE PUBLIC ALLEY IN SAID BLOCK 6
LYING SOUTH OF AND ADJOINING SAID SOUTH E. 56TH STREET RIGHT OF WAY; LYING
NORTH OF AND ADJOINING SAID NORTH LINE OF E. 57TH STREET RIGHT OF WAY;
LYING WEST OF AND ADJOINING LOTS 1 THROUGH 25 AND LYING EAST OF AND
ADJOINING LOTS 26 THROUGH 50, ALL INCLUSIVE IN 5AID BLOCK 6, ALL IN COOK
COUNTY, ILLINOIS, SAID ABOVE DESCRIBED PARCEL CONTAINING 9,560 SCU FT. OR
0.219 ACRES MORE OH LESS, WITH A TOTAL AREA OF ALL DESCRIBED PARCELS
CONTAINING 48,900 SQ. FT. OR 1,122 ACRES MORE OR LESS

AFFECTED PINS:

20-14-108-001 LOTS 48-50 IN BLOCK 6 IN "A"
20-14-108-002 LOT4#47 IN BLOCK 0 IN "A"
2U-14-138-003 PART LOTS 45-46 IN BLOCK 0 IN "A-
20-UJOT-QM LOT 45 IN BLOCK 6 IN "A"
30-14-108-OC5 LOT44 IN BLOCKS IN "A"
20-14-106-006 LOT 4J IN BLOCK 0 IN "A":
20-14-108-007 LOT 4? IN BLOCK 6 IN "Av"
20-H-108-OCB LOT 41 IN BLOCK 6 IN "A"
20-14-108-005 LOT 40 IN BLOCK 8 IN "A"
20-14-108-010 LOT 38 IN BLOCK 0 IN "A"
20-14-105-011 LOTS 3700 IN BLOCK6 IN "A"
20-14-108-012 LOTS 35-30 IN BLOCK6 IN "A"
20-14-108-013 LOTS 33-34 IN BLOCK6 IN "A"
20-14-108-034 LOTS 26-29 IN BLOCK 8 IN "A"
20-14-106-035 LOTS 26-20 IN BLOCK C IN "A"
20-14-1C3-OK LOTS 30-32 IN BLOCK 8 IN "A"

20-14-106-013 LOT 5 IN BLOCKS IN "A"
20-14-108-019 LOT 6 IN BLOCK 5 IN "A"
20-H106-017 LOTS 7 1 8 IN BLOCK SIN"A"
20-14-108-018 LOTS 8 9 IN BLOCK 5 IN "A"
20-14-108-019 LOTS 10 11 IN BLOCK b IF J "A"
20-14-108-020 LOT 11 IN BLOCK b IN "A"
20-14-109-021 LOT 12 IN BLOCK 5 IN "A"
20-14-106-022 LOT 13 IN BLOCK 31N" A"
20-14-108-023 LOT 14 IN BLOCK 5 IN "A"
20-14-106-024 LOT 18 IN BLOCK 5 IN "A"
20-14-108-0Q7 LOT 18 IN BLOCK 5 IN "A"
20-14-106-028 LOTS 19 4 20 IN BLOCK 3IN"A-
20-14-108-029 LOT 1 IN BLOCK 5 IN "B"
20-14-106-030 LOTS 2 & 3 IN BLOCK 5 IN" B"
20-14-108-033 LOTS 1 & 2 IN BLOCK (. IN "A"
20-14-108U33 LOTS 2 3 IN BLOCK 5 IN "A"
20-14-108-034 LOTS 3 4 IN BLOCK 5 IN "A"
20-14-108-035 LOT 4 IN BLOCK 5 IN "A"
20-14-108-036 LOTS 164 17 IN BLOCK 5 IN "A"

PREPARED FOR/MAIL TO:

THE UNIVERSITY OF CHICAGO MEDICINE/INGALLS 850 E. 58TH ST, 4TH FLOOR MC0953 CHICAGO IL606J7

State of Illinois) County of Cook

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CDOT #14-05-22-4010

SURVEYOR'S NOTES

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CHICAGO DWAKTWIHT" OF TRANSPORTATION

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: The
University of Chicago

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity currently holding, or anticipated to hold within six months after City action on

2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the

2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal

2. name: , ,

OR

3. ☐ a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 5801 S. Ellis Avenue

Chicago, IL 60637

C. Telephone: +1 (773) 702-1976 Fax:

Email: rrush@uchicago.edu

[<mailto:rrush@uchicago.edu>](mailto:rrush@uchicago.edu)

D. Name of contact person: Robert Rush

E. Federal Employer Identification No. (if you have one): .

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Application for right of way vacations - File No. 14-05-22-4010 - for Maryland Avenue and the alley next east of Maryland Avenue, between K. 56th Street and L. 57th Street.

G. Which City agency or department is requesting this EDS? Department of Transportation

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification #

and Contract #

Ver.2018-1

Page 1 of 15

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party:

- | | |
|---|-------------------------------------|
| <input type="checkbox"/> Person | <input type="checkbox"/> |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> |
| <input type="checkbox"/> Sole proprietorship | <input checked="" type="checkbox"/> |
| <input type="checkbox"/> General partnership | (Is |
| <input type="checkbox"/> Limited partnership | |
| <input type="checkbox"/> Trust | <input type="checkbox"/> |

Limited liability company Limited liability partnership Joint venture
Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))?
☒ Yes ☐ No Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in

the State of Illinois as a foreign entity?

☐ Yes

☐ No

☐ Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title See SCHEDULE II.B.1.

No members.

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Page 2 of 15

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf-

Name	Business Address	Percentage Interest in the Applicant
------	------------------	--------------------------------------

None.

SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? ☐ Yes ☒ No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City

elected official during the 12-month period following the date of this EDS? ☐ Yes ☒ No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

☐ Yes ☒ No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 15

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated'.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Street, Chicago, Lawyers, \$5,000 (est.)			DLA Piper LLP (retained), 444 W. Lake
Chicago Guarantee Survey (retained), 4505 N Elston Ave, Chicago, Surveyor, \$2,500 (est.)			

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☐ No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Page 4 of 15

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management; ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 15

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article 1 for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10.' [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Page6of15

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: None.

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None.,

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

See SCHEDULE V.B. 13. :

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

Page 7 of 15

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

Business Address

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.,

Page 8 of 15

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

☒ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party

has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of

the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

☐ Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question (1) or (2) above, please provide an explanation:

Page 10 of 15

- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply

with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <<http://www.cityofchicago.org/Ethics>>. and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon ■ request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Page 11 of 15

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

The University of Chicago

Robert Rush

(Print or type name of person signing) Associate

nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes ☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 15

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND
AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

☐ Yes ☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

☐ Yes ☐ No ☒ The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

Page 14 of 15

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND
AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com <<http://www.amlegal.com>>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those

prohibitions.

☐ Yes ☐ No

☒ N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

Page 15 of 15

THE UNIVERSITY OF CHICAGO EDS SCHEDULE II.B.I.

TRUSTEES

Katherine Adams Paul Alivisatos Andrew M. Alper Frank A. Baker II Debra A. Cafaro Thomas A. Cole James S. Crown Daniel L. Doctoroff Brady W. Dougan Thomas F. Dunn John A. Edwardson Barry E. Fields Rodney L. Goldstein Mary Louise Gorno Antonio J. Gracias Sanford J. Grossman Brett J. Hart Kenneth M. Jacobs Ashley D. Joyce Karen L. Katen Steven A. Kersten James M. Kilts Michael J. Klingensmith Rachel D. Kohler Hilarie Koplow-McAdams John Liew Rika Mansueto Satya Nadella Joseph Neubauer Emily Nicklin Brien M. O'Brien Michael P. Polsky Myrtle S. Potter Tom J. Pritzker Guru Ramakrishnan John W. Rogers, Jr. Emmanuel Roman Andrew M. Rosenfield David M. Rubenstein Tandeane Rustandy Alvaro J. Saieh Nassef O. Sawiris Mary A. Tolan Byron D. Trott Jason J. Tyler Gregory W. Wendt Donald R. Wilson, Jr. Paul G. Yovovich Francis T.F. Yuen

OFFICERS

Paul Alivisatos - President Ka Yee C. Lee - Provost

Mark Anderson - Executive Vice President for Medical Affairs, Dean of the Division of the Biological Sciences, and Dean of the Pritzker School of Medicine

Katie Callow-Wright - Executive Vice President of the University

Juan de Pablo - Executive Vice President for Science, Innovation, National Laboratories, and Global Initiatives

Lori Berko - Vice President and Secretary of the University

MaryFrances McCourt - Chief Financial Officer

James G. Nondorf - Vice President for Enrollment and Student Advancement

Paul M. Rand - Vice President for Communications

Michele Rasmussen - Dean of Students in the University

Kim Taylor - Vice President and General Counsel

Andy Ward - Vice President and Chief Investment Officer

THE UNIVERSITY OF CHICAGO EDS
SCHEDULE V.B. 13

November 18, 2021, Dinner, Julius Givens, Chicago Police Department February 22, 2022, Lunch, Noe Flores, Chicago Police Department March 4, 2022 - Reception, Jermaine Harris, Chicago Police Department April 18, 2022 - Dinner, Chicago Police Department Detectives (100 Chicago Police Department Detectives)
April 29, 2022 - Dinner, Chicago Police Department Detectives (100 Chicago Police Department Detectives)
May 9, 2022 - Dinner, Brendan Deenihan, Chicago Police Department
June 22, 2022 - Dinner, Adam Gross, Community Commission for Public Safety and Accountability
August 18, 2022 - Dinner, Sybil Madison, Mayor's Office
September 22, 2022 - Dinner, Adam Gross, Community Commission for Public Safety and Accountability