

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

Legislation Text

File #: R2017-495, Version: 1

RESOLUTION CLASS 6(B) REAL ESTATE TAX INCENTIVE FOR THE BENEFIT OF PAULINA NORTH, LLC, A DELAWARE LIMITED LIABILITY COMPANY, AND REAL ESTATE LOCATED GENERALLY AT 2300 SOUTH BLUE ISLAND AVENUE, AND 2331 SOUTH BLUE ISLAND AVENUE IN CHICAGO, ILLINOIS PURSUANT TO COOK COUNTY, ILLINOIS TAX INCENTIVE ORDINANCE, CLASSIFICATION SYSTEM FOR ASSESSMENT

WHEREAS, the Cook County Board of Commissioners has enacted the Cook County Tax Incentive Ordinance, Classification System for Assessment, as amended from time to time (the "County Ordinance"), which provides for, among other things, real estate tax incentives to property owners who build, rehabilitate, enhance and occupy property which is located within Cook County, Illinois and which is used primarily for industrial purposes; and

WHEREAS, the City of Chicago (the "City"), consistent with the County Ordinance, wishes to induce industry to locate and expand in the City by supporting financial incentives in the form of property tax relief; and

WHEREAS, Paulina North, LLC, a Delaware limited liability company (the "Applicant"), owns certain real estate located generally at 2300 South Blue Island Avenue, and 2331 South Blue Island Avenue, Chicago, Illinois 60608, as further described on Exhibit A hereto (the "Subject Property"); and

WHEREAS, the Applicant intends to construct an approximately 227,043 square foot industrial facility located on the Subject Property; and

WHEREAS, Preferred Freezers Services of Chicago III, LLC, a non-related party of the Applicant, plans to lease the Subject Property for cold storage business; and

WHEREAS, the redevelopment objective of the City in connection with the Subject Property is to support the new construction of industrial facility, retain existing jobs and create new jobs; and

WHEREAS, the Applicant has filed an eligibility application for a Class 6(b) tax incentive under the County Ordinance with the Office of the Assessor of Cook County (the "Assessor"); and

WHEREAS, the Subject Property is located within the Pilsen Industrial Corridor Redevelopment Project Area (created pursuant to the Illinois Tax Increment Allocation Redevelopment Act, 65 ILCS 5/11-74.4-1 et. sej_., as amended, and pursuant to an ordinance enacted by the City Council of the City), and the purposes of Redevelopment Project Areas are also to provide certain incentives in order to stimulate economic activity and to revitalize depressed areas; and

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WHEREAS, it is the responsibility of the Assessor to determine that an application for a Class 6(b) classification or renewal of a Class 6(b) classification is eligible pursuant to the County Ordinance; and

WHEREAS, the County Ordinance requires that, in connection with the filing of a Class 6(b) eligibility application with the Assessor, the applicant must obtain from the municipality in which such real estate that is proposed for Class 6(b) designation is located a resolution expressly stating, among other things, that the municipality has determined that the incentive provided by Class 6(b) is necessary for development to occur on such real estate and that the municipality supports and consents to the Class 6(b) classification by the Assessor; and

WHEREAS, the intended use of the Subject Property will provide significant present and future employment; and

WHEREAS, notwithstanding the Class 6(b) status of the Subject Property, the redevelopment and utilization thereof will generate significant new revenues to the City in the form of additional real estate taxes and other tax revenues; now, therefore,

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1: That the City determines that the incentive provided by Class 6(b) is necessary for the development to occur on the Subject Property.

SECTION 2: That the City supports and consents to the Class 6(b) classification by the Assessor with respect to the Subject Property.

SECTION 3: That the Economic Disclosure Statement, as defined in the County Ordinance, has been received and filed by the City.

SECTION 4: That the Clerk of the City of Chicago is authorized to and shall send a certified copy of this resolution to the Assessor, and a certified copy of this resolution may be included with the Class 6(b) eligibility application filed with the Assessor by the Applicant, as applicant, in accordance with the County Ordinance.

Honorable Daniel So Alderman, 25th Ward

SECTION 5: That this resolution shall j^e/fective immediat^y^p.on its passage and approval.

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EXHIBIT A

Legal Description of Subject Property:

Parcel 1:

LOTS 1, 2, 3, 4, 5, 6, 7, 8 (EXCEPT THE SOUTH 64.75 FEET OF LOT 8) AND THOSE PORTIONS OF LOT

32 (EXCEPT THE SOUTH 64.75 FEET OF LOT 32) AND OF LOTS 33, 34, 35, 36 AND 37 LYING EAST OF A LINE LOCATED 367 FEET WEST OF AND PARALLEL WITH THE EAST LINE OF BLOCK 11 HEREINAFTER DESCRIBED: ALL OF THAT PART VACATED CANAL "B" LYING NORTH OF THE WESTERLY EXTENSION OF THE NORTH LINE OF THE SOUTH 64.75 FEET OF LOT 8, ALSO ALL OF LOT 38 (EXCEPT THAT PART OF THE SOUTH 18 FEET THEREOF WHICH LIES WEST OF A LINE WHICH IS 367 FEET WEST OF AND PARALLEL WITH THE SAID EAST LINE OF BLOCK 11) ALSO THAT PORTION OF VACATED SOUTH PAULINA STREET LYING WEST OF A LINE WHICH IS 33 FEET EAST OF AND PARALLEL WITH THE SAID EAST LINE OF BLOCK 11 AND LYING NORTH OF THE EASTERLY EXTENSION OF THE NORTH LINE OF THE SOUTH 64.75 FEET OF SAID LOT 8, ALL IN BLOCK 11 IN S. J. WALKER'S DOCK ADDITION TO CHICAGO, A SUBDIVISION OF THE EAST 1/2, NORTH OF THE RIVER, OF SECTION 30, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN;

ALSO ALL THAT PART OF THE SOUTH 1/2 OF BLOCK 8 IN S.J. WALKER'S DOCK ADDITION TO CHICAGO AND OF THE PRIVATE RAILROAD STREET LYING SOUTH OF AND ADJOINING SAID BLOCK 8 MORE PARTICULARLY DESCRIBED AS FOLLOWS: BEGINNING AT THE NORTH EAST CORNER OF LOT 1 BLOCK 11 IN S.J. WALKER'S DOCK ADDITION TO CHICAGO, BEING A SUBDIVISION OF THE EAST 1/2, NORTH OF THE WEST BRANCH OF THE SOUTH BRANCH OF THE CHICAGO RIVER, OF SECTION 30, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID POINT BEING THE POINT OF INTERSECTION OF THE SOUTHERLY PROPERTY LINE OF THE CHICAGO, BURLINGTON AND QUINCY RAILROAD COMPANY AND THE WEST LINE OF PAULINA STREET IN THE CITY OF CHICAGO, ILLINOIS: THENCE NORTH ALONG THE WEST LINE OF SAID PAULINA STREET, 165.4 FEET TO A POINT 147 FEET SOUTH OF THE SOUTH LINE OF BLUE ISLAND AVENUE IN CITY OF CHICAGO. AS MEASURED ALONG THE WEST LINE OF SAID PAULINA STREET. SAID POINT BEING 9 FEET NORMALLY DISTANT SOUTHERLY OF THE CENTER LINE OF THE TRACK OF SAID GRANTOR REFERRED TO AS "A" LUMBER MAIN; THENCE SOUTHWESTERLY IN A STRAIGHT LINE PARALLEL TO THE SOUTHERLY LINE OF AFORESAID BLUE ISLAND AVENUE, 322 FEET TO A POINT 147 FEET SOUTH OF THE SOUTH LINE OF AFORESAID BLUE ISLAND AVENUE, AS MEASURED ALONG A LINE PARALLEL WITH THE WEST LINE OF AFORESAID PAULINA STREET, SAID POINT BEING 9 FEET NORMALLY DISTANT SOUTHERLY OF THE CENTER LINE OF THE TRACK OF SAID GRANTOR REFERRED TO AS "A" LUMBER MAIN, THENCE SOUTHWESTERLY IN A STRAIGHT LINE 103.7 FEET TO A POINT 154.6 FEET SOUTH OF THE SOUTH LINE OF AFORESAID BLUE ISLAND

AVENUE, AS MEASURED PARALLEL TO THE WEST LINE OF AFORESAID PAULINA STREET, SAID POINT BEING 14.6 FEET NORMALLY DISTANT SOUTHERLY OF THE CENTER LINE OF AFORESAID "A" LUMBER MAIN:, THENCE SOUTHWESTERLY IN A STRAIGHT LINE 118.4 FEET TO A POINT 167.6 FEET SOUTH OF THE SOUTH LINE OF AFORESAID BLUE ISLAND AVENUE. AS MEASURED PARALLEL TO THE WEST LINE OF AFORESAID PAULINA STREET, SAID POINT BEING 12 FEET NORMALLY DISTANT SOUTHEASTERLY OF THE CENTER LINE OF AFORESAID "A" LUMBER MAIN; THENCE SOUTHWESTERLY IN A STRAIGHT LINE 48.1 FEET TO A POINT 182.2 FEET SOUTH OF THE SOUTH LINE OF AFORESAID BLUE ISLAND AVENUE, AS MEASURED PARALLEL TO THE WEST LINE OF AFORESAID PAULINA STREET, SAID POINT BEING 13.7 FEET NORMALLY DISTANT SOUTHEASTERLY OF THE CENTER LINE OF AFORESAID "A" LUMBER MAIN: THENCE SOUTHWESTERLY ON A CURVED LINE CONCAVE SOUTHEASTERLY HAVING A RADIUS OF 317.41 FEET, A DISTANT OF 68.8 FEET TO A POINT 210.8 FEET SOUTH OF THE SOUTH LINE OF AFORESAID BLUE ISLAND AVENUE, AS MEASURED PARALLEL TO THE WEST LINE OF AFORESAID PAULINA STREET, SAID POINT BEING 18.3 FEET NORMALLY DISTANT SOUTHEASTERLY OF THE CENTER LINE OF AFORESAID "A" LUMBER MAIN: THENCE SOUTHWESTERLY IN A STRAIGHT LINE 53.1 FEET TO A POINT ON A LINE WHICH IS THE EXTENSION OF THE EAST LINE OF WOOD STREET SOUTH FROM THE WEST LINE OF BLOCK 8

IN S.J. WALKER'S DOCK ADDITION, SAID POINT BEING 239.3 FEET SOUTH OF THE SOUTH LINE OF AFORESAID BLUE ISLAND AVENUE, AS MEASURED ALONG THE EAST LINE OF WOOD STREET, SAID POINT ALSO BEING 23.4 FEET NORMALLY DISTANT SOUTHEASTERLY OF THE CENTER LINE OF AFORESAID "A" LUMBER MAIN; THENCE SOUTH ALONG SAID EXTENDED EAST LINE OF WOOD STREET, 73.1 FEET TO ITS INTERSECTION WITH THE EXTENSION SOUTHWESTERLY OF THE LINE FORMING THE NORTH LINE OF LOT 1 AND LOT 38 IN BLOCK 11 IN S.J. WALKER'S DOCK ADDITION AFORESAID, WHICH IS A LINE DRAWN PARALLEL TO AND 80 FEET NORMALLY DISTANT SOUTHERLY OF THE SOUTH LINE OF LOT 28 IN BLOCK 8 IN S.J. WALKER'S DOCK ADDITION AFORESAID; THENCE NORTHEASTERLY ALONG SAID EXTENDED LINE AND ALONG THE NORTH LINE OF LOTS 38 AND 1 IN BLOCK 11 IN S.J. WALKER'S DOCK ADDITION AFORESAID, 659 FEET TO THE POINT OF BEGINNING, ALL IN COOK COUNTY, ILLINOIS.

Parcel 2:

LOT 32 (EXCEPT THE SOUTH 64.75 FEET THEREOF AND EXCEPT THAT PORTION OF LOT 32 LYING EAST OF A STRAIGHT LINE LOCATED 367 FEET WEST OF AND PARALLEL WITH THE EAST LINE OF BLOCK 11 HEREINAFTER DESCRIBED); LOTS 33, 34, 35, 36, 37 AND THE SOUTH 18 FEET OF LOT 38 (EXCEPT THOSE PORTIONS OF SAID LOTS LYING EAST OF A STRAIGHT LINE LOCATED 367 FEET WEST OF AND PARALLEL WITH SAID EAST LINE OF BLOCK 11) ALSO ALL THAT PART OF VACATED SOUTH WOOD STREET LYING EAST OF A LINE AND SAID LINE EXTENDED NORTHERLY WHICH IS 40 FEET WEST OF AND PARALLEL WITH THE WEST LINE OF SAID LOTS 32 TO 36 AND LYING NORTH OF THE WESTERLY EXTENSION OF THE NORTH LINE OF THE SOUTH 64.75 FEET OF SAID LOT 32, ALL IN BLOCK 11 IN S.J. WALKER'S DOCK ADDITION TO CHICAGO, A SUBDIVISION OF THE EAST 1/2 NORTH OF RIVER SECTION 30, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Permanent Real Estate Tax Index Numbers (PINS) for the Subject Property:

17-30-210-017-0000 17-30-210-027-0000

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Paulina North, LLC

Check ONE of the following three boxes:

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Indicate whether the Disclosing Party	submitting this EDS is:	
1. x] the Applicant OR	eweiniumg und 22 a to	
2. [] a legal entity holding a direct2. Applicant in which the DisclosiOR		Applicant. State the legal name of the
3. [] a legal entity with a right of which the Disclosing Party holds a)' State the legal name of the entity in
B. Business address of the Disclosing	Party: 150 N. Riverside	e Plaza, Suite 1810
	Chicago,	IL 60606
C. <u>Telephone</u> : 847-921-7064	Fax: 847-931-4338	Email: lkrueger@wanxiang.com
<mailto:lkrueger@wanxiang.com></mailto:lkrueger@wanxiang.com>		
D. Name of contact person: Lawrence	J. Krueger	
E. Federal Employer Identification No	o. (if you have one):	
F. Brief description of contract, transa EDS pertains. (Include project number	•	(referred to below as the "Matter") to which this if applicable):
Application for 6(b) property tax class	sification for 2300 and 233	1 S. Blue Island Ave
G. Which City agency or department is	s requesting this EDS? De	partment of Planning and Development
If the Matter is a contract being han following:	dled by the City's Departn	nent of Procurement Services, please complete the
Specification #	_ and Contra	act#
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SECTION II - DISCLOSURE OF OW	VNERSHIP INTERESTS	S
A. NATURE OF THE DISCLOSING PA	ARTY	
Indicate the nature of the Disclosing Person Publicly registered business corporation	fjf]	

[]

[] Privately held business corporation

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[] Sole proprietorship [] General partnership [] Limited partnership	[] (Is
[] Trust	
Limited liability company Limited liability partnership Joint venture Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))? [] Yes [] No Other (please specify)	
2. For legal entities, the state (or foreign cour	ntry) of incorporation or organization, if applicable:
2. State of Delaware	
3. For legal entities not organized in the State of Illinois as a foreign entity?	e oflllinois: Has the organization registered to do business in the State
D3 Yes [] No	[] N/A
B. IF THE DISCLOSING PARTY IS A LEGAL	ENTITY:
profit corporations, also list below all members, if members." For trusts, estates or other similar entities. If the entity is a general partnership, limited partventure, list below the name and title of each general	executive officers and all directors of the entity. NOTE: For not-for- any, which are legal entities. If there are no such members, write "no ies, list below the legal titleholder(s). etnership, limited liability company, limited liability partnership or joint ral partner, managing member, manager or any other person or entity sclosing Party. NOTE: Each legal entity listed below must submit an
Name Title 2208 S. Payling Manager, I.I.C. ("Manager")	Манадач
2308 S. Paulina Manager, LLC ("Manager") Wanying America Bool Estate Group, LLC ("WY)	Manager Z") Solo Mombor of Manager
Wanxiang America Real Estate Group, LLC ("WX Lawrence J. Krueger	(") Sole Member of Manager . Managing Director of WX
Lawrence J. Krueger	managing Director of WA

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant?!?? Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

2308 S. Paulina, LLC, 150 N. Riverside Plaza, Suite 1810, Chicago, IL 60606

1 00%

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes LX] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate wh	nether Business r	etained or anticipated Address to be retained)
Relationship to Dis	sclosing Party (st	ubcontractor, attorney, lobbyist, etc.)
Attorney Fees (indicate whe paid or estimated.) "hourly rate" or "t. not an acceptable r \$3(1/1(10)	NOTE: b.d." is	
(Add sheets if ne	• •	g Party has not retained, nor expects to retain, any such persons or entities.
SECTION V - C	CERTIFICATIO	DNS
A. COURT-ORD	ERED CHILD S	SUPPORT COMPLIANCE
	•	2-92-415, substantial owners of business entities that contract with the City must hild support obligations throughout the contract's term.
• •	•	directly owns 10% or more ofthe Disclosing Party been declared in arrearage on any llinois court of competent jurisdiction?
[] Yes	[X] No	[] No person directly or indirectly owns 10% or more ofthe Disclosing Party.
If "Yes," has the p compliance with t		to a court-approved agreement for payment of all support owed and is the person in
[] Yes	[] No	
B. FURTHER CE	RTIFICATIONS	

1. Pursuant to Municipal Code Chapter 1 -23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party .--r'.-'br any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-^156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NONE

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all

current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NONE

9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NONE

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is D3 is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable'to make this pledge because it or any of its affiliates (as defined in Section 2-32-455 (b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

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		cipal Code: Does any official or employee of the City have a fany other person or entity in the Matter?
NOTE: Ifyou chec Part E.	ked "Yes" to Item D.l., proceed to Iter	ns D.2. and D.3. Ifyou checked "No" to Item D. 1., proceed to
employee shall have purchase of any pro- legal process at the	e a financial interest in his or her own perty that (i) belongs to the City, or (ii suit of the City (collectively, "City Pro	idding, or otherwise permitted, no City elected official or name or in the name of any other person or entity in the i) is sold for taxes or assessments, or (iii) is sold by virtue of operty Sale"). Compensation for property taken pursuant to the ial interest within the meaning of this Part D.
Does the Matter inv	olve a City Property Sale?	
[] Yes	[] No	
•	ed "Yes" to Item D.l., provide the name and identify the nature of such interest	es and business addresses ofthe City officials or employees st:
Name	Business Address	Nature of Interest
4. The Disclosin	- -	ibited financial interest in the Matter will be acquired by any
E. CERTIFICATIO	N REGARDING SLAVERY ERA BU	JSINESS

F

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any

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and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is feder	ally funded, federal regulations require the Applicant and all proposed subcontractors to submit
	ation with their bids or in writing at the outset of negotiations.
Is the Disclosing Par	ty the Applicant?
[] Yes	[] No
If "Yes," answer the	three questions below:
1. Have you devregulations? (See 41.)	veloped and do you have on file affirmative action programs pursuant to applicable federal CFR Part 60-2.)
[] Yes	[] No
	d with the Joint Reporting Committee, the Director of the Office of Federal Contract s, or the Equal Employment Opportunity Commission all reports due under the applicable filing
[] Yes	[] No
• •	cicipated in any previous contracts or subcontracts subject to the equal
opportunity clause?	
[] Yes	[] No
If you checked "No" t	to question 1. or 2. above, please provide an explanation:
	Page 10 of 13
~= ~== ~=	

SECTION VII- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.L, F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Paulina North, LLC (Print or type name of Disclosing Party)

Lawrence]. Kruger (Print or type name of person signing)

Managing Director of the Sole Member of its Manager (Print or type title of person signing)

al

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section JJ.B.1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

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	oes the Disclosing Party or any ilial relationship" with an elect		pouse or Domestic Partner thereof currently have a thead?
] Yes	[X] No	
is coi		ofthe elected city official or of	son, (2) the name of the legal entity to which such person department head to whom such person has a familial ip.
	CITY OF	Page 13 of 13 CHICAGO ECONOMIC DE APPENDI	ISCLOSURE STATEMENT AND AFFIDAVIT X B
	BUILDING CODE SCOEF	LAW/PROBLEM LANDLO	RD CERTIFICATION
		7.5 percent (an "Owner"). It is	ant, and (b) any legal entity which has a direct ownership is not to be completed by any legal entity which has only
1.	Pursuant to Municipal Code scofflaw or problem landlord		plicant or any Owner identified as a building code 6 of the Municipal Code?
	[]Yes	Dc] No	
2.			y exchange, is any officer or director of the Applicant d pursuant to Section 2-92-416 of the Municipal Code?
	[]Yes	[]No	[X] Not Applicable
			of the person or legal entity identified as a building code ing or buildings to which the pertinent code violations

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE

SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

2308 S. Paulina, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

- 2. fx) a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Paulina North, LLC

OR

- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 150 N. Riverside Plaza, Suite 1810

Chicago, IL 60606

C. Telephone: 847-921-7064 Fax: 847-931-4338 Email: lkrueger@wanxiang.com

<mailto:lkrueger@wanxiang.com>

- D. Name of contact person: Lawrence f. Krueger
- E. Federal Employer Identification No. (ifyou have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the. "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Application for 6(b) property tax classification for 2300 and 2331 S. Blue Island Ave., Chicago

G. Which City agency or department is requesting this EDS? Department of Planning and Development

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If the Matter is a c following:	ontract being handled b	y the City's	Department of Proc	eurement Services,	please o	complete the
Specification #		and Co	ontract #			
Page 1 of 13						
SECTION II	- DISCLOSUI	RE OF	OWNERSHIP	INTERESTS	A.	NATURE
OF THE DISCLO	OSING PARTY					
1. Indicate the nature] Person] Publicly registered bu] Privately held busines] Sole proprietorship] General partnership] Limited partnership] Trust	_	[X] [] [] (Is				
Not-for-profit corporati	any Limited liability partron on ration also a 501(c)(3))? [] No	nership Joint	venture			
2. For legal ent	ities, the state (or foreign	country) of i	ncorporation or orga	nization, if applica	ble-Dela	ware
3. For legal en State of Illinois as a	ntities not organized in the foreign entity?	e State oflllin	ois: Has the organiza	ation registered to c	lo busine	ess in the
X] Yes	[] No		[] N/A			
B. IF THE DISCL	OSING PARTY IS A LE	EGAL ENTIT	Y:			

profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-

"no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

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If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2308 S. Paulina Manager, LLC

Manager

Wanxiang American Real Estate Group, LLC

Sole member of Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If nanefState "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

Percentage Interest in the

Disclosing Party

Wanxiang America Real Estate Group, LLC, 150 N. Riverside Plaza, Suite 1810, Chicago, IL 60606; 90%

SDKM 2308 S. Paulina, LLC, c/o Clarius Partners, 200 W. Madison, Ste. 3410, Chicago, IL 60606; 10%

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Business Address

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes

CX] No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.) paid or estimated "hourly rate" or "t.

paid or estimated.) NOTE:

"hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes Od No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in

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compliance with	h that agreement?		
[] Yes	[] No		
B. FURTHER	CERTIFICATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some fiveyear compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing vParty and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section Il.B.l. of this EDS:
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

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7. If the Disclosing Party is unable to certify to any of the above statements in this PartB (Further Certifications), the Disclosing Party must explain below:

NONE

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE
- 9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [X] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further

pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

t] Yes W No

NOTE: Ifyou checked "Yes" to Item D.L, proceed to Items D.2. and D.3. Ifyou checked "No" to Item D.L, proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes []No

3. Ifyou checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS aHinformation required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or

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entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	arty the Applicant?	
[] Yes	[] No	
If "Yes," answer the	e three questions below:	
1. Have you do regulations? (See 41		nave on file affirmative action programs pursuant to applicable federal
[] Yes	[] No	
Compliance Program requirements?	ns, or the Equal Employ	ng Committee, the Director of the Office of Federal Contract ment Opportunity Commission all reports due under the applicable filing
[] Yes	[] No	
3. Have you pa opportunity clause?	rticipated in any previou	s contracts or subcontracts subject to the equal
[] Yes	[] No	
If you checked "No'	' to question 1. or 2. abov	ve, please provide an explanation:

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

2308 S. Paulina, LLC (Print or type name of Disclosing Party)

Lawrence J. Kreuger

(Print or type name of person signing)

Managing Director of the Sole Member of its Manager (Print or type title of person signing)

Signed and sworn to before me on (date)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section HB.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes IX] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[]Yes [X]No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant

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identified as a building coo	le scofflaw or problem lan	dlord pursuant to Section 2-92-416 ofthe Municipal Code?	
[] Yes	[] No	(x] Not Applicable	
	de scofflaw or problem la	me of the person or legal entity andlord and the address of the building or buildings to wh	ich
THAT THIS APPENDIX THE ASSOCIATED EDS	B IS INCORPORATED , AND THAT THE REP CERTIFICATION MA	TES ACKNOWLEDGMENT AND AGREEMENT D BY REFERENCE INTO, AND MADE A PART OF, PRESENTATIONS MADE IN THIS APPENDIX B DE UNDER PENALTY OF PERJURY ON PAGE 12	
	CITY OF CHIC	CAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT	
SECTION I - GENERAL INFORM	ATION		
A.		Legal name of the Disclosing Party	
submitting this EDS. Include d/b/a/	if applicable:		
2308 S. Paulina Manager, LLC			
Check ONE of the following three b	ooxes:		
Indicate whether the Disclosing Part 1. [] the Applicant OR 2. [J a legal entity holding a dire		Applicant. State the legal name of the	

3. fx] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control: Paulina North, LLC

2. Applicant in which the Disclosing Party holds an interest:

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B. Business address of the Disclosing Party:	150 N. Riverside I Chicago, I	•	
C. Telephone: 847-921-7064	847-931-4338	Email: lkrueger@wanxia	ang.com
D. Name of contact person: Lawrence J. Krueş	ger		
E. Federal Employer Identification No. (if you	have one)		
F. Brief description of contract, transaction of (Include project number and location of proper	_	g (referred to below as the "Ma	atter") to which this EDS pertains.
Application for 6(b) property tax classification	for 2300 and 2331	S. Blue Island Avenue	
G. Which City agency or department is reques	ting this EDS? ^{De} Partr	nent of Pl«"""_g and Development	i
If the Matter is a contract being handled by	the City's Departme	nt of Procurement Services, plea	se complete the following:
Specification #	and Conti	ract ft	
Page 1 of 13			
SECTION II DISCLOSURE OF OWNE	RSHIP INTERES	ΤS	
A. NATURE OF THE DISCLOSING PART	Y		
		1. Iı	ndicate the nature of the Disclosing
 [] Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust Party: 5d Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 50 	1(c)(3))?	[] Yes	[] No
[] Other (please specify)		[] 103	[]1.0

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of llinois: Has the organization registered to do business in the State of llinois as a foreign entity?

[] N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

I. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Wanxiang America Real Estate Group, LLC

Sole Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Disclosing 1 a

Wanxiang America Real Estate Group, LLC 100%

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes M No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether	Business	Relationship to Disclosing Party	Fees (indicate whether
retained or anticipated	Address	(subcontractor, attorney,	paid or estimated.) NOTE:
to be retained)		lobbyist, etc.)	"hourly rate" or "t.b.d." is
			not an acceptable response.

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V -

CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantia! owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

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[] Yes	fx] No	[] No person directly or indirectly owns 10% or more of the Disclosing Party.		
If "Yes," has the p with that agreeme		to a court-approved agreement for payment of all support owed and is the person in compliance		
[] Yes	[] No			

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- 'c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including

but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NONE

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NONE

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

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	," the word "None," or no respo	nse appears on the lines above, it will be conclusively presumed that
D. CERTIFICATIO	ON REGARDING INTEREST IN CI	TY BUSINESS
Any words or terms Part D.	that are defined in Chapter 2-156 of	the Municipal Code have the same meanings when used in this
	with Section 2-156-110 of the Murown name or in the name of any other Bf] No	nicipal Code: Does any official or employee of the City have a financial er person or entity in the Matter?
NOTE: Ifyou check	sed "Yes" to Item D.I., proceed to Ite	ems D.2. and D.3. Ifyou checked "No" to Item D.1., proceed to Part E.
have a financial interbelongs to the City, on "City Property Sale"	rest in his or her own name or in the or (ii) is sold for taxes or assessment	bidding, or otherwise permitted, no City elected official or employee shall name of any other person or entity in the purchase of any property that (i) s, or (iii) is sold by virtue of legal process at the suit of the City (collectively pursuant to the City's eminent domain power does not constitute a financial
Does the Matter invo	olve a City Property Sale?	
[]Vas	[] No	
[]Yes		mas and business addresses of the City officials or employees begins
3. If you check	ed "Yes" to Item D.l., provide the na ntify the nature of such interest:	mes and business addresses of the City officials of employees having

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.I. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.I. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain

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all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) []Yes []No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [] No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

U Yes []No

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Parly must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affdiated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

2308 S. Paulina Manager, LLC

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(Print or type na	me of Disclosing P	arty)						
By:								
Lawrence I. Kru (Print or type na	neger me of person signin	ng)						
Managing type title of person	Director on signing)	of	its	Sole	Member	(Print	or	

3-o n

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or a	ny Spouse or Domestic Partner thereof currently have a "familial
relationship" with an elected city official or department hea	1?

]	Yes		X] No
--	---	-----	--	-------

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is

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connected; (3) the name and title of the elected city official or department head to whom (4) the precise nature of such familial relationship.	such person has a familial relationship, and

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	CITY OF CHIC		DISCLOSURE STATEMENT AND AFFIDAVIT DIX B
	BUILDING CODE SCOFFLA	W/PROBLEM LAN	DLORD CERTIFICATION
	This Appendix is to be completership interest in the Applicant exy which has only an indirect own	ceeding 7.5 percent	oplicant, and (b) any legal entity which has a direct (an "Owner"). It is not to be completed by any legal e Applicant.
1.	Pursuant to Municipal Code Secti scofflaw or problem landlord purs		Applicant or any Owner identified as a building code 416 of the Municipal Code?
	[] Yes] No	
2.			any exchange, is any officer or director of the Applicant ord pursuant to Section 2-92-416 of the Municipal Code?
	[]Yes	[]No	[x] Not Applicable
3.	If yes to (1) or (2) above, please ididentified as a building code scoff pertinent code violations apply.		e of the person or legal entity lord and the address ofthe building or buildings to which the
	THAT THIS APPENDIX B IS I THE ASSOCIATED EDS, AND	NCORPORATED I THAT THE REPR	ES ACKNOWLEDGMENT AND AGREEMENT BY REFERENCE INTO, AND MADE A PART OF, ESENTATIONS MADE IN THIS APPENDIX B E UNDER PENALTY OF PERJURY ON PAGE 12

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

SDKM 2308 S. Paulina, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
 - OR
- 2. [xl a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Paulina North. LLC
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 200 W. Madison St., Suite 3410

Chicago, IL 60606

C. Telephone: 312-386-7150 Fax: 312-281-9992 Email: info@clariuspartners.com

<mailto:info@clariuspartners.com>

- D. Name of contact person: Kevin D. Matzke
- E. Federal Employer Identification No. (if you have one)
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

2300 S. Blue Island Ave., Chicago, IL

Application for 6(b) property tax classification for 2331 S. Blue Island

Ave., Chicago, IL

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G. Which City agency or department is requesting	g this EI	DS? Department of	Planning and Dev	velopme	<u>ent</u>	
If the Matter is a contract being handled by the following:	e City's I	Department of Proc	curement Services	, please	complete the	
Specification #	an	nd Contract #				
Page 1 of 13						
SECTION II - DISCLOSURE	OF	OWNERSHIP	INTERESTS	A.	NATURE	OI
THE DISCLOSING PARTY						
1. Indicate the nature of the Disclosing Party:] Person] Publicly registered business corporation] Privately held business corporation] Sole proprietorship] General partnership] Limited partnership] Trust Limited liability company Limited liability partn Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))? [] Yes [] No Other (please specify)	jX) [] [] (Is [] ership Jo	oint venture				
2. For legal entities, the state (or foreign coun	ntry) of i	incorporation or or	ganization, if appl	icable: S	State of Delawa	are
3. For legal entities not organized in the Sta ofIllinois as a foreign entity?	te oflllin	nois: Has the organi	ization registered	to do bu	siness in the St	ate
LX] Yes [] No		[] N/A				
B. IF THE DISCLOSING PARTY IS A LEGAL	L ENTIT	ГΥ:				
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	. •	CC 1 11 1		. NOT		

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity

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that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Kevin Matzke, 353 W. Belden Avenue, Chicago, IL 60614

Member

Steven Duncan, 26 Park Lane - 273; Golf, IL 60029 Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Page 2 of 13

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name **Business Address** Percentage Interest in the

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Kevin Matzke, 353 W. Belden Avenue, Chicago, IL 60614

Steven Duncan, 26 Park Lane - 273; Golf, IL 60029 50%

Disclosing Party 50%

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

M No []Yes

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to

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be paid. The Disclosing Party is not required to disclose employees who are paid solely through the D regular payroll.	isclosing Party's
"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobby any person or entity any part of whose duties as an employee of another includes undertaking to influe or administrative action.	yist" also means
If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing must either ask the City whether disclosure is required or make the disclosure.	ng Party
Page 3 of 13 Name (indicate whether Business retained or anticipated Address to be retained) Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an-acceptable response	se.
(Add sheets if necessary) fjC Check here if the Disclosing Party has not retained, nor expects to retain, any such party has not retained.	persons or entitie
SECTION V - CERTIFICATIONS	
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE	
Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with remain in compliance with their child support obligations throughout the contract's term.	the City must
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in child support obligations by any Illinois court of competent jurisdiction?	arrearage on any
X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.	
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and compliance with that agreement?	is the person in
[1Yes	

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using

substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Univerified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NONE

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NONE	
NONE	•

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

NONE

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [XI is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455 (b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): N/A

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	D 7 6	10
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	" the word "None," or no response app Disclosing Party certified to the above	ears on the lines above, it will be conclusively statements.
D. CERTIFICATI	ON REGARDING INTEREST IN CIT	TY BUSINESS
Any words or term used in this Part D	-	the Municipal Code have the same meanings when
		icipal Code: Does any official or employee of the City have a fany other person or entity in the Matter?
NOTE: Ifyou chec Part E.	ked "Yes" to Item D.l., proceed to Item	ns D.2. and D.3. Ifyou checked "No" to Item D.1., proceed to
employee shall hav purchase of any pro- legal process at the	e a financial interest in his or her own operty that (i) belongs to the City, or (ii suit of the City (collectively, "City Pro	idding, or otherwise permitted, no City elected official or name or in the name of any other person or entity in the i) is sold for taxes or assessments, or (iii) is sold by virtue of operty Sale"). Compensation for property taken pursuant to the ial interest within the meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Yes	[] No	
	ed "Yes" to Item D.L, provide the name	nes and business addresses of the City officials or such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986

but has not engaged and wil	ll not enga	ge in "Lobbyii	ng Activities".		
5. If the Disclosing Pa substance to paragraphs A.l Disclosing Party must main certifications promptly avail	. through A tain all suc	A.4. above from the subcontract	m all subcontractor ors' certifications f	rs before it awards any s	ubcontract and the
B. CERTIFICATION REG	ARDING 1	EQUAL EMP	LOYMENT OPPC	RTUNITY	
If the Matter is federally fur the following information w		~	• • •	* *	contractors to submit
Is the Disclosing Party the A	Applicant?				
[] Yes	[] No				
If "Yes," answer the three qu	uestions be	elow:			
Have you developed regulations? (See 41 CFR Pa [] Yes		you have on	file affirmative	action programs pursu	ant to applicable federal
2. Have you filed with t Compliance Programs, or the filing requirements?				r ofthe Office of Federal sion all reports due unde	
[] Yes	[] No				
3. Have you participated opportunity clause?	d in any pr	evious contrac	ets or subcontracts	subject to the equal	
[] Yes	[] No				
Ifyou checked "No" to questi	on 1. or 2.	above, please	provide an explan	ation:	
		Page	e 10 of 13		
SECTION VII COMPLIANCE, PENAL	- TIES, DIS		LEDGMENTS,	CONTRACT	INCORPORATION,
The Disclosing Party under	stands and	agrees that:			
A. The certifications, discloagreement between the Appl		•		•	•

other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on

which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

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- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxcs.or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F. 1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the

Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

SDKM 2308 S. Paulina, LLC (Print or type name of Disclosing Party)



Kevin Matzke (Print or type name of person signing)

Authorized Agent (Print or type title of person signing) at

Signed and sworn to before me on (date) Coo fc- County, $\label{eq:conty}$ County, $\label{eq:conty}$ County, $\label{eq:conty}$

7

Notary Public. *Commission expires: Oc-/-o k>ty 3> I, 2 &2 .*

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party

or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section HB.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section scofflaw or problem landlord pursua	, , ,	licant or any Owner identified as a building code ofthe Municipal Code?
	[] Yes	X] No	
2.			exchange, is any officer or director of the Applicant pursuant to Section 2-92-416 of the Municipal Code?
	[] Yes	[] No	fx] Not Applicable

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3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing

Party submitting this EDS. Include d/b/a/if applicable:

Wanxiang America Real Estate Group, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
 - OR
- 2. |x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
- 2. Applicant in which the Disclosing Party holds an interest: Paulina North, LLC OR
- 3. [] a legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party: 150 N. Riverside Plaza, Suite 1810

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Cl	nicago, IL 60606
C. <u>Telephone: 847-921-7064</u>	Email: lkrueger@wanxiang.com
D. Name of contact person: Lawrence J. Krueger	
E. Federal Employer Identification No. (ifyou have one)
F. Brief description of contract, transaction or other unpertains. (Include project number and location of proper	ndertaking (referred to below as the "Matter") to which this EDS ty, if applicable):
Application for 6(b) property classification for 2300 and	1 2331 S. Blue Island Avenue
G. Which City agency or department is requesting this I	EDS? Department of Planning and Development
If the Matter is a contract being handled by the C following:	City's Department of Procurement Services, please complete the
Specification #	and Contract #
Page J of 13	
SECTION II - DISCLOSURE OF OWNERSHIP I	NTERESTS
A. NATURE OF THE DISCLOSING PARTY	
[] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership []	Limited liability company Limited liability partnership Joint venture Not-for-profit corporation the not-for-profit corporation also a 501(c)(3))? Yes [] No Other (please specify)
	f incorporation or organization, if applicable: Illinois linois: Has the organization registered to do business in the State
[] Yes [] No	LX] N/A

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B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Lawrence J. Kreuger Managing Director

Wanxaiang America Corporation Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the Disclosing Party

Wanxiang America Corporation, 88 Airport Rd., Elgin, IL 60123 100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[J Yes Cxj No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: to be retained) lobbyist, etc.) "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V -

CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

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[] Yes	03 No	[] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the compliance with	•	nto a court-approved agreement for payment of all support owed and is the person in
[] Yes	[] No	

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDSkis' signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S.

Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.

- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NONE

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NONE

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- 1. [] is [X] is not

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- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-45 5(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes fx] No

NOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. Ifyou checked "No" to Item D.L, proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

t]Yes []No

3. Ifyou checked "Yes" to Item D.l., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

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Name	Business Address	Nature of Interest			

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

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(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [] No

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3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[] Yes

[] No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any
- contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethicshttp://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a

contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F. 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.l. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.l., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Wanxiang America Real Estate Group, LLC (Print or type name of Disclosing Party)

By:

Larwence J. Krueger (Print or type name of person signing)

Managing Director (Print or type title of person signing)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section JLB.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes fx] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 13 CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership

File #: F	File #: R2017-495, Version: 1					
	in the Applicant excee	ding 7.5 percent (an "Ow in the Applicant.	vner"). It is n	ot to be complete	d by any legal entity	which has only
		Code Section 2-154-010, adlord pursuant to Section				ling code
1	[]Yes IxjNo					
		egal entity publicly trade code scofflaw or problen				
[] Yes	[] No		jjt] Not Applicab	le	
i		please identify below th code scofflaw or proble tions apply.				ildings to which
T A S	HIS APPENDIX B IS SSOCIATED EDS, A	PPENDIX B CONSTITU INCORPORATED BY I ND THAT THE REPRE RTIFICATION MADE U	REFERENC SENTATIO	E INTO, AND M NS MADE IN TH	IADE A PART OF, T HIS APPENDIX B A	ГНЕ RE
		^* CITY OF CHIC STATEMENT AND A			OSURE	
SECT	ION I - GENERAL I	NFORMATION				
A. Leg	al name of the Disclos	ing Party submitting this	EDS. Includ	le d/b/a/ if applica	able:	
A. Wa	nxiang America Corpo	ration				

Office of the City Clerk Page 70 of 112 Printed on 5/26/2022

Check ONE of the following three boxes:

1. [] the Applicant

Indicate whether the Disclosing Party submitting this EDS is:

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OR 2. [xl a legal entity holding a direct or indirect in 2. Applicant in which the Disclosing Party holds OR 3. [] a legal entity with a right of control (see So which the Disclosing Party holds a right of control to the Disclosing	s an interest: Paulir ection II.B.l.) State	na North, LLC
B. Business address of the Disclosing Party: 88 A	Airport Road	
Ç	Elgin, IL 60123	
C. Telephone: 847-921-7064 Fax: 847-93 mailto:lkrueger@wanxiang.com	<u>31-4338</u>	Email: lkrueger@wanxiang.com
D. Name of contact person: Lawrence J. Krueger		
E. Federal Employer Identification No. (if you have	one'	
F. Brief description of contract, transaction or other pertains. (Include project number and location of pro	• •	, , , , , , , , , , , , , , , , , , ,
Application for 6(b) property tax classification for 2	2300 and 2331 S. E	Blue Island Avenue
G. Which City agency or department is requesting the	nis EDS? Departme	ent of Planning and Development
If the Matter is a contract being handled by the C following:	ity's Department of	Procurement Services, please complete the
Specification # ar	nd Contract #	
Page 1 of 13 SECTION II - DISCLOSURE OF OWNERSH A. NATURE OF THE DISCLOSING PARTY 1 Indicate the nature of the Disclosing Party:	IP INTERESTS	
 Indicate the nature of the Disclosing Party: Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	[] Joint venture [] Not-for-profit	t corporation rofit corporation also a 501(c)(3))?

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Kentucky

3. For legal entities not organized in the State of llinois: Has the organization registered to do business in the State of lllinois as a foreign entity?

M Yes [] No [] N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

I. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

Pin Ni President/Director
Gary Wetzel Secretary/Director
Guanqiu Liu Chairman/Director

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

Disclosing Party

Wanxiang Group Xiaoshan Economic 100%

Technological Dev. Zone

Hanzhou, Zhejiang Province, China

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SECTION III » BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes (xl No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, paid or estimated by the subcontractor) paid or estimated by the subcontractor (subcontractor) paid or estimated by the subcontractor (subcontractor)

paid or estimated.) NOTE:

"hourly rate" or "t.b.d." is

not an acceptable response.

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

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SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes fx] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5. concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Bureau of Industry and Security of U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

 NONE

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE
- 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

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The Disclosing Part	ty certifies that the Disclosing Party (check one)
1. The Disclosing Fair	y certifies that the Discrosing Party (check one)
1. [] is X] i	is not
a "financial institution" as	defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Pa	arty IS a financial institution, then the Disclosing Party pledges:
pledge that none of our affithe Municipal Code. We un	scome a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further liates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of iderstand that becoming a predatory lender or becoming an affiliate of a predatory lender privilege of doing business with the City."
	nable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455 is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain as if necessary):
	Page 7 of 13
	e word "None," or no response appears on the lines above, it will be conclusively sing Party certified to the above statements.
D. CERTIFICATION RE	GARDING INTEREST IN CITY BUSINESS
Any words or terms that a used in this Part D.	re defined in Chapter 2-156 of the Municipal Code have the same meanings when
	Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a ner own name or in the name of any other person or entity in the Matter? fx! No
NOTE: Ifyou checked "Y Part E.	es" to Item D.L, proceed to Items D.2. and D.3. Ifyou checked "No" to Item D.L, proceed to
employee shall have a fina purchase of any property the legal process at the suit oft	nt to a process of competitive bidding, or otherwise permitted, no City elected official or nicial interest in his or her own name or in the name of any other person or entity in the nat (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of he City (collectively, "City Property Sale"). Compensation for property taken pursuant to the ver does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a	City Property Sale?
[] Yes	[] No

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3. Ifyou checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either J. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if

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necessary): N/A _
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. Page 9 of 13
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes, [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract

Compliance Prog	roms or the Equal Employment Opportunity C	Commission all reports due under the applicable	
filing requiremen		Johnnission an reports due under the applicable	
[] Yes	[] No		
•	participated in any previous contracts or subco	ontracts subject to the equal	
opportunity claus	e?	3	
[] Yes	[] No	•	
[] Yes	[] No o" to question J. or 2. above, please provide an	•	
	[] No	n explanation:)N

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 ofthe Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

- F.l. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F. 1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Wanxiang America Corporation (Print or type naing of DJsclosing Party)

Ву:.

(Sign i*cre)

Lawrence J. Krueger (Print or type name of person signing)

Authorized Signatory (Print or type title of person signing)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as ofthe date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.I.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in die Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

nership interest in t		oplicant, and (b) any legal entity which has a dian "Owner"). It is not to be completed by any Applicant.	
	icipal Code Section 2-154-010, is the Alem landlord pursuant to Section 2-92-	Applicant or any Owner identified as a building co 416 ofthe Municipal Code?	ode
[] Yes	X] No		
		any exchange, is any officer or director of the ord pursuant to Section 2-92-416 of the Municipal	
[]Yes	[]No	EX] Not Applicable	
identified as a bu	above, please identify below the name ailding code scofflaw or problem land e violations apply.	of the person or legal entity llord and the address of the building or building	s to which

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

Wainxiang America Corporation

88 Airport Road, Elgin, Illinois 60123 TEL: 847-622-8838, FAX: 847-937-4838

File #: R2017-495. V	ersion:	1
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June 1, 2017

City of Chicago 121 N. LaSalle St. Chicago, IL 60602 Attention: Department of Planning and Development

To Whom It May Concern,

I, Pin Ni, hereby confirm that Lawrence J. Krueger is and has been since November 2015 an authorized signatory of Wanxiang America Corporation for the purpose of executing Economic Disclosure Statements to the City of Chicago respecting property located at 2300 and 2331 S. Blue Island Avenue and 2400, 2500, and 2501S. Wood Street in Chicago, Illinois.

6/1/2017

Date President

Wanxiang America Corporation

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the

Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Wanxjang Group

Check ONE of the following three boxes:

Indicate whether the Disclosing Party sub	omitting this EDS is:		
1. [] the Applicant OR			
2. X] a legal entity holding a direct or	indirect interest in the	Applicant. Stat	e the legal name of the
2. Applicant in which the Disclosing I OR			_
3. [] a legal entity with a right of con which the Disclosing Party holds a right	,	State the legal	name of the entity in
B. Business address of the Disclosing Part	ty: Xiaoshan Econom	ic and Technol	ogical Development Zone,
	Hanzhou, Zheji	iang Province,	The People's Rebublic of China
C. <u>Telephone: 847-921-7064</u> <u>Fa</u> <u>Fa</u>	ax: 847-931-4338	Email:	lkrueger@wanxiang.com
D. Name of contact person: Lawrence J. K	Zrueger		
E. Federal Employer Identification No. (if	f you have one):		
F. Brief description of contract, transaction this EDS pertains. (Include project number	- ·		· · · · · · · · · · · · · · · · · · ·
Application for 6(b) property tax classification	ation for 2300 and 2331	S. Blue Island	Avenue
G. Which City agency or department is re-	questing this EDS? Depart	artment of Plar	nning and Development
If the Matter is a contract being handled the following:	d by the City's Departme	ent of Procuren	nent Services, please complete
Specification #	and Contra	ct#	
Page 1 of 13			
SECTION II - DISCLOSURE OF OWNERSHIP	INTERESTS A. NATU	RE OF	
THE DISCLOSING PARTY			
 Indicate the nature of the Disclosing Party: Person 	[] Limited liability con	mnany	
[] Publicly registered business corporation	[] Limited liability par		
[] Privately held business corporation	[] Joint venture	Г	
[] Sole proprietorship	[] Not-for-profit corpo	oration	

File #: R2017-495, \	Version: 1		
[] General partner	ship	(Is the not-for-profit corporation	also a 501 (c)(3))?
[] Limited partner	ship] No
[] Trust		[X] Other (please specify)	
		Collective-Owned Enterpri	se of the People's Republic of China
2. For legal er	ntities, the state (or foreign co	ountry) of incorporation or organization	on, if applicable: China
3. For legal en State of Illinois as a	_	ate of Illinois: Has the organization reg	istered to do business in the
[] Yes	(Xi No	[] N/A	
B. IF THE DISCLO	OSING PARTY IS A LEGAI	L ENTITY:	
-profit corporations "no members." For If the entity is a joint venture, list be	, also list below all members, trusts, estates or other simila general partnership, limited p flow the name and title of each ls the day-to-day management	executive officers and all directors of it, if any, which are legal entities. If the rentities, list below the legal titlehold partnership, limited liability company, the general partner, managing member at of the Disclosing Party. NOTE: Each	ere are no such members, write der(s). I imited liability partnership or manager or any other person
Name Title Guanqiu Lu - Chai:	rman		
Pin Ni - Executive	Vice President		
beneficial interest (i	ncluding ownership) in exces	concerning each person or entity havi ss of 7.5% of the Disclosing Party. Ex est in a partnership or joint venture,	
		Page 2 of 13	
similar entity. If no	one, state "None." NOTE: Pu '), the City may require any s	ability company, or interest of a benefitsuant to Section 2-154-030 of the M such additional information from any	unicipal Code of Chicago
Name	Business Address		est in the
-	oshan Economic and Technol nzhou, Zhejiang Province	Disclosing Party logical Development Zone	20%
Wanxiang Founda	tion Xiaoshan Economic and	Technological Development Zone	80%

File #	#: R20	17-495.	Version:	1
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Hanzhou, Zhejiang Province

SECTION HI - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes rxl No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)
Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)
Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

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SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

(X) No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.l. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in clause B.2.b. of this Section V;

- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 13

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any'Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any ofthe following lists maintained by the Office of Foreign Assets Control of U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NONE

Page 6 of 1-1-3

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE
- 9. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

NONE

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C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION				
1. The Disclosing Party certifies that the Disclosing Party (check one)				

1. [] is |X] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455 (b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes 6_ No

NOTE: Ifyou checked "Yes" to Item D.L, proceed to Items D.2. and D.3. Ifyou checked "No" to Item D:l., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is. sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

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Does the Matter invo	olve a City Property Sale?	
[] Yes	[] No	
*	d "Yes" to Item D.L, provide the nam ch interest and identify the nature of	nes and business addresses of the City officials or such interest:
Name	Business Address	Nature of Interest
4. The Disclosing City official or emplo	•	ibited financial interest in the Matter will be acquired by any
E. CERTIFICATION	REGARDING SLAVERY ERA BU	ISINESS
	er 1. or 2. below. If the Disclosing is EDS all information required by page 1.	Party checks 2., the Disclosing Party must disclose below o aragraph 2. Failure to
	Pag	e 8 of 13
comply with these of the Matter voidable		y contract entered into with the City in connection with
and any and all pred policies during the s	lecessor entities regarding records of	Party has searched any and all records of the Disclosing Party investments or profits from slavery or slaveholder insurance ies issued to slaveholders that provided coverage for damage to ty has found no such records.
Disclosing Party has Disclosing Party ven	s found records of investments or pro	conducting the search in step 1 above, the fits from slavery or slaveholder insurance policies. The ll disclosure of all such records, including the names of any
SECTION VI - CE	RTIFICATIONS FOR FEDERAL	LY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

File #: R2017-495, Version:	1

List below the names of all persons or entities registered under the federal Lobbying
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with
respect to the Matter: (Add sheets if necessary):
 N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

- 3. The Disclosing Party will submit an updated certification at the end of each calendar.qu3rt.er http://calendar.qu3rt.er in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.l. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.l. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	arty the Applicant?
[]Yes	[] No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

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[] Yes	[] No			
2. Have you filed	with the Joint Reporting Committee,	the Director ofthe	e Office of Federal Contra	act
Compliance Programs, filing requirements?	or the Equal Employment Opportun	ity Commission a	ll reports due under the ap	pplicable
[]Yes	[] No L			
3. Have you partic opportunity clause?	ipated in any previous contracts or s	ubcontracts subject	ct to the equal	
[] Yes	[] No			
	P 10	212		
CECTION VIII	Page 10 of		INICORDODATION	COMPLIANCE
SECTION VII PENALTIES, DISCL	ACKNOWLEDGMENTS, OSURE	CONTRACT	INCORPORATION,	COMPLIANCE,
The Disclosing Party	understands and agrees that:			
agreement between the other City action, and a	disclosures, and acknowledgments of Applicant and the City in connection are material inducements to the City's closing Party understands that it must d.	n with the Matter, s execution of any	whether procurement, Ci	ty assistance, or action with respect

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with

the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 1 1 of J 3

- F.l. The Disclosing Party is not delinquent in the Department of Revenue, nor are the Disclosing Party or fine, fee, tax or other charge owed to the City. This inc sewer charges, license fees, parking tickets, property
- F.2 If the Disclosing Party is the Applicant, the use, nor permit their subcontractors to use, any facility li Parties List System ("EPLS") maintained by the U. S. G or i
- F.3 If the Disclosing Party is the Applicant, the Disci contractors/subcontractors hired or to be hired in form and substance to those in F.1. and F.2. above and w City, use any such contractor/subcontractor that does not Disclosing Party has reason to believe has not provided

NOTE: If the Disclosing Party cannot certify as to any o explanatory statement niust be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) this EDS and Appendix A (if applicable) on behalf of th^ certifications and statements contained in this EDS and and complete as of the date furnished to the City.

Wanxiang Group

(Print or type name of Disclosing Party) By: (Sign here)

Pin Ni

(Print or type name of person signing)

Executive Vice President

(Print or type title of person signing)

OFFICIAL SEAL KIMBERLY SCHRAMER NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:10/21/18 .J^IIIWi^ (state).

Signed and sworn to before at County,

Fi	ile	#:	R20	17-	495,	٧	ersi	on:	1
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Notary Pul

Commission expires: J Q J/ J^}

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section U.B. l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any-"Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes LX] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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BUILDING COD	DE SCOFFLAW/PROBLEM LA	NDLORD CERTIFICATION	
wnership interest in the		Applicant, and (b) any legal entity w t (an "Owner"). It is not to be comp ne Applicant.	
	ipal Code Section 2-154-010, is the m landlord pursuant to Section 2-9	e Applicant or any Owner identified a 2-416 of the Municipal Code?	s a building code
[]Yes Lx]No			
		n any exchange, is any officer or d dlord pursuant to Section 2-92-416 of	
[]Yes	[]No	[X] Not Applicable	
	•	ne of the person or legal entity ndlord and the address of the buildir	ng or buildings to which
THAT THIS APP THE ASSOCIATI	ENDIX B IS INCORPORATED ED EDS, AND THAT THE REP	TES ACKNOWLEDGMENT AND BY REFERENCE INTO, AND MA RESENTATIONS MADE IN THIS	ADE A PART OF, S APPENDIX B

ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

> CITY OF CHICAGO ECOr^b^nGP^ **STATEMENT** ;^'^itt"AK]rbAVtfc'

 $' \blacksquare AaST, \ \ TEMtLNTS, CERmiCAT[ON\%Rrj'ItB^{\wedge}$

File #: R2017-495, Version: 1 TiieBIOT'ltNOWtEDGEIOFTHB V^'D^ltMyj; " * %' SECTION; I - GE^ERAC tNFifiR A. Legal Tiarrie of the bjs.cjbMnift'drtjf: subrrdttmg't^ d/b/a/ if applicable: Waflxiang Foundation. CHeek ONE, of the Toilpv Ying tjirfee- ftpXci:: Indicate whether the Disclosing j?^rty;,surj;nil isi I. [.] the Applicant. OR $X M > Je^al ei\t^o o\#.Uie.$ Applicant in* which; uS'gp.Csi^ or i: [3 'a ^gaj 'epti|ywit^ which tfte?P^s£fpsm&^^ . ':i.J..'::\ . • ;%. Business.addips^ qf Jfi^ X^^l^^^.'J^ajj^- ^Mbsifa^^^ *i|^zhb^Zrie}ia^ The. Pedple'g.Republic of China-' .'^Etn'atr:: Ucf eger@ C. Telephohe: 847-921-7064~, f S^M7'^Li4ii% r D. Name Of contact pefspul ^^i'^tf UKryi^t E. Federal Employer Identification." F. Brief description of .cphtf a"c\ rfan'sa'cdpn to below, as th'e "Matter¹¹)¹ to F. which this EDS pertains. (Ineiu.de http://Ineiu.de project; nu^^^ Application'for 6(b) property tax^classification f6r.230'Qand 2331 S.. Blue Island Avenue, Chicago, IL G. Which City agency or department is-requesting .this EDS? Department of Planning and Development If the Matter is a contract bemghandledVby'the City's Department of Procurement Services, please complete the following-: and Contract^ Specification U Vcr. oj-oi-12 Page 1 of 13

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

"ALL STATE.M ENTS, CERTI FIGIVTJ ONS,. RJEPRES.t^ATIONS'ANP b;tejL.OSUMS ^CADEJIEREIN ARE BASED UPON REASONABLE INQUIRY AND MADE TO THE BEST KNOWLEDGE OF file.uNDERSIGNEI).

A. NATURE OF THE DISCLOSING PARTY'

1. Indicate the nature Of the Disclosing Parry:		
[] Person ^	f): L frhi{ed!. 1 fal>i 1 i by compa	any
[j Publicly registered businessP J Lim^		•
['] Privately held! business: tofpdratibn-	[• j J6\nlypnitif <b-< td=""><td></td></b-<>	
[j Sole proprietorship	[] NQt^ibr-prpfi	
[] General partnership	$(Ts-th>n^{\wedge})$ also a	501(c)(3))?
[} Limited parrtiersbip	I' J Ye^:". ~; [] Nb'	
[1 Trust:	[c] Otjibf./piease specify) _	
;Cbll	lectiyl^	i ha
2. For legal entities,* tffe-; sjtate tor/rrjre'ign'its	Shif\$);6^fnfe.0rpbWfi0JT<3r.ofgaiuzStion	ri,
ifappjicable::		
2. People's Republic of China: _v		

 $^ff.^£$ ^rjoJj| $^E^\&'$ jiKi $^rg^«-i'zi*«0.rt-ffegis'tbrt|4.ta$

B. IFTHED^SCL^

business m the 'g^^

3 For legal; ehu'ttes; -dpt b

1. List below th^eull _am

NOTE: Fo^not-fqr-pr^\
thercare no \$uch me)pbersy wrlts'\(^1\)^\\\
the legal titlehdlder(s).

If the entity is a gtindr0i^parth^'}h'i^-p.limits partnershipV fimited'liability company,, limited liability partnership or joint venture, list beb"v#. ?K\$ftafrL^aad titl\$-pf-eacitg,,srfl> partner:, managing member, manager or any other perspn or entity maEeouW the Disclosing Party.

2 & K

NOTE: Each legal efliiity' lre'tea*.tnu^sftfcmtt £n#E&ofofa-behal£

Name Title Guanqiu Lu - Chairman Pin Ni - Director

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including; ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a. corporation, partnership interest in a partnership or joint venture,

d'ri.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name Business Address Percentage Interest in the

Disclosing Party

Guanqiu Lu Xiaoshan Economic and Technological Development Zone 80%
Hanzhou, Zhejiang Province

SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

"ALL STATEMENTS, CERTIFICATIONS, REPRESENTATIONS AND DISCLOSURES MADE HEREIN ARE BASED UPON REASONABLE INQUIRY AND MADE TO THE BEST KNOWLEDGE OF THE UNDERSIGNED

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes &C]No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES** "ALL STATEMENTS, CERTIFICATIONS, REPRESENTATIONS AND DISCLOSURES MADE HEREIN ARE BASED UPON REASONABLE INQUIRY AND MADE TO THE BEST KNOWLEDGE OF THE UNDERSIGNED

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

r^ame (indicate whether Business Reiatibnship. to Disclosing Party Fees (indicate whether, retained.or anticipated: Address. <(sVbcp'ntia.ctor, attorney; ipaid or estimated;} MOTE: to be retained) lobbyi'sr, etc,) "hourly Tate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary). .'M Checkhere

If the Dis^

SECTION V - G E R T, IF J 0 A?TI 0 y .• -• to THE iB^sj^1 knowuuj.geoftheundi^si 6ned.*-*; '* .-VI A-.1' - A. COURT-GRDERE $^{\circ}$

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.[] Yes $Mi\{\bullet I'^]F/^fei^*\}^|tfjr^{n_1}C!f^*$

If "Yes,", has die person ^ is' the pers^

I I Yes []No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal CodeChVpter .lj-^2_3? Article i ("^rticlel^)(which the Applicant should consult for defined terms (e.g.,-"doing business'^) '&&i\$^\'xt^&^tp\$)-K.ifithfr Disclosing Party submitting this EDS is the Applicant and is doing business wifb the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any cpru^olling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed'under supervision for, any criminal offense involving actual, attempted, or conspiracy to-c.ommif bribery,, theft; fraud, Forgery, perjury, dishonesty or deceit against an .officer or employee of the City or any sister agency; and (ii) the Applicant understands arid acknowledges, matcompliance with Article;.! is a continuing requirement for doing business with the City. NOTE: If Article Fapplies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2- and 3 beiow.

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- 2. The Disclosing Party and\, If 'the 'Disclosing Patty js, a iegaL entity, all of those persons or entities'-ideitifiedin. Section .11.3. 1. of this EDS:
- a, are hot-presently-deljarred^aspeijde'd^ proposed f6r;debarniertt,.;declared ineligible or voluntarily excluded from any transactions by any-fede'raiy state
- b'.= liave'no.t₅-.wipjii^

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■offense.;- adjudgfc.d gdilryj p;r ha:d a ciyil.^ obtaining, aUeinpting ^ contract-undef%;publi,G ;teansac.tf^ enibe^ziemenn theftf^ false statements; of receivm

pi> stpWantitrust sfawtCs; fraudV

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d. hav^e not, withih .a: ;f^i^^i^c^^j^i^^^SS^

narj) one-br,.mpre^ubjic;:

transactions (fedejiai/i&^

c., have frot, Within;.a;^ guUty, Pf found .iia^Iefo eq.rieejfa^^ is'tate^j;;*!^

<!".,. '

. Yt< -A. . . ■■

- 3, The certificatibp; in
- •tl\e Disclosing Party;;
- any.*rContractpr".(rneariing connection with the Matter,.in^luding\r^u).^p^ SefctiPri IV,

"Disclosure of Sufehtfractpfe

- any "Affiliated Entity".(nteapiiife:.bh't^t/\p\•t|ia^\^\tee4y\\$*'indirectly? controls the
- Disclosing Party, is controlled, by the D^sctosMng ^

Parry; under

• common control of another .person ^r;»jjfityi.V IndrC'tipfepn^ interlocking management or owneirsfep; |defttit^

members, shared facilities

and equipment; common" use of employee's; o^^ the

ineligibility of a business entity to dp business 'w^ith⁵ federal pf' state of local government, including the City, using substantially the sarhe^ana^^ of principals as the ineligible entity);

with respect to Contractors, the term Affiliated Entity mearis a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with, the Contractor, is.under common control of another person or entity;.

• any responsible official of the Disciosing-Party, any Contractor or any Affiliated Entity or any other official, agent of employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction/or autliprizatioh.of a responsible, official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the-pisclosirig Party, nor any 'Gonrtactofj; nptfariy A ffiiiated^Bhtity of •either the Disclosing Party or any Contractor nor any Agents have, during the fivB'-yearS'BWqre'the date .this EDS,is signed,, or,, with respect to a. Contractor(an A ffiliatecfvEnd Entity of a Contractor during The five .years before the date of such Contractor's Or Affiliated Enftty>£ontract or engagemeint-iri connection'with the Matter:.

- a', bribed or attempted to bribe, or been;xpiiyicte'rl.dr' adjudged guilty of bri.befy Of'attempting to bribe', a public Officer or empidyee pf t^ej City^tft& S^fe. pf Illthois, or any agency 6£ the federal' government or of -aay'state.. pi ltfcaPgpyemfa^ States6f Arneiicai m 'that'offlceVf.-of em^lpyeeVpfficiai capacity;,
- $\label{eq:continuous} \begin{tabular}{ll} $$ w c: made aft admission $$:qf^c^\#iif|St^.j3^tib>^^'GfXe'^'btit/. \bullet Jjatftf nofbeea .pp6sefc^te^^.^w%-etfn^'dt} $$ $$ $$:ftr;'$ $$ iffr;'$ $$ $$:ftr;'$:ftr;'$$
 - d. violated' the p.rovismni^f M'un^ Sle&ion i^i^^^iviftg;»^8g6 6fdinante>.» -

•••4S ^either m?D^ a^e'ntsvof ^ veBgag>g.5«·0i'-b>i^ yiQlatipn.df 720 ILC\$ ^E^p^^ -America that eontains the'sarite^e^^

- 5. 'Neither the Disclosing
- •maintained, by the O ffice qif' F/6'reign^ on the '•
 .Bureau' of Industry.and Security o^the l)\$V\$j\$P^ tbel^succ^ss'Oi^the-'SpeciaUy
 Designated Nationals List, the Denied Perspus Li's^ Entity List and the
 Debarred List.
 - 6. The Disclosing Party understand\$.jaui^ requirements of Chapters
 - 6. 2-55 (Legislative Inspector Gene fall. 2.^;6V(^ 2rl6',6'(Governmental Ethics) ofthe-
 - 6. Municipal Code.
- 7. If the Disclosing Party is_vuhab.le to certify to any pf the above statements, in this Part B (Further Certifications), the Disclosing Party must explain.below:

None

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If ihe letters "NA," the•w0rd;."N0ne:/" or. rid response,appears on. the^ lines above, itwili be conclusively presumed that the Disclosing Party certified to the above statements.

8'. To the best of the Disclosing P^rtyknowledge,after .reasonable ihqttiifyc,.'.the;following is: a; complete list of all current employees of thei Disclosing: Barry whd we're, at .any timeduring the \2-month period,preqe^^ngJthQeexecut^bn.'da't^,^this;EDS, arv employee, dr elected orappointed official,. of the 'City bf 'Chicago (if npneiindicateewi^"%^"'bt.'"abn'e[?],)l,

None ..., \bullet .

- 9: To the best: pf^e-Discipsin^ reasonable;inquiry;,thg. following is. a complete; list of all gifts that thei Dj&Glp^ing'fo^^ at any tirrje.,during'the. 12-month period preceding: the execuribn fasite bf ti^is ETjS\ to..a.n emplb^eei/pc elected of "appointed official, of the City Of Chi'ca0-. For pUj^'ds.^\ made;genefally- avai,iabl.&io Gify'etthi^ __j^^^a^J^^^fe^^p3&;-J^i>.food "oi driftjc provjde.d:in. the
- ■course of official City business:'^
 with ""N/A" pf "npne"),. As'td any gtfi U\$te;d1 belqv?,' pleas^als#|j%
 None
 '. .'' ': " """J.

vC.vGERTIFICATION'Q^

1; The Disclosing ftifTy/'cer^

[] is ;|xlisbo>

- a "financial institution" as defined* irtSelption. !z42^55(j\$:itf? tl&M'unicip.aXC^dev
 - 2. If .the Disclosing Party IS; at&ia^iai'ijpstit^tioiiv th'e.b rbeDisclosing Party pledges':

"We are not and will not become a pfedatqryjend.er is 'ji.elfnfcd; in •. Chapjer < 2-32 of the . Municipal. Code. We further pledge that none pf our affiliates is,, and none pf them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege pf doing

business with the City."

If the Disclosing Party is Unable to make this pledge because it.or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

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If the letters "N A," the word "None," or nq.respprise appears on the fines above, .- it will be conclusively presumed that the Disclosing. P^'riy t^ejftified to the above statements.'

D\. CERTIFICATION REGARDING- INTEREST IN'CIT.Y BUSINESS

- . Any words, or terms' that are defined; in Chapter-2_c'iS6/b.!f thfe.]^^'|>'aTjPpd^. have the .same meanings when nised in< this 'SattTi):
 - .1. In accordance with Section 2~^ employee'

of the. City have- a financial interest in itosnri he^owtf in^thVuairie of ;any other'ijersbtt .'or' •entity inJheMauer?

ijYcs;|c].Nb>

N9TE: Jfypu checked'"?^ tbtftepi t>^pvm^^^sMM^^^r Tffyoa checked "No :iim-\$A | j?to)ige&x&&W'&' "';""" w "* "

2: Unless sold purs.uanfj,tp; ap^rp

no Gity

- ■elected official or employee^ball' have>a 'f^^6)^^^ji^^r hit .aWfcft&me pr,i"n .thfenajneyipr".

 »a£y other person or eht'ity'itt we .pufch^e ar^gfb^^ fjiMs fffi"
- fpr taxes p'r/ssessroe

'^riy;I'ro^erty Sate'% ^ \$\$\$\$ ddes'npt eonsu'futeva financial

1 ,,,,

Woes the Matter involve a'£ift\$^.^'j\$(\$>>'

[JYes [,]%

⁷3. Ifyou checked "Yes" to Item D'L, prov[^] the City officials or employees having such fattest^raiid[^]eiiit}'fy ftj\$'nafa[^]\$f su;cfc interest:

Name

Busihess Address;,

^awrebf Interest

4. The Disclosing Party further certifies that ho .prp.hibite'd .financial interest in. the Matter will be acquired by any City official or employee.

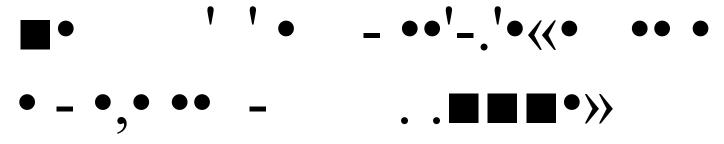
E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requ'uWne'nts rriaywakeiahy-contnidt entered: into with the City'in. connection with the Matter voidable, by the City-.

- X 1: The Disclosing Party vc.rifi e's-.tHa.it 'the)D'jseldsjngParty/has searchedjany and all-records pf.">http://tHa.it>'the)D'jseldsjngParty/has searchedjany and all-records pf. the Disclosing Party and any and all pre)de^e^pr;.Qni°i^- regarding jfe'eofd.s Of investments/ of profits-from slavery or slaveholder insurance-pon^^^ issued;ro slaveholder\$ thaVprovided'toY^ the; DisclosingParty has found ho suGh. recOi'ds^ " '
- 2, The Disclosing Party^ scareliiiii step 1 above, the Disclosing Party has found fecofdspT ipplicies; T)te Disclosing:Party yisfifie^ tJftiaf &^^f^ic>y^ingppr^sd^utfis ftjl.Ljdi\$clpsur6 of alTiii.ch-.' «records, including thenanl?s/ of any arili allSrfay&tib ^(a^biae^\$1leW^'fii'eiI• in those records'^



SECTION VI r- CERTIFIC^
"AU.STAT&vtEOTS,eEOT^^ $TIfEBE^*Kiyb.WLEbGE\ OF\ THE\ UNDERSIGNED$ if If', V', J''', J''', I''', I''', V'', J'''', I''', I'', I''', I'', I'''

- ,A, CERTIFICATION timA1miti®hQ&i0\$>
 - 1. List below the names pf ail person^

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Disclosure Act of 1995 who have mar4C lbpb\$ng/sp\$^ respect to the Matter (Add sheets if necessary):-" "
"n/a ' '*/".' '*''

Party with

bli^^pf

(If nO explanation appears or begins oii the iines',ab6ye^vpr/'ff tire letters "NA "-or if the word "None" appear, it will be conclusively presumed that the DJsclpsing.Party. meansthat NO persons or entities registered under the Lobbying Disclosure Ace of 1995' have made lobbying contacts oh behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spentand will riot expend any federally appropriated funds to pay any person or entity listed, in Paragraph A.l. above for his or her lobbying activities or ro pay any person Or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded gram or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit ah updated certification at the end of each calendar quarter in which there-occurs any event tfrat uiaferjiMjy affects theaccuracy; of the/Statements; and^fqrrnatiqnisct forth in paragraphs Ail. and A.2.- above.,
- 4., The Disclosing Patty certifies th?\phaces organization:, described in section 5QT(c:)(4) of the Internal. ReVenue Gpdie of i£86; . 5'0i.(G)(4)pf me Tnternal-Rtveri Activities".

"5;. if. the Disclosing. P)l^ fprnv&id subsf^ subcpnlract and the J3iscjps[^^ durafipn of the. Matter and m^

: C5RTIF IGAT.IQ.N RE^RD^r^u^^ Tf;|ae'.Matter. is ft subebntjacipr-s ;to •subrmf the follow'^ flego.ti'atipns'.

i/st^^i)i^c}Psmg Par^the. hppi&fyif'

- ■if '*Yes," answer the three quesn'ons¹ befc^w?'¹
- 1. Have you developed and do-ypp' hivebit ftle ^fft^^ applicable federal regulations? (See 41 CFRP^rt 6p44

[] Yes

. [] No

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	ed with the. Joint RepoMing.CqmmU ce Prograiris/ortb^ due-under the applicable filing	Office pf Federal requirements?
3. Have you pa clause?	articipated in any previous contracts or subcontrac	ts subject to the equal opportunity
[] Yes	[] No	
Ifyou checked "No'	" to question 1, br 2. above, please provide an exp	lanation:
	Page 10 of 13	
COMPLIANCE, "AU. STATEMENTS, CE MADK TO THE BEST KN	A C KNGW LEDGMENTS, CONTRAGT. II, PENALTIES; DISCLOSURE RTIFICATIONS, REPRESENTATIONS AND bISCI: bsURF, S 'NI NOWLEDGE PETILE UNDERSIGNED ."""" Party understands	NCORPORATION, ** ADFvflekejn are based veon reasonable inquiry and
Athe: c.ertiific contract dtotne^/ assistance^ or of	^ weAbpifc^n%'n;^ prpcur"erricnt,;City	heqome paft oEariy
<u> </u>	or taking other action y/itlVresp;e;c.^ With. aU \$ta;futes; prdiriancies,, ^n^[*j&f^Da	Parly-understands that-n^s^n.^Srylu^Br^ti%;£]qi^ i^paied.
B; -Tlie.Qity^'G	pyemmen.tai^ and Car^	6A pf
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Sedgwick St., Su	nite 500, Chicago, Til ^Qfi;V&-^^^ ^^,.^b"£;i	^i\$xl0^i^ mjjiSI? cprrfply fiiiiy
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duief^greem^ yjm^abl^, •at-taw'; cr	^ vany^cppttacf or -% ^^fi-\$^tj^gt\$M«l\$f^ ^declinihi "td. al(g atement of material ¹ ^	w*tb,e Disclpsiflg.p^^^ j^n'eia.ieC'at:
damages.	1""" Y~ "	
•D. It is the City's Wqueat Some or	s policy to rasJke. \$is^4ocun^ e all ofthe informa;tib&p>pVW^ be to the public on the Internet, in tespphse^ or	

otherwise,, By completing and '5igning:^'s'JBD'\\$; jtfi^J^s61d\\$j\«l\"Pa'r1y. tyaly^ aftd 'release's any possible rights.or claims which it may: b.ave. against thecCUy ^ of information contained in this EDS and also author izesfthe.Gib/'f\&ytarifytoetfecuracy df any information"-submitted in this EDS.

E, The information provided in this EDS must be kept current In the event of changes, the Disclosing Party must supplement this EDS up to the timethe" City^ t^Ws actipfi bri the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services; the Disclosing Party must update (his EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 ofthe Municipal Code (imposing PERMANENT INELIGIBILITY lor certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.I. The Disclosing Party is. not delinquent in. the payment of any tax administered by the Illinois DepaftrpenL of Revenue, nor are the Disclosing Party or its. Affiliated -Entities 'delinquent in paying, any fine, fee, tax or other charge owed to the City." This includes, but-is-^^riot.iimifed to, airwater charges, sewer Charges, license fees, parking tickets, prppefty, taxes. or 'sale'svta
- F.2-' :If the Disclosing Party is the Applicantj th^DisClpsing P use; 'ndr permit their subcontractors to. us& .auy^facilj^ Parties; List System ("EPLS") maintained by tlu;^

federal Excluded

F.3 If the Disclosing Party isthe; Applicant,!^ any • Contractors/subcontr;actors hired', or tO;be hired jn;.,c.dnnectr9n)wiU^ die-Matter.c"\u00e8rtific^ form ahd substance to those in FVL analR;.2: aboV^^ Gityy use any such contraCtor/subcontractQr:-that do'es^n^ Disclosing'Party has reason, to; o.eJievfc.h'Ss;^^

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;N'QTEif£^ eannp\derrify^^ .^p)^tgfih/s|.ateiAeDt^must.be a^ac^'edto. -tiifsj^^ •
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CERTIFICATION

.Underp^na^ ifbis^DSind^Ajipepdix A -•eerritf^

"t'andcomplete as of thg date." fupljs^^d-i^ th^C^r* 'J.'

Wairxiang Foundation . (Print or type name of Disclosing Par£y)

(Sign here)

PinNi.

(Print or type name o f person signing)

Authorized Representative (Print or type title of person signing)

NOTARY?#txtE OF ILLINOIS MV COMI^ION EXPIRES:1(V2V)8

nmc county,;

Sigqeji and sworn to before meon (date) County, jLjl

(state). Notary Public.

Commission expires:

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A1**

"ALL STATEMENTS. CERTIFICATIONS, REPRESENTATIONS AN¡C> DISCLOSURES !viADEIIEREI^ARE BASED UPON REASONABLE INQUIRY AND

MADE TO f HE BEST.'kNOWifiDGS OF TI IB'UNBERSIG^ED * : "

FAMILIAL T^LATIONSBLTPS; WIT HEADS

ED\S. is,

This Appendix is to be completed only by (a)i tWAppJicaht, and 1(b)...anyie aLenitiity. V^tcItb^5'a direct ownership interest in the AppUcanr-e^cceding'7.5! percent;]IHs:^ftt'=<6.,be^c'0Ih^Ie'ted;'by any legal entity

which has-only an indirect ownership s

^ Under Municipal Code Section 2rl5^ or any "Applicable

Party" or any Spousd'br Dgin^Sju^

•any elected city official or department head- -A'farn;!^

sighed; -(hift Disclosing Party or any "A^plic^^

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. partner d£as*any of the? fbll6win"g,vwlie^iei^ry bli^^^pj^^l^^ ^hi^ijJjroAe^.OjC 6jsferli auT»tpf^ricle,

'•meCebrneph^

of sfcpmptberi stepson orstepdau'ghteri4tep;brot^

*"Applicable Party? mbani0) all C)&^^ye^^ tf.the, :\Piscippr^ ~ -i;p^>iship; att

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ifi^i^TJabili^compahy; (2) ail prihqpata^

a'T.ipercehfc ownership interest iri.the Disclijsirag £afly. -^rfecirjai rp0C^ Chief bpe^adtig'pfficer, executive director[^] exercising similar authority.,

Does the Disclosing Party or any 'Applic bl&Pa have a "familial relationship" within elected.city pffi^

[]Yes

DC] No

If yes, please identify below (1) the name and utlft^ legal entity to which such person is connected; (3) the name and title of Lite elected city official or department head to whom such person lias a familial relationship, and (4) the precise nature oTsucb familial relationship;

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eiTYOFCfflCAteb"

economic disclosure

appendix b** ... •

"ALL'STATEMENTSI CERTIFICATIONS, REPRESENTATIONS ANP DISCLOSURESSISJDIB HEREIN ARfi^JBASfcl>
UPONREASONAaikINQUIRY^NQ MADESTO TITE BEST KNOWIEDGE OP THE UNDERSIGNED[. . I"." 'T, .' " ','."...*. ': '-'.'.*" "...

BUILDING CODE SCOFFLAW/PROBLEM EANi)LpRD ^E^TIEICATION

This Appendix is to be completed only by (a) the Ajpj(>jiiiatt,^

which: lias;.a: direct ownership, interest iri; ^

It is not to be completed by any lega I enti ty ^

the Applicant.' - - •=• •-•,s,;-

L Pursuant to Municipal Code SectjorJ 2^ 15^01 Oy|s; theAjsplic^rvt-©jp arjy/Q^heipicjeotjf|?fd as;a.

2, If tfieApplic^

the Applicant identified' aS'tf buildin££t^,%?2-#16 of uier*^oicipal C^e?^/"*r '1"'v: * '^ '""V

tb Section..

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bo.

3. • If y es to (]-) dr. (2) .aboVe, please $|d\ll \$$.^^^**^j^^<^!^. ridenti'fied as a building code tfeflj^ia^ buildijigs to which the pertinent "..'

FILLING OUT THIS APPENDIX B CONSTITIFT AND AGREEMENT THAT THIS AJPENBD&B REFERENCE INTO, AND MADE A PART 6% TFJASSOeiATED: EDS, AND THAT THE REPRESENTATIONS MADE THIS. APPENDDC B ARE SUBJECT TO THE CERTIFICATION A'E." UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATE: EDS.