

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

Legislation Text

File #: O2018-3183, Version: 1

Af>fLsL IS, ^o\8

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning
Ordinance is hereby amended by changing all of the B3-2, Community Shopping
District symbols as shown on Map No. 11-H in the area bounded by:

A line 199.03 feet North of and parallel to West Belle Plaine Avenue; the public alley next East of and parallel to North Western Avenue; a line 174.03 feet North of and parallel to West Belle Plaine Avenue; North Western Avenue.

To those of a B2-3, Neighborhood Mixed-Use District

SECTION 2. This Ordinance takes effect after its passage and due publication.

Common address of property: 4119 North Western Avenue, Chicago

LOT 506 IN RUDOLPH'S SUBDIVISION IN BLOCKS 6 AND 7 IN OGDEN'S SUBDIVISION OF THE SOUTH WEST 1/4 OF SECTION IS, TOWNSHIP 40 NORTH, RANGE I 4 EAST OF THE THIRD PRINCIPAL MERIDIAN, (EXCEPT THAT PART OF LOT 50S LYING WEST OF A LINE 50 EAST OF AND PARALLEL WITH THE WEST LINE OF SAID SECTION I 6). IN COOK. COUNTY, ILLINOIS 0 3G

LOT 506 2.673 50 FT

3 5TORY FRAME RES # 4 i 19

'5 a9°56'02" W I 07.1 3' (R*M) | LOT 505 74 03' TO NORTH UNE OF BELLE PLAINE AVENUE

TABLE: LI N 0°29'22" W 25 00' (R*M) 1.2 S 0°29'22" E 25 00' (R*M)

STATE OF ILLINOIS 1 COUNTY OF DuPAGE J

rrilS 13 '.0 CERTirY TnA~ mis PROFESSIONAL SERVICE CONFORV3 TO THE CURRENT ILUNOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY GIVEN UNDER VY HAND AND SEAL Tt 115 13 TH DAY OF SEPTEMBER. 201 7 AT 3 I ?. S MA! E STRECr IN W11L"A~ON, II CO I &7I

ILLINOIS PROFESSIONS. LAND SURVEYOR No 2971 LICENSE EXPIRES I 1/30/2016 EXACTA LAND SURVEYORS LB* 5763 THE ABOVE SURVEY IS A PROFESSIONAL SERVICE IN COMPLIANCE WITH THE MINIMUM STANDARDS OF THE STATE OF ILLINOIS NO IMPROVEMENTS SHOULD BE MADE ON THE BASIS OF THIS PLAT ALONE PLEASE REFER ALSO TO YOUR DEED, TITLE POLICY AND LOCAL ORDINANCES COPYRIGHT BY EXACTA ILLINOIS SURVEYORS THIS DOCUMENT MAY ONLY BE USED BY THE PARTIES TO WHICH IT IS CERTIFIED. PLEASE DIRECT QUESTIONS OR COMMENTS TO EXACTA ILLINOIS SURVEYORS, INC AT THE NUMBER IN THE BOTTOM RIGHT CORNER

DATE: 9/13/2017

THE

PROGRAM

transforming lives..

EXACTA ILLINOIS SURVEYORS. INC. 316 East Jackson Street, Morris, IL 60450 LB# 184005763 I P: 773.305.4010 I F: 773 305.401 1 This is page 1 of 2 and is not valid without all pages.

AFFIDAVIT (Section 17-13 -0107)

Date: April 10, 2018

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Mark J. Kupiec oath, deposes and states the following:

a being first duly sworn on

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners ofthe property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys, and other public ways, or a total distance limited to 400 feet. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filling the application

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately April 10, 2018.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parities to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

LAW OFFICES MARK J. KUPIEC & ASSOCIATES
SUITE 1801 77 WEST WASHINGTON STREET CHICAGO, ILLINOIS 60602

TELEPHONE (312) 541-1878

FACSIMILE (312) 641-1745

April 10,2018 Re: 4119

North Western Avenue, Chicago, IL Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about April 10, 2018 the undersigned will file an Application for a change in zoning from a B3-2 Community Shopping District to a B2-3 Neighborhood Mixed-Use District on behalf ofthe Applicant, Franklin Holdings LLC Twenty Seventh Series, for the property located at 4119 North Western Avenue, Chicago, Illinois.

The subject property is currently improved with a residential building. The Applicant intends to demolish the existing building and build a new four story residential building with 4 dwelling units. The Applicant needs a zoning change in order to comply with the minimum lot area and the maximum floor area requirements of the Zoning Ordinance.

The Applicant is the owner of the subject property. Its business address is 9462 Franklin Ave., Franklin Park, IL 60131.1 am the Attorney for the Applicant and the contact person for this Application. My address is 77 West Washington Street, Chicago, Illinois, and my telephone number is (312) 541-1878.

Mark J. Kupiec MJK/ap

Please note that the Applicant is not seeking to rezone or purchase your property. The Applicant

is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS	of the	property	Applicant	is seeking	to rezone:
1.	IDDICESS	or the	property	rippiicani	is seeking	to rezente.

4119 North Western Avenue, Chicago

- 2. Ward Number that property is located in: 47th Ward
- 3. APPLICANT Franklin Holdings LLC Twenty Seventh Series

ADDRESS 9462 Franklin Ave.

CITY Franklin Park

STATE IL ZIP CODE 60131

PHONE 847-451-980

EMAIL

CONTACT PERSON

Anthony Gonka

4. Is the Applicant the owner of the property? YES X NO
If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the applicant to proceed.

OWNER Franklin Holdings LLC Twenty Seventh Series

ADDRESS 9462 Franklin Ave.

CITY Franklin Park

STATE IL

ZIP CODE 60131

PHONE 847-451-980

EMAIL

CONTACT PERSON Anthony Gonka

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Law Office of Mark J. Kupiec & Assoc.

ADDRESS 77 West Washington St. Ste. 1801

CITY Chicago STATE Illinois ZIP CODE 60602

PHONE 312-541-1878 FAX 312-641-1745 EMAIL aplecka@kupieclaw.com

<mailto:aplecka@kupieclaw.com>

6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners disclosed on the Economic Disclosure Statements.

Anthony Gonka Ronald Gonka

- 7. On what date did the owner acquire legal title to the subject property? 09/07/2017
- 8. Has the present owner previously rezoned this property? If yes, when? NO
- 9. Present Zoning District B3-2 Proposed Zoning District B2-3
- 10.Lot size in square feet (or dimensions) 2,678 square feet
- 11. Current Use of the property Residential building
- 12. Reason for rezoning the property To comply with the minimum lot area and maximum floor area to build a new 4 story, 4 dwelling unit residential building,.
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height ofthe proposed building. (BE SPECIFIC)

To demolish the existing building and build a new 4 story, 4 dwelling unit residential building:

- 4 parking spaces: no commercial space: height 46'-8"
- 14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial

contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO http://www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?					
NO X					
COUNTY ILLINOIS	OF	COOK	STATE	OF	
Anthony Gonka being first duly sworn on oath, states that all ofthe abov statements and the statements contained in the documents submitted herewith are true and correct.					
		For Office U	se Only		
Date of Introduction:					
File Number:					
Ward: CITY OF CHICAGO ECONOMI DISCLOSURE STATEMENT AND AFF					

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Franklin Holdings LLC Twenty Seventh Series

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [x] the Applicant

File #: O2018-3183, Version: 1

OR

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR

3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

File #: O2018-3183, V e	rsion: 1					
B. Business address o	fthe Disclosi	ng Party: 94	62 Franklin Ave	nue, Franklin Pa	ark IL 60131	
C. Telephone: 847-4	<u>51-9850</u>	Fax:		Email:		÷
D. Name of contact p	erson: An	thony Gonka				
E. Federal Employer	Identification	n No. (if you ha	ave one): NA			
F. Brief description of if applicable):	fthe Matter to	which this EI	OS pertains. (Incl	lude project nur	nber and locat	ion of property,
Zoning Change	at 4119 North	Western Avenu	ue, Chicago			
G. Which City agency	or departme	nt is requesting	g this EDS? Dep	t. of Planning a	nd Developme	<u>:nt</u>
If the Matter is a complete the following		ng handled by	y the City's De	epartment of P	Procurement S	Services, please
Specification #	NA		and Contract # N	ĮΑ		
Ver.2017-1		Pag	ge 1 of 14			
SECTION II DISC			HIP INTEREST	S		
A. NATURE OF THE	DISCLOSI	NG PARTY				
[] Person [] Publicly registered [] Privately held busin [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	ness corporat			1. Indica	te the nature of	f the Disclosing
[y\ Limited liability c [] Limited liability pa [] Joint venture [] Not-for-profit corp (Is the not-for-profit c [] Yes []	rtnership oration orporation al	so a 501(c)(3)) (please specify				

File #: O2018-318	33, Version: 1		
2. For legal entit	ies, the state (or foreign cou	ıntry) of incorpora	tion or organization, if applicable:
	IL		
_	ies not organized in the Statois as a foreign entity?	te of Illinois: Has t	the organization registered to do business in
[x] Yes	[] No	[] Organize	d in Illinois
B. IF THE DISC	CLOSING PARTY IS A LE	GAL ENTITY:	
(ii) for not-for-provide "no member executor, adminition companies, limit	rofit corporations, all members which are legal entities" istrator, or similarly situated and liability partnerships or j	pers, if any, which); (iii) for trusts, es I party; (iv) for gen joint ventures, each	Il executive officers and all directors of the entity; are legal entities (if there are no such members, states or other similar entities, the trustee, neral or limited partnerships, limited liability in general partner, managing member, manager or rols the day-to-day management of the Applicant.
NOTE: Each leg	al entity listed below must s	submit an EDS on	its own behalf.
Name Title Anthor	ny Gonka	Manager	
Ronald Gor	nka N	Manager	
current or prosper of 7.5% of the Ap	ective (i.e. within 6 months	after City action) ban interest include	erson or legal entity having a direct or indirect, beneficial interest (including ownership) in excess shares in a corporation, partnership interest in a n a
Page 2 of 14			
limited liability "None."	company, or interest of a	beneficiary of a tr	rust, estate or other similar entity. If hOne, state
NOTE: Each leg	al entity listed below may b	e required to subn	nit an EDS on its own behalf.
Name	Business Address	5	Percentage Interest in the Applicant
Anthony Gonka	9462 Franklin Ave., Fran	ıklin Park, IL 60131	<u>50%</u>

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

9462 Franklin Ave., Franklin Park, IL 60131 50%

Ronald Gonka

File #: O2018-3183, Version	: 1				
Has the Disclosing Party p 12-month period preceding	-	•	sation to any Cit	y elected official [] Yes	during the [x] No
Does the Disclosing Party elected official during the	_	= =	=	=	City [x] No
If "yes" to either ofthe aborsuch income or compensat	-	dentify below the na	nme(s) of such Ci	ty elected officia	al(s) and describe
Does any City elected officinquiry, any City elected o Chapter 2-156 of the Munic [] Yes	fficial's spo	ouse or domestic par	tner, have a finan	ncial interest (as o	
If "yes," please identify be (s) and describe the financial		•	elected official((s) and/or spouse	e(s)/domestic partner
SECTION IV DISCLO	SURE OF	SUBCONTRACT	ORS AND OTH	IER RETAINEI	D PARTIES
The Disclosing Party must defined in MCC Chapter 2 Party has retained or expect and the total amount ofthe employees who are paid so uncertain whether a disclosive whether disclosure is required.	-156), acco ts to retain fees paid or lely throug sure is requ	untant, consultant as in connection with r estimated to be pai h the Disclosing Pas ired under this Secti	nd any other pers the Matter, as we d. The Disclosing ty's regular payro	on or entity who ll as the nature of g Party is not req oll. If the Disclos	m the Disclosing fthe relationship, juired to disclose sing Party is
Page 3 of 14					
Name (indicate whether	Business	Relationship to D	isclosing Party	Fees (indicate v	whether
retained or anticipated to be retained)	Address	(subcontractor, at lobbyist, etc.)	U	"hourly rate" riot an acce	eptable response.
Law Office of Mark J. Kupied	; & ASSOC. /	r vv. vvasnington St.,	Sie. 1801, Unicag	U IL 0U0UZ \$0,UU(o (esumated)

(Add sheets if necessary)

File #: O2018-3183, Version: 1
[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entitie
SECTION V - CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B. FURTHER CERTIFICATIONS
1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.
Page 4 of 14

- 3. The Disclosing Party arid, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

- b. have not, during the 5 years before the date of this EDS, been convicted of a cririlinal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 14

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or ah Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public

officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce. State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article 1 applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such Ver.2017-1

 Page 6 of 14

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

File #	#: (02018	-3183.	Version:	1
--------	-------------	-------	--------	----------	---

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NA

13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

Page 7 of 14

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC

File #: O2018-3183, Version: 1
Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best ofthe Disclosing Party's knowledge after reasonable inquiry, does any official or employee ofthe City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes [x]No

NA

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

Page 8 of 14

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party miist disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): NA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

File #: O2018-3183, Version: 1
by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1 Page 9 of 14
of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs $A(l)$ and $A(2)$ above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant? [] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
[] Yes [] No [] Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
[] Yes [] No

If you checked "No" to question (1) or (2) above, please provide an explanation:

Page 10 of 14

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.citvofchicago.org/Ethics http://www.citvofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY tor certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Page 11 of 14

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as ofthe date furnished to the City.

Franklin Holdings LLC Twenty Seventh Series (Print or type exact legal name of Disclosing Party)

<u>Anthony Gonka</u>
(Print or type name of person signing)

Manager

(Print or type title of person signing)

Signed and sworn to before me on Commission expires:

Page 12 of 14

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND

DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is hot to be completed by any legal entity which Has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes $[_x]$ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Page 13 of 14

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

File #: O2018-3183, Version: 1

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC or problem landlord	*	s the Applicant or any Owner identified as a building code scofflaw tion 2-92-416?
[] Yes	[X] No	
	• • •	ly traded on any exchange, is any officer or director of the Applicant roblem landlord pursuant to MCC Section 2-92-416?
[] Yes	[] No	[XJ The Applicant is not publicly traded on any exchange.
3. If yes to (1) or (2)) above, please identi	fy below the name of each person or legal entity identified as a

building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent

code violations apply.