

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

Legislation Text

File #: O2015-2623, Version: 1

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ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the RT4 Residential Two-Flat. Townhouse and Multi-Unit District and Ml-2 Limited Manufacturing/ Business Park District symbols and indications as shown on Map No.7-H in the area bounded by

West Diversey Parkway; a line 75 east of the alley next east of North Clybourn Avenue, as measured along the south right-of-way line of West Diversey Parkway and perpendicular thereto; the alley next northeast of and parallel to North Clybourn Avenue; and the alley next east of North Clybourn Avenue,

to those of a B2-3 Neighborhood Mixed-Use District and a corresponding uses district is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

1945-47 West Diversey Parkway

17-13-0303-C (1) Narrative Zoning Analysis

Proposed Zoning: B2-3 Neighborhood Mixed-Use District Property

Address: 1945-1947 W. Diversey Lot Area: 5,195.39 sq. ft.

Proposed Land Use: The subject property will be redeveloped with a new four-story residential building

containing seven (7) dwelling units. Seven (7) onsite parking spaces will be located in an

attached garage that will be accessed via the public alley that runs along the western side of the subject lot. There will be no commercial or retail units. The height of the new building will be 48'-6".

- a) The Project's floor area ratio: 2.49 FAR
- b) The project's density (Lot Area Per Dwelling Unit): 742 sq. ft. Seven (7) Dwelling units proposed.
- c) The amount of off-street parking: Seven (7) off-street parking spaces.
- d) Setbacks:
 - a. Front Setbacks: 0?
 - b. Rear Setbacks: 61 "4"
 - c. Side Setbacks: (T east / 0' west
 - d. Rear Yard Open Space:. None
- (e) Building Height: 48'-6"
- * 17-10-0207-A

Written Notice, Form of Affidavit: Section 17-13-0107

March 20, 2015

Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304 - City Hall Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Nicholas Ftikas, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was or

^{*17-13-0303-}C(2) Plans Attached.

will be sent by USPS First Class Mail no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned as 1945-1947 W. Diversey, Chicago, IL; a statement of intended use of said property; the name and address of the Applicant/Owner; and a statement that the Applicant intends to file an application for a change in zoning on approximately March 20, 2015.

The Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago; that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

Law Office of Samuel V.P. Banks

By: Nicholas Ftikas, Attorney

DANIELLE SANDS OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires Ociober 29, 2018

Subscribed and sworn to before me

PUBLIC NOTICE

Via USPS First Class Mail March 20,

2015

Dear Sir or Madam:

In accordance with the Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107tA, please be informed that on or about March 20, 2015,1, the undersigned, will file an application for a change in zoning from the Ml-2 Limited Manufacturing/Business Park District and RT-4 Residential Two-Flat, Townhouse and Multi-Unit District to the B2-3 Neighborhood Mixed-Use District, on behalf of Applicant, 1945 Diversey, LLC, for the property located at 1945-1947 W. Diversey, Chicago, IL.

The subject property will be redeveloped with a new four-story residential building containing seven (7) dwelling units. Seven (7) onsite parking spaces will be located in an attached garage that will be accessed via the public alley that runs along the western side of the subject lot. There will be no commercial or retail units. The height of the new building will be 48'-6".

1945 Diversey. LLC, the Contract Purchaser and Applicant, is currently located at 3009 W. Montrose, Chicago, IL 60618.

2751 N. Clybourn, LLC, the current property owner, is located at 1245 W. Washington, Chicago, IL 60607.

The contact person for this application is Nicholas Ftikas. My address is 221 N. LaSalle Street, 38th Floor, Chicago, IL 60601. My telephone number is 312-782-1983.

Very truly yours,

LAW OFFICES OF SAMUEL V.P. BANKS

*Please note that the Applicant is not seeking to purchase or rezone your property.

*The Applicant is required by law to send this notice because you own property located within 250 feet of the property subject to the proposed Zoning Amendment.

FORM OF AFFIDAVIT

Chairman, Committee on Zoning Room 304 - City Hall Chicago, IL 60602

To Whom It May Concern:

I, Bart Przyjemski, as Managing Member and on behalf of 1945 Diversey, LLC, understand that the Law Offices of Samuel V.P. Banks has filed a sworn affidavit identifying 1945 Diversey, LLC, as the Applicant and Contract Purchaser holding interest in land subject to the proposed zoning amendment for the property identified as 1945-1947 W. Diversey, Chicago, IL.

Bart Przyjemski Date

I, Bart Przyjemski, in my capacity as Managing Member of 1945 Diversey, LLC, being first duly sworn under oath, depose and say that 1945 Diversey, LLC, holds that interest for itself and no other person,

association, or shareholder.

Subscribed and Sworn to before me This 20th day of March, 2015

To whom it may concern:

I, Bart Przyjemski, as Managing Member and on behalf of 1945 Diversey, LLC, the Contract Purchaser and Applicant concerning the subject property located at 1945-1947 W. Diversey, Chicago, IL, authorize the Law Offices of Samuel V.P. Banks to file a Zoning Amendment application with the City of Chicago for that property.

Bart Przyjemski Managing Member 1945 Diversey, LLC

/•

To whom it may concern:

I, Michael Faron, as Managing Member and on behalf of 2751 N Clybourn, LLC, an Illinois limited liability company, Owner of property located at 1945-1947 W. Diversey, Chicago, IL, authorize the Law Offices of Samuel V.P. Banks to file a Zoning Amendment application on behalf of the Applicant, 1945 Diversey, LLC, an Illinois limited liability company, with the City of Chicagofjor that property.

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CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:

1945-1947 W. Diversey. Chicago, IL

"

2. Ward Number that property is located in: 32

3. APPLICANT: 1945 Diversey, LLC

ADDRESS: 3009 W. Montrose

CITY: Chicago STATE: IL, ZIP CODE: 60618
PHONE: (312) 782-1983 CONTACT PERSON: Nicholas Ftikas, Esq.
Attorney for Applicant

4. Is the Applicant the owner of the property? YES

<u>NO X</u>

If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER 2751 N. Clybourn. LLC ADDRESS 1245 W. Washington

CITY: Chicago STATE: IL ZIP CODE: 60607

PHONE (847) 236-0492

CONTACT PERSON Kevin Sterling

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Law Office of Samuel V.P. Banks

ADDRESS 221 North LaSalle Street, 38th Floor

CITY Chicago STATE: IL ZIP CODE: 60601

PHONE: (312) 782-1983 FAX: (312) 782-2433

- 6. If the Applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements

 Bart Przyjeniski. Manager. 1945 Diversey, LLC
- 7. On what date did the owner acquire legal title to the subject property?
- 7. 1995
- 8. Has the present owner previously rezoned this property? If Yes, when?
- 9. <u>Present Zoning: M1-2 Limited Manufacturing/Business Park District and RT-4 Residential Two-Flat, Townhouse and Multi-Unit District</u>

Proposed Zoning: B2-3 Neighborhood Mixed-Use District

- 10. Lot size in square feet (or dimensions?): 5,195.39 sq. ft.
- 11. Current Use of the Property: The subject property is currently vacant land.
- 12. Reason for rezoning the property: The Applicant is seeking to amend the zoning at the subject property in order develop the property with a new four-story building that will contain seven (7) dwelling units and onsite parking for seven (7) cars.
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

The subject property will be redeveloped with a new four-story residential building containing seven (7) dwelling units. Seven (7) onsite parking spaces will be located in an attached garage that will be accessed via the public alley that runs along the western side of the subject lot. There will be no commercial or retail units. The height of the new building will be 48'-6".

14.	requires on-sa zoning cha	site afford inge unde ning class	Chicago City lable housing r certain circu sification, is the nformation)	units or a	a financial cons. Based on th	ntribution ne lot size	if resident of the proj	ial housing ect in quest	projects re- ion and the	ceive
NO	X									
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oa	Bart Przyjems ath, state that a e true and cor	all of the a								
					Signatur	e of Appli	cant			
	abscribed farch, 2015.	and	Sworn	to	before	me	this	20 th	day	of
Date	of Introduction	n:								
File 1	Number:									
Ward	1:									
							AGO ECON			

SECTION I -- GENERAL INFORMATION

File #: O2015-2623, Version: 1

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

AFFIDAVIT

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. M* the Applicant

OR

- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in

which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:

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- C. Telephone: 3/?-7g"Z-/903 Fax: 311 -7€Z-Z<f 25 Email: ^4 'fjL/£? Sa^Sa^/^C f*w -came
- D. Name of contact person: AJ'PCUc P'*P7CJkS. ^/fy7~Y.
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
- G. Which City agency or department is requesting this EDS?

«/) P& j CO "2.

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification #

and Contract #

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SECTION II DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the

nature of the Disclosing Party:

Person [^f Limited liability company

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	ship	[] Limited liability partnership [] Joint venture [] Not-for-profit corporation (is the not-for-profit corporation also a 501 (c)(3))? [] Yes [] No [] Other (please specify)
2. For legal ent	ities, the state (or foreign cou	untry) of incorporation or organization, if applicable:
	7TL-C -CNQ^^	
3. For legal en State of Illinois as	e e	te of Illinois: Has the organization registered to do business in the
[] Yes	[] No [*fN/A	
B. IF THE DISCLO	OSING PARTY IS A LEGAL	L ENTITY:
profit corporations, members." For trus Ifthe entity is a venture, list below	also list below all members, its, estates or other similar en general partnership, limited p the name and title of each ge y-to-day management of the	executive officers and all directors of the entity. NOTE: For not-for- if any, which are legal entities. If there are no such members, write "no tities, list below the legal titleholder(s). partnership, limited liability company, limited liability partnership or joint eneral partner, managing member, manager or any other person or entity Disclosing Party. NOTE: Each legal entity listed below must submit an
interest (including	_	
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similar entity. If no	one, state "None." NOTE: Pur), the City may require any s	bility company, or interest of a beneficiary of a trust, estate or other rsuant to Section 2-154-030 of the Municipal Code of Chicago uch additional information from any applicant which is reasonably
Name	Business Address	Percentage Interest in the

Disclosing Party

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SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[JYes [«fNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

tlffe

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether **Business** retained or anticipated **Address** to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is

not an acceptable response.

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(Add sheets if necessary)	
[] Check here if the	Disclosing Party has not retained, nor expects to retain, any such persons or entition
SECTION V CERTIF	FICATIONS
A. COURT-ORDERED	CHILD SUPPORT COMPLIANCE
*	e Section 2-92-415, substantial owners of business entities that contract with the City must h their child support obligations throughout the contract's term.
* *	ctly or indirectly owns 1 0% or more of the Disclosing Party been declared in arrearage on any by any Illinois court of competent jurisdiction?
[] Yes	[] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person e compliance with that agree	entered into a court-approved agreement for payment of all support owed and is the person in element?
[]Yes []No	
B. FURTHER CERTIFI	CATIONS
	ipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined ess") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and its constant of the constant of the Applicant and its

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of. or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.I. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or ofany state or

local government in the United States of America, in that officer's or employee's official capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

ajlA

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

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9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [^fis not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

si I A-

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?,

[] Yes ft No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.L, proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- J1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

ALIA.

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A-

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded l federal regulations require the Applicant and all proposed subcontractors to submit

File #: O2015-2623, V	ersion: 1	
the following informat	ion with their bids or in writing at the outset of negotiati	ions, JQ
Is the Disclosing Party	the Applicant?	
[JYes	[] No .	
If "Yes," answer the th	aree questions below:	
1. Have you devergulations? (See 41 C	eloped and do you have on file affirmative action EFR Part 60-2.) [] No	programs pursuant to applicable federa
· ·	with the Joint Reporting Committee, the Director of the l Employment Opportunity Commission all reports due [] No	-
3. Have you partion opportunity clause?	cipated in any previous contracts or subcontracts subject	to the equal
[] Yes	[] No	
If you checked "No" to	o question 1. or 2. above, please provide an explanation:	fcL/jft -

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SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or

other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.-
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

rile #: 02015-2623, version: 1			
(Sign here)			
(Drint on type name of name an aignin)		
(Print or type name of person signing	1g)		
(Print or typenitle of person signing	(;)		
Signed and sworn to before me on			

DANIELLE SANDS OFFICIAL SEAL Notary Public, Stata of Illinois My Commission Expires October 29, 2018

File # 00045 0000 Manalani 4

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person, exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

File #: O2015-2623, Version: 1		
[] Yes		
	d title of the elected city	official or department head to whom such person has a jal relationship.
		hUA
	- 10 O	
	Page 13 of 1	13
CITY		NOMIC DISCLOSURE STATEMENT AND AFFIDAVIT NDIX B
BUILDING CODE SCOFF	LAW/PROBLEM LA	NDLORD CERTIFICATION
	t exceeding 7.5 percent	Applicant, and (b) any legal entity which has a direct (an "Owner"). It is not to be completed by any legal e Applicant.
		e Applicant or any Owner identified as a building code 2-416 of the Municipal Code?
[]Yes [XfNo		
		any exchange, is any officer or director of the Applicant dlord pursuant to Section 2-92-416 of the Municipal Code?
[] Yes	[] No	[> <not applicable<="" td=""></not>
3. If yes to (1) or (2) above, pleas identified as a building code scofflaw pertinent code violations apply.		me of the person or legal entity and the address of the building or buildings to which the

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: 2751 N Clybourn, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. ^ the Applicant
 - OR
- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3. [] a legal entity with a right of control (see Section II.B. 1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 1245 West Washington Blvd Chicago, IL 60607
- C. Telephone: 773-755-1611 p_{ax:} 773-327-4784 Email: mfaron@weoneil.com

File #: O2015-2623, Version: 1		
<mailto:mfaron@weoneil.com></mailto:mfaron@weoneil.com>		
D. Name of contact person:	Michael Faron	
E. Federal Employer Identification	No. (if you have one):	
-	nsaction or other undertaking (referred to below as the "Matt number and location of property, if applicable):	ter") to which
\l&uw€of 1945-47 West Dive	rsey Parkway, Chicago, IL	
G. Which City agency or departmen	t is requesting this EDS? Department of Zoning	
If the Matter is a contract be complete the following:	ing handled by the City's Department of Procurement Ser	rvices, please
Specification #	and Contract #	
Page 1 of 13		
SECTION II DISCLOSURE O	F OWNERSHIP INTERESTS	
A. NATURE OF THE DISCLOSIN	G PARTY	
1. Indicate the nature of the Dis Person Publicly registered business corporat Sole proprietorship General partnership Limited partnership Trust	J^Cimited liability company [] Limited liability partnership	3))?
2. For legal entities, the state (o	r foreign country) of incorporation or organization, if applicab	le:

the State of Illinois as a foreign entity?

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in

File #: O2015-2623, V e	File #: O2015-2623, Version: 1						
[]Yes	[] No	[] N/A					
B. IF THE DISCLOS	SING PARTY IS A LEGA	L ENTITY:					
not-for-profit corpora members, write "no r Ifthe entity is a ge partnership or joint v or any other person o	nations, also list below all members." For trusts, estate meral partnership, limited partnership, limited partnership, limited partnership, limited partnership.	nembers, if any, which are es or other similar entities, partnership, limited liabilit e and title of each general ay-to-day management of	l directors of the entity. NOTE: For legal entities. If there are no such , list below the legal titleholder(s). ty company, limited liability partner, managing member, manager the Disclosing Party. NOTE: Each				
beneficial interest (in	_	ess of 7.5% of the Disclos	or entity having a direct or indirect ing Party. Examples of such an ip or joint venture.				
microst morado share	is in a corporation, partners		ip of joint venture,				
		Page 2 of 13					
other similar entity. I Chicago ("Municipal	f none, state "None." NOT	E: Pursuant to Section 2-1	t of a beneficiary of a trust, estate or 154-030 of the Municipal Code of formation from any applicant which i				

Name Business Address Percentage Interest in the Disclosing Party

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, to be retained)

Address (subcontractor, attorney, paid or estimated.) NOTE: lobbyist, etc.)

"hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

J>£check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

File #: 0201	5-2623.	Version:	1
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A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes j><No

person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery;

falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official

capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or 9 prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of the Disclosing Party was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

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employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. -

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is "J^is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

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meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

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[] Yes					
NOTE: If you check proceed to Part E.	ked "Yes" to Item D.L, proceed to Iter	ns D.2. and D.3. If you checked "No" to Item D.1.,			
official or employed entity in the purchasis sold by virtue of	e shall have a financial interest in his of se of any property that (i) belongs to the legal process at the suit of the City (contains to the City's eminent domain power.)	or her own name or in the name of any other person or the City, or (ii) is sold for taxes or assessments, or (iii) offlectively, "City Property Sale"). Compensation for yer does not constitute a financial interest within the			
Does the Matter inv	volve a City Property Sale?				
[] Yes >£rNo					
•	xed "Yes" to Item D.L, provide the nar such interest and identify the nature of	mes and business addresses of the City officials or such interest:			
Name	Business Address	Nature of Interest			
	ing Party further certifies that no ty official or employee.	prohibited financial interest in the Matter will be			
E CEDTIFICATIO	NI DECARDING OF AMERICAN	Habiraa			

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

>s///\. ^The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records,

including the names of any and all slaves or slaveholders described in those records:

SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally ⁵ funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying

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Activities".

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5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
[] Yes [] No
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
[] Yes [j No
If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any

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contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain, duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRO.orR/Ethics http://www.cityofchicaRO.orR/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

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F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City. 2751 N Clybourn, LLC

(Print or type i\ame of^person signing) Manager
(Print or type title of person signing)

Signed and sworn	to before me	on (date)	
at	_ County,	~27L	(state).

TAMELA AUGUSTA NOTARY PUBLIC, STATE OF ILUNOI8 My Commission Expires 12/09/2018

 $C^<V?uA$ ($L\%U^U^U$ &

Commission expires: ^^/t?

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, ifthe Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[JYes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which

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such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT** APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

	This Appendix is to be completed or ship interest in the Applicant exceeds which has only an indirect ownership	ing 7.5 percent (an "O	wner"). It is not to be con	
1.	Pursuant to Municipal Code Section 2 scofflaw or problem, landlord pursuar		· · · · · · · · · · · · · · · · · · ·	l as a building code
,	[] Yes	[yf No		
2.	If the Applicant is a legal entity pub- identified as a building code scofflaw	•	•	* *
	[]Yes	[JNo	[> <not applicable<="" td=""><td></td></not>	
3.	If yes to (1) or (2) above, please ident scofflaw or problem landlord and the apply.	•		•
			t	tdJL

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

O'Neil General, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: 2751 North Clybourn, LLC

 $\cap \mathbb{P}$

3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:

1245 West Washington Blvd

B. Business address of the Disclosing Party:

Chiago, IL 60607

- C. <u>Telephone</u>: 773-755-1611 _{Fax:} 773-327-4784 _{Email}. <u>mfaron@weoneil.com</u> <mailto:mfaron@weoneil.com>
- D. Name of contact person: Michael Faron
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

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TZexone of 1945-47 West	Diversey Parkway, Cl	hicago, IL
G. Which City agency or depart	artment is requesting th	nis EDS? Department of Zoning
If the Matter is a contracomplete the following:	ct being handled by	the City's Department of Procurement Services, please
Specification # "		and Contract # """ "
Page 1 of 13		
SECTION II - DISCLOSURI	E OF OWNERSHIP IN	UTERESTS
A. NATURE OF THE DISCI	OSING PARTY	
 Indicate the nature of t Person Publicly registered busines ✓ Privately held business con Sole proprietorship General partnership Limited partnership Trust Limited liability company Lin Not-for-profit corporation	ss corporation rporation (nited liability partnersl	[] [] [] [] (Is [] hip Joint venture
the not-for-profit corporation [] Yes [] Other (please specify)		
2. For legal entities, the s	tate (or foreign country	y) of incorporation or organization, if applicable:
3. For legal entities not of in the State of Illinois as a for	•	of Illinois: Has the organization registered to do business
[] Yes	[] No [V^N/A	
B. IF THE DISCLOSING PA	RTY IS A LEGAL EN	NTITY:

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1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name . Title K

uJAUo.0* E . Q'^ei\ Tv£

Njice SVesv&e^

or

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

O'Neil Industries, Inc.

Business Address 1245 West Washington Blvd

Percentage Interest in the Disclosing Party 100%

Chicago, IL 60607

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes >fNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, paid or estimate)

lobbyist, etc.) "hourly rate"

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

♦ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes]J] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTETIf Article^ timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery;

falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period, preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any-Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or ofany state or local government in the United States of America, in that officer's or employee's official

capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of the Disclosing Party was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

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employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
1. [] is \J\ is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS '
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same
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meanings when used in this Part D.

[] Yes

have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[J\ No

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City

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NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes [] No

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

/ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party

from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance

policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information setforth in paragraphs A.l. and A.2. above.
 - 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c) (4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying

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Activities".	
substance to paragraphs the Disclosing Party mu	Party is the Applicant, the Disclosing Party must obtain certifications equal in form and A.l. through A.4. above from all subcontractors before it awards any subcontract and ast maintain all such subcontractors' certifications for the duration of the Matter and ations promptly available to the City upon request.
B. CERTIFICATION R	EGARDING EQUAL EMPLOYMENT OPPORTUNITY
•	funded, federal regulations require the Applicant and all proposed subcontractors to formation with their bids or in writing at the outset of negotiations.
Is the Disclosing Party t	he Applicant?
[] Yes	[] No
If "Yes," answer the thre	ee questions below:
Have you developed federal regulations? (See [] Yes	oped and do you have on file affirmative action programs pursuant to applicable e 41 CFR Part 60-2.) [] No
Compliance Programs, of filing requirements?	with the Joint Reporting Committee, the Director of the Office of Federal Contract or the Equal Employment Opportunity Commission all reports due under the applicable
[] Yes	[] No
3. Have you partici opportunity clause?	pated in any previous contracts or subcontracts subject to the equal
[] Yes	[] No
If you checked "No" to o	question 1. or 2. above, please provide an explanation:
SECTION VII ACKN PENALTIES, DISCLOS	OWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE,

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The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS maybe made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F. l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any --fine; fee^tax-or other charge owed to the-Gityr -This includesy but-is not-limited^to_rall-water-charges,

sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

O'Neil Genral, Inc.

(Print or type na President

(Print or type title of person signing)

(state).

Notary Public.

Signed and sworn to before me on $\frac{1}{\sqrt{date}} O^{n/t}O/S$

at (Loot County,

"OFFICIAL SEAL"

TAMELA AUGUSTA < NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 12/09/201f

Commission expires:

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- A

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section JJ.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

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Does the Disclosing Party or have a "familial relationship" w		or any Spouse or Domestic Partner thereof currently al or department head?
[] Yes		
such person is connected; (3) th	e name and title of the el	Such person, (2) the name of the legal entity to which lected city official or department head to whom such ture of such familial relationship.
	Page 1	3 of 13
CIT	Y OF CHICAGO ECON	NOMIC DISCLOSURE STATEMENT AND
	A DDEN	AFFIDAVIT
	APPEN	ADIA B
BUILDING CODE SCO	FFLAW/PROBLEM LAN	NDLORD CERTIFICATION
	ant exceeding 7.5 percent	applicant, and (b) any legal entity which has a direct (an "Owner"). It is not to be completed by any legal e Applicant.
		e Applicant or any Owner identified as a building code 2-416 of the Municipal Code?
[]Yes [XfNo		
11		any exchange, is any officer or director of the Applicandlord pursuant to Section 2-92-416 of the Municipal Code?
[] Yes	[] No	[> <not applicable<="" td=""></not>
3. If yes to (1) or (2) above, plot identified as a building course the pertinent code violation	de scofflaw or problem lan	ne of the person or legal entity ndlord and the address of the building or buildings to which

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: O'Neil Industries, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR

a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: 2751 N Clybourn, LLC OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 1245 West Washington Blvd
 Chicago, IL 60607

C. Telephone: 773-755-1611 Fax. 773-327-4784 Email: mfaron@weoneil.com

<mailto:mfaron@weoneil.com>

D. Name of contact person: Michael Faron

File #: O2015-2623, Version: 1	
E. Federal Employer Identification No. (if you	have one):
	other undertaking (referred to below as the "Matter") to which location of property, if applicable):
G. Which City agency or department is request	ing this EDS? Department of Zoning
If the Matter is a contract being handled complete the following:	by the City's Department of Procurement Services, please
Specification #	and Contract #
Page 1 of 13 SECTION XI - DISCLOSURE OF OWNERSH A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Par [] Person [] Publicly registered business corporation Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	
J-\Wo15	ountry) of incorporation or organization, if applicable: ate of Illinois: Has the organization registered to do business in
[JYes [JNo [/(N/A	

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

5e&. o^ToLt-Vgel

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

O'NEIL INDUSTRIES, INC.
EXECUTIVE OFFICERS AND DIRECTORS

NAME TITLE

WILLIAM E. O'NEIL RICHARD J. ERICKSON ROBERT R. DUKES PAULV. ROUNDY IV DEAN J. ARNOLD MICHAEL J. FARON JOHN S. HOBBS MARY B. O'NEIL JOHNT. HICKEY, JR. TRACEY L CANTARUTTI DENNIS L. KESSLER ROBIN J. CERO

CHAIRMAN & DIRECTOR PRESIDENT, CEO & DIRECTOR

VICE PRESIDENT-FINANCE, CFO, SECRETARY, TREASURER & DIRECTOR VICE PRESIDENT-INFORMATION SERVICES VICE PRESIDENT-COST CONTROL

DIRECTOR & CHAIRMAN OF W.E. O'NEIL CONSTRUCTION CO.

DIRECTOR & PRESIDENT OF W.E. O'NEIL CONSTRUCTION COMPANY, INC.

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

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Name	Business Address	Percentage Interest in the Disclosing Party	

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes y{ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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File #: O2015-2623, Version: 1				
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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.	
(Add sheets if necessary)			
y(Check here if the Dis	closing Par	ty has not retained, nor expects to	o retain, any such persons or entities	
SECTION V - CERTII	FICATIONS	3		
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE		
•		92-415, substantial owners of busin child support obligations througho	ess entities that contract with the City ut the contract's term.	
* *	•	ectly owns 10% or more of the Disc ny Illinois court of competent jurisc	closing Party been declared in arrearage diction?	
[]Yes [v	rfNo	[]No person directly or indirectly of Disclosing Party.	owns 10% or more of the	
If "Yes," has the person person in compliance wi			ment of all support owed and is the	
[] Yes []	No			
B. FURTHER CERTIF	ICATIONS			

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with

Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and,, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity

means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of the Disclosing Party was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at any time during the 12-mbnth period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

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employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [4 is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal

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Code, explain here	(attach additional pages if necessary):
	the word "None," or no response appears on the lines above, it will be med that the Disclosing Party certified to the above statements.
D. CERTIFICATIO	ON REGARDING INTEREST IN CITY BUSINESS
Any words or terms	s that are defined in Chapter 2-156 of the Municipal Code have the same
	Page 7 of 13
meanings when use	ed in this Part D.
	ce with Section 2-156-110 of the Municipal Code: Does any official or employee of the Cityerest in his or her own name or in the name of any other person or entity in the Matter? [4 No
NOTE: If you chec proceed to PartE.	ked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l.,
official or employe entity in the purcha is sold by virtue of	pursuant to a process of competitive bidding, or otherwise permitted, no City elected e shall have a financial interest in his or her own name or in the name of any other person or se of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) legal process at the suit of the City (collectively, "City Property Sale"). Compensation for the City's eminent domain power does not constitute a financial interest within the et D.
Does the Matter in	volve a City Property Sale?
[] Yes	[] No
•	ked "Yes" to Item D.l., provide the names and business addresses of the City officials or such interest and identify the nature of such interest:

Business Address Nature of Interest Name

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

>/ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it

will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying

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Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[] Yes [] No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable

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federal regulations? (See 41 CFR Part 60-2.)			
[] Yes	[] No		
2. Have you filed with	the Joint Reporting Committee, the Director of the Office of Federal Contract		
Compliance Programs, or tl	ne Equal Employment Opportunity Commission all reports due under the applicable		
filing requirements?			
[] Yes	[] No		
3. Have you participat opportunity clause?	ed in any previous contracts or subcontracts subject to the equal		
[] Yes	[] No		
If you checked "No" to que	stion 1. or 2. above, please provide an explanation:		

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of

material fact may include incarceration and an award to the City of treble damages.

D.It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS

and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

O'Neil Industries, Inc. (Print or type name of Disclosing Party)

(Print or type name of person signing)

Signa at L

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% '<■:,■ -ji .■■ ";•,•>;.?.,

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than

a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[JYes [yfNo

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[] Yes ■ [] No [><Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

tdk-

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT**

SECTION I GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

William E. O'Neil II

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. "P^a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: O Neil Industries, Inc.
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 1245 West Washington Blvd. Chicago, IL 60607

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C. Telephone :	Email:
D. Name of contact person: William E. O'Neil II	
E. Federal Employer Identification No. (if you have	e one):
F. Brief description of contract, transaction or other this EDS pertains. (Include project number and local	er undertaking (referred to below as the "Matter") to which ation of property, if applicable):
2ei<9H£ of 1945-47 West Diversey Parkway,	Chicago, IL
G. Which City agency or department is requesting to	this EDS? Department of Zoning
If the Matter is a contract being handled by complete the following:	y the City's Department of Procurement Services, please
Specification #	and Contract #
Page 1 of 13	
SECTION II DISCLOSURE OF OWNER	SHIP INTERESTS
L NATURE OF THE DISCLOSING PARTY	
 Indicate the nature of the Disclosing Party jj Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	y: [] [] [] [] [] (Is
Limited liability company Limited liability part Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))? [] Yes [] No Other (please specify)	

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2.	For legal entities, the state (or foreign country) of incorporation or organization, if a	oplicable:
	For legal entities not organized in the State of Illinois: Has the organization registeress in the State of Illinois as a foreign entity?	d to do
[]	Yes [] No [] N/A	
i. IF	HE DISCLOSING PARTY IS A LEGAL ENTITY:	
For a no s title! If >arta nana «fO"	List below the full names and titles of all executive officers and all directors of the ext-for-profit corporations, also list below all members, if any, which are legal entities h members, write "no members." For trusts, estates or other similar entities, list below lder(s). e entity is a general partnership, limited partnership, limited liability company, limitership or joint venture, list below the name and title of each general partner, managinger or any other person or entity that controls the day-to-day management of the Discontinuous Exact legal entity listed below must submit an EDS on its own behalf. Title	e. If here are ow he legal ed liability g member,
ndire	Please provide the following information concerning each person or entity having a data beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party an interest include shares in a corporation, partnership interest in a partnership or judge 2 of 13	Examples
tro of ad	est of a member or manager in a limited liability company, or interest of a bene t, state or other similar entity. If none, state "None." NOTE: Pursuant to Section te Municipal Code of Chicago ("Municipal Code"), the City may require any substitutional information rom any applicant which is reasonably intended to achieve to losure.	on 2-154-030 uch

Jame Business Address Percentage Interest in the

Disclosing Party

William O'Neil above

<u>11.82%</u>

ECTION in - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal "ode, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes [2 No

f yes, please identify below the name(s) of such City elected official(s) and describe such slationship(s):

MIA

ECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, Dbbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained r expects to retain in connection with the Matter, as well as the nature of the relationship, and the total mount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose mployees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative ction on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) imself. "Lobbyist" also means any person or entity any part of whose duties as an employee of nother includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the >isclosing Party must either ask the City whether disclosure is required or make the disclosure.

F	ile	#:	O2015-2623,	Version:	1
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Page 3 of 13 'ame (indicate whether **Business** Relationship to Disclosing Party Fees (indicate whether stained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: lobbyist, etc.) > be retained) "hourly rate" or "t.b.d." not an acceptable response. \dd sheets if necessary) i Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. **ECTION V - CERTIFICATIONS** Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with le City must remain in compliance with their child support obligations throughout the contract's term. as any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in rrearage on any child support obligations by any Illinois court of competent jurisdiction? []Yes [Ji No No person directly or indirectly owns 10% or more of the Disclosing Party. ? "Yes," has the person entered into a court-approved agreement for payment of all support owed and :

. FURTHER CERTIFICATIONS

[] Yes

the person in compliance with that agreement?

[] No

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should ansult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party lbmitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party 3rtifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged

ith, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any riminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, erjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the pplicant understands and acknowledges that compliance with Article I is a continuing requirement for oing business with the City. NOTE: If Article I applies to the Applicant; the permanent compliance meframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- ' 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities ientified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section TV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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(either the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party r any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with sspect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years efore the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the latter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, gents or partners, is barred from contracting with any unit of state or local government as a result of ngaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3;

- (2) bid-rotating in iolation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of Linerica that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists laintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the lureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Jesignated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the)ebarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters -55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the lunicipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of le Disclosing Party was, at any time during the 12-month period preceding the execution date of this ,DS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party as not given or caused to be given a gift, at any time during the 12-month period preceding the xecution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago, or purposes of this statement, a "gift" does not include: (i) anything made generally available to City

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'mployees or to the general public, or (ii) food or drink provided in the course of official City business rid having a retail value of less than \$ 10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

dd-h

fthe letters "NA," the word "None," or no response appears on the lines above, it will be conclusively resumed that the Disclosing Party certified to the above statements.

:. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check

one)

1. [] is is not

"financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal lode. We further pledge that none of our affiliates is, and none of them will become, a predatory; nder as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory; nder or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing usiness with the City."

F the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in ection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 1-32 of the Municipal Code, explain here (attach additional pages if necessary):

hH&

fthe letters "NA," the word "None," or no response appears on the lines above, it will be onclusively presumed that the Disclosing Party certified to the above statements.

>. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

v.ny words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

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'leanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee f the City have a financial interest in his or her own name or in the name of any other person or Qtity in the Matter?

[]Yes [JNo

fOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to

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em D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City lected official or employee shall have a financial interest in his or her own name or in the name of ny other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold Dr taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power oes not constitute a financial interest within the meaning of this Part D.

>oes the Matter involve a City Property Sale?

[]Yes [xNo

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City fficials or employees having such interest and identify the nature of such interest:

fame Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will e acquired by any City official or employee.

). CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must isclose below or in an attachment to this EDS all information required by paragraph 2. Failure to omply with these disclosure requirements may make any contract entered into with the City in onnection with the Matter voidable by the City.

x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of le Disclosing Party and any and all predecessor entities regarding records of investments or profits rom slavery or slaveholder insurance policies during the slavery era (including insurance policies .sued to slaveholders that provided coverage for damage to or injury or death of their slaves), and le Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the >isclosing Party has found records of investments or profits from slavery or slaveholder

insurance olicies. The Disclosing Party verifies that the following constitutes full disclosure of all such jcords, including the names of any and all slaves or slaveholders described in those records:

ECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

fOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally unded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City nd proceeds of debt obligations of the City are not federal funding.

I. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying) isclosure Act of 1995 who have made lobbying contacts on behalf Of the Disclosing Party with sspect to the Matter: (Add sheets if necessary):

6UA

If no explanation appears or begins on the lines above, or ifthe letters "NA" or if the word "None" ppear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities sgistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the)isclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay ny person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any erson or entity to influence or attempt to influence an officer or employee of any agency, as defined by pplicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a lember of Congress, in connection with the award of any federally funded contract, making any ederally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, mend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in /hich there occurs any event that materially affects the accuracy of the statements and information set orth in paragraphs A.l. and A.2. above.

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01(c)(4) of the Internal Rever	rtifies that either: (i) it is not an organization described in section nue Code of 1986; or (ii) it is an organization described in section enue Code of 1986 but has not engaged and will not engage in
	Page 9 of 13
activities".	
rm and substance to paragraphs nbcontract and the Disclosing P	ne Applicant, the Disclosing Party must obtain certifications equal in) A.l. through A.4. above from all subcontractors before it awards any earty must maintain all such subcontractors' certifications for the uration ch certifications promptly available to the City upon request.
i. CERTIFICATION REGARD	ING EQUAL EMPLOYMENT OPPORTUNITY
•	owing information with their bids or in writing at the outset of
5 the Disclosing Party the Appl	icant?
[] Yes [] N	No
f "Yes," answer the three questi	ons below:
1. Have you developed an applicable ;deral regulations? (S	· · · · · · · · · · · · · · · · · · ·
•	
3. Have you participated in a qual opportunity clause? [] Yes [] N	any previous contracts or subcontracts subject to the

f you checked "No" to question 1. or 2. above, please provide an explanation:

fAlA

ECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, .OMPLIANCE, PENALTIES, DISCLOSURE

he Disclosing Party understands and agrees that:

The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

bntract or other agreement between the Applicant and the City in connection with the Matter, whether rocurement, City assistance, or other City action, and are material inducements to the City's execution f any contract or taking other action with respect to the Matter. The Disclosing Party understands that: must comply with all statutes, ordinances, and regulations on which this EDS is based.

>. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of le Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, rork, business, or transactions. The full text of these ordinances and a training program is available on ne at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

edgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully nth the applicable ordinances.

-). If the City determines that any information provided in this EDS is false, incomplete or inaccurate, ny contract or other agreement in connection with which it is submitted may be rescinded or be void or oidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or oid), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or eclining to allow the Disclosing Party to participate in other transactions with the City. Remedies at tw for a false statement of material fact may include incarceration and an award to the City of treble amages.
-). It is the City's policy to make this document available to the public on its Internet site and/or upon squest. Some or all of the information provided on this EDS and any attachments to this EDS may be tade available to the public on the Internet, in response to a Freedom of Information Act request, or therwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible ights or claims which it may have against the City in connection with the public release of information ontained in this EDS and also authorizes the City to verify the accuracy of any information submitted ithis EDS.

-. The information provided in this EDS must be kept current. In the event of changes, the Disclosing arty must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a ontract being handled by the City's Department of Procurement Services, the Disclosing Party must pdate this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified ffenses), the information provided herein regarding eligibility must be kept current for a longer period, s required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

he Disclosing Party represents and warrants that:

- . 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois •epartment of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any ne, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, swer charges, license fees, parking tickets, property taxes or sales taxes.
- .2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

William E. O'Neil II

(Print or type name of Disclosing Party)

lere)

William E. O'Neil II

(Print or type name of person signing)

Chairman of the Board of O'Neil Industries, Inc.

(Print or type title of person signing)

"OFFICIAL SEAL"

TAMELA AUGUSTA NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 12/09/2018

ned and s

COOK

at

Signed and sworn to before me on (date)

County, TIC

(state).

Notary Public.

Commission expires:

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct wnership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity rtiich has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party r any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a ^{£t} farnilial relationship" with try elected city official or department head. A "familial relationship" exists if, as of the date this EDS is igned, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to le mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic artner or as any of the following, whether by blood or

adoption: parent, child, brother or sister, aunt or uncle, iece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather r stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section TLB. La., if the ▶ isclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general artnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited artnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a mited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief perating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person <ercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently ave a "familial relationship" with an elected city official or department head?

[] Yes [jj No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which ich person is connected; (3) the name and title of the elected city official or department head to whom such srson has a familial relationship, and (4) the precise nature of such familial relationship.

aj/A

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[JYes [xTNo

		any exchange, is any officer or director of the lord pursuant to Section 2-92-416 of the Municipal		
[] Yes	[] No	[> <not applicable<="" th=""><th></th></not>		
3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.				

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

File #: O2015-2623, Version: 1

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: William E. O'Neil II, Trustee $fz*u\ l*v > O^cjpv\ S^H^-J^C -rwjx\ \%$

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR

a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the

File #: O2015-2623, Version: 1	
Applicant in which the Disclosing Party h OR	olds an interest: W.E.O'Neil Beneficial Trust Bl
3. [] a legal entity with a right of control (se which the Disclosing Party holds a right of c	e Section II.B.l.) State the legal name of the entity in control:
o o aa f.u ™ i • t. • B. Business address of the Disclosing Party:	1245 West Washington Blvd.
	Chicago, IL 60607
C. Telephone ^r ax:	
D. Name of contact person: William E_Oneiln	
E. Federal Employer Identification No. (if you	have one):
F. Brief description of contract, transaction or this EDS pertains. (Include project number and	other undertaking (referred to below as the "Matter") to which location of property, if applicable):
1Leit>ne of 1945-47 West Diversey Par	kway, Chicago, IL
G. Which City agency or department is requesti	ng this EDS? Department of Zoning
If the Matter is a contract being handled complete the following:	l by the City's Department of Procurement Services, please
Specification #	and Contract #
Page 1 of 13	
Action ii - disclosure of ownership	p interests
a. NATURE OF THE DISCLOSING PART	Y
 Indicate the nature of the Disclosing P. Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership 	arty: [] [] [] [] [] (Is

[]

i Trust

File #: O2015-2623,	Version: 1	
Not-for-profit cor	corporation also a 501(c)(3) [] No	- -
2. For legal en	tities, the state (or foreign o	ountry) of incorporation or organization, if applicable:
_	atities not organized in the S ate of Illinois as a foreign e	tate of Illinois: Has the organization registered to do atity?
[] Yes	[] No	[] N/A
3. IF THE DISCL	OSING PARTY IS A LEG	AL ENTITY:
VOTE: For not-for here are no such re he legal titleholde If the entity is a jartnership or join nanager or any oth	or-profit corporations, also lead to members, write "no member r(s). In general partnership, limited to venture, list below the narrow person or entity that contact the second	all executive officers and all directors of the entity. st below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below I partnership, limited liability company, limited liability ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party bmit an EDS on its own behalf.
Vame Title		

2. Please provide the following mformation concerning each person or entity having a direct or ndirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples } f such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

iterest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, s >tate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the funicipal Code of Chicago ("Municipal Code"), the City may require any such additional information om any applicant which is reasonably intended to achieve full disclosure.

ame

Business Address

Percentage Interest in the Disclosing Party

William E. O'Neil, Trustee above 11.75%

ECTION in ~ BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal lode, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes [2 No

f yes, please identify below the name(s) of such City elected official(s) and describe such 3lationship(s):

£LLA

ECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, Dbbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained r expects to retain in connection with the Matter, as well as the nature of the relationship, and the total mount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose mployees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative ction on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) iimself. "Lobbyist" also means any person or entity any part of whose duties as an employee of nother includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Msclosing Party must either ask the City whether disclosure is required or make the disclosure.

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ame (indicate whether stained or anticipated NOTE:	Business Address	Relationship to Disclosing Party (subcontractor, attorney,	Fees (indicate whether paid or estimated.)
» be retained)		lobbyist, etc.)	"hourly rate" or "t.b.d."
is			not an acceptable response.
\dd sheets if necessary)			
ifCheck here if the Discl	osing Party l	has not retained, nor expects to retai	n, any such persons or
entities. ECTION V - CE	RTIFICATI	ONS COURT-ORDERED CHILD	SUPPORT COMPLIANCE
-		2-415, substantial owners of busines their child support obligations throu	
- • •	•	etly owns 10% or more of the Disclo	•
[] Yes [# N		No person directly or indirectly ow Disclosing Party.	ns 10% or more of the
f "Yes," has the person er > the person in compliance		court-approved agreement for paymagreement?	nent of all support owed and
[]Yes []N	0		
I. FURTHER CERTIFIC	ATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should

onsult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party ubmitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party ertifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged /ith, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any riminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, erjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the applicant understands and acknowledges that compliance with Article I is a continuing requirement for oing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance imeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, ifthe Disclosing Party is a legal entity, all of those persons or entities lentified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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leither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party r any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with espect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years efore the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the latter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, gents or partners, is barred from contracting with any unit of state or local government as a result of ngaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in iolation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists an aintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Sureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially) esignated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters '.-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the /lunicipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of he Disclosing Party was, at any time during the 12-month period preceding the execution date of this sDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party las not given or caused to be given a gift, at any time during the 12-month period preceding the xecution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

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mployees or to the general public, or (ii) food or drink provided in the course of official City business ad having a retail value of less than \$10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

<u>A//A</u>

Fthe letters "NA," the word "None," or no response appears on the lines above, it will be conclusively resumed that the Disclosing Party certified to the above statements.

]. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check

one)

1. [] is [] j is not

"financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal "ode. We further pledge that none of our affiliates is, and none of them will become, a predatory 2nder as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory snder or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing usiness with the City."

f the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in lection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

HJA

f the letters "NA," the word "None," or no response appears on the lines above, it will be onclusively presumed that the Disclosing Party certified to the above statements.

). CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

^ny words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

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. eanings when used in this Part D.

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1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee f the City have a financial interest in his or her own name or in the name of any other person or itity in the Matter?

[]Yes

[2 No

OTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to em D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City lected official or employee shall have a financial interest in his or her own name or in the name of ay other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold >r taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, Zity Property Sale"). Compensation for property taken pursuant to the City's eminent domain power oes not constitute a financial interest within the meaning of this Part D.

»oes the Matter involve a City Property Sale?

[]Yes

[2 No

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City fficials or employees having such interest and identify the nature of such interest:

fame

Business Address

Nature of Interest

MA

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will e acquired by any City official or employee.

I. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must isclose below or in an attachment to this EDS all information required by paragraph 2. Failure to omply with these disclosure requirements may make any contract entered into with the City in onnection with the Matter voidable by the City.

x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of he Disclosing Party and any and all predecessor entities regarding records of investments or profits irom slavery or slaveholder insurance policies during the slavery era (including

insurance policies ssued to slaveholders that provided coverage for damage to or injury or death of their slaves), and he Disclosing Party has found no such records.

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J 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Hsclosing Party has found records of investments or profits from slavery or slaveholder insurance olicies. The Disclosing Party verifies that the following constitutes full disclosure of all such ;cords, including the names of any and all slaves or slaveholders described in those records:

ECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

fOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally unded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City nd proceeds of debt obligations of the City are not federal funding.

1. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying)isclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with sspect to the Matter: (Add sheets if necessary):

If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" ppear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities egistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the) isclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay ny person or entity listed in Paragraph A. 1. above for his or her lobbying activities or to pay any erson or entity to influence or attempt to influence an officer or employee of any agency, as defined by pplicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a nember of Congress, in connection with the award of any federally funded contract, making any ederally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, mend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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	Party will submit an updated certification at the end of each calendar quarter in y event that materially affects the accuracy of the statements and information set and A.2. above.
(4) of the Internal Rev	Party certifies that either: (i) it is not an organization described in section 01(c) venue Code of 1986; or (ii) it is an organization described in section 01(c)(4) of Code of 1986 but has not engaged and will not engage in "Lobbying Page 9 of 13
ctivities".	
rm and substance to p ibeontract and the Dis	g Party is the Applicant, the Disclosing Party must obtain certifications equal in) aragraphs A.l. through A.4. above from all subcontractors before it awards any sclosing Party must maintain all such subcontractors' certifications for the uration at make such certifications promptly available to the City upon request.
	REGARDING EQUAL EMPLOYMENT OPPORTUNITY
	y funded, federal regulations require the Applicant and all proposed it the following information with their bids or in writing at the outset of
; the Disclosing Party	the Applicant?
[] Yes	[] No
i""Yes," answer the th	aree questions below:
1. Have you develop jderal regulations? (Se [] Yes	ped and do you have on file affirmative action programs pursuant to applicable ee 41 CFR Part 60-2.) [] No
Contract Compliance	with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports e filing requirements? [] No

3. Have you participated in any previous contracts or subcontracts subject to the

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qual opportunity clau	ise?	
[] Yes	[] No	
Fyou checked "No" 1	to question 1. or 2. above, please provide an explanation:	
		tUA

ECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, :OMPLIANCE, PENALTIES, DISCLOSURE

'he Disclosing Party understands and agrees that:

l. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether Procurement, City assistance, or other City action, and are material inducements to the City's execution ■)f any contract or taking other action with respect to the Matter. The Disclosing Party understands that t must comply with all statutes, ordinances, and regulations on which this EDS is based.

3. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of he Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, vork, business, or transactions. The full text of these ordinances and a training program is available on ine at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully villi the applicable ordinances.

2. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, my contract or other agreement in connection with which it is submitted may be rescinded or be void or /oidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or ieclining to allow the Disclosing Party to participate in other transactions with the City. Remedies at aw for a false statement of material fact may include incarceration and an award to the City of treble damages.

D.It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be nade available to the public on the Internet, in response to a Freedom of Information Act request, or

Dtherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E.The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified Dffenses), the information provided herein regarding eligibility must be kept current for a longer period, is required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

William E. O'Neil II

(Print or type name of person signing)

Trustee

(Print or type title of person signing)

Signed and

at COdl

sworn to before me on (d£ County,

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct wnership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity rtiich has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party >r any "Applicable Party" or any Spouse or Domestic Parmer thereof currently has a "familial relationship" with ny elected city official or department head. A "familial relationship" exists if, as of the date this EDS is igned, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Parmer thereof is related to be mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic lartner or as any of the following, whether by blood or

adoption: parent, child, brother or sister, aunt or uncle, riece or nephew, grandparent, grandchild, father -in-law, mother-in-law, son-in-law, daughter-in-law, stepfather »r stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general (artnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited lartnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a imited Uability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than 17.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief tperating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person xercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently lave a "familial relationship" with an elected city official or department head?

[] Yes [)}No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which uch person is connected; (3) the name and title of the elected city official or department head to whom such >erson has a familial relationship, and (4) the precise nature of such familial relationship.

AJ fAr

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

File #: O2015-2623, Version:	1		
[]Yes [XfNo			
		any exchange, is any officer or director of the Appl dlord pursuant to Section 2-92-416 of the Municipal Cod	
[JYes-	[]No	[> <fnot applicable<="" th=""><th></th></fnot>	
	code scofflaw or problem la	ame of the person or legal entity andlord and the address of the building or buildings to w	hich
THAT THIS APPENI THE ASSOCIATED I	DIX B IS INCORPORATED EDS, AND THAT THE REP HE CERTIFICATION MA	TES ACKNOWLEDGMENT AND AGREEMENT D BY REFERENCE INTO, AND MADE A PART OF PRESENTATIONS MADE IN THIS APPENDIX B DE UNDER PENALTY OF PERJURY ON PAGE 12	
ı			
		TY OF CHICAGO ECONOMIC SURE STATEMENT AND AFFIDAVIT	
SECTION I GENERAL	INFORMATION		
_	osing Party submitting this Employee Stock Ownership	EDS. Include d/b/a/ if applicable: p Trust	
Check ONE of the following	ng three boxes:		
Indicate whether the Disclos	sing Party submitting this E	EDS is:	

1. [] the Applicant

File #: O2015-2623, Version: 1
2. "Yj a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
 Applicant in which the Disclosing Party holds an interest: 2751 N Clybourn, LLC OR
3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
tJ . ^. , • 1245 West Washington Blvd
B. Business address of the Disclosing Party: Chicago, IL 60607
mfaron@weoneil.com <mailto:mfaron@weoneil.com>C. Telephone: 773-755-1611 Fax:</mailto:mfaron@weoneil.com>
Michael J. Faron
D. Name of contact person:
E. Federal Employer Identification No. (if you have one)
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
ftiXoM ,f 1945-47 West Diversey Parkway, Chicago, IL -Gt-W-h i GlvCit-y-agen cy-or-d epa ftin en l-is-peq
u est i n g-th is-ED S ■? ^}eP£rlmenf ofZojiing
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #
Page 1 of 13
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [] [] Publicly registered business corporation [] [] Privately held business corporation []

[]

(Is

[] Sole proprietorship

[] General partnership

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[] Limited partnership f/j' Trust	[]		

Limited liability company Limited liability partnership Joint venture Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))?

[] Yes

[] No
Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

yJ (a

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[]Yes []No [*]N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

.See. d^VkcVej

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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ONEIL INDUSTRIES, INC.
EXECUTIVE OFFICERS AND DIRECTORS

NAME

WILLIAM E. O'NEIL II BRIAN G. RAMSAY DANIEL G. FEUCHT DEAN J. ARNOLD MICHAEL J. FARON JOHN S.

HOBBS MARY B. O'NEIL JOHN T. HICKEY, JR. TRACEY L. CATARUTTI DENNIS L. KESSLER ROBIN J. CERO

RICHARD ERICKSON WILLIAM E. O'NEIL III

CHAIRMAN & DIRECTOR CHIEF EXECUTIVE OFFICER & DIRECTOR CHIEF FINANCIAL

OFFICER, SECRETARY VICE PRESIDENT - COST CONTROL

DIRECTOR & CHAIRMAN OF W.E. O'NEIL CONSTRUCTION CO.

DIRECTOR & PRESIDENT OF W.E.O'NEIL COSNTRUCTION, INC.

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR & VICE PRESIDENT OF W.E. O'NEIL OF ARIZONA

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of ano.therJncludes-underUiking-to-infl

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether **Business** retained or anticipated **Address** to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[</f Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No y\ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement-for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged w-ithror-has-admitted^

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more.public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3, The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family, members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other.official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, .acting.purs.uant http://acting.purs.uant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to

bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

- b. .agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of "the'Disclosing Karty was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at. any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

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employees, or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$ 10 per recipient.

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9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [4 is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

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meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes fr^No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City " elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

ſ	1	Yes	ſ	1	No
1	-	168		- 1	110

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

j/_l. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from

slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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_	Party will submit an updated certification at the end of each calendar quarter in y event that materially affects the accuracy of the statements and information set. I. and A.2. above.
(4) of the Internal Re	Party certifies that either: (i) it is not an organization described in section 501(c) venue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of Code of 1986 but has not engaged and will not engage in "Lobbying Page 9 of 13
Activities'-'.	
substance to paragraphs the Disclosing Party mu	Party is the Applicant, the Disclosing Party must obtain certifications equal in form and A.l. through A.4. above from all subcontractors before it awards any subcontract and ast maintain all such subcontractors' certifications for the duration of the Matter and ations promptly available to the City upon request.
B. CERTIFICATION R	EGARDING EQUAL EMPLOYMENT OPPORTUNITY
•	y funded, federal regulations require the Applicant and all proposed subcontractors to formation with their bids or in writing at the outset of negotiations.
Is the Disclosing Party t	the Applicant?
[] Yes	[] No
If "Yes," answer the thr	ee questions below:
1. Have you develop regulations? (See 41 CF [] Yes	ped and do you have on file affirmative action programs pursuant to applicable federa (R Part 60-2.) [] No
	with the Joint Reporting Committee, the Director of the Office of Federal Contract or the Equal Employment Opportunity Commission all reports due under the applicable
[] Yes	[] No
3. Have you particitopportunity clause?	pated in any previous contracts or subcontracts subject to the equal [] No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D.It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the

contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain-specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any finej fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

O'Neil Industries, Inc. Employee Stock Ownership Trust (Print or type name of Disclosing Party)

(Sign here)
t, $vWfli A. ^1$

(Print or typg name of person signing) It

File #: O2015-2623, Version: 1 type title of person signing)

Signed and sworn to before me on (date) _ at hjciasY^S County, _ JTKzxjA.

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(state). -Notary-PublicT-

™' "OFFICIAL SEAL" I MARILYN J. CRIM £ Notary Public, State of Winer, MyCommtosion Expires 07/26/17 :

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such. Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this .EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerks the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section H.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

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have a "familial relationship" with		or any Spouse or Domestic Partner thereof currently all or department head?
	ame and title of the el	such person, (2) the name of the legal entity to which lected city official or department head to whom such ture of such familial relationship.
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CITY	OF CHICAGO ECON APPEN	OMIC DISCLOSURE STATEMENT AND AFFIDAVIT IDIX B
BUILDING CODE SCOFFL	AW/PROBLEM LAN	NDLORD CERTIFICATION
	exceeding 7.5 percent	pplicant, and (b) any legal entity which has a direct (an "Owner"). It is not to be completed by any legal e Applicant.
Pursuant to Municipal Code Se scofflaw or problem landlord p		Applicant or any Owner identified as a building code 2-416 of the Municipal Code?
[]Yes [XfNo		
		any exchange, is any officer or director of the Applicant llord pursuant to Section 2-92-416 of the Municipal Code?
[] Yes	[J No	iXNot Applicable
3. If yes to (1) or (2) above, please identified as a building code s the pertinent code violations ap	cofflaw or problem lan	me of the person or legal entity address of the building or buildings to which

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3. a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control: Trust A2 created under the P.A. O'Neil declaration of Trust #1 dated" 3-11-1994
- B. Business address of the Disclosing Party: 1245 West Washington Blvd

Chicago, IL 60607

- C. Telephone: 773-755-1611 Fax: Email:
- D. Name of contact person: Michael J. Faron

File #: O2015-2623, Version:	1	
E Edual English Mark	C - 4' NI - ('C 1	
E. Federal Employer Identif	fication No. (If you have o	one):
•	·	her undertaking (referred to below as the "Matter") to docation of property, if applicable):
\$2£0rlC 1945-47 West Div	versey Parkway, Chicago,	IL
G. Which City agency or de	partment is requesting thi	s EDS? Department of Zoning
If the Matter is a cont complete the following:	ract being handled by	the City's Department of Procurement Services, please
Specification #		and Contract #
D 1 C12		
Page 1 of 13		
SECTION II - DISCLO	OSURE OF OWNERSH	IIP INTERESTS
A. NATURE OF THE I	DISCLOSING PARTY	
1. Indicate the natur	re of the Disclosing Party	:
W^Pa Person	Ç ,	[] Limited liability company
[] Publicly registered by	-	[] Limited liability partnership
[] Privately held busine	ss corporation	[] Joint venture
[] Sole proprietorship		[] Not-for-profit corporation
[] General partnership		(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership Trust		[] Yes [] No [] Other (please specify)
2. For legal entities,	, the state (or foreign cour	ntry) of incorporation or organization, if applicable:
3. For legal entities in the State of Illinois as	_	e of Illinois: Has the organization registered to do business
[] Yes	[] No ^v fc^N/A	
B. IF THE DISCLOSIN	G PARTY IS A LEGAL	ENTITY:

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1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

Business Address

Percentage Interest in the Disclosing Party

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

	Yes	M'	N^	0
--	-----	----	----	---

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

A1/-A

SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether	Business	Relationship to Disclosing Party	Fees (indicate whether
retained or anticipated	Address	(subcontractor, attorney,	paid or estimated.) NOTE:
to be retained)		lobbyist, etc.)	"hourly rate" or "t.b.d." is
			not an acceptable response.

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Fil	le	#:	O2015-	-2623, \	/ersi	on:	1
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Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes
[] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, ifthe Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a
public officer or employee of the City, the State of Illinois, or any agency of the federal government or
of any state or local government in the United States of America, in that officer's or employee's official
capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. To the best of the Disclosing Party's knowledge after reasonable inquiry, no current employee of the Disclosing Party was, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.
- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the Disclosing Party has not given or caused to be given a gift, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City

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employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$ 10 per recipient.

9. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[]is

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same

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meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? / [] Yes ^No

NOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[JYes

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and ail predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

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2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records,

including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

M4t

(If no explanation appears or begins on the lines above, or if the, letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying"

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Activities".	
substance to paragraph the Disclosing Party m	Party is the Applicant, the Disclosing Party must obtain certifications equal in form and s A.l. through A.4. above from all subcontractors before it awards any subcontract and ust maintain all such subcontractors' certifications for the duration of the Matter and cations promptly available to the City upon request.
B. CERTIFICATION 1	REGARDING EQUAL EMPLOYMENT OPPORTUNITY
	ly funded, federal regulations require the Applicant and all proposed subcontractors information with their bids or in writing at the outset of negotiations. $y \mid f^{\wedge} \cap f$
Is the Disclosing Party	the Applicant?
[] Yes	
If "Yes," answer the th	ree questions below:
Have you devested federal regulations? (See [] Yes	loped and do you have on file affirmative action programs pursuant to applicable ee 41 CFR Part 60-2.) [] No
•	with the Joint Reporting Committee, the Director of the Office of Federal Contract or the Equal Employment Opportunity Commission all reports due under the applicable [] No
3. Have you particopportunity clause? [] Yes	cipated in any previous contracts or subcontracts subject to the equal [] No
If you checked "No"	to question 1. or 2. above, please provide an explanation: $/Y/A$

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Page 10 of 13

contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F. l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees,

parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not

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use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

By:

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Signjiere) Mary B. O'Neil

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me onjdate) at COOK- County, _J22^

(state).

"OfnCi&SEAL"
TAMELA AUGUSTA

NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 12/09/2018;

File	#:	0201	15-2623.	V	ersion:	1
	π.	()/()	10-2020.	v	CISIUII.	

Commission expires:

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section JXB.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Α^

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT** APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct vnership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal tity which has only an indirect ownership interest in the Applicant.								
1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?							
	[]Yes [yfNo							
2.	2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?							
	[]Yes	[]No	[> <not applicable<="" th=""></not>					
3.			he person or legal entity identified as a building code or buildings to which the pertinent code violations					
	THAT THIS APPENDIX B IS INC THE ASSOCIATED EDS, AND T	CORPORATED BY RETAILS THE REPRESEN	CKNOWLEDGMENT AND AGREEMENT EFERENCE INTO, AND MADE A PART OF, STATIONS MADE IN THIS APPENDIX B DER PENALTY OF PERJURY ON PAGE 12					