

### Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

### **Legislation Text**

File #: SO2015-8040, Version: 1

# FINAL FOR PUBLICATION

### **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the current Cl-1 Neighborhood Commercial District and RS3 Residential Single-Unit (Detach House) District symbols and indications as shown on Map No. 5-J in the area bounded by:

West Homer Street; North Campbell Street; West Cortland Street; a line 215.50 feet west of North Campbell Street; the public alley next north of West Cortland Street; and a line 311.96 feet west of North Campbell Street

to those of a RM4.5 Residential Two-Flat, Townhouse and Multi-Unit District.

SECTION 2. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the current RM4.5 Residential Two-Flat, Townhouse and Multi-Unit District symbols and indications as shown on Map No. 5-1 in the area bounded by:

West Homer Street; North Campbell Street; West Cortland Street; a line 215.50 feet west of North Campbell Street; the public alley next north of West Cortland Street; and a line 311.96 feet west of North Campbell Street

to those of a Residential Planned Development which is hereby established in the area described above.

SECTION 3. This Ordinance shall be in force and effect from and after its passage and due publication.

Common Address: 2500 - 20 W. Cortland St./1900 Homer St.

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### RESIDENTIAL PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Planned Development Number , ("Planned Development") consists of approximately 65,536 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is owned or controlled by the Applicant, Guardian Properties, LLC.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 ofthe Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assign or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part 11 approval, the submitted plans must be approved by the Department of Transportation.

4. This Plan of Development consists of sixteen (16) Statements: a Bulk Regulations Table; an Existing. Zoning Map; a Planned Development Boundary and Property Line.Map; a Site Plan; a Landscape Plan; and Building Elevations submitted herein. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

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5. The following uses are allowed/permitted in the area delineated herein as a Residential Planned Development:

Residential Dwelling Units; parking and accessory uses.

- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted Floor Area Ratio identified in the Bulk Regulations Table has been determined using a Net Site Area of 65,536 square feet and a base FAR of 1.7.
- 9. The Applicant acknowledges and agrees that the rezoning of the Property from Cl-1 and RS-3 to RM-4.5, and then to this Planned Development, triggers the requirements of Section 2-45-115 of the Municipal Code (the "Affordable Requirements Ordinance" or "ARO"). Any developer of a "residential housing project" within the meaning of the ARO must: (i) set aside 10% of the housing units in the residential housing project as affordable units (the "Affordable Units"), or provide the Affordable Units in an approved off-site location; (ii) pay a fee in lieu of the development of the Affordable Units; or (iii) any combination of (i) and (ii); provided, however, in higher income areas, residential housing projects with 20 or more units must provide a minimum of 25% of the Affordable Units on-site or off-site (the "Required Units"). If the developer elects to provide Affordable Units off-site, the off-site Affordable Units must be located within a two-mile radius from the residential housing project and in the same or a different higher income area or downtown district. This Planned Development is located in a "higher income area" within the meaning of the ARO and permits the construction of 49 housing units. As a result, the number of Affordable Units is five (5) and the number of Required

Units is one (1), calculated as follows: 49 housing units x 10% = 5 Affordable Units <sup>x</sup> 25% = 1.25, which is rounded down to one (1) Required Unit pursuant to Section 2-45-115(R). The Applicant has submitted, and the Department of Planning and Development ("DPD") has approved, a proposal to build the Required Unit and the other four (4) Affordable Units off-site, as set forth in the Affordable Housing Profile Form attached hereto as an Exhibit. The Applicant agrees that the Affordable Units must be affordable to households earning no more than 60% of the median household income for the Chicago Primary Metropolitan Statistical Area ("AMI") in the case of rental units, and 100% of the AMI in the case of owner-occupied units. If the Applicant subsequently reduces (or increases) the number of housing units in the Planned Development, the

## FINAL FOR PUBLICATION

Applicant shall update and resubmit the Affordable Housing Profile Form to DPD for review and approval, and DPD may adjust the number of required Affordable Units without amending the Planned Development. Prior to the issuance of any building permits for any residential building in the Planned Development, including, without limitation, excavation or foundation permits, the Applicant must execute and record an affordable housing agreement in accordance with Section 2-45-115(K)(2). The terms of the affordable housing agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the affordable housing agreement will be recorded against the off-site location(s), or the applicable portions thereof, and will constitute a lien against such property. The Commissioner of DPD may enforce remedies for any breach of this Statement [9], including any breach of any affordable housing agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the Planned Development.

- 10. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 11. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 12. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.

- 13. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 14. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.

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- 15. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The applicant has agreed to secure building certification to comply with the City of Chicago's Sustainable Development Policy.
- 16. This Planned Development shall be governed by Section 17-13-0612 of the Zoning
- 16. Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the
- 16. Department of Planning and Development shall initiate a Zoning Map Amendment to rezone
- 16. the property to a RM4.5 Residential Multi-Unit District.

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## RESIDEN TIAL PLANNED DEVELOPMENT PLAN OF DEVELOPMENT BULK REGULATIONS AND DATA TABLE

GROSS SITE AREA = Net Site Area + Area remaining in the public right-of-way 97,931 sq. ft.

(2.24 acres) = 65,536 sq. ft. (1.50 acres) + 32,395 sq. ft. (0.74 acres) FAR 1.7

SETBACKS FROM PROPERTY LINE: In conformance

with the Site Plan

MAXIMUM PERCENTAGE OF SITE COVERAGE/COMMON OPEN SPACE

In conformance with the Site Plan

MAXIMUM NUMBER OF DWELLING UNITS:

49

MINIMUM NUMBER OF OFF-STREET PARKING: Two per

dwelling unit

MINIMUM NUMBER OF OFF-STREET LOADING None

BICYCLY PARKING: One per unit

**MAXIMUM BUILDING HEIGHT 44'** 

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Applicant: Guardian Properties, LLC

Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Avc/2501 - 31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date: December 17, 2015

FINAL FOR PUBLICATION

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Existing Land Use Map

Scale 1" =100'

Applicant: Guardian Properties, LLC

File #: SO2015-8040, Version: 1

Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Avc./2501 -31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date: December 17. 2015

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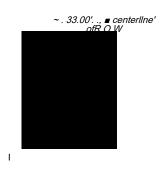
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West Homer Street

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Existing 2-Story • '. Commercial
Building



Project Site

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pappagcorgehaymcs partners

architec

G40N LaSalle. Suite 400 Ctucayo. It. 60654 312 337:3344 FAX 204 8988 Property Line and PD Boundary Map Scale 1" =50'

Applicant: Guardian Properties, LLC

Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Avc/2501 - 31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date: December 17, 2015

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West Cortland Street

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pappageorgehaymes partners architect

arcritteet

640 N. USnille. Suite 400 Ctrtaso. tL 60654 31Z.337.3344 <a href="http://31Z.337.3344">http://31Z.337.3344</a> FAX 204 8908 Site Plan Scale: v =50'.

Applicant: Guardian Properties, LLC

Address: 2500 - 20 VV. Cortland St/l 900 - 24 N. Campbell Avc./250l -31 W. Homer Si.

Intro Date: November 18, 2015

Plan Commission Date: December 17, 2015

FINAL FOR PUBLICATION

B^BflKjBJBJ| pappageorgehaymes partners ^^^^^H architect ■BBjUill^H ^Qf4 LgSAtic. Suito 400 ^K^E^S Chicago; IL 60654 ,...PJBJBSjpflpji 312.337.3344 FAX 204.9988 Landscape Plan :



Applicant: Guardian Properties, LLC Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Avc/2501

Intro Date: November 18, 2015 Plan Commission Date: December 17, 2015

# FINAL FOR PUBLICATION

Applicant: Guardian Properties, LLC

Address: 2500 - 20 W. Cortland St./I 900 - 24 N. Campbell Avc/2501-31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date: December 17, 2015

Applicant: Guardian Properties, LLC

Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave./2501 - 31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date. December 17, 2015

# FINAL FOR PUBLICATION

Applicant: Guardian Properties, LLC

Address: 2500 - 20 W. Cortland St./I 900 - 24 N Campbell Avc./2501 - 31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date: December 17. 2015

Applicant: Guardian Properties, LLC

Address: 2500 - 20 W. Cortland St./1900 - 24 N Campbell Ave./2501 - 31 W. Homer St.

Intro Date: November 18, 2015

Plan Commission Date: December 17, 2015

Department of Planning and Development CITY OF CHICAGO

### **MEMORANDUM**

Alderman Daniel S. Solis Chairman, City Council Committee on Zoning

David L. Reifman Secretary Chicago Plan Commission

December 18, 2015

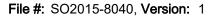
RE: Proposed Residential Planned Development for property generally located at 2500-20 West Cortland Street. 1900-24 North Campbell Avenue and 2501-31 West Homer Street.

On December 17, 2015, the Chicago Plan Commission recommended approval of the proposed planned development submitted by Guardian Properties, LLC. A copy of the proposed planned development is attached. I would very much appreciate your assistance in having this introduced at the next possible City Council Committee on Zoning hearing.

Also enclosed is a copy of the staff report to the Plan Commission which includes the Department of Planning and Development, bureau of Planning and Zoning recommendation and a copy of the Resolution. If you have any questions in this regard, please do not hesitate to contact me at 744-5777.

cc: Steve Valenziano

PD Master File (Original PD, copy of memo)



121 NORTH LASALLE STREET, ROOM 1 000. CHICAGO. ILLINOIS 60602

### Chicago Plan Commission

Proposed Residential Planned Development 2500 W. Cortland Street

December 17, 2015







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Landscape Plan

12/17/2015

**FINAL** 

REPORT to the CHICAGO PLAN COMMISSION from the DEPARTMENT OF PLANNING AND DEVELOPMENT **DECEMBER 17, 2015** 

FOR APPROVAL: PROPOSED RESIDENTIAL PLANNED DEVELOPMENT

APPLICANT: **GUARDIAN PROPERTIES, LLC** 

LOCATION: 2500-20 WEST CORTLAND STREET; 1900-24 NORTH CAMPBELL **AVENUE: 2501-31 WEST HOMER STREET** 

Pursuantto the provisions of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, the Department of Planning and Development hereby submits this report and recommendation regarding a proposed Residential Planned Development for your review and

recommendation to the Chicago City Council. The application for the amendment to the Zoning Ordinance was introduced into the City Council on November 18,2015. Proper legal notice of the public hearing on the application was published in the Chicago Sun-Times on December 2, 2015. The Applicant was separately notified of this hearing.

The Applicant, Guardian Properties, LLC is seeking approval for a Residential Planned Development in order to construct a 49 unit townhome project with accessory parking. The property is currently zoned RS-3 and C1-1 and is proposed to be rezoned to RM-4.5, prior to establishing the proposed planned development. This development is being submitted by the Applicant as an elective Planned Development, pursuant to Section 17-8-0600 of the Chicago Zoning Ordinance, because the proposed project includes at least 50% of the number of dwelling units that trigger a mandatory planned development.

### PROJECT BACKGROUND

The site formerly housed an industrial facility known as the Phoenix Fastener Company. The developer has a contract to purchase the subject property.

### SITE AND AREA DESCRIPTION

The subject site is approximately 65,536 square feet and is bounded by West Cortland Street on the south, North Campbell Avenue on the east, West Homer Street on the north and private (residential) property on the west. An east-west public alley runs through the middle of the site between West Cortland Street and West Homer Street. The site is generally level.

The site is currently zoned RS-3(Residential Single Unit (Detached House) District) on the southern half and C1 -1 (Neighborhood Commercial District) on the northern half. The area surrounding the site to the east, south and west consists of a mix of generally residential

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**FINAL** 

zoning districts, predominantly RS-3, as well as RM-5 (Residential Multi-Unit District), RM-4.5 (Residential Multi-Unit District) and RT-4 (Residential Two-Flat, Townhouse and Multi-Unit District). To the north, the area is zoned C1-1, C2-2 (Motor Vehicle-Related Commercial District) and PD #1247. The residential land uses in the area consists of a mix of single family homes, two and three flats and multi-unit residential buildings. To the north, the land uses include commercial/light industrial buildings that once formed a small cluster of such uses adjacent to Armitage Avenue and the nearby railroad tracks.

The site is not located within either the Lake Michigan and Chicago Lakefront Protection District or a Chicago Landmark District, nor does it contain a designated local or national landmark structure or site. The project does lie within the Fullerton/Milwaukee Tax Increment Financing District and within the Logan Square Community Area.

The site is very well served by the Chicago Transit Authority's Blue Line station at Western Avenue, less than % mile from the subject site. In addition, the site is nearby to the CTA's Western Avenue (#49), Armitage Avenue (#73) and Milwaukee Avenue (#56) buses. Finally, North Milwaukee Avenue is a bike route as well.

### **PROJECT DESCRIPTION**

The project consists of the creation of four rows of townhomes separated into eight buildings, for a total of 49 dwelling units. The site plan consists of two new car courts to be located parallel and to the north and south of the public alley. This will provide for half of the townhomes to front onto West Cortland Street and West Homer Street while the other half of the units will front onto the car courts.

The units will include private outdoor space that includes 10' front yard setbacks on all of the units and individual unit roof decks, totaling approximately 22,000 square feet of private outdoor space. In addition, a 1,283 square foot common open space will be provided in between the two buildings that

will front on West Homer Street. Moreover, the car courts themselves will be improved with permeable pavers and will function as an additional common open space, totaling approximately 12,000 additional square feet.

The units themselves are planned to consist predominantly of three bedroom floor plans and are planned to average approximately 2,200 square feet.

### **DESIGN/LANDSCAPING**

The townhomes are planned to be three stories each and approximately 44' in height. The materials on all elevations include fibre cement panels, brick, glass. Wooden pergolas are planned for the roof decks.

10' front setbacks are planned for all of the units either along West Homer Street, West Cortland Avenue or the internal car courts. Side setbacks of 3' are planned along the west side of the site while 5' setbacks are planned along North Campbell Avenue. Parkway trees are planned for West Homer Street, North Campbell Avenue and West Cortland Avenue in compliance with the Chicago Landscape Ordinance. Internal landscaping and trees are also planned for the units that face the internal car courts in accordance with the

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**FINAL** 

site plan.

### **ACCESS/CIRCULATION**

Vehicular access to the site is planned via the east-west public alley in the middle of the site as well as the planned car courts. The 20' wide car courts will be accessed via North Campbell Avenue via new curb cuts. Attached garage spaces will be provided for all ofthe units, totaling approximately 98 accessory parking spaces.

### **SUSTA1NABILITY**

The project will achieve Building Certification in accordance with the City of Chicago's Sustainable Development Policy. The project will also comply with the Storm Water Management Ordinance, implementing best practices in all storm water management.

### **BULK/USE/DENSITY**

The overall Floor Area Ratio for the project is not to exceed 1.7, which is the maximum allowed under the proposed RM-4.5 (Residential Multi-Unit District) Zoning District. The Minimum Lot Area for the project is 1334, which is well above the minimum required under the RM-4.5 of 700. The planned development will allow for residential dwelling units and accessory parking. The project will trigger the City of Chicago's Affordable Requirements Ordinance and will meet the obligation of the

ordinance by providing the five affordable units at off-site locations.

### **RECOMMENDATION**

The Department of Planning and Development has reviewed the project materials submitted by the Applicant and compared this proposal to existing development in the community. The area around this project consists of a mix of generally residential zoning districts and the project represents an opportunity to construct an appropriate residential infill development along West Cortland Street and North Campbell Avenue in Chicago's Logan Square Community Area. In addition, pursuant to Chapter 17-13 ofthe Chicago Zoning Ordinance, the proposed rezoning from RS-3/C1-1 to RM4.5 is appropriate. Specifically, the proposed development is compatible with the character ofthe surrounding area in terms of uses, density and building scale (17-13-0308-C) and the proposed zoning classification is compatible with surrounding zoning (17-13-0308-C).

Based on that analysis, the Department of Planning and Development has concluded that this proposal is appropriate for this site and supports this development for the following reasons:

1. The project meets the purpose and criteria set forth in Chapter 17-8 of the Zoning Ordinance and its adoption would not have any adverse impact on the public's health, safety or welfare. Specifically, this project meets the following provisions of

**FINAL** 

### Chapter 17-8:

- a. Promotes economically beneficial development patterns that are compatible with the character of existing neighborhoods (per 17-8-0103), as evidenced through the project's design and massing remaining within the context of adjacent structures; and,
- b. Provides that adequate, inviting, usable and accessible open spaces for residents (per 17-8-0909-A-1), as evidenced by the private yards and decks as well as common open space to be located on site; and,
- c. Promotes green design by conserving non-renewable energy and scarce materials (per 17-8-0908-A) as evidenced by the project achieving building certification for the project; and.
- d. All sides and areas of the buildings that are visible to the public are treated with materials, finishes and architectural details that are of high-quality and appropriate for use on primary street-facing facade (17-8-0907-A-4), as evidenced through the material callouts in this report and on the elevations.
- 2. The project lies within the boundaries ofthe Fullerton/Milwaukee TIF District which was approved by the Chicago Plan Commission in 2000 and is consistent with the land use plan associated with that TIF District.

- 3. The public infrastructure facilities and city services will be adequate to serve the proposed development at the time of occupancy. The proposed project has been reviewed by the Mayor's Office for People with Disabilities and the Department of Transportation and all requested changes have been made. Copies of this application have been circulated to other City departments and agencies and no comments have been received which have not been addressed in the application.
- 4. The project will comply with the requirements for access in case of fire and other emergencies.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this application for a Residential Business Planned Development be approved and that the recommendation to the City Council Committee on Zoning, Landmarks and Building Standards be "Passage Recommended".

Bureau of Zoning and Land Use Department of Planning and Development

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**FINAL** 

## DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

### RESIDENTIAL PLANNED DEVELOPMENT 2500 WEST CORTLAND STREET; 1900-24 NORTH CAMPBELL AVENUE; 2501-31 WEST HOMER STREET

### RESOLUTION

- WHEREAS, the Applicant Guardian Properties, LLC, has submitted an application seeking approval for a Residential Planned Development; and,
- WHEREAS, the Applicant intends to construct 49 dwelling unit/townhomes and accessory parking on an approximately 65,536 square foot site; and,

- WHEREAS, the Applicant's request to rezone the property was introduced to the City Council on November 18, 2015; and,
- WHEREAS, proper legal notice of the hearing before the Plan Commission was published in the Chicago Sun-Times on December 2, 2015. The Applicant was separately notified of this hearing and the proposed zoning application was considered at a public hearing by this Plan Commission on December 17, 2015; and,
- WHEREAS, the Plan Commission has reviewed the application with respect to the provisions of the Zoning Ordinance and finds that the proposal will be consistent with said provisions; and
- WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the written report dated December 17, 2015, a copy of which is attached hereto and made a part hereof; and,
- WHEREAS, the Plan Commission has fully reviewed the application and all informational submissions associated with the proposed amendment, the report and recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held on December 17, 2015, giving consideration to the Zoning Ordinance;

121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602

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### NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 1. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Plan Commission regarding this zoning map amendment application; and,
- THAT this Plan Commission recommends approval to the City Council Committee on Zoning, Landmarks and Building Standards of the final zoning application dated December 17, 2015, and,

Chicago Plan Commission

RPD No.

Approved: December 17, 2015

## 121 NORTH LASALLE STREET, ROOM 1000, CHICAGO, ILLINOIS 60602 CITY OF CHICAGO

#### APPLICATION FOR AN AMENDMENT TO THE CI IICAGO ZONING ORDINANCE

ADDRESS of the property Applicant is seeking to rezone:

2500 - 20 W. Cortland St./l 900 - 24 N. Campbell Avc/2501 - 31 W. Homer St.

Ward Number that property is located in:

APPLICANT Guardian Properties. LLC

ADDRESS 17 E. Monroe St., Suite 158 CITY Chicago

STATE IL ZIP CODE 60607 PHONE 312-6.36-6937

EMAIL roianrfo@acosiae.7£.ir.mm CONTACT PERSON Rolando R. Acosta

Is the applicant the owner of the property? YES NO

If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWN ER Harris Homer, LLC

ADDRESS 2204 Iroquois Road CITY Wilmette

STATE ,L ZIP CODE 60091 PHONE 312-636-6937

EMAIL rolando@acostaezgur.com <mailto:rolando@acostaezgur.com> "CONTACT PERSON Rolando R. Acosta

If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Rolando R. Acosta

ADDRESS Chicago Ave.. 3rd FL

CITY Chicago STATE IL< ' ZIP CODE 60642

PHONE 312-636-6937 fax EMAIL rolando@acostaezgur.com

<mailto:rolando@acostaezgur.com>

If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

Brian Duggan, Stewart A. Harris Living Trust dated 12/4/2002 and Susan Harris Living Trust dated 12/4/2002

On what dale did the owner acquire legal title to the subject property?

Has the present owner previously rezoned this property? If yes, when?

NO

Present Zoning District C1-1/RS3 Proposed Zoning District RM4.5 then to RPD

Lot size in square feet (or dimensions)\_ 65,405 sq. ft.

Current Use of the property One story vacant industrial buildings and vacant land

Reason for rezoning the property Development of three-story townhomes with rooftop enclosure and accessory parking

Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height ofthe proposed building. (BE SPECIFIC)

Fifty three-story townhomes with rooftop enclosure and two parking spaces per unit and no loading berths.

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On May 14", 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information)

YES

### CITY OFCIIICACO ECONOMIC DISCLOSURE STATEMIJNT AND AFFIDAVIT

### SUCTION 1 - CENTRAL, INFORM ATION

A. Legal name of the Disclosing Parfy submlttim? this EDS. Include d/b/a/ if applicable: Sswirt A. Harris Uwng Tnjsl dated

Check ONE of the following three, ho>i;s;

Inc	licate w	heth	ier th	e I	Disc]	losing	Party	/ sub:	mittin	g tl	nis I	F.I	DS	is:
-----	----------	------	--------	-----	-------	--------	-------	--------	--------	------	-------	-----	----	-----

1. □ the Applicant

OR '\_

Ownc

- 2. JCf a legal entity holding a direct or indirect interest in the Appiksst. State the legal name of the
- 2. Applicant in which the Disclosini\* Party holds an interest: Harris Homer LLC
- j. ( | « legal entity with a rijjht of control (see Section U.U.I.) State ihe legal name of the entity in which the Disclosing Party holds a right of control;
- B. Business address of the Disclosing Parly: 22fW Iroquais Road wamcse n, sxsi

C. Telephone: 312-635-6937 Fax: Email: robndo@3coslarjzgur.com

<mailto:robndo@3coslarjzgur.com>

- D. Name of contact person: Rol£:tllg\_^.\_A.co&la
- II. Federal Eimpioyer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this liDS pertains. (Include project munber and location of property, if applicable):

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ftcgpning anS Fteincd fj&veio	pmcnt_for 2500 - 20 W. Cortfondl St/l9P0-24 «, CsmpWi AvoJ25OT31 W Hxn& S
O. Which City agency or department is request	ting this EDS7 DPP
If the Matter is a contract being handled by	the City's Ocpartmcul of Procurement Services, please complete the following:
Specification U	_ and Contract W J
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ui.'oncaUur.j j	
RECERTIFICATION	ON
GenoalJy, far t-ts wir.li <a href="http://wir.li">http://wir.li</a> City Council	srwsrr!!. >V <n cily="" lajasaoi<="" lixr="" psxccrsmtuis="" td="" unless=""></n>
beluiirof ifee DtaARtag ftmy. (2) war«Kttthst a{1 co	n wiib .ssfStecijjaing below: (1)«%i3nB Cwn ht'slts ct aatr^ufxnr sw cxretes this EDS ieterrifiMBiaa on er.nkslitrss ftrtd sossiuuns; woiaiaed in Use KscfcsjJS tore's anspsal EDS tire uue,a««i=tc nmJ nue to be Crub, oceonissnd ccmplttc as of ibo its of this recerttTiCKico. anS(S) resJHrrm in;
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(sign here) Prim or type n&CEcf stgjatary:	
TKIc of signer, yr M. <=» r\ c. y <u>Ctooardsa^a«sacres: i^jltOi^</u>	
OfitGOflrcuuTtii rCiM syn	
Mi Con a**! fen # xeim stayer. Ktt SKCMON II DISCI.OSI'RF OK OWNERSH	IIP INTI-RESTS
A. NATURL OF rill- DISCLOSING PARTY	
I. Indicate the nature of the Disclosing Par	ty;
tl Person	11 Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
i J Privately held business corporation  [] Sole proprietorship	( ) Joi.oi venture {) Not-for-profit corporation
f] General partnership	(Is the not-for-prnfjl corporation also a 501(c)(3))?
(J Limited partnership	(J Yes [ J No
W Trust	f] Other (please specify)
2. For legal entities, the state (or foreign co	ountry) of incorporation or organisation, if applicable:
ISinota	

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3. Foe legal entities not organized in the Stale of Illinois: Has the organization rei'isicred to do

business in the Stale of Illinoi s asia foreign entity?

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(1 Yes	(] No	fc] N/A	
B. IF THE DISCL	LOSING PARTY IS A LEGA	L ENTITY:	
corporations, also trusts, estates or o If the entity is a list below the nam	list below all membeis, jf any ther similar coliiics, list below a genera! partnership, limited he and title of each general par	executive officers and all directors of the entry, which are legal entities. If there are no sue to (he legal titlcholdcTfs).  partnership, limited liability coQtpany, limiter, managing member, manager or any off DTE: Each legal entity listed below must sub	th members, write "no members," For ted liability partnership or joint venture, her person or entity (hat controls l.hc day-
Name Title			
Stewart A Harris Sioan Karris Tru			
(including owners	=	n concerning each person or entity having ;i Disclosing Party. Kxaraples of such an interesture.	
		Paf.c 2 of 13	
other similar entity	y. Ifnifiie., Si;ile "None." NO	rJ" 1 i ii  > i 1 i t y cotVi t V; 111 y. or inter ΓΕ: Puisuant lo Section 2-154-030 of the Munal information from any applicant which is	unicipal Code of Chicago ("Municipal
Name	Business Address	Percentage Interest in the Disclosing Party	

Stewart A. Hajffe 2294 Iroquois Road, WSimetle, M, GOC01 100%

### SECTION IH - BUSINESS RELATIONSHIPS WITH CATV ELECTBD OFFICIALS

Has the Disclosing Patty had a 1)«sirjess relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

1]Yes WNo

If yes, please identify below the numc(s) of such City elected official(s) and describe such ictationslttpfeY.

#### SECTION IV - DISCLOSUR E OF SUBCONTRACTORS A.NU OTHAR RETAINED PARTIES

Tfie Disclosing Party must disclose the narjxc and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom »hc PisctO£i<v£ Party has retained or expects to retain in connection wiUi the Matter, as well as ttte nature of the relationship, and the total srnount of the fees paid or estimated to be paid. The Disclosing Patty is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes lo influence any legislative or administrative action oa behalf of any person or entity other titan: (1) a not-for-profit entity, on an unpaid basis, oi (!) himself. "Lobbyist" also means any person or eulily any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure Is required under this Section, the Disclosing Party must either asli, Ibc City whether disclosure is required or mate rJtc disclosure.

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Nairn: (indicate whether business retained or iinticipated Address '. be rciaini-i.1)

Relationship to Disclosing Parly fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is

not; tn acceptable response <a href="http://response">http://response</a>.

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not routined, nor expects to retain, any such persons or entities SECTION V -

### **CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under M unicipal Code Section 2-92-4 f 5. substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support

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obligations by aay Illinois court of competent jurisdiction?	

[] Yes No [1 No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes,\*" has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

I] Yes 1 J No

#### **B. FURTHER CERTIFICATIONS**

I. Pursuant to Municipal Code Chapter I -23, Article I ("Article r)(which the Applicant should consult for defined terms (e.g., "doing business\*") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is- doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant not' (toy controlling person is currently indicted or charged with, or bus admitted guilt of, or has ever been convicted of, or placed under supervision for, any cmninal offense involving actual, attempted, or conspiracy lo commit bribery, iheJl, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of (he City of any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

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- 2. The Disclosing Party and, ii" the Disclosing Party is a legal entity, nil of those persons or entities denrified in S(;<;iion 11.H.I. of this CDS:
  - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voKwiariiv e.u".Iudcd-trom any-trynsaeiioris by any federal" star^
  - b. have not-, within a five-yesr period preceding the dale of this RDS. been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, stole or local) transaction or contract under a public tiausaction; a violation of federal or stale antitrust statutes; fraud; embezzlement; the ft; forgery; bribery: falsification or destruction of records; making false statements; or receiving stolen property;
  - c. arc o\*1 presently indicted for. or criminally or civilly charged by, a governmental entity {federal, slate or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this liDS, had one or more public transactions (federal, stale or local) terminated for cause or default; and
  - c. have not, within a five-year period preceding the date of this UDS, been convicted, adjudged guilty, or found liable tn a civil proceeding, or in any criminal or civil action, including actions' concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

- 3. The certifications in subparts 3,4 and S concern,
- the Disclosing Party;
- \* any "Coniractof?\* (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties\*\*);
- any "Affiliated Botfly" (meaning a person ox entity that, directly ox indirectly: controls the Disclosing Patty, is controlled by the Disclosing Party, or is. with the Disclosing Party^ under common control of another person orcntiry. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among farnily mcrobcrs. shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or slate or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, ihe term Affiliated Entity means a person or entity that directly or indirectly controls the Coalractor, is controlled by it, or, with the Contractor, is cradej common control of another person or entity;
- ♦ nny responsible official ofthe Disclosing Party, uny Contractor or nny Affiliated £nti£y or any other official, agent or employee ofthe Disclosing Party, any Contractor or any Affiliated Kntlty. acting pursuant to the direction or autltorization of a responsible official ofthe Disclosing Party, nny Contractor or any Affiliated Entity (collectively "Agents").

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Nciihoi the Disclosing Party, nor any Contractor, iu.u any Affiliated Entity of either the l'sdosinr; I'-.ixsy or any Contractor nor any Agents have, during the five years before the date this liDS i>? signed, or. with respect to a Contractor, an Affiliated limity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the M.'iiter:

- a. bribed or attempted to britx:. or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospec tive bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing.Party, Afftjijtled linthy ©t Contractor, or any of their employees, officials, agenls or partners, is barred from contracting with any unit of stale or local government as a result of engaging to or being convicted of (1) bidrigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating io violation of 720 ILCS S/33IS-4: or (3) any similar of Tense of any slate or of the United States of America that con to ins the same elements as the offense of bid-rigging or bid-rotatinn-
- 5- Neither the Disclosing Party nor any Affiliated F.nlity is listed on any of the following jisis maintained by the. Office of Foreign Assets Control t>f the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S.

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Deportment of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

- r>. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector (ienernl) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "N'oac," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

complete list ofail current employees of (be Disclosing Patty who were, at any tirac during the 12-rflonth period preceding the execution date of \*his EOS, an employee, or elected Or appointed official, of the Cily of Chicago (if none, indicate-with "N/A'or "none").

Non&

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the follow- ing is a complete list of ail gifts that the Disclosing Party bos given ot caused to be given, at any rime during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City ofCbicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally uvatlable lo City employees or to the general public, oi (uj food or drink provided in the course of official City business and Having a retail valve of less than S20 per recipient (if none, indicate v/itb "N/A" or "none"). As to nay gift listed below, please also list ibe name ofthe City recipient.

Mono "

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the OtscJosing-Party (check one)
- 1. £3 is M is not

a "financial insthutiori" as defined in Section 2-32-455(r» of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

-Wc arc nni and will not become a predatory lender as defined in Chapler 2-32 of the Municipal Code. Wc further pledge that cone of our affiliates Is, and none of them will become, a predatory lender as defined in Chapter 2-32 of Ihe Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined sn Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified lo the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meaning's when used in this PartD.

1. In accordance with Section 2-156-1 tO of the Municipat Code Docs any officii or employee of the City have a financial interest in his or her own name or in the name of any other person Or entity in the Mailer'.'

11 Yes fc] No

NOTE: If yott checked "Yes" to Item D.I., proceed to Items t>2. and D.3. If you checked "No" to Item D.I., proceed to Part E»

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no Cily elected official or employee shall have a financial interest in his or her own name or in ihe name of any other person or entity in the purchase of any property that (i) belongs io the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectiveSy, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute u financial interest within the meaning of this Part D.

Docs the Matter involve a City Property Sale?

[JYes (JNo

3. If you checked "Yes" to Item D.I., provide the names and business addresses of the City officials or employees having such interest and identify the nature of Such interest:

Name Business Address Nature of Interest

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4. The Disclosing Party further certifies that no prohibited financial interest in (he Matter will be acquired by nny City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither I. or 2. below. If the Disclosing Party cheefes 2.. the Disclosing Party must disclose below or in an attachment lo this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirement may ina Jte any contract entered ip (o with the City in connection wish the. Matter voidable by the City.

X t. The Disclosing Party verifies that the Disclosing Parly has searched any and all records of jJiC-Diselosjiig-I-any aij^;my-and^ltprcdeees\$orm;irics-regardim^ from slavery or slaveholder insurance policies during (be slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury of death of their slaves), ynd the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting; the search in step 1 above, che Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies Utut the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI - CERTIFICATIONS FOB FEDERALLY FUNDED MATTERS

NOTE: If the M atter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes Of this Section VI,/ttix credits allocated by the Cily and proceeds of debt obligations of the City ure not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts On behalf of the Disclosing Party with respect to the Matter (Add sheets if necessary):

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(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed dial the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 199\$ have made lobbying contacts on behalf of the: Disclosing Party with respect to die Matter.)	
2. The Disclosing Party lias not spent and will not expend any federally appropriated funds to pay any person or entity	

2. The Disclosing Party lias not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.I. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, s member of Congress, art officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or lean, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing I'any will submit an updated certification; n the cad of etich calendar quaiter in which there occurs any event that materially affects the accuracy of the statements and information sc¹-fonli ia paragraphs A.l. and A.2. above.
- 4. The Disclosing. Party certifies that either: (i) it is nut ;tr. orgsni/arion described in section 301(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A. I. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Forty must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request,

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matier is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at Ibc outset of negotiations.

Is the Disclosing Party the Applicant?

[] Yes [) No

If ""Yes, one answer the three questions below;

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[] Yes | J No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

(] Yes [1 No

3. Have you participated in any previous contracts or subcontracts subject to the equal opponunity clause?

[] Yes | f No

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If you checked "No" to question I. or 2. above, please provide on explanation:

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### SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

-Thc-Disclosing-Party iindcretands airdagrecjribTic

- A. The certifications, disclosures, and acknowledgments contained in ijjis EDS will become part of any contract or other agreement between the Applicani and the City io connection with ihc Matter, whether procurement, Cily assistance, or other City action, and arc material inducements to the City's execution or any contract or wking other action with respect to the Matter, The Disclosing Patty understands that it must comply with all statutes, ordinances, and regulations On which litis COS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156awl 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking Cily contracts, worfc, business, or transactions. The full text of these ordinances and a training program is available on line at www.ciiyofchicaao.orn/Rihics <a href="http://www.ciiyofchicaao.orn/Rihics">http://www.ciiyofchicaao.orn/Rihics</a>. and may also be obtained from the City^ Board of Ethics. 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party most comply fuijy wjtfi the applicable ordinances.

- C. If tfte City determines that any informalion provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or bo void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions wit!) the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy (o make this document available (0 the public oil its internet site and/or upon request. Some or oil of the information provided on this EDS and any attachments to this EDS may be made available to the public on Ihc Internet, in response to a Freedom of In formation Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which il may have against the City in connection which the public release of information contained to this EDS and also authorizes the City to verify the accuracy of any information submitted in this 60S.
- E. The information provided in this EDS must be kept current. In the event of cbtmgcs, the Disclosing Party must supplement this EDS up to the time the City takes action on toe Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject lo Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses). Ihc information provided herein regarding eligibility mast be kept current for a longer period,

as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

### Page 11 of 13

- F.l. The Disclosing Patty is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in payi::;; :iay fine, fee, sax or outer charge owed to the City. This includes, but is not limited to, all wnter charges, Si-wer charge;, license fees, parking tickets, properly taxes or sides uxet.
- 1\2 If the Disclosing Pony is the Applicani, the Disclosing Party and its Affiliated Entities will not use. nor pirrcnit their subcontractors lo use, any facility listed by the U.S. E.P.A. on die federal Excluded Parties List System CEPES") maintained by the U.S. General Services Administration.
- F.3 If tite Disclosing Patty is the Applicant, the Disclosing Party will obtain from any couuactors/subcontnettors hired or to be hited in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not. without the prior written consent ofthe City, use any such contractotfsubcontractar that does not provide- such certifications or that the Disclosing Party has reason ro believe has not provided Oifcsnnot provide truthful certification\*.

NOTE: If the Disclosing Party cannot certify as to uny of the items in F.l., F,2. or F-3. above, an explanatory statement must be attached to this EDS,

### CERTIFICATION

Under penalty of perjury, the person, signing below: (1) warrants that .he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications nod staiemerjis contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Stewart A. Harris Living Trust dcicd T2i4/2G02 (Print or type name of Disclosing Party)

Stewart A, Harris (Print or type name of person ingoing)

Trustee (Print or type title of person signing) Commission expires:

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### CITY 01 CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

EAMIEIAL RELATIONSHIPS WITH EI..ECTED CITV'OFFICfA.ES <a href="http://officfa.es">http://officfa.es</a> AND DEPARTMENT HEADS

This Appendix is to lie completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any Ic^al cutity which lias only an indirect oirnersttip interest In the Applicant.

Under Municipal Code 'Section 2-154-G15, the Disclosing Party must disclose whether such Disclosing Patty or any "Applicable Part/ <sup>7</sup> or nny Spouse of Domestic Partner mcrcprctrrrcntty has a "farailiaS relationship" with any elected eily official or departmenthead. A "familial retofionship\*" exists if. as of the date this EDS is signed, the Discl<isin& Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any nldcrrjun, the city clerk, the cily treasurer or any city department bead as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or v-ncic. niece or nephew, grsudparcnt, yrandduld, father-in-law, moibcr-in-law, son-tn-law, daitghtcr-in-law. stepfather or stepmother, stepson or stepda ughtcr, stepbrother or stepsister or lraLT-brother or bat f-sester.

^Applicable Party" oKans (1) all executive officers of flic Disclosing Party listed in Section 11.B- l.a., if the Disclosiny Party is a corporation; all partners of the Disclosing Party, if the Dbelosin^ Party is a general partnership; ol! general partners and limited partners of tins Disclosing Party, if the Disclosing Parry is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing, Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having marc thai\* n 7.5 percent ownership interest in the Disclosing Party. "Principal officers' 3 means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or nny person exercising similar: authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with on elected cily official or department bead?

( J Yes DO No

If yes. please identify bdow (I) the name and title of sucb person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of Ocia! or department head to whom sucb person has a familial relationship, and (4) the precise nature of such familial relationship.

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### CIT Y OP CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFHAW/PR08LEM LANDLORD CERTIFICATION

Tin's Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in Ihc Applicant exceeding 7.5 percent («a "Owntrr"). It is oof to be completed by any legal cn

File #: SO2015-8040, Version	n: 1				
tit)'which has only an indirect	ownership intere	st in the Appli	cant.		
Pursuant lo Municipal cod>': scofflaw or prob				ner sderiufied us a building nicipal Code? '	
[   Yes	[XI N	lo .			
<ol><li>If die Applicant is a Id identified as a buildir Code?</li></ol>	egpl entiJy public ng code sonfflaw	cly traded on v or problem	any exchange, is any landlord pursuant t	officer or diicclor of the so Section 2-92-416 ofthe	Applicant Municipal
1   Yes	fJNo	o	rXJ Not Applicable		
3. If yes to (I) or (2) above identified as a building which the pertinent coordinates the coordinates of th	ng code scofllaw	or problem		entiiy dress ofthe building or b	nildings to
THAT THIS APPEND THE ASSOCIATED E	IX B IS INCORP DS. AND THAT IE CERTIFICAT D EDS.	ORATED BY THE REPRE TON MADE U	REFERENCE INTO, SENTATIONS MADE JNDER PENALTY O	NT AND AGREEMENT AND MADE A PART OF E IN THIS APPENDIX B F PERJURY ON PAGE 12	
	FC	CONOMIC DIS	CI.OS-OliFSTATl'.Ml	>NT AND AFFIDAVIT	
SFCTION I - CliNF.ftAL INFO	RMATION				
A. Legal name ol" ["tie Disclosii	ng Party submitting	this CDS. Incl	ude ti/b/a/ if applicable: i	'juir&i-j Propnlscs. 1.1 ■<'	
Check ONE. of the following thr	roe boxes:				
Indicate whether the Disclosing  1. X *>** Applicant OR  2. n legal entity holding a direction.			cant. State the legal name	e of tho	
Applicant in which ihe Disclo	sing Party holds an	interest:			
3. a Icga! entity with a rig which the Disclosing Party ho			State the legal name ofth	e entity in	
ii. Dusiness address of the Disclo	osing Party:	17 Iv KUmrogS C^«^IL«0			
C. Telephone: 312-636-6937	Fax:	_	Email: fola:idn@BO0	5tae^urxain	
D. Name of contact person:	Rotoriq R. Acost	a_			

li. Federal Employer Identification No. (if you have one):

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F. Brief description of contract,	, transaction or othe	r undertaking (	referred to bel	low as the "Ma	atter") to	
which this FDS pertains. (Inclu-	de project number a	and location of	property, if ap	plicable):		
PfenncODj^OfsncmnHW-20	0_W. CortlandStJI'l	liX)- 24 N. Car	npbellA\rs./2S	601 -31 W. Ho	mer St	
G. Which City agency or depart	rtment is requesting	; ibis F-DS?.	$P_{-}^{pl)}$	_		
If the Matter is a contract be	ing handled by the	City's Departm	ent of Procure	ment Services	s, please comple	ete the following:
Specification g		and Contrac			71	C
			_			
Care 1 of 12						
Cage 1 of 13						
SECTION IIDISCLOSURE	OP OWNERSHIP	INTERESTS				
		INTERESTS				
A. NATURE OF THE DISCLO	USING PARTY					
L Indicate thc_nnuire ofthe	Oisdosinft Jnrty;:.					
Person	.•		ed liability com			
Publicly registered business			liability partne oin! verdure	rsnip		
Privately held business corp Sole- proprietorship	Poration		oni: verdure -profit corpora	tion		
Central partnership	(Is the	not-for-profit			11)3	
Limited partnership	*	not-tor-profit	-	so a 501 (c j {.	,));	
Trust			(please specif	ỳ)		
2. For legal cnciiire, the sla	ate (or foreign count	try) of incorpor	ration or organ	iization, if app	licable: Illini;.?	
3. For legal entities not organ foreign entity?	anized in the Stoic o	of Illinois: 1 itis	the organizati	ion registered	to do business	in the State of Illinois a
"' Yes	No	x N'A				
B. II- THE DISCLOSING PAR	RTY IS A LEGAL I	ENTITY:				

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also lis) below all members, if any. which are legal entities. 11" there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal tillclioldcrfs).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any olher person or entity (but controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below roust submit an EDS on its own behalf.

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Name Title		
nten ftpRfian	Nfa	ct*g
(including ownership		ing each person or entity having a direct or indirect beneficial interest ng Party. Examples of such an inter^t include shares in a corporation,
		Page 2 of 13
none, state. "None." 1	NOTE: Pursuant to Section 2-154-0	ompany, or interest of a h«pcfkiary of a trust, estate rn- other similar entity. If 030 of the Municipal Code of Chicago ("Municipal Code"), the City may cant which is reasonably intended to achieve full disclosure,  Percentage Interest in the Disclosing Party
Uniin Haf2V; 171 .	Monroe St Suits I5S. Chc'caso. I	
Outili Balloki 1/1	iviolitide St., Suits 133, Cite caso, 1	1. 00007
SECTION til ~ BUS	INESS RELATIONSHIPS WITH	CITY ELECTED OFFICIALS
	g Patty had a "business relationshinths before the date this EDS is sign	ip," as defined in Chapter 2-156 ofthe Municipal Code, with any City elected ned?
Yes	x   No	
if yes. please identify	below the name(s) of such Cily el	ected official(s) and describe .such relationship^):
SECTION IV - DISC	CLOSURE OF SUBCONTRACTO	RS AND OTHER RETAINED PARTIES
The Diselesine De	ety manust displace the manus and base	singer address of each subcontractor atterney labbuist accountant consultant

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party bas retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and ihe total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solety through the Disclosing Party's regular payroll.

"Lobbyist\*\* means any person or entity who undertakes to influence any legislative or administrative action on behalf of tiny person or entity other than: (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any port of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is requited or make the disclosure.

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Name (indicate whether Russness "' Address retained -or anticipated to be regained I

Relationship to Disclosing Party Fees (tndicstcAvjvtioer-"" (subcontractor., attorney, lobbyist. etc.)

paid or estimated.) NOTE: "hourly rale" or "t.fo.il." is

not an acceptable response .-.

1«gafiff>P.. AiwS'f foO.XV. t%ieac»Are.. 3nj WU(TiicPffl. It60642

^.fiOOfciit Aiiy.

(Add shee-is if necessary)

Check hero if the Disclosing Party bas not retained, nor expects lo retain, any such persons or entities. SECTION V -

### **CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415. substantial owners of business entitles that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes x J\*\*'<> No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into n conn-approved agreement for payment of all support owed and is the person in compliance with that agreement?

j Yes No

### **B. FURTHER CERTIFICATIONS**

I. Pursuant to Municipal Code Chapter I -23, Article I ("Article D(which Iho. Applicant should consult for deflated terms (c,£..., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies ns follows: (») neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of. or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or arty sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with- the City. NOTE; If Article I applies to the Applicant the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications?, and 3 below.

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- 2. The Disclosing Parry ana. if iltc Disclosing Party is ft legal entity, all of those persons or entities dentified in Seciiun II.fi <a href="http://II.fi">http://II.fi</a>. I. of this P.DS:
  - :i. arc no; presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, stiic or local unit of government:
  - Ii. have not, within a five-year period preceding the date of this EDS. been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract undent public transaction: a violation of federal ot stale antitrust statutes; fraud: embezzlement; theft; forgery; bribery; falsification or destruction of records; making false .statements; or receiving stolen properly;
  - c. arc: not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause 13.2 .b. of this Section V;
  - d. have not. within a five-year period preceding the date of this F.OS, hod one or more public transactions (federal. Slate or local) terminated for cause or default: and
  - c. have not. within a five-year period preceding lite dale of this F.DS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, arty State, or any other unit of local government.
  - 3. The certifications \n subparts 3.4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor\*" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with Ihe Manor, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"):
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is. with the Disclosing Paily, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership: identity of Interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or

indirectly controls the Contractor, is controlled by it. or. with the Contractor, is under common control of another person or entity; - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated-Entity of cither the Wisclosiag Cany or any Contractor nor any Ageni,-.; have, during the five years before the date this EDS is signed, or. with respect io a Contractor, an Affiliated Emily, or ;>n Affiliated Entity of k Contractor daring the five year.\* befojy the dale of such Contractor's or Affiliated Entity's contract or engagement in connection with the M a Her:

- a. bribed or attempted lo bribe, or been convicted or adjudged guilty of bribery or .lUcmpiina. d> bribe. 2 public officer or employee of the City, tins State of Illinois, or nay agency of the federal government or of any state or local government in the United Stales of America, in thai officer's or employee's official capacity;
- agrc&d or colluded with other bidders or prospective bidders, or been a party to any s;sch agreement, or been convicted or adjudged guilty of agreement or collusion among bidder? or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a nwtter of record, btn have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employee. 1;, officials, agents or partners, is barred from contracting with any unit of state of local government as a result of engaging in or being convicted of (I) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) nny similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotniing.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed rib any ofthe following lists maintained by the Office of Foreign Assets Control of U.S. DepartmeHi of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Dented Personsi List, the Unverified List the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (GovcnuncQtnl Ethics) of the Municipal Code. Page 6 of 13
- 7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part li < Further Certifications'), the Disclosing Party must explain below:

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If the letters "NA," ihc word "None." or no response appears on ihe iinc.;'- above, it will be conclusively presumed thai Ihe Disclosing Party certified to the above statements,

- S. To the bcs'. ofthe Disclosing Party's knowledge after <cusonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the I 2-month period preceding the execution date of ibis LiDS, an employee, or elected or appointed official, of the Cily of Chicago I if none, indicate with "N/A" or "none").
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of ail gills that the Disclosing Party has given or caused lo be given, at any time during the 12-month period preceding the execution date of Ibis EDS, to an employee, or elected or appointed official, of the Cily of Chicago. For purposes of this statement, a ""gift" does not include; (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less sban S20 per recipient (if none, indicate with "tit A" or "none""). As to any gift listed below, please also fist the name of the City recipient.

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. 'is X is not
- a "financial institution" as defined in Section 2-32-4\$5(b) of the Municipal Code.
  - 2. If the Disclosing Party IS o financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge thai none of our affiliates is. and none of theui will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because is or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predator)' lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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	" ihc word "None," or no response appertified to riic above statements.	pears on the lines above, ii will be conclusively presumed that i.bc
OTCiWmC^t ION	REGARDING INTEREST IN CITY	BUSINESS
Any words or term Part 1).	ns ilia: ore defined in Chapter 2-156 o	f the Municipal Code hove the same meanings when used in this
	ce with Section 2-156-110 of the Muner own name or in the name of any oth X No """	nicipal Code: Does any official or employee of the Cily have a financial her person or entity in the Matter?
NOTE: If you chec	cked "Yes" to Item D. E, proceed to F	Hems 0.2. and D.3. If you checked "No" to Item D.I., proceed to Part E.
have a financial intelled belongs to the City. "City Property Sale	erest in his or her own name ot tn the , or (ii) is sold for taxes or assessment	bidding, or otherwise permitted, no Cicy elected official or employee shall name of any other person or entity in the purchase of any property that (a) ts, or (iii) is sold by virtue of legal process at the suit of the City (collectively, pursuant to the Cily^ eminent domain power docs not constitute a financial
Does the Matter in	volve a City Property Sate?	
" Yes	* No	
•	xed "Yes" to Item D.l., provide the na lentify the nature of such interest:	mes and business addresses of the City officials or employees having
Nome	Business Address	Nature of Interest
4. The Disclosir or employee.	ng Party further certifies that no prol	hibited financial interest in the Matter well be acquired by any City official
C. CERTIFICATIO	ON REGARDING SLAVERY ERA I	BUSINESS
Please check ci	ilher 1. or 2. below. If Ibc Disclo	sing Party checks 2 the Disclosing Party must disclose below or in an

Please check cilher 1. or 2. below. If Ibc Disclosing Party checks 2.. the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply villi these disclosure requirements may make any contract entered <n;n by="" city="" cny.<="" connection="" matter="" rn="" td="" the="" voidable="" with=""></n;n>
X 1. The l)is.::?.osiny Tarty verifies that the Disclosing Parly has searched any and all record-* of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies, issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records,
? The Disclosing Parly verifies that, as a result of conducting the search in step I above, the Disclosing Party has found records of investments or profit?, from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: I f the Matter is federally funded, complete ihis Section VL If the Matter is not federally funded, proceed lo Section VII. For purposes of this Section VI. tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
I. List below the names of all persons or entities registered under the federal Lohbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter. (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosure Act of 1°95 have
made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2 The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in

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Paragraph A.l. above for his or bcr lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, ;ss defined by applicable federal law. a member of Congress, an officer or employee of Congress, or art employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or lo extend, continue, renew, amend, (ii modify any federally funded

contract, grant, loan, or cooperative agreemenu

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- 3. The "Disclosing Parly will submit an updated certification at the end of each eaten dat quarter in which there occurs any event that materially affects the accuracy of the statemeni.-: and information set forth in pamgruplis A.l, and A,2. above.
- 4.--T^eH&hwIraHfjfPa'Ky ccrtiltcs tfmt either: (i) ii is not an organisation described in section 50 f (c){4} of the Internal Revenue Code of 1%'6; or (it) it is an organization described in section 501 (c)(4) of ihc Internal Revenue Code of 19S6 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Dtsclosing. Party ts. the Applicant? the Disclosing Party mstst oblain ccruftcatintis equa) in form and substance to paragraphs A.l. through AA. above From all s.wbeniitjticiors: before it awards any subcontract and the Disclosing Party must nunnain &U s«cb subcontractors\* certifications for (he duration of the Mailer and mus\* make such certifications promptly available to the City upon request.

### ft, CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the AppHcn.nl <a href="http://AppHcn.nl">http://AppHcn.nl</a> and all proposed subcontractors to submit the following information will) their bids or in writing oi the outset of negotiations.

Is the Disclosing Party ihe Applicant?

Yes "" No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CER Port 60-2.)

Yes No

2. I love you filed with Ihc Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

•{Yes No

Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
 Yes \*;No

If you checked "No" to .question 1- or 2. above, please provide an explanation:

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SECTION VII ACKNOWLEDGE!ENTS. CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing P;>r(,v understands and agiecs slrav.

- t\. The certifications, disclosures, and acknowledgments contained in this CDS will become part of nny contract or oilier agreement between the Applicant and the. City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect so the Walter. The Disclosing Tarty understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- Ii. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-150 and 2-l(>4 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line ni www.citvofchiermo.ore/Eiirtcs <a href="http://www.citvofchiermo.ore/Eiirtcs">http://www.citvofchiermo.ore/Eiirtcs</a>. and may also be obtained from the City's T3oard of Ethics. 740 N.

Sedgwick St.. Suite 500, Chicago, IL 60610, (512) 744-9660. The Disclosing Party must comply fatly with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and ihe City may pursue any remedies under the contractor agreement (ir not rescinded or void), at law, or in cquity, including terminating the Disclosing Party's participation in the Mailer and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages,
- D. Ii is ibe City's policy to make this document available to the public on its Internet site And/or upon request. Some or al) of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which ii may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify Ihc accuracy of any information submitted in this EDS.
- E. The information provided in this EDS roust be kept current. In the e vent of changes, ibe Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Depnrtmcoi of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the inforntstion provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 or the Municipal Code.

The Disclosing Party represents and warrants that:

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- r i. The Discbisim; Patty is not delinquent ii! tho payntons of any las administered by vhe illiiv>is Department of Itevenue, tier arc tliv Disclosing Party or its Affiliated Katiltev- delinquent in pnytny any Uiitr. ?Ve. of otiu'r ch^r::^- owed in ihe City, i'ltis includes. In:; is not limited tt>.. ail watei charges. sewex caar^cs-iicense fccs,.r^tHm^ti<>fevMsri>iorKrtv-taA!i.-s as s'lttgyiitScT
- \.?. II the Disclosing Party is the Anpiicaai. t?ic Disclosing Pariy ami tt.s Affiliated' 'unities v. ill not use. nor permit then subcontractors n> use. any facility listed by ihc U.S. I'.I'.A. on the fcdeml Excluded 5'artivs J.fst System ("liPl-S") maintained by the \J. S. Oatcitit Sep. ices Administration.
- K.¹\* 11'the Disclosing Party is ihe Applicant. Ihe Disclosing, Party will obtain Own any ecMiiraciiii^'subcontraciors hired or iu he hired in connection with Ihe Mnller cct'iifjcations equal in form and substance to those in I' I. anil IK. 2. above and will not. without the prior written consent of the City, nK any such contestctor/Mibetuttravtor that down not provide such conittculionsm' tint the Disclosing Purty bas reason to believe has nut provided or ennnot provide truthful certifications

MOTE: If the Disclosing Party cummi '..citify us 50 any of the Hems in 1'. I.. \ .?.. or J-'.ji. above, an explnnniory statement must be attached in ibis EDS.

### ceutihcation

Under penalty of perjury, Ihe person signing below: (1) warrants ibat he/sho. is authorized to execute this I; OS and Appendix A (if applicable! on beF»:tJf of Ihe 'Disclosing Party, and (2) warrants thai all certrlieniinns and statements contained rn this EDS and Appendix A (if applicable) are true, accurate nnd complete as ofthe dale furnished n> the City.

Ciiarclijin Properties. U.C

(Sign here)

U'rini or lypcjiftnic of Disclosinj- Pnrty)

Sarin Dur/s:n

(Print or lype name of person sij.\ninj:j

(I'rini or type tille oI person signing)

Signed and sworn to before me on (date)

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND A.ITIDA VITAPPENDIX. A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OKEICIAI-S AND DEPARTMENT HEADS

Tltis Appendix is lo he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership intercut in the Applicant exceeding 7.5 percent- It is not to be completed oy any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154\*015, the Disclosing Pariy must disclose whether such Disclosing: Party or nny "Applicable Party" or any Spouse or Domestic-Partner thereof attrently has a "familial relationship" with JOiy elected city official or department head. A "familial relationship" exists if. as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner ihweof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by h'ood or adoption: parent, child, brother or sister, aunt or u:\*:Jo. niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, dauglitei-in-rnw, stepfather or stepniother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section II.B.l jl, if the Disclosing Party is a corporation: all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all genera) partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of lite Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more titan a 7.5 percent ownership interest in (lie Disclosing Purty. 'Trincipal officers' means Ute president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or nny person exercising similar authority.

Dots the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected cily official ox department bead?

Yes X No

If yes, please identify below (I) the natoe and title of such person. (2) the nanjc of the legal ctttity to which such person is connected; (3) Che jruuc and title of the elected city of Ttcial or departrt Rrtf head to whom such person lias a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCIA^^RJL»^^^

### APPENDIX U

### UUILUING CODE SCOFF L AVY7PR0BLEiM LA'SDIjOUD CEKT1F.ICATION

This Appendix is 10 Ik completed only by (a) the Applicant, ami (b) nny legal entity which has ;t direct ownership interest in the Applicant, exceeding IS percent (an "Owner"). It is not to be completed by any legal aitify Wilch nas'on Jy an Indirect ownership interest in (He Applicant.

I. Ptifsuant tQAlunicipaJ Code Section 2-154-010, is the A ppJicani or any Owner identified as a building code

scofllaw or problen) landlord ptn?uai« to Section 2»92-41 of the Municipal CodC?

- 2. If the Applicant i\$.t> legal entity publicly traded on any exdian-je, is any officer or director of the Application idenlifted as a building code scofflaw or problem landlord rwrsusnt to Sea ion 2-92-416 of the Municipal Code?
- 3. If yes to (f) or (2) above, please identify below the nanje of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code vjolatronsnppSy.

EIL1XVC OUT THIS APPENDIX B CttNSTtTVTJGS ACKNOTi>XED(7MENT AND AGREEMLENT THAT THIS APPENDIX B IS INCORPORATED BY REFBRBNCCINTO, AND MADE A PART OF, THB ASSOCIATED EDS, AND THAT TOE REPRESENTATIONS M ADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OE PERJURY ON PAGE 12 OF THE ASSOCIATED EOS.

tV:r. l≤lof t-i

### CITY OF CHICAGO FX' 0 N O MIC DIS C L O S V R ES T A T Ii M E N T AND AFFIDAVIT

### SECTION I -- GENERAL INFORMATION

A. Legal name of ihc Disclosing Parly sub-nicting this EDS. Include d/b.'V if applicable: Susan Harrfe living Trust

dated 12M/20O2

Check ONE of Ibe following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant OR ^

Owner

2. pCJ a legal entity holding a direct or induce: interest in the 'Applicant. State the legal name of the

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<ol> <li>Applicant in which the Disclosing Parly ho         OR         X [] i legal entity with a right of control</li> </ol>	" "" l (sec Section ILfJ.l.) Sl					
which the Disclosing Party holds: a righ						
B. Business address of the Disclosing Party:	2204 Iroqufe R Wrneae. IL 65)					
C. <u>Telephone: 312-63S-6SQ7</u> <u>Pax:</u>	<u>Em</u> :	ail: rol5f»do@acoi.l2c	e2gur.eo	<u>):n</u>		
D. Name of contact person: RotanboR. Acosta						
E. Federal Employer Identification No. (if you ha	ave one):					
F. Brief description of contract, transaction or oth pertuins. (Include project number and location of	• `		tter") to	which this EDS	3	
J^oning end Planned Development for 2300 - 20	W. Cortland Stfl90Q~	24 M. Campbell Ave.g	501 - 3t	W. rfomar St		
G. Which Cily agency or department Is requesting	g this EDS? DPD					
If the M aner is a contract being handled by di	ie City's Department of	Procurement Services,	please o	complete the fo	llowing:	
Specification 8	and Contract #					
Page I of 13						
SECTION II ~ DISCLOSURE	Ol OWNERSHI	P INTERESTS	A.	NATURE	Ol;	THE
DISCLOSING PARTY						
I" Inffiea^tlieTlaTorc of the DtstI«*ir»g"P-»rd   j Person  ] Publicly registered business corporation 5 J Privately held business corporation [J Sole propriclorsliip [ 1 General partnership IJ Liiniled partnership W Trust	[] Limited liability of [\ Limited liability pa (] Joint venture: f] Noi-for-profi* corp	rtnership coration corporation, also a 501	(c)(3))?			

2. For legal entities, the state (or foreign countty) of incorporation or organization, if applicable: IBiiois

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3.	r legal entities not organized in the State of Illinois: lias the organization registered to do business in the Slate of

UHnois as a foreign entity?

{ ] Yes | ] No fc} N/A

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titlcholder(s).

If the entity is a general partaerfibip. limited partnership, limited liability company, limited liability partnership or joint venture, list below the natno and title of each general partner, managing member, manager or any other person or entity that controls the day-to~day management of the Disclosing Party. NOTE: Each legal eritily listed below muss submit an EDS on its own behalf.

Name Title

SterartA. Kafrp Trustee
Sossn karris Tn^tco\*

2. Please provide the following informasioa concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 1.5% of the Disclosing Party. Examples of Such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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inicros! of a member or manager in a limited liability company, or interest of n beneficiary of a trust, csim.e or other similar entity. If none, state "None." NOTK; Pursuant lo Section 2-151-030 of ihe Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information froni any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Susan Harris 2204 Iroouoi:: Ktiftrt, WihriWC?. IL 603S1

### SECTION lit - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Ha\*- the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with arty City elected official in the 12 months before the date this EDS is signed!/

11 Yes W No

If yes, please identify below the namc(s) of such Cily elected official(s) and describe such relationship(s):

### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER, RETAINED PARTIES

The Disclosing Party must disdose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (t) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to Influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must cither ask tltc Cily whether disclosure is required Or make the disclosure.

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Name (indicate whether **Business** retained or amicipateri Address io be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: 'hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

1\$ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V -

### **CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations, throughout the contract's term.

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Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes M No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes" bas the person entered Into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

### I JYes [JNo

### **B. FURTHER CERTIFICATIONS**

I. Pursuant to Municipal Code Chapter 1-23, Article 1 (""Article r)(v/hrch the Applicant should consult for defined ICrtnS (c.g\_ "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business witfi the City, then the Disclosing Party certifies as follows: (I) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of. or placed under supervision, for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against no officer or employee of flic City or any sister agency; and (if) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and. if the Disclosing Party is a legal eniity. zU of those |j:-.rsor.s or entities identified in Section II.B.l. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, slate or local unii of if.ovcrnment:
  - b. have not. within a five-year period preceding the date of this EDS. been convicted of a criminal offense, adjudged guilty, or bod a civil judgment rendered against thorn in connection with: obtaining, attempting to obtain, or perforating a public (federal, state or local) transaction or contract under a public transaction: a violation of federal or state antinest statutes: fraud; eroberzlement; theft; forgery: bribery; falsification or destruction of records: mating false statements; or receiving stolen property;
  - c. arc not presently findieted for, or criminally or civilly charged by, a governmental entity (federal, state or focal) with committing any of the offenses set forth in clause B.2.b. of this Section V:
  - d. bave not, within a five-year period preceding the date of this EOS. bad one or more public transactions (federal, slate or local) terminated for cause Or default; and
  - e. have not. within a five-year period preceding the date of this EDS. been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or

by the federal governraenrV&ny state. or any other unit of local government.

- 3. The certifications in subparts 3,4 and S concert\*:
- ♦the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Coo tractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Patty, any Contractor or any Affiliated Entity, acting pursuant to the direction or euthorreation of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither (tie Disclosing Party, nor any Contractor, nor any Affitiafed Entity of cither the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect So a Contractor, an A ffilinted Eintily, or an Affiliated Emily of a Contractor during the five years before iheda:e of such Contractor's or Affiltarod Gr.iiiyj; contract or engagement in connection with the

\*Matter:-

- n. bribed or al tempted to bribe, or been convicted or adjudged guilty of briber)' or attempting to bribe, a public officer or employee of the City, the Slate of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- A. Neither the Disclosing Parly, Affiliated Entity or Contractor, or any of-their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted Of (1) bidrigging in violation of ?20 ILCS 5/33E-3; (2) bid-routing in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

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- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons LisL the Unverified List, the Dirtily List and the Debarred List.
- 6. The Disclosing Party understands and shaJI comply with the applicable requirements of Chapters 2-S5 (Legislative Inspector General). 2-56 (Inspector General) and 2-156 (Governmental Ethics) of Ihc Municipal Code,
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Pari B (Further Certifications), the Disclosing Party must explain below;

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II the letters "NA," the ward "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party cenified to the above statements.

S. To the best of the Disclosing Party's knowledge after reasonable inquiry, she. following is a complete list of ail current employees of the Disclosing Party who were, at a;;y time during, the 12-month period preceding the execution date of ibis EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

### None

9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, of {ii) food or drink, provided in the course of official Cily business and having a retail value of less than S2f> per recipient (if none, indicate with "N/A" or "none">. As to any gift listed below, please also list ihe name of the Cily recipient

None ~

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Potty certifies that the Disclosing Parly (check one)
- 1. 11 is DtJ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then lite Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2\*32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City,"

1 f the Disclosing Party Is unable lo make this pledge because it or any of its affiliates (as defined in Section 2-32-45S(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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Ii ili<: tellers "NA.." the- word "None," Or no response <a href="http://response">http://response</a> appears on the lines above, it will be conclusively presumed that the Disclosing Pariy certified to the above statements.

### D. CBRTIfICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms (hat are defined in Chapter 2-156 of the Municipal Code have ihe same meanings when used in this fan D.

1. In accordance with Section 2-156-1 JO of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matte/?

IJYes WNo

NOTE: If you checked \* Yes" to Item D. I., proceed to Items D.2. and D.3. If you checked "No" lo Item D.L. proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have « financial interest in bis or ber own name or in the name of any other person or entity in the purchase of any property thai (j) belongs to the City, or (it) is sold for taxes or assessments, or (Hi) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the Cit/s eminent domain power docs not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

t | Yes T 1 No

3. If you cheeked "Yes" to Item D.l., provide the names and business addresses of the City officials Or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

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4. The Disclosing Party further certifies that no prohibited financial interest in ihc Mailer wiJI be acquired by any City official or employee.

### fi. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither I. or 2. below. If the Disclosing Patty checks 2.. the Disclosing Party musi disclose Ire tow or in an attachment io this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with ihe City in connection with the Matter voidable by ihe City.

- <sup>X</sup>-J- The Disclosing Party verifies that die Disclosing Party has searched any; md all records of the Disclosing Party and any and all predecessor entities regarding records ol"investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to Slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step I above, the Disclosing Party bas found records of investments or profit? from slavery or slaveholder insurance policies. The Disclosing Parly verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION V! CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete Ibis Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the tenors "NA" or if the word "None\* appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 19° 5 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Parly has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or ailcmpt to influence an officer or employee of any agency, as defined by applicable federal law. a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded granl or loan, entering into any cooperative agreement, or to c.<Jend. continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing, Pariy will submit an updated certification ai the end of each calendar quarter to which (here occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986: or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage m "Lobbying Activities".
- 5. If lite Disclosing Party is the Applicant, die Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available lo the City upon request.

### B, CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with thair bids or in writing at the outset of negotiations.

Is the Disclosing Patty the Applicant?

[JYes []No If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[JYes []No

- 2. Hove you fried with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports dac under the applicable filing requirements? rjYcs (JNo
  - 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? []Ycs []No

If you checked "No" to quest ion 1. or 2. above, please provide an explanation:

Pajjc 10 of 13

## SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCOItI'OKATIO.V, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the MaMcr. whether procurement, Cily assistance, or other City action, and arc material inducements to the City's execution of any contract or taking Other action with respect to the Matter. The Disclosing, Pariy understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances. Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and n training program is available On line at www.ciiyofchiear.o.orft/Ethics <a href="http://www.ciiyofchiear.o.orft/Ethics">http://www.ciiyofchiear.o.orft/Ethics</a>. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St.. Suite 500, Chicago. IL 60610. (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EOS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the Cily may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including lerminaung the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Parly to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in tin's EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- Ii. The information provided in this EDS must be kept current In (he event of changes, the Disclosing Party must Supplement this EDS up to the time the City takes action on the Matter. If the Matter is a conn-act being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kepi current for a iongcr period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents ond warrants that:

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- F. (. The Disclosing Pariy is r.o\ delinquent in ihc payment oi' any tax administered by the Hj'mob: Department of Revenue, nor arc the Disclosing Party or its A lYiliated Entities delinquent in paying any -fmc.Tee,-rax-o\oiber-Gharge-owe sewercharg.es <a href="http://sewercharg.es">http://sewercharg.es</a>, license fees, parking, tickets, property taxes or sales taxes.
- P.?. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliate\*! entities will not use, nor permit their subcontractors to use, any facility listed by the-U.S. E.P.A. On the federal Excluded Parties Lis: System f/EPLS") maintained by the U.S. General Services Administration.
- PJ If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with die Master certification!: equal in forei and substance to those La P.I. arid F.2. above and will not, without the prior written consent of the City, use any such contractor/siibcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in p. I., F.2. or F.3. above, an explanatory statement must be attached to this BDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this EDS arid Appendix A (if applicable) on behalf bf the Disclosing Party, and (2) warrants that all ccrrificatians and Statements contained in this EDS and Appendix A (if applicable) am true, accurate and complete as of the dc;te furnished to the City.

Susan ttarls Uvlrtfi Trust dated 12/4/2052 (Print or type nftmc, of Disclosing Party)

### \*r--^r fffiW

(Sigr/hcre)

StsvmrlA. Hsmc

(Print or type name of person signing)

Trestee

(Print or type title of person signing)

Signed and sworn to before me on (date) (et lW>^- "County. Tt( iftai S

Commission expires: Sf

, (state). Notary Public.

### GAFUCAKDSA WOTWW TOELtt. STtATEOF UliKXS

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## CITY OI- CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICI ALS AND DEPARTMENT HEADS

Tin's Appendix Is to lie. completed only by (a) ihe Applicant, and (b) any legal entity which has a direct ownership interest f.n the Applicant exceeding 7-5 percent It is not to be. completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, die Disclosing Party must disclose whether such Disclosing Party or any "ApplicableParty" or any Spouse or Domestic Partner thereof cuKcnily hie a ^familial relationship" with any elected city official or department head, A "familial relationship" exists iC as ofthe date Hits EDS is signed, the Disclosing Party or any ^Applicable Party" or any Spouse or Domestic Partner thereof is related to the major, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: patent, child, brother or sister, aunt or uncle, aie.ee <a href="http://aie.ee">http://aie.ee</a> or nephew, grandparent, gixuidclitldL lalhcr-in-law, mother-in-law, daiighter-m-law, stepfather or stepmother, stejisoo or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Part}" means (l)all executive officers of the Disclosing Party feted in Section ILB.l-a., if the Discfusmg Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if lite Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers'\* means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercisTiTg similar authority.

Does the Disclosing Party or any "Applicable Parry" or any Spouse or Domestic Partner thereof currently have a familial relationship" with an elected city official or department head?

### [ J Yes DO No

If yes. please identify below (I) the name and title of such person, (2) Ihc name of the legal entity to which such person is connected; (3) the name and title of the elected cily official or department head to whom such person has a familial relationship, and (4) the precise nature of such ifamiE.il <a href="http://ifamiE.il>rt;lalionsbip">http://ifamiE.il>rt;lalionsbip</a>.

## OTY OK CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFI DAVIT """APPENDED B

### BUILDING CODE SCX>FFI^W/PR0BL£nf LANDLORD CERTIFICATION

Tin's Appendix: is to be completed only by (a) the Applicant, and (b) any legal entity ^fjjeh.hasadj-r^ovvnershir»tn ;tcmtin the Applicantexceedin" 7.5 nctfccnt (ah "CKvner^>. If is.not to Ijc.complete\* by any legal ctilUy which has only an indirect ownership, interest in the Applicant.

1. PttrsuanUo Municipal CodcSSsdton 2-154-010, is the Applicant or any Owner tdeatftfcfi as o. building code scofflaw or problem landlord pursuant to Section 2-92^416 of CflC Muhtdnal Code?

I I Yes fXiNo

2. If the Applicant is a legal entity publicly Iraded on any exchancic, is any officer or director of the App'icimi identified cs a building code scofflaw or problem landlord pursuant lo Section 2»92-416 of the Municipal Code?

I I Y < S f Ifv'o (X3 Not Applicable

3. If yes to (I) or (2) above, please identify below the name of the person or legal entity identified ns a bufldrng code scofflaw or problem landlord and the address of the building or.. buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDS B CONSTITUTES AOCKOVVLEDGMBN1' AND AGREEMENT THAT THIS APPENDIX R IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATTONS MADE EN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OFTHE ASSOCIATED EDS.

## CITY or CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I - GENERAL INFORMATION**

A. Lego) name of the Disclosing Party submitting ibis EDS. Include d7b/a." if applicable: Karris Momer LLC

Check ONE of the following ibrcc boxes:

Indicate whether the Disclosing Party submitting this EDS is: 1. K) the Applicant;
Owner OR

2- (1 a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest;

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OR 3. [] a legal entity with a right of control (which the Disclosing Patty holds a right of control (control (	sec Section 11.0.1.) State the legal name of the entity in f control:
I). Business address of the Disclosing Party:	22(W Iroquois Rpgd VWlrneae, IL 60091
C. <u>Telephone: 317e38-Sf137</u> <u>Fax:</u>	Email: re^ndp^j3taeagur.cc»n
D. Name of contact person: Rot3«fo R. Accsto	
E. Federal Employer Identification No. (if you have	e one):
F. Brief description of contract, transaction or pertains. (Include project number and location of pr	other undertaking, (referred lo below as the •Matter") to which this EDS roperty, if applicable):
Ratonifta.and PtanneaOovctonrrientfor 2500 - 20 V	W Cortland\$171900-7A NL CampbellAvc.r25T>1 -31W. Homer St
O. Which Cily agency or department is requesting	ng this EDS? DPP
If the Matter is a contract being handled following:	by the City's Department of Procurement Services, please complete the
Specification £ and	Contract U
Page I of 13	
SECTION II - DISCL OSURE DISCLOSING PARTS'	OF OWNERSHIP INTERESTS A. NATURE OETHE
-Itad ica te-the-on lure-o f-lhe-Disc I usingPart	
	£j Limited liability company JJ L united liability partnership
	f } Joint venture
	{] Not-for-profit corporation (ts the noi-for-profit corporation also a 501(e)(3))?
[] Limited partnership	[ ] Yes [ j No [ j Other (please specify)
2. For fegaJ entities, lite Slate (or foreign coun	try) of incorporation or organization, if applicable:

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3. Por legal entities not organized in ibe State or Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

**UY**«s

NNo foJN/A

### B, IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and lilies of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, irany, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list helow the legal titlebolder(s).

If the entity is a general partnership, limited partnership. limited liability company, limited liability partnership or joint venture, list below the name nod title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of ihc Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

■Stewart A Harris

Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, panaership interest in ;i partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), ihe City may require any such additional information from any applicant which is reasonably intended to achieve, full disclosure.

Name

**Business Address** 

Percentage Interest in the Disclosing Party

StewartA\_ Harris Uvinj Trustdalad 12W2002 2204 Iroquois Rd., VWlmetie. IL 60091 50% Susan Harris Living Tru£.t dofcc\* 12/4/2002 2204 ttoquois Rd.. Wilmetta, IL 6D091 SO%

### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship." as defined in Chapter2-156 of the Municipal Code, with any City elected official in the 12 mouths before the date Ibis EDS is signed?

I 1 Yes M No

If ycs<sub>r</sub> please identify below the name(s) of such City elected official(s) and describe such relationship^):

### SECTION IV-DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained Of expects to retain in connection with the Matter, as well 3S the nature ofthe relationship, and the total amount of the fees paid or estimated lo be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payrotL

"Lobbyist" means any person or entity who undertakes io influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or .make the disclosure.

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Narnc (indicate- whether Business retained or anticipated Address to he retained)
Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)
Pees (indicate whether paid or estimated.) NOTK: "hourly rale" or "t.b.d." is not an acceptible response.

(Add Sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V - CERTIFICATIONS** 

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-4 J 5, substantial owners of business entities that contact with the City must remain in compliance with their child support oblivions throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared In arrearage on any child support obligations by any Illinois court of competent jurisdiction?

C J Ycs Od No IJ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Ycs.V has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[JYes f JNo

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to .Municipal Code Chapter 1 -23, Article I ("Article r^which the Applicant should consult for defined tarns (e.g., "doing business") and legal requirements), if the Disclosing Party submitting ibis EDS is the Applicant and is doing business with the City, then rite Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of. or placed under supervision for, any criminal offense involving, actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty of deceit against an offreer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article f applies to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below.

### Page 4 of 13

- 2. The Disclosing Party and. il\* the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this liO.S:
  - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible-or voluntarily excluded from any transactions by any federal, slate, or local unit of government:
  - b. have not, v/ithin a five-year period preceding ihe dale of diis EDS. been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection -with: obtaining, attempting to obtain, or performing a public (federal. Slate or local) transaction or contract under a public transaction: a violation of federal or state antitrust statutes: fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records: making false statements: or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, stale or local) with committing any of the offenses set forth in clause B.2.b. of this Section V:
  - d. have not, within a five-year period preceding the date of this BPS, had one or more public transactions (federal, state or local) terminated for cause or default: and
  - c. have not, within a five-year period preceding the date of this BOS. been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or

by the federal government, any state, or any other unit of local government.

- 3. The certifications in subparts 3,4 and 5 concern;
- \* the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with lire Matter, including but not limited to all persons or legal entities disclosed under Section IV. "Disclosure of Subcontractors and Other Retained Parties');
- any 'Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by ihc Disclosing Party, or is, with the Disclosing Parry, tinder common control of another person or entity. Indicia of control include, without limitation; interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including iho City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity:
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents'\*).

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Neither ibo Disclosing Party, nor any Counselor, nor any Affiliated Entity of either Ihc Disclosing forty or any Contractor »or any Agents have, during the five years before the ditto this EDS is signed, or. with respect to a Contractor, an Affiliated Polity, or an Affiliased Entity of a Corttractur during the five years before the date of such Contractor's or Affiliated Entity's contraei or engagement in connection with the -Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United Stales of America, io that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is  $\square$  matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-5)2-610 (Living Wage Ordinance).'
- A. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government jis a result of engaging in or befog convicted of (I) bidrigging in violation of 720 iLCS 5/33E-3; (2) bid-rotating in violation of 720 iLCS 5/33E-4; or (3) any similar offense of any state or of the United States of America lhat contains the tame elements as the offense of bid-figging or bid-rotating.

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- 5. Neither the Disclosing Party nor any Affiliated- Eolity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or lhc Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specialty Designated Nationals List, the Denied Persons List; the Unverified List, the Entity List attti the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2\*56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Patty is unable to certify to any orihe above statements in this Part 13 (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA." the word "None." or no response appears on the lines above, si will be conclusively presumed that ihe Disclosing Purty certified to tin: above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a Complete list of all current employees of the Disclosing ('arty who were, at any time during the 12-month period preceding the execution date of this EDS. an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

Mono "~" ~ ~"~~

°. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-rnonth period preceding the execution date of this LiDS. to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available lo City employees or to the general public, or (it) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

None

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Parly (cheek one)
- 1. 1] is Dfl is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Parry IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code, We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined to Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If ihe Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2»32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response ap»c; irs on the lines above, it wilt be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST JN CITY BUSINESS

Any words or terms tlirts are defined in Chapter 2-156 oT the M unicipal Code have the same meanings when used in this Part D.

J ■ In accordance with Section 2-156-110 of the Municipal Code: Docs any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[JYes {<]No

NOTE: If you cheeked "Yes" to Item D.1., proceed fo Items D.2. and D.3. If you cheeked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have o financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs lo the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process al the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Docs the Matter involve a City Property Sale?

(JYes | ]No

3. if you checked "Yes" to Item D.1 provide the names and business addresses of the City officials or employees having such interest and identify (ho nature of such interest:

Name business Address Nature of Interest

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4. The Disclosing Party further certifies lhat no prohibited financial interest in the Matter ivilt be acquired by any City official or employee

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party cheeks 2., the Disclosing Party must disclose below or in an attachment to dis EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may no sfce any contract entered into with the City in connection with the Matter voidable by the City.

- \* I- The Disclosing Tarty verifies that the Disclosing I'nrty bas searched any and all records of the Disclosing Party and any and ail predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery cm (including insurance policies issued lo slaveholders that provided coverage lor damage to or injury or death of their slaves), and the Disclosing Party lias found no such records.
- 2. The Disclosing forty verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all sbves or slaveholders described in those record:};

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If Ihe Matter is not federally landed, proceed to Section VII. For purposes of this Section VI. tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

L List below die names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to Ihe Matter: (Add sheets if necessary):

(If no explanation appears or begins on ihe lines above, or if the letters "NA" or if iho word "None\* appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A. I. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law. a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement. Or lo extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The l)isclii;;ing P.-irty will submit art updated ecii.il'ication al the cud of each calendar quarter in which there occurs any event that materially affects the accuracy of the stalcmeoss and information se? forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) if is not an organisation described ui section 501 (c)(4) of the Interna) Revenue Code of 19Sf>; or (ii) it is au organization described in section 501(c)(4) of the internal Revenue Code of 1986 hut has not engaged and will not engage in 'Lobbying Activities''.
- 5. irdie Disclosing Parly is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors be tore'it awards any subcontract and the Disclosing Party must maintain all such subcontractors\* certifications for the duration of the Matter and musi make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit ihc following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Parry the Applicant?

[] Yes [j No

If "Yes," answer the ihrec questions below:

1. Have you developed and do you have on file affirmative acttoo programs nuesuajjt to applicable federal regulations? (See 41 CFR Part 60-2.)

[]Ycs [JNo

- 2. Have you filed with the Joint Reporting Committee. Ihc Director of (he Office or Federa I Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? (1 Yes J No
- 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

11 Yes (JNo

If you checked "No" to question t. or 2. above, please provide an explanation:

Page 10 of 13

SECTION VII - ACKNOWf.I;"0C';MENTS. CONTRACT INCORPORATION. COMPLIANCE. PENALTIES. DISCLOSURE

The Disclosing Piiriy understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between she Applicant and the City in connection with the Matter, whether procurement. Cily assistance, or other City action, and are material inducements io the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances. Chapters 2\*156 and 2-1 64 of the Municipal Code, impose certain duties and obligations oat persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at wwwxhvofchicago.org/Ethics <a href="http://wwwxhvofchicago.org/Ethics">http://wwwxhvofchicago.org/Ethics</a>. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (31 2) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Mailer and/or declining to allow the Disclosing Party 10 participate in other transactions with the City. Remedies al law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. Ii is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may he made available 10 the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Mailer is a contract being handled by ihe City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject lo Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INEUGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kepi current for a longer period, as required by Chapter f-23 and Section 2-154-020 of the Municipal Code.

### The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is no I delinquent in the payment of any tax administered by die Illinois.

  Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property laxcsor sales tnxes.
- F.2 If the Disclosing Parly is Ihe Applicant, the Disclosing Party and Hs Affiliated entities will not use, nar permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from cny contractors/subcontractor? hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above urn! will not, without the prior written cossent of the City, use any such contractor/Kubcontractor that does not provide such certifications or that the Disclosing Party has reason to believe bas not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached <0 (his EDS-CERTIFICATION"

Under penalty of perjury, the person signing below: (1) warrants that he/ebe.is authorized to execute this ED S and Appendix A (if app licable) on behalf of the Disclosing Party, and (2) .wacrnnts that oil certifications and statements contained in this EDS and Appendix A (if applicable) ate true, accurate and complete as of the date furnished to the City.

Hams Keener LLC

(Print or type name ofpisclosing Party)

Stewart A. Hams .5.  $t-r^*$  11

(Print or type name of person signing)

Manager  $fJ_{,j.} e^{-} qj^{\wedge}.x$ , V (Print or type title of person signing)

Signed and sworn to before me on. (date)  $r^{pv}lr^{c} &< t \le r^{c} & 2^{c} & 2^{c} \le r^{c} & 2^{c} &$ 

Notary Public. £ GALLC1NDE1A NOTARY PU33JC - SWTE OF «JHDJS

Commission expires: o ° - / '

lYije 12 of 13"-"

### crry of Chicago F.CONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICI ALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (t>) any legal entity which bas a direct ownership interest in the Applicant exceeding 7.5 percent. It is not lo be completed by any legal entity which bas only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party most disclose whether such Disclosing Party or any "Applicable Part/" of any Spouse or Domestic Partner thereof currently has a "familial relationslwp" with any elected city official or department head. A "familial relationship" exists if, as ofthe date diis BDS is signed, ihc Disclosing Party or any "Applicable Party\* or any Spouse or Domestic Partner thereof is related to Ihc mayor, any alderman, the cily clerk, the city treasurer or any city detriment head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law\* mother-in-law, sonri\*toluw, daughter-in-law. stepfalher or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brolher or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section ILB.1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the DisclosingParty is a limited partnership; all manEgcrs, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Pony; and (3) any person having more than a 7,5 percent ownership imcrest in the Disclosing Party. "Principal officers' means the president, chief operating nfficer, executive director, cJiief finnircial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Docs the Disclosing Party or any "Applicable Party\*' or any Spouse or Domestic Partner thereof currently have a \*\*rantilia! relationship" with an elected city official of department head?

IJ Yes (XI No

If yes, please identify below (I) the name and title of such person. fJ2) the name of the legal entity to which such person is connected; 0) the name and title of ihe elected city official or deportment head to whom such person has a familial retotionship, and (4) the precise nature of such familial refaiionship.

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## CITY OF CHICAGO ECONOMIC DISCLOSURE .STATEMENT AND AFFIDAVIT APPENDIX B

### BUJLDINC CODE SCOFFLAWROBLKM CAJEDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any fcftal cnti<y which tniS a direct ownership interest in the Applicant exceeding 75 percent; (fin. "0\vrjcr\*L it is not fo.be <a href="http://fo.be">http://fo.be</a> completed by any legal en tity which has only an mdereel ownership inrcrc^t in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010. is the Applicant or any Owircx identified as a building code scoffklw or problem landEofd pursuant to Section 2-92-416 of the Municipal Code?

II Yes fX}No

2. If the Applicant is a Icgnl entity publicly traded on any exchange,, is anyoffioTordlrcctorof I'lk Applicant identified 3S a building code scoffla w or problem landlord purstrant to Section 2-92-416 ofthe Municipal Code?

( J Yes I J No OCIN'ol Applicable. :

3. If>«su>(l)orf^^tbpv^^ identified as a huildnig code scofllaw or problem landlord and Ihc address of the btriidfing or buildings to twftich the pertinent code violations apply.

KILLING OVTTBIS APPKPfDJQt II CONSTITUTES ACKNO WLET>GMEW AND AGREEMENT THAT TIMS APPENDIX It IS INCORPORATED BY REFERENCE 1NT\*0, AND MADE A PART OF^THE ASSOCIATED BUS, AND THAT THE REPRESEIMTATTONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.