

Office of the City Clerk

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Legislation Text

File #: O2016-7466, Version: 1

OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL MAYOR

October 5, 2016

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City is the owner of the vacant parcel of real property commonly known as 2130 South Grove Street, Chicago, Illinois, and legally described on Exhibit A attached hereto (the "Property"); and

WHEREAS, pursuant to ordinances adopted by the City Council of the City (the "City Council") on

June 10, 1998, and published in the Journal of the Proceedings of the City Council (the "Journal") for such date, (i) a certain redevelopment plan and project (the "Original Plan") for the Pilsen Industrial Corridor Tax Increment Financing Redevelopment Project Area (the "Area"), was approved pursuant to the Illinois Tax Increment Allocation Redevelopment Act, as amended (65 ILCS 5/11-74.4-1 et seq.) (the "Act"); (ii) the Area was designated as a redevelopment project area pursuant to the Act; and (iii) tax increment financing was adopted pursuant to the Act as a means of financing certain Area redevelopment project costs (as defined in the Act) incurred pursuant to the Original Plan; and

WHEREAS, pursuant to ordinance adopted by the City Council on November 12, 2003, and published in the Journal for such date, the City Council approved an amendment to the Original Plan (the Original Plan, as amended, the "First Amended Plan"); and

WHEREAS, pursuant to ordinance adopted by the City Council on September 1, 2004, and published in the Journal for such date, the City Council approved an amendment to the First Amended Plan (the First Amended Plan, as amended, the "Second Amended Plan"); and

WHEREAS, the Property is located in the Area; and

WHEREAS, Seadog Ventures, Inc., an Illinois corporation (the "Developer" or "Grantee"), has offered to purchase the Property for its current appraised fair market value of One Hundred Ninety-One Thousand Dollars (\$191,000); and

WHEREAS, the Developer intends to construct on the Property a landscaped, driveway access road to the South Branch of the Chicago River for use by its amphibious, commercial recreational vehicles, in accordance with all applicable laws, rules and regulations (the "Project"); and

WHEREAS, by Resolution No. 16-076-21, adopted by the Plan Commission of the City (the "Plan Commission") on August 18, 2016, the Plan Commission recommended the sale of the Property; and

WHEREAS, public notices advertising the intent of the City's Department of Planning and Development (the "Department") to enter into a negotiated sale with the Developer and requesting alternative proposals appeared in the Chicago Sun-Times on August 22 and 29, and September 5, 2016; and

WHEREAS, no alternative proposals have been received by the deadline indicated in the aforesaid notice; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The City Council hereby approves the sale of the Property to the Developer for the amount of One Hundred Ninety-One Thousand Dollars (\$191,000).

SECTION 2. The Mayor or his proxy is authorized to execute, and the City Clerk or the Deputy City Clerk is authorized to attest, one or more quitclaim deeds conveying the Property to the Developer. Without limiting the quitclaim nature of such deed, such conveyance shall be subject to (i) standard exceptions in an ALTA insurance policy; (ii) general real estate taxes and any special assessments or other taxes; (iii) easements, encroachments, covenants, restrictions and liens of record and not shown of record; (iv) such other title defects as may exist; and (v) any and all exceptions caused by acts ofthe Grantee or its agents.

Such conveyance also shall be subject to the following conditions and covenants, in substantially the

form set forth below, which are part of the consideration for the Property and which are to be taken and construed as running with the land and binding on the Developer and its successors and assigns:

FIRST: The City makes no covenant, representation or warranty, express or implied, of any kind, as to the structural, physical or environmental condition of the Property or the suitability of the Property for any purpose whatsoever, and Grantee agrees to accept the Property in its "as is," "where is" and "with all faults" condition.

SECOND: The Grantee shall not discriminate on the basis of race, color, sex, gender identity, age, religion, disability, national origin, ancestry, sexual orientation, marital status, parental status, military discharge status, or source of income in the sale, lease, rental, use or occupancy of the Property or any part thereof.

THIRD: The Grantee hereby waives and releases, and indemnifies the City from and against, any claims and liabilities relating to or arising from the structural, physical or environmental condition of the Property, including, without limitation, claims arising under the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended ("CERCLA"), and shall undertake and discharge all liabilities of the City arising from any structural, physical or environmental condition that existed on the Property prior to the date of this deed, including, without limitation, liabilities arising under CERCLA. The Grantee hereby acknowledges that, in purchasing the Property, Grantee is relying solely upon its own inspection and other due diligence activities and not upon any information (including, without limitation, environmental studies or reports of any kind) provided by or on behalf of the City or its agents or employees with respect thereto. If, after the date of this deed, the structural, physical and environmental condition of the Property is not in all respects entirely suitable for its intended use, it shall be the Grantee's sole responsibility and obligation to take such action as is necessary to put the Property in a condition which is suitable for its intended use.

FOURTH: Environmental Matters.

(a) If, in the opinion of the City's Department of Fleet and Facility Management ("DFFM"), based upon the Phase II Environmental Site Assessment reports provided by Grantee prior to Closing, an environmental condition exists on the Property of any exceedance of Illinois Environmental Protection Agency's ("IEPA") Tiered Approach to Corrective Action Objectives ("TACO") Section 742.305 criteria,

"Contaminant Source and Free Product Determination, the Grantee covenants and agrees to complete, within One Hundred Eighty (180) days of the date of this deed, all investigation, removal, response, disposal, remediation, confirmation sampling and other activities ("Remediation Work") necessary (6 remediate such exceedances.

- b) The Grantee shall cooperate and consult with the City at all relevant times (and in all cases upon the City's request) with respect to environmental matters. The Grantee shall promptly transmit to the City copies of all final environmental studies, reports, field data, confirmation samples, correspondence with any environmental agency and similar documents prepared by or for the Grantee (or otherwise obtained by the Grantee) regarding the environmental condition of the Property, prepared or received after the date hereof, including, without limitation, any written communications delivered to or received from the I EPA or other regulatory agencies with respect to the Remediation Work.
- c) The Grantee shall bear sole responsibility for all costs of the Remediation Work and the costs of any other investigative and cleanup costs associated with the Property.

- d) Upon the completion of the Remediation Work, the Grantee shall request from DFFM a Certificate of Compliance (the "Certificate of Compliance"). Within forty-five (45) days thereof, DFFM shall provide the Grantee with either the Certificate of Compliance or a written statement indicating in adequate detail how the Grantee has failed to complete the Project in compliance with this Agreement, or is otherwise in default, and what measures or acts are necessary, in the sole reasonable opinion of DFFM, for the Grantee to take or perform in order to obtain the Certificate of Compliance. The Certificate of Compliance shall be in recordable form, and shall, upon recording, constitute a conclusive determination of satisfaction and termination of this covenant with respect to the Grantee's obligation to complete the Remediation Work.
- e) If the Grantee fails to timely complete the Remediation Work, or obtain a Certificate of Compliance in connection with development of the Project, the City shall deliver written notice of such default, after which the Grantee shall have a 45-day cure period to remedy such default. If the default is not capable of being cured within the 45-day period, then provided the Grantee has commenced to cure the default and is diligently proceeding to cure the default within the 45-day period, and thereafter diligently prosecutes such cure through to completion, then the 45-day period shall be extended for the length of time that is reasonably necessary to cure the default. If the default is not cured in the time period provided for herein, the City may institute such proceedings at law or in equity as may be necessary or desirable to cure and remedy the default.
- SECTION 3. The closing of the conveyance of the Property from the City to the Developer shall be subject to such conditions as the Department shall determine, including, but not limited to, the following:
 - (a) The Grantee shall provide the City with a Phase I Environmental Site Assessment ("Phase I") of the Property completed within 180 days prior to the closing date and conducted in conformance with ASTM E 1527-13. DFFM shall have the right to review and approve the sufficiency of the Phase I report for the Property.
 - b) Upon the request of DFFM, the Grantee shall perform additional tests for the purpose of determining whether any environmental or health risks would be associated with the development of the Project on the Property, including, without limitation, updating or expanding the Phase I report(s) and performing initial or additional Environmental Site Assessment(s) (collectively, the "Reports"). The environmental consultant must provide a reliance letter naming the City of Chicago as an authorized user of the Reports.
 - c) The Grantee shall provide the City with a Phase II Environmental Site Assessment. DFFM shall have the right to review and approve to the sampling plan and results, and any site investigation reports prepared in connection therewith.
- SECTION 4. The Commissioner and the Managing Deputy Commissioner of the Department are each authorized to execute any ancillary closing documents on behalf of the City.

SECTION 5. The transfer of title of the Property from the City to the Developer must close on or before the date that is sixty (60) days following the date on which this ordinance is published in the Journal (the "Outside Closing Date"), unless the Commissioner in his sole discretion extends the Outside Closing Date. This ordinance shall be null and void with respect to any portion of the Property that has not yet been transferred to the Developer by the Outside Closing Date, as may be extended.

SECTION 6. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 7. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

SECTION 8. This ordinance shall take effect upon its passage and approval.

EXHIBIT A

Legal Description

(Subject to Final Title Commitment and Survey)

TRACT 1:

THAT PART OF LOT 3 IN BLOCK 34 IN CANAL TRUSTEES' SUBDIVISION OF THE WEST 1/2 OF SECTION 21, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTHEASTERLY OF THE SOUTHEASTERLY LINE OF TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO ACCORDING TO CIRCUIT COURT CASE GENERAL NUMBER 213462, IN COOK COUNTY, ILLINOIS, MORE PARTICULARLY DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHEAST CORNER OF SAID LOT 3; THENCE SOUTHERLY ALONG THE EASTERLY LINE OF SAID LOT 3 ON AN ASSUMED BEARING OF SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST, 35.80 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE NORTHERLY LINE OF SAID LOT 3, A DISTANCE OF 45.00 FEET; THENCE SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST ALONG A LINE PARALLEL WITH SAID EASTERLY LINE, 65.17 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE SOUTHERLY LINE OF LANDS DESCRIBED IN DEED DOCUMENT 1408522056, A DISTANCE OF 155.59 FEET TO THE WESTERLY LINE OF SAID LOT 3; THENCE NORTH 21 DEGREES 32 MINUTES 33 SECONDS EAST ALONG SAID WESTERLY LINE, 3.75 FEET TO SAID SOUTHEASTERLY LINE OF TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO; THENCE NORTH 27 DEGREES 19 MINUTES 22 SECONDS EAST ALONG SAID LINE, 22.34 FEET TO THE SOUTHERLY LINE OF LAND DESCRIBED IN DEED DOCUMENT 1408522056; THENCE SOUTH 65 DEGREES 23 MINUTES 51 SECONDS EAST ALONG SAID LINE, 102.71 FEET TO THE EASTERLY LINE OF SAID LAND DESCRIBED IN SAID DEED; THENCE NORTH 24 DEGREES 33 MINUTES 54 SECONDS EAST ALONG SAID EASTERLY LINE, 74.92 FEET TO THE NORTHERLY LINE OF SAID LOT 3; THENCE SOUTH 65 DEGREES 23 MINUTES 51 SECONDS EAST ALONG SAID NORTHERLY LINE, 97.00 FEET TO THE POINT OF BEGINNING.

SAID TRACT 1 CONTAINS 0.219 ACRE (9,553 SQUARE FEET). TRACT 2:

THAT PART OF LOTS 2, 3 AND 4 TAKEN AS A TRACT, IN BLOCK 34 IN CANAL TRUSTEES' SUBDIVISION OF THE WEST 1/2^0F SECTION 21, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING WEST OF THE WEST LINE OF A PARCEL OF LAND DESCRIBED IN DEED

DOCUMENT 13829166 AND LYING SOUTHEASTERLY OF THE SOUTHEASTERLY LINE OF THAT PART OF SAID TRACT CONVEYED TO THE SANITARY DISTRICT OF CHICAGO ACCORDING TO CIRCUIT COURT CASE GENERAL NUMBER 213462; EXCEPTING FROM THE ABOVE DESCRIBED TRACT THAT PART OF LOT 2 HERETOFORE CONVEYED AND DESCRIBED IN DOCUMENT 20716949; AND EXCEPTING THEREFROM THAT PART TAKEN FOR THE WIDENING OF WEST CERMAK ROAD, AND ALSO EXCEPT PART CONVEYED BY DEED DOCUMENT 1408522056, BY CITY OF CHICAGO TO LAWRENCE'S FISHERIES, INC., AND ALSO EXCEPT THAT PART OF SAID LOT 3 LYING NORTHERLY AND WESTERLY OF THE FOLLOWING DESCRIBED LINE:

COMMENCING AT THE NORTHEAST CORNER OF SAID LOT 3; THENCE SOUTHERLY ALONG THE EASTERLY LINE OF SAID LOT 3 ON AN ASSUMED BEARING OF SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST, 35.80 FEET TO THE POINT OF BEGINNING; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE NORTHERLY LINE OF SAID LOT 3, A DISTANCE OF 45.00 FEET; THENCE SOUTH 24 DEGREES 33 MINUTES 54 SECONDS WEST ALONG A LINE PARALLEL WITH SAID EASTERLY LINE, 65.17 FEET; THENCE NORTH 65 DEGREES 23 MINUTES 51 SECONDS WEST ALONG A LINE PARALLEL WITH THE SOUTHERLY LINE OF LANDS DESCRIBED IN DEED DOCUMENT 1408522056, A DISTANCE OF 155.59 FEET TO THE WESTERLY LINE OF SAID LOT 3, ALL IN COOK COUNTY, ILLINOIS.

SAID TRACT 2 CONTAINS 0.688 ACRE (29,950 SQUARE FEET)

Address: 2130 South Grove Street Chicago, Illinois 60616

17-21-334-006-0000 (part of)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

A. -S^ciftO^ \[t*hrtS XhC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. P(1 the Applicant

OR

- 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: OR
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: ^53Ta7 Gf^finf Pr\v7 ^ -Su-fV o?(^ OQ

File #: O2016-7466, Version: 1	
C. Telephone: 31 5.3,5f 1L>SS Fax: jtr? 7ill'Sl°\i Email: Lb^r»n£g/sfc^,,nr^tdCc^^-0<*>	
D. Name of contact person: 1^Uft<^ SoToY!	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):	(
G. Which City agency or department is requesting this EDS? Pc^f op Pki/VH/v^ \$ ^/e o^g/\f If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
Specification # and Contract #	
Page 1 of 13	
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS	
A. NATURE OF THE DISCLOSING PARTY	
[] Person [] Publicly registered business corporation J\$ Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust [] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?	

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

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3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[]Yes []No j)fJN/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

L List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

c-uj-^f-'-)

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in n corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address

Percentage Interest in the Disclosing Party

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SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any

City elected official in the 12 months before the date this EDS is signed?

[]Yes JtfNo

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Parry is uncertain whether a disclosure is required under thi6 Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Name (indicate whether retained or anticipated to be retained)

Business

Address

Relationship to Disclosing Party
(subcontractor, attorney,
lobbyist, etc.)

Fees (indicate whether
paid or estimated.) No "hourly rate" or "t.b.d,"

paid or estimated.) NOTE:
"hourly rate" or "t.b.d," is
not an acceptable response.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V CERTIFICATIONS

File	#:	O2016-7466	Version:	1
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A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes pfl No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [j No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section IF.B.l. of this EDS:
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the 'same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity or an Affiliated Entity of a Contractor during the five years' before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8, To the best of the Disclosing Parry's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

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9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" doe6 not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

- nMa

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is J)Q is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Parry IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Page 7 of 13

If the letters "NA," the word "None," or no" response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[JYes)dNo

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of

File #: O2016-7466	S, Version: 1	
	* '	Property Sale"). Compensation for property taken pursuant to the ancial interest within the meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[] No	
	ked "Yes" to Item D.l., provide the such interest and identify the nature	names and business addresses of the City officials or of such interest:
Name	Business Address	Nature of Interest
City official or emp	-	rohibited financial interest in the Matter will be acquired by any BUSINESS
	her 1. or 2. below. If the Disclosing EDS all information required by J	ng Party checks 2., the Disclosing Party must disclose below or in paragraph 2. Failure to
		Page 8 of 13
with the Matter vo	oidable by the City. ng Party verifies that the Disclosing	make any contract entered into with the City in connection
policies during the		nvestments or profits from slavery or slaveholder insurance olicies issued to slaveholders that provided coverage for damage to Party has found no such records.
Disclosing Party h	nas found records of investments or	of conducting the search in step i above, the profits from slavery or slaveholder insurance policies. The s full disclosure of all such records, including the names of any and

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VIL For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Parly has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A. I. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

File #: O2016-746	6, Version: 1	
[] Yes	[] No	
If "Yes," answer t	he three questions below:	
•	developed and do you 41 CFR Part 60-2.)	have on file affirmative action programs pursuant to applicable federal
[] Yes	[] No	
•	•	ing Committee, the Director of the Office of Federal Contract Compliance tunity Commission all reports due under the applicable filing requirements?
3. Have you popportunity clause		us contracts or subcontracts subject to the equal
[] Yes	[3 No	
If you checked "No	o", to question 1. or 2. abo	ove, please provide an explanation:

Page 10 of 13

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and

the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page II of 13

- F.I. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the DisclosingParty or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Parry is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

File	#:	$\Omega 20^{\circ}$	16-7	7466	Ver	sion:	1

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(Print or type name of Disclosing Party)

(Sign here)

(Print or type name of person signing)

Cfo

(Print or type title of person signing)

Signed and sworn to before me on (date) at Qqfc- County, 3^Vv^^

 $V < \blacksquare$

Commission expires: ^~>1^A^>

(state).

Notary Public.

M TRONGKAMSATAYA OFFICIAL SEAL . Notary Public, Slate of Illinois Mv Commission Expires $^{\lor}$ May 22, 2018 Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew,

grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section 1I.B. 1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members ofthe Disclosing Party, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes |>Q No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Yes J>r1No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[|Yes | | Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

- 2.J\$ a legal entity holding a direct or indirect interest in the Applicant. State the Segal name of the Applicant in which the Disclosing Party holds an interest: SUj>t}£r VeyrivrLT, ZT/)C.

 OR
- 3. I] a legal entity with a right of control (see Section II.B.I.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: Htt fJ CVrMprtrtf PU.Z<K. OrvvrC { Sv^-^OO C\\iCJHbG f 3L Lois U
- C. Telephone: $gl\ a-3af \sim 7\pounds$?: $T\ Fax: 3/3-^77-379/''$ $Email: ^<^(^g^^r^nA><^fcrvt^.C^$
- D. Name of contact person: Laxstu) Soj^at^
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Urease. OJ: (yrt\K. Cjr\\$ Oj-yyv^

G. Which City agency or department is requesting this EDS? tfr r^arforMtn-f 0"PPkon^ foyj O^ttio^fW^ If the Matter is a contract being handled by the City's Department of Procurement Services, please

File #: O2016-7466, Version: 1	
complete the following:	
Specification #	and Contract #
Page 1 of 13	
SECTION II - DISCLOSURE OF OWNERSH	IP INTERESTS
A. NATURE OF THE DISCLOSING PARTY	
1. Indicate the nature of the Disclosing Part [] Person [] Publicly registered business corporation J\$ Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	y: [] Limited liability company [j Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign co	untry) of incorporation or organization, if applicable;
3. For legal entities not organized in the State of Illinois as a foreign entity? C^idt^ On TM_^Y []Yes ^No []N/A	ate of Illinois: Has the organization registered to do business in the State >JV\i otJL^uiiityM* up^rzrjf? er\\$
B. IF THE DISCLOSING PARTY IS A LEGA	L ENTITY:
profit corporations, also list below all members, members." For trusts, estates or other similar en If the entity is a general partnership, limited joint venture, list below the name and title of ea	l executive officers and all directors of the entity. NOTE: For not-for- if any, which are legal entities. If there are no such members, write "no utities, list below the legal titleholder(s). partnership, limited liability company, limited liability partnership or ch general partner, managing member, manager or any other person or of the Disclosing Party. NOTE: Each legal entity listed below must
Name q Title /WUn^rrrfefc r T.S. mtefe>	Txrt-cfrr x>**rhc

File	#:	02016-746	 Version: 1 	

2. Please provide the following information concerning each person or entity having a director indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None," NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago C'Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the p - Disclosing Party $^{\wedge}$

S/rk QtsttAtn

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes ^rj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action oh behalf of any person or entity other than: (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must

File #: O2016-7466, Version: 1
either ask the City whether disclosure is required or make the disclosure.
Page 3 of 13
retained or anticipated to be retained)
(subcontractor, attorney, lobbyist, etc.)
paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
CkiCA6xj XL (q uLv 3
(Add sheets if necessary)
[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.
SECTION V - CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
P\$ No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- «any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public
 officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or
 local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. <•

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

hilh

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

td&

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. f] is is not

ii "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Page 7 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will'be conclusively

File #: O2016-7466, Vers	sion: 1	
presumed that the Disclo	osing Party certified to the abo	eve statements.
D. CERTIFICATION RI	EGARDING INTEREST IN C	CITY BUSINESS
Any words or terms that used in this Part D.	are defined in Chapter 2-156	of the Municipal Code have the same meanings when
		funicipal Code: Does any official or employee of the City have a e of any other person or entity in the Matter?
NOTE: If you checked ""Part E.	Yes" to Item D.l., proceed to I	Items D.2. and D.3. If you checked "No" to Item D.1., proceed to
employee shall have a fin purchase of any property legal process at the suit o	nancial interest in his or her over that (i) belongs to the City, or fithe City (collectively, "City I	e bidding, or otherwise permitted, no City elected official or wn name or in the name of any other person or entity in the r (ii) is sold for taxes or assessments, or (iii) is sold by virtue of Property Sale"). Compensation for property taken pursuant to the ancial interest within the meaning of this Part D.
Does the Matter involve	a City Property Sale?	
[]Yes	[] No	
· ·	Yes" to Item D.l., provide the ridentify the nature of such into	names and business addresses of the City officials or employees erest:
Name	Business Address	Nature of Interest
4. The Disclosing Par City official or employee	*	rohibited financial interest in the Matter will be acquired by any
	GARDING SLAVERY ERA	BUSINESS
Please check either 1.	or 2. below. If the Disclosin sall information required by p	ng Party checks 2., the Disclosing Party must disclose below or in

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party

and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

File #: O2016-7466, Version: 1
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
t] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federaregulations? (See 41 CFR Part 60-2.) t] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [] No
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? I] Yes [] No
If you checked "No" to question 1. or 2. above, please provide an explanation:
Page 10 of 13

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRO.org/Ethics http://www.cityofchicaRO.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are-the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and

will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)

(Sign here)

Lftuviryj &as~<xr

(Print or type name of person signing)

Oh if p/va.fyruci $Or \sim p_L \leq UT$

(Print or type title of person signing)

Signed and sworn to before me on (date) _ at _ CVm'fc- _ County, -£\\nV-Q^

Commission expires:

(slate).

Notary Public.

M TflONGKAMSATAYA OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires May 22, 2018

Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent It is not to be completed by any legal entity which has

only an indirect ownership interest in the Applicant

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (I) all executive officers of the Disclosing Party listed in Section U.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Parry, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party, and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAYV7PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

File #: O2016-7466, Version: 1		
[] Yes	[]No	
		any exchange, is any officer or director of the Applican landlord pursuant to Section 2-92-416 ofthe Municipa
[] Yes	[3 No	[3 Not Applicable
3. If yes to (1) or (2) above, pridentified as a building of which the pertinent code	code scofflaw or problem l	ame of the person or legal entity landlord and the address of the building or buildings to
THAT THIS APPENDIX THE ASSOCIATED EDS	K B IS INCORPORATED I S, AND THAT, THE REPI E CERTIFICATION MAD EDS. CIT	ES ACKNOWLEDGMENT AND AGREEMENT BY REFERENCE INTO, AND MADE A PART OF, RESENTATIONS MADE IN THIS APPENDIX B E UNDER PENALTY OF PERJURY ON PAGE 12 TY OF CHICAGO ECONOMIC
	DISCLOS	SURE STATEMENT AND AFFIDAVIT
SECTION I - GENERAL INFO	ORMATION	
A. Legal name of the Disclosing	Party submitting this EDS. I	nclude d/b/a/ if applicable:
Entertainment Cruises Holdings l	LLC	
Check ONE of the following thr	ree boxes:	
2. Applicant, in which the Dis	direct or indirect interest in sclosing Party holds an interest in the following the following Party holds are interested in the following party of the following party in the followin	the Applicant. State the legal name of the
B. Business address of the Disclo	osing Party: 111 South Wa	acker Drive. Suite 4000
	Chica	ego, IL 60606
C. Telephone: (312) 447-6000	Fax: (312)447-6006	Email: b west@pr itzkergroup.com

File #: O2016-7466, Version: 1		
D. Name of contact person: Brad West	' I	J
E. Federal Employer Identification No. (if you h		I
F. Brief description of contract, transaction or of EDS pertains. (Include project number and locate	• `	he "Matter") to which this
1 apH pnrrhagp at Grnvp # Ormak		
G. Which City agency or department is requesting th	is EDS? r\yy of Chir-ago Dept of Planning	& Development
If the Matter is a contract being handled by the following:	ne City's Department of Procurement Se	ervices, please complete the
Specification ii	and Contract #	
Page 1 of 13		
SECTION II - DISCLOSURE OF OWNERSHI	P INTERESTS	
A. NATURE OF THE DISCLOSING PARTY		
]. Indica	ate the nature of the Disclosing Par
 [] Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust 		
[X] Limited liability company [] Limited.liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3) [] Yes [] No [] Other (please specify)))?	

File #: O2016-7466,	Version: 1		
2. For legal entire	ties, the state (or foreign	country) of incorporation or organization, if applicable:	
Dfilawarp			
3. For legal entir State of llinois as a f	•	State of lllinois: Has the organization registered to do business in the	e
[] Yes	fed No	[] N/A	
13. IF THE DISCLO	OSING PARTY IS A LEG	GAL ENTITY:	
corporations, also lis members." For trusts If the entity is a g venture, list below th	st below all members, if a s, estates or other similar general partnership, limite ne name and title of each to-day management of the	all executive officers and all directors of the entity. NOTE: For not- ny, which are legal entities. If there are no such members, write "no entities, list below the legal titleholder(s). In the partnership, limited liability company, limited liability partnership general partner, managing member, manager or any other person of the Disclosing Party. NOTE: Each legal entity listed below must sub-	o ip or joint r entity
Name	Title		
Anthony N Prir/kpr		Investor Manager	
.T.fi. Pril/ker		Inv^tnr Manager	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name **Business Address** Percentage Interest in the **Disclosing Party**

TP Group-EC LLC HIS. Wacker Dr.: Ste. 4000Chicago. II. 60606 75% Goodman's Bay Corporate Centre, 1st Floor Aman 2 Capital Holdings Limited

Goodman's Bay Corporate Centre, 1st Floor Morcau Capital Holdings Limited

SITe(., p 0 ^3933. Nassau. The Bahamas 9-40/0

Wes, Bay

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes M'No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Name (indicate whether retained or anticipated, to be retained)

Business

Address

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.) paid or estimate "hourly rate" or

Fees (indicate whether

paid or estimated.) NOTE:

"hourly rate" or "t.b.d." is

not an acceptable response.

File #: O2016-7466,	Version: 1	
(Add sheets if neces	sary)	
	• ,	
[x] Check here if	the Disclosing	g Party has not retained, nor expects to retain, any such persons or entities
SECTION V - CER	RTIFICATION	NS
A. COURT-ORDE	RED CHILD S	UPPORT COMPLIANCE
•		2-92-415, substantial owners of business entities that contract with the City must ild support obligations throughout the contract's term.
· -		irectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any inois court of competent jurisdiction?
[] Yes	[xj No	[] No person directly or indirectly owns 10% or more ofthe Disclosing Party.
If "Yes," has the per compliance with tha		o a court-approved agreement for payment of all support owed and is the person in
f]Yes	f 1 No	
B. FURTHER CER	TIFICATION	S
terms (e.g., "doing be doing business with person is currently in	usiness") and lot the City, then t ndicted or charg	Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined egal requirements), if the Disclosing Party submitting this EDS is the Applicant and is the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling ged with, or has admitted guilt of, or has ever been convicted of, or placed under the involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery,

person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for. any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

Page 4 of 13

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS. had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any

Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with, respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any slate or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

File	#:	O2016-7466,	Version: 1	1
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8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all
current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date
of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or
"none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

J/A	
	_

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [xj is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

NJ/A

Page 7 of 13

File #: O2016-7466, Ve	ersion: 1	
	ne word "None," or no response a sclosing Party certified to the abo	appears on the lines above, it will be conclusively ove statements.
D. CERTIFICATION	REGARDING INTEREST IN	CITY BUSINESS
Any words or terms thused in this Part D.	nat are defined in Chapter 2-156	ofthe Municipal Code have the same meanings when
		unicipal Code: Does any official or employee of the City have a e of any other person or entity in the Matter?
NOTE: If you checked Part E.	d "Yes" to Item D.l., proceed to I	Items D.2. and D.3. If you checked "No" to Item D. 1., proceed to
employee shall have a purchase of any proper legal process at the sui	financial interest in his or her overty that (i) belongs to the City, or t ofthe City (collectively, "City I	e bidding, or otherwise permitted, no City elected official or vn name or in the name of any other person or entity in the (ii) is sold for taxes or assessments, or (iii) is sold by virtue of Property Sale"). Compensation for property taken pursuant to the ncial interest within the meaning of this Part D.
Does the Matter involv	ve a City Property Sale?	
[] Yes	[] No	
-	"Yes" to Item D.l., provide the nature	names and business addresses of the City officials or of such interest:
Name	Business Address	Nature of Interest
4. The Disclosing I City official or employ	-	rohibited financial interest in the Matter will be acquired by any
E. CERTIFICATION F	REGARDING SLAVERY ERA	BUSINESS
	DS all information required by p	g Party checks 2., the Disclosing Party must disclose below or in paragraph 2. Failure to Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by,the City.

File	#:	020°	16-	7466	V	ersion	: 1

- X I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, lax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

File #: O2016-7466, Ve	rsion: 1
•	Party will submit an updated certification at the end of each calendar quarter in my event that materially affects the accuracy of the statements and information set A.l. and A.2. above.
Revenue Code of 1986;	Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 will not engage in "Lobbying Activities".
substance to paragraphs Disclosing Party must r	g Party is the Applicant, the Disclosing Party must obtain certifications equal in form and A.l. through A.4. above from all subcontractors before it awards any subcontract and the naintain all such subcontractors' certifications for the duration of the Matter and must make such available to the City upon request.
B. CERTIFICATION R	EGARDING EQUAL EMPLOYMENT OPPORTUNITY
•	funded, federal regulations require the Applicant and all proposed subcontractors to submit on with their bids or in writing at the outset of negotiations.
Is the Disclosing Party	he Applicant?
[] Yes	[xl No
If "Yes," answer the thr	ee questions below:
1. Have you devel regulations? (See 41 CF	oped and do you have on file affirmative action programs pursuant to applicable federal R Part 60-2.) [] No
· ·	with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Employment Opportunity Commission all reports due under the applicable filing requirements? [] No
3. Have you partic	ipated in any previous contracts or subcontracts subject to the equal

If you checked "No" to question 1. or 2. above, please provide an explanation:

[] No

opportunity clause?

[]Yes

Page 10 of 13

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Parly waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Parly represents and warrants that:

Page 11 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes."
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 if the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Entertainment Cruises Holdings LLC (Print or tync naiue of Disclosing Party)

(S/ign here)/

1.R Prit?krr (Print or type name of person signing)

Iru/.eslnr Manager.
(Print or type title of person signing)

Signed and sworn to before me on (date) November 9, 2015 at ppoif County, Illinois (state).

Notary Public.

OFFICIAL 66AU DIANNE M. CHIAPPETTI. NOTARY PUBLIC, STATE OF IUIN01S r COMMISSION EXI Commission expires: January 17, 2016

/-If/fyJ lv&<

vk>/W

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (l) all executive officers of the Disclosing Party listed in Section N.F.J.l.a., if the Disclosing Party is a corporation; all partners'-of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (I) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

File	#:	O2016-7466,	Version: 1	1
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Page 13 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE S	SCOFFLAW7PROBLEM I	ANDLORD CERTIFICATION
ownership interest in the A		e Applicant, and (b) any legal entity which has a direct ent (an "Owner"). It is not to be completed by any legal the Applicant.
_		is the Applicant or any Owner identified as a building code n 2-92-416 of the Municipal Code?
[]Yes	[XI No	
		on any exchange, is any officer or director of the Applican em landlord pursuant to Section 2-92-416 ofthe Municipa
[JYes	JNo	fX J Not Applicable
3. If yes to (1) or (2) abo	ve, please identify below the	e name of the person or legal entity

identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDLX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PACIF. WITH YOUR EDS. The purpose of his page is tor you to recertify your EDS prior to submission to City Council or on the date oft-losing. If" unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City C ouncil matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Land Purchase at Grove and

Cermak

[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party. (2) warrants that all certifications and statements contained in the Disclosing Party s original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification. and <3) reaffirms its acknowledgments.

Entertainment Cruises Holdings LLC

(Print or type legal name of Disclosing Party i Bv:

(sign yiere) Print or type name of signatory: J.B. Pritzker

Title of signatory: Investor Manager

Signed and sworn to before me on fdaiej September 30,2016 b>

" rfS. Pritzker . at Cook IL County.

Notary Public.

0IANNE M CHIAPPETTI Otticiai Seal Notary PutJiic - State ol Himois My Commission Expnes Jan W ?0?0

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: TP Group-EC

LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
- 2. f(J a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Seadog Ventures OR

File #: O2016-7466, Version: 1	
3. [] a legal entity with a right of control (see Section II.B. which the Disclosing Party holds a right of control:	,
B. Business address of the Disclosing Party: Suite 4000 Chicago,	~~~'
C. <u>Telephone</u> : 312-447-6000	Email: sntflson@priizkergroup.com
<pre><mailto:sntflson@priizkergroup.com></mailto:sntflson@priizkergroup.com></pre>	
D. Name of contact person: Sharon Nelson	
E. Federal Employer Identification No. (if you have one):/	/"
F. Brief description of contract, transaction or other undertakin EDS pertains. (Include project number and location of property	g (referred to below as the "Matter") to which this
Land purchase at Grove & Cermak	
G. Which City agency or department is requesting this EDS? City	y of Chicago Dept of Planning & Development
If the Matter is a contract being handled by the City's Depart following:	tment of Procurement Services, please complete the
Specification # . and Contra	act#
Page 1 of 13	
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS	S
A. NATURE OF THE DISCLOSING PARTY	
"]Person] Publicly registered business corporation] Privately held business corporation] Sole proprietorship ' } General partnership \} Limited partnership '] Trust [X] Limited liability company [] Limited liability partnership	

[] Joint venture

File #: O2016-7466	, Version: 1	
[] Not-for-profit co (Is the not-for-profit I] Yes [] Other (please spe	t corporation also a 501(c)([] No	5))?
2, For legal entit	ties, the state (or foreign co	antry) of incorporation or organization, if applicable: Delaware
3. For legal ent State ofIllinois as a		ate of lllinois: Has the organization registered to do business in the
[] Yes	[x] No	(] N/A
B. IF THE DISCLO	OSING PARTY IS A LEGA	AL ENTITY:
corporations, also l members." For trus If the entity is a venture, list below	ist below all members, if are its, estates or other similar engeneral partnership, limited the name and title of each great-day management of the	Il executive officers and all directors ofthe entity, NOTE: For not-for-profit y, which are legal entities. If there are no such members, write "no ntities, list below the legal titleholdcr(s). partnership, limited liability company, limited liability partnership or joint eneral partner, managing member, manager or any other person or entity Disclosing Party. NOTE: Each legal entity listed below must submit an
Name Title' Jabodon PT Compa	any	Managing Member
interest (including o	_	a concerning each person or entity having a direct or indirect beneficial of ofthe Disclosing Party. Examples of such an interest include shares in a proposition or joint venture, Page 2 of 13
similar entity. If no	one, state "None." NOTE: F '), the City may require any	iability company, or interest of a beneficiary of a trust, estate or other ursuant to Section 2-154-030 of the Municipal Code of Chicago such additional information from any applicant which is reasonably
Name	Business Address	Percentage Interest in the Disclosing Party

HIS. Wacker Drive, Sie 4000, Chicago, IL 60606 50%

Jay Robert Pritzker

File #: O2016-7466,	Version: 1		
Anthony N. Priizker	Hill Santa Monica Rlvd. Ste 1650. Los Angeles. CA 90022	50%	

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes [xj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Name (indicate whether Business retained or anticipated Address to be retained)
Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)
Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

	,	
(Add sheets if ne	cessary)	
f<] Check here	if the Disclos	sing Party has not retained, nor expects lo retain, any such persons or entities
SECTION V - C	ERTIFICATI	ONS
A. COURT-ORD	DERED CHILD	SUPPORT COMPLIANCE
		on 2-92-41 5, substantial owners of business entities that contract with the City must child support obligations throughout the contract's term.
• •	•	indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any Illinois court of competent jurisdiction?
[] Yes	IX] No	[] No person directly or indirectly owns 10% or more ofthe Disclosing Party.
If "Yes," has the properties of the state of	•	into a court-approved agreement for payment of all support owed and is the person in $?_{N/A}$
[] Yes	[] No	
B. FURTHER C	ERTIFICATIO	NS
terms (e.g., "doing doing business wi person is currently supervision for, ar perjury, dishonest	g business") and th the City, the y indicted or ch ny criminal offe y or deceit again	de Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined d legal requirements), if the Disclosing Party submitting this ED'S is the Applicant and is not the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling arged with, or has admitted guilt of, or has ever been convicted of, or placed under ense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, inst an officer or employee of the City or any sister agency; and (ii) the Applicant nat compliance with Article I is a continuing requirement for doing business with the

Page 4 of 13

year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Parly and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B. 1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

City. NOTE: If Article 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-

b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or

performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or slate antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation:

interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public
officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or
local government in the United States of America, in that officer's or employee's official capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d: violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

F	ile	#:	O2016-7466,	Version: 1
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9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (j) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [>3 is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

Page 7 of 13

If the letters. "N A," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes	ffl No
--------	--------

File #: O2016-7466	5, Version: 1	
NOTE: If you chec Part E.	cked "Yes" to Item D. 1., proceed to	Items D.2. and D.3. If you checked "No" to Item D.1., proceed to
employee shall hav purchase of any pro- legal process at the	re a financial interest in his or her own operty that (i) belongs to the City, or suit of the City (collectively, "City F	bidding, or otherwise permitted, no City elected official or on name or in the name of any other person or entity in the (ii) is sold for taxes or assessments, or (iii) is sold by virtue of property Sale"). Compensation for property taken pursuant to the incial interest within the meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[] No	
	xed "Yes" to Item D.lprovide the nar	nes and business addresses ofthe City officials or employees rest:
Name	Business Address	Nature of Interest
4. The Disclosir City official or emp		ohibited financial interest in the Matter will be acquired by any
E. CERTIFICATIO	ON REGARDING SLAVERY ERA	BUSINESS
	her 1. or 2. below. If the Disclosing is EDS all information required by p	g Party checks 2., the Disclosing Party must disclose below or in aragraph 2. Failure to
	F	Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- _X i xhe Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

File	#:	02016	-7466	Vers	sion:	1
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SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1 995 have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A. 1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

File #: O2016-7466, Vo	ersion: 1
B. CERTIFICATION	REGARDING EQUAL EMPLOYMENT OPPORTUNITY
	ly funded, federal regulations require the Applicant and all proposed subcontractors to submit ion with their bids or in writing at the outset of negotiations.
Is the Disclosing Party	the Applicant?
[] Yes	[] No
If "Yes," answer the th	ree questions below:
1. Have you devergulations? (Sec 41 C	loped and do you have on file affirmative action programs pursuant to applicable federa FR Part 60-2.) [] No
•	with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Employment Opportunity Commission all reports due under the applicable filing requirements? [] No
3. Have you partic clause?	sipated in any previous contracts or subcontracts subject to the equal opportunity
[] Yes	[] No
If you checked "No" to	question 1. or 2. above, please provide an explanation:

Page 10 of 13

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.citYofchicago.org/Ethics http://www.citYofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City, Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available lo the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois ...Department of Revenue, nor are the Disclosing Party or its .Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.I., F.2. or F.3. above, an explanatory

statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City. TP Group-EC LLC

By: Jabodon PT Company, its Managing Member

(Print or type name of person signing)

President

(Print or type title of person signing)

Page 12 of 13

Signed and sworn to before me on (date) /UflifVw 4v- 9. Jo

at COOK County, . ILLINOIS (state).

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant

Under Municipal Code Section 2-154-015, the Disclosing Party must disclosewhelher such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe dale this EDS is signed, the Disclosing Party

or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. I .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head lo whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

J. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Yes [X]No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

File #	: O2016-7466, Vers i	ion: 1					
	[]Yes		[]No	[X] N	ot Applica	ble	
3.						egal entity identified as a build by which the pertinent code vio	
	THAT THIS APPI THE ASSOCIATE	ENDIX B IS IN ED EDS, AND T	CORPORAT	TED BY REFE REPRESENTA	RENCE I	GMENT AND AGREEMEN NTO, AND MADE A PART IADE IN THIS APPENDIX	T OF, B
City (OFTHE ASSOCIANO I SUBMIT THIS PA	ATED EDS. AGE WITH YOU	JR EDS. The pu	ırpose oflhis pag	e is tor you	TY OF PERJURY ON PAG to recertify your EDS prior to sul y must complete a new iDS w i	bmission to
			RE	CERTIFICATIO	N		
Gener	rally, for use with City	Council matters. 1	Not for City pro	ocurements unles	s requested.		
<u>Γhis r</u>	ecertification is being s	submitted in conn	ection with Lar	nd Purchase at Gr	ove and		
ecerti origin	ity the Matter]. Under pification on behalf of th	te Disclosing Part te and complete a	y. (2) warrants as ofthe date fur	that all certificat mished to the Cit	ions and sta	ai he/she is authorized to execute tements contained in the Disclosi nue to be true, accurate and comp	ing Party's
TP (Group-EC LLC By: Jab	ondon PT Compa	ny, its Managir	ng Member	Q	September 30. 2016	
sien l	•	•			`	•	
	t or type legal name of	Disclosing Party 1	I Bv:				
Prim (or type name of signato	ry:					
.B. P	ritzker						
Γitle α	of signatory: President						
Signe	d andsworn to before m	ne on [date) Stpte	embe. :>0, 2010	<u>5 ^</u>			
	<u>. rh'-tjtW</u> r∖ Public.	-at Cook	County	<u>. II</u>			

0IANNE M CHIAPPETTI Oldcial Seal Notify Public - State of Illinois My Commission Ewes Jan " ?0?0

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A.	Legal	name	of	ihe	Disclosing	Party	submitting	this	EDS.	Include	d/b/a/	if	applicable:	Jabodon	PT
Со	mpany '														

Check ONE of the following three boxes:

Indicate whether the Disclo	sing Party sub	mitting this	EDS is:
-----------------------------	----------------	--------------	---------

- 1. [] the Applicant
 - OR
- 2. fX] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Seadog Ventures

OR

3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:

HIS. Wacker Drive

B. Business address of the Disclosing Party: Suite 4000

Chicago, TT6156Tj^

- C. Telephone: 312^t47-6000 Fax: 312-447-6006 Email: snelson@prit7.kergroup.com <mailto:snelson@prit7.kergroup.com>
- D. Name of contact person: Sharon Nelson
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Land purchase at Grove & Cermak

G. Which City agency or department is requesting this EDS? City of Chicago Dept of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

File #: O2016-7466, Versio	n: 1			
Page 1 of 13				
SECTION II DISCLOSI	URE OF OWNERS	SHIP INTERESTS		
A. NATURE [] Person [] Publicly registered busine [] Sole proprietorship [] General partnership [] Limited partnership [J Trust		SING PARTY 1. Ind [] [] [] (Is	dicate the nature of the Disclosing Party: Privately held business corporation	[]
Limited liability company L Not-for-profit corporation the not-for-profit corporation [] Yes [] Other (please specify)	n also a 501(c)(3))	•	;	
2. For legal entities, th Delaware	e state (or foreign o	country) of incorporat	tion or organization, if applicable:	
3. For legal entities no State of Illinois as a foreign	•	State of Illinois: Has t	he organization registered to do business in the	
f<] Yes	[] No	[] N/A		
B. IF THE DISCLOSING F	PARTY IS A LEGA	AL ENTITY:		
profit corporations, also list members." For trusts, estate If the entity is a general p venture, list below the name	below all member s or other similar e partnership, limited and title of each g	s, if any, which are le entities, list below the I partnership, limited I general partner, manag	and all directors of the entity. NOTE: For not-forgal entities. If there are no such members, write 'legal titleholder(s). liability company, limited liability partnership orging member, manager or any other person or ent DTE: Each legal entity listed below must submit a	"no joint tity
Name Title Jay Robert Pritzker Anthony N. Pritzker	I > Director	President, Director		
•				

File #: O2016-7466, V	ersion: 1		
Eric A. Schreiner	Vice Presid	dent	
interest (including own		ng each person or entity having a direct of isclosing Party. Examples of such an inteventure,	
	Page 2 of	f 13	
similar entity. If none	e, state "None." NOTE: Pursuant to the City may require any such addi	mpany, or interest of a beneficiary of a trop Section 2-154-030 of the Municipal Coditional information from any applicant when	e of Chicago
Name	Business Address	Percentage Interest in the Disclosing Party	
Jay Robert Pritzker	HIS. Wacker Drive, Ste 4000, Ch	nicago, IL 60606 50%	
Anthony N. Priuker	11111 Santa Monica Blvd. Ste	1650. Los Angeles. CA 90025	5jQ%
SECTION III BUS	SINESS RELATIONSHIPS WIT	H CITY ELECTED OFFICIALS	
_	Party had a "business relationship the 12 months before the date this	p," as defined in Chapter 2-156 ofthe M s EDS is signed?	funicipal Code, with any
[]Vac	[v] No		

[] Yes [yJ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any

File #: O2016-7466, Vers	ion: 1		
person or entity any part of administrative action.	of whose dut	ies as an employee of another includ	es undertaking to influence any legislative or
		whether a disclosure is required und is required or make the disclosure.	er this Section, the Disclosing Party must
		Page 3 of 13	
Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc,.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary) {X] Check here if the		Party has not retained, nor expo	ects to retain, any such persons or entities.
SECTION V - CERTIF	ICATIONS		
A. COURT-ORDERED	CHILD SUP	PORT COMPLIANCE	
_		22-4] 5, substantial owners of busine support obligations throughout the o	ss entities that contract with the City must contract's term.
* -	•	ectly owns 10% or more of the Disclosis court of competent jurisdiction?	osing Party been declared in arrearage on any
[] Yes ^L]	-	No person directly or indirectly ow Disclosing Party.	ns 10% or more of the
If "Yes," has the person e compliance with that agree		court-approved agreement for payn	nent of all support owed and is the person in
[]Yes []N	No		
D ELIDTHED CEDTIEIO	CATIONS		

FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1 -23, Article 1 ("Article 1")(which the Applicant should consult for defined

terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

Page 4 of 13

- 2. The Disclosing Party and; if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B. 1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the

term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant lo the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

File #: O2016-7466.	Version:	1
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Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing-Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gifl" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [yi is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

File #: O2016-7466, Vers i	ion: 1	
	Page 7	of 13
	ord "None," or no response sing Party certified to the ab	appears on the lines above, it will be conclusively ove statements.
D. CERTIFICATION RE	EGARDING INTEREST IN	CITY BUSINESS
Any words or terms that a used in this Part D.	are defined in Chapter 2-156	of of the Municipal Code have the same meanings when
		Municipal Code: Does any official or employee of the City have a ne of any other person or entity in the Matter?
NOTE: If you checked "Y Part E.	Yes" to Item D.l., proceed to	Items D.2. and D.3. If you checked "No" to Item D.1., proceed to
employee shall have a fina purchase of any property t legal process at the suit of	ancial interest in his or her of that (i) belongs to the City, of the City (collectively, "City	we bidding, or otherwise permitted, no City elected official or own name or in the name of any other person or entity in the or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of Property Sale"). Compensation for property taken pursuant to the ancial interest within the meaning of this Part D.
Does the Matter involve a	City Property Sale?	
[] Yes	[] No	
•	es" to Item D.l., provide the terest and identify the nature	names and business addresses of the City officials or e of such interest:
Name	Business Address	Nature of Interest
4. The Disclosing Part City official or employee.	y further certifies that no p	prohibited financial interest in the Matter will be acquired by any
E. CERTIFICATION REC	GARDING SLAVERY ERA	ABUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

File	#:	02016	3-7466.	Vers	sion:	1
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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

File #: O2016-7466, Version: 1				
•	Party will submit an updated certification at the end of each calendar quarter in which there materially affects the accuracy of the statements and information set forth in paragraphs A.l. and			
Revenue Code of 1986	Party certifies that cither: (i) it is not an organization described in section 501(c)(4) of the Internal c; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 d will not engage in "Lobbying Activities".			
substance to paragraph Disclosing Party must	g Party is the Applicant, the Disclosing Party must obtain certifications equal in form and s A.l. through A.4. above from all subcontractors before it awards any subcontract and the maintain all such subcontractors' certifications for the duration of the Matter and must make such available to the City upon request.			
B. CERTIFICATION	REGARDING EQUAL EMPLOYMENT OPPORTUNITY			
	ly funded, federal regulations require the Applicant and all proposed subcontractors to submit ion with their bids or in writing at the outset of negotiations.			
Is the Disclosing Party	the Applicant?			
[] Yes	[] No			
If "Yes," answer the th	ree questions below:			
1. Have you developed regulations? (See 41 CI	loped and do you have on file affirmative action programs pursuant to applicable federal FR Part 60-2.) [] No			
· · · · · · · · · · · · · · · · · · ·	with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Employment Opportunity Commission all reports due under the applicable filing requirements? [] No			
3. Have you partic	ipated in any previous contracts or subcontracts subject to the equal opportunity			

If you checked "No" to question 1. or 2. above, please provide an explanation:

[] No

clause?

Page 10 of 13

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaRo.org/Ethics http://www.cityofchicaRo.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response lo a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter I -23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing. Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any ofthe items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Jabodon PT Company

(Print or type name of person signing)

President

(Print or type title of person signing)

Signed and sworn to before me on (date) Nttl/gmtyr*). 3otfT, at COOK County, ILLINOIS (state). OFFICIAL S6AL DIANNE M.' CWPPETTI
NOTARY PUBUC STATE OF ILLINOIS tty COMMISSI C«JXPIRESJ^2^

Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother -in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.I.a., if die Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [yj No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only

File #: O2016-7466, Version: 1			
an indirect ownership interest in	the Applicant.		
		ne Applicant or any Owner identified 92-416 of the Municipal Code?	l as a building code
[]Yes	[XJ No		
		on any exchange, is any officer or adlord pursuant to Section 2-92-416	
[]Yes	I] No	IX] Not Applicable	
	ode scofflaw or problem la	ame of the person or legal entity andlord and the address of the buildin	g or buildings to which the
THIS APPENDIX B IS II ASSOCIATED EDS, AN SUBJECT TO THE CER ASSOCIATED EDS. (DO NOT SUBMIT THIS PAG Li	NCORPORATED BY RED D THAT THE REPRESE TIFICATION MADE UN WITH YOUR F.DS. The pu	ES ACKNOWLEDGMENT AND AGERENCE INTO, AND MADE A PROTECTIONS MADE IN THIS APPEADER PENALTY OF PERJURY ON spose of this page is lor you in recertify youthfully, the Disclosing Party must compared to the process of the	ART OF, THE NDIX B ARE PAGE 12 OF THE Your EDS prior to submission
	RECERTIEICATION		
Generally, for use with City Cour	cil matters. Not for City pr	ocurements unless requested. This rece	rtification is being submitted
in connection with Land Purchase a	nt Grove and		
recertiilcaiion on behalf of the Disc	losing Party. (2) warrants that complete as of the date furnish	ng below: < I) warrants that he/she is au t all certifications and statement* contain hed to the City and continue to be true, a gments.	ned in the Disclosing Party's
Jabodon PT Company (Print or type legal name of Disclos		Date September 30. 2016	
Bv:			
Print or type name of signatory:			
I.B. Pritzker			

Title of sinnatory:

President

Signed and sworn to before me on |date|

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DIANNE M CHIAPPf TTi '
Official Seal >
< Notary Public - State ol Illinois > , My Commission Enpires Jan 17 ?0?0 ,
■ m + w - *** ** m w w w w ^ ,
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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I--GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include Afb/af if applicable: Morcau Capital Holdings

Limited

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: L [] the Applicant OR

2. [yj a legal entity holding a direct or indirect interest in the Applicant. Stale the legal name of the Applicant in which the Disclosing Party holds an interest: _S«adog Ventures

3. [] a legal entity with a right of control (sec Section II.B.l.) State the legal name of the entity in which the Disclosing Parly holds a right of control:

Goodman's Bay Corporate Cenire

B. Business address of the Disclosing Party: iitFlnu:

West UafSfrccI pcLN-iyj Nassau. The Bahamas

C. Telephone: 242-356-1822 Fax: 242-322-3692 Email: Helcn.Carroll@wi cibc.com http://cibc.com

D. Name of contact person: Hoion Csnoll

E Federal Employer Identification No. (if you have one): \ I

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Landpurchase at Grove & Cermak

G. Which City agency or department is requesting this EDS? City of Chicago Dcpt of Planning & Dsvelopment

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

"_

File #: O2016-7466, \	/ersion: 1							
Specification^			and Comi	act #				
Page I of 13 SECTION 11 DIS	SCLOSURI	E OF OWN	NERSHIP I	NTERESTS				
A. NATURE OF TH	E DISCLO	SING PAR	TY					
[] [] W [] [] [] Limited partnership J. Indicate the corporation [partnership (] Trust	nature Privately	of the	Disclosin business	ng Party: corporation	Person [ı [Sole	Publicly registered proprietorship [business General
Trust	[]Oth	er (please s	pecify)				[) Yes	[J No
		· ·	• • •					
2. For legal entit	ies, the stat	e (or foreig	n country) o	f incorporatio	n or orga	anizatio	on, if applicable:	
Commonwealth ofthe I	Bahamas							
3. For legal entit State of llinois as a fo	_		e State ofllli	nois: Has the	organiza	tion reg	gistered to do business in	the
[] N/A								
B. IF THE DISCLOS	SING PART	Y IS A LE	GAL ENTI	ГΥ:				
profit corporations, al members." For trusts, If the entity is a ge venture, list below the	lso list below , estates or concral partner e name and to-day mana	w all memb other simila ership, limit title of each	ers, if any, ver entities, listed partnershall general par	which are lega t below the le nip, limited lia tner, managir	ll entities gal titleh bility con	s. If then holder(s mpany, er, man	f the entity. NOTE: For note are no such members,). Ilimited liability partners ager or any other person entity listed below must s	write "no hip or joint or entity
Name Title Commerce Services Limi	tad Director							
Corporate Associates Lim								
•	•							

Office of the City Clerk

interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, ot interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

Disclosing Party

Jay Robert Prilzker HIS Wacker Drive. Sic 4000. Chicago, IL 60606 100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Parly had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Ycs [x]No

If yes, please identify below the namc(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as (he nature ofthe relationship, and the total amount ofthe fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under Ihis Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

File #: O2016-7466, Ve	ersion: 1			
Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc)	Fees (indicate whether paid or estimated.) NOTE "hourly rate" or "t.b.d." is	
(Add sheets if necessary)			
pc] Check here if the	Disclosing Pa	arty has not retained, nor expects	s to retain, any such persons	or entities. SECTION V
CERTIFICATIONS				
A. COURT-ORDERED	CHILD SUPI	PORT COMPLIANCE		
		2-415, substantial owners of busine ligations throughout the contract's		e City must remain in
Has any person who dire obligations by any Illinoi	•	tly owns 10% or more of the Disch npetent jurisdiction?	osing Party been declared in arr	rearage on any child support
[] Yes fx]		No person directly or indirectly ow Disclosing Party.	rns 10% or more of the	
If "Yes," has the person of with lhat agreement? N A		courl-approved agreement for payr	nent of all support owed and is	the person in compliance
[]Yes {]]	No			
B. FURTHER CERTIFI	CATIONS			
"doing business") and ieg City, then the Disclosing with, or has admitted guil attempted, or conspiracy City or any sister agency; requirement for doing bus	al requirement Party certifies t of, or has evento commit brid and (ii) the Assiness with ihe	pter 1-23, Article I ("Article I")(whats), if the Disclosing Party submitted as follows (i) neither the Applicance been convicted of, or placed underly, theft, fraud, forgery, perjury, applicant understands and acknowled CKy. NOTE: If Article I applies to appliance timeframes in certification	ing this EDS is the Applicant 81 nt nor any controlling person is der supervision for, any crimina dishonesty or deceit against an edges that compliance with Artico ihe Applicant, the permanent	nd is doing business with the currently indicted or charged l offense involving actual, officer or employee ofthe icle 1 is a continuing

Page 4 of 13

F	ile	#:	O2016-7466	, Version: 1
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- 2. The Disclosing Party and, if the Disclosing Party is a logal entity, all of those persons or entities identified in Section II.B.l. of thii EDS:
 - a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the dale of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged gjilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - ♦ any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with the Matter, including but not limited lo all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity, Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, ihe term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- ♦ any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Pony, any Contractor or any Affiliated Entity, acting pursuant io the direction or authorization of a responsible official of the Disclosing Parly, any Contractor or any Affiliated Emily (collectively "Agents"),

Page 5 of 13

Neither ibe Disclosing Parly, nor any Contractor, nor any Affiliated Entity of cither the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the

five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted (o bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of
 agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or
 otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of slate or local government as e result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Conlrol of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: (he Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code,
- 7. If the Disclosing Party is unable to certify io any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

Page 6 of 13

If the letters "NA," the word "None," or no response appears on the tines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, ai any time during the 12-rr.onth period preceding (he execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N.'A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed

ile #: O2010-7400, version: 1
official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the
peneral public, or (ii) food or drink provided ir. the course cf official City business and having a retail value of less than \$20 per recipient (if none,
ndicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies (hat the Disclosing Party (check one)
- 1. [) is [yj is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Patty pledges:

"We arc not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable lo make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N'A

N'A

Page 7 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes §0 No

NOTE: If you checked "Yes" to Item D.l., proceed to Items D.2. and D.3. If you checked "No" to Item D.l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of

	Property Sale"). Compensation for property taken pursuant to the
	Property Sale"). Compensation for property taken pursuant to the
	ancial interest within the meaning of this Part D.
City Property Sale?	
[] No	
es" to Item D.l., provide the sterest and identify the nature	names and business addresses of the City officials or of such interest:
Business Address	Nature of Interest
GARDING SLAVERY ERA or 2. below. If the Disclosin all information required by p	ng Party checks 2., the Disclosing Party must disclose below or in
y verifies that the Disclosing Pa y and all predecessor encitics re e slavery era (including insuran es), and the Disclosing Party has y verifies that, as a result of con- records of investments or profit	contract entered into with the City in connection with the Matter rty has searched any and oil records of regarding records of investments or profits from slavery or slaveholder ce policies issued to slaveholders that provided coverage for damage to or s found no such records. ducting the search in step 1 above, the s from slavery or slaveholder insurance policies. The Disclosing Party such records, including the names of any and all slaves or slaveholders
	Business Address y further certifies that no p GARDING SLAVERY ERA or 2. below. If the Disclosing all information required by p re requirements may make any or verifies that the Disclosing Pary and all predecessor encitics recessly and the Disclosing Party has a verifies that, as a result of concercords of investments or profit

SECTION VI \sim CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Scc'.ion VI. If the Mailer is n«t federally funded, proceed lo Section VII. For purposes oflhis Section VI, lax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

File #: O2016-7466, Version: 1
A. CERTIFICATION REGARDING LOBBYING
I, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on Ihe lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Parly with respect to the Matter.)
2. The Disclosing Party has nol spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or enlily to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to exiend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
Page 9 of 13
3. The Disclosing Party will submil an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above. 4. The Disclosing Parly certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has no: engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If ihe Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No
If "Yes," answer the three questions below:

I: Have you developed and do you have on file affirmative action programs pursuant lo applicable

federal regulations? (See 41 CFR Part 60-2.)

(] No

[J Yes

File	#:	O2016-7466.	Version:	1
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- 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

 {] Yes

 (] No
 - 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? []Yes (]No

If you checked "No" to question!. or 2. above, please provide an explanation:

Page 10 of 13

SECTION VII « ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The Cily's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking C:cy contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicaqo.org/Elhics http://www.cityofchicaqo.org/Elhics. and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Parly's participation in the Matter and/cr declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response lo a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained to this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing

Party must supplement this EDS up to the time ihe City takes action on the Malter. If the Matter is a

contract being handled by ihe City's Department oT Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect lo Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Parly represents and warrants lhaf

Page 11 of 13

- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor arc the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties Lisi System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.I. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that docs not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE. If (he Disclosing Party cannot certify as to any ofthe items in F.I., F.2. or F.3. above, an explanatory siatcmem must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below. (1) warrants that he/she is authorized lo execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Moreau Capital Holdings Limited

(Print or type name of Disclosing Party)"""

By: ComrnerccScrvaccs

Lirnjjj^LXIi rector

Name: Helen M, Carroll Title: Director

By:

(Sign here)

(Print or type name of person signing)

(Print or type title of person signing) Page 12 of 13

Signed and sworn to before me on (date) November 13. 2015

at _Nj3gs.au <http://_Nj3gs.au> County, rjahamgs (siate).

File	#:	$\Omega 20^{\circ}$	16-7	7466	Ver	sion:	1

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. Il is not to be comple(ed by any legal entity which has only an indirect ownership Interest In the Applicant-

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Demesne Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Parly listed in Section II.D.I.a., if the Disclosing Party is a corporation; all partners of ihe Disclosing Party, if Ihe Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if (he Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company, (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7 5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

M Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 13

File	#:	$\Omega 20^{\circ}$	16-7	7466	Ver	sion:	1

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is <0 be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). If Is hot lo be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	est in the Applicant.	Owner <i>)</i> . It is not i	o oc completed by any legal entity	which has only an induced ownership
1.	Pursuant to Municipal Code Sec problem landlord pursuant to Se		Applicant or any Owner identific Municipal Code?	ed as a building code scofflaw or
	I]Y«	IX] No		
2.			any exchange, is any officer or do Section 2-92-416 of the Municipal	irector of the Applicant identified as a pal Code?
	[] Yes	I] No	IX] Not Applicable	
3.	If yes to (1) or (2) above, please identified as a building code so code violations apply.			ling or buildings to which the pertinen
sub	APPENDIX B IS INCORPORA THAT THE REPRESENTATIO UNDER PENALTY OF PERJU NOT SUBMIT THIS PAGE	TED BY REFERENCE ONS MADE IN THIS . RY ON PAGE 12 OF WITH YOUR EDS the date of closing.	APPENDIX B ARE SUBJECT TO THE ASSOCIATED EDS. The purpose oflhis page is for	GREEMENT THAT THIS DF, THE ASSOCIATED EDS, AND D THE CERTIFICATION MADE you to recertify your EDS prior to the Disclosing Party must complete
		RECERTIFICATI	ON	
		Generally, for use	with City Council matters. Not	for City procurements unless
requ	uested.			
		This recertification	is being submitted in connect	on with Land Purchase at Grove
and				
[ide	ntify the Matter]. Under penal	Cermak ty of perjury, the per	rson signing below: (1) warrant	s that he/she is authorized to

execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

(Print or type legal name of Disclosing Party) By:

;sign here)

Print or tyne^ame of signatory: Moreau Capital Holdings Limited

By: Commerce Services Limited Director

Name: Helen M. Carroll Title: Director

By: Corporate Assodjjtolijiniled, Director

Name: Schevon V. Miller Title: Director

Date:.

Signed and sworn lo before me on [date] October 3. 2016 , by

. at Nassau County, The Bahamas [state].

. Notary Public.

Commission expires: December 31, 2016

I 1-Ut-05

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Aman 2 Capital Holdings

Limited

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is.

1. [] the Applicant

OR

- 2. [yj a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Scadog Ventures
- 3. [] a legal entity with a right of control (sec Section ILB.l.) State the legal name of the entity in which the Disclosing Party holds a right of conlrol:

Goodman's Bay Corporate Centre

B. Business address of the Disclosing Party:

Office of the City Clerk Page 90 of 103 Printed on 4/17/202

File #: O2016-7466, Version:	1					
		West bay .Stre P.O. N-3933	eet			
		Nassau, The B	Bahamas			
C. Telephone: 242-356-1822	Fax:	242-322-3692	Email: Hele	en Carroll@wi	.cihc <mailto:carroll@wi.c< td=""><td>ihc> com</td></mailto:carroll@wi.c<>	ihc> com
D. Name of contact person: Hdcn	Cimol!					
E. Federal Employer Identification	n No. (if yo	I ou have onc);£				
F. Brief description of contract, (Include project number and locat				below as the	"Matter") to which this El	OS pertains.
Land purchase at Grove & Cerma	ak					
G. Which City agency or departm	ent is requ	esting this EDS? Cit	ty of Chicago Do	ept of Planning	& Development	
If the Matter is a contract.being following:	handled b	y the City's Departn	nent of Procuren	nent Services, _J	please complete the	
Specification*/		and Contrac	et ft			
Page 1 of 13 SECTION II- DISCLOSURE A. NATURE OF THE DISCL			ESTS			
[] [] 1*3 [] [] [] I] Limited partnership Trust 1. Indicate the nature corporation f Privately partnership (]		C	g Party; I corporation	Person [[Sole	Publicly registered proprietorship [business General
· ·	ther (plea	se specify)			[) Yes	[j No
2. For legal entities, the s	tate (or fo	reign country) of i	incorporation o	or organization	n, if applicable:	
Commonwealth of the Bahamas						
3. For legal entities not on State ofIllinois as a foreign entities.	-	n the State ofIllino	ois: Has the org	anization reg	istered to do business in	the
[] N/A						
B. IF THE DISCLOSING PA	RTY IS A	LEGAL ENTITY	<i>Y</i> :			

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-

File #: O2016-7466, Version:	1
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profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Parly. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title Commerce Services Limited, Director

Corporate Associates Limited, Director

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant lo Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Anthony N. Pritzker 11150 Santa . Monica Blvd., Ste. 1300, Los Angeles, CA 90025 100%

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the dale this F.DS is signed?

[] Yes £] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

(Add sheets if necessary)

CERTIFICATIONS

f(] Check here if the Disclosing Party has not retained, nor expects lo retain, any such persons or entities. SECTION V

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-4 15, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term

Has 8ny person who directly or indirectly owns 10% or more of Ihe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with thai agreement? ^

File #:	O2016-7466, Version: 1	1

[]Yes

B. FURTHER CERTIFICATIONS

[] No

1. Pursuant to Municipal Code Chapter 1 -23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, ihen the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of Ihe City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below

Page 4 of 13

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of ihose persons or entities identified in Section JI B 1 of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date oflhis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, stale or local) transaction or contract under a public transaction; z. violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - •the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Pany, or is, with the Disclosing Parly, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and

equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither clie Disclosing Party, nor any Contractor, nor any Affiliated Entity of either (he Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- o. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United Slates of America, in that officer's or employee's official capacity;
- b. agreed cr colluded with other bidders or prospective bidders, or been a party lo any such agreement, or been convicted ot adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; cr
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance)
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of slate or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any stale or of the United States of America thai contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debased List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N'A

File	#•	020	16-7466	Version:	٠ 1
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Page 6 of 13

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, all any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include; (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than S20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [y] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Parry IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined ir. Chapter 2-32 of the Municipal Code We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because il or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N.'A

File #: O2016-7466, Version: 1					
	the word "None," or no response a Disclosing Party certified to the abo	appears on the lines above, it will be conclusively ove statements.			
D. CERTIFICATIO	N REGARDING INTEREST IN	CITY BUSINESS			
Any words or terms used in this Part D.	that are defined in Chapter 2-156	ofthe Municipal Code have the same meanings when			
		unicipal Code: Docs any official or employee of the City have a e of any other person or entity in the Matter?			
NOTE: If you check Part E.	red "Yes" to Item D. 1., proceed to	Items D.2. and D.3. If you checked "No" to Item D.1., proceed to			
employee shall have purchase of any prop legal process at the s	a financial interest in his or her ownerty that (i) belongs to the City, or uit ofthe City (collectively, "City I	e bidding, or otherwise permitted, no City elected official or vn name or in the name of any other person or entity in the (ii) is sold for taxes or assessments, or (iii) is sold by virtue of Property Sale"). Compensation for property taken pursuant to the ncial interest within the meaning of this Part D.			
Does the Matter invo	olve a City Property Sale?				
[] Yes	[] No				
_	d "Yes" to Item D.l., provide the nature	ames and business addresses of the City officials or of such interest:			
Name	Business Address	Nature of Interest			
4. The Disclosing City official or emplo	-	rohibited financial interest in the Matter will be acquired by any			
E. CERTIFICATION	REGARDING SLAVERY ERA	BUSINESS			
Please check eithe	er 1. or 2. below. If the Disclosing	g Party checks 2., the Disclosing Party must disclose below or in			

an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

File #: O2016-7466, Version: 1						

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- _X I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed 10 Section VII. For purposes of this Section VI, tax credits allocated by ihe City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1, List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary).

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to (he Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.L above for his or her lobbying activities or lo pay any person or callily lo influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.

File #: 02016-7466. Ve	ersion	: 1
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- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Interna) Revenue Code of 1986; or (ii) il is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is Ihe Disclosing Party the Applicant?

[]Yes [)No If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.)

[] Yes (] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

[] Yes [] No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [JYes [JNo

If you checked "No" to question 1. or 2. above, please provide an explanation:

Page 10 of 13

SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose

certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions, The full text of these ordinances and a training program is available on line at www.cityofchicaEQ.orfi/E'.hics http://www.cityofchicaEQ.orfi/E'.hics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue eny remedies under ihe contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Mailer and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. Il is the City's policy to make this document available to the public on its Internet site and/or upon requesl. Some or all of Ihe information provided on this EDS and any attachments to this EDS may be m8dc available to the public on the Inlemct, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the Cily lakes action on the Matter. If the Matter is a conlracl being handled by the City's Department of Procurement Services, the Disclosing Parly musl update this EDS as ihe contract requires. NOTE: With respect lo Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility musl be kept current for a longer period, as required by Chapter 1-23 and Scdion 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any lax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed in the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors lo use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, Ihe Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to these in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE. If the Disclosing Party cannot certify as to any ofihe items in F.I., F.2. or F.3. above, an explanatory statement must be attached to this EDS,

CERTIFICATION

Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the Cily.

Aman 2 Capital Holdings Limited

(Print or type name of Disclosing Party)				
By: (Sign here)				
(Print or lypc name of person signing)				
(Print or type title of person signing)				
Signed and sworn to before me on (dale) November 13, 2015 Notary Public.				

Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in Ihe Applicant exceeding 7.5 percent. It Is not to be completed by any legal entity which has only an Indirect ownership interest In the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial iclafionship" exists if, as of the date this EDS is signed, the Disclosing Pany or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-ir.-law, daughter-in-lsw, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"'Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section Il.B.l.a., if the Disclosing Party is a corporation; all partners offthe Disclosing Pany, if the Disclosing Party is a general partnership; all general partners and limited partners of (he Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Parmer thereof currently have a "familial relationship" with an elected city official or department head?

U Yes bd No

If yes, please identify below (l) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected cily official or department head to whom such person has a familial relationship, and

File	File #: O2016-7466, Version: 1				
(4) t	the precise nature cf such familial relat	ionship.			
		Page 13 of 13			
	CITY OF CHICA	GO FCONOMIC Γ	DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B		
	BUILDING CODE SCOFFLAW7F				
			icant, and (b) any legal entity which has a direct ownership interest in be completed by any legal entity which has only an indirect ownership		
Ι	I Pursuant to Municipal Code Section problem landlord pursuant lo Section		Applicant or any Owner identified as a building code scofflaw or Municipal Code?		
	I] Yes (x]No				
2			ny exchange, is any officer or director of the Applicant identified as a Section 2-92-416 of the Municipal Code?		
	[]Yes	[] No	[x] Not Applicable		
3	3. If yes to (1) or (2) above, please ider identified as a building code scoffle code violations apply.		ne of the person or legal entity dlord and the address of the building or buildings to which the pertinen		
	APPENDIX B IS I INCORPORATI	ED BY REFERENC TONS MADE IN T	ACKNOWLEDGMENT AND AGREEMENT THAT THIS CE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION E 12 OF THE ASSOCIATED EDS.		
sul		date of closing. If	The purpose oflhis page is for you to recertify your EDS prior lof unable to recertify truthfully, the Disclosing Party must complete		

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Land Purchase at Grove and

Cermak

[identify the Matter). Under penalty of perjury, the person signing below; (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

(Print or type legal name of Disclosing Party)

By:

(sign here)

Print or type name of signatory:

Aman 2 Capital Holdings Limited By; Commerce Services Li.r-.jted http://Li.r-.jted, Director

Name: Helen M. Carroll Title: Director

By: Corporate Associates Limited, Director

Name: SchevonV Miller Title: Director

Date: October 3. 2016

Signed and sworn to before me on fdate October 3, 2016, by

Notary Public.

Commission expires: December 31,. 2016

Ver. 11-01-05