

## Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

## Legislation Text

File #: O2015-7366, Version: 1

OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL MAYOR

October 14,2015

# TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

#### Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing a scope of services, budget and management agreement for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(1) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, on September 14, 1988, the City Council of the City of Chicago (the "City Council") enacted an ordinance which established an area known and designated as City of Chicago Special Service Area Number 8 and authorized the levy of an annual tax not to exceed an annual rate of forty-one hundredths of one percent (.41 %) of the equalized assessed value of the taxable property therein to provide certain special services in and for the Area for a period of 5 years (the "Initial Levy Period") in addition to the services provided by and to the City of Chicago (the "City") generally; and

WHEREAS, the Initial Levy Period expired; and

WHEREAS, on November 17, 1993, the City Council enacted an ordinance which again established a special sen/ice area known and designated as City of Chicago Special Service Area Number 8 to provide special services in the area in addition to services provided generally by the City and authorizing a levy of an annual tax not to exceed forty-one hundredths of one percent (.41 %) of the equalized assessed value of all property within the area to provide such services for an additional period of 10 years (the "Second Levy Period"); and

WHEREAS, the Second Levy Period has expired; and

WHEREAS, on December 17, 2003, the City Council enacted an ordinance which again established a special service area known and designated as City of Chicago Special Service Area Number 8 (the "Third Area") to provide special services in the Third Area in addition to sen/ices provided generally by the City and authorizing a levy of an annual tax not to exceed the sum of forty-one hundredths of one percent (.41 %) ofthe equalized assessed value ofthe taxable property within the area to provide such services for an additional period of 10 years (the "Third Services Tax"); and

WHEREAS, on November 16, 2011 the City Council enacted an ordinance (the "Establishment Ordinance") which reestablished an area known and designated as City of Chicago Special Service Area Number 8 (the "Area") with reconstituted boundaries, terminated the authorization to levy the Third Services Tax, and authorized the levy of an annual tax, for the period beginning in tax year 2011 through and including tax year 2025, not to exceed an annual rate of forty-one hundredths of one percent (.41 %) ofthe equalized assessed value ofthe taxable property therein (the "Services Tax") to provide certain special sen/ices in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Special Services"); and

WHEREAS, the Establishment Ordinance established the Area as that territory consisting approximately ofthe area Broadway Street from Diversey Parkway to Grace Street; on Clark Street, from Diversey Parkway to Fletcher on the westside and Belmont Avenue on the eastside; on Halsted Street, from Diversey Parkway to Belmont Avenue; on Belmont Avenue, from Halsted Street to Orchard on the southside of the street and Broadway on the northside of the street; on the northside of Diversey Parkway, from Halsted Street to one parcel west of Sheridan Road; and

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WHEREAS, the Special Services authorized in the Establishment Ordinance include, but are not limited to: recruitment of new businesses to the Area, rehabilitation activities, maintenance and beautification activities, new construction, security, coordination of promotional and advertising activities, strategic planning for the Area, and other technical assistance activities to promote commercial and economic development (which may include, but are not limited to, streetscape improvements, strategic transit/parking improvement including parking management studies, and enhanced land use oversight and control initiatives); and

WHEREAS, the Establishment Ordinance provided for the appointment of the Lakeview East Special

Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has been duly appointed and qualified and has heretofore prepared and transmitted to the Commissioner ofthe Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as Exhibit A and hereby made a part hereof; now, therefore,

#### Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full. "

SECTION 2. Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Sen/ices Tax indicated as follows:

# LAKEVIEW EAST SPECIAL SERVICE AREA COMMISSION SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2016 and ending December 31, 2016.

#### **EXPENDITURES**

Service Provider Agreement for the provision of Special Services

TOTAL BUDGET REQUEST

SOURCE OF FUNDING
Tax levy at an annual rate not to exceed forty-one hundredths of one percent (.41%)

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of the equalized assessed value, of the taxable property within Special Service Area Number 8

Carryover funds currently available from prior tax years

Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any

SECTION 3. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6 (a) and 6(I)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of the Establishment Ordinance, the sum of \$879,835 as the amount of the Services Tax for the tax year 2015.

SECTION 4. Filing. The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 29, 2015, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2015 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 5. Sen/ice Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with Lake View East Chamber of Commerce, an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions offthe Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision offthe Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 6. Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any ofthe remaining provisions of this ordinance.

SECTION 7. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 8. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

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SECTION 9. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

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	EXHIBIT A	Budget	
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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Lakeyiew East Chamber of Commerce

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [x] the Applicant

OR

- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal, name of the
- 2. Applicant in which the Disclosing Party holds an interest:

OR

- 3. [] a legal entity with a right of control (see Section II.B.L) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 3138 N. Broadway

Chicago, IL 60657

G. Telephone: 773-348-8608 Fax: 773-348-7409 Email: maureen@lakevieweast.com

<mailto:maureen@lakevieweast.com>

D. Name of contact person: Maureen T. Martino

- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

To allow Lakeview East Chamber of Commerce to enter into a service provider agreement with the City of Chicago in order to provide special services within SSA#8.

G. Which City agency or department is requesting this EDS?

Dept. of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

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Specification #	and Contract #
Page 1 of 13 SECTION II - DISC	CLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF TI	HE DISCLOSING PARTY
General partnership [ ] Limited liability co Not-for-profit con	business corporation [ j Privately held business corporation [ ] Sole, proprietorship [ ] [ ] Limited partnership [ ] Trust ompany [ ] Limited liability partnership [ ] Joint venture poration (Is the not-for-profit corporation also a 501(c)(3))? [ Other (please specify)
2. For legal entit	ies, the state (or foreign country) of incorporation or organization, if applicable: Illinois'
3. For legal entit State of Illinois as-a	ties not organized in the State of Illinois: Has the organization registered to do business in the foreign, entity?
[]Ycs	[]No jX(N/A
B. IF THE DISCLO	SING PARTY IS A LEGAL ENTITY:
-profit corporations, write "no members." If the entity is a g partnership or joint any other person or	e full names and titles of all executive officers and all directors of the entity. NOTE: For not-for also list below all members, if any, which are legal entities. If there are no such members, 'For trusts, estates or other similar entities, list below the legal titleholder(s). eneral partnership, limited partnership, limited liability company, limited liability venture, list below the name and title of each general partner, managing member, manager or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal ust submit an EDS on its own behalf.

Name Title

Wiiliam Shepard Board President NONE ARE LEGAL ENTITIES

Jennifer Avila Vice President fjQ Mfc[\i\0E:(I?D

Jerry LoProto Treasurer

George Ann Bruscenelli Secretary

Maureen T. Martino Executive Director

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

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estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago' ("Municipal Code"), the City may require any such additional information from any applicant which, is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

NONE

#### SECTION III BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party hada "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes tx] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether	<b>Business</b>	Relationship to I	Disclosing Party	Fees (indi	cate whether	
retained or anticipated to be retained)	Address	(subcontractor, lobbyist, etc.	• -	"hou	or estimated.) NOT orly rale" or "t.b.d.'	" is
Shout Pr and Marketing Patch Landscaping		ay Street, Chicago avenswood, Chicago	PR flgoncy an subcontractor/lar	ticipated	n acceptable respor Est \$10,000 retained \$70,000	ise.
Em Events	417 E. 14th S	Street, Naperville	artist recruitment	anti	cipated est. \$15,000	
Chicago Event Graphics FfessurcWa^hlhaiSyslmes. LeU^i>: Group: "' (Add sn&e&i'f necessary	. 1615 S5Sth 212.W; Kir	rt. Chicago suocpn n Avenue. Chicago .su izfc, Chicago	<u>ıbcfrritrScibrfaower</u>	•	icipated Est. \$40,000 st-^O.OOA	):
[ ] Check here if the D	S	rty has not retain	ned, nor expects	to retain,	any such persons	or entities
SECTION V - CERTIFIC	CATIONS					
A. COURT-ORDERED.	CHILD SUI	PPORT COMPLIA	ANCE			
Under Municipal Code must remain in complian		,				he City
Has any person who direc on any child support obli	•	•		_	y been declared: in	arrearage
[] Yes [] N		No person direct Disclosing Party.	ly or indirectly o	wns 10% o	r more of the	
If "Yes," has.the person e person in compliance with			ngreement for pa	yment of a	ll support owed and	l is the
[] Yes [] N	0					

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1 -23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party-submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant

understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. "The DTsclosing Party and, if the Disclosing Party is alegafenfify;" all ofthose persons or entities "dentified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false •statements; or receiving stolen property;
  - c^ are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS,,had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a. civil proceeding, or in any criminal or civil action, including actions concerning' environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of

another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively 'Agents").

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or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged, guilty of bribery or attempting to bribe;, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or locril government in the United States of America, in that officer's or employee's- official capacity;
- b. agreed pr colluded' with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders, or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an. admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
  - 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further

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Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the. Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 1,2-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago Jif none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete'list of all gifts, that the D isclosing Party has gi ven or caused to be given, at any time during the 12-month period preceding the execution.date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally\*available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below,, please also list the name of the City recipient.

N/ftr

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [)} is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in

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Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Code, explain here (attach additional pages if necessary):

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with, Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes [3 No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "Mo" to Item D. 1., proceed to Part E.

2. Unless sol'd pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person ox. entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power docs not constitute a financial interest within the meaning of this Part D.

[ ] Yes	[ ] No
3. If you checked "Yes	s" to Item D.l., provide the names and business addresses of the City officials

3. If you checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

Does the Matter involve a City Property Sale?

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply"\vith"these entered into with"the City in "" connection with the Matter voidable by the City.

- x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies, that, as a result of conducting the search in step I above, the Disclosing Party has--fount! records of investments or profits from slavery or slaveholder insurance policies. The, Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described iri those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal, funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect

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to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A. l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.  Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in. section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the ^Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.L through A.4. above from all subcontractors before it.awaifds any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration ofthe Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL.EMPLOYMENT OPPORTUNITY
If the Matter is federally funded:, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outsei of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federa regulations? (See 41 CFR Part 60-2.) [] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? []Yes []No
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  [] Yes  [J No,

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or. other agreement between the Applicant and the City in connection -with/the Matter, whether procurement, City assistance, or. other City action, and are material inducements to the City's execution of any contract or taking other 'ac'tion with respect to the Matter. The Disclosing Party understands that, it must comply with all statutes, ordinances; and-regulations on which this EDS is based.
- B. The City's Governmental Ethics and Canipaign Financing Ordinances, Chapters 2-156 and 2-164.of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available ,on line at www.citVOfchicago.org/Ethics <a href="http://www.citVOfchicago.org/Ethics">http://www.citVOfchicago.org/Ethics</a>; arid may also be obtained from the City's Board of Ethics, 740'N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded orbe void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must

update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the .City.: This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the.-Disclosing' Party is, the Applicant', the Disclosing Party arid its Affiliated-Entities will not use, horpermit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EtLS'O imaintained by the'U. S. General Services Administration.
- F;3 If the p'isGlosing.Party' is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired ,or to be hired in connection with the Matter certificiations equal in forman'd substance to; tfio"s.e,ih F.'f. and,F.2. above and will not, without the prior written consent of the City, us.e ariy such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe lias not provided of; cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as> to any of the items in F.L, F.2. or F.3. above, an explanatory statement .must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below:, (1) warrants that he/she is authorized to execute this EDS and Appendix A. (inapplicable) on behalf ofthe Disclosing Party, arid (-2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are tme, accurate and complete as of the date furnished to the City.

.Lakevlew East Chamber of Commerce

(Print or type name of Disclosing Party)

(Sign.here) Maureen T. Martino

(Print or type name of person signing)

Executive Director (Print or type title of person signing) . ^^»^^\*yftffiTfySP u b 1 i c.

Signed £wid sworn to before me on (date) at <1CounS $^ ^ ^-$ z/y $^-$ /S (state).

CoiTi'miision Ho 6°0ty $J_{gc}$ ] 2 of 1 3

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX. A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding' 7.5. percent It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section. 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner, thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if as of the date this EDS is signed, the Disclosing. Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as. any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a Umited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes [x]No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND **AFFIDAVIT** APPENDIX B

		111 1 21 (2				
BUILD	ING CODE SCOFFL	AW/PROBLEM L	ANDLORD C	ERTIFICATION		
direct ownersl	opendix is to be compl nip interest in the App ntity which has only a	olicant exceeding 7.	5 percent (an '	"Owner"). It is not		
	t-to'Municipal Code Se offlaw or problem landl					
[ ]Yes [	x]No					
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or directo Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-4 Municipal Code?						
[]Yes		[ ]No '	[ x] Not	Applicable		
identifie	(1) or (2) above, please d as a building code so he pertinent code violat	offlaw or problem la	_		ding or buildings to	
AGREE MADE A THIS A	G OUT THIS APPEND MENT THAT THIS A A PART OF, THE ASS PPENDIX B ARE SUE	PPENDIX B IS INC SOCIATED EDS, A	CORPORATED ND THAT THI RTIFICATION	BY REFERENCE E REPRESENTATI	INTO, AND IONS MADE IN	