

# Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

## **Legislation Text**

File #: O2018-7783, Version: 1

#### OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL MAYOR

September 20, 2018

# TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

#### Ladies and Gentlemen:

At the request of the Commissioner of Fleet and Facility Management, I transmit herewith ordinances authorizing sales of city-owned property on behalf of the Board of Education.

Your favorable consideration of these ordinances will be appreciated.

Mayor

Very truly yours,

#### ORDINANCE

WHEREAS, the City of Chicago (the "City"), is a home rule unit of government under Article VII, Section 6(a) of the Constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the Board of Education of the City of Chicago (the "Board"), is a body corporate and politic, created pursuant to Article 34 of the School Code of the State of Illinois, 105 ILCS 5/1-1, et seq. (the "School Code"); and

WHEREAS, the Board is the beneficial owner of the former Melody Elementary School located at 412 S. Keeler, 4222 W. Congress Parkway and 4153-59 W. Van Buren Street/407-09 S. Keeler Street, Chicago, Illinois, as legally described on Exhibit A attached hereto (the "Property"); and

WHEREAS, title to 412 S. Keeler, PIN: 16-15-225-048 and 049 (Parcel A) is currently held by the Public Building Commission of Chicago (the "PBC") for the .Board and title to 4153-59 W. Van Buren and 407-409 S. Keeler, PIN: 16-15-225-038 and 4222 W. Congress, PINS: 16-15-226-001 and 002 (Parcel B) are held by the City, as Trustee, in Trust for the Use of Schools, and

WHEREAS, pursuant to Section 34-21 (b)(1) of the School Code, by vote of no less than two-thirds (2/3) of its full membership, the Board determined that the Property has become unnecessary for use as a school, and that the sale of the Property is in the best interests of the Board; and

WHEREAS, the Board advertised the Property for sale and received one bid, which was opened in the Board's Department of Procurement and Contracts on May 14, 2018, the bid closing date; and

WHEREAS, Single Room Housing Assistance Corporation ("SRHAC") submitted a bid in the amount of Eighty Thousand Dollars and No/100 Dollars (\$80,000) (the "Purchase Price") for the entire Property; and

WHEREAS, SRHAC's proposed plan is to redevelop the former school Property with 80 units of affordable housing for low and very low waged earners, service veterans, single mothers and individuals suffering from physical disabilities and chronic illness and, in addition to housing, to allow community access to the gymnasium and select classrooms and other public space; and

WHEREAS, a disposition appraisal was obtained for the Property which indicated the disposition value of the Property (Parcels A & B) in April 2018 was:

Appraiser: KMD Valuation Services, LLC

Disposition Value as of 2018: \$50,000 to \$100,000; and

WHEREAS, the disposition appraisal takes into consideration the Use Restrictions (as hereinafter defined); and

WHEREAS, on August 22, 2018, the Board, pursuant to Resolution Number 18-0822-OP2 (the "Resolution"), voted by more than two-thirds of his membership to accept SRHAC's

bid in the amount of the Purchase Price for the Property, including Parcel B, held by the City of Chicago In Trust for Use of Schools; and

WHEREAS, the Resolution includes a request to the PBC and the City to convey the Property to SRHAC in accordance with Section 34-21 (d)(1) of the School Code subject to the conditions that the Property may not be used as a K-12 charter school and that the Grantee must obtain a Certificate of Occupancy from the City of Chicago for the Property within four (4) years from the date of the deed (collectively, the "Use Restrictions"); now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The foregoing recitals are hereby adopted as the findings of the City Council.

SECTION 2. The City hereby accepts SRHAC's bid to purchase the former Melody Elementary School Property (Parcels A & B) in the amount of the Purchase Price.

SECTION 3. The Mayor or his proxy is authorized to execute and the City Clerk or the Deputy City Clerk is authorized to attest, a quitclaim deed conveying to SRHAC all right, title and interest of the City, as Trustee, in Trust for the Use of Schools in and to Parcel B, subject to the Use Restrictions in substantially the following form:

THE PROPERTY MAY NOT BE USED AS A K-12 CHARTER SCHOOL TITLE TO THE PROPERTY WILL REVERT BACK TO THE CITY OF CHICAGO, IN TRUST FOR USE OF SCHOOLS, AS LEGAL TITLE HOLDER OF RECORD FOR THE BENEFIT OF THE BOARD IF THIS RESTRICTION IS BREACHED WITHOUT THE PRIOR WRITTEN APPROVAL OF THE BOARD'S CHIEF OPERATING OFFICER, OR CHIEF ADMINISTRATIVE OFFICER, OR IF GRANTEE FAILS TO OBTAIN A CERTIFICATE OF OCCUPANCY FROM THE CITY OF CHICAGO FOR THE PROPERTY WITHIN FOUR YEARS OF THE DATE OF THE DEED.

SECTION 4. The interest of the City, as Trustee, in Trust for the Use of Schools, in and to Parcel B shall be conveyed in its "AS IS-WHERE IS" condition, and the deed conveying such interest shall provide that the City and the Board are to be released and discharged from any and all responsibility or liability with respect to the Property's physical, structural and environmental condition.

SECTION 5. The Mayor, the City Clerk, and the Commissioner of the Department of Fleet and Facility Management (the "Commissioner"), or a designee of the Commissioner, are each authorized to execute and deliver on behalf of the City such supporting documents and certificates and to do such other things consistent with the terms of this Ordinance as such officers and employees shall deem necessary or appropriate in order to implement the provisions of this Ordinance.

SECTION 6. If any provision of this Ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this Ordinance.

SECTION 7. All ordinances, resolutions, motions or orders in conflict with this Ordinance are hereby repealed to the extent of such conflict.

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SECTION 8. This Ordinance shall take effect upon its passage and approval. Attachments: Exhibit A

Legal Description of Property



#### (PARCEL A TITLE HELD BY THE PUBLIC BUILDING COMMISSION OF CHICAGO)

LOTS 12 THROUGH 33 AND THE VACATED EAST-WEST ALLEY WHICH LIES NORTH OF AND ADJACENT TO LOTS 25 THROUGH 33 (EXCEPT THE WEST 16 FEET OF SAID LOT 33) AND SOUTH OF AND ADJACENT TO LOTS 16 (EXCEPT THE WEST 16 FEET THEREOF) AND LOTS 17 THROUGH 24 ALL IN BLOCK 1 IN COLORADO SECOND ADDITION TO CHICAGO, BEING A SUBDIVISION OF LOTS 3 AND 4 OF CIRCUIT COURT PARTITION OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF THE NORTHEAST 1/4 OF SECTION 15, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

ADDRESS: 412 S. KEELER (SCHOOL BUILDING) PINs: 16-15-

225-048 and 16-15-225-049

#### (PARCEL B - CITY OF CHICAGO IN TRUST FOR USE OF SCHOOLS IN TITLE)

LOT 34 IN BLOCK 1 IN COLORADO SECTION ADDITION TO CHICAGO, BEING A SUBDIVISION OF LOTS 3 AND 4 IN CIRCUIT COURT PARTITION OF THE EAST 1/2 OF THE SOUTHWEST 1/4 OF THE NORTHEAST 1/4 OF SECTION 15, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

ADDRESS: 4222 W. CONGRESS PARKWAY (VACANT LOT) PIN: 16-15-

225-038

LOTS 1, 2 AND 3 IN BLOCK 2 IN COLORADO ADDITION TO CHICAGO, A SUBDIVISION OF THE SOUTH 1/2 OF THE SOUTH EAST 1/4 AND THE NORTH 1/2 OF THE SOUTHWEST 1/4 OF THE SOUTH EAST 1/4 OF THE NORTH EAST 1/4 OF SECTION 15, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

ADDRESS: 4153-4159 W. VAN BUREN/407-09 S. KEELER (PARKING LOT) PINs: 16-15-226-001 and 16-15-226-002

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

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**SECTION I - GENERAL INFORMATION** 

| File #: O2018-7783, Version: 1   |   |  |  |
|--|---|--|--|
| -egai name of the Disclosing   | Party submitting this E                                 | DS: Include  | d/b/a/ if applicable:  |
| Chi ck ONE of the following  | g three bones:  |  |  |
| bid cate whether the Disclosis: prf the Applicant (  |   | is EDS   |  |
| I. [] alegal entity curre<br>or other undertaking to whi<br>or indirect interest in excess<br>na&ie: | ently holding, or anticipa<br>ich this EDS pertains (re | eferred to be  | wit^ the contract, transaction low as the "M itter"), a direct Applicant's legal |
| OR h [ ] a legal entity w (l)) Sta e the legal name of t   |   |  | ontrol oftoeApplicant (see Section n(B) ty holds a right of control:             |
| B. Jusiness address of the D   | isclosing Party: 50\ M .                                | cVYYTYltl kj   | (P.f\)J>.  |
| C telephone: 3\Z'Z\Z'\ZXL  | Fax: iaV;fcfl/> HUS"                                    | Email: fT^.  | fubgn^^ <g 5rhoc.gr="" <http:="">)</g>   |
| D. Marne of contact person   | : Mf. BriC Eubfin^Vflv                                  | <u>rS</u>  |  |
| E. <sup>T</sup> ederal Employer Identi   | fication No. (if you have                               | one):\ i   |  |
| F. Brief description of the M  | Matter to which this EDS                                | S pertains. (I   | nclude project number and location of  |
| F. pro jerry, if applicable):  |   | V  | Vt5,Ue\eC + ^S'^ISH ty. V <w1 f«*<fl,<="" td=""></w1>                            |
| G. Which City agency or dep<br>kf  | artment is requesting thi                               | 's EDS? D <ft< td=""><td>*^v»cftfr a9 f^ef A- ^fociUfy MflrUff 0%</td></ft<> | *^v»cftfr a9 f^ef A- ^fociUfy MflrUff 0%   |
| If t le Matter is a contract be please cor lplete the following                                      | ·   | 's Departme  | nt of Procurement Services,  |
| Specification #,   | and Cont  | tract #  | j  |
| Veif2018-1   | Page 1 of 15  |  |  |
| SECTION II - DISCLOSUI   | RE OF OWNERSHIP IN                                      | NTERESTS   |  |
| A. NATURE OF THE DISC  |   |  |  |
| I Indicate the nature of th  | na Disalasina Party                                     |  |  |
| I. Indicate the nature of the rson  Jublicly registered busine rivately held business con            | [ ] Limi<br>ss corporation [ ] Limi                     | ited liability o<br>ited liability p<br>t venture                            |  |

| File #: O2018-7783, Ve  | ersion: 1   |  |
|---|---|--|
| Jole proprietorsh<br>3eneral partnersh<br>limited partnersh<br>Trust  | nip   | £^ Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? pO Yes [] No [ J Other (please specify)  |
| or legal entities,  | the state (or foreign   | country) of incorporation or organization, if applicable:  |
| 3. For legal entities n business in the State   | _   | state of Illinois: Has the organization registered to do an entity?  |
| [I J Yes  | [] No   | ^ Organized in Illinois  |
| {entity; (ii) for not-formembers, write "no retrustee, executor, adreliability companies, I manager or any other the Applicant. | Il names and titles, if or-profit corporations members which are leministrator, or similar imited liability partner person or legal entit | EEGAL ENTITY:  Sapplicable, of: (i) all executive officers and all directors of the s, all members, if any, which are legal entities (if there are {no such egal entities"); (iii) for trusts, estates or other similar entities, the ely situated party; (iv) for general or limited partnerships, limited erships or joint ventures, each general partner, managing member, by that directly or indirectly controls the day-to-day management of east submit an EDS on its own behalf. |
| ie  |   | Title  |
| current or prospective excess of 7.5% of the  | e (i.e. within 6 month<br>e Applicant. Example  | on concerning each person or legal entity having a direct or indirect, as after City action) beneficial interest (including ownership) in as of such an interest include shares in a tership or joint venture, interest of a member or manager in a  |

# SRHAC Voting Board of Directors (as of 08/17/2018)

## Alan Erickson, Board President

Real Estate Broker United Real Estate Company

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1111 W. George Ave Apt # 2 Chicago, IL 60657

312-278-8530 773-975-8421 (fax) 773-719-0676 (cell) aerickl 111 (Siaol.com <a href="http://Siaol.com">http://Siaol.com</a>

### Russ Ogorek, Board Vice President

Rental Real Estate Operator 3765 Wehrman Ave

Schiller Park, IL 60176 847-977-5031

(cell) 708-910-2399 (fax)

Dan Starr, Board Secretary

Attorney & Senior Partner 35 E Wacker Dr., #1870 770 32<sup>nd</sup> Ave S, lot 351 Starr, Beigiert, Zink & Rowells Chicago, IL 60601 St. Petersburg, FL 33705

312-245-0179/312-346-9420

312-372-3447 (fax) 727-219-6335 (cell) sbzrlaw(5>qmail.com

## John Clark, Board Treasurer & Finance Committee Chairman

President & Real Estate Investor Clark Management Co. and a retired CPA

25287 W. Blakely Pkwy Barrington, IL 60010

773-552-8822 847-526-9129 (cell)

(fax) iclar30(S).hotmail.com

## Eric Rubenstein, Board Member & SRHAC Executive Director

President 4917 N. Kenmore Alpine Realty & Management Co. Chicago, IL 60640

847-784-8989 847-784-9714(fax) ericrubenstein(5>srhac.org

John Spear, Board Member

Independent Financial Consultant 2747 Cameron Ct.

> Darien, IL 60561 630-538-6804 Jspear421 @gmail.com

**Sheldon Weiner, Board Member** 

CPA & Senior Partner 125 S Wacker Dr, 10th FI BrookWeiner LLC. Chicago, IL 60606

> 312-629-0900 312-205-3227 (direct) 773^59-4877 (cell) 312-629-0901 (fax)

sweiner@brookweiner.com

<mailto:sweiner@brookweiner.com>

Narjie (indicate whether Business Relationship to Disclosing Party

to bie retained)

"hourly rate" or "t.b.d." is

retained or anticipated Address (subcontractor, attorney,

paid or estimated.) NOTE: \ lobbyist,

Fees (indicate whether

not an acceptable response.

etc.)

j

| File #: O2018-7783, Version: 1   |                        |
|--|------------------------|
| i j i (Add sheets if necessary) j i i [] pheck here if the Disclosing Party has not retained, nor expects to retain, any such pers j | !<br>sons or entities, |
| SECTION V CERTIFICATIONS   |                        |
| A. pOURT-ORDERED CHILD SUPPORT COMPLIANCE  |                        |

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must rerrain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] No jX^No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Ves," has the person entered into a court-approved agreement for payment of all support owed and is tljie person in compliance with that agreement?

[ ]|Yes []No

#### **B. FURTHER CERTIFICATIONS**

- 1. [[This paragraph I applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. [The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, taxjor other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. ilie Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

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- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, atteppting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. kre not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:

f the Disclosing Party;

f» any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in ^connection with the Matter, including but not limited to all persons or legal entities disclosed [under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the [Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under 'common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity jthat directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is •under common control of another person or entity;

[• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any Other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, [acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, 'any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or df any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. Violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wajge); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) < bidfrotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1 -23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the ^Applicant understands and acknowledges that compliance with Article I is a continuing requirement fordoing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10.; [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason, to believe has not provided or cannot provide truthful certifications.

11.; If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

Jkt\t

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the ji 2-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything ma^e generally available to City employees or to the general public, or (ii) food or drink provided in theteourse of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

# **Nfflflt**

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[ J is [)Q is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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|  |  |
| _  | Party is unable to make this pledge because it or any of its affiliates (as defined in MCC b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach necessary):  |
|  | the word "None," or no response appears on the lines above, it will be med that the Disclosing Party certified to the above statements.  |
| D. CERTIFICATI   | ON REGARDING FINANCIAL INTEREST IN CITY BUSINESS   |
| Any words or term  | as defined in MCC Chapter 2-156 have the same meanings if used in this Part D.   |
| reasonable inquiry,  | with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after does any official or employee of the City have a financial interest in his or her;own name or other person or entity in the Matter?  |
| []Yes  | )4 <sup>No</sup>   |
| •  | eked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), d D(3) and proceed to Part E.   |
| employee shall have<br>the purchase of any<br>by virtue of legal p | suant to a process of competitive bidding, or otherwise permitted, no City elected official or re a financial interest in his or her own name or in the name of any other person or entity in reproperty that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold rocess at the suit of the City (collectively, "City Property Sale"). Compensation for property ne City's eminent domain power does not constitute a financial interest within the meaning of |
| Does the Matter in   | volve a City Property Sale?  |
| []Yes  | [ ] No   |
| 3 If you checked   | "Yes" to Item D(l) provide the names and business addresses of the City officials or   |

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials of employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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|--------|----|--------|-------|----------|---|
|--------|----|--------|-------|----------|---|

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party muit disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- j\_2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If ho explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay

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|-----------------------|----------|---|
|-----------------------|----------|---|

any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any! subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Pai                       | rty the Applicant  | ?  |
|---|--------------------|--|
| t 1 Yes                                     | [] No              |  |
| If "Yes," answer the                        | three questions b  | pelow:   |
| 1. Have you develor<br>regulations? (See 41 |                    | ave on file affirmative action programs pursuant to applicable federal   |
| [] Yes                                      | [] No              |  |
| •   | ns, or the Equal I | orting Committee, the Director of the Office of Federal Contract<br>Employment Opportunity Commission all reports due under the applicable |
| X ] Yes                                     | [ 1 No             | [] Reports not required  |
| 3. Have you particip opportunity clause?    | pated in any prev  | ious contracts or subcontracts subject to the equal  |

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|--------------------------------|------------------------------|--------------------------------------|--|--|
| [] Yes                         | [ ] No                       |                                      |  |  |
| If you checked "N              | fo" to question (1) or (2) a | bove, please provide an explanation: |  |  |
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#### - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or voiii), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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#### CERTIFICATION

Under penalty of perjury, the person signing below: (i) warrants that he/she is authorized to execute this EDS. and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

----- - a\_i\_j\_

I RONNIE ft WILLIAMS Official Seal Notary Public • Stats of Illinois jMy Commfesfcm Expires May 1», 2022

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership: all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ JYes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAVV/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[ ]Yes ^]No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

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|--------------------------------|-------|--|
| [] Yes                         | [] No | ho The Applicant is not publicly traded on any exchange. |

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

## PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com <a href="http://www.amlegal.com">http://www.amlegal.com</a>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job

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|      |    |                     |     |      |     |       |   |

applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes[]

No

[x] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.

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